

About Some System-Theoretic Properties of Port-Thermodynamic Systems

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Abstract. Recently a class of Hamiltonian control systems was introduced for geometric modeling of open irreversible thermodynamic processes. These systems are defined as ordinary Hamiltonian input-output systems on a symplectic manifold, with the special property that the Hamiltonian is homogeneous in the generalized momentum variables, and that there is an invariant homogeneous Lagrangian submanifold characterizing the state properties of the thermodynamic system. After recalling the basic framework we study the passivity, controllability and observability properties of such systems.

Keywords: Thermodynamic systems · Symplectic geometry · Nonlinear control

1 Port-Thermodynamic Systems

It was argued in [\[2](#page-9-0)] that the phase space of thermodynamic systems can be defined as the projectivization of a symplectic manifold, and that reversible and irreversible thermodynamic processes can be expressed as Hamiltonian dynamics with respect to a Hamiltonian that is homogeneous of degree one in the generalized momentum variables. In this section, we will recall the recently proposed generalization of this framework to non-isolated thermodynamic systems, summarizing the definition of homogeneous Hamiltonian control systems and port-thermodynamic systems as given in [\[13](#page-9-1)[,22](#page-10-0),[23\]](#page-10-1).

1.1 Homogeneous Hamiltonian Control Systems

Consider an $(n+1)$ -dimensional manifold Q^e , with elements denoted by q^e (the vector of *extensive variables* in thermodynamics). Consider its cotangent bundle, denoted by T^*Q^e , with generalized momentum variables $p^e \in T^*_{q^e}Q^e$, equipped with the canonically defined *Liouville one-form* α and *symplectic form* $\omega = d\alpha$.

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In natural cotangent bundle coordinates $(q^e, p^e) = (q_0^e, \ldots, q_n^e, p_0^e, \ldots, p_n^e)$ for T^*Q^e the Liouville form α has the expression

$$
\alpha = \sum_{i=0}^{n} p_i^e \, dq_i^e,\tag{1}
$$

while the symplectic form ω is given as $\omega = \sum_{i=0}^{n} dp_i^e \wedge dq_i^e$. On the symplectic manifold T^*Q^e we consider Hamiltonian vector fields which are generated by Hamiltonian functions that are *homogeneous of degree* 1 in the momentum variables p^e . Such vector fields are characterized in the following proposition.

Proposition 1. [\[22,](#page-10-0) Prop. A.1] If the function $h: T^*Q^e \to \mathbb{R}$ is homogeneous *of degree* 1 *in* p^e , *then the Hamiltonian vector field* $X = X_h$ *satisfies*

$$
\mathbb{L}_X \alpha = 0,\tag{2}
$$

where \mathbb{L}_X denotes the Lie derivative with respect to the vector field X. Con*versely, if a vector field* X *satisfies* [\(2\)](#page-1-0) then $X = X_h$ for some locally defined *Hamiltonian h that is homogeneous of degree* [1](#page-1-1) *in* p^e . ¹

Hamiltonian vector fields X_h with h homogeneous of degree 1 in p^e will be referred to as *homogeneous Hamiltonian vector fields*.

By Gibbs' relation the *state properties* of any thermodynamic system are specified by a Lagrangian submanifold of the cotangent bundle T^*Q^e with the following additional homogeneity property [\[22](#page-10-0)].

Definition 1. *A* homogeneous Lagrangian submanifold $\mathcal{L} \subset T^*Q^e$ *is a Lagrangian submanifold* $\mathcal{L} \subset T^*Q^e$ *(i.e.,* $\omega|_{\mathcal{L}} = 0$ *and* \mathcal{L} *is maximal with respect to this property) satisfying* $(q^e, p^e) \in \mathcal{L} \Rightarrow (\tilde{q}^e, \lambda p^e) \in \mathcal{L}$, *for every* $\lambda \in \mathbb{R}^*$.

Equivalently, in [\[22\]](#page-10-0) homogeneous Lagrangian submanifolds are geometrically characterized as maximal submanifolds satisfying $\alpha|_{\mathcal{L}} = 0$.

Motivated by thermodynamics we require that the *dynamics* specified by a homogeneous Hamiltonian vector field is compatible with the *state properties* defined by a homogeneous Lagrangian submanifold, in the sense of leaving this submanifold invariant. This is characterized as follows.

Proposition 2. [\[11,](#page-9-2)[23\]](#page-10-1) A homogeneous Hamiltonian vector field X_h leaves *invariant the homogeneous Lagrangian submanifold* $\mathcal{L} \subset T^*Q^e$ *if and only if* $h|_{\mathcal{L}}=0.$

This leads to the following definition of a class of Hamiltonian control systems.

¹ Note that [\(2\)](#page-1-0) is stronger than the standard condition that the vector field X is (locally) Hamiltonian, i.e., $\mathbb{L}_X \omega = 0$ [\[11,](#page-9-2) p. 97].

Definition 2. [\[23](#page-10-1)] Consider an $(n + 1)$ -dimensional manifold Q^e . A homogeneous Hamiltonian control system *on* T^*Q^e *is defined as a pair* (£, K), composed *of a homogeneous Lagrangian submanifold* $\mathcal{L} \subset T^*Q^e$ *and a nonlinear control system*

$$
\dot{x} = X_{K^a}(x) + X_{K^c}(x) u, \quad x = \begin{pmatrix} q^e \\ p^e \end{pmatrix}, \tag{3}
$$

generated by the control dependent Hamiltonian function $K := K^a + K^c u$: $T^*Q^e \to \mathbb{R}, u \in \mathbb{R}^m$, where K^a and the elements of the m-dimensional row *vector* K^c *are functions which are homogeneous of degree* 1 *in* p^e *, and satisfy the invariance conditions* $K^a|_{\mathcal{L}} = K^c|_{\mathcal{L}} = 0$.

Hence homogeneous Hamiltonian control systems leave invariant the homogeneous Lagrangian submanifold $\mathcal L$ characterizing the state properties of the system; in particular energy-storage. In the control-theoretic sense, this homogeneous Lagrangian submanifold is the actual state space of the system and only the *restriction* of the homogeneous Hamiltonian control system to this submanifold is relevant. Thus the state space is defined as a submanifold of a covering manifold, similarly to approaches to differential-algebraic equation systems such as described in $[3,4,21]$ $[3,4,21]$ $[3,4,21]$ $[3,4,21]$.

1.2 Relation with Irreversible Thermodynamic Systems

The Thermodynamic Phase Space. In the thermodynamic case the $(n+1)$ dimensional manifold Q^e consists of the space of all *extensive variables*. For simple thermodynamic systems, the extensive variables are volume, number of moles of chemical species, as well as entropy and internal energy. Following [\[2\]](#page-9-0), the *thermodynamic phase space* is the $(2n + 1)$ -dimensional manifold $\mathbb{P}(T^*Q^e)$, called the projectivization of T^*Q^e , the $(2n + 2)$ -dimensional cotangent bundle T^*Q^e without its zero-section. The projectivization $\mathbb{P}(T^*Q^e)$ is defined as the fiber bundle over Q^e with fiber at any point $q^e \in Q^e$ given by the projective space $\mathbb{P}(T_{q^e}^*Q^e)$, with projection map $\pi: T^*Q^e \to \mathbb{P}(T_{q^e}^*Q^e)$.

It is a classical result, see e.g. $[11,$ $[11,$ chap. V], $[1,$ $[1,$ Appendix 4], that the $(2n+1)$ dimensional manifold $\mathbb{P}(T^*Q^e)$ is a *contact manifold*, endowed with a locally defined canonical contact form, denoted by θ . In fact, in a neighborhood where $p_0 \neq 0$, the contact form θ is given as

$$
\theta = dq_0^e - \sum_{i=1}^n \gamma_i dq_i^e,
$$

where $\gamma_i = -\frac{p_i}{p_0}$, $i = 1, \ldots, n$, are the homogeneous coordinates corresponding to the condition $p_0 \neq 0$. For a thermodynamic system, the *n* coordinates $\gamma_i, i = 1, \ldots, n$, are called the *intensive variables*. Whenever $p_1 \neq 0$ we may define *different* homogeneous coordinates $\hat{\gamma}_i = -\frac{p_i}{p_1}$, $i = 0, 2, ..., n$, corresponding to the contact form $\hat{\theta} = dq_1^e - \hat{\gamma}_0 dq_1^0 - \sum_{i=2}^n \hat{\gamma}_i dq_i^e$, and so on for $p_2 \neq 0, p_3 \neq 0, \ldots$ In thermodynamics this reflects the choice of different intensive variables corresponding to, e.g., the energy or entropy representation of the thermodynamic system.

The formulation of the thermodynamic phase space as a contact manifold is well-known $[1,9,15]$ $[1,9,15]$ $[1,9,15]$ $[1,9,15]$. The covering symplectization of the contact manifold $\mathbb{P}(T^*Q^e)$ by the symplectic manifold T^*Q^e , together with the resulting different choices of intensive variables, unifies the different representations of the thermodynamic phase space; in particular, the energy and entropy representations [\[2](#page-9-0)[,22](#page-10-0),[23\]](#page-10-1). In the same vein, the description of the state properties of the thermodynamic system by a Legendre submanifold of the thermodynamic phase space, see e.g. [\[15](#page-9-7)], is extended to a covering homogeneous Lagrangian submanifold. In this way, the formulation of reversible and irreversible processes of thermodynamic systems using contact vector fields as given before in [\[7](#page-9-8),[8,](#page-9-9)[16](#page-9-10)[,17](#page-10-3)], and for open thermodynamic systems as control contact systems in $[5,6,14,19]$ $[5,6,14,19]$ $[5,6,14,19]$ $[5,6,14,19]$ $[5,6,14,19]$ $[5,6,14,19]$, is now replaced by ordinary, but homogeneous, Hamiltonian dynamics on the symplectization T^*Q^e of the thermodynamic phase space $\mathbb{P}(T^*Q^e)$. Apart from unifying different representations as mentioned above, this covering has other advantages as well. From a computational point of view, Hamiltonian dynamics is more easy than contact dynamics. More importantly, as we will see in the next subsection, the homogeneous Hamiltonian formulation admits to define in a natural way the *outputs* of the thermodynamic system. For further details on the mathematical relation between the symplectic, but homogeneous, and the contact representations we refer to [\[22\]](#page-10-0).

Port-Thermodynamic Systems. In order to ensure the compatibility with the First and Second Law of thermodynamics, and to define natural outputs which are conjugate to the inputs, we first recall Euler's theorem for homogeneous functions. Since the Hamiltonian functions K^a and the elements of the row vector K^c are homogeneous of degree 1 in the momenta p^e , Euler's theorem yields the identities

$$
K^{a} = p^{e^{T}} \frac{\partial K^{a}}{\partial p^{e}} \quad \text{and} \quad K^{c} = p^{e^{T}} \frac{\partial K^{c}}{\partial p^{e}}, \tag{4}
$$

where $\frac{\partial K^a}{\partial p^e}$ and $\frac{\partial K^c}{\partial p^e}$ are homogeneous of degree 0 in the p^e variables, and thus project to well-defined functions on the thermodynamic phase space $\mathbb{P}(T^*\hat{Q}^e)$. Furthermore, by definition of Hamiltonian vector fields, $\frac{\partial K^a}{\partial p^e}$ equals the autonomous drift dynamics, and $\frac{\partial K^c}{\partial p^e}$ the input-dependent dynamics, of the extensive variables $q^e \in T^*Q^e$. In view of the First and Second Law of thermodynamics this leads to the following additional requirements on the autonomous Hamiltonian function K^a , and to the following definition of natural outputs, which combined with the previous definition of homogeneous Hamiltonian control systems culminates in the definition of *port-thermodynamic systems*.

Definition 3. *[\[22](#page-10-0)]* Port-thermodynamic systems *are homogeneous Hamiltonian control systems* (L, K) *(as in Definition [2\)](#page-2-0) for which the set of extensive vari*ables contains a coordinate q_0^e corresponding to the total energy of the system

and q_1^e corresponding to the total entropy of the system, and the autonomous *Hamiltonian* K^a *satisfies the following additional conditions in relation to the homogeneous Lagrangian submanifold*

$$
\left. \frac{\partial K^a}{\partial p_0^e} \right|_{\mathcal{L}} = 0, \qquad \left. \frac{\partial K^a}{\partial p_1^e} \right|_{\mathcal{L}} \ge 0 \tag{5}
$$

Furthermore, the power-conjugate outputs of the thermodynamic system are defined by the row vector

$$
y_p = \left. \frac{\partial K^c}{\partial p_0^e} \right|_{\mathcal{L}} \tag{6}
$$

while the entropy flow-conjugate outputs are defined as the row vector

$$
y_e = \left. \frac{\partial K^c}{\partial p_1^e} \right|_{\mathcal{L}} \tag{7}
$$

The above definition of the outputs y_p and y_e of a port-thermodynamic system, together with the conditions [\(5\)](#page-4-0) , imply the following *balance laws* for the dynamics restricted to the invariant manifold \mathcal{L} :

$$
\frac{d}{dt}E = y_p u
$$
\n
$$
\frac{d}{dt}S \ge y_e u
$$
\n(8)

As an illustrative example we discuss the gas-piston-damper system. (Compare with the treatment of this example in a contact geometry setting in [\[5\]](#page-9-11).)

Example 1 (Actuated gas-piston-damper system). Consider extensive variables V (volume of the gas), π (momentum of the piston with mass m), entropy S and total energy E . The state properties of the system are described by the homogeneous Lagrangian submanifold $\mathcal L$ with generating function (in energy representation) $-p_E\left(U(V,S)+\frac{\pi^2}{2m}\right)$, where $U(V,S)$ is the internal energy of the gas (expressed as a function of volume and entropy):

$$
\mathcal{L} = \{ (V, \pi, S, E, p_V, p_\pi, p_S, p_E) \mid E = U(V, S) + \frac{\pi^2}{2m},
$$

\n
$$
p_V = -p_E \frac{\partial U}{\partial V}, p_\pi = -p_E \frac{\pi}{m}, p_S = -p_E \frac{\partial U}{\partial S} \}
$$
\n(9)

The dynamics of the system is defined by the homogeneous Hamiltonian

$$
K = K^{a} + K^{c}u
$$

= $\left[p_{V}A_{m}^{\pi} + p_{\pi} \left(-\frac{\partial U}{\partial V} - d_{m}^{\pi} \right) + p_{S} \frac{d(\frac{\pi}{m})^{2}}{\frac{\partial U}{\partial S}} \right] + \left(p_{\pi} + p_{E} \frac{\pi}{m} \right) u,$ (10)

where A is the area of the piston, and u the external force applied to the piston. The power-conjugate output $y_p = \frac{\pi}{m}$ is the velocity of the piston. The entropyconjugate output y_e is identically zero, implying $\frac{d}{dt}S \geq 0$ for every u.

2 System-Theoretic Properties of Port-Thermodynamic Systems

In the first part of this section we make some observations regarding the *passivity* properties of port-thermodynamic systems, while in the second part we study their *observability* in relation with the *controllability* properties as treated before in [\[22\]](#page-10-0).

2.1 Passivity Properties of Port-Thermodynamic systems

Throughout this subsection we will denote $q^e = (q, S, E)$, with E the energy, S the entropy (previously denoted by, respectively, q_0^e and q_1^e), and q the remaining extensive variables.

Following *passivity theory* [\[20](#page-10-5),[24\]](#page-10-6) the equations [\(8\)](#page-4-1) imply that port-thermodynamic systems restricted to their invariant Lagrangian submanifold $\mathcal L$ are *cyclo-lossless* with respect to the supply rate $y_p u$ and the storage function E (expressed as a function of the extensive variables (q, S)), and *cyclo-passive* with respect to the supply rate $-y_e u$ and the storage function $-S$ (expressed as a function of the extensive variables (q, E)). Here, 'cyclo' [\[20,](#page-10-5)[24\]](#page-10-6) refers to the fact that in general the storage function E need not be bounded from below, nor S is bounded from above.

Let us in particular concentrate on the dissipation inequality $\frac{d}{dt}(-S) \leq -y_e u$ corresponding to the Second Law of thermodynamics. If the entropy S happens to be *bounded from above*, and thus [−]^S is bounded from below, then the portthermodynamic system is truly *passive* with respect to the supply rate $-y_e u$. Furthermore, see [\[20,](#page-10-5)[24\]](#page-10-6), in this case the *available storage* given as

$$
V(q, E) := \sup_{\tau \ge 0, u: [0, \tau] \to \mathbb{R}^m} \int_0^{\tau} y_e(t) u(t) dt,
$$
\n(11)

where $y_e(t)$ is the output time-function corresponding to an input time-function $u(t)$ and initial condition (q, E) at time 0, is well-defined (i.e., finite for all (q, E)), while obviously $V \geq 0$. Furthermore, V is itself a storage function, and actually the *minimal* one among all other non-negative storage functions.

Interpreting minus the entropy as 'information', it follows that V as defined in [\(11\)](#page-5-0) can be interpreted as the *maximally extractable information* of the system, where $y_e(t)u(t)$ is the rate of extracted information from the system at time t.

Alternatively, $-V$ is *maximal* among all functions $S \leq 0$ satisfying the inequality

$$
\frac{d}{dt}S \ge y_e u \tag{12}
$$

In this sense, [−]^V can be interpreted as the *maximal entropy* function for the thermodynamic system. (Obviously, this relates to the question of identifying the entropy function from the external behavior of the system.)

However, as mentioned before, in general the entropy function S need not be bounded from above. A possible approach to resolve this problem, as already discussed in [\[25](#page-10-7)], is to consider an *exergy function*

$$
\mathcal{E}(q, S) := E(q, S) - T_0 S,\tag{13}
$$

with T_0 a constant temperature. Indeed, by combining the energy and entropy balance laws [\(8\)](#page-4-1) one obtains

$$
\frac{d}{dt}\left(E - T_0S\right) \le y_p u - T_0 y_e u = \left(y_p - T_0 y_e\right) u,\tag{14}
$$

showing that for every $T_0 \geq 0$ the system is cyclo-passive with respect to the output $y_p - T_0y_e$, with storage function $\mathcal{E}(q, S)$ given by [\(13\)](#page-6-0).

Furthermore, in monophase thermodynamic systems E is a *convex* function of S. This implies that under additional conditions the exergy function is actually bounded from below; in this case yielding true passivity. For example, if $E(q, S)$ only depends on S then convexity yields that for any constant S_0 the function

$$
A(S) := E(S) - E'(S_0)(S - S_0) - E(S_0)
$$
\n(15)

is non-negative. This function is known as the Bregman divergence in convex analysis, or availability function [\[10\]](#page-9-14) in thermodynamics. Denoting the temperature $T_0 := E'(S_0) \geq 0$ this function equals the exergy function [\(13\)](#page-6-0) modulo a constant, implying that the exergy is bounded from below.

2.2 Controllability and Observability Properties

First we recall from [\[22\]](#page-10-0), with some extensions, how the *controllability* properties of a port-thermodynamic system (\mathcal{L}, K) can be directly studied in terms of the homogeneous Hamiltonians K^a and K_j^c , $j = 1, \dots, m$, and their *Poisson brackets*. First note the following property proved in [\[22](#page-10-0)].

Proposition 3. Consider the Poisson bracket $\{h_1, h_2\}$ of functions h_1, h_2 on T^*Q^e defined with respect to the symplectic form $\omega = d\alpha$. Then

- (a) If h_1, h_2 are both homogeneous of degree 1 in p^e , then also $\{h_1, h_2\}$ is homo*geneous of degree* 1 *in* p^e*.*
- *(b)* If h_1 *is homogeneous of degree* 1 *in* p^e *, and* h_2 *is homogeneous of degree* 0 *in* p^e , then $\{h_1, h_2\}$ *is homogeneous of degree* 0 *in* p^e .
- *(c)* If h_1, h_2 are both homogeneous of degree 0 in p^e , then $\{h_1, h_2\}$ is zero.

In particular, Poisson brackets of the homogeneous (degree 1 in p^e) Hamiltonians K^a and K^c_j , $j = 1, \cdots, m$, are again homogeneous of degree 1 in p^e . Secondly, we recall the well-known correspondence [\[11\]](#page-9-2) between Poisson brackets of Hamiltonians h_1, h_2 , and Lie brackets of their corresponding Hamiltonian vector fields

$$
[X_{h_1}, X_{h_2}] = X_{\{h_1, h_2\}} \tag{16}
$$

In particular, this property implies that if the homogeneous Hamiltonians h_1, h_2 are zero on the homogeneous Lagrangian submanifold \mathcal{L} , and thus the homogeneous Hamiltonian vector fields X_{h_1}, X_{h_2} are tangent to \mathcal{L} , then also $[X_{h_1}, X_{h_2}]$ is tangent to \mathcal{L} , and therefore the Poisson bracket $\{h_1, h_2\}$ is also zero on \mathcal{L} . Furthermore, with respect to the projection to the corresponding Legendre submanifold L , we note the following property of homogeneous Hamiltonians

$$
\{\widehat{h_1, h_2}\} = \{\widehat{h}_1, \widehat{h}_2\} \tag{17}
$$

where \hat{h} is the contact Hamiltonian of the contact vector field obtained by projec-
tion of the Hamiltonian proton field Y compares which to a hamogeneous Hamiltonia tion of the Hamiltonian vector field X_h corresponding to a homogeneous Hamiltonian h. This leads to the following characterization of the *accessibility algebra* of a port-thermodynamic system characterizing controllability, cf. [\[22\]](#page-10-0).

Proposition 4. *Consider a port-thermodynamic system* (L, K) *on* $\mathbb{P}(T^*Q^e)$ $with~homogeneous~ K := K^a + \sum_{j=1}^m K_j^c u_j : T^*Q^e \rightarrow \mathbb{R}$, zero on \mathcal{L} . Consider *the algebra* P *(with respect to the Poisson bracket) generated by* K^a , K^c_j , $j =$ $1, \dots, m$, consisting of homogeneous functions that are zero on \mathcal{L} , and the cor*responding algebra* \widehat{P} *generated by* \widehat{K}^a , \widehat{K}^c_j , $j = 1, \dots, m$, *on the corresponding Legendre submanifold* L*. The accessibility algebra [\[18\]](#page-10-8) of the port-thermodynamic segendre submanifold L. The accessibility algebra [18] of the port-thermodynamic system is spanned by all contact vector fields* $X_{\hat{h}}$ on *L*, with \hat{h} in the algebra \hat{P} .

It follows that the thermodynamic system (L, K) is locally accessible [\[18](#page-10-8)] if *the dimension of the co-distribution* $d\hat{P}$ *on* L *defined by the differentials of* \hat{h} , *with* ^h *in the Poisson algebra* ^P*, is equal to the dimension of* ^L*. Conversely, if the system is locally accessible then the co-distribution* ^dP *on* ^L *has dimension equal to the dimension of* L *on an open and dense subset of* L*.*

Similar statements can be made with respect to local *strong* accessibility [\[18\]](#page-10-8) of port-thermodynamic systems. In this case the same conditions need to be satisfied for the algebra P_s , which is equal to P *minus* the drift Hamiltonian K^a . (Thus, possibly repeated, Poisson brackets with K^a are taken into account, but not the function K^a itself.)

With regard to *observability* we proceed as follows. First, let us consider the observability properties with respect to the *power-conjugate* output $y_p = \frac{\partial K^c}{\partial p_0^e}$ $\big|_{\mathcal{L}}$ as in [\(6\)](#page-4-2), with q_0^e and p_0^e corresponding to the energy variable E. Note that

$$
\frac{\partial K_j^c}{\partial p_0^e} = \{K_j^c, q_0^e\} \tag{18}
$$

Recall (cf. [\[18](#page-10-8)] for further information) the definition of the *observation space* O as given by the linear span of functions of the form

$$
\mathbb{L}_{X_1} \mathbb{L}_{X_2} \cdots \mathbb{L}_{X_k} \{ K_j^c, q_0^e \}, \quad j = 1, \cdots, m,
$$
\n(19)

with $X_i, i = 1, \dots, k$, taken from the set $\{X_{K^a}, X_{K^c_j}, j = 1, \dots, m\}$. Using the equality $\mathbb{L}_{X_h}K = \{h, K\}$ it follows that the observation space $\mathcal O$ of the port-thermodynamic system with power-conjugate outputs y_p is given by the linear span of all expressions

$$
\{h_1, \{h_2, \{\cdots, \{h_k, \{K_j^c, q_0^e\}\}\cdots\}\}\}, \quad j = 1, \cdots, m,
$$
 (20)

with $h_i, i = 1, \dots, k$, taken from the set $\{K^a, K^c_j, j = 1, \dots, m\}$.

Furthermore, since by [\(5\)](#page-4-0) $\{K^a, q_0^e\} = 0$ the following results.

Proposition 5. *The observation space* O *of a port-thermodynamic system* (\mathcal{L}, K) with power-conjugate outputs y_p is equal to the linear span of all functions

$$
\{h_1, \{h_2, \{\cdots, \{h_k, \{h_{k+1}, q_0^e\}\}\cdots\}\}\}, \quad j = 1, \cdots, m,
$$
 (21)

with h_i , $i = 1, \dots, k+1$, *taken from the set* $\{K^a, K^c_j, j = 1, \dots, m\}$.

Furthermore, analogously to [\[18,](#page-10-8) Proposition 3.8], O *is equal to the linear span of all functions* [\(21\)](#page-8-0) *with* h_i , $i = 1, \dots, k + 1$, *taken from the accessibility algebra* P*.*

Since the functions h_i in [\(21\)](#page-8-0) are all homogeneous of degree 1 in p^e , and clearly the function q_0^e is homogeneous of degree 0 in p^e , it follows by Proposition [3](#page-6-1) that all functions in $\mathcal O$ are homogeneous of degree 0, and therefore project to functions \widehat{h} on the thermodynamic phase space $\mathbb{P}(T^*Q^e)$. As a result, we obtain the following proposition.

Proposition 6. *Consider the thermodynamic system with power-conjugate out*puts y_p *. It is locally observable if* dim $d\hat{\mathcal{O}} = \dim L (=n+1)$ *, where* $\hat{\mathcal{O}}$ *is the set of all functions on* $L \subset \mathbb{P}(T^*Q^e)$ *obtained by projection of the functions in* \mathcal{O} *. Conversely, if the system is locally observable then* dim $d\hat{\mathcal{O}} = \dim L(= n + 1)$ *on an open and dense subset of* L*.*

Comparing Proposition 6 with Proposition 4 we notice a close relation between the two conditions. In fact, the situation is similar to the relation between controllability and observability of lossless port-Hamiltonian systems as discussed in [\[12\]](#page-9-15).

More or less the same story holds for the *entropy flow*-conjugate output $y_e = \frac{\partial K^c}{\partial p_1^e}$ as in [\(7\)](#page-4-3), with the difference that in this case $\{K^a, q_1^e\} \neq 0$, and hence in this case the observation space is slightly *smaller* than the linear span of all functions [\(21\)](#page-8-0), with q_0^e replaced by q_1^e .

3 Conclusion

In this paper we have considered homogenous Hamiltonian control systems, which are generated by Hamiltonian drift and control Hamiltonian functions that are all homogeneous of degree one in the momentum variables, and leave invariant a homogeneous Lagrangian submanifold representing the actual state space of the system. They represent open thermodynamic systems once additional

conditions are satisfied corresponding to the First and Second Law of thermodynamics, leading to the definition of *port-thermodynamic systems*. The 'symplectization' point of view also enables the definition of outputs which are conjugate in the sense of external energy or entropy flow, and leads to elegant results concerning controllability and observability. Furthermore, we have made some initial observations regarding the passivity properties of port-thermodynamic systems, and on the use of exergy functions; thus suggesting further research on passivity-based control of port-thermodynamic systems.

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