Chapter 4 Cardiac Resynchronization Therapy for Heart Failure in Patients Without Left Bundle Branch Block



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Abbreviations

6MWT	6-min walk test					
CARE-HF	Cardiac Resynchronization-Heart Failure					
COMPANION	Comparison of Medical Therapy, Pacing, and Defibrillation in					
	Heart Failure					
HF	Heart failure					
LV	Left ventricular					
LVEDD	Left ventricular end-diastolic dimension					
LVEF	Left ventricular ejection fraction					
LVESV	Left ventricular end-systolic volume					
MADIT-CRT	Multicenter Automatic Defibrillator Implantation Trial-Cardia					
	Resynchronization Therapy					
MIRACLE ICD	MulticenterInSyncRandomizedClinicalEvaluationImplantable					
	Cardioverter Defibrillator trial					
MIRACLE	Multicenter InSync Randomized Clinical Evaluation					
MR	Mitral regurgitation					
MUSTIC	Multisite Simulation in Cardiomyopathies					
NYHA	New York Heart Association					
PATH-CHF	Pacing Therapies in Congestive Heart Failure trial					
QOL	Quality-of-life score					
RAFT	Resynchronization-Defibrillation for Ambulatory Heart Failure Trial					
REVERSE	Resynchronization Reverses Remodeling in Systolic Left					
	Ventricular Dysfunction					
VO2	Volume of oxygen					

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Cardiac Resynchronization Therapy

Heart failure is a global epidemic associated with high morbidity and mortality. According to recent estimates, the prevalence of HF is over 5.8 million in the USA and more than 23 million worldwide with an expected increase over time [1]. The 5-year mortality of HF is about 50%, competing with those of many cancers. Healthcare utilization associated with the care of HF is significant and costly; inpatient and outpatient visits for HF account for more than 39 billion in the USA alone [1].

Implantation of a CRT system in HF patients provided a remarkable therapeutic alternative to reduce HF symptoms and improve outcomes in advanced HF patients [2–5]. CRT is a three-lead system that delivers electrical stimuli to the right atrium, right ventricle, and left ventricle to synchronize the dyssynchronous left ventricular (LV) activation in patients with conduction abnormalities and severely reduced LV function. It should not be forgotten that CRT has been developed initially to ail the failing heart commonly impaired by three primary components of dyssynchrony: (1) atrioventricular dyssynchrony, (2) interventricular dyssynchrony, and (3) intraventricular dyssynchrony. Implantation of CRT results in an immediate decrease of intra- and interventricular dyssynchrony, a decrease in mitral regurgitation, and an increase in LV contractility [6]. During follow-up, patients exhibit a significant reduction in LV end-diastolic volume (LVEDV) and LV end-systolic volume (LVESV), and improvement in LV ejection fraction (LVEF), a process described as LV reverse remodeling [7, 8]. LV reverse remodeling is the hallmark of CRT effectiveness, and it has been shown to be directly linked to improved clinical outcomes [9].

CRT alone or the combination of a CRT with an implantable cardioverter defibrillator (CRT-D) has been proven to reduce HF symptoms, improve functional capacity, and improve quality of life in HF patients with advanced HF symptoms (NYHA class III–IV), reduced LVEF \leq 35%, and a prolonged QRS duration (QRS \geq 120 ms) [4, 5, 10]. CRT has also been shown to significantly reduce the frequency of HF hospitalizations and improve survival [4, 5]. A meta-analysis of CRT trials in advanced HF showed an overall 29% risk reduction in all-cause mortality and a 38% risk reduction in mortality due to progressive HF [11].

The Multicenter Automatic Defibrillator Implantation Trial–Cardiac Resynchronization Therapy (MADIT-CRT), the Resynchronization-Defibrillation in Ambulatory Heart Failure Trial (RAFT), and Resynchronization Reverses Remodeling in Systolic Left Ventricular Dysfunction (REVERSE) trials have further broadened CRT indication to patients with mild HF, presenting with NYHA class I and II HF symptoms [12, 13]. Figure 4.1 shows the primary results of MADIT-CRT, demonstrating a 34% risk reduction in HF events or mortality. The subsequently published long-term follow-up of MADIT-CRT and REVERSE studies confirmed sustained benefit of CRT in mild HF patients with reduction in HF events and improved survival [14, 15].

Large, randomized controlled clinical trials on the effects of CRT or CRT-D to improve HF symptoms, functional capacity, and outcomes are listed below in



Fig. 4.1 Heart failure or death events in mild HF patients with CRT-D vs. ICD-only in MADIT-CRT

Table 4.1, including the respective frequencies of non-LBBB patients, when information was available. As it is evident from this table, the frequency of non-LBBB was often not reported or analyzed in the early CRT studies; these studies focused on the effects of CRT in wide QRS patients primarily presenting with LBBB. The first large randomized trials evaluating the effect of CRT on all-cause mortality, Cardiac Resynchronization-Heart Failure (CARE-HF) and Comparison of Medical Therapy, Pacing, and Defibrillation in Heart Failure (COMPANION), enrolled 6% and 29% of their patients with non-LBBB, respectively. The REVERSE study, on the other hand, enrolled 38% of patients with non-LBBB, a very high percentage, while MADIT-CRT enrolled 30% [16]. These trials also reported specific outcomes of patients with non-LBBB, allowing us to better understand differences in CRT benefit by baseline ECG morphology.

Pathophysiology of Non-LBBB

Electrical activation of the ventricles in patients with RBBB (non-LBBB) has been described by Fantoni et al. [17]. Patients with RBBB typically showed a single RV breakthrough site in the septum, as compared to LBBB with multiple breakthroughs. Following activation through the septal breakthrough site, activation then slowly spread toward the anterior region with the latest activated regions being the right

						Non-
		Primary end		LVEF	QRS	LBBB
Clinical trial	Patients (n)	points	Secondary end points	(%)	(ms)	(%)
MUSTIC-SR	58	6MWT	NYHA, QOL, peak VO2, MR, LV, hospitalizations, mortality	23±7	174	13%
MUSTIC-AF	64	6MWT	NYHA, QOL, peak VO2, hospitalizations, mortality	26±0	206	n.a.
PATH-CHF 2	41	6MWT, peak VO2	NHYA class, QOL, hospitalizations	21±7	175	n.a.
PATH-CHF-II (Europe)	86	6MWT, peak VO2	NHYA class, QOL, hospitalizations	21±7	175	n.a.
MIRACLE	453	6MWT, NHYA, QOL	Peak VO2, LVEF, LVEDD, MR, clinical response	22±6	166	n.a.
MIRACLE ICD	555	6MWT, NYHA, QOL	Peak VO2, LVEF, LV volumes, MR, clinical response	24±6	164	13%
COMPANION	1520	All-cause mortality or hospitalization	All-cause mortality and cardiac mortality	21	159	29%
CARE-HF	814	All-cause mortality	NYHA, QOL, LVEF, LVESV, hospitalization for heart failure	25	160	6%
REVERSE	610	HF clinical composite score	LVESVI	27±7	153	38%
MADIT-CRT	1820	HF or death	LVESV, LVEDV change, multiple HF events	24±5	162	30%
RAFT	1798	All-cause mortality or HF hospitalization	All-cause mortality, cardiac mortality, HF hospitalization	23±5	158	20%

Table 4.1 Randomized controlled trials of cardiac resynchronization therapy by LBBB

6MWT 6-min walk test, CARE-HF Cardiac Resynchronization-Heart Failure, COMPANION Comparison of Medical Therapy, Pacing, and Defibrillation in Heart Failure, HF heart failure, LV left ventricular, LVEDD left ventricular end-diastolic dimension, LVEF left ventricular ejection fraction, LVESV left ventricular end-systolic volume, MADIT-CRT Multicenter Automatic Defibrillator Implantation Trial–Cardiac Resynchronization Therapy, MIRACLE Multicenter InSync Randomized Clinical Evaluation, MIRACLE ICD Multicenter InSync Randomized Clinical Evaluation Implantable Cardioverter Defibrillator trial, MR mitral regurgitation, MUSTIC Multisite Simulation in Cardiomyopathies, NYHA New York Heart Association, PATH-CHF Pacing Therapies in Congestive Heart Failure trial, QOL quality-of-life score, RAFT Resynchronization Defibrillation for Ambulatory Heart Failure Trial, REVERSE Resynchronization Reverses Remodeling in Systolic Left Ventricular Dysfunction, VO2 volume of oxygen



Fig. 4.2 Electrical activation of the left and right ventricle in patients with right bundle branch block and left bundle branch block

lateral wall and the outflow tract. Transseptal activation time, activation time of the RV, and total activation time were significantly longer in RBBB group compared to LBBB. In both patients with RBBB and LBBB, LV activation spread slowly, from the septal or anterior breakthrough site toward apical and lateral regions, with the posterolateral basal region being the latest activated LV area in both groups, suggesting the rationale for CRT in both patients with LBBB and RBBB (non-LBBB); however RBBB patients presented with more severe manifestation of conduction disturbances (Fig. 4.2).

Electrocardiographic Parameters to Identify Response to CRT in Non-LBBB Patients

QRS Morphology and QRS Duration

QRS duration reflects ventricular activation time. Hence QRS prolongation has great utility in informing the clinician about electrical activation delay and about regionally delayed ventricular excitation. A LBBB ECG pattern in HF patients has been related to electromechanical ventricular dyssynchrony and subsequently promotes favorable CRT effects on the failing myocardium [18], although various definitions of LBBB were associated with differences in CRT outcomes [19]. In the absence of LBBB, wide QRS may be caused by right bundle branch block (RBBB), left anterior fascicular block (LAFB), or atypical patterns of ventricular conduction

delay that are frequently caused by localized myocardial scar. But in the absence of LBBB, the sole presence of ventricular conduction delay does not imply that the compromised ventricular electromechanical performance can be improved by atriobiventricular pacing.

While the beneficial effects of CRT have been widely accepted and CRT therapy had been incorporated in all major electrophysiology guidelines worldwide [20, 21], there have been several secondary analyses reporting a suboptimal response to CRT based on the underlying ECG pattern at baseline, before CRT implantation. Specifically, patients with a left bundle branch block (LBBB) ECG pattern before device implantation have been suggested to derive a significant benefit from CRT-D, while those with non-LBBB ECG pattern were shown to have either no benefit or even a potential exposure to harm [22]. In MADIT-CRT, patients with LBBB had a significant, 53% reduction in the risk of HF or death with CRT-D versus an ICD-only (Fig. 4.3), while non-LBBB patients had a nonsignificant, 24% higher rate of HF/death with CRT-D versus an ICD-only (Fig. 4.4).

These findings have been subsequently confirmed in the REVERSE trial which found an independent relationship between QRS duration and outcomes [23]. Data from RAFT also showed a link between QRS morphology, QRS duration, and outcomes in LBBB, and similarly to our study, they did not reveal any benefit in non-LBBB patients [24]. In alignment with these findings, the National Cardiovascular Database Registry (NCDR) ICD Registry sub-study assessing CRT outcomes by QRS morphology and QRS duration confirmed that LBBB patients had better outcomes with CRT-D as compared to non-LBBB [25]. On the other hand, Cleland et al. [26] performed an individual patient-level meta-analysis combining five



Fig. 4.3 Heart failure or death in patients with LBBB [22]



Fig. 4.4 Heart failure or death in patients with Non-LBBB [22]

randomized trials and concluded that QRS duration, but not QRS morphology, was a predictor of CRT outcomes.

In summary, QRS morphology and QRS duration appear to determine the treatment success of CRT, but prolonged QRS duration alone is questionable as a prerequisite for CRT. Accordingly, current guidelines [20] define a class I or class IIa indication for CRT in symptomatic HF patients with LBBB \geq 120 ms, but non-LBBB patients do not receive a class I indication and have a class IIa indication only at a QRS duration \geq 150 ms and a weaker class IIb indication at a QRS duration of 120–149 ms. HF patients with a *narrow QRS* complex <120 ms are not indicated for CRT regardless of ventricular dyssynchrony assessment, unless they require frequent ventricular pacing (>40%) to treat bradycardia [27].

QRS area assessed from the vectorcardiogram in patients with wide QRS reflects three-dimensional electrical force within the heart and has been shown to identify delayed LV lateral wall activation [28]. Therefore, QRS area has been proposed to prospectively identify CRT responders. Respective further studies to confirm this finding are under way.

Prolonged PR-Interval

A prolonged PR interval may result in atrioventricular dromopathy with compromised transmitral left ventricular filling and possible serious adverse clinical consequences [29]. A prolonged PR interval in patients without HF has been shown to be associated with an increased risk of atrial fibrillation [30], LV dysfunction, HF hospitalization, and all-cause mortality, as compared to normal PR interval [31]. This could be especially relevant in patients with established HF and conduction abnormalities, since a delay in atrioventricular conduction could further lower the cardiac output exacerbating HF symptoms [32]. Accordingly, the correction of AV coupling by CRT in HF patients with long PR interval can be hypothesized to improve the performance of the failing heart.

In line with this hypothesis, we have previously shown in a secondary analysis of MADIT-CRT that HF patients with non-LBBB ECG pattern and a prolonged PR-interval (PR \geq 230 ms) derived clinical benefit from CRT-D with a 32% absolute risk reduction in HF or death at 4 years as compared to ICD (Fig. 4.4) [32]. This corresponds to a 73% relative risk reduction in HF or death and a remarkable, 81% risk reduction in all-cause mortality in this subgroup. Non-LBBB patients with a normal PR interval <230 ms derived no clinical benefit. On the contrary, patients with non-LBBB and a normal PR interval had a nonsignificantly higher risk of HF or death and more than twofold increase in the risk of death with CRT-D when compared to an ICD-only (interaction p-value<0.001) [32] (Fig. 4.5).

Such a strong bidirectional interaction with CRT-D treatment suggests that in the absence of LBBB, correction of LV dyssynchrony might not be the principal mechanism of action by CRT. It is more likely that the restoration of the physiological atrioventricular (AV) conduction by shortening the PR interval (AV delay) plays a role in the benefit from CRT-D in this cohort.

These findings were subsequently confirmed in the MADIT-CRT long-term follow-up sub-study, demonstrating sustained benefit in this cohort for up to 7 years [33]. In this follow-up study, we have also established that the benefit of CRT-D in



Fig. 4.5 HF or death for non-LBBB and PR \geq 230 ms with CRT-D vs. ICD-only [32]

non-LBBB patients was uniformly seen for both patients with QRS <150 ms and QRS \geq 150 ms. Previous studies suggested similar association with a prolonged PR interval in more advanced HF patients [34], although more recent analyses from the NCDR ICD Registry challenged these findings in a retrospective cohort study using a matched control group instead of randomization or a prospective design [35]. Therefore, these findings remain an area of controversy at this point.

The pathophysiology of a prolonged PR interval in the presence of conduction abnormalities is depicted above in Fig. 4.6. In patients with an abnormally prolonged PR interval, atrial systole (A) occurs early in diastole, and therefore, it is superimposed on the early left ventricular filling phase (E). This results in the fusion of the diastolic E and A waves, a significantly shorter effective diastolic LV filling time, and a lower cardiac output. Occurrence of an early atrial systole uncouples the mitral valve closure from LV systole resulting in diastolic presystolic mitral regurgitation, and a decreased preload and forward stroke volume, further worsening LV function. Following CRT implantation, the shortening of the PR interval to normal ranges restores the physiologic AV sequence (right panel), completely abolishes E and A fusion, and reduces or eliminates diastolic presystolic mitral regurgitation.

The underlying concept for the benefit of physiologic, AV sequential pacing in HF patients with a prolonged PR interval is well known. Previously reported case series on right ventricular (RV) DDD pacing with shorter AV delay in HF patients and low ejection fraction in the 1990s reported an improvement in HF symptoms [36]. However, in a subsequent sub-study from the DAVID trial, outcome with DDD versus VVI pacing was similarly unfavorable in HF patients with low LVEF and a prolonged PR interval (>200 ms), suggesting that dyssynchronous RV pacing in HF patients potentially outweighs the benefit of the restoration of AV synchrony [37]. We are therefore proposing that in MADIT-CRT, the presence of LV pacing (CRT) by eliminating iatrogenic dyssynchronous RV pacing while shortening the AV delay could be responsible for the above seen beneficial effects. It has also been shown



Fig. 4.6 Pathophysiology in non-LBBB with prolonged PR interval (left panel) and normalization with CRT-D and shorter AV-delay (right panel) (Kutyifa and Stockburger 2013)

that patients with first degree AV block without a pacing indication are three times more likely to develop a need for pacing during follow-up [32]. This further signifies the need for a more physiological pacing modality in this cohort, such as LV pacing. Newer techniques, such as His bundle pacing, could also be considered in this cohort, and initial studies have shown acute hemodynamic benefit in this population [38]. A larger, randomized study in patients with non-LBBB and a prolonged PR-interval applying His bundle pacing vs. no pacing is currently underway (HOPE-HF, https://clinicaltrials.gov/ct2/show/NCT02671903).

Further Electrocardiographic Parameters

A prolonged P wave duration with delayed left atrial activation may attenuate the adverse effect of a long PR on left ventricular filling. From a practical standpoint, the appraisal of the pulsed wave transmitral Doppler flow pattern may be of additional value to establish (in case of short filling and E/A fusion, Fig. 4.7a) or to disaffirm (in case of preserved E/A separation, Fig. 4.7b) a CRT pacing indication based on first-degree AV block in HF patients. Guidelines suggest a possible pacing indication in patients with a PR of at least 300 ms.

Right ventricular (RV) pacing in patients with reduced left ventricular ejection fraction has been demonstrated to adversely affect clinical outcome [39, 40]. Biventricular pacing has been demonstrated to be superior to RV pacing in AV block and impaired ventricular function [41, 42]. *Second- or third-degree AV block* with an expected ventricular pacing rate of at least 40% therefore constitutes an accepted (class IIa) indication for CRT.



Fig. 4.7 Shortened transmitral left ventricular filling time with partial fusion of E and A waves in a patient with severe systolic heart failure, wide QRS, and long PR (panel **a**). Preserved separation of E and A waves in a patient with severe systolic heart failure, wide QRS, and normal PR interval (panel **b**)

Imaging Modalities to Identify Response to CRT in Non-LBBB Patients

It has been suggested that ventricular dyssynchrony measured during cardiac imaging could provide a mechanistically plausible and non ECG-based rationale for the application of cardiac resynchronization. Echocardiography is the most easily accessible imaging method and provides different possibly helpful variables mirroring dyssynchrony.

Two-dimensional echo (apical four-chamber view) in patients with LBBB frequently shows a typical apical left ventricular rocking movement (predominantly with counterclockwise orientation), in many patients combined with an initial septal deviation of the apex caused by early septal contraction ("septal flash"). The simple visually assessed apical rocking phenomenon has been found to predict reverse LV remodeling and a lower clinical event rate during follow-up in patients with HF and predominantly LBBB [43, 44]. The presence of apical rocking and a septal flash movement before CRT has been confirmed to predict response to CRT by a large multicenter registry [45]. However, information on the usefulness of these visual 2D echo-derived parameters in patients without LBBB is scarce.

Pulsed-wave Doppler echocardiography also adds predictive information while reliably reflecting left ventricular pre-ejection period (LVPEP) and right ventricular pre-ejection period (RVPEP) [46]. LVPEP and RVPEP are calculated as the time elapsed from QRS onset to the beginning of transaortic and transpulmonary PW Doppler flow, respectively. The interventricular mechanical delay (IVMD) is defined by the difference of LVPEP and RVPEP (Fig. 4.8).

LVPEP can be seen as a measure of global LV electromechanical performance. Baseline LVPEP prolongation of at least 140 ms and an IVMD of 40 ms or more have been shown to predict CRT response in HF patients with LBBB with high sensitivity, but limited specificity [17]. The predictive value of these parameters to predict CRT effectiveness in patients with non-LBBB HF has also been demonstrated [47]. Considering these results, Doppler echo parameters of ventricular dyssynchrony may contribute to patient-centered decision-making in the presence of HF accompanied by non-LBBB wide QRS. In addition, Doppler-derived characterization of transmitral LV inflow and atrioventricular coupling helps to anticipate possible benefit from CRT to correct the sequelae of a long PR interval.

Tissue Doppler imaging (TDI) delineates the velocity and timing of the regional myocardial wall motion in the left ventricular wall segments. Patients with LBBB usually exhibit a visually considerably dyssynchronous regional LV TDI pattern (Fig. 4.9), but numerical measures of TDI dyssynchrony were poorly reproducible and failed to identify CRT response in the Predictors of Response to CRT (PROSPECT) trial [48]. Similarly, this is true for non-LBBB.

Hence TDI-derived parameters may illustrate LV dyssynchrony, but cannot guide the decision whether to implant a CRT device in a patient with HF, but without LBBB. TDI is not able to discriminate regional myocardial contraction from passive wall motion of a scarred segment.



Fig. 4.8 Pulsed-wave Doppler representation of pulmonary valve and transaortic valve flow with indication of left ventricular pre-ejection period (LVPEP), right ventricular pre-ejection period (RVPEP), and interventricular mechanical delay (IVMD) from a healthy individual (panel **a**) and a patient with severe systolic heart failure and QRS prolongation (panel **b**)



Fig. 4.9 Tissue Doppler velocity tracings with representation of basal septal and basal lateral left ventricular wall segments from a healthy individual (panel **a**) and a patient with severe systolic heart failure and QRS prolongation (panel **b**). *AVO* aortic valve opening, *AVC* aortic valve closure



Fig. 4.10 Regional left ventricular deformation pattern assessed by two-dimensional strain imaging (speckle tracking) from a healthy individual (panel **a**) and a patient with severe systolic heart failure and QRS prolongation (panel **b**). *AVC* aortic valve closure

This methodological disadvantage is eliminated by myocardial deformation imaging modalities. Such method is two-dimensional strain echocardiography (speckle tracking). Initially, characterization of time dispersion of peak regional LV myocardial shortening (Fig. 4.10) by two-dimensional strain echocardiography (speckle tracking) showed encouraging results [49], and a derived index appeared to accurately and prospectively separate responders from nonresponders to CRT in patients with a wide QRS and heart failure. These findings were paralleled by a MADIT-CRT sub-analysis that found improving dyssynchrony and increasing global longitudinal strain to be correlated with favorable LV reverse remodeling and fewer adverse clinical events [21]. The subsequent ECHO-CRT study however did not find benefit from CRT-D versus an ICD in patients with HF, normal QRS width, and ventricular dyssynchrony derived from TDI or speckle tracking. Thus we can conclude that myocardial deformation imaging by speckle tracking can be useful to identify future CRT responders among patients with HF and a wide QRS (LBBB and non-LBBB), but probably much less so in those with normal QRS duration.

Cardiac magnetic resonance imaging (cMRI) is a promising new imaging modality that can also provide information on delayed LV ejection and abnormal apical and septal LV movement in LBBB [50] and, in addition, allows evaluating cardiac myocardial deformation [51]. However, all of these parameters can be more easily be obtained by echocardiography with sufficient reliability. The cMRI has however the most important role to localize and quantify myocardial scar, and the amount and distribution of scar may predict ventricular arrhythmias. In addition, LV pacing in scar areas should be avoided, since this could potentially contribute to ventricular arrhythmia events [52]. Therefore, cMRI can inform decision-making before CRT implantation, and it could also potentially guide LV lead placement in both patients with LBBB and non-LBBB. Image-guided CRT implantation has been shown to improve CRT outcomes in multiple trials and in meta-analysis [53]. However, it is not currently applied in standard clinical practice probably due to its time-consuming nature and its need to form multidisciplinary teams. However, further studies are warranted in this field.

Conclusions

In summary, cardiac resynchronization therapy in patients with non-LBBB has been shown to improve outcomes to a lesser degree than in patients with LBBB before CRT implantation. Additional ECG parameters, such as PR interval, QRS area, as well as imaging techniques to identify dyssynchrony, and the latest activated left ventricular segment, could be potentially relevant in this cohort to increase response rate. Alternative pacing techniques, such as His bundle pacing, are emerging to provide physiologic pacing in this high-risk population. Further studies are nevertheless warranted to better understand the pathomechanism of cardiomyopathies in patients with HF and non-LBBB, to evaluate the role of current and new treatment modalities with or without CRT, and to further improve outcomes.

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