Numerical Investigation of Effective Moduli of Porous Elastic Material with Surface Stresses for Various Structures of Porous Cells

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Abstract The chapter deals with the model problem of finding the effective moduli of a nanoporous elastic material, in which the surface stresses are defined on the pore surface to reflect the size effect using the Gurtin–Murdoch model. One cell of a porous material in the form of a cube with one pore located in the center is considered. The objective of the study is to assess the influence of the pore shape and the magnitude of the scale factors on the effective moduli of the composite material. The homogenization problem is formulated within the framework of the effective moduli method, and to find its solution, the finite element method and the ANSYS software package are used. In the finite element model, the surface stresses are taken into account by membrane elements covering the pore surfaces and conformable with the finite element mesh of bulk elements. Numerical experiments carried out for pores of cubic and spherical shapes show the cumulative significant effect of pore geometry and scale factors on the effective elastic moduli.

1 Introduction

The problems of nanomechanics remain extremely relevant for the last few years. Numerous studies have revealed a scale effect, which consists in changing the effective stiffness and other material moduli for nanoscale bodies in comparison with the corresponding values for bodies of usual macro-dimensions. A number of theories have been developed to explain the scale factor. One of these widely used theories is the model of surface elasticity. There are a number of reviews [\[10,](#page-9-0) [16](#page-10-0), [35](#page-11-0), [36\]](#page-11-1) devoted to research on the surface theory of elasticity and its applications. In turn, among the theories of surface elasticity, the most popular is the Gurtin–Murdoch

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model [\[15\]](#page-10-1). The use of this model actually leads to the fact that the boundaries of the nano-sized body are covered with elastic membranes, the internal forces in which are determined by surface stresses. Elastic membranes can be placed at the interphase boundaries inside the body with nanoscale inclusions, which makes it possible to simulate imperfect interface boundaries with stress jumps [\[3](#page-9-1)[–5,](#page-9-2) [7,](#page-9-3) [8](#page-9-4), [14](#page-10-2), [24\]](#page-10-3).

The Gurtin–Murdoch model was repeatedly used to describe elastic nanostructured composites. Thus, in $[1, 2, 6-9, 11, 22, 23, 31]$ $[1, 2, 6-9, 11, 22, 23, 31]$ $[1, 2, 6-9, 11, 22, 23, 31]$ $[1, 2, 6-9, 11, 22, 23, 31]$ $[1, 2, 6-9, 11, 22, 23, 31]$ $[1, 2, 6-9, 11, 22, 23, 31]$ $[1, 2, 6-9, 11, 22, 23, 31]$ $[1, 2, 6-9, 11, 22, 23, 31]$ $[1, 2, 6-9, 11, 22, 23, 31]$ $[1, 2, 6-9, 11, 22, 23, 31]$ $[1, 2, 6-9, 11, 22, 23, 31]$ $[1, 2, 6-9, 11, 22, 23, 31]$ $[1, 2, 6-9, 11, 22, 23, 31]$ $[1, 2, 6-9, 11, 22, 23, 31]$ and others, within the framework of the theory of elasticity with surface stresses, the mechanical properties of composites with spherical nanoinclusions (nanopores), as well as fibrous and other nanocomposites, were investigated. Techniques of finite element approximation for elastic materials with surface effects and examples of calculations are presented in [\[12,](#page-10-7) [13,](#page-10-8) [17](#page-10-9)[–21](#page-10-10), [26](#page-10-11)[–28,](#page-10-12) [32,](#page-10-13) [34](#page-11-2)] and others.

In this paper, we study the effective stiffness properties of a nanoporous isotropic elastic material for various forms of pores. Porous material is considered as a limiting case of a two-phase mixed composite, when the material of inclusions has negligibly small stiffness moduli. The nano-dimensionality at the boundaries of the material with pores was taken into account using the Gurtin–Murdoch surface stress model. This paper is a continuation of research [\[26](#page-10-11)[–30\]](#page-10-14). In the development of the abovementioned paper, the scale factor is associated with the pore size and the effect of pore shape on the effective composite properties is studied.

2 Mathematical Problem Statement

Let Ω be a unit cubic cell of elastic porous material with one pore of cubic or spherical form; *a* is the cubic cell side; $\Omega = \Omega^{(1)} \cup \Omega^{(2)}$; $\Omega^{(1)}$ is the part of Ω with main elastic material; $\Omega^{(2)}$ is the pore; $\Gamma = \partial \Omega$ is the external boundary of the cell; $\Gamma^s = \partial \Omega^{(2)}$ is the boundary of the pore; n_k are the components of the unit normal vector external with respect to the volume of the main elastic material $\Omega^{(1)}$.

The unit cell Ω is linked to the Cartesian coordinate system $Ox_1x_2x_3$ so that it occupies the region $|x_k| \le a/2$. Then, in the case of a cubic pore with side $b (b < a)$, the domain $\Omega^{(2)}$ will be defined by the inequalities $|x_k| \le b/2$, and in the case of a spherical pore with radius *R*, the domain $\Omega^{(2)}$ is given by the inequality $r \leq R$, $r = \sqrt{x_1^2 + x_2^2 + x_3^2}.$

We will assume that in the volume Ω the system of equations of the static theory of elasticity is satisfied with respect to the components $u_k = u_k(\mathbf{x})$ of the displacement vector

$$
\partial \sigma_{ij}/\partial x_j = 0, \quad \sigma_{ij} = \lambda \varepsilon_{kk} \delta_{ij} + 2\mu \varepsilon_{ij}, \quad \varepsilon_{ij} = (\partial u_i/\partial x_j + \partial u_j/\partial x_i)/2, \quad (1)
$$

where σ_{ij} and ε_{ij} are the components of the stress and strain tensors, respectively; λ , μ are the Lame's coefficients; δ_{ij} is the Kronecker symbol.

Here we consider the pore as an elastic material with negligible Lame's coeffi-cients. Thus, the system of equations [\(1\)](#page-1-0) is satisfied in Ω , with $\lambda = \lambda^{(m)}$, $\mu = \mu^{(m)}$ for $\mathbf{x} \in \Omega^{(m)}$; $\lambda^{(2)} \ll \lambda^{(1)}$, $\mu^{(2)} \ll \mu^{(1)}$.

We will accept that the pore $\Omega^{(2)}$ is nanosized and in accordance with the Gurtin– Murdoch model, at its boundary Γ^s the surface stresses σ_{ij}^s exist, and the following equations hold

$$
n_j[\sigma_{ij}] = \partial^s \sigma_{ij}^s / \partial x_j, \qquad \mathbf{x} \in \Gamma^s,
$$
 (2)

$$
\sigma_{ij}^s = \lambda^s \varepsilon_{kk}^s \delta_{ij} + 2\mu^s \varepsilon_{ij}^s, \quad \varepsilon_{ij}^s = (\partial^s u_i^s / \partial x_j + \partial^s u_j^s / \partial x_i) / 2,\tag{3}
$$

$$
\partial^{s}/\partial x_{j} = \partial/\partial x_{j} - n_{j}(n_{k}\partial/\partial x_{k}), \quad u_{i}^{s} = (\delta_{ik} - n_{i}n_{k})u_{k}, \tag{4}
$$

where $[\sigma_{ij}] = \sigma_{ij}^{(1)} - \sigma_{ij}^{(2)}$ is the stress jump over the boundary Γ^s between the volumes with different materials; ∂*^s* /∂*xj* are the components of the surface nablaoperator; λ^s , μ^s are the surface Lame's coefficients; ε^s_{ij} are the surface strains; u^s_i are the surface displacements.

In the rectangular local coordinate system, attached with tangent orts $\tilde{\mathbf{e}}_1 = \mathbf{\tau}_1$, $\tilde{\mathbf{e}}_2 = \mathbf{r}_2$ and normal $\tilde{\mathbf{e}}_3 = \mathbf{n}$, the sets of the values \tilde{u}_i^s , $\tilde{\varepsilon}_{ij}^s$, $\tilde{\sigma}_{ij}^s$ are pertaining to surface, i.e. $\tilde{u}_3^s = 0$, $\tilde{\varepsilon}_{13}^s = \tilde{\varepsilon}_{23}^s = \tilde{\varepsilon}_{33}^s = 0$, $\tilde{\sigma}_{13}^s = \tilde{\sigma}_{23}^s = \tilde{\sigma}_{33}^s = 0$.

Note that as we can see from Eq. [\(1\)](#page-1-0) the surface stresses σ_{ij}^s have the dimensionality (N/m) different from the dimensionality (N/m²) of usual bulk stresses σ_{ii} . Also the surface Lame's coefficients λ^s , μ^s have the dimensionality (N/m) different from the dimensionality (N/m^2) of usual bulk Lame's coefficients λ , μ .

When calculating the effective moduli of a porous elastic material with surface stresses, we will find the basic moduli that are important for practical applications. As is well known, for elastic isotropic materials such moduli are the stiffness moduli *c*₁₁, *c*₁₂, the Young's modulus *E*, the Poisson's ratio *v*, the shear modulus $G = \mu =$ *c*44, and the bulk modulus *K*. These moduli can be expressed through the Lame's coefficients by the following formulae

$$
c_{11} = \lambda + 2\mu, \quad c_{12} = \lambda, \quad E = \frac{\mu(3\lambda + 2\mu)}{\lambda + \mu}, \quad \nu = \frac{\lambda}{2(\lambda + \mu)}, \quad K = \lambda + \frac{2}{3}\mu, \tag{5}
$$

or through the Young's modulus and the Poisson's ratio

$$
\lambda = \frac{\nu E}{(1+\nu)(1-2\nu)}, \quad \mu = \frac{E}{2(1+\nu)}, \quad K = \frac{E}{3(1-2\nu)}.
$$
 (6)

Similarly, instead of the surface Lame's coefficients λ^s and μ^s we can use other surface moduli. For example, we can introduce the surface Young's modulus *E^s* and the surface Poisson's ratio ν*^s* having expressed from the first formula [\(3\)](#page-2-0) the surface strains ε_{ij}^s through the surface stresses σ_{ij}^s in a form similar to the standard Hooks's law for the three-dimensional theory of elasticity

$$
\varepsilon_{ij}^s = -\frac{\nu^s}{E^s} \sigma_{kk}^s \delta_{ij} + \frac{(1+\nu^s)}{E^s} \sigma_{ij}^s. \tag{7}
$$

Since in (3) and in (7) the surface quantities are related, the expressions for the surface moduli will differ from (5) , (6) [\[19\]](#page-10-15)

$$
c_{11}^s = \lambda^s + 2\mu^s, \ c_{12}^s = \lambda^s, \ E^s = \frac{4\mu^s(\lambda^s + \mu^s)}{\lambda^s + 2\mu^s}, \ \nu^s = \frac{\lambda^s}{\lambda^s + 2\mu^s}, \ K^s = \lambda^s + \mu^s,
$$
\n(8)

$$
\lambda^s = \frac{v^s E^s}{1 - (v^s)^2}, \quad \mu^s = \frac{E^s}{2(1 + v^s)}, \quad K^s = \frac{E^s}{2(1 - v^s)}.
$$
 (9)

Here, in (8) , (9) we define the surface compression modulus K^s in the form corresponding to [\[1,](#page-9-5) [19](#page-10-15), [24](#page-10-3)] and differ from [\[6](#page-9-7)[–8](#page-9-4)] and others.

Thus, a nanoporous composite with surface stresses on the pore boundaries is characterized by four elastic moduli, for example, $E^{(1)}$, $v^{(1)}$, E^s and v^s ($E^{(2)} \approx 0$). We will assume that the "equivalent" homogeneous material will be isotropic and will be characterizes by two independent moduli, for example, by c_{11}^{eff} and c_{12}^{eff} . In order to find these effective moduli, it is enough to solve the problem [\(1\)](#page-1-0)–[\(4\)](#page-2-3) in the unit cell Ω with the boundary conditions

$$
u_1 = \varepsilon_0 x_1, \quad u_2 = u_3 = 0, \quad \mathbf{x} \in \Gamma,
$$
 (10)

where $\varepsilon_0 = \text{const.}$

After solving the problem (1) – (4) , (10) similar to $[26–29]$ $[26–29]$ $[26–29]$, we can calculate the effective stiffness moduli by using the formulae

$$
c_{11}^{\text{eff}} = \langle \sigma_{11} \rangle / \varepsilon_0, \quad c_{12}^{\text{eff}} = \langle \sigma_{22} \rangle / \varepsilon_0, \tag{11}
$$

where the angle brackets $\langle \bullet \rangle$ denote the averaged integral volume and interface values [\[3,](#page-9-1) [4](#page-9-10), [18](#page-10-17), [19\]](#page-10-15)

$$
\langle (\bullet) \rangle = \frac{1}{|\Omega|} \Big(\int_{\Omega} (\bullet) \, d\Omega + \int_{\Gamma^s} (\bullet)^s \, d\Gamma \Big). \tag{12}
$$

We can check that the homogenized material will be isotropic, if for the solution of the problem [\(1\)](#page-1-0)–[\(4\)](#page-2-3), [\(10\)](#page-3-3) we verify that $c_{12}^{\text{eff}} \approx \langle \sigma_{33} \rangle / \varepsilon_0$; $\langle \sigma_{jk} \rangle \approx 0$, $j \neq k$. For additional control we can solve the shear problem (1) – (4) with boundary conditions: $u_1 = 0$, $u_2 = \varepsilon_0 x_3/2$, $u_3 = \varepsilon_0 x_2/2$, $\mathbf{x} \in \Gamma$. From the solution of this problem we can anew calculate the shear modulus $c_{44}^{\text{eff}} = \frac{\langle \sigma_{23} \rangle}{\epsilon_0}$, and this modulus should be approximately equal to $c_{44}^{\text{eff}} \approx (c_{11}^{\text{eff}} - c_{12}^{\text{eff}})/2$, where the stiffness moduli c_{11}^{eff} and c_{12}^{eff} are found from the solution of the problem [\(1\)](#page-1-0)–[\(4\)](#page-2-3), [\(10\)](#page-3-3).

In conclusion of this section, we note that the model of the pore as an elastic material gives some error, but since $\lambda^{(2)} \approx 0$, $\mu^{(2)} \approx 0$, we should expect that the stress components in the pore region will also be small $\sigma_{ij}^{(2)} \approx 0$.

3 Finite Element Results and Discussion

The boundary problems (1) – (4) , (10) were solved numerically in the ANSYS finite element package.

By virtue of the problem symmetry and for the convenience of analyzing the fields inside the volume, a quarter of the cell ${-a \le x_1 \le a, 0 \le x_2 \le a, 0 \le x_3 \le a}$ was considered with symmetry conditions on the faces $x_2 = 0$, $x_3 = 0$. Inside the cell, as a pore either a quarter cube ${-b \le x_1 \le b, 0 \le x_2 \le b, 0 \le x_3 \le b}$ (case 1), or a quarter ball ${r \le R, 0 \le x_2, 0 \le x_3}$ (case 2) were set. The 10-node tetrahedral structural SOLID92 elements were used as volumetric elements. The presence of surface stresses was modelled with 8-node SHELL281 elements with the option of membrane stresses and with degenerate triangular 6-node shapes. The shell elements were covered the inner boundary of the pore and were located on the triangular faces of the corresponding bulk tetrahedral elements, which ensured the conformality of the finite element mesh consisting of bulk and shell elements.

The grid of bulk finite elements was created in ANSYS with a limit on the maximum size of elements equal to $\hat{a}/10$, where \hat{a} is the dimensionless cell size. Bulk elements inherit the material properties of the main elastic material and pore associated with a quarter of the volumes $\Omega^{(1)}$ and $\Omega^{(2)}$. Then, shell finite elements were created automatically on the elements faces located on the inner surface of the pore. Variants of the constructed finite element meshes without elements with the material properties of pores are shown in Fig. [1](#page-5-0) for the case of cubic pores (*a*) and spherical pores (*b*). Shell elements in Fig. [1](#page-5-0) highlighted in a darker, and porosity *p* is the same and equal to 40%.

We considered steel as the main material with the following elastic moduli: $E^{(1)} =$ $2 \cdot 10^{11}$ (N/m²), $v^{(1)} = 0.3$. In the pore volume, we set negligible stiffness moduli using the formulae: $E^{(2)} = \kappa E^{(1)}$, $\kappa = 10^{-10}$, $v^{(2)} = v^{(1)}$.

For surface moduli we accept the following formulae: $E^s = d^s E^{(1)}$, $v^s = v^{(1)}$, $d^s = 10⁻¹⁰$ (m). Note that, unfortunately, so far there are very few data on surface moduli, and they are quite contradictory. Therefore, in a large number of theoretical papers, the same values of surface moduli from [\[25](#page-10-18), [33\]](#page-11-3) are used, which greatly differ in different crystallographic planes and some are negative. In this regard, here we use the model values of the surface moduli.

Analogously to [\[26,](#page-10-11) [27\]](#page-10-19), we model in ANSYS the surface effects by using shall finite elements with the membrane stress option. For these elements we specify the Young's modulus *E^m*, the Poisson's ratio ν*^m* and thickness *hm*. In order for the membrane element can be used as a surface element, we must accept: $E^s = h^m E^m$, $v^s = v^m$ [\[26,](#page-10-11) [27\]](#page-10-19). We also assume that $h^m = b$, $E^m = k^sE$, where k^s is a dimensionless coefficient. Then, $b = d^s / k^s$, and therefore the coefficient k^s is inversely proportional to the size *b* of cubic pore.

In the calculations, we determined the pore size as dimensionless, with the side of cubic pore *b* being equal to 1. We set the dimensionless radius $\ddot{R} = (3\pi/4)^{1/3}$ so that the volume of the spherical pore is equal to the volume of the cubic pore. The

Fig. 1 Finite element mesh for two cases of quarter unit cell without pore elements

dimensionless cell size \hat{a} for both cases was determined depending on the specified percentage of porosity *p*: $\hat{a} = (p/100)^{-1/3}\hat{b} = (p/100)^{-1/3}$.

We analysed the influence of the pore forms, the percentage of porosity and the surface stresses on the effective moduli. We varied the percentage of porosity *p* from 0 to 50%, the multiplier value for surface stresses k^s , and the pore form (cube or sphere).

The results of the calculations are presented in Figs. [2,](#page-6-0) [3,](#page-6-1) [4,](#page-6-2) [5,](#page-7-0) [6](#page-7-1) and [7.](#page-8-0) Here $r(\ldots)$ designates the relative value of the effective modulus, with respect to the value of the corresponding modulus for main elastic material without pore. Thus, $r(c_{11}) = c_{11}^{\text{eff}}/c_{11}^{(1)}$, where c_{11}^{eff} is the effective stiffness modulus for the porous material with or without interface stresses, $c_{11}^{(1)}$ is the value of the corresponding stiffness modulus for the dense main material, and so on. The curves 1 correspond to the case of porous material without surface stresses, when $k^s = 0$; the curves 2 correspond to the case of porous material with small surface stresses, when $k^s = 0.1$; the curves 3 correspond to the case of porous material with large enough surface stresses, when $k^s = 0.5$, and the curves 4 correspond to the case of porous material with very large surface stresses, when $k^s = 1$. Figures [2,](#page-6-0) [3,](#page-6-1) [4,](#page-6-2) [5,](#page-7-0) [6](#page-7-1) and [7](#page-8-0) on the left (*a*) show graphs for the case of a cubic pore, and on the right (*b*) show similar curves for the case of a spherical pore.

Figures [2,](#page-6-0) [3,](#page-6-1) [4,](#page-6-2) [5,](#page-7-0) [6](#page-7-1) and [7](#page-8-0) demonstrate an essential dependence of the effective moduli, both on the pore shape and on the coefficient of surface stresses *k^s* . These dependencies also differ by module type. So, for moduli characterizing uniaxial tension, shear and transversal deformations, these dependences are different.

Fig. 2 Dependencies of the relative effective Young's modulus *E* versus porosity

Fig. 3 Dependencies of the relative effective shear modulus *G* versus porosity

Fig. 4 Dependencies of the relative effective stiffness modulus c_{11} versus porosity

Fig. 5 Dependencies of the relative effective stiffness modulus c_{12} versus porosity

Fig. 6 Dependencies of the relative effective bulk modulus *K* versus porosity

In the absence of surface stresses (curves 1), all moduli decrease with increasing porosity, and the shapes of the pores have a certain effect, though it is not so extensive. The presence of surface stresses radically changes the pattern of dependencies. All moduli can be subdivided into two groups, in which the dependencies of the moduli on porosity and on the shape of the pores are most similar to each other. The first group includes the moduli characterizing uniaxial tension: the Young's modulus*E*, the shear modulus G , and the stiffness modulus c_{11} (Figs. [2,](#page-6-0) [3](#page-6-1) and [4\)](#page-6-2). The second group includes the moduli characterizing transverse deformations and uniform compression: the stiffness modulus c_{12} , the bulk modulus *K*, and the Poisson's ratio *v* (Figs. [5,](#page-7-0) [6](#page-7-1)) and [7\)](#page-8-0).

For very large coefficients *k^s* for a cubic pore, as the porosity increases, the Young's modulus *E* and shear modulus *G* grow faster than in the case of a spherical pore. On the contrary, the stiffness modulus c_{11} for large k^s grows faster for a spherical pore.

Meanwhile, for the stiffness modulus c_{12} , the bulk modulus K , and the Poisson's ratio ν, these behaviours differ significantly from porosity. For all used coefficients *ks* , these moduli decrease with increasing porosity for a cubic pore. However, for a spherical pore, these moduli increase for large k^s (curves 4). In this case, the most

Fig. 7 Dependencies of the relative effective Poisson's ratio ν versus porosity

Fig. 8 Stresses σ_{22} in membrane elements for cubic pore (**a**) and for spherical pore (**b**)

interesting is the behaviour of the Poisson coefficient ν , which for a cubic pore not only decreases with increasing porosity, but this decrease becomes stronger with increasing *k^s* , which differs from the corresponding behavior of the stiffness modulus *c*¹² and the bulk modulus *K*.

Such differences can be explained by the fact that for a cubic pore under extension along the x_1 axis, the stresses σ_{22} (and similarly for σ_{33}) in membrane elements change sign, and on edges perpendicular to the axis x_2 do not occur. In the case of a spherical pore, membrane elements are located on curved surfaces. Therefore, with uniaxial tension in membrane elements, the various stress components arise, and the main stresses σ_{11} on a curved surface significantly affect to the stresses σ_{22} and σ_{33} . As can be seen from Fig. [8,](#page-8-1) the stresses σ_{22} in membrane elements on the sphere surface do not change sign, and their maximum values are almost five times larger than for a cubic pore. Since the stiffness modulus c_{12} according to [\(11\)](#page-3-4), [\(12\)](#page-3-5) is calculated by integrating the stresses σ_{22} both by volume elements and surface elements, then it is clear that the surface stresses for a spherical pore increase the modulus c_{12} significantly more than for a cubic pore.

Similar reasoning is true for the bulk modulus *K*, and it is obvious that the sphereshaped pore reinforced over the surface gives a more rigid structure for a full compression in the composite than the cubic pore reinforced over the surface.

Thus, summarizing the above, we can conclude that the shape of the pores has a significant influence on the effective moduli of the porous material, especially when taking into account surface stresses for nanoscale pores.

Finally, we can note the well-known fact that for a nanoporous material its effective moduli may be larger than for a solid material. As can be seen in Figs. [2,](#page-6-0) [3,](#page-6-1) [4,](#page-6-2) [5,](#page-7-0) [6](#page-7-1) and [7,](#page-8-0) these situations occur when the values of the relative effective moduli are greater than 1 (i.e. the curves 3 or 4 turn out to be above the dashed line $r(\ldots) = 1$). An explanation of this can be found in many papers [\[9](#page-9-8)[–11,](#page-9-9) [26](#page-10-11), [27](#page-10-19)] and therefore is not repeated here.

Further studies can be aimed at solving the problems with periodic boundary conditions, with representative volumes with a large number of pores of different shapes and with the definition of surface moduli by using different formulae that was accepted in this paper.

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