# Towards a Learning System for University Campuses as Living Labs for Sustainability



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Abstract Universities, due to their sizeable estates and populations of staff and students, as well as their connections with, and impact within, their local and wider communities, have significant environmental, social and economic impacts. There is a strong movement for universities to become leaders in driving society towards a more sustainable future, through improving the sustainability of the built environment and the universities' practices and operations, and through their educational, research and wider community engagement missions. Around the globe the concept of 'Living Labs' has emerged as an instrument to integrate these different aspects to deliver sustainability improvements, through engaging multiple stakeholders in all of these areas, and through the co-creation of projects to improve the sustainability of the campus environment and operations, and to link these to the education, research, and wider community missions of the institution. This chapter describes a living, shared framework and methodology, the 'Campus as Living Lab' learning system, created through global participatory workshops and Living Lab literature, aimed at supporting universities and their Sustainability (Coordinating) Offices in the development and monitoring of Living Lab projects. The framework includes seven categories of supportive data collection and three levels of details to meet different requirements of potential users. The Living Lab framework presented in this chapter, aims to create value and help universities maximise the benefit of

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Living Lab projects within an institution, support monitoring, reflection and learning from projects, and facilitate communication with stakeholders, and the sharing of practices and learning between peers across the globe. As a living shared, framework and learning system, the framework will adapt and develop over time and within different contexts. To provide feedback and fast (practical) learning from users, the system will be further developed to facilitate transparent peer reviewing.

Keywords Living labs · Co-creation · University sustainability

#### 1 Introduction

There is increasing emphasis on the need for universities, or more generally institutions of higher education (HEI) around the world to play an increasingly important and critical role in society's movement towards a more sustainable future. HEIs as institutions with diverse missions and large estates are well placed to contribute to this agenda in unique ways, through: their education; their research; their wider community involvement, including business, government and community stakeholders; through their estate; and through the integration of all four of these areas. The substantial size of these institutions, often equivalent in area, population, and activities, to the size of a small (or not so small) town, as well as mostly part of a city system, creates an imperative to improve the sustainability of the estate and operations of the university campus.

Whilst the integration of the estate, education, research and wider community aspects within a HEI provide opportunities to use the campus to research and trial more sustainable solutions, and for the outcomes and processes of this research to be used in diverse ways to educate the HEI and wider community about sustainable solutions. The university campus and in some cases as well its neighbourhood therefore becomes a test bed, or 'living laboratory' (from now, 'Living Lab'), where solutions to increase the sustainability of the university estate and operations are researched and trialled, and integrated into education. The Campus as Living Lab framework seeks to leverage the maximum benefit to sustainable practice across all areas of a university's mission and operations.

The Living Lab approach aims to use research conducted within the university aimed at advancing sustainability principles across different levels of impact: the HEI's estate and operations; the educational curriculum; across the university and wider community; and society. To advance successfully the integration of sustainability principles across our campus operations and the built environment, we must have a deeper understanding of what works and what does not work. To successfully advance the integration of sustainability principles within the educational programmes and wider student (and staff) experience of the HEI, we must know what processes lead to desirable educational outcomes, and how best to integrate the research *process* and sustainable estate *product* most effectively into these areas. To bring forward the integration of sustainability principles within the wider communities of HEIs, we

must understand how to communicate effectively with various stakeholders to boost a socio-ecological (sustainability) transition.

Questions that HEIs must tackle, to help advance sustainability principles in society include: How do we make informed decisions that lead to decreased environmental and human health impacts locally and globally? How do we plan for our campuses and cities to be resilient to a changing climate—today and in the future? How can our campuses and their neighbourhoods mitigate greenhouse gas emissions to the extent called for by the IPCC? These are the types of questions that warrant a shared framework to advance successful living labs on campuses, globally. Universities are now poised to leverage their unique ability to be a scalable laboratory in which to devise, pilot, implement and evaluate the best sustainable organisational to urban scale strategies of today and the emerging next-gen strategies for tomorrow (Newman 2018).

The concept of an HEI as a Living Lab is relevant to HEIs all across the world. Yet the context in which HEIs exist both within and between countries varies significantly (den Heijer 2011). For example, HEIs may be city based, with buildings distributed across a city; maybe campus based, with buildings housed within a single (or multiple) estate; they may be urban or rural. HEIs in different climatic environments across the world also face very different sustainability issues to address; for example, whether major energy costs are associated with heating or air conditioning. Governance structures for sustainability vary significantly between institutions, some have Sustainability (Coordination) Offices, some have more distributed sustainability responsibilities. Cultures within HEIs in different countries also differ, as do cultures between different HEIs in the same country. Within HEIs there are also diverse cultures of practice, between students, academic staff and faculty, professional services support staff, and estates operations staff. Cultures may even differ strongly within these groups for example between academic staff and faculty from different disciplines and fields. Alongside these diverse cultural contexts there can exist a problem of language, with diverse and contrasting terminology being used to describe the same phenomenon within different cultural contexts. It is therefore important that a globally useful framework is applicable and usable by people across these various cultural divides.

In this chapter the authors propose a framework and methodology for the development of Living Labs within HEIs; and present a shared living lab framework to achieve this, that will be open sourced and usable across a global divide. What distinguishes this approach from 'sustainability' research already taking place at our universities is the opportunity at one end to recognize and identify sustainability challenges at the campus to city scale that can catalyse applied research. On the back end, living lab models predominantly need to engage the user base, which leads to a quick feedback loop. Successful projects may therefore enable universities to advance sustainability more rapidly on their campuses. This alone warrants deeper research.

#### **2** Developing the Framework

# 2.1 A Transformational Process Benefitting from Existing Ecosystems

Fazey et al. (2018) state that "The most critical question for climate research is no longer about the problem, but about how to facilitate the transformative changes necessary to avoid catastrophic climate-induced change. Addressing this question, however, will require massive upscaling of research that can rapidly enhance learning about transformations." However, it is not just climate change, which society faces as a major issue relating to our environmental, social, and economic sustainability; and similar levels of research and transformations are needed across a much wider range of issues in our move towards a more sustainable future. Living Labs can be part of the solution to these problems of upscaling research and enabling transformation with many authors claiming that the most effective Living Labs are those making use of an actual existing environment with its own "ecosystem" of physical environment, structures, individuals, history, culture, weather, etc. - perfectly exemplified by the university campus.

Dealing with the necessary rate and scale of the required societal transformations for a more sustainable future, requires a transdisciplinary approach, integrating researchers and users (Baumgärtner et al. 2008; Boserup 2010; Farley et al. 2010; Frame and Brown 2008; Kajikawa 2008; Kauffman 2009; Komiyama and Takeuchi 2006; Schneidewind 2010; Steinfeld and Mino 2009; Vandermeulen and Van Huylenbroeck 2008; Weinstein 2010). Jahn et al. (2012) defines Transdisciplinarity as "... a critical and self-reflexive research approach that relates societal with scientific problems; it produces new knowledge by integrating different scientific and extrascientific sights; its aim is to contribute to both societal and scientific progress; ....". For a Campus Living Lab this means that it should consider those critical and self-reflexive research to enhance capacity to respond to the climate and other sustainability challenges (Fazey et al. 2018). It is these ideas, which underpin the development of the Living Lab learning system framework outlined in this chapter.

#### 2.2 Requirements for a Valuable Framework

We have built this framework on a number of underlying assumptions of what our universities have in common and share across continents: People, organisational structures, place, costs, data and the desire to contribute to a more sustainable future, locally and globally. The Living Lab framework presented, that we call a 'Campus as Living Lab' learning system, aims to be useful in the following ways:

- 1. to support the planning stages of a campus-based sustainability project, to ensure that the educational, research and wider community benefits are maximised;
- 2. to support the monitoring of such projects, ensuring opportunities for benefits are fully explored throughout;
- 3. to support the reflection and internal learning of a project once completed, and ascertain ways to develop further impact;
- 4. as a tool to improve engagement with diverse stakeholders in the unique local environment. This methodology and framework is unique to the context of HEIs, because of their breadth of stakeholders through their combined education, research and wider community engagement missions as well as their extensive estate; and
- as a way of disseminating good practice across the global sustainability HEI community, allowing people from across the world to easily access detailed case studies of processes, practices and products to inform their own work on sustainable practices in their own HEIs.

The framework is also focused specifically on using improvements in the sustainable practices of the campus environment and operations itself as the 'living lab', meaning that the HEI's community of staff and students comprises the various roles of the researchers and those being researched, as well as the educators and those being educated. However, this framework could also be modified for use in large organisations interested in improving their sustainability practices, educating their stakeholders, and learning and reflecting on the processes and impacts of changes in their practices. This framework could also be adapted within an HEI setting, where university researchers work within the local, or wider, community to improve sustainable practices external to the HEI, and use this research to feed into their own campus developments, and educational programmes and approaches. The localization and utilization of this framework is up to the practitioner on the ground to interpret, test, test again and apply, reflect, and rework until it is successful for their own context.

#### 2.3 A Co-creation Process

The framework integrates knowledge and experience of developing, and researching Living Labs within university campus environments. In developing the framework, the authors have studied literature about Living Labs (Gross 2005; Liedtke et al. 2012; Brandt 2013; König and Evans 2013; Schneidewind 2014; Trencher et al. 2014; Evans 2015; Gross 2015; Schäpke et al. 2015; Voytenko et al. 2015) literature about transition research and transformation science (Sharp 2002; Cortese 2003; Koester et al. 2006; Lozano 2006; Ferrer-Balas et al. 2008; Pohl and Hadorn 2008; Loorbach and Rotmans 2010; Dunphy et al. 2014; Wagner and Grunwald 2015) and reports created by organisations based on best practices and case studies (Lozano 2006; Lang et al. 2012; Scholz and Steiner 2015a, b; Botero et al. 2017). An important element in

the creation of this framework were the feed forward and feedback processes within the international HEI community.

The need for a framework such as the one presented was established in 2016, through the ISCN, the International Sustainable Campus Network—a global network aimed at supporting leading colleges, universities, and corporate campuses in the exchange of information, ideas, and best practices for achieving sustainable campus operations and integrating sustainability in research and teaching. For many years the ISCN has hosted a working group on 'Integrating Research, Teaching and Facilities', and in 2016 the group determined that a more methodological approach could be valuable to maximise the potential of this integrative approach. The co-authors from MIT, TUD, Keele, Hokkaido and ETH Zurich, entered into a process to develop a potential new framing for discussion in the ISCN Vancouver conference in 2017.

From the onset, it was decided *not* to make a framework and then to present it as a fixed 'take-it-or-leave-it' product, but rather to *share the initial discussions for a framework and enter into a co-creation process*, bringing in feedback and involvement of the ISCN participants. This approach was valued by the 30+ participants, who agreed on the desirability of a framework and methodology, which integrated stakeholder involvement, provided support for the management of Living Labs, and enabled the connecting and sharing between peers. Based on these inputs, it was decided to move on, and attempt to build a more descriptive framework, with the help of more HEIs.

An initial framework was built using initial thinking (Verhoef et al. 2017) and the Vancouver working group report (Vancouver 2017), taking the strengths from the various other Living Labs Methodologies, for example, AMS Institute (Steen et al. 2017), ENoLL (Malmberg and Vaittinen 2017; Bodi et al. 2015), Keyson (2017), Social Labs (Hassan 2014), and Rathenau Institute (Maas 2017). From these, the requirements for seven categories of data collection were extracted, referred to as: General, Scope, Participants and Co-creators, Organisations, Outcomes, Impact, and Reflection and Review. These are currently in the process of being further defined and parameterized. The Market Impact Assessment Methodology developed by New-Energy-Works for the European Commission was used to subdivide the impact category (Verhoef et al. 2004).

#### **3** Description of the Framework

#### 3.1 The Seven Data Collection Categories

The proposed framework is meant to lead to the development of a database to collect key data about a Living Lab. It is designed to be a supportive instrument over the whole lifetime of a Living Lab—from initial planning stages, through monitoring phases, through to its final closure and reflection on the lessons learned. The seven categories for data collection cover all of the different stages of the Living Lab, and allow monitoring on whether outcomes and impacts set out in the initial stages have been met, and how partnerships, participants, co-creators and organisational structures have evolved. The seven data collection categories are:

- 1. *General*: a summary of the Living Lab location, key contacts, status, timelines and budget.
- 2. *Scope*: the problem being addressed, historical details to the problem, the context, and the key sustainability 'theme' being addressed.
- 3. *Participants and Co-creators*: different stakeholders and ways in which they are engaged.
- 4. Organisation: leading organisations, partnerships, potential risks.
- 5. *Outcomes*: anticipated (and actual) sustainability outcomes in relation to the problem being addressed, as well as anticipated (and actual) educational, research and engagement outcomes.
- 6. *Impact*: wider impacts outside of the Living Lab boundaries.
- 7. *Reflection and Review*: evaluation of the Living Lab products and processes (Fig. 1).

Through completing the data required in the planning stages of a Living Lab using this proposed framework, the user will gain guidance on the information needed to try and think through the different aspects to maximise the benefit of the Living Lab, and to enable learning from the Living Lab to be learnt from internally and shared externally.

The 'General' category contains contact information and data about the project management. The 'Scope' category guides the framework user through the key elements of the Living Lab. Inputs need to be precise and clear to all stakeholders who may be involved, clearly outlining the specific topic or problem being addressed by the Living Lab, the issues aiming to be addressed, or the area of innovation.

Category three, 'Participants and co-creators' requires the framework user to consider the necessary data about the methodology of the Living Lab, with specific consideration of the different stakeholders, therefore providing a clear picture of the outreach and the communication requirements of the Living Lab as well as the interaction complexity. This leads to category four 'Organisation', where the focus is on the organisational structure of the Living Lab.

The 'Outcomes' and 'Impact' categories allows the framework user to outline from the start the desired and predicted outcomes and wider impact of the project. With the increased visibility of the United Nation's Sustainable Development Goals (SDGs), and the move for many organisations to audit their activity against the SDGs, it may be desirable to link the 'Outcomes' and 'Impact' categories directly to the objectives of UN SDGs. For example, outcomes of Living Lab projects can refer to reduction in greenhouse gases, reduction of pollution to water bodies or the atmosphere, improved health, improved working conditions, and improved nutrition, which all have close relation to the SDGs. Outcomes can also refer to concrete physical changes in the University estate and its environment, changes in operations, processes or decision making, or educational outcomes for the campus community

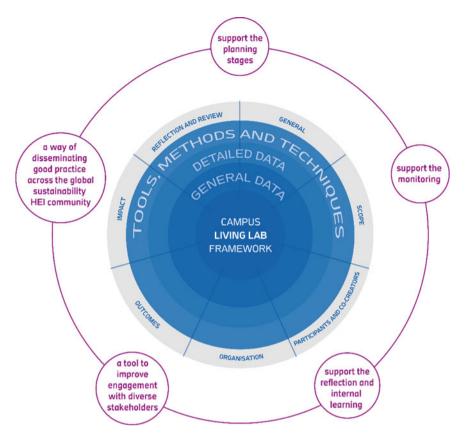


Fig. 1 Campus as living lab framework design with its seven categories and five potential values and three levels of detailing and application. Copyright the authors

and society. The 'Impact' section depicts potential amplification avenues and effects, beyond the initial boundaries of the Living Lab.

These 'Outcomes' and 'Impacts' sections are also key in the monitoring phases of the project, to assist in monitoring how close the project is to the original anticipated outcomes and impact, and therefore any changes that may need to be put in place to ensure that the original desired outcomes are achieved. The insights, of this monitoring phase, and final reflections on the processes and products (outcomes and impacts) will be collected through category seven 'Reflection and Review'. This is the only part of the framework that is not completed as part of the initial planning stage, unless it is to be used to outline the documentation, or reflection processes, that are required to be collected throughout the Living Lab process, to feed into the final reflection process (Fig. 2). Towards a Learning System for University Campuses ...

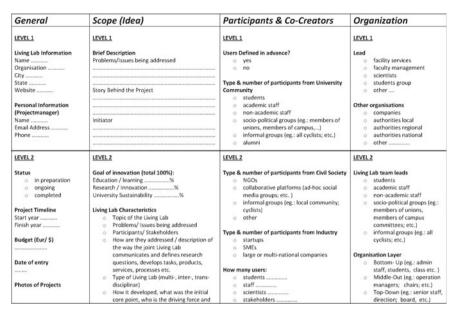


Fig. 2 Campus as living lab parameter list, work in progress, version 1.0, depicting the overall category and detailing for general, scope, user interaction and organisation. Copyright the authors

# 3.2 Three Levels of Detail and Three Stages of Use

The framework is designed to support the implementation and monitoring of an effective Living Lab learning system, through its seven categories of data collection. However, depending on the stage of the project or the user of the framework, they may choose to engage with the framework on three different levels, and at three different stages, the planning, monitoring, and review stages. These different levels and stages are still under development, but recognize the various different needs of different user groups, and how this might change throughout the lifetime of the project. An outline of the three different Levels at which users can engage is given below:

- Level 1: Overall data and basic information about the Living Lab. This assists in the initial description of the Living Lab, including outlining of the 'problem' being addressed and the anticipated broad outcomes and user groups. This Level relates to the early part of Stage 1, the Planning stage.
- Level 2: Detailed data. This refers to the collection of more precise information and parameters for the project, including specific outcome metrics, and anticipated numbers from different stakeholder groups. This Level encourages more detailed planning (Stage 1—Planning), and also more detailed monitoring (Stage 2) and review (Stage 3), considering a greater range of questions and more detailed analysis.

- Level 3: The Living Lab 'toolbox'. This Level refers to guidance provided within the framework as to the tools, methods and techniques that can support the setting up, running, communicating, monitoring, and reviewing of a Campus Living Lab with various stakeholders from inside and outside the university community.

#### 3.3 Co-development of the Framework

Testing the tool in several universities and collecting data from diverse Campus Living Lab projects has shown that the framework methodology can help guide and illuminate critical key points in the planning, monitoring and reflection stages of a Living Lab. However, the wording and naming of certain categories, headlines or participant and co-creator groups varies from country to country. Therefore, it is necessary to modify and improve the tool iteratively based on feedback loops of users from around the world, and working in different contexts, and to develop based on those findings a standard glossary for the definitions of words to facilitate wider use. Clearly in inputting data into the framework there is a balance to be had in terms of very minimalistic descriptions that may not provide enough detail to effectively inform people outside of the project, and very wordy descriptions which will reduce the likelihood that others will read through all of the information.

Users of the framework need to bear in mind the need for clarity and precision, and have in mind the need for the data inputted to be usable by a wide range of different stakeholders from many different cultural contexts. A shared framework and communication method will prevent too much space for different interpretations of Living Lab projects and make it possible to use the framework to publish project details on websites, databases, papers or press articles and to share learning between peers. Through the collection of these data and gathering them in an international platform it will be possible to search for universities or Living Labs dealing with similar issues and tasks, to use the framework as a source of learning between institutions as well as a starting point for conversations between institutions, enabling greater progress towards sustainable development in HEIs, and strengthening the ability of Living Labs to act as agents of change within their institutions and in society.

#### 4 Discussion

# 4.1 Living Labs Strengthening University Work Towards UN SDGs

The Campus as Living Lab learning system was created based on Living Lab literature, participatory workshops and expert discussion. The learning system has seven key categories for data collection (referred to as general, scope, organisation, participants and co-creators, outcomes, impact in the world and reflection and review).

The scope, outcomes and impact of Living Labs can relate closely to one or more of the UN SDGs. It should be noted that the UN SDGs are large-scale and longterm goals, where it is easy to think that single projects cannot visibly contribute. Yet small scale or incremental changes both contribute to the whole and can be scaled up to include more of an organisation, more organisations or similar projects in different organisations or contexts. This is particularly the case, as University campuses and their communities are significant in terms of urban and global built area and population, as well as in educating society's future leaders and global decision makers.

In 2015, there were 18,500 HEIs worldwide, with 212 million students and 13 million teachers (EdStats 2018) and they are estimated to be responsible for 1.4% of global CO<sub>2</sub> emissions (Verhoef 2018). Therefore, universities have a responsibility to both reduce their negative impacts, improve their positive impacts, as well as educate everyone on and around the campus to be able to view the world through a sustainability lens, and be beacons of a move towards a more sustainable future.

### 4.2 Creating Value

The Living Lab framework presented in this chapter, aims to create value to University's sustainability efforts in the following five ways:

- 1. to support the planning stages of campus-based sustainability projects, to ensure that the educational, research and wider community benefits are maximised;
- 2. to support the monitoring of such projects, ensuring opportunities for benefits are fully explored throughout;
- 3. to support the reflection and internal learning of a project once completed, and ascertain ways to develop further impact;
- 4. as a tool to improve engagement and communication with diverse stakeholders;
- as a way of disseminating good practice across the global sustainability HEI community, allowing people from across the world to easily access detailed case studies of processes, practices and products to inform their own work on sustainable practices in their own HEIs.

Preliminary tests at the Delft University of Technology and the University of Applied Sciences Stuttgart show that the framework aids in highlighting issues covered, issues discarded and issues 'forgotten', and hence highlight the potential of the framework in delivering to both 1 and 2 of the 'uses' of the framework highlighted above. However, these preliminary tests also highlighted that many stakeholders are interested in the *outcomes* the framework delivers rather than the *process*. They value the idea that a trustworthy actor (e.g. the Sustainability Office) puts its knowledge, weight and confidence in the framework.

The *fourth* use of the framework above (engagement and communication with stakeholders) demonstrates how a more process-oriented approach can help in the implementation of a Living lab and in overcoming common barriers. The steps in starting that process are (1) recognising the envisioned (global) impact, (2) attaining common understanding of and respect for the various outcomes, (3) designing the work space with all stakeholders needs in mind (time, location, and resources). The elements for running an effective Living Lab require a holistic approach and effective connection and communication with all relevant users, researchers, educators and operations staff.

To ensure the *fifth* 'use' of this framework (peer-peer learning) requires the framework to include a feedback and fast (practical) learning system, preferably within existing practitioner networks within HEIs such as the ISCN. The system should facilitate open and non-anonymous peer reviewing. It is envisaged that the framework becomes a peer-reviewed system whereby case studies are entered and peer reviewed, and enter into an open access database.

## 5 Conclusion and Next Steps

This paper describes a living, shared framework and methodology, the 'Campus as Living Lab' learning system, created through global participatory workshops and Living Lab literature, aimed at supporting universities and their Sustainability (Coordinating) Offices in the development and monitoring of Living Lab projects. The framework includes seven categories of supportive data collection, three levels of detail to meet different requirements of potential users, and three stages of use.

The Living Lab framework presented in this chapter, aims to create value and help universities maximise the benefit of Living Lab projects within an institution, support monitoring, reflection and learning from projects, and facilitate communication with stakeholders, and the sharing of practices and learning between peers across the globe. As a living shared, framework and learning system, the framework will adapt and develop over time and within different contexts. To provide feedback and fast (practical) learning from users, the system will be further developed to facilitate transparent peer reviewing.

Development of this framework has raised a number of questions with scope for further in-depth and detailed investigations in relation to the design and operation of Living Labs. These key areas of questions may be categorized as follows:

- campus data acquisition; tracking and analysis and managing risk;
- educational and research outcomes;
- solution design, applicability, scalability and culture.

Within the area of *campus data acquisition* questions arise around how data is collected and managed—how do we handle the real and perceived risks that come with greater transparency? How do we handle privacy issues that may exist in the accessibility of data sets? Can increasing data access, enhance transparency, and

through enhanced transparency impact behaviours and decisions to ensure maximum sustainability benefits?

In the area of *educational and research outcomes* questions arise about how to use campus-based sustainability projects to maximise educational outcomes, for example how can we make 'invisible' sustainability improvements 'visible' and a source of learning for both the student and staff population, through what is referred to as the 'hidden' or 'subliminal' curriculum (Winter and Cotton 2012; Robinson and Madley 2017), and how do we engage the breadth of the research community?

Finally, in the area of *solution design, applicability, scalability and culture,* questions arise in how we encourage the replication and upscaling of the process, ensuring a culture which values incremental advances, and the replication of process, so that we are not all driven to design our own version of the wheel. Our framework can be seen as yet another approach. However, through its incremental, participatory and on-going development, we hope that this framework can be something that the global university community can own, develop, and apply within their own contexts to maximise the benefits to all stakeholders, as well as being used to share the stories of Living Labs and related scholarship in ways that make the research more accessible and in turn applicable, and ultimately help universities drive genuine and lasting change towards a more sustainable future.

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