

14 Environmental Conditions, Air Pollutants, and Airways

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Abstract

Air pollution is a major problem worldwide, which could be even more serious for athletes who train in urban environments. Exercise increases minute ventilation and exposure to pollutants, but the literature on the effects of air pollution in athletes is relatively scarce, with the exception of chlorine exposure in athletes of aquatic sports and air pollution secondary to ice resurfacing in athletes perform-

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ing in ice arenas. Although air pollution may exert detrimental effects on athletic performance, little has been published on this topic. The largest body of information regards the impact of air pollution during urban active transport, i.e., walking and cycling in cities, due to the potential risk of air pollution in citizens and the need to rethink urban transportation strategies accordingly. In healthy subjects, the benefits of physical activity largely outweigh the disadvantages of exposure to air pollutants. In susceptible individuals, however, such as patients with cardiac or respiratory disease and children, detrimental effects have been demonstrated. Improvement in air quality, individual protective behaviors, and prompt communication to the population of dangerous air quality may help to limit the negative effects of air pollution on respiratory health.

14.1 Introduction

Air pollution is increasingly considered as a major public health issue, especially since current estimates foresee that about 70% of the world population will live in very extended urban environments by 2050 [[1\]](#page-9-0). Such scenario means that urban design, air pollution control, as well as detailed plans for public and private transportation [[2–](#page-9-1)[4\]](#page-9-2) will be necessary to preserve population health from increasing levels of air pollutants.

Environmental evaluation, however, is a very complicated issue. First, exposure to multiple pollutants can derive from different sources (e.g., vehicle traffic, industries, but also fireworks, forest fires, or volcanic eruptions), making data obtained during exposure to single pollutants not necessarily generalizable to realworld situations and requiring complex statistical modeling [[5\]](#page-9-3). Second, the pattern of climate and winds differs among locations, thus modifying exposure settings. Third, monitoring of air quality is evolving, from fixed monitoring stations to wearable devices [[6,](#page-9-4) [7\]](#page-9-5) allowing more precise definition of individual exposures. Finally, susceptibility to the noxious effects of pollutants varies according to age and pre-existing cardiovascular and respiratory diseases. There is evidence that air pollution is associated with cardiorespiratory diseases [\[8](#page-9-6)], and COPD patients are particularly vulnerable to air pollutants, both in terms of mortality or hospital admission risk and pollution-associated decline in respiratory function [\[9](#page-9-7)].

At the national level, the Environmental Protection Agency (EPA) in the United States focused on reducing air pollution and produced the National Ambient Air Quality Standards for pollutants in order to protect citizens' health. EPA created the air quality index (AQI), an index summarizing air quality day by day. Thus, American citizens can easily access AQI on the web to understand the possible threats for their own health and to access local pollution data [[10\]](#page-9-8).

The 2015 WHO Consultation [[11\]](#page-9-9) stated that air pollution has to be recognized as a threat to human health, being associated with increase in both mortality and morbidity worldwide. Moreover, the effects of environmental pollution increased steadily in the last decades. Almost all the more common ambient pollutants produce

Type	Source	Main respiratory effects
Ozone (O_3)	Photochemical reactions	Reduction of lung function
	from vehicular traffic	Increase of bronchial
	(secondary pollutant)	hyperresponsiveness
		Reduced exercise tolerance
Nitrogen dioxide $(NO2)$	Each combustion process	Increase of bronchial
	having atmospheric air as	hyperresponsiveness
	comburent	Reduction of lung function
		Reduced exercise tolerance
Sulfur dioxide $(SO2)$	Fuel combustion (from	Reduction of lung function
	industry and vehicular traffic)	
Respirable fractions of	Fuel combustion (from	Reduction of lung function
particulate matter	industry and vehicular	
	traffic), nonindustrial	
	combustion processes	
United Nations, 2014.	United Nations, 2014. World	United Nations, 2014, World
World Urbanization	Urbanization Prospects: The	Urbanization Prospects: The
Prospects: The 2014	2014 Revision, Highlights.	2014 Revision, Highlights.
Revision, Highlights.	New York: United Nations,	New York: United Nations,
New York: United Nations,	Department of Economic	Department of Economic and
Department of Economic	and Social Affairs,	Social Affairs, Population
and Social Affairs,	Population Division. http://	Division. http://esa.un.org/unpd/
Population Division. http://	esa.un.org/unpd/wup/	wup/Highlights/WUP2014-
esa.un.org/unpd/wup/	Highlights/WUP2014-	Highlights.pdf
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Table 14.1 Type, source, and respiratory effects of the most common air pollutants^a [[25](#page-10-0)]

a G. Viegi, S. Baldacci. Epidemiological studies of chronic respiratory conditions in relation to urban air pollution in adults. Eur Respir Mon 2002, 21, 1–16

respiratory effects (Table [14.1](#page-2-0), Ref. [\[12](#page-9-10)]) in individuals, in particular in vulnerable categories (children, subjects affected by chronic respiratory disease such as asthma or chronic obstructive pulmonary disease, subjects affected by cardiovascular diseases, older adults). In its 2015 revision of air quality guideline [\[11](#page-9-9)], WHO experts identified 32 air pollutants divided in 4 groups. For most of them, recent evidence justifies re-evaluation: in particular, particulate matter (PM), ozone, nitric dioxide $(NO₂)$, sulfur dioxide $(SO₂)$, and carbon monoxide (CO) need systematic re-evaluation due to increased knowledge about their detrimental effects on health. Along the same line, the American Thoracic Society (ATS) has recently published an estimate of excess mortality and morbidity in the United States, including lung cancer, according to the ATS-recommended thresholds for $PM_{2.5}$ and ozone concentrations [\[13](#page-9-11)], which are lower than the currently recommended values (Table [14.2](#page-3-0)).

14.2 Air Pollution and Exercise

It is well established that physical exercise has important benefits on individual's health [\[14](#page-9-12)]. On the other hand, people living in urban or highly industrialized areas are exposed to high levels of environmental pollution. Recreational outdoor exercise

Pollutant	Limit	Recent ATS recommendations [13]
$PM_{2.5}$ ^a	$10 \mu g/m3$ annual mean $25 \mu g/m^3$ 24-h mean	\leq 11 µg/m ³ annual mean \leq 25 µg/m ³ 24-h mean
PM_{10}^a	$20 \mu g/m3$ annual mean $50 \mu g/m^3$ 24-h mean	
O_3^a	100μ g/m ³ 8-h mean	\leq 0.060 ppm 8-h mean
NO ₂ ^a	$40 \mu g/m3$ annual mean $200 \mu g/m3$ 1-h mean	
SO ₂ ^a	20μ g/m ³ 24-h mean $500 \mu g/m^3$ 10-min mean	
CO ^b	10 mg/m^3 8-h mean 40 mg/m^3 1-h mean	

Table 14.2 Upper limit values for outdoor pollutants

a WHO Regional Office for Europe (2006). *Air quality guidelines global update 2005: particulate matter, ozone, nitrogen dioxide and sulfur dioxide*. Copenhagen, WHO Regional Office for Europe. Available at http://www.euro.who.int/__data/assets/pdf_file/0005/78638/E90038.pdf

b EPA—United States Environmental Protection Agency. National Ambient Air Quality Standards (NAAQS). Available at<https://www.epa.gov/criteria-air-pollutants/naaqs-table>

in subjects living in urban areas is associated with increased exposure to pollutants, due to several reasons: (a) increased ventilation during exercise increases exposure to pollutants, partly as a result of the shift from nasal to oral ventilation, with loss of nasal filtering function, and (b) the deposition fraction of ultrafine particles increases during exercise [\[15](#page-9-13)], especially in children compared to adults and in asthmatic compared to non-asthmatic children [[16\]](#page-9-14). Moreover, exposure during exercise is affected by factors such as time of the day, proximity to road, and traffic intensity. There is evidence of protective behaviors, i.e., decreased physical activity among adults during days or periods of high exposure to air pollutants [\[17](#page-9-15)]. The reader is referred to extensive reviews [\[18](#page-10-1), [19\]](#page-10-2) for detailed analysis of the effects of exposure to single pollutants during exercise and in athletes with exercise-induced bronchoconstriction [[20\]](#page-10-3). The following paragraphs will briefly summarize the main effects of pollutants at rest and during exercise.

Ozone: Increased concentration of ozone in breathed air can induce decrements in lung function in healthy young human subjects along with causing airway inflammation $[21]$ $[21]$. The effect of ozone inhalation on lung function has been extensively evaluated [\[22](#page-10-5)[–24](#page-10-6)]. A threshold concentration of 60–80 ppb has been identified for negative health effects of ozone [[25\]](#page-10-0). It is worth noting that the effect of outdoor activities on lung function is controversial: at low levels of exposure, increasing O_3 levels were associated with airway obstruction [\[26](#page-10-7)], while lung function tests did not show any consistent pattern of decrease at similar ozone levels even though an increase in exhaled nitric oxide (eNO) concentration was found [\[27](#page-10-8)]. Exposure to ozone may produce airway inflammation as evaluated by means of eNO measures [\[28](#page-10-9)].

During exercise, effects of ozone exposure may vary in different population subgroups. Höppe and coworkers reported significant decrease in lung function in asthmatic patients and children, whereas elderly subjects or athletes were little affected [\[29](#page-10-10)]. A high ozone concentration may impact on athletic performance, but heat and humidity usually associated with ozone pollution appear to play a major role in reducing the performance of endurance-trained runners [\[30](#page-10-11)].

Particulate matter: The effects of particulate matter (PM) of different sizes have been extensively studied, with special regard to PM_{10} and $PM_{2.5}$, according to their diameter in μ m, as well as ultrafine particles (diameter $< 0.1 \mu$ m). Healthy subjects exposed to ultrafine carbon particles (without any other component adsorbed) at different concentrations showed a high deposition in the respiratory system, which increased during exercise [\[31](#page-10-12)]. During moderate exercise in adult men with prior myocardial infarction, brief exposure to dilute diesel exhaust promoted ST-segment depression, i.e., an important predictor of adverse cardiovascular events [[32\]](#page-10-13). Even mild exercise in a polluted environment may cause increase in biomarkers of airway inflammation and decreased lung function. Adult subjects affected by mild to moderate bronchial asthma walked in Oxford Street, a busy street in London, or in Hyde Park, demonstrating that the degree of traffic exposure may interfere with daily activities in real life [\[33](#page-10-14)]. Similarly, in the same ambient conditions, shortterm exposure to traffic prevented the beneficial cardiopulmonary effects of walking in subjects affected by chronic obstructive pulmonary disease or ischemic heart disease as well as in healthy individuals [[34\]](#page-10-15). Exposure to diesel exhaust particles (300 μ g/m³ of PM_{2.5}) during moderate or intense exercise in fit young subjects did not acutely modify endothelial function or blood endothelin-1 levels but increased plasma nitrate and nitrite (NOx) concentrations, while exercise intensity did not affect the results [[35\]](#page-10-16). At present, there is suggestive evidence of causal relationships between exposure to traffic-related air pollution and impaired lung function [\[36](#page-10-17)].

A current hot topic regards the possible detrimental role of air pollution in healthy people using active transport strategies, i.e., cycling and walking, to commute to study or work as opposed to the use of private cars. The advantages and disadvantages of active transport are being extensively studied, since active transport promotes healthy behavior in the population while decreasing overall traffic volume. Current evidence underlines the pros of active transport [\[37](#page-11-0)], although the health benefits of physical exercise appear modified in polluted compared to cleanair areas [\[38](#page-11-1)[–40](#page-11-2)].

Figure [14.1](#page-5-0) shows an interesting model, where all-cause mortality risk is calculated for air pollution exposure during active transport, with special attention to two points. The "tipping point" represents the amount of cycling per day associated with the highest benefit in terms of risk reduction; the "break-even point "represents the amount of cycling per day beyond which increasing the amount of cycling is associated with increased pollution-related risk [\[41](#page-11-3)]. Authors calculated that physical activity should be shortened in very heavily polluted cities, which however represent a low percentage of urban sites in the world [\[41](#page-11-3)]. In more complex models, the chronic exposure to pollutants was the main determinant of reduced heart rate variability and increased diastolic blood pressure, whereas the amount of exercise did not exert any significant effect [\[42](#page-11-4)]. Therefore, the evidence available to date indicates no additional harm associated with active transport, unless heavy pollution situations occur or cycling is prolonged for many hours per day [\[41](#page-11-3)].

Fig. 14.1 All-cause mortality risk calculated for air pollution exposure during cycling (active transport). The "tipping point" represents the amount of cycling per day associated with the highest benefit in terms of risk reduction; the "break-even point" represents the amount of cycling per day beyond which increasing the amount of cycling is associated with increased pollution-related risk [\[41](#page-11-3)]

NO₂: Despite a striking discordance between positive epidemiological associations and results from controlled clinical $NO₂$ exposure, subjects affected by asthma or allergic diseases are the subgroups most susceptible to $NO₂$ -induced pulmonary effects. Pulmonary adverse effects are not frequent without a co-exposure to specific or nonspecific stimuli [\[43](#page-11-5)]. In this context, while studies continue to provide evidence of short-term associations between $NO₂$ and respiratory outcomes, it has been demonstrated that these associations are not confounded by co-pollutants, including PM and other gaseous pollutants typically used in multipollutant analyses $[21]$ $[21]$. NO₂ has been used as a marker of air pollution in the Danish study on elderly urban residents, showing positive effects of outdoor physical activity such as cycling and gardening that were especially evident in subjects exposed to low-to-moderate residential $NO₂$ levels $[44]$ $[44]$.

Sulfur dioxide ($SO₂$): Inhalation of sulfur dioxide during exercise may produce decrements in lung function [[45\]](#page-11-7) with a dose-response relationship in asthmatic volunteers [\[46](#page-11-8)].

Carbon monoxide (CO): Studies performed on healthy subjects and patients affected by ischemic heart disease demonstrated that exposure to low-level mixture of CO is able to reduce the exercise time in normal subjects [[47\]](#page-11-9) and in patients with coronary artery disease and stable exertional angina [[48\]](#page-11-10). Exercise performance decreased also in COPD patients exposed to 100 ppm of CO for 1 h [\[49](#page-11-11)]. In normal young subjects, performing constant power exercise at 85% of maximal $O₂$ consumption, exposure to 18.9 ppm of CO for 2 h did not affect cardiorespiratory variables but decreased muscle oxygenation assessed by near-infrared spectroscopy (NIRS) [[50\]](#page-11-12). Such an effect was partly reversed by O_2 administration for 1 h after CO exposure [\[50](#page-11-12)].

14.3 Athletes and Air Pollution

14.3.1 Data from the Olympic Games

The Olympic Games are major events with large participation of athletes and public. Attention to environmental issues has been far from ideal, with the potential of detrimental effects not only on health but also on athletic performance [\[51](#page-11-13)]. For example, during Olympic Games in Beijing 2008, one of the most polluted cities in the world, despite major efforts by authorities to reduce air pollution, i.e., traffic limitation and shutdown of industrial plants, air quality was suboptimal [[52\]](#page-11-14). Similarly, traffic-related air pollution and poor water quality in Guanabara Bay were major problems in Rio de Janeiro 2016 [\[53](#page-11-15)]. Monitoring of air quality during Turin Winter Games 2006 showed increased benzene concentrations both in the city and at 1500 m altitude, associated with increased vehicle traffic during the Olympic period [[54\]](#page-11-16). The next Summer Olympic Games in Japan 2020 promise a better air quality and will likely increase the well-being of participating athletes [[55\]](#page-11-17).

14.3.2 Which Sports Carry a High Air Pollution Risk?

Analysis of pro-inflammatory effects of air pollutants in athletes is complicated by the effects of exercise per se on airway inflammation, especially in athletes of endurance sports [\[20](#page-10-3), [56\]](#page-12-0). We have studied the effects of environmental conditions and pollutant concentrations in amateur runners before and after running races at different times of the year. Although during the races pollutant concentrations were below the alert thresholds, ozone concentration was highest in summer. Results showed that apoptosis of airway neutrophils in runners was directly proportional to pollutant concentrations, whereas apoptosis of bronchial epithelial cells appeared mostly affected by intense exercise [[57\]](#page-12-1).

There are surprisingly few studies on markers of airway inflammation in athletes exposed to pollutants. In non-elite athletes running for 20 min along a roadway, increased blood levels of toluene, ethylbenzene, and xylenes were documented postexercise [\[58](#page-12-2)]. Cavalcante de Sá and coworkers reported that exhaled breath condensate (EBC) pH increased after 5 days in amateur runners training in a cleanair environment (forest), but not in those exposed to air pollution (street). Moreover, impaired nasal mucociliary clearance occurred more often after street than forest training [[59\]](#page-12-3). No differences were found in serum interleukin (IL)-6 or interleukin-10 concentrations, highlighting the lack of sensitive and reliable markers of inflammatory damage in athletes. No changes in breath pH after exercise under clean-air and high ozone and high-PM conditions had been reported by a previous study in high school athletes, but pH in this group was lower than in adult sedentary controls [[60\]](#page-12-4).

Some athletes are constantly exposed to pollutants/irritants during training and may develop respiratory symptoms. The best examples are swimmers, who are exposed to chlorine derivatives in indoor swimming pools, and athletes active in ice arenas (hockey players, skaters) who are exposed to CO or $NO₂$ secondary to malfunction in ice resurfacing process and ultrafine particles [[61–](#page-12-5)[63\]](#page-12-6). The reader is referred to comprehensive reviews dedicated to these topics [[20,](#page-10-3) [64\]](#page-12-7). Some authors have suggested that high training volumes in elite athletes might justify classification of airway dysfunction as an occupational disease [[65\]](#page-12-8). Technology is under development to decrease high chlorine exposures in swimming pools [\[66](#page-12-9)].

14.3.3 Prevention of Negative Effects of Air Pollution

Several simple, common-sense strategies can be adopted to limit the impact of air pollution on health, especially during exercise [[67\]](#page-12-10). These include:

- 1. Avoiding exercising along high-traffic roads or taking alternative bicycle paths far from traffic [[68\]](#page-12-11).
- 2. Avoiding exercise during the middle of the day, when ozone concentration is highest, especially during summer.
- 3. Getting real-time information on pollutant levels, wherever available.
- 4. Training indoor under condition of severe air pollution.
- 5. Using of protective masks during exercise.

The problem of prevention is particularly relevant in China, where air quality is still far from ideal, children and elderly are used to exercise outdoor, there are no public plans for prevention to pollutant exposures, and the indoor facilities are insufficient to cover the needs of a huge population [\[69](#page-12-12)].

There is some evidence that a healthy diet and vitamin C and D supplementation can help to limit air pollution-related respiratory damage [\[70](#page-12-13)]. Interestingly, a recent experimental study in mice reported a role of gut microbiome in the pathogenesis of increased bronchial reactivity in response to ozone exposure [[71\]](#page-12-14). This is a new and poorly explored field, which deserves further study.

14.4 Conclusions

Figure [14.2](#page-8-0) tries to summarize the current knowledge on the effects of exercise under conditions of air pollution in athletes. While there is evidence that exercise does potentiate exposure to pollutants, the possibility that healthy well-trained athletes may develop protective defense mechanisms has been not explored to date. Exercise training is associated with pro- and anti-inflammatory changes, and a heightened response to oxidative stress as a result of training may well contribute to limit the damage linked to air pollution. Much work needs to be done in this respect, as well as studies on air pollutant thresholds which may affect performance.

Fig. 14.2 Summary of mechanisms of increased exposure to pollutant during exercise in athletes. The impact of potential defense mechanisms has not been studied in detail

Air quality has improved in the last decades worldwide due to increased knowledge about health risks and implementation of preventive measures. Current evidence shows that the benefits of exercise in healthy subjects outweigh the effects of concurrent air pollution, suggesting that preventive strategies against exposure to pollutants should not become a recommendation for a sedentary lifestyle. Vulnerable populations, such as children, elderly, and patients with cardiorespiratory diseases, should refrain from exercising under air pollution conditions, as indicated by studies showing increasing mortality and morbidity risk.

Key Points

- Air pollution is a threat for human health, and exercise increases exposure to pollutants
- The benefits of physical exercise can be decreased by air pollution, but exercise-associated risk reduction in healthy subjects remains significant unless severe air pollution occurs
- Vulnerable groups such as children and patients with cardiorespiratory disease should avoid exercise in polluted areas
- Little is known on the effects of air pollution in athletes and on possible protective mechanisms evoked by habitual exercise which may help to limit the detrimental effects of air pollution on cardiorespiratory health

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