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HIV/AIDS-Associated Viral Oncogenesis

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Overview

According to the WHO, there are currently over 36 million people living with HIV globally, but with the successes of the antiretroviral therapy (ART), deaths due to AIDS have continued to decline, and people living with HIV (PLWH) are now living a longer and normal life span. However, non-AIDS-associated diseases are now increasing in PLWH, and cancer has now become a leading cause of morbidity and mortality. It has been estimated that cancer is responsible for over one-third of all deaths in HIV-infected individuals. The majority of cancers in AIDS patients are known to associate with co-infection with known oncogenic viruses such as human papilloma virus (HPV), Epstein Barr virus (EBV), the Kaposi's sarcoma associated herpesvirus (KSHV) or human herpesvirus-8 (HHV-8), as well as hepatitis B (HBV) and hepatitis (HCV), and more recently the Merkel cell polyoma virus (MCPyV). With the successes of ART, a number of the AIDS-associated malignancies such as Kaposi's sarcoma (KS) and some lymphomas have declined in the developed countries, but the KS disease burden remains high in Africa; the incidence of KS has reported to be as high as prostate cancer in the US. In addition, several additional non-AIDS defining malignancies (NADM) like anal cancers, oropharyngeal cancers, Hodgkin lymphomas, hepatocarcinomas, and even lung cancers are occurring more often in PLWH. Therefore, there is still an urgent need to have a better understanding of the epidemiology of these cancers, the risk factors involved, the clinical presentations, the treatment, and their associate viral etiological agents, including the viral gene functions, and their effects on the host in leading to cellular transformation and oncogenesis.

This book *HIV/AIDS-Associated Viral Oncogenesis* edited by Meyers represents a must read material for clinicians, researchers, and students who are interested in this area. It consists of review chapters authored by leading experts in the field, covering all the known human oncogenic viruses and malignancies that are associated with AIDS and NADM. There are a total of nine comprehensive chapters; one chapter is on HIV/AIDS malignancies; two chapters on KSHV and KS; one chapter is on EBV and associated lymphomas. There are three chapters on HPV and its associating cancers, head and neck squamous cell carcinomas and oral cancers, the anal cancer, and cervical cancers. There is one chapter on MCPyV and Merkel cell carcinomas, and one chapter on HBV/HCV and hepatocarcinomas.

The chapter by Pyring provided a comprehensive review on the current status of AIDS/HIV associated malignancies and their associating viral etiological agents. There are two chapters on KSHV and KS. The first is by He et al. The authors provided a comprehensive review on the molecular biology of KSHV, the regulation of the viral gene expression, the host immune response against the virus infection, and the mechanisms of cellular transformation and tumorigenesis. The second KSHV chapter by Dittmer and Damania described the KSHV and its associate diseases. It also described the prevalence of infection, the molecular biology of the virus and the disease, and its treatment. The chapter on EBV and lymphomas by Lang et al provided a comprehensive review of EBV, its molecular biology and the regulation of viral and cellular gene expression in EBV-associated lymphomas. It also described the various types of lymphomas associated with EBV and its association with HIV infection. There are three chapters on the three cancers associate with HPV. The first chapter is on HPV associate cervical cancer by Du; it described the biology of HPV and the global burden of cervical cancer, and co-infection by HIV in women. It also reviewed the risk factors involved, screening for cervical cancers, and prevention of HPV infection. The second chapter on HPV is by Hagansee on oral cancer. It described the risks, the prevalence and prevention of the cancer. It also described the molecular mechanisms that underlie HPV-mediated oncogenesis to lead to cancer. The third chapter on HPV and anal cancer is by Wang and Polefsky who reviewed the current literatures on anal cancers, the virus, the epidemiology, the clinical characteristics, the prevention, as well as the treatment and outcome of the cancer. The chapter by Caprio on Merkel cell carcinoma reviewed the clinical disease, its etiological agent and the gene regulation of the virus and changes in the tumor at the molecular levels. Finally, the chapter by Hu et al on HBV/HCV liver cancers reviewed the epidemiology of HBV/HCV and HIV co-infections; also on the possible mechanisms of hepatocarcinogenesis as well as the management of the cancer. It also discussed the other hepatitis virus, the HGV.



1

AIDS-Associated Malignancies

Ramya Vangipuram and Stephen K. Tyring

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Abstract

Malignancies were one of the earliest recognized manifestations that led to the description of the acquired immune deficiency syndrome (AIDS). The majority of cancers in AIDS patients are associated with coinfection with oncogenic viruses, such as Epstein–Barr virus, human herpesvirus 8, and human papillomavirus, with resulting malignancies occurring secondary to diminished immune surveillance against viruses and virus-infected tumor cells. Over 50% of AIDS lymphomas are associated with Epstein–Barr virus (EBV) and/or HHV8 infection. HHV8-associated diseases include Kaposi sarcoma (KS), primary effusion lymphoma (PEL), and multicentric Castleman disease (MCD). EBV is associated with several malignancies, including Hodgkin lymphoma (HL) and non-Hodgkin lymphoma (NHL). Coinfection with HIV and HPV is associated with an increased risk of various squamous cell carcinomas of epithelial tissues. HAART has significantly impacted the incidence, management, and prognosis of AIDS-related malignancies. In addition to changing the natural history of HIV infection in regard to incidence and survival, HAART has dramatically

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decreased the incidence of certain virally mediated HIV-associated malignancies such as KS and primary CNS lymphoma. The beneficial effects of HAART on these tumors are attributed to drug-mediated HIV suppression and immune reconstitution. However, HAART has had a less favorable impact on EBV- and HPV-related malignancies. This chapter presents an overview of HIV-associated malignancies mediated by HHV-8, EBV, and HPV, and reviews the effect of HAART on the epidemiology, presentation, treatment, and outcomes of these cancers.

Keywords

Human herpesvirus 8 • Epstein–Barr virus • Human papillomavirus Human immunodeficiency virus • Kaposi sarcoma • AIDS-associated lymphoma • Anogenital cancer

1.1 Introduction

Malignancies were one of the earliest recognized manifestations that led to the description of the acquired immune deficiency syndrome (AIDS). The rising incidence of Kaposi sarcoma in young homosexual men, a rare skin cancer typically seen in elderly men of Eastern European and Mediterranean descent, was a harbinger of the AIDS epidemic in the early 1980s. This was followed by sporadic reports of high-grade B-cell non-Hodgkin's lymphoma (NHL), primary cerebral lymphoma, and systemic NHL. By 1985, both Kaposi's sarcoma and high-grade B-cell NHL were classified as "AIDS-defining" illnesses by the Centers for Disease Control (CDC). In subsequent years, the CDC listed invasive cervical cancer as an AIDS-defining illness, given its poorer prognosis in HIV-positive women. Research later showed that the majority of cancers in AIDS patients were associated with coinfection with oncogenic viruses, such as Epstein–Barr virus, human herpesvirus 8, and human papillomavirus, with resulting malignancies occurring secondary to diminished immune surveillance against viruses and virus-infected tumor cells.

Over 50% of AIDS lymphomas are associated with Epstein–Barr virus (EBV) and/or HHV8 infection. HHV8-associated diseases include Kaposi sarcoma (KS), primary effusion lymphoma (PEL), and multicentric Castleman disease (MCD). EBV is associated with several malignancies, including Hodgkin lymphoma (HL) and non-Hodgkin lymphoma (NHL). EBV is also implicated in cases of leiomyosarcoma, cervical, and anal cancer in patients with AIDS.

HAART has significantly impacted the incidence, management, and prognosis of AIDS-related malignancies. In addition to changing the natural history of HIV infection in regard to incidence and survival, HAART has dramatically decreased the incidence of certain virally mediated HIV-associated malignancies such as KS and primary CNS lymphoma. The beneficial effects of HAART on these tumors are

attributed to drug-mediated HIV suppression and immune reconstitution. However, HAART has had a less favorable impact on EBV-related malignancies; NHLs remain the most common tumors in the HAART era. This chapter presents an overview of HIV-associated malignancies mediated by HHV-8, EBV, and HPV, and reviews the effect of HAART on the epidemiology, presentation, treatment, and outcomes of these cancers.

1.2 Gammaherpesvirus-Associated Malignancies

The human gammaherpesvirus family includes Epstein–Barr virus (EBV) and human herpesvirus (HHV8), previously known as Kaposi sarcoma-associated herpesvirus (KSHV). Gammaherpesviruses establish a persistent infection, especially in lymphoid cells. In the immunocompetent host, the clinical course is usually asymptomatic. In immunocompromised hosts, such as post-transplant patients on immunosuppression and HIV-infected patients, both EBV and HHV8 are implicated in the development of a wide range of lymphoproliferative disorders.

A. Human Herpes Virus-8-Related Tumors

HHV8 was first isolated from Kaposi sarcoma lesions in patients with AIDS by Chang and Moore in 1994 [1], and subsequent studies demonstrated its association with other lymphoproliferative disorders in this population [2, 3]. Human herpesvirus-8 virus is the etiologic agent of three AIDS-associated malignancies: Kaposi sarcoma, a plasmablastic variant of multicentric Castleman disease (HHV8-MCD), and primary effusion lymphoma. Unlike other herpesviruses, HHV8 is not ubiquitous: while HHV8 is highly prevalent in sub-Saharan Africa (>50%), it is quite rare in most European countries, Asia, and the United States (seroprevalence rate <10%) [4, 5]. The prevalence is elevated in men who have sex with men (MSM) [6–8]. HHV8 is mainly transmitted via saliva [9], and sexual risk factors are probably a surrogate marker for close physical contact [10, 11].

Five clinical variants have been described: classic, endemic, iatrogenic, AIDS-associated (epidemic), and non-epidemic KS [12]. Classic KS describes an indolent cutaneous disease among elderly men of Mediterranean, Eastern European (Ashkenazi) Jewish, and South American origin. Endemic KS is an aggressive HIV-unrelated form that is commonly seen in sub-Saharan Africa, and often presents with visceral involvement. Iatrogenic KS is seen in patients receiving immunosuppressive drugs, particularly those with solid-organ transplants [13]. KS was one of the first manifestations of the HIV/AIDS epidemic in the 1980s. Recently, non-epidemic KS was proposed as a fifth subtype in patients who are at high risk for HIV, but are HIV seronegative [12].

1. AIDS-Associated KS

The introduction of HAART in the mid-1990s has led to a significant reduction in the incidence of KS in developed countries. However, KS is still the most common AIDS-defining malignancy in parts of sub-Saharan Africa where the seroprevalences of both HIV and HHV8 are high. AIDS-related KS exhibits a wide spectrum of clinical presentations. KS is staged using the AIDS clinical trials group modified staging classification (Table 1.1). The prognosis depends on the stage of KS, the level of immunosuppression, and the response to anti-HIV therapy. HAART results in a decrease in the incidence of KS.

KS is a multicentric angioproliferative spindle cell tumor arising from HHV8-infected lymphatic endothelial cells. While HHV8 is the etiologic agent of KS, HIV-induced immunosuppression is also an important cofactor in the induction of this malignancy. Both absolute decreases in CD4+ counts and lack of HHV8-specific T-cell immunity are associated with KS [14, 15]. In addition, KS is independently associated with the degree of HIV viremia [16]. Before the wide-spread use of HAART, patients coinfected with HIV and HHV8 were estimated to be 400–2000 times more likely to develop KS than those with just HHV8 infection [17]. The implementation of HAART in the United States and Western Europe resulted in an initial 80% decrease in the incidence of KS [18]. However, further decreases after 2000 have been more modest, and KS remains the second most common tumor arising in HIV-infected persons in the United States, after non-Hodgkin lymphoma, with a cumulative incidence of approximately 2% in the HAART era [19].

KS lesions may involve the skin, oral mucosa, lymph nodes, and visceral organs, especially the pulmonary and gastrointestinal tract. Most patients present with painless cutaneous lesions, which may have a macular, papular, nodular, or plaque-like appearance. Lesions can range in color from pink to red or purple and in size from several millimeters to large confluent areas. Lesions are typically localized in the oral cavity, on the face, and lower extremities, but can involve almost any site. Visceral disease sometimes occurs in the absence of skin lesions.

TIS staging of KS	Good risk (all of the following)	Poor risk (any of the following)
Tumor (T)	Confined to skin, lymph nodes, or minimal oral disease	Tumor-associated edema or ulceration, extensive oral KS, gastrointestinal KS, KS in other non-nodal viscera
Immune status (I)	CD4 cell count >150 cells/uL	CD4 cell count <150 cells/uL
Systemic illness (S)	Karnovsky performance status >70	Karnovsky performance status <70 or other HIV-related illness

Table 1.1 AIDS clinical trials group modified staging classification

Oral lesions may lead to ulceration, dysphagia, and secondary infection. Gastrointestinal KS has been described in almost half of patients at the time of initial diagnosis [20]. Gastrointestinal involvement is often asymptomatic; however, bleeding, perforation, and obstruction may occur [21]. Pulmonary KS is more frequent among patients with extensive cutaneous disease and more advanced immunosuppression, though 15% of patients with pulmonary KS have no mucocutaneous lesions at diagnosis [22]. In contrast to KS at other visceral sites, pulmonary KS is frequently symptomatic, and patients may present with bronchospasm and/or dyspnea, which may be life-threatening [22].

AIDS-associated KS is staged by the classification developed by the AIDS Clinical Trials Group (ACTG) Oncology Committee [23]. This classification utilizes three variables: tumor extent (T), immune status (I), and systemic symptoms (S), which are classified as good risk (0) or poor risk (1). For tumor burden (T), poor risk (T_1) is defined by the presence of extensive cutaneous or oral disease, tumor-associated edema, ulceration or visceral disease; for immune status, poor risk (I_1) is defined by CD4+ <150 cells/µL; and for systemic illness, poor risk (S_1) is defined by the presence of other opportunistic infections, constitutional symptoms, or poor performance status. The ACTG staging system was developed and initially validated in the pre-HAART era. A survival analysis conducted after the introduction of HAART suggested that tumor extent and systemic illness, rather than CD4+ T-cell count were the most important predictors of survival [24]. It has been proposed that patients can be classified into two main risk categories: good risk $(T_0S_0, T_1S_0, \text{ or } T_0S_1)$ and poor risk (T_1S_1) [24]. The 3-year survival rate for patients at stage T_1S_1 is 53%, compared to the 3-year survival rates with T_0S_0 , T_1S_0 and T_0S_1 , which were 88, 80, and 81%, respectively [24].

The introduction of HAART has dramatically improved the overall survival of patients with KS. The incidence rate of KS declined from 15.2 per 1000 patient-years to 4.9 per 1000 patient-years after the introduction of HAART, with a relative risk (RR) for KS of 0.32 (99% confidence interval [CI] 0.26–0.4) in the HAART era compared with the pre-HAART era [25]. Effective control of HIV viremia with HAART is imperative in patients with AIDS-KS and in patients with limited KS, is often sufficient [26]. For HAART-naïve patients with early KS (T0), the administration of HAART alone was associated with disease regression in several studies [27, 28]. While there is some evidence that HIV protease inhibitors have specific anti-KS activity [29], most studies indicate that prevention or control of KS is related to the degree of control of HIV, rather than the specific HAART regimen utilized [30]. In addition to HAART, a wide variety of treatments appear able to inhibit KS growth, including antiretrovirals, cytotoxic chemotherapeutic agents, retinoids, thalidomide, and matrix metalloproteinase inhibitors [28–34].

2. HHV8-Associated Multicentric Castleman Disease

Castleman disease was originally described in 1956 as localized lymph node hyperplasia resembling a thymoma [35]. It is now understood to be not just a single disease but rather an uncommon, heterogeneous group of nonclonal

lymphoproliferative disorders, which have a broad spectrum of clinical expression. There are generally two clinical variants: either localized to a single lymph node (unicentric) or with systemic involvement (multicentric). Multicentric Castleman disease (MCD) presents with generalized lymphadenopathy, multi-organ involvement, systemic symptoms of fever, fatigue, weight loss, and carries the potential for malignant transformation [36]. HHV8 is the etiologic agent of a plasmablastic form of MCD that is observed in HIV patients. MCD was first diagnosed in two homosexual men with AIDS in 1985 [37]. In individuals with AIDS, MCD is linked with malignant transformation to non-Hodgkin's lymphoma at 15-fold higher rate than those without MCD [38]. Unlike KS, HHV8-MCD appears to be becoming more frequent with the widespread use of HAART [39].

The clinical presentation of HHV8-MCD includes intermittent fevers, night sweats, fatigue, cachexia, edema, along with lymphadenopathy and/or hepatosplenomegaly [40]. Nonspecific respiratory and GI symptoms are common as well. Common laboratory abnormalities include anemia, cytopenias, hypoalbuminemia, hyponatremia, hypergammaglobulinemia, and elevated inflammatory markers such as C-reactive protein (CRP) [41]. HHV8-MCD symptoms are mediated by certain cytokines, especially human IL-6, HHV8 vIL-6, and human IL-10 [41]. vIL-6 is believed to play an important role in pathogenesis of HHV8-MCD, which may be independent or complementary to that of human IL-6, through autocrine and paracrine mechanisms of action [42]. HHV8-MCD is diagnosed via biopsy, whereby affected lymph nodes demonstrate involuted germinal centers with hyperplasia of vasculature and expansion of HHV8-infected plasmablasts in the mantle zone of the follicles [43].

Patients may have a waxing and waning course with exacerbations and subsequent remissions. At times, symptom flares can be severe and fatal. Flares are typically associated with high HHV8 viral loads [44]. There is no single consensus definition of HHV8-MCD flare or symptomatic activity; however, the French ANRS (Agence Nationale de Recherche sur le SIDA Castleman B trial group) have described criteria to define an attack of HIV MCD, based on fever, a C-reactive protein greater than 20 mg/L in the absence of any other cause, and 3 of 12 additional clinical findings (Table 1.2) [45]. HHV8-viral load has at times been used to assess symptomatic patients with HHV8-MCD, although assays vary between groups, and elevated HHV8 viral load is not specific for HHV8-MCD [46]. CT imaging in patients with HHV8-MCD generally shows diffuse, symmetric adenopathy, and hepatosplenomegaly [43]. Hemophagocytic syndromes also have been described [43]. Concomitant KS is present in up to 70% of individuals [43].

There is no standard therapy for HHV8-MCD. HIV-positive patients with HHV8-MCD generally are treated with concurrent HAART in addition to various therapies such as immune modulators, chemotherapy, and antiviral agents [47]. Rituximab, an anti-CD20 monoclonal antibody, given alone or in conjunction with chemotherapy, is thought to confer a beneficial effect by eliminating reactive B-cells, thus depriving the HHV8-infected plasmablasts of proliferation and survival signals by breaking virus and cytokine-driven feedback loops with the

Table 1.2 French ANRS criteria for HIV MCD flare

 Serum C-reactive protein level >20 mg/L in the absence of any other etiology At least, three of the following symptoms:
3 At least three of the following symptoms:
5. At least, the of the following symptoms.
- Peripheral lymphadenopathy
- Splenomegaly
– Edema
– Pleural effusion
– Ascites
– Cough
– Nasal obstruction
– Xerostomia
– Rash
- Central nervous system symptoms
- Jaundice
- Autoimmune hemolytic anemia

Latency pattern	EBNA-1	EBNA-2	EBNA-3	LMP-1	LMP-2	EBER	Disease
Type I	+	_	_	-	-	+	Burkitt lymphoma, primary effusion lymphoma
Type II	+	-	-	+	+	+	Hodgkin lymphoma
Type III	+	+	+	+	+	+	Primary CNS lymphoma

Table 1.3 Expression of EBV latent genes and association with lymphomas

reactive B-cells [48, 49]. However, rituximab is associated with exacerbations of cutaneous KS [50].

Human IL-6 is important to the pathogenesis of MCD, and the use of monoclonal antibodies directed against IL-6 (siltuximab) or its receptor (tocilizumab) has shown clinical efficacy in HIV-negative HHV8 negative MCD [51–53]. However, because vIL-6 is antigenically different from human IL-6, a potential role for siltuximab in the treatment of HHV8-MCD remains to be explored. While human IL-6 is elevated in HHV8-MCD and contributes to symptoms and disease pathogenesis, given the additional role of vIL-6 and other HHV8 genes, it is unknown whether antihuman IL-6 therapy alone will be sufficient.

Even though life expectancy in multicentric Castleman disease has improved in the HAART era, it continues to have a poor prognosis and an increased incidence of non-Hodgkin lymphoma in the HIV context. Infection, multi-organ failure, Kaposi sarcoma, non-Hodgkin lymphoma, and progressive multicentric Castleman disease were the most often reported causes of death [43].

3. Primary Effusion Lymphoma

PEL is a rare lymphoproliferative disorder, accounting for 1–4% of all AIDS-related lymphomas, and even fewer cases in the HIV-negative individual. It was first reported in 1989 by Knowles et al. as a lymphoma syndrome characterized by malignant effusions in HIV-positive individuals. PEL is divided into classic and solid variants. Lymphomatous effusions are characteristic of classic PEL. Pleural involvement is seen in 60–90% of patients, followed by peritoneal (30–60%), pericardial (up to 30%), joint spaces, and rarely, meninges [54–56]. The solid variant of PEL presents with tissue-based tumors involving the GI tract, lung, central nervous system (CNS), skin, and lymph nodes [57]. According to the World Health Organization (WHO) classification of tumors of hematopoietic and lymphoid tissues, the presence of HHV8 is considered an essential criterion for the diagnosis of PEL, though few cases of HHV8-negative PEL have been described. PEL is often associated with other HHV8-associated malignancies, such as KS and HHV8-MCD. Along with HHV8 infection, 70–80% of cases have coexisting EBV infection and latency I gene expression (90%) [58].

PEL usually presents in HIV-infected young to middle-aged men, with a median age at diagnosis of 41 years. The male to female ratio is 8:1. Patients are usually severely immunosuppressed and present with advanced disease (stages III and IV) at diagnosis. The diagnosis of PEL requires the demonstration of HHV8 in the neoplastic cells. EBV coinfection can be demonstrated through in situ staining for EBV-encoded small RNAs. Neoplastic cells have a unique phenotype characterized by CD45, CD30, CD38, CD138, and MUM1 coexpression [55]. Classic B-cell and T-cell markers are typically not seen.

There is no standard therapy for PEL and prognosis is poor. Historically, median survival ranges between 3 and 9 months; 2-year overall survival rates of 33–39% are reported in studies using CHOP (cyclophosphamide, doxorubicin, vincristine, and prednisone) or CHOP-like regimens [56]. Bortezomib, a proteasome inhibitor that induces HHV8-lytic activation, along with lenalidomide, an immunomodulatory and antineoplastic agent, is commonly used in combination with chemotherapy [59]. Other targeted agents that have demonstrated activity in mouse models include the mTOR inhibitor sirolimus and the anti-CD30 immunotoxin, brentuximab vedotin [60, 61]. Highly active antiretroviral therapy should be continued or initiated in patients with HIV infection. Although PEL is a CD20-negative tumor, advances in understanding the mechanism of HHV8-infection of B-cells, and clinical overlap with HHV8-MCD support the use of rituximab in the treatment of PEL, especially in patients with concurrent HHV8-MCD.

B. Epstein-Barr Virus

EBV is the most common persistent virus infection in humans, and approximately 95% of the world's population has an asymptomatic lifelong carrier status. Acute infection occurs in the epithelium of the oropharynx and may be asymptomatic or

cause infectious mononucleosis. In immunocompetent persons, the virus then generally forms an asymptomatic latent chronic infection primarily in B-cells [62].

EBV encodes several latency-associated genes that are variably expressed during primary and chronic infection, and which may contribute to oncogenesis. The level of immunosuppression defines the type of lymphoma that will develop in the HIV⁺ setting [63]. EBV-associated lymphomas can be classified into 3 different categories based on latency patterns, which provide insight into disease pathogenesis. Three EBV latency patterns are recognized (Table 1.3).

Latency 1 tumors generally occur at relatively preserved CD4+ T-cell counts. The tumor cells express EBV nuclear antigens (EBNA) 1, EBV-encoded RNA (EBER), and several microRNAs, and are associated with monomorphic DLBCL, Burkitt lymphoma, and plasmablastic lymphoma [64]. EBNA1 expressed in all latently infected cells is responsible for the maintenance and replication of the episomal EBV genome. It can also induce oxidative stress as well as promote telomere dysfunction [65]. EBNA1 may inhibit c-Myc induced apoptosis, and thereby contribute to oncogenesis [65].

Latency II is an intermediate pattern with expression of many proteins except for EBNA2. The expression of latency membrane protein 1 (LMP1) in the absence of EBNA2 is used to demonstrate latency type II. LMP1 functions as a classic oncogene and is essential for EBV-induced B-cell transformation in vitro [66]. Classical Hodgkin lymphoma has latency 2 pattern (Table 1.3).

EBV type 3 latency pattern is the most immunogenic and is characterized by the expression of all six EBV nuclear antigens (EBNA) and all three LMPs. This latency pattern can be found in individuals with severe immunosuppression and is typical of EBV-infected immortalized B-cells. The viral proteins are highly immunogenic and trigger a strong cytotoxic T-cell reaction. The expression of EBNA2 is important to demonstrate latency type III. Latency 3 tumors occur at the lowest CD4+ T-cell counts and include primary CNS lymphoma. CD4+ T-cell immune reconstitution with HAART is most important in the prevention and treatment of this category of EBV-associated lymphomas.

1. AIDS-Related Lymphomas

AIDS-related lymphoma remains a significant cause of morbidity and mortality in HIV-infected individuals. The increased risk for lymphoma among HIV-infected individuals is related to duration and degree of immunosuppression, induction of cytokines leading to B-cell proliferation, and opportunistic infections with oncogenic herpesviruses such as EBV and HHV8 [67]. The relative risk of AIDS-associated malignancies increases as CD4+ T-cell counts decline [68]. Over 80% of all cases are associated with EBV. The HIV-associated lymphoma subtypes, which are related to EBV infection, include both classic Hodgkin's lymphoma and non-Hodgkin's lymphomas, such as diffuse large B-cell lymphoma, primary central nervous system lymphoma, Burkitt lymphoma, and plasmablastic lymphoma. EBV is also associated with rare cases of leiomyosarcoma in children with HIV [69].

Compared with the types of lymphomas that occur in HIV-negative individuals, AIDS-associated lymphomas usually comprise the more aggressive histological subtypes and have a higher incidence of extranodal involvement and an aggressive clinical course [70]. The incidence of high-grade B-cell non-Hodgkin lymphoma (NHL) in the pre-HAART was 60–200 times higher in HIV-infected individuals than in HIV-uninfected persons [70]. HAART is associated with a decrease in incidence of opportunistic infections and AIDS-associated malignancies, including NHL; nevertheless, the incidence ratio of NHL still remains relatively high in HIV-infected patients [70–72]. However, the incidence of PCNSL has dramatically decreased since the introduction of HAART [73]. Although the incidence of HIV-associated NHLs has significantly decreased after the introduction of HAART, with the most dramatic decline observed in PCNSL, this decline is less marked than other HIV-associated morbidities [74]. The overall prevalence of HIV-associated lymphoma is significantly higher compared to that of the general population and it continues to be relevant even after the wide availability of HAART.

a. Non-hodgkin Lymphomas

NHL has been considered as an AIDS-defining cancer since 1985 and still remains one of the major causes of death in HIV-infected patients [75]. Diffuse large B-cell lymphomas (DLBCL), which include including primary central nervous system lymphoma (PCNSL) and Burkitt lymphoma (BL), constitute 90% of HIV-related non-Hodgkin lymphomas (NHL) with relative frequencies of 50 and 40%, respectively [76]. After the implementation of HAART, the risk of developing aggressive B-cell NHL has decreased, while the risk of developing plasmablastic lymphoma, primary effusion lymphoma (PEL), and classical Hodgkin lymphoma has increased [18].

i. Diffuse Large B-Cell Lymphoma

HIV-associated diffuse large B-cell lymphomas can involve lymph nodes, or present in virtually any extranodal site. The brain is the most common extranodal site, with primary CNS lymphomas accounting for 15–30% of HIV-associated NHL lymphomas [76]. Other frequently involved extranodal sites in HIV-infected patients include the gastrointestinal tract, liver, and bone marrow.

ii. Primary CNS Lymphoma

PCNSL is defined as the involvement of the brain, leptomeninges, eyes or spinal cord by a lymphoma. It occurs late in the course of HIV disease and is associated with extremely low CD4 cell counts (<50 cells/ μ l). HIV⁺ patients present at a younger age, worse performance status, higher lactic dehydrogenase (LDH) at presentation and shorter overall survival compared to HIV⁻ patients [77].

Clinical presentation includes headaches, focal neurological signs, changes in mental status, confusion, memory loss, and seizures [78]. Radiography shows solitary or multiple contrast-enhanced lesions, often with periventricular and central necrosis, along with prominent mass effect and edema. It is often difficult to differentiate cerebral mass lesion from toxoplasmosis as both are seen in advanced immunodeficiency (CD4 cell counts <50 cells/mm [3]) and present with headaches, focal neurologic deficits, and similar radiological findings.

All cases of HIV-associated PCL are associated with EBV, which may be detected by immunohistochemical staining of biopsy tissue or by PCR amplification of cerebrospinal fluid. Histopathology reveals high-grade, diffuse large B-cell or immunoblastic non-Hodgkin lymphoma cells. Despite a high response rate of around 50%, radiotherapy, when used alone, does not provide a substantial survival benefit in patients with primary CNS lymphoma, with a median overall survival of 10–18 months and 5-year overall survival of 5% [79]. High-dose methotrexate-based chemotherapy is recommended for first-line treatment of primary CNS lymphoma [79]. Chemotherapeutic treatments to be combined with high-dose methotrexate should be selected from active drugs known to cross the blood–brain barrier.

iii. Burkitt Lymphoma

BL is a highly aggressive B-cell NHL. BL constitutes 40% of the HIV-related lymphomas. In contrast to DLBCL, BL tends to occur in patients with relatively preserved immune function; CD4+ T-cell counts are relatively normal (usually >200/µl) [80].

Patients typically present with advanced stage disease, B symptoms such as fever, night sweats, weight loss, and poor performance status. Nodal and extranodal involvement is common, with lesions frequently seen in the gastrointestinal tract, bone marrow (BM), or central nervous system (CNS) [81]. Symptoms are related to either abdominal mass or extensive bone marrow infiltration. Morphologically, BL in the HIV⁺ setting demonstrates more variation in cell size and shape with more plasmacytoid morphology with eccentrically located nuclei and amphophilic cytoplasm as compared to sporadic cases [81]. The clinical course is rapidly progressive, with a propensity to involve the CNS. Prognostic factors include CD4+ T-cell count, PS, and bone marrow involvement [82]. HIV-positive patients are currently treated with the same intensive chemotherapy regimens used for immunocompetent patients. Survival of BL patients has remained poor in the HAART era [83].

iv. Plasmablastic Lymphoma

Plasmablastic lymphoma (PBL), a distinct subtype of DLBCL, constitutes approximately 3% of HIV-related lymphomas [84]. It is considered an AIDS-defining illness and was first described in 1997 as an HIV-related lymphoma involving the jaw and oral cavity [85]. Subsequent studies have shown its

association with other types of immunodeficiency including post-transplant and iatrogenic, and age-related immunosenescence [86]. HIV-associated PBL has a predilection for the oral cavity (50%); however, in 45% of the cases there is extraoral involvement; most commonly the gastrointestinal tract, followed by the sinonasal cavity, skin, soft tissue, lung, bones, and less frequently lymph nodes [84]. PBL occurs at all ages, but patients with HIV-related disease are significantly younger than those with other types of immunosuppression, tend to be male, and have advanced stage (III/IV) at presentation. The prognosis is generally poor with a high mortality. Studies have shown poor prognosis regardless of the therapy received; in many cases, even a complete response to chemotherapy did not significantly improve survival, with median time of 6–7 months [87].

b. Hodgkin Lymphoma

HL is the most common non-AIDS-defining cancer [88]. HIV-infected patients have a fivefold to 25-fold higher chance of developing HL in comparison to the general population, with HAART therapy having no impact in its incidence [89]. HIV-associated HL has an aggressive clinical presentation with systemic B symptoms, disseminated extranodal disease, and bone marrow involvement in roughly 50% of cases [90]. The predominant subtypes of HL in HIV-positive are the mixed cellularity (MC) and lymphocyte-depleted (LD) individuals, while in the general population the most common is the nodular sclerosis subtype [88].

The combined use of HAART and chemotherapy has significantly improved the prognosis of patients with HIV-HL. The post-HAART era is associated with an improvement in survival which was attributed to virological response to antiretroviral therapy and a reduction in HIV-associated mortality [91, 92]. Current recommendations are to treat HIV-infected individuals as aggressively as HIV-negative patients, along with providing supportive therapy such as hematopoietic growth factors, and prophylaxis against opportunistic infections.

1.3 Human Papillomavirus-Associated Malignancies

Human papillomavirus (HPV) is the most common sexually transmitted infection worldwide, with 14 million persons infected annually and a prevalence of 79 million persons worldwide [93]. It is the etiologic agent of cervical cancer and is related to a subset of cancers of the anus, penis, vagina, and vulva, as well as a proportion of head and neck squamous cell cancers in the immunocompetent patient and in individuals with AIDS [94]. Persons with AIDS have an increased risk of developing these cancers, which is related to the high incidence and persistence of HPV infections in this population [95]. HPV prevalence in HIV-positive men has been reported to be as high as 93% [93].

Human papillomaviruses are small DNA viruses that infect squamous epithelial tissues. The highest prevalence of HPV is found in the skin (61%), followed by the mucosal surfaces, including vagina (41.5%), and mouth (30%) [96]. Over 150 types of HPV have been identified; approximately one-third of these infect the squamous epithelia of the genital tract and are sexually transmitted. HPV subtypes are divided into two groups: low-risk, non-oncogenic HPV types which are associated with anogenital warts, and high-risk oncogenic types which are associated with genital and oropharyngeal cancers. Approximately, 15 genital HPVs are categorized as high-risk genotypes and cause most cervical cancers, with over 99% of cervical lesions containing HPV viral sequences [97]. High-risk HPVs are also associated with many penile, vulvar, and anal carcinomas, and contribute to over 40% of oral cancers [98]. High-risk genotypes include 16, 18, 31, 35, 45, 51, 52 and 58 (Table 1.4) [99, 100]. High-risk HPV are more prevalent among HIV-infected individuals [101].

HPV oncoproteins disrupt multiple cellular signaling pathways to maintain infected cells in a proliferative state to facilitate viral replication and persistence. Consequently, mutations in cellular genes accumulate, leading to increased genomic instability, which results in full transformation. The primary viral factors responsible for altering these pathways and mediating progression to malignancy are the E5, E6, and E7 proteins [102]. The efficient disruption of p53 and Rb function by E6 and E7 is crucial for this process [102].

HPV-related tumors in HIV-positive patients tend to occur at a younger age and at a more advanced stage than in HIV-negative patients [103–105]. In a multivariate analysis, low CD4+ counts (≤ 200 cells/µL) were shown to be the strongest independent predictor of infection with high-risk HPV genotypes and genital warts [105]. Furthermore, HIV-positive patients with genital warts have greater resistance to standard treatment and HIV-positive women being treated for CIN are more likely to relapse, as compared to the general population [106, 107]. Similar to other sexually transmitted infections, HPV is thought to confer greater susceptibility to the acquisition of HIV. One randomized control trial of 2168 young men in Kenya demonstrated that HPV infection was independently associated with HIV acquisition [108]. A similar study in the US showed comparable results with a 3.5-fold increased risk of HIV seroconversion in HPV-positive MSM [109]. The impact of HAART on HPV infection and HPV-associated diseases is not well understood. HPV-associated malignancies, such as anal carcinoma and invasive cervical cancer, have remained stable or have even increased [104].

Low risk	6, 11, 40, 42, 43, 44, 54, 61, 70, 72, 81, 89
High risk	16, 18, 31, 33, 35, 39, 45, 51, 52, 56, 58, 59, 66, 68, 69, 73, 82

Table 1.4 Classification of oncogenic risk by HPV genotype

A. Cervical Cancer

In 1993, the CDC designated squamous cell carcinoma (SCC) of the cervix as an AIDS-defining cancer, as its incidence is estimated to be five times greater among female AIDS patients [104]. This is attributed to high-risk sexual behavior which underlies both HIV and HPV transmission, as well as the fact that HPV increases the efficiency of HIV sexual acquisition and the impact of immunosuppression on HPV persistence [110]. HIV is associated with a high frequency of multiple HPV genotypes, a higher prevalence and persistence of HPV in the cervix, as well as the higher prevalence of cervical intraepithelial neoplasia (CIN)/squamous intraepithelial lesion (SIL), a higher progression from low-grade SIL (LGSIL) to high-grade SIL (HGSIL), and a greater likelihood of relapse of CIN II/III after therapy [111]. The risk for SIL is greatest among women with CD4 counts <200 cells/mm³ [111]. HIV-infected women are more likely than uninfected women to develop cervical HPV infections, have multiple infecting HPV types, be less likely to clear HPV, and be more likely to progress through preneoplastic stages to develop cervical cancer. Studies have shown that HIV is associated with a 27% increase in cancer-specific mortality among women with cervical cancer [104]. In most centers, HIV-positive women with invasive cervical cancer are treated using the same protocols as are used in immunocompetent women, which includes a combination of surgery, chemotherapy, and radiotherapy [111].

B. HPV-Associated Squamous Cell Cancer of the Anal Cancer

HPV infection is associated with SCC of the anal canal (AC), which is relatively low in the general population but is substantially elevated in HIV-positive patients, especially men who have sex with men [110]. HPV16 has a well-documented association with AC and is found in approximately 70% of AC lesions [103]. Anal carcinoma has been included in the non-AIDS-defining cancers, which cumulatively still represent a leading cause of death among virologically suppressed individuals with high CD4+ cell counts [103]. The relative risk for developing AC is 37 times greater among HIV-positive MSM and 10 times greater among renal transplant recipients than that of the general population [109]. Its incidence continues to increase despite the introduction of HAART [103]. In addition, HIV-positivity is associated with higher recurrence rates after treatment and worse recurrence-free survival [111].

HIV-positive patients should be treated similarly to non-HIV-positive individuals. HPV-associated SCC is treated based on stage. In local and locally advanced AC, concomitant chemoradiation therapy based on mitomycin C and 5-fluorouracil (5-FU) is the current best treatment, with metastatic AC, chemotherapy with 5-FU and cisplatin remains the gold standard [112, 113]. There are no indications for induction or maintenance therapies in locally advanced tumors. Many novel strategies such as targeted therapies, vaccination, immunotherapy, and photodynamic therapy are in clinical trials for the treatment of AC.

C. Intraepithelial Neoplasia

Persistent infection with oncogenic HPV types has also been associated with vaginal intraepithelial neoplasia (VIN), penile intraepithelial neoplasia (PIN), and their progression to invasive squamous cell carcinoma. The incidence of precursor lesions and their subsequent progression to cancer is markedly higher in HIV-positive men and women compared with HIV-negative counterparts [113]. HIV-positive women have increased incidence and prevalence of both VIN and vulvovaginal carcinoma, with VIN occurring 29 times more frequently in HIV-infected compared to non-HIV-infected women [114]. VIN in immunosuppressed patients often presents as multifocal, extensive disease with tendency to recur after treatment [115]. HIV-positive men have a twofold to threefold increased risk for penile cancer compared to their HIV-negative counterparts and higher rates of PIN [116].

D. Squamous Cell Cancers of the Head and Neck

Human papillomavirus has been identified as a causal factor in a subset of head and neck squamous cell cancers, primarily involving the oropharynx. The incidence of oropharyngeal cancers has increased over the past several decades, while other head and neck cancers have decreased. The estimated oral HPV prevalence is 40% in HIV-positive individuals [117]. Most HPV-associated HNSCC involves the oropharynx, and a recent large case series of head and neck cancers in HIV-infected individuals found that 64% of HIV oropharyngeal cases were HPV positive [118].

1.4 Conclusion

Malignancies continue to be a significant cause of morbidity and mortality in patients with AIDS. The majority of cancers in these patients are associated with coinfection with oncogenic viruses such as HHV8, EBV, and HPV. For certain AIDS-defining malignancies such as KS and PCNSL, the impact of HAART has been dramatic, with significant decreases in incidence and improvement in treatment outcomes. For other malignancies such as AIDS-associated lymphoma and HPV-associated SCC, HAART appears to have no effect on the natural history of the malignancy. Because the life expectancy of patients with HIV has increased, the vulnerability of this population to comorbidities such as cancer has also risen. While there have been dramatic advances in increasing the longevity and survival of patients with HIV/AIDS, further research is necessary to continue preventing and treating malignancies in HIV/AIDS.

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Molecular Biology of KSHV in Relation to HIV/AIDS-Associated Oncogenesis

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Abstract

Discovered in 1994, Kaposi's sarcoma-associated herpesvirus (KSHV) has been associated with four human malignancies including Kaposi's sarcoma, primary effusion lymphoma, a subset of multicentric Castleman's disease, and KSHV inflammatory cytokine syndrome. These malignancies mostly occur in immunocompromised patients including patients with acquired immunodeficiency syndrome and often cause significant mortality because of the lack of effective therapies. Significant progresses have been made to understand the molecular basis of KSHV infection and KSHV-induced oncogenesis in the last two decades. This chapter provides an update on the recent advancements focusing on the molecular events of KSHV primary infection, the mechanisms regulating KSHV life cycle, innate and adaptive immunity, mechanism of KSHV-induced tumorigenesis and inflammation, and metabolic reprogramming in KSHV infection and KSHV-transformed cells.

2.1 Introduction

Discovered in 1994, KSHV is a human oncogenic gammaherpesvirus [1]. KSHV is causatively associated with several malignancies, including Kaposi's sarcoma (KS), primary effusion lymphoma (PEL), a subset of multicentric Castleman's disease (MCD), and KSHV inflammatory cytokine syndrome (KICS), most of which are commonly found in HIV-1-infected individuals [1–4].

KS is a multifocal mesenchymal neoplasm characterized by neo-angiogenesis, inflammatory infiltration, and spindle-shaped tumor cells that express mixed cellular markers, including vascular and lymphatic endothelial, mesenchymal, and hematopoietic precursor cells [5]. Early stage of KS primarily affects mucocutaneous tissues but advanced stage of KS is often involved with visceral organs [5]. KS is one of the most common malignancies in AIDS patients. While the advent of antiretroviral therapy has substantially reduced the incidence of KS in Western countries, it has stabilized or even rebound in recent years in some populations, and continues to be the most common cancer in some African regions [6]. Hence, KS remains to be one of the most important malignancies in AIDS patients causing significant morbidity and mortality.

PEL is a rare and aggressive non-Hodgkin's B cell lymphoma clinically characterized by lymphomatous effusions in body cavities usually without tumor masses [7]. PEL often occurs in advanced AIDS patients with a decreased CD4 T cell count at diagnosis. Approximately, half of PEL patients have KS or are at risk for developing KS. PEL is resistant to conventional chemotherapy with a short median survival of less than 6 months [7].

MCD is a polyclonal B cell lymphoproliferative disorder characterized by inflammatory symptoms, including fever, cachexia, lymphadenopathy, splenomegaly, cytopenia, and hypoalbuminemia [8]. MCD in the setting of HIV is typically associated with KSHV infection and is usually fatal without treatment. Furthermore, there is no established standard of treatment for KSHV-associated MCD [8].

KICS is a newly described severe systemic inflammatory symptom associated with elevated viral loads and cytokine production [4]. The symptoms of KICS are similar to MCD but without any pathological evidence of MCD. KICS patients have poor prognosis, stressing the need for better understanding of its biology [9].

To dissect the biology of KSHV-associated malignancies and discover new approaches for potential therapy, extensive studies of KSHV from the aspects of virology to its associated pathogenesis have been done in the last three decades. Here, we present an update of literature review of KSHV in the following topics: (1) primary infection, (2) life cycle, (3) immunity, (4) tumorigenesis, (5) inflammation, and (6) metabolism. Because of space constraint, we can't describe all studies in detail and cite every reference. However, several excellent reviews have been published in the last few years and readers are advised to refer to those articles and the previous edition of this book chapter for additional information [5].

2.2 KSHV Primary Infection

KSHV has a broad cellular tropism and infects numerous cell types in vivo and in vitro, including endothelial cells, B cells, monocytes, macrophages, epithelial cells, keratinocytes, mesenchymal stem cells, and neurons [10–14]. Following primary infection, KSHV eventually establishes latency in all the cell types examined so far. While KSHV establishes latent infection without any active lytic replication in some cell types, it has an early full productive replication phase shortly after primary infection in others [15, 16]. To better understand the mechanism that controls KSHV latency and lytic replication following primary infection, it is necessary to identify cell types and conditions that support early lytic replication and the associated cellular pathways.

2.2.1 Attachment, Entry, and Cellular Receptors

KSHV enters the host cell and delivers its genome into the nucleus through a series of events tightly regulated by diverse viral and host factors [17, 18]. These events include attachment to the host cell surface, binding to specific entry receptors, and internalization through fusion of viral envelope with the membrane of intracellular vesicles following receptor-mediated endocytosis [17, 18].

The attachment of KSHV to the host cell is through interactions between viral glycoproteins (gB, gH, and gpK8.1) and cell surface molecule heparan sulfate, a linear polysaccharide ubiquitously expressed at the extracellular matrix [19–22]. Following attachment, KSHV binds to the specific entry receptors, including integrins, DC-SIGN, xCT, and ephrin type-A receptor 2 (EphA2), and activates a cascade of signaling pathways to promote receptor-mediated endocytosis [17, 18].

Integrins are a large family of cell adhesion receptors, widely expressed in various cell types, including endothelial cells and B cells. KSHV was the first herpesvirus demonstrated to utilize integrins as entry receptors [13]. An integrin binding RGD motif (arginine–glycine–aspartic acid) of glycoprotein gB mediates its interactions with integrins $\alpha 3\beta 1$, $\alpha V\beta 3$, and $\alpha V\beta 5$ expressed on the surface of human foreskin fibroblasts (HFF), human dermal microvascular endothelial cells (DMVEC), human monocytic THP-1 cells, human fibrosarcoma HT1080, Vero cells, and HEK-293T cells [13, 23–25].

Dendritic cell-specific intercellular adhesion molecule-3-grabbing non-integrin (DC-SIGN) is a C-type lectin expressed by dendritic cells (DCs), macrophage subpopulations, and activated B lymphocytes. KSHV uses DC-SIGN as a binding and entry receptor to infect human myeloid DCs, macrophages, and activated B cells [26, 27]. While blocking binding of KSHV to DC-SIGN does not affect virus attachment to the cells, it inhibits KSHV infection in human monocytic THP-1 cells [23].

Human cysteine/glutamate exchange transporter system x_c^- (xCT) is an amino acid transporter that imports L-cystine and exports L-glutamate across plasma

membrane [28]. xCT mediates KSHV cell fusion and virion entry [29]. xCT interacts with $\alpha 3\beta 1$ integrin to form a complex, which triggers downstream signaling cascades essential for viral gene expression during primary infection of DMVEC [24].

Eph2A, a receptor protein tyrosine kinase (RTK), serves as an entry receptor through direct interaction with gH/gL glycoprotein complex [12, 30]. Eph2A plays an important role in regulating macropinocytosis and trafficking of KSHV through its association with signaling molecules (e.g., FAK, Src, and c-Cbl) in the lipid raft (LR) regions during primary infection of DMVEC [31]. In contrast, KSHV infection of HFF induces association of integrins with Eph2A in non-LR regions, suggesting a crucial role of Eph2A in KSHV entry through clathrin-mediated endocytosis [32].

2.2.2 Internalization and Intracellular Trafficking

KSHV infects most types of cells through clathrin-mediated endocytosis and macropinocytosis. Clathrin-mediated endocytosis is an endocytic portal into cells through which cargos are taken up using clathrin-coated vesicles. KSHV enters human umbilical vein endothelial cells (HUVEC), HFF, HEK293 cells, and BJAB cells via clathrin-mediated endocytosis [33–35]. During infection of HUVEC, KSHV particles are co-localized with early endosome antigen (EEA1) and late endosome/lysosome marker (LAMP1) [34]. By electron microscopy, KSHV virions are present in the endocytic vesicles in HFF cells [33], and KSHV entry is sensitive to inhibitors of clathrin-mediated endocytosis [33, 34].

KSHV utilizes macropinocytosis as the major route to enter DMVEC [36]. Inhibition of membrane blebbing, an important event in macropinocytosis, significantly blocks KSHV entry [37]. It is identified that ESCRT-0 component Hrs regulates KSHV entry and ESCRT-I protein Tsg101 plays a role in the trafficking of virus particles in DMVEC [38, 39].

Studies on other cell types further suggest that KSHV entry is cell type-dependent. KSHV enters THP-1 via clathrin- and caveolae-mediated endocytosis but not macropinocytosis [23] while KSHV enters primary B lymphocytes by DC-SIGN-mediated endocytosis [26].

Upon internalization, the intracellular transport of KSHV particles relies on the cytoskeletons. In HUVEC, KSHV is co-localized with actin filaments during early infection and induces dynamic actin cytoskeleton rearrangements. Disruption of actin dynamics significantly inhibits KSHV trafficking [34]. In addition, KSHV infection modulates microtubule polymerization to promote the trafficking of viral capsids in HFF [40]. Disruption of microtubule formation or impairing dynein-directed retrograde microtubule transport strongly reduces KSHV trafficking [40].

2.2.3 Regulation of Cellular Signaling Pathways During Primary Infection

KSHV dysregulates multiple signaling pathways to promote primary infection [18]. Interactions between KSHV and cell surface receptors activate focal adhesion kinase (FAK) signaling in several cell types [41]. Activated FAK is vital for many processes including cytoskeleton rearrangement and endocytosis, which facilitate virus entry [42]. Calcium and integrin binding protein 1 (CIB1), an enhancer of FAK, ERK1/2, and PAK kinases [43, 44], facilitates Eph2A-related signaling and regulates KSHV entry and macropinocytosis [45]. c-Cbl, a multifunctional E3 ubiquitin ligase, is induced by KSHV to promote virus entry in endothelial cells [37, 46, 47]. In addition, KSHV infection induces reactive oxygen species (ROS) to promote virus entry and subsequent viral gene expression [48].

Primary KSHV infection activates ERK, JNK, p38 MAPK pathways to promote virus entry, viral gene expression, and productive viral replication [49-51]. KSHV infection suppresses dual-specificity phosphatase-1 (DUSP1) to activate MAPK signaling, facilitating viral gene expression, pro-inflammatory factor secretion, and cell invasiveness [52]. In HUVEC, KSHV activates MSK/CREB1 signaling pathway in an ERK- and p38-dependent manner to regulate viral lytic replication at the postentry stage [53]. Endogenous activity of AMPK, which maintains cellular homeostasis, inhibits KSHV lytic replication [54]. Activation of AMPK activity decreases while inhibition of AMPK increases KSHV lytic replication during primary infection of HUVEC [54]. In addition, KSHV infection leads to sustained NFκB induction, which regulates viral and host cell gene expression and possibly affects the establishment of latent infection [55]. Nuclear factor erythroid 2-related factor 2 (Nrf2) is activated by KSHV infection through an ROS-dependent pathway [56]. Knockdown of Nrf2 decreases early lytic gene expression but increases latency-associated nuclear antigen (LANA) expression in the infected cells, indicating its crucial role in viral gene expression [56].

2.2.4 Viral Gene Expression During Primary Infection and the Establishment of Viral Latency

Viral gene expression profiles during KSHV primary infection are heavily dependent on the types of cells infected [57–59]. In cells that support productive KSHV infection (e.g., HUVEC), the expression of latent transcripts precedes the cascade of lytic genes [57]. Latent transcripts are sustained at high levels throughout infection. The lytic transcripts are expressed in the order of immediate early (IE), early (E), and late (L) transcripts, and reach peaks at around 54 h post infection (hpi). After 54 hpi, the levels of lytic transcripts decline while latent transcripts continue to increase, leading to the switch from lytic replication to viral latency [57]. In cells such as CD14+ monocytes, HFF, and DMVEC that support minimal lytic activities without producing infectious virions during KSHV primary infection, the expression of lytic transcripts is weak and transient (within 24 hpi) while latent transcripts are expressed throughout the infection process [58, 59].

The establishment of latency is an essential step for persistent infection and induction of KSHV-associated malignancies. One hallmark of KSHV latency is the global repression of viral lytic genes. During primary infection, the chromatin-free KSHV genome undergoes biphasic chromatinization with an initial transcriptionally active euchromatin phase characterized by high levels of the H3K4me3 and H3K27ac activating histone marks, followed by a heterochromatinization phase featured by decreased levels of activating histone marks and increased levels of repressive marks H3K27me3 and H2AK119ub [60–62]. The euchromatin-to-heterochromatin transition corresponds with the expression switch of viral lytic to latent genes and depends on the recruitment of polycomb repressive complexes 1 and 2 (PRC1 and PRC2) to lytic promoters by LANA [63].

KSHV triggers DNA damage response (DDR) signaling inducing phosphorylation of DDR-associated proteins, ataxia telangiectasia mutated (ATM) and H2AX, during primary infection of endothelial cells [64]. Inhibition of ATM or H2AX activation leads to over 80% reduction in the nuclear viral DNA copy number, indicating an essential role of the DDR proteins for the establishment of KSHV latency during primary infection [64].

2.3 KSHV Life Cycle

Following an acute phase of infection with or without active lytic replication, KSHV enters latency, which is essential for the development of KSHV-associated malignancies [65]. Upon stimulation by specific intracellular and extracellular signals, latent KSHV is reactivated into lytic replication which culminates in virion production and cell death [65].

2.3.1 The Latency Locus

The KSHV latency locus encodes LANA, viral homologues of the cellular FLICE-like inhibitory protein (vFLIP) and cyclin D (vCyclin), Kaposin A, B, and C, and 12 precursor microRNAs (pre-miRNAs). Transcription of the latent locus occurs from LANA promoters (LT1 and LT2) and Kaposin promoter. LT1 drives the expression of LANA, vCyclin, and vFLIP whereas LT2 drives the expression of vCyclin and vFLIP [66–68]. The LANA promoter is bidirectional and can drive the expression of upstream lytic genes such as surface glycoprotein vOX2 and viral G-protein coupling receptor (vGPCR) during reactivation [69]. Of the 12 pre-miRNAs, miR-K1 to -K9 and -K11 form a cluster region located between vFLIP and Kaposin while miR-K10 and miR-K12 are in the Kaposin coding region or 3'UTR, respectively [70]. In addition to the latency locus, KSHV encodes another latent protein viral interferon regulatory factor 3 (vIRF-3) located outside the latency locus, which is expressed in PEL but not in KS cells [71].

2.3.2 KSHV Latency and Latent Nuclear Antigen (LNA) or Latency-Associated Nuclear Antigen (LANA)

LANA (LNA) is a KSHV latent protein discovered as an immunodominant antigen and has been used for detecting KSHV infection [72–74]. LANA is approximately 1162aa in length with a proline-rich N terminal and repeats regions (CRs) composed of glutamine (Q), glutamic acid (E), and aspartic acid (D) [75]. The CRs can be further divided into three distinct regions: CR1 (aa 321–429), CR2 (aa 430–768), and CR3 (aa 769–937), with CR3 containing a leucine zipper domain. CR1 is involved in immune evasion by inhibiting major histocompatibility complex class I (MHC-I) peptide presentation in *cis* [76] while CR2 and CR3 decrease LANA synthesis and enhance its stability [77]. Although its predicted size is 135 kDa, LANA is resolved as double bands of 226–234 kDa in SDS-PAGE [72]. The second band is the result of a 76 aa truncation in the C-terminal region [78]. Besides the two bands, multiple bands between 150 and 180 kDa are present in KSHV-infected cells due to noncanonical translation initiation [79].

LANA is a multifunctional protein and its key function is to maintain the cellular persistence of KSHV episome [65]. During latency, the KSHV genome replicates once in each cell cycle, and the copy number is stable (40–150 copies/cell in PEL cells) [80–82]. Without LANA, KSHV is unable to establish and maintain its episome in mammalian cells [83]. LANA has an essential nuclear localization signal (NLS) at its N-terminus (aa 24–30) and a second one at the C-terminus, and is detected in dot-like pattern by immunohistochemistry or immunofluorescence [73, 74, 84]. The N-terminus also has a chromosome binding site (CBS) (aa 5–13), which interacts with histones H2A/H2B whereas the C-termini has a DNA binding and a dimerization domain (DBD), which allows LANA to bind to LANA-binding sites (LBS) located within KSHV terminal repeat (TR) region [85–88].

LANA interacts with chromatin-associated proteins such as heterochromatin protein 1a (HP1), KSHV LANA-interacting protein 1 (KLIP1), methyl CpG-binding protein (MeCP2), bromodomain protein 4 (Brd4), RING3/Brd2, kinetochores-associated proteins such as centromere protein F (CENP-F), budding uninhibited by benzimidazoles (Bub-1), and nuclear mitotic apparatus protein (NuMA) [89–94]. Furthermore, LANA is associated with nucleophosmin (NPM), and the origin recognition complexes (ORCs) [95]. Some of these interactions are essential for KSHV genome segregation to daughter cells and repression of KSHV lytic replication. LANA silences the replication and transcriptional activator (RTA) promoter and interacts with RTA to inhibit its transactivation function [96]. Deletion or disruption of LANA abolishes the establishment of KSHV latency and increases the expression of KSHV lytic genes and production of infectious virions [83, 97]. Hence, LANA is the predominant regulator in maintaining latency by mediating episome replication, proper segregation to daughter cells, and repressing KSHV lytic replication program [65]. LANA also contributes to KSHV latency by promoting host cell proliferation and survival, which will be detailed in a later section.

2.3.3 Epigenetic Silencing and Regulation of KSHV Latency

To silence the expression of viral lytic genes, the KSHV genome undergoes epigenetic remodeling during latency. The KSHV genome is heavily methylated and contains histone repressive marks and HDACs [61, 62, 98–102]. To mediate viral genome replication, LANA binds to the latent origin of replication in the TR, which also harbor ORC, MCM, CDC6, PARP1, and hyperacetylated histones [100, 103–105]. During latency, the spread of transcription beyond the latent locus is arrested by H19/Lgf2 insulators recruited to the CTCF-binding site, which also harbors CTCF, cohesins, RAD21, SMC1 and SMC 3 [106, 107], mediating viral chromosome conformation, expression of latent genes, and silencing of lytic genes [108–110]. In addition to LANA, vFLIP and miR-K1 promote KSHV latency by activating the NF- κ B pathway [111, 112]. Several KSHV miRNAs inhibit RTA expression by direct targeting and silencing or indirect activation of cellular pathways including Rbl2, DNMTs, NFIB, and IKK ϵ [113–117]. These cellular factors could cause chromatin remodeling of KSHV genome. KSHV miRNAs also target several other viral genes, which could regulate viral latency [118].

2.3.4 Reactivation of KSHV from Latency

The mechanism of KSHV reactivation is involved with complex interactions of viral genes, cellular factors, and extracellular signals. During reactivation, the quiescent state of the KSHV genome is disrupted and undergoes epigenetic remodeling, resulting in the expression of viral lytic genes and production of infectious viral particles [119].

2.3.5 Viral Genes Required for Reactivation

KSHV lytic genes can be broadly divided into three classes: IE, E, and L genes. IE gene expression is not dependent on de novo translation of any proteins, whereas E and L genes require de novo expression of proteins. Late genes are also dependent on viral DNA replication. Here, we will discuss several viral lytic genes that are important for viral lytic replication.

RTA is an IE gene. Expression of RTA is essential and sufficient for KSHV reactivation [120, 121]. RTA transactivates numerous viral genes, including itself, by binding to the palindromic RTA-responsive element (RRE) [122–126]. RTA cooperates with cellular factors such as Sp1, Oct-1, XBP-1, RBP-Jk, and C/EBPα to transactivate genes [127–131]. As an E3 ubiquitin ligase, RTA targets numerous repressors of viral lytic replication for degradation [132–135]. RTA binding to origin of lytic replication (oriLyts) is required for viral DNA replication [136, 137]. Several isoforms of RTA, which possess transactivation activities weaker than the canonical isoform, have been identified but the regulation of their expression as well as their specific target genes remain unclear [138]. Hence, RTA's complex functions are not fully understood despite it is known as the master regulator of KSHV lytic replication.

mRNA transcript accumulation (MTA) is an E gene required for KSHV reactivation [139, 140]. MTA interacts with RTA to enhance RTA expression [141]. Importantly, MTA mediates viral transcript processing by hijacking splicing and nuclear export factors such as TREX for efficient viral gene expression, particularly for intronless viral transcripts [142]. However, MTA's role in nuclear export is controversial [143]. MTA interacts with an RNA stem-loop structure termed the MTA-responsive element (MRE) [144, 145]. Of interest, MTA protects vIL6 from miRNA-mediated degradation though the exact mechanism remains unknown [146]. To promote translation, MTA interacts with PYM to shuttle transcripts to the 48S transcription pre-initiation complex [147]. Taken together, MTA enhances viral gene expression by hijacking cellular RNA processing events and translation.

K-bZip encoded by ORF-K8 is an E gene. K-bZip is a leucine zipper protein with multiple functions [148]. It interacts with RTA and inhibits RTA transactivation of several viral genes, notably ORF57, ORF-K15, itself, and RTA autoactivation [149]. K-bZIP interacts with HDAC1/2 to silence viral promoters [150] and this repressive function depends on its SUMO modification of KSHV genome and heterochromatin histone demethylase JMJD2 [151–154]. K-bZIP supports lytic DNA replication by overcoming LANA's repression of the OriLyts [136, 155]. Furthermore, viral protein kinase (vPK/ORF36) co-localizes with K-bZIP at oriLyts and phosphorylates K-bZIP to prevent its sumoylation, thus reducing its transcription repression activity [156]. Taken together, K-bZIP contributes to viral DNA replication and repression of lytic genes during lytic replication.

2.3.6 Factors Involved in KSHV Reactivation

KSHV reactivation from latency is accompanied by dynamic chromatin remodeling [101, 102]. Inhibition of class II HDACs, EZH2, or DNA methylation with small molecules is sufficient to induce KSHV reactivation [101, 102, 157]. During reactivation, the KSHV episome gains activating histone marks (H3K4me3, acH3) and loses repressive histone marks (H3K9me3, H3K27me3, H4K20me3) [157]. This is facilitated by RTA, which recruits CBP/p300 and SWI/SNF to promote H3K27Ac on lytic promoters [158]. Inhibition of SIRT1, a class III HDAC and NAD⁺ sensor, results in expression of lytic genes thus linking epigenetics to the cellular metabolic state [98, 99]. In fact, high glucose suppresses SIRT1 leading to KSHV reactivation [159].

KSHV infection often occurs in the context of immunosuppression [160]. How KSHV interacts with other pathogens is poorly understood in vivo but several in vitro studies have attempted to delineate these events. HIV Tat alone can induce RTA expression and enhance KSHV entry into endothelial cells [161–163]. Coinfection of PEL cells with EBV favors viral persistence of both viruses [164, 165]. KSHV RTA, EBV ZTA, and EBV LMP1 prevent reactivation of both viruses. Additionally, HCMV, HSV-1, HSV-2, HHV-6 and HHV-7 can induce KSHV reactivation [166–171]. Bacterial metabolic products such as LPS, short-chain fatty acids, and lipoteichoic acid enhance infectivity and reactivation [172–174]. Hypoxia plays a critical role in reactivation [175]. Binding of HIF-1 α to hypoxia-responsive elements (HRE) in the promoters of KSHV lytic genes enhances their expression and lytic replication [175–177]. Furthermore, LANA cooperates with HIF-1 α at the HRE to enhance RTA expression [178, 179]. Another hypoxia-inducible gene, XBP-1, binds to the RTA promoter to enhance reactivation [180, 181]. Cross talk between hypoxia and epigenetics could occur through KAP1, which is recruited to the KSHV genome through LANA, and decreased levels of KAP1 during hypoxia enhance lytic gene expression [182, 183].

Moreover, oxidative stress also contributes to KSHV reactivation. In fact, hydrogen peroxide (H_2O_2) is necessary and sufficient for inducing KSHV reactivation [184]. Since KS is a highly inflammatory tumor, the abundant infiltrating immune cells and inflammatory cytokines in KS tumors could secrete or induce H_2O_2 , respectively, leading to KSHV reactivation [184, 185]. H_2O_2 activates MAPK leading to phosphorylation of ERK1, JNK, p38, and c-Jun, which is sufficient for KSHV reactivation and this can be reversed by the antioxidant N-acetyl-cysteine (NAC) [184, 185]. Furthermore, ROS induced by anticancer drugs such as cisplatin and arsenic trioxide reactivate KSHV and cause cell death in PEL cells [185].

Since KSHV utilizes the host machinery for transcription, viral transcripts are similarly modified with epitranscriptomic marks such as methyl-6-adenosine (m⁶A) [186, 187]. Viral transcripts containing m⁶A enhances transcript degradation by the m⁶A binding protein YTHDF2, which recruits the CCR4-NOT complex [188, 189]. It is possible that the host cell utilizes m⁶A as an antiviral mechanism to limit viral reactivation [187].

2.4 KSHV and Immunity

While the immune system is dedicated to protecting the host from invading pathogens such as viruses, KSHV has evolved various strategies to counteract both the innate and adaptive immune responses, which are essential for viral replication and persistent infection.

2.4.1 KSHV and Innate Immunity

Several KSHV-encoded proteins interfere with both type I (IFN- \propto and IFN- β) and type II (IFN- γ) interferon responses. KSHV was the first virus found to encode viral homologs of cellular interferon regulatory factors (vIRFs) [190]. Each of the four vIRFs blocks the IRF-mediated transcription of type I IFN by a distinct mechanism [190–192]. Moreover, ORF45 and RTA inhibit IRF7-dependent type I IFN response [193, 194] while K8 inhibits IRF3-mediated IFN- β transcription [195]. ORF-K3 and ORF-K5, which are viral E3 ligases, repress the IFN- γ -mediated JAK/STAT signaling pathway by inducing the degradation of IFN- γ [196, 197].

The pattern recognition receptors (PRRs) sense various pathogen-associated molecular patterns (PAMPs) and trigger the type I IFN signaling and production of

inflammatory cytokines during pathogen infection. KSHV stimulates TLR3 expression at the early stages of de novo infection; however, the expression of vIRFs inhibits TLR3-mediated immune responses at later time points [198–200]. RTA, ORF21, and ORF31 inhibit both TLR2 and TLR4 signaling [198, 201]. In addition to modulating the TLR signaling pathway, KSHV ORF63 blocks the cellular NOD-like receptor (NLR)-mediated pathway whereas ORF64 inhibits the activation of retinoic acid-inducible gene-I (RIG-I) [202]. KSHV DNA is sensed by IFI16 and cGAS-STING pathways leading to the activation of inflammasome [203–206]. To ensure efficient viral lytic replication, KSHV encodes numerous proteins including vIRF1, ORF52, and LANA to inhibit the cGAS-STING pathway [203–205], while KSHV lytic replication leads to the degradation of IFI16 though the mechanism remains unclear [207].

To facilitate viral evasion of cytotoxic reaction, KSHV induces a Th2-polarized rather than a Th1-polarized response. Three KSHV-encoded CC-chemokine ligands (vCCL), the homologs of cellular chemokines, compete with cellular chemokines to prevent activation of chemokine receptors [192]. KSHV complement control protein (KCP/ORF4) is a functional homolog of the complement regulatory protein which inhibits the activation of the complement system [208]. This mechanism is likely to protect both KSHV-infected cells and free virions from complement-mediated neutralization during acute viral infection. In contrast, KSHV activates the alternative complement system by downregulating the complement regulatory proteins CD55 and CD59 during latency, which is essential for cell survival and persistent infection [209].

KSHV has evolved strategies to evade the natural killer (NK) cells. ORF-K5 decreases cell surface expression of NK-activating ligands including MICA, MICB, and AICL as well as the costimulatory molecules ICAM and B7.2 [192, 210]. Similarly, miR-K12-7 targets MICB mRNA [211] while ORF54 decreases the expression of another NK ligand, NKp44L [212].

2.4.2 KSHV and Adaptive Immunity

Both KS patients and asymptomatic individuals develop T cell responses against several KSHV lytic and latent proteins [213]. Importantly, reconstitution of the immune system through antiretroviral therapy can lead to KS tumor regression [214], suggesting an important role of the KSHV-specific T cell response, particularly the CD8+ T cell response, in the development of KSHV-associated malignancies [213]. KSHV also induces strong humoral responses as antibodies against various viral antigens are present in KS and KSHV-infected patients [72–74, 215, 216].

B cell activation and differentiation into antibody-producing plasma cells or memory B cells are critical aspects of the adaptive immune response. Several studies suggest that KSHV targets both aspects of the B cell biology to evade the humoral immune response. ORF-K1 reduces the expression of bone marrow stromal antigen 2 (BST-2), which is constitutively expressed in mature B cells [196], and downregulates B cell receptor on the cell surface [217] while ORF-K15 blocks BCR transduction signal, contributing to decreased B cell activation [217]. Evading the cell-mediated immune response is an important strategy for KSHV persistent infection. ORF-K3 and -K5 enhance the internalization and lysosomal degradation of MHC-I molecules through ubiquitination of the cytosolic tails [218–221]. vIRF1 and vFLIP mediate MHC-I downregulation [222]. LANA evades immune surveillance by inhibiting MHC class I peptide presentation [76]. KSHV induces cellular suppressor of cytokine signaling 3 (SOCS3), and together with vIRF3, interferes with MHC class II antigen presentation to evade KSHV-specific CD4+ T helper cell immune response [223, 224]. Besides impairing antigen presentation, KSHV interferes with the function of antigen presenting cells by inhibiting differentiation of monocytes into dendritic cells [225] and downregulating costimulatory molecules required for efficient activation of CD8+ T cells [218, 226].

2.5 KSHV and Tumorigenesis

In KS tumors, most of the tumor cells are latently infected by KSHV, suggesting the importance of latent infection and latent genes in the development of KS tumors [65]. A small number of tumor cells undergo spontaneous lytic replication in early stage of tumors, which is essential for the spread and progression of this stage of tumors. However, there is no lytic cell in late stage of KS tumors [65]. Spontaneous lytic replication is also present in small number of cells in PEL and MCD [65]. Numerous KSHV latent and lytic genes have been shown to have oncogenic and tumor-promoting functions [192]. The recent development of a model of KSHV-induced cellular transformation and tumorigenesis of primary cells has allowed the delineation of the cellular pathways and viral genes that promote tumorigenesis in the context of viral infection [14].

2.5.1 Models of KSHV-Induced Cellular Transformation and Tumorigenesis

The origin of KS tumor cells remains controversial. KSHV infects both vascular and lymphatic endothelial cells and reprograms them to acquire KS-like cell surface markers [227, 228]. KSHV efficiently infects primary endothelial cells and prolongs their life span but cellular transformation remains elusive [229, 230]. Transfection of mouse bone marrow endothelial-lineage cells with recombinant KSHV BAC36 genomes results in immortalization of a subset of cells, which induces tumors in nude mice [231]. However, the exact target cells are unclear and the efficiency is low. In contrast, KSHV efficiently infects and transforms primary rat embryonic metanephric mesenchymal precursor (MM) cells [14]. KSHV-transformed MM cells (KMM) lose contact inhibition and form colonies in

soft agar. KMM cells efficiently induce tumors in nude mice with virological and pathological features reminiscent of KS [14]. While KSHV can also infect and transform human mesenchymal stem cells of diverse origins, the efficiency of cellular transformation is much lower [232]. KSHV infection of rat and human mesenchymal stem cells reprograms them to acquire KS-like phenotypes including cell surface markers, and enhances their angiogenic, invasive, and transforming phenotypes [14, 232, 233].

2.5.2 KSHV Viral Genes and Tumorigenesis

The roles of the KSHV latent genes LANA, vFLIP and vCyclin, and miRNAs in tumorigenesis have been extensively studied. LANA promotes cell proliferation and survival by inhibiting tumor suppressor genes p53, p73, pRb, and TGF- β signaling [234–237], and activating c-Myc, emmprin, and survivin [238–241]. LANA promotes tumorigenesis by upregulating BMP-p-Smad1-Id1 pathway in KMM cells [242]. LANA upregulates Par3, SNAIL, and MMP9 while downregulates E-cadherin to promote cell proliferation in B cells [243]. KSHV-encoded miRNAs and vFLIP activate the NF- κ B pathway and are essential for KSHV-induced cellular transformation and tumorigenesis by regulating cell proliferation, survival, homeostasis, and metabolic pathways [244–246]. vCyclin alone can interact with numerous CDKs to promote cell cycle progression and tumorigenesis, and antagonizes the senescence/G1 arrest response triggered by NF- κ B hyper-activation [247–250]. In the context of KSHV infection, vCyclin only promotes cellular transformation and tumorigenesis by overriding cell contact inhibition [251].

Numerous KSHV lytic cellular transforming genes possess and growth-promoting activities. vIRF1 is the first KSHV oncogene identified [190]. It targets type I interferons, p53, and TGF- β pathways [190, 252, 253]. vGPCR is unique in that it is constitutive active without the need of a ligand. It has robust oncogenic activity [254]. vGPCR transgenic mice develop KS-like lesions [255]. Mechanistically, vGPCR activates Akt and mTOR pathways, and promotes genomic instability through miR-34a [256–258]. Unlike human IL-6, vIL6 only signals through gp130 to activate several downstream pathways, such as JAK/STAT, MAPK, and Akt, driving cellular proliferation, inflammation, and apoptosis inhibition [259, 260]. Of interest, vIL6 can induce intracellular signaling and interacts with splice variant 2 of vitamin K epoxide reductase complex subunit 1 (VKORC1) to promote PEL cell proliferation and survival [261, 262]. ORF-K1 also possesses oncogenic activity [263]. It activates Akt and AMPK pathway to promote cell proliferation and survival [264, 265]. Whether KSHV lytic genes contribute to KSHV-induced tumorigenesis remains to be tested in the context of viral infection.

2.5.3 Cellular Genes/Pathways in KSHV-Associated Malignancies

Extensive studies have identified cellular genes/pathways required for KSHV-associated malignancies. Transcriptional factors, such as c-Myc and STAT3, are required for cell survival, and the inhibition of c-Myc and STAT3 induced apoptosis in PEL cells [266, 267]. Epigenetic factors, including class I and II HDACs, as well as class III HDAC SIRT1 are essential for cell proliferation and survival in PEL cells, and their inhibitors SAHA and tennovin-6 significantly induced cell cycle arrest and apoptosis in vitro and in vivo [268–270]. In addition to the NF-KB and BMP-Smad1-Id pathways that are essential for cell proliferation and cellular transformation [242, 244–246], Akt and mTOR are also essential for cell proliferation in KS cells [271] while hepatocyte growth factor (HGF)/c-MET pathway is essential for cell cycle progression and cell survival in PEL cells [272, 273].

2.6 KSHV and Inflammation

2.6.1 Kaposi's Sarcoma: A Tumor Associated with Inflammation

Inflammation can have a double role in the development of cancer. Acute inflammatory response is considered as a physiological process required for the control of microbial infections and tumor growth. However, by stimulating cell proliferation and inhibiting apoptosis, chronic inflammation becomes a pathologic process participating in the modifications of the microenvironment, enhancing uncontrolled tissue regeneration, angiogenesis, and tumorigenesis [274]. It is estimated that more than 25% of cancers are associated with inflammation [275].

Chronic inflammation, a hallmark of KSHV-associated malignancies, participates in KS progression through the complex interplay between viral and cellular factors. By interfering with the intracellular signaling pathways during lytic and latent phases of infection, KSHV induces an inflammatory neoplastic network in the tumor microenvironment, which is mainly associated with the abnormal lympho-endothelial proliferations and the recruitment of activated myeloid and lymphoid immune cells [276]. Indeed, at the early stage of tumors, the KS microenvironment has a high level of pro- and anti-inflammatory cytokines (IL-6, TNF- α , and IL-10, respectively), chemokines (CXCL12, CXCR4, CXCR7), interferon (IFN- γ), as well as growth factors (VEGF) [277–279]. These cytokines can be released by different cell types including monocytes, endothelial cells, and KS tumors. During tumor growth, these mediators stimulate resting and non-proliferative lympho-endothelial cells to enhance inflammation, and therefore promote angiogenesis [280, 281].

2.6.2 Latent Viral Factors Involved in Inflammation

LANA upregulates emmprin expression, which induces the secretion of IL-6, VEGF, and MMPs, and enhances inflammation and angiogenesis [240, 282]. By stabilizing the Notch effector Hey-1, LANA also represses the expression of Prox-1 to modulate the differentiation of lymphatic endothelial cells [283]. Moreover, LANA activation of the Notch pathway enhances the invasiveness of KS tumors by activating PDGFR β [284]. As stated in the previous section, LANA participates in the suppression of specific T cells immune response by inhibiting MHC-I antigen presentation through its acidic central repeat domain [285], and by downregulating MHC-II gene expression on APCs through the interaction with RFX proteins to inhibit the recruitment of CIITA to the MHC-II promoter [286].

vFLIP activates the classical and alternative NF- κ B signaling pathways and participates in the upregulation of pro-inflammatory cytokines [287, 288]. Particularly, vFLIP promotes tumorigenesis through the induction of COX-2 and its inflammatory metabolite PGE2 in an NF- κ B-dependent manner [287, 289].

Most of the KSHV-encoded miRNAs are expressed during latency and play significant roles in tumor growth, inflammation, and angiogenesis. Numerous KSHV miRNAs induce inflammation by activating the NF- κ B pathway [112, 246]. Ectopic expression of the miRNA cluster in endothelial cells induces the expression of pro-inflammatory and pro-angiogenic cytokines MMP1, MMP13, and VEGFA [290]. VEGF is important for the recruitment of stem cells and macrophages at the site of infection, and therefore participates in the inflammatory microenvironment of KS tumors [291]. By inducing CXCR2 and activating Akt signaling pathway through targeting GRK2 stimulation, miR-K3 promotes angiogenesis, migration, and invasion of endothelial cells [292, 293]. The miRNAs derived from miR-K6, miR-K6-3p, and miR-K6-5p promote cell migration, invasion, and angiogenesis by targeting SH3BGR to activate the STAT3 pathway and CD8 to activate the c-Met pathway, respectively [294, 295]. miR-K12 promotes cell survival and proliferation by targeting the angiogenesis inhibitor THBS1 and SMAD5 to downregulate TGF- β signaling [296, 297].

In the context of inflammation, Kaposin B participates in the lymphatic reprogramming of vascular endothelial cells [298]. Kaposin B activates the p38/MK2 pathway leading to the stabilization of targeted gene transcripts including pro-inflammatory cytokines IL-6 and GM-CSF, as well as the lympho-endothelial differentiation factor PROX1 [298, 299]. By cooperating with c-Myc, Kaposin B triggers angiogenesis by mediating the expression of cellular miRNAs in endothelial cells [300].

In the latent phase, KSHV also expresses vIRF3 (LANA-2) in PEL cells [71]. vIRF3 plays a major role in PEL pathogenesis by promoting viral latency and inhibiting the host innate responses. By stabilizing HIF-1 α , vIRF3 induces its accumulation and activation in the nucleus contributing to the uncontrolled expression of VEGF in KSHV-infected cells [301].

2.6.3 Viral Lytic Genes Involved in Inflammation

ORF-K15, predominantly expressed during the lytic cycle, mediates inflammation by activating JNK, and NF- κ B pathways as well as NFAT/AP1 activities [302, 303]. These signaling pathways induce the expression of cytokines and chemokines such as IL-6, IL-1 β , IL-8, CCL20, CXCL3, and COX-2. Depletion of ORF-K15 dramatically impairs KSHV-induced angiogenesis mediated by the recruitment of PLCy1 and the activation of NFAT1-dependant RCAN1 expression in endothelial cells [304].

As stated earlier, ORF-K1 participates in KSHV-induced tumorigenesis by performing multiple functions. Among them, ORF-K1 induces the secretion of VEGF, IL-6, GM-CSF, IL-1 β , IL-8, and IL-10 in endothelial cells [305], and stimulates the expression of MMP-9, a matrix metalloproteinase involved in the angiogenic switch during tumor progression [306, 307]. In AIDS-related KS, ORF-K1 can synergize with HIV-1 proteins such as Tat to promote inflammation by activating NF-KB signaling [308] and NEF to promote cellular proliferation, vascular tube formation, and angiogenesis by regulating the PTEN/AKT/mTOR pathway [309]. In addition to inhibition of innate and adaptive immune responses, ORF-K5 enhances angiogenesis by disrupting VE-cadherin/ β -catenin signaling, promoting the remodeling of cellular tight junctions [310]. vIL6 promotes angiogenesis and hematopoiesis by stimulating the secretion of VEGF [311]. By inducing several signaling pathways such as PKC, MAPK, mTOR, NF-KB, AP1, HIF-1a, and NFAT, vGPCR mediates the upregulation of pro-inflammatory and pro-angiogenic mediators (IL-2, IL-4, IL-6, IL-8, TNF-α, and VEGF) [312, 313]. vGPCR activation of NF-кВ induces the expression of RANTES, IL-8, and GM-CSF as well as adhesion molecules VCAM-1, ICAM-1 and E-selectin [314].

KSHV encodes three homologues of cellular chemokines: vCCL1, vCCL2, and vCCL3. These viral chemokines activate their respective G-coupled protein receptors CCR8, CCR3 and CCR4 expressed on Th2 lymphocytes [315]. These viral chemokines inhibit T cells immune response by inducing Th2 polarization and attracting Th2 lymphocytes to the site of infection, and promoting angiogenesis by inducing the expression of VEGF [316, 317]. Moreover, vCCL2 antagonize CCR1 and CCR5 to inhibit host immune responses of Th1 lymphocytes [318].

2.7 KSHV and Metabolism

During latent infection and cellular transformation, KSHV reprograms cellular metabolic pathways to provide biosynthetic and bioenergetic precursors to support the fast anabolic cellular proliferation. During viral lytic replication, KSHV also reprograms specific metabolic pathways to support the production of infectious virions.

2.7.1 KSHV Reprograms Glucose Metabolism

A hallmark of tumorigenesis involves the switch of energy metabolism from oxidative phosphorylation to aerobic glycolysis. In untransformed telomeraseimmortalized microvascular endothelial cells (TIME cells) and primary dermal microvascular endothelial cells (DMVECs), KSHV infection increases aerobic glycolysis by upregulating hexokinase 2 (HK2) and glucose transporter 3 (GLUT3) [319]. Thus, oxygen consumption and oxidative phosphorylation are decreased, and lactate production is increased. Inhibition of glycolysis leads to apoptosis in KSHV-infected TIME cells but not in uninfected cells, demonstrating the critical role of the aerobic glycolysis on cell survival in untransformed KSHV-infected cells [319]. A similar study in KSHV-infected primary dermal microvascular lymphatic endothelial cells (KLEC) also demonstrated increased aerobic glycolysis [320]. Mechanistically, KSHV miRNAs stabilize HIF-1 α and inhibit mitochondrial biogenesis by downregulating EGLN2 and HSPA9. Moreover, HIF-1a is stabilized in KSHV-infected telomerase-immortalized HUVEC (TIVE) cells, which results in the upregulation of glycolytic effector-isoform 2 of pyruvate kinase (PKM2) and increased aerobic glycolysis [320].

However, KSHV-induced glycolysis does not occur in HFF cells [319], which implies cell type specificity in KSHV-induced metabolic reprogramming. In contrast to untransformed KSHV-infected cells, KSHV-transformed KMM cells have reduced glucose and oxygen consumption, lactate production, and intracellular ATP [244]. Mechanistically, vFLIP and the miRNA cluster inhibit the aerobic glycolysis in KMM cells by downregulating glucose transporters GLUT1 and GLUT3 through NF-κB activation. The decreased glycolytic flux confers a survival advantage to KMM cells in a nutrient deficient tumor microenvironment [244].

2.7.2 KSHV Reprogramming of Glutamine Metabolism for Host Cell Proliferation and Survival

Glutamine is required for cancer cell proliferation and survival [321, 322]. KSHV infection increases both the intracellular glutamine levels and glutamine uptake in TIME cells. KSHV-infected TIME cells rely on glutamine for their survival and glutamine deprivation-induced apoptosis in KSHV-infected TIME cells with a lesser effect on TIME cells [323]. Suppressing glutaminolytic enzymes in the presence of glutamine causes cell death at the similar levels to those deprived of glutamine in KSHV-infected TIME cells with little effect on TIME cells. The sensitivity to the absence of glutamine can be restored by the addition of TCA cycle intermediates, indicating that in untransformed cells, glutaminolysis is required for cell survivals by feeding the TCA cycle through anaplerosis [323].

KSHV-transformed KMM cells also rely on glutamine for their proliferation and transformation. The expression of glutaminolytic enzymes is upregulated in KMM cells compared to MM cells, and inhibition of any of those enzymes reduces KMM cell proliferation, implying glutaminolysis is required for KMM cell survival [324].

Interestingly, the addition of carbon sources, such as TCA intermediates, only partially rescues the proliferation of KMM cells following glutamine depletion. In contrast, nonessential amino acid asparagine fully rescues the effects of glutamine deprivation, indicating that glutamine and asparagine provide not only carbon source but also nitrogen source [324]. Specifically, glutamine provides the γ -nitrogen for nucleotide synthesis in KSHV-transformed cells. Overall, KSHV reprograms glutaminolysis to supply the building blocks for synthesizing nucleotides, nonessential amino acids, and TCA cycle intermediates to support KSHV-infected cell proliferation and transformation [324].

2.7.3 KSHV Infection Induces Lipogenesis

KSHV infection of TIME cells induces lipogenesis with an increase of metabolites involved in de novo fatty acid synthesis (FAS) and formation of lipid droplets [325]. Inhibitors of FAS induce a dose-dependent cell death in KSHV-infected TIME, which can be partially rescued by supplying cells with fatty acid precursors, indicating FAS is necessary for the survival of untransformed KSHV-infected TIME cells [325]. A separate study shows that KSHV infection increases peroxisomes in TIME cells [326]. A major function of peroxisomes is to break down the long-chain fatty acids through β -oxidation. Inhibition of enzymes involved in the peroxisomal β -oxidation leads to increased cell death in KSHV-infected TIME cells. Together, these observations suggest that KSHV-induced FAS and peroxisomal lipid metabolism are required for KSHV-infected TIME cell survival [326]. Additionally, PEL cells also have highly upregulated FAS compared to primary B cells and are sensitive to FAS inhibitors [327].

2.7.4 KSHV Depends on Glycolysis, Glutaminolysis, and FAS for Lytic Replication

Reprogramming of metabolic pathways is expected to be important for supporting KSHV lytic replication. However, there is so far limited work on metabolic rewiring during KSHV primary infection and reactivation. Inhibitors of glycolysis, glutaminolysis, and FAS significantly reduce the production of virions in both endothelial and SLK cells [328]. Inhibition of glycolysis and glutaminolysis suppresses KSHV replication by stalling early gene transcription and translation, respectively [328]. While inhibition of FAS decreases the production of extracellular virions, it does not affect intracellular viral genome levels, suggesting that FAS is required for virion assembly and maturation [328]. However, some of these inhibitors are not entirely specific and the mechanisms underlying the support of viral lytic replication by glycolysis and glutaminolysis remain unclear. Nevertheless, these results indicate that different stages of viral lytic replication might require different metabolites within the host cells.

2.8 Conclusion and Perspectives

Rapid progresses have been made in the KSHV field in the last decade, providing insights into the biology of virus and the scientific basis for developing novel therapeutic approaches for its associated malignancies. KSHV has evolved to hijack cellular machinery for completing its life cycle, which often results in the dys-regulation of cellular functions. It is now clear that KSHV-induced uncontrolled cellular proliferation, cell survival, abnormal immune responses, and reprogrammed metabolism promote malignant tumor growth, angiogenesis, and inflammation, which are the hallmarks of KS.

The standard KS chemotherapy with liposomal doxorubicin, daunorubicin, or taxol is highly toxic and ineffective despite effective antiretroviral therapy in some cases [329]. Both PEL and MCD also do not any have effective therapy [330]. Therefore, alternative treatments and new therapeutic targets, particularly those targeting malignant proliferation, angiogenesis, inflammation, and dysregulated immune responses, are needed for KSHV-associated malignancies. Laboratory studies have so far identified numerous new targets and agents. These include sirtuin inhibitors (Tenovin-6 and nicotinamide), HDACs inhibitor, AMPK inhibitor, mTOR inhibitor Rapamycin (sirolimus), and p53 activator Nutlin-3 [268-270, 331-335]. Numerous potential therapeutic targets, particularly those targeting KSHV-specific epigenetics and metabolism, are attractive. Nevertheless, rigorous clinical trials are required to evaluate the efficacies of the inhibitors before their extensive usages in the patients. In fact, new drugs bevacizumab and imatinib for KS, and siltuximab for KSHV-MCD have been examined in clinical trials [336-338]. Ongoing clinical trials are testing the efficacies of Tocilizumab (NCT01441063) for MCD, and lenalidomide (NCT01057121) and pomalidomide (NCT02659930) for KS [339]. Because cellular pathways often interact with one another, it would be interesting to evaluate the interaction effects of multiple pathways and inhibitors. For example, while Rapamycin inhibits the mTOR pathway, it also activates the Akt pathway. Hence, the combination of inhibitors of both pathways would be predicted to be favorable, which has been demonstrated for both KS and PEL cells [271, 340].

Since KSHV is not a ubiquitous herpesvirus and immunosuppression is required for the development of the KSHV-associated malignancies, it would be essential to develop effective strategies to prevent its person-to-person transmission and manage immunosuppression in the affected populations. Development of KSHV vaccines should be one of the focuses of future research.

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Kaposi's Sarcoma-Associated Herpesvirus (KSHV)-Associated Disease in the AIDS Patient: An Update

Dirk P. Dittmer and Blossom Damania

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Abstract

In this book chapter, we review the current knowledge of the biology and pathogenesis of Kaposi's sarcomaassociated herpesvirus (KSHV). We describe the lifecycle of KSHV, the cancers associated with this virus, as well as current treatment modalities.

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3.1 Introduction

Approximately, 25 % of all human cancers are etiologically linked to an infectious agent including viruses and bacteria. These pathogens are usually controlled by the host immune system. In individuals that are immunodeficient, such as acquired immunodeficiency syndrome (**AIDS**) patients or patients receiving immunosuppressive therapies following organ transplantation, this checkpoint fails and there is a significantly higher risk for the development of cancers associated with infectious agents. It is important to remember, though, that temporal immune deficiency is a normal physiological process, e.g. during aging and infant development. Viruses contribute to cancer development either cell autonomously through the activities of viral oncogenes acting within a cell, or through paracrine mechanisms that modulate the transformed cell and the tumor microenvironment [27].

Kaposi's sarcoma (**KS**) was described in 1872 by Moritz Kaposi, the head of the Vienna dermatology clinic, as *"idiopathisches multiples Pigmentsarkom"* a rare angiosarcoma in elderly men of Mediterranean descent [49]. In the mid-1980s, the human immunodeficiency virus (**HIV**) epidemic lead to a significant increase in the incidence of KS in high-risk populations. Today, over 30 years later, the number of new HIV infections has declined due to combination <u>Anti Retroviral Therapy</u> (**cART**). Yet, because of cART the number of persons living with HIV is increasing and the mean age of the cohort of HIV-infected persons is also increasing. Many HIV-positive individuals are now entering the age bracket, in which Moritiz Kaposi initially described classic KS in the elderly. As a result, KS remains the single most common neoplasm seen in individuals living with HIV today [88].

Chang and Moore identified KSHV (also known as human herpesvirus 8) in KS lesions of AIDS patients in 1994 [13] using representational difference analysis. KSHV has since been found in HIV+ and HIV- negative KS patients as well as in a number of B-cell hyperplasias and frank lymphomas. Ninety-nine per cent of all KS lesions, regardless of clinical type or HIV status, contain KSHV viral DNA and express a least one viral protein, the latency-associated nuclear antigen (LANA), as well as all viral micro RNAs, thereby linking KS to KSHV infection [27].

3.2 KSHV and the Development of KS

KS is divided into four subtypes delineated by clinical manifestations: classic, endemic, AIDS-associated, and iatrogenic. Classic KS is a disease of elderly Mediterranean and Eastern European men, while endemic KS is found in parts of equatorial Africa such as Uganda, Zambia, Malawi, Kenya, and South Africa in the elderly as well as in children [59]. KS represents the most common cancer in countries with high, coincident HIV and KSHV prevalence [45]. In endemic regions, transmission of KSHV is thought to occur early in childhood [32]. Endemic KS tends to be more aggressive than classic KS of the elderly, and occurs at almost equal proportions in men and women, the elderly and children [27].

Widespread HIV infection has given rise to an epidemic of KS. KSHV antibodies prevalent in black South African HIV patients, and KS has become the most common neoplasm in regions of sub-Saharan Africa that are ravaged by HIV infection. In the U.S., KSHV antibody prevalence also exceeds 30% in cities with high HIV burden and in high-risk populations [54]. This is most likely, because among adults, HIV and KSHV are transmitted by similar routes, though the efficiency of KSHV transmission (or basic reproductive ratio, which is a function of viral load among other factors) is less that that of acute HIV-1 infection.

In 1981, KS was recognized as a defining pathology for HIV diagnosis but the introduction of cART has led to a substantial decline of AIDS-related KS in the United States. The Centers for Disease control (CDC) estimated in 2016 that the average American had a 1 in 99 chance of being diagnosed with HIV at some point in his or her life. Even in the cART era, standardized incidence rates for KS are higher than that of any other AIDS-defining or non-AIDS-defining cancers [61]. This suggests that KS will remain a permanent health problem for years to come. As HIV-positive men in the U.S. age, it is speculated that the incidence of AIDS-KS may rise again.

Iatrogenic KS occurs after solid organ transplantation in patients receiving immunosuppressive therapy [16]. KS comprises an estimated 3% of all tumors associated with transplantation [63]. Iatrogenic KS is observed in regions of high KSHV prevalence, such as Southern Italy, Saudi Arabia and Turkey. KSHV may already be present in the recipient prior to organ transplantation, and may be acquired during induced immunosuppression after transplantation, or may even be acquired through the graft itself [5]. The frequency of KS in AIDS patients is 20,000 times higher than in the general population [6] and the frequency of KS in transplant recipients is 500 times higher than in healthy individuals [91].

In the mid-1980s, incidence rates for KS displayed an exponential increase. Back then, KS was primarily observed in AIDS patients with a history of men who had sex with men, but not in individuals who became HIV-infected through blood transfusion [37]. In AIDS-associated KS, there was a correlation between incidence rates and the lifetime number of male sexual partners [59]. This established KSHV as a sexually transmitted agent responsible for the development of this cancer. Today, more women are becoming infected with HIV and consequently AIDS-KS is also seen in this group. Interestingly, African KS affects both genders; while classic (Mediterranean) KS affects predominantly elder men. The reason for the gender bias in classic KS is unknown. In the U.S., KS incidence rates follow a bimodal distribution that peaks at ages 30–36 and again at ages >70.

KS lesions are classified as plaque, patched, or nodular. As the KS tumor clinically advances, the KSHV-infected cells increase in number along with the endothelial cell population in the lesion. There is evidence for both polyclonality and monoclonality of the lesions [47, 76]. It is thought that KS likely initiates as a polyclonal hyperplasia and develops into a clonal neoplasia. Kaposi's sarcoma not only affects the skin but can also involve multiple organs such as the liver, lung, spleen, and gastrointestinal tract. In some forms of KS, only lymphoid and internal organs are affected. Oral KS in the setting of AIDS is associated with advanced

disease and visceral development. However, in the setting of cART-controlled HIV infection, it may occur in isolation and represent limited disease. Edema is common in KS patients. Aggressive types of KS can lead to foci formation in the visceral organs and ultimately result in hemorrhage and death.

KSHV viral load in PBMC rise up to 6 months prior to lesion formation [101]. A rise in viral load predicts the imminent appearance of KS [72]. However, systemic viral load in plasma varies widely across KS patients and does not correlate with the number of skin lesions [44]. Inhibitors of the viral polymerase reduce overall risk of future KS, but do not lead to regression of established KS lesions. KSHV is found in circulating B cells as well as monocytes, macrophages, endothelial cells, and epithelial cells [21, 77, 92]. The presence of the most common anti-KSHV antibodies, which are directed against the LANA protein, documents prior exposure but does not allow a prediction of KS development, since in HIV-positive individuals the median time from seroconversion to disease is seven years or greater [37, 59].

The KS lesion is highly angiogenic and is comprised of spindle-shaped cells, slit-like endothelium-lined vasculature and infiltrating blood cells. The spindle cells appear to arise from lymphatic endothelial cells and form the majority of the neoplasm [31]. In fact, experimental KSHV infection can reprogram the blood endothelial gene expression profile into that of the lymphatic endothelium and vice versa [42, 43, 98, 100], though the profile also shows the presence of mesenchymal markers including various Notch isoforms [15, 58] consistent with dedifferentiation into a progenitor stage.

The primary receptor for KSHV infection of endothelial cells is ephrin receptor tyrosine kinase A2 [41]. Ephrins and their corresponding kinases are differentially expressed across different cell lineages. Hence, the expression pattern of EphA2 may express the tropism of KSHV. It may also become a target of novel, directed KS therapy [14, 85]. KS tumor explants lose the virus after serial passage in tissue culture over time. KSHV-infected endothelial cell preparations in culture generally also lose the virus over time [40, 55].

3.3 KSHV and the Development of Lymphomas

KSHV is also found in B lymphoproliferative diseases; primary effusion lymphoma (**PEL**) and the plasmablastic variant of multicentric Castleman's disease (**MCD**). In fact, the first association of KS and a B-cell lymphoproliferative disorder, MCD, was reported in a patient who presented with both diseases [81]. Greater than 50% of KSHV-positive transplant recipients develop lymphoproliferative disease [35]. KSHV is most certainly the causal agent of both MCD and PEL [12, 90]. MCD is a B-cell lymphoproliferative disorder. Patients usually present with diffuse lymphadenopathys. In addition to B cell proliferation, MCD displays vascular proliferation of the germinal centers of the lymph node. There are two forms of MCD: (i) a plasmablastic variant form that is associated with lymphadenopathy and

immune dysregulation and (ii) a hyaline vascular form, which presents as a solid mass. Close to 100% of AIDS-associated MCD is associated with KSHV. AIDS-associated MCD is usually accompanied by the development of KS in the affected individual, often in the same lymph node.

MCD is a polyclonal tumor and is highly dependent on cytokines such as human interleukin 6 (IL-6) (reviewed in [103]). KSHV itself encodes a viral IL-6 that is also expressed in these lesions [71, 73, 94]. Expression of either human IL-6 or viral IL-6 in transgenic mice causes B-cell hyperplasia and lymphoma. Viral antigens can be detected in the immunoblastic B cells in the mantle zone of the lymph node. The plasmablasts in MCD express monotypic IgM light chains [29] and MCD patients frequently develop cytopenia, autoimmune disease and other malignancies such as KS and non-Hodgkin's lymphoma [1]. Anti-IL-6 or anti-IL-6R antibodies show efficacy in KSHV-negative Castleman's disease and there is every reason to believe that siltuximab or tocilizumab (also known as atlizumab) will also be active in KSHV-positive, HIV-associated MCD and perhaps even PEL.

PEL, sometimes referred to as body cavity-based lymphoma (BCBLs), represent a specific subset of non-Hodgkin's B-cell lymphoma (NHL) that involve body cavities (peritoneal, pleural or pericardial cavities) and form a distinct clinicopathologic group from other NHL [67]. All PEL are KSHV-positive, and are often coinfected with EBV as well. These tumors are typically large-cell immunoblastic or anaplastic large-cell lymphomas that express CD45, but not CD19, carry clonal immunoglobulin gene rearrangements, and lack mutations in c-myc, bcl-2, ras, and p53 [1, 67].

PEL display the characteristics of a preterminal stage of B-cell differentiation. Since PEL have mutations in their immunoglobulin genes, they are thought to arise from post-germinal center B cells. However, PEL do not express immunoglobulins. Most PEL express CD138/syndecan-1 antigen, which is normally also expressed by a subset of plasma cells. Most PEL also express high levels of human IL-6 and IL10.

Although KSHV is linked to PEL and MCD in HIV patients, there are cases of KSHV-positive lymphomas that do not fit the classic PEL phenotypes. There appears to be a high incidence of KSHV infection in solid HIV-associated immunoblastic/plasmablastic non-Hodgkin's lymphomas that developed in patients lacking PEL and MCD [22] and yet others have found KSHV associated with solid lymphomas, which resemble PEL cell morphology but do not present as effusions [10]. KSHV has also been linked to cases of germinotropic lymphoproliferative disease (GLD) [28]. This disease also involves plasmablasts but unlike plasmablastic lymphomas, the GLD lymphomas contain polyclonal immunoglobulin receptors. This suggests a model in which KSHV infects an early germinal center B cell that can still differentiate into multiple lymphoma phenotypes dependent on secondary mutations to the cellular genome.

Finally, KSHV infection can also lead to KS-immune reconstitution syndrome (KS-IRIS) [8, 18] and KSHV-inflammatory cytokine syndrome (KICS) [74]. Patients with KICS have high KSHV viral loads and levels of viral IL-6, human IL-6, human IL-10 as well as C-reactive protein.

The evidence linking KSHV to KS, PEL, MCD and KICS, is overwhelming and has been confirmed by multiple laboratories and indepent methods such as the presence of viral DNA in the lesions, viral protein expression and anti-KSHV antibodies (directed against LANA/orf73, orf K8.1 and others). KSHV DNA has also been detected in multiple myeloma, primary pulmonary hypertension, angiosarcomas, as well as malignant skin tumors in posttransplant patients such as Bowen's disease, squamous cell carcinomas, actinic keratosis, and extramammary Paget's disease. However, these disease associations were never substantiated and have largely been discarded [1, 27].

3.4 Prevalence of Viral Infection

Several serology studies have suggested that KSHV infection is widespread in Africa with 30–60% of people being KSHV-positive, but is uncommon in the United States and Western Europe with seropositivity ranging from 3 to 10% in the general population [50]. KSHV seropositivity is considerably higher in high-risk populations reaching 38% in participants seen at AIDS clinical trials centers [54]. Regions such as Italy, Greece, Turkey, and Saudi Arabia show a higher prevalence of KSHV at about 4–35% [102], which correlates with correspondingly higher incidence rates for classical or transplant-associated KS. Transmission routes include sexual transmission, mother-to-child transmission, but probably all forms involve salivary transmission [9, 59, 96]. There is no evidence that transmission rates decline, as most KSHV transmission, similar to other herpesviruses, appears during episodes of asymptomatic shedding.

3.5 The KSHV Genome

A hallmark of herpesviruses including KSHV is their ability to establish a latent infection for the lifetime of their host. Pathogenesis caused by these viruses is usually seen in the context of host immunesuppression. All herpesviruses share a common evolutionary origin, which is evident from the homology seen among a substantial number of herpesviral genes (reviewed in [25]). Based on biological characteristics and genomic organization, herpesviruses are classified into three subfamilies: alpha, beta, and gamma. The gamma herpesviruses are lymphotropic and some are capable of undergoing lytic replication in epithelial, endothelial, or fibroblast cells. The gammaherpesvirinae are grouped into two classes: lymphocryptoviruses (gamma-1) and rhadinoviruses (gamma-2). Epstein–Barr virus (EBV) or human herpesvirus 4 (HHV4) is a lymphocryptovirus while KSHV or human herpesvirus 8 (HHV8) is a rhadinovirus.

During latent infection, viral gene expression is highly attenuated and the viral genome remains stably associated with the cell. In the lytic phase of infection, viral gene expression and DNA replication ensue, leading to the production of progeny virions and eventual lysis of the infected cell. The KSHV viral genome is comprised of a ~140 kb long unique region flanked by multiple terminal repeat sequences with the total genomic size being ~160–170 kb. KSHV encodes for more than 80 open reading frames (ORFs) that encode for proteins greater than 100 amino acids [83]. The viral genes encoded by KSHV can be divided into three classes—(i) genes common to all herpesviruses (ii) genes unique to KSHV (these are generally given a "K" designation followed by the number of the open reading frame (ORF), and (iii) KSHV encoded genes that are homologous to cellular genes (these may be unique to KSHV or shared with other herpesviruses), and are likely to have been usurped from the host genome during the course of evolution. It is likely that several viral genes contribute to the neoplastic process [19].

While there exist distinct clades of KSHV, most of the variation is concentrated in a few proteins, such as the extracellular regions of the K1 and K15 proteins, which are exposed to the host immune system, or in extended repeat regions, where the genome is inherently unstable, such as in the two origins of replication and the central protein coding region of LANA. Whole genome sequencing has shown that all other regions are conserved across strains with just a few single nucleotide variations inside protein coding regions [70]. At this point, none of the genomic variation seen within KSHV has been associated with overt clinical or cellular phenotypes, though specific point mutations in the viral micro RNA precursors lead to the absence of certain mature miRNAs in PEL or KS lesions.

3.6 Molecular Biology of KSHV-Associated Disease

KSHV gene expression in human KS, PEL and MCD disease has involved the use of microarrays to profile viral gene expression. Since the KSHV genome is orders of magnitude smaller than the human genome, it has been feasible to develop whole genome arrays based upon real-time quantitative RT-PCR for all individual viral genes and to analyze primary KS biopsy samples and KSHV-infected lymphomas [24, 33]. Conventional microarray-based viral gene expression in KSHV-infected lymphomas as well as RNAseq studies has also been performed. These techniques generate a viral signature for each disease state and offer a chance to classify KS beyond Moritz Kaposi's observational diagnosis. High-throughput genomic profiling offers the chance to accelerate our investigations into KSHV-associated cancers as much as it has benefited research into nonviral cancers. Microarray analyses of host cell transcription [34, 46, 51] proved that KSHV-positive PEL differ from other types of B-cell lymphomas. This is consistent with the idea that KSHV reprograms the tumor cell.

It has been shown that KSHV infection reprograms endothelial cells. Blood endothelial cells are reprogrammed toward lympathic endothelium and conversely, lymphatic endothelium is reprogrammed toward blood endothelium [42, 43, 98, 100]. Several studies have ascertained the host transcription profile in tissue culture models of KSHV infection [66, 68, 75, 79]. KS has a cellular transcription signature that is distinct from other cancers and tied to the unique pathology of this disease, as an angioproliferative, cytokine driven disease. For instance, c-Kit and other growth factor receptors in microarray studies of KSHV-infected endothelial cells led to a successful pilot study using the kinase inhibitor gleevec (Imatinib) [52]. Other studies found response rates of KS to a matrix metalloproteinase inhibitor [23] or anti-VEGF antibodies such as bevacicumab [95].

Every KS tumor transcribes high levels of the canonical KSHV latency transcripts encoding LANA, vFLIP, vCyclin, the viral micro RNAs, and Kaposin. These genes are under control of the same promoter and are expressed in every KS tumor cell [26, 30]. Kaposin is located immediately downstream of these three genes and in addition to the common promoter can be regulated by a promoter located between LANA and cyclin [56] and during lytic reactivation yet another, ORF-proximal promoter [84]. Like LANA, Kaposin too is expressed in every tumor cell [92] and has been shown to stabilize cellular cytokine mRNAs [62]. In addition to these latent proteins, many KS tumors as well as PEL engrafts [93, 97] express an extended set of proteins that were initially classified as lytic viral genes, but in the context of the tumor may be the result of abortive or incomplete viral reactivation. These include the KSHV interferon regulatory factor (vIRF-1) and G-coupled receptor (vGPCR) homologs [24] and the K1 constitutive signal protein [3, 97, 99, 104], as well as K15, a constitutive signaling protein located at opposite end of K1 [39]. This suggests that a subset of KS phenotypes may be attributable to these genes and the paracrine mechanisms that they invoke [4, 64, 65]. The vIRF-3, a duplicated KSHV IRF homolog, is constitutively transcribed in KSHV-infected PEL [80]. Thus, we speculate that KSHV has to interfere with the host cell's innate interferon response in every infected cell regardless of cell lineage or mode of infection and has thus placed multiple copies of the vIRFs, all of which interfere with normal interferon signaling, under different control elements, e.g., vIRF-3 is specific for B cells while vIRF-1 is specific for endothelial cells. Thus, both latent and select lytic genes can be considered tumor-specific therapy targets for KS.

3.7 Therapies to Treat KS, PEL, and MCD

Treatment modalities for KS include observation, local therapy, or systemic chemotherapy specifically paclitaxel and anthracyclines, such as doxorubicin/ adriamycin [69], depending on the severity of the disease. Response rates approach 70% depending on comorbidities. KS is know to reapear and to require repeated treatment; a complete cure is seldom achieved as none of the anti-cancer treatments erradicate the latent virus. A key development was the demonstration that liposomal

formulation of the peggylated-anthracyclins were as efficactious as the initial drug, but had significant fewer side effects. No new theraphies against KS have been introduced since the liposomal anthracyclines such as liposomal Doxorubicin or liposomal Daunorubicin. Whether a protein-bound formulation of paclitaxel (Abraxane) has activity with reduced toxicity is unknown. Interferon alpha was initially approved to treat KS, but is no longer in use. KS is a highly angiogenic tumor but clinical trials targeting the angiogenic nature of KS have shown limited efficacy as single agent [95]. This is expected, since most of these agents, such as the humanized anti-VEGF antibody bevacicumab are tumorstatic and do not kill the tumor cell directly.

A clinical trial involving daily doses of Imatinib mesylate (Gleevec), which targets c-kit and platelet-derived growth factor receptor (PDGFR) signaling, resulted in clinical and histologic regression of cutaneous KS [52], as did a trial of a matrix metalloproteinase inhibitor [23]. As more receptor tyrosine kinase (RTK)-targeting molecules become available, targeting PDGFR, VEGFR, and related mediators of paracrine tumor promoters, offer promise for KS.

Organ transplants, who developed KS due to immunosuppressive therapy, benefited from treatment with rapamycin [91]. This observation has been repeated in multiple settings and switching from cyclosporine A or FK506, which suppress T cell activation, but not B cell or endothelial cell activation to rapamycin, which suppresses proliferation in all three cell types, has emerged as the informal standard of care of iatrogenic KS. Rapamycin/Sirolimus and its derivatives Temsirolimus and Everolimus are allosteric inhibitors of the mTOR pathway and display both immunosuppressive and antineoplastic properties. The clinical effect of rapamycin could be reproduced in animal models [82, 89]. Of note, rapamycin was active against doxorubicin-resistant PEL. Rapamycin acted via an antiangiogenic mechanism ultimately reducing the levels of VEGF and of VEGF receptor on endothelial cells. Again, as single agent rapamycin was tumorstatic, rather than tumortoxic. Newer, competitive inhibitors of the mTOR pathway are likely to produce superior results. Additional inhibitors targeting the active site of PI3K and mTOR have also proved effective in animal models [2, 7].

A series of clinical trials is exploring the efficacy of "imids", i.e., thalidomide, lenalidomide, and pomalidomide in KS that develops in HIV-suppressed indiviudals. These compounds have an as yet ill-defined mechanism of action that affects the immunesystem as well as potential KS tumor cells directly, through modulating gene expression [20]. In 2018 Pomalidomide received orphan drug designation for KS by the FDA of the US.

The risk for KS and virally associated lymphomas increases rapidly as the CD4+ cell counts of HIV-infected individuals diminish [17], and the risk of developing AIDS-associated cancers is lower for individuals who are less severely immune suppressed. Since the prevalence of KS in AIDS patients is very high, and HIV coinfection is thought to be an important factor in the development of KS, attempts to control KS by improving the immune system of HIV-infected individuals through cART are recommended. Indeed, the incidence of KS has declined considerably following the introduction of cART therapy and often cART alone will

lead to KS regression in AIDS patients. However, it is important to note that even in the face of cART therapy, the likelihood of an HIV-positive individual developing KS is still 20 times higher than uninfected individuals [17] and that by now one-fourth of KS develops in individuals who are HIV-suppressed [53].

Current treatments for MCD, PEL, and other AIDS lymphomas include standard chemotherapy such as CHOP, which contains four drugs; prednisone, vincristine, cyclophosphamide, and doxorubicin, or EPOCH, which in addition contains etoposide. These can be given coincidentally with cART [78, 86]. Case reports in the literature also suggest that Rituximab (rituxan) is effective against PEL. Rituximab is an anti-CD20 antibody, but because Rituximab targets normal B cells as well, it can be associated with an increased risk of infection when used in AIDS patients [48]. Scott et al. have reported on two MCD patients that went into sustained remission with just oral etoposide [86], but a more modern approach would be neutralizing human IL-6 using anti-IL-6 antibodies or anti-IL-6 receptor antibodies. Whether the concept of neutralizing paracrine factors can also be applied to viral IL-6 remains to be explored.

Another line of thinking has lead to exploratory studies using anti-herpesviral drugs that inhibit herpesviral replication such as ganciclovir or AZT [11, 38, 60, 94] in patients. There are two possible mechanisms of action. First, these inhibitors suppress viral dissemination and thus the pool of infected cells rather than acting directly on the tumor. Second, there is the observation that AZT as well as ganciclovir has direct cytotoxicity on the infected cell, and selectivity for infected cells, as only those cells express the viral kinases that convert these prodrugs into their active forms. The later can be enhanced by inducing viral reactivation using histone-deactylase inhibitors such as vorinostat, butyrate, or valproic acid. Cidofovir, another herpesvirus polymerase inhibitor, did not show a clinical benefit [57].

cART therapy has resulted in varying degrees of success with respect to decline in the incidence of non-Hodgkin lymphoma. It is estimated that cART therapy decreases the incidence of non-Hodgkin lymphoma anywhere in the range of 40– 76%. Moreover, there is emerging evidence that protease inhibitors such as indinavir or nelfinavir, which also inhibit matrix metalloproteinase may have direct anti-KS activity [36] in addition to HAART-associated reconstitution of the immune system [87]. More information on current trials that are underway to treat KS, PEL and MCD can be gleaned by visiting the National Cancer Institute (NCI) website: http://www-dcs.nci.nih.gov/branches/aidstrials/adlist.html.

3.8 Conclusions

As a consequence of cART, the life expectancy of HIV-infected individuals now equals that of other persons with chronically managed diseases such as diabetis or heart disease. As these HIV-infected patients continue to age, there will be a corresponding increase in the incidence of AIDS-defining, since HIV+ are disproportionally exposed to KSHV, human papilloma virus, and Epstein–Barr Virus,

as well as cancers not associated with infectious causes. Most of the current therapies with the exception of anti-herpesviral drugs do not take advantage of the unique viral etiology of KSHV-associated cancers, and anti-herpesviral drugs themselves are not effective against latent virus. Thus, it will be important to show that "traditional" anticancer therapies are safe in the context of cART and HIV infection, and to develop future therapies that directly impact upon, and obliterate, the function of viral genes.

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4

Molecular Biology of EBV in Relationship to HIV/AIDS-Associated Oncogenesis

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Abstract

Herpesvirus-induced disease is one of the most lethal factors which leads to high mortality in HIV/AIDS patients. EBV, also known as human herpesvirus 4, can transform naive B cells into immortalized cells in vitro through the regulation of cell cycle, cell proliferation, and apoptosis. EBV infection is associated with several lymphoma and epithelial cancers in humans, which occurs at a much higher rate in immune deficient individuals than in healthy people, demonstrating that the immune system plays a vital role in inhibiting EBV activities. EBV latency infection proteins can mimic suppression cytokines or upregulate PD-1 on B cells to repress the cytotoxic T cells response. Many malignancies, including Hodgkin Lymphoma and non-Hodgkin's lymphomas occur at a much higher frequency in EBV positive individuals than in EBV negative people during the development of HIV infection. Importantly, understanding EBV pathogenesis at the molecular level will aid the development of novel therapies for EBV-induced diseases in HIV/AIDS patients.

Keywords

EBV · HIV/AIDS · Oncogenesis · Latent infection · Lymphoma

4.1 Introduction

Epstein–Barr virus (EBV) was discovered and isolated from a Burkitt's lymphoma patient in 1964 [41]. It is known as the first tumor virus. EBV has 184 kb DNA base pairs, encoding 85 open reading frames (ORFs) and non-coding RNAs. There are two phases during EBV lifecycle, lytic infection producing new viral particles, and the followed long-term latent infection. EBV infects over 90% of the world's population. Typically, the infection is asymptomatic when humans are infected by EBV before adulthood but it will lead to mononucleosis when people are infected post-adolescence. EBV establishes extremely successful strategies to evade from host immune surveillance and contributes to about 0.5–2% of cancer occurrence [18], and is shown to be associated with Hodgkin Lymphoma (HL), Burkitt's Lymphoma (BL), Post-transplant Lymphoproliferative Disease (PTLD), Primary CNS Lymphoma (PCNSL), Nasopharyngeal Carcinoma (NPC), and gastric carcinoma (GC) [66].

In vivo, EBV primarily infects naive B cells of the tonsils followed by lytic viral replication, which spreads to the tonsil epithelial cells [142]. The replication and spreading process can also be suppressed by the host immune system. In latent infection, EBV expresses very limited proteins and non-coding RNAs in the memory B cells. There are now four distinct latency programs in the infected cells, latency type 0, I, II, and III [140]. They each exhibit a unique protein expression profile. In latency III, regarded as the first latency program established, following

primary infection of resting naive B cells, EBV expresses six EBV nuclear antigens (EBNAs; EBNA1, EBNA2, EBNA3A, EBNA3B, EBNA3C, and EBNA-LP), three membrane proteins (latent membrane protein (LMP); LMP1, LMP2A, and LMP2B), two viral RNAs (EBERs), and the BamA rightward transcripts (BARTs) [157]. Viral protein expression pattern in latency III can potently drive B cells into immortalized cells. Type II latency is characterized by the expression of EBNA1, LMP1, LMP2, EBERs, and BARTs. In latency type I, only EBNA1, EBERs, and BARTs are expressed. In latency 0, EBV's protein expression is totally shut down, only expressing EBER and BART RNAs [20].

In individuals with competent immune systems, EBV rarely induces severe diseases but it can lead to severe consequences in people whose immune systems are compromised. HIV can severely destroy the human immune system and attenuate the immune suppression of EBV-induced abnormality in infected cells [107]. Incompetent immune surveillance and response will allow abnormal cell growth, proliferation, and tumorigenesis. It is frequently found that coinfections of EBV and HIV exist in malignancies associated with AIDS patients [90]. Frequency of multiple Epstein–Barr virus infections is changed in HIV-associated T-cell-immunocompromised individuals [155], which suggests that HIV infection may be able to modulate the status of EBV infection.

4.2 The Host Pathways Affected by EBV in Oncogenesis

EBV can transform B cells into lymphoblastoid cell lines (LCL) through the regulation of many pathways of cells including cell cycle, apoptosis, proliferation, chromatin and immune response repression [158]. Although EBV has the potential to induce B cell immortalization, not all of the B cells are transformed. A portion of EBV-infected cells are arrested in G1/S-phase [101]. Recently studies have found that the DNA damage response and metabolic stress induced by EBV can also be factors which suppresses B cell immortalization [101, 106]. It is also proposed that unknown host factors with the potential to suppress the immortalization process during EBV transformation remain to be discovered. Here, we reviewed several pathways and factors that affect transformation induced by EBV.

4.2.1 Resistance to Cell Apoptosis

EBV can prevent cell apoptosis through binding to the death receptors at the very early phase of infection. The best-characterized ligands and corresponding death receptors during apoptosis are FasL/FasR and TNF-α/TNFR1 pathways [40]. The death receptors recruit their adaptor proteins including TNF receptor-associated death domain (TRADD), Fas-associated death domain (FADD), and caspase-8. The interactions form a complex called death-inducing signaling complex (DISC) [11].

Following the formation of DISC, executioner caspases are cleaved by caspase 8 and the cell apoptosis program begins.

The expression of p53 in the presence of DNA damage is reduced and survivin can be induced by EBNA1 [70, 102]. Interestingly, EBNA2 can increase the protein levels of anti-apoptotic proteins such as Bfl-1, Bcl-xL, Bcl-2, and Mcl-1 [118]. The cellular proapoptotic BIK/NBK gene is also repressed by EBNA2 at transcription level to inhibit the proapoptotic program [22]. The Bcl-2 family member BIM1, which is known as a proapoptotic protein, is suppressed by the viral encoded essential nuclear antigens EBNA3A and EBNA3C [5]. P53-mediated activities can also be repressed by EBNA3C [20, 156] and P53 itself can be degraded through EBNA3C recruitment of the MDM2 E3-ubiquitin ligase [128]. EBNA3C also can inhibit cell apoptosis through regulation of E2F1, IRF4/8, Pim-1, Aurora kinase B [9, 10, 65, 127]. EBNA-LP contributes to the anti-apoptosis activities through interaction with an extensive number of host cell proteins which include PP2A, HAX-1, HSP70, HSP72, Rb, P53, P14ARF, and Fte1/S3a [11, 49, 60, 69–71, 96].

NF- κ B activation is essential for the survival of EBV-transformed B lymphocytes [19] and can be activated by LMP1 recruited TNFR-associated factors (TRAFs) and TNFR-associated death domain protein (TRADD). LMP1 activated NF- κ B can also induce A20 and BCL-2 to promote cell survival [81]. Down-regulation of BCL-2 in the absence of LMP1 and LMP1 induced upregulation of BCL-2 demonstrated that LMP1 can exert its anti-apoptotic function through BCL-2 [45, 93]. BCL-2 is also regulated by LMP2 which protects EBV protected cells from proapoptotic signals [117].

4.2.2 Cell Cycle and Proliferation

Proper cell cycle arrest is a safeguard to prevent premature cell division and uncontrolled proliferation. Cyclins, cyclin-dependent kinases (CDKs), and CDK inhibitors strictly regulate the cell cycle [46]. c-Myc activation, which is common in kinds of cancer, can increase the activities of Cyclin D and E2F and repress the expression of CDK inhibitors (p27^{Kip1}, p21^{Cip1}, and p15^{Ink4b}) [17, 44, 61]. Increased expression of c-Myc can also be induced by EBV proteins, such as EBNA2 [67], LMP2A [46] and EBNA3C [7]. pRb, the ubiquitin ligase SCFSKP2, cyclin D1, cyclin A, c-Myc, MDM2, p53, CHK2, E2F1, and E2F6 are all directly regulated by EBNA3C [78, 79, 128, 139]. These interactions are involved in G1-S and G2-M transitions. Recently, it is noticed that mitotic checkpoint proteins, such as BubR1 expression and stability are affected by EBNA3C and these disruptions of mitotic checkpoints also contribute to cell proliferation [57, 65, 108]. Inactivation of G1-S, G2-M, and mitotic spindle checkpoints can enhance the propagation of damaged DNA and provide EBV increased diversity of the genetic context of progeny cells, which also contributes to oncogenesis.

4.2.3 Promotion of Cell Metabolism

Disruption of cellular metabolism is a hallmark of cancer [111]. Viruses rely on host cells for the energy needed during their lifecycle. It is known that viruses are able to change the profile of host cell metabolism to facilitate assimilation of carbon into macromolecules for viral and host cell activities during infection [132]. Many cancers undergo hypoxia, which diminishes the use of ATP by downregulating Na-K-ATPase [148]. During EBV latent infection, LMP-1 activates the expression of hexokinase 2 (HK2). Expression of HK2 leads to the induction of glycolysis and this proves to be necessary for nasopharyngeal carcinoma cell survival [152]. B cell transformation mediated by EBV, glucose import and surface glucose transporter 1 (GLUT1) levels were increased in hyper-proliferated B cell subsets [101]. However, how cellular metabolism is regulated by EBV is largely unknown.

4.2.4 Evasion from Immune Surveillance

Innate immunity and adaptive immunity constitute the defense line against pathogen infections. Pattern recognition receptors, such as Toll-like receptors (TLRs) and cytoplasmic foreign DNA and RNA sensors (including IFI16, cGAS, and RIG-I-like receptors) are important components of innate immunity [72]. Once the virus establishes primary infection and resides inside cells, adaptive immunity is needed to detect and clear virus-infected cells. T cells which can recognize virus expressed peptides through surface HLA molecules do play important roles in the process of elimination [121].

Although the host immune system can effectively inhibit EBV activities, EBV survives in the human body by establishing long-term latent infection with limited gene expression [141]. To survive in host cells, EBV has developed multiple pathways to suppress, counter and evade the host immune surveillance [28]. There are several key latent proteins that aid in EBV's ability to evade immune detection. The EBNA1 antigen has a weak immunogenicity for MHC I presentation and interferes with the NF- κ B, STAT1, and TGF β pathways [16, 150]. EBNA2 inhibits IFN β and ISG production through enhancement of STAT3 transcription [4, 105]. LMP1 can inhibit STAT2 activity and IFN production through preventing Tyk2 phosphorylation and induction of an inhibitory IRF7 splice variant [50, 159]. LMP-1 can also reduce TLR9 expression to block pattern recognition through NF- κ B activation [43]. LMP2a can limit IFN-stimulated gene expression by interfering with signaling between IFN γ and IFN α and their receptors [136].

In addition to immune system evasion during latency, EBV can attenuate CD4+ and CD8+ T cell recognition of EBV positive cells through down-regulation of HLA I and HLA II during its replication. The process is mediated by BNLF2a, BILF1, and BGLF5 during lytic infection [103]. Viral interleukin-10 (vIL-10), a homolog of human IL-10, is produced by the EBV gene BCRF1 which stimulates B cell growth, inhibit antigen presentation, T cell growth and IFN- γ production [68]. EBV-infected lymphoma cells highly express inhibitory ligands for the PD-1/CTLA-4 receptor, PD-L1 and PD-L2 to suppress EBV-specific T cell responses. PD-1/CTLA-4 blockade by drugs can effectively reduce the size of lymphomas induced by EBV [95].

4.2.5 Epigenetic Regulation Due to EBV Infection

Cancer cells and normal cells are largely different in epigenetic states, including chromatin remodeling, histone acetylation, histone methylation, and DNA methylation [116]. Hyper-methylated CpG islands at promoters, genome-wide hypomethylated DNA in the gene body, changes in histone modification expression and distribution are constantly associated with cancer cells [154]. These abnormal epigenetic profiles lead to aberrant gene expression and contribute to cancer development. DNA methyltransferase (DNMT), histone deacetylase (HDAC), and histone methyltransferase activities can also be regulated to modulate the hypomethylation patterns [124]. Tumor viruses can also manipulate the host epigenetic machinery and change host cellular genome through DNA methylations and histone modifications [116]. Emerging data from a number of studies have suggested that inappropriate epigenetic regulation underlies human tumor virus-mediated oncogenesis.

EBV influences host epigenetic profiles from different angles and viral-mediated epigenetic regulations on host chromatin is believed as an essential factor which contributes to oncogenesis. Hyper-methylated CpG islands are recruited at the promoters of tumor suppressor genes (TSGs) and result in global transcriptional repression of TSGs in EBV-infected resting B cells [126]. EBV latent proteins play essential roles in epigenetic deregulation during B cell lymphomagenesis. A chromatin remodeling complex, SWI/SNF, can be recruited by EBNA2, to create an open chromatin conformation and so induces c-Myc transcription [149]. EBNA3C can interact with HATs and HDACs including p300, CBP and HDAC1/2 [34, 76]. These interactions suggest a possible role in the regulation of histone acetylation and chromatin remodeling. Hypermethylation at promoters of tumor suppressor genes, such as p14ARF, p15INK4a, and p16INK4a are recruited by EBNA3A and EBNA 3C [2, 3]. MIZ1 and H3K27me3 histone modification can be recruited by EBNA3A to the promoter of p15INK4a to inhibit its expression [12]. EBNA3A and 3C can also mediate Polycomb repressive complex 2 (PRC2) binding and H3K27me3 modification to the promoter of tumor suppressor Bim/Bcl2L11 [5, 110]. LMP1 can regulate all three DNA methyltransferase (DNMT) enzymes, DNMT1, DNMT3A, and DNMT3B in either nasopharyngeal carcinoma (NPC) cell lines or germinal center B cell-derived malignancies and so influence DNA methylation of specific genes, such as RARB and CDH13 [86, 135, 145]. LMP2A is shown to increase expression of DNMT1 and methylation of PTEN gene. Although people begin to know about how EBV influences host transcription regulation, much is focused on the interactions between viral factors and the host epigenetic machinery. Furthermore, genome-wide epigenetic regulation mediated by EBV is largely unknown. Therefore, there is a great deal yet to be explored regarding epigenetic regulation related to EBV infection.

4.3 The Functions of EBV Proteins During Oncogenesis

Viral oncogenes expressed in latent or lytic infection are potent in their ability to drive B cells proliferation and immortalization in vitro. During EBV primary infection in B cells, EBV initially enters a transient, lytic phase where lytic genes are expressed resulting in progeny virus [59, 119]. Within the first 24 h post infection, EBV also expresses latent genes as indicated by the expression of EBNA-LP and EBNA2² and establishes latent infection after 4–6 days with expressions of six EBV nuclear antigens and two membrane proteins [8, 98, 129, 160]. Each of these proteins play an important role in the oncogenic process during EBV infection (Fig. 4.1 and Table 4.1).

EBNA1 is regarded as a key protein important for replication and mitotic segregation of the viral genome [48] and is the only nuclear protein expressed in latency type I. Cooperating with viral origin of plasmid replication (oriP), EBNA1 supports viral replication using the host cell replication machinery as well as segregation into daughter cells upon mitosis [36, 48, 88]. Several cellular proteins that interact with EBNA1 have been identified. These include the cellular origin recognition complex and other components of the prereplication complex, replication protein A, and the telomere repeat binding factor 2 (TRF2) [35]. EBNA1 can also tether the EBV episomes to the cellular chromosomes by interacting with

 EBNA1
 EBNA2
 EBNA3C
 EBNA3A
 LMP1
 LMP2A

 Image: String B cells
 Image: String
 Image: String B cells

Fig. 4.1 EBV latent proteins induce hall marks of cancer through regulation of cellular proteins

Viral proteins	Function pathways	Regulation of host proteins	Roles in cell transformation
EBNA1	Tethers viral genome la host cell chromosome, viral DNA replication, transcriptional activation, immune evasion	Cellular origin recognition complex, RPA, TRF2, RAG-1, RAG-2, Nm23-H1, AP-1, p53	Essential
EBNA2	Transcriptional regulation, cell proliferation and accelerating cell cycle	RBP-Jk, c-Myc, CDK2, Bcl6, SKIP	Indispensable
EBNA3A	Transcriptional regulation EBNA2 antagonist and coactivator	RBP-Jk, CtBP, Chk2, WDR48, WDR20, and USP46/UP12, MIZ-1, 20S proteasome, Chaperones, XAP-2, TCP-1, AhR, UK/UPRT	Indispensable
EBNA3B	Transcriptional regulation	RBP-Jk, Cyclin A, 20S proteasome, WDR48, WDR20, and USP46/UP12	
EBNA3C	Transcriptional regulation chromatin remodeling histone modification E3 ubiquitin degradation	RBP-Jk, Nm23-H1, SCF _{SKP2} ubiquitin ligase, Chk2, p300, CtBP, DDX20, HDAC1/2, mSin3A, NCoR, Cyclin A, D1, E, GSK3b, p53, Mdm2, ING4/5, E2F1, E2F6, Rb, c-myc, sumo1/3, MRPS18-2, Aurora kinase B, IRF4/8, H2AX, USP46/12, p73	Indispensable
LMP-1	Transcriptional modulation regulates cell cycle checkpoint cell survival cytotoxic T Lymphocyte modulation	NF-κB, JNK, ERK, and P38 MAPK, PI3-K/AKT, IRF7, p16, p27, BCL2	Indispensable
LMP-2	Maintenance of latency Disrupts B cell of latency receptor signaling	Bcl-xL, Ras/PI3K/Akt pathway	Essential

Table 4.1 The role of viral proteins in regulating host cell activities

cellular protein EBP2 [151]. EBNA1 has roles in cell survival through regulating cellular gene expression, including upregulation of survivin expression and inhibition of the protein tyrosine phosphatase receptor kappa (PTPRK) [94]. EBNA1 also contributes to tumorigenesis through dysregulation of the host cell genome stability by inducing RAG1/RAG2 and increasing reactive oxygen species (ROS) [56, 146].

EBNA1 can promote cell migration through interacting with Nm23-H1 in lymphoblastoid cell lines and inhibits its ability to suppress cell migration [104]. Furthermore, loss of EBNA1-specific memory CD4+ and CD8+ T cells were found in HIV-infected patients progressing to AIDS-related non-Hodgkin lymphoma [115]. This suggests that EBNA1 may be a critical viral encoded antigen that increases the chance of development of an EBV positive lymphoma in HIV-infected patients.

EBNA2 has essential roles in the process of B cell immortalization and mainly promotes cell growth through induction of cell proliferation and accelerating cell cycle. EBNA2 functions as a transcriptional factor with a transactivation domain and interacts with DNA through other adaptors [74]. EBNA2 also downregulates c-Myc expression and up-regulates Cyclin D, E [67]. EBNA2-mediated transcriptional modulation is mainly exerted through association with other cellular DNA-binding proteins including RBP-Jk and PU.1 [91, 112, 161]. EBNA2 contributes to B cell immortalization through constitutive activation of the Notch signaling pathway [80]. RBP-J κ is a ubiquitous DNA-binding protein which recognizes the sequence CGTGGGAA [100]. The intracellular region of notch has been shown to possess transactivation ability when overexpressed in various cell lines. Binding of notch to the nuclear protein RBP-J κ is dependent on two regions: the RAM domain located immediately C-terminal to the transmembrane region and the CDC 10/ankyrin repeats [130]. EBNA2 can bind to RBP-Jk at the same regions and can replace the binding of intracellular region of notch. Therefore, EBNA2 acts as a constitutive component of notch-I signaling complex and results in activation of the notch-I signaling pathway [8]. The ability of EBNA2 to drive B cell immortalization relies on its ability to antagonize the transcriptional repression function of RBP-Jk. Interestingly, EBNA2 transactivates the HIV LTR, and this transactivation is dependent on the NF- κ B sites in the HIV LTR [133]. The exact mechanisms of this transactivation are still not well demonstrated.

EBNA3 family proteins: EBNA3 family proteins are EBV latent proteins that include EBNA3A, 3B, and 3C. The three EBNA3 genes encoded by EBV are expressed from adjacent loci in the EBV genome and it is believed that these genes have evolved from a common ancestral gene to mediate slightly divergent functions [8]. The amino termini of all three genes have a conserved domain that binds to the transcriptional corepressor RBP-J κ and enables these proteins to differentially regulate EBNA2-mediated transcription. The two members of EBNA3 family EBNA3A and 3C are vital for B cell transformation and lymphomagenesis [14]. Modified EBV containing stop codons demonstrated that EBV loses its ability to transform naive B cells without EBNA3A and 3C but not EBNA3B [14, 144]. EBNA3 proteins exert transcriptional regulation functions through interacting with host proteins. For host proteins known to interact with EBNA 3 family proteins, RBP-JK is the first host protein that was identified as a transcription repressor whose interaction is essential for lymphoblastoid cell growth [99, 122, 123]. EBNA3A can mediate viral resistance to BCL-2 antagonism and maintain B cell long-term growth [118]. EBNA3C extensively interacts with host proteins and regulates host cell activities including transcription regulation, chromatin remodeling, histone modification, and E3 ubiquitin degradation (Table 4.1). Interactions with histone deacetylases, [76] histone acetyltransferases p300, CtBP [138] and polycomb proteins [2, 109] demonstrate that EBNA3C are involved in chromatin structure regulation.

EBNA3C mediates dysregulation of E2F1, E2F6, CyclinA, CyclinD, CyclinE, Tp53, c-Myc, Rb, and p27 [7, 77, 78, 114, 125, 127], so that EBNA3C can accelerate cell cycle by overcoming the cell cycle checkpoints. EBNA3C can also

contribute to genome instability by interaction with Aurora kinase B and H2AX [64, 65], which are hallmarks of cancer.

LMP Family proteins: The LMP family of proteins which include LMP1, LMP2A, and LMP2B are critical viral genes for B cell transformation [1]. LMP1 is an essential transmembrane protein with six transmembrane domains, a short intracellular N-terminus and a relatively long intracellular C-terminus [73]. The C-terminus of the protein is functionally homologous to a constitutively active CD40 receptor. It binds to members of the TRAF family and interacts with JAK3 and members of the STAT family [39, 51, 75]. All these interactions contribute to the uncontrolled proliferation of LMP1 expressing cells [8]. LMP1 can regulate EBV as well as host cell gene expression [97]. LMP1 mimics CD40 receptor signaling and is involved in regulating NF- κ B, JNK, PI3 K/Akt, and MAPK pathways to promote cellular proliferation [30, 83, 120, 137]. LMP1 dysregulates cell cycle checkpoint through inhibition of p16 and p27 [42] and also promotes cell survival through the BCL2 pathway. Drugs inhibiting JNK1 can strongly decrease Δ Np73a, an antagonist of p53 which is induced by LMP1 [1].

LMP2A is a transmembrane protein with 12 transmembrane domains, a short intracellular C-terminal domain and a relatively long intracellular N-terminal domain [85, 131]. LMP2B is an amino-terminal truncated form of LMP2A, with transcription beginning just before the first transmembrane domain [131]. The N-terminus of LMP2A is homologous to the B cell receptor cytoplasmic domain and contains the same ITAM motifs [8]. LMP2A inhibits B cell signal transduction by mimicking an activated B cell receptor (BCR). LMP2A promotes cell survival through up-regulating Bcl-xL expression and activating the Ras/PI3K/Akt pathway [30].

4.4 HIV-Associated Lymphoma in HIV/AIDS Patients

Lack of competent immune surveillance will lead to severe diseases induced by EBV. In healthy individuals, the number of EBV-infected cells is restricted by EBV-specific CD8+ T lymphocytes. Once the host lacks an effective number of T cells or competent immune response, the growth and proliferation of infected B cells will be out of control and eventually lead to proliferative disorders. The incidence risk of some lymphomas (non-Hodgkin lymphoma and central nervous system lymphoma) in HIV/AIDS patients is several hundred folds higher than in the healthy individuals [54]. Burkitt's Lymphoma, Diffuse large B cell lymphoma, Extranodal marginal zone lymphoma of MALT type, Peripheral T cell lymphoma, and Classical Hodgkin lymphoma which occur in immunocompromised patients account for 80% of HIV-associated lymphomas [15]. Although it was reported that HIV can directly immortalize B cell lines in EBV positive individuals [84], it is more closely accepted that the main effect of HIV in lymphomagenesis is immunosuppression, compromised immune surveillance and disturbed immune regulation.

Lymphoma histology	% in HIV	EBV positive frequency (%)	Histology feature	Expressed viral factors
Burkitt lymphoma	55	55	CD20, CD10, BCL6, BCL2, and Ki67 positive	EBNA-1
Diffuse Large B cell Lymphoma (DLBCL)	30	30–90	Centroblastic variant: CD10+, BCL6+, CD138-, and MUM1- Immunoblast variant: CD20 -/+, BCL6-, CD138+, MUM1/IRF4+, CD45-, PAX5-	LMP-1
Primary CNS Lymphoma (PCNSL)	<5	100	CD45b, Pan-B cell markers b, CD138 Variable positivity for CD10 and BCL6, IRF4/MUM1b. IGH monoclonal	LMP1
Hodgkin Lymphoma (HL)	_	100	BCL-6 , syn-1+, CD15+, CD30+, CD45	EBER, LMP1, LMP2
Plasmablastic Lymphoma (PBL)	<5	70–80	CD45-, CD20-, Pax5-, CD79a-/þ, CD138þ, CD38þ, MUM1/IRF4þ, BLIMP1þ, XBP1þ, clgG, IGH monoclonal	EBER
Primary Effusion Lymphoma (PEL)	<5	90–100	CD45þ, Pan-B cell markers –, CD30þ, CD138þ, clgM–, IGH monoclonal	EBER
Post-Transplant Lymphoproliferative Disease (PTLD)	_	90–100	CD20+, CD79a+, CD3-, CD5-	LMP1, LMP2

Table 4.2 Features of EBV-associated aids-associated B cell lymphoma

Besides the deficient T cell levels leading to occurrence of lymphoma, we now realize that the overactivated T cell response and exhausted immune responses are also related to initiation of B cell lymphomas [55]. This may be because of the dysfunction of T cells caused by the chronic and continuous activation induced by HIV replication. Here, we reviewed several EBV-associated diseases occurring in HIV-infected patients (Table 4.2).

Burkitt Lymphoma (BL): Among the lymphomas, Burkitt's Lymphoma is a common symptom in HIV/AIDS patients with 30–40% of the BL-tumors being EBV positive [66]. BL occurs during HIV infection even with a normal amount of circulating CD4+ T cell. Burkitt's Lymphomas are featured with expression of CD20, CD10, BCL6, BCL2, and Ki67 molecules [26]. Burkitt's Lymphomas are highly proliferative and there is a translocation placing the c-Myc gene adjacent to the region of heavy- or light-chain immunoglobulin loci [134]. Besides the

dysregulation of the c-Myc gene, it is common to find point mutations in the gene body of tumor suppressor gene TP53 [87].

There are several EBV proteins and RNA detected in Burkitt's lymphoma. EBNA-1 is expressed in Burkitt's lymphoma to tether the viral genome to host chromosome and also contributes to the genetic regulations during Burkitt's lymphoma development [92]. Since EBNA-1 does not induce a potent cytotoxic T cell response, it provides the EBV positive cells a survival advantage. In addition, cell cycle is disturbed in Burkitt's lymphoma because of the tumor suppressor gene RBL2 inactivation.

Diffuse Large B cell Lymphoma (**DLBCL**): DLBCL is the most common lymphoma in HIV-infected patients [26]. There are two subtypes, centroblastic DLBCL, and immunoblastic DLBCL, featured with different morphology and phenotype [153]. The probability of these two subtypes occur in HIV/AIDS patients is almost equivalent. Within 30–40% EBV positive cases, centroblastic DLBCL occurs accompanied with mild immunosuppression. Centroblastic DLBCL is CD10 +, BCL6+, CD138-, and MUM1- showing a germinal center B cell phenotype [6, 32]. Immunoblastic DLBCL cells express B cell markers including CD19, CD20, CD79a, Pax5 and lacks germinal center phenotype [90]. Immunoblastic DLBCL usually occurs under the condition of marked immunosuppression and about 90% of these cases are EBV positive [28].

LMP-1 plays essential roles in lymphoma pathogenesis and is usually expressed in DLBCL [28]. LMP1 can strongly downregulate BCL-6 in DLBCL and promotes cell survival [23, 113]. NF-kB pathway activation induced by loss of the anti-apoptotic protein A20 contributes to pathogenesis of DLBCL [33]. However, in the presence of EBV infection, LMP1 can induce the expression of A20 and the upregulation of A20 prevents cell apoptosis, and thus promote tumorigenesis [52]. The relationship between A20 expression and pathogenesis of DLBCL reflects the complex regulatory processes which occur during lymphomagenesis under conditions of HIV and EBV coinfection. In addition, the dysregulation and hypermutations of c-Myc, TP53, Pim1, Pax5, and RhoH/TTF genes occur quite frequently in HIV-related DLBCL cases [90].

Primary CNS Lymphoma (PCNSL) occurs in the brain, leptomeninges, eyes or spinal cord, and is also categorized to be one kind of DLBCL [89]. The frequency of PCNSL in normal population is less than 1%, but it is up to 20% of the total lymphomas occurring in HIV-infected patients who have rather low CD4 T cell counts, over 1000 times greater than in the non-HIV population [47].

EBV has been found in PCNSL cases at 100% frequency in HIV-infected individuals and all EBV latent proteins are expressed in PCNSL [15]. Interestingly, studies have reported that EBV detection combined with CNS lesions can be used as a kind of diagnosis of PCNSL [62].

Hodgkin Lymphoma (**HL**): HL is one of the most common lymphoma in HIV positive patients, with over 10 folds higher risk than the general population [53]. The occurrence of HIV-associated HL often accompany a higher ratio of CD8+ T cells over CD4+ lymphocytes compared with HIV negative HL. Hodgkin Reed–Sternberg (HRS) cells in HIV-associated HL represent the typical cells in HL and

they are featured with BCL-6-, syn-1+, CD15+, CD30+, CD45- phenotype and thus reflect post-GC B cells [25].

There are high titers of EBV in patients with Hodgkin lymphoma, indicating that HIV and EBV may cooperate closely with each other to promote development of HL [24]. Both EBER in situ hybridization and LMP1/2 are positive in HIV-associated HL [25]. LMP1 and LMP2 play important roles in HL pathogenesis. LMP1 up-regulates the expression levels of the multiple function polycomb protein BMI-1, which plays a role in inhibiting tumor suppressors [38]. LMP1 also promotes B cell proliferation through mimicking CD40 and activating NF- κ B, PI3K, and JAK/STAT pathways [82, 147]. LMP2 promotes immature BCR negative B cells survival via mimicking B cell receptor (BCR) signaling and migration from bone marrow/colonize peripheral lymphoid organs [21].

Plasmablastic Lymphoma (**PBL**): PBL is related to HIV-associated lymphoma, post-transplant immunodeficiency and immunosenescence [27]. PBL constitutes about 3% of lymphomas in HIV positive patients and 70–80% of these PBL are EBV positive.

PBL cells are positive for plasma cell markers (CD79a, IRF4/MUM1, BLIMP1, CD38, and CD138) and express low level or have no B cell specific markers, for example, CD19, CD20, and Pax5 [27]. IRF4/MUM1 and BLIMP1 are more frequently detected than CD38 and CD138 in PBL [90]. CD10 expression may also accompany c-Myc translocation in some cases. In more than 30% of cases, PBL can express CD10 while 78% of PBL cases are c-Myc translocated or amplified and nearly all the cases have overexpressed c-Myc protein levels. Moreover, c-Myc overexpression is believed to facilitate PBL cell proliferation and survival. The overexpression of c-Myc is also a result of the mutant PRDM1/Blimp1 α protein, which lacks the functional regulatory domains of the c-Myc gene.

EBV factors may also be involved in the pathogenesis of plasmablastic lymphoma. The frequency of c-Myc translocation is found in about 70% of EBV positive PBL cells versus 40% in EBV negative cases. It is reported that EBER can be 100% percent positive in PBL cases with HIV infection while they are generally negative for EBNA2 and LMP-1 [37].

Primary Effusion Lymphoma (**PEL**): Almost only found in HIV-infected patients, PEL constitutes less than 5% of all HIV-associated non-Hodgkin lymphoma. Although it is believed that KSHV is the determining factor driving pathogenesis of PEL, EBV coinfection exists in most cases of PEL [102]. PEL cells lack B cell or T cell lineage phenotype, negative for CD19, CD20, CD79a, and immunoglobulins [31]. PEL cells frequently express activation or plasmacytic differentiation-related antigens, including CD30, HLA-DR, EMA, CD38, and CD138 [13]. The immunoglobulin gene and the non-coding regions in BCL6 gene are frequently mutated. Genes involved in inflammation, cell adhesion, and invasion are also highly expressed in PEL cells [63] and KSHV factors play essential roles in the pathogenesis of PEL. LANA-1, v-cyclin, vFLIP, LANA-2, and vIL6 can facilitate cell transformation through promoting proliferative, anti-apoptotic, pro-inflammatory, and angiogenic effects [29]. The EBV viral RNA factor EBER

can be detected in most cases of PEL. It demonstrates that EBV is an important coinfecting factor, but it is not clear about the roles of EBV in pathogenesis of PEL.

Post-Transplant Lymphoproliferative Disease (**PTLD**): PTLD can occur in patients with primary immunosuppression, drug-mediated immunosuppression following transplant to prevent the rejection and HIV infection induced immunodeficiency. It is different from HIV-associated lymphoma because PTLD has more limited disease distribution, normal tumor suppressor gene expression and is negative for oncogenes.

EBV infection is tightly linked to PTLDs and nearly 100% positive in PTLD-associated Hodgkin Lymphoma cases. The deficiency of killer T cells in PTLD patients also contributes to the activation of EBV. Widely expressed EBV latent proteins promote cell survival and resistance to programmed cell death [143]. LMP1 and LMP2 expressed in PTLD cells can induce neoplastic cell proliferation through dysregulation of BCR, CD40 and NF-kB regulatory pathways [58].

4.5 Conclusions

Numerous studies have revealed multiple mechanisms involved in EBV and HIV/AIDS-associated oncogenesis in the last couple of decades. Strategies utilized in the discovery of viruses provide clinical investigators clues as to the development of therapies and methodologies to decrease the risk of virus-associated diseases. New therapies to combat HIV and EBV infections are emerging. Highly active antiretroviral therapy (HAART) has highly reduced the mortality in the HIV-infected patients. HDAC inhibitors, which can induce virus reactivation from latency infected cells, are used as a "shock and kill" strategy and have been proved an efficient method to decrease HIV and EBV particles in vivo. Crispr-cas9 is also used to effectively edit target genes and so this could be used to destroy HIV or EBV which contribute to lymphoma pathogenesis. In the future, combined therapeutic approaches, including chemotherapy and biotherapy may be adopted to clear virus from infected patients and repress virus-induced diseases.

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Human Papillomavirus Infection and Cervical Cancer in HIV+ Women

Ping Du

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Abstract

Human papillomavirus (HPV) is the first identified necessary cause of human cancers and is associated with nearly 100% of all cervical cancers. Compared to the general female populations, HIV+ women have higher prevalence and incidence of cervical HPV infections, higher risks of persistent HPV infections and subsequent cervical intraepithelial lesions, and a higher incidence of cervical cancer. Although the wide use of combined antiretroviral therapy (cART) has improved the immune function and the longevity of HIV+ women, the incidence of cervical cancer in HIV+ women has not declined. For HIV+ women who follow routine cervical cancer screenings, their incidence of cervical cancer is comparable to that in HIV-negative women. Thus, adherence to the recommended cervical cancer screening is still critical for HIV+ women to prevent cervical cancer. Prophylactic HPV vaccines may also benefit HIV+ women, but prospective studies are needed to determine the effectiveness of HPV vaccination on reducing cervical cancer incidence in HIV+ women.

5.1 Biology of Human Papillomavirus and HPV-Associated Cervical Cancer

Human papillomavirus (HPV) is a small, non-enveloped DNA virus that can infect squamous epithelium of skin and mucous membranes. HPV consists of 8000 base-pair long circular DNA. The viral genome codes six early proteins (E1, E2, and E4–E7) and two late proteins (L1 and L2). The early proteins E1 and E2 are essential for viral replication within the infected cells, and E6 and E7 play critical roles in HPV-related carcinogenesis [1-5]. The late proteins L1 and L2 are major structural proteins that form the viral capsid. L1 also contains type-specific neutralization epitopes that can induce host humoral immune responses against HPV infection. There are more than 150 types of HPV, classified based on differences in L1 genome sequence, and over 40 HPV types infect the human anogenital tract [6]. HPV life cycle includes the following major steps (1) infection of stem cells at the basal layer of the epithelium through microabrasion, (2) maintenance of infection with low viral replication activities at the basal layer, (3) increased viral replication through E6 and E7 viral proteins when basal cells are pushed to the superficial layers, (4) the interaction of E6 and E7 with host cellular proteins (the binding of E6 to p53 and/or E7 to pRB) that induces cell proliferation, reduces DNA damage repair, and inhibits apoptosis of the infected cells, (5) viral genomes integration into the host cells and persistent activities of E6 and E7 that lead to the loss of cell-growth control, genomic instability, and eventually malignant transformation of host cells [3, 4].

HPV is the first identified necessary cause of human cancers by the International Agency for Research on Cancer (IARC) that is associated with nearly 5% of all cancers [7, 8]. As HPV DNA was detected in nearly all cervical cancer cases, a strong association between the presence of HPV DNA and cervical cancer has been

Cancer site	Annual number of new cases	HPV DNA positivity	Common HPV types detected in the tumors
Cervix	530,000	99.7%	16,18, 45, 31, 33, 52, 58, 35
Anus	40,000	88–94%	16, 18, 31, 33
Vulva	34,000	60–90%	16, 18, 31, 33
Penis	26,000	60–90%	16, 18, 31, 33
Vagina	15,000	64–91%	16, 18, 31, 33
Oropharynx	96,000	35.6%	16, 18
Oral cavity	200,000	23.5%	16, 18
Larynx	160,000	24%	16, 18

Table 5.1 Global burden of HPV-associated cancers and the prevalence of HPV DNA positivity in the tumors (3, 14)

reported from multiple case-control studies across different countries (overall adjusted odds ratio [aOR] = 90 for squamous carcinoma and aOR = 81 for adenoand adenosquamous carcinoma) [3]. Bosch et al. summarized the causal relationship between HPV and cervical cancer based on basic science mechanisms and consistent findings from human research, and Moscicki et al. further provided updated findings [2, 9]. According to the association (aOR) between the presence of type-specific HPV DNA and cancer, 12 HPV types (16, 18, 31, 33, 35, 39, 45, 51, 52, 56, 58, 59) are classified as high-risk (or oncogenic) HPV types (HR-HPV) that are associated with over half a million new cancer cases worldwide, including cervical, anogenital, and oropharyngeal cancers (Table 5.1); three types (5, 8, and 68) are considered as probable or possible HR-HPV that are associated with cervical cancer or skin cancers, 12 types (26, 30, 34, 53, 66, 67, 69, 70, 73, 82, 85, and 97) might have carcinogenic effects but their roles remain unclear, and 12 types (6, 11, 40, 42, 43, 44, 54, 61, 72, 81, and 89) are classified as low-risk HPV (LR-HPV) types that have not been found to be related to malignancies [1–3, 5, 8, 10–13].

While the majority of HPV infections are asymptomatic and self-limited, HPV infection can lead to a wide range of genital diseases, including genital warts, benign lesions, and invasive cancers. The natural history of cervical HPV infection has been well studied and comprehensive reviews are readily available [3, 5, 9, 15]. HPV-related cervical carcinogenesis begins with HR-HPV infection of the cervical epithelium. The majority of infected women (90%) can clear HPV infections within a few years; however, a small proportion of women with persistent HPV infections will develop cervical epithelial neoplasia (CIN). CIN includes three grades depending on the degree of histological abnormalities: CIN1 involves mild dysplasia or abnormal cell growth that is confined to one-thirds of the basal epithelium, and CIN2 or 3 represents moderate or severe dysplasia that spreads to two-thirds or more of the cervical epithelium. CIN3 sometimes is also referred as cervical carcinoma in site and is commonly used in human studies as the disease endpoint of cervical HPV infection. In cytology, CIN1 corresponds to the low-grade squamous intraepithelial lesion (LSIL) and CIN2/3 relates to the high-grade squamous intraepithelial lesion (HSIL). Most CIN1 lesions (~90%) regress but persistent

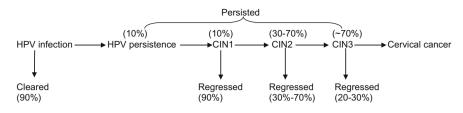


Fig. 5.1 The natural history of cervical HPV infection

CIN1 can lead to CIN2/3 lesions. CIN2/3 lesions are less likely to regress (30–70% for CIN2 and 20–30% for CIN3) compared with CIN1 and nearly 50% of persistent CIN3 progress to cervical cancer [9]. Because the time frame from CIN2/3 to cervical cancer is usually decades-long, treatment of CIN2/3 lesions is recommended for preventing cervical cancer [16] (Fig. 5.1).

In addition to viral factors, host risk factors for cervical cancer include parity, tobacco smoking, oral contraceptive (OC) use, and co-infection with other sexually transmitted diseases [3, 5]. The association between these risk factors and cervical cancer, measured by relative risk (RR) or odds ratio (OR), ranges from 1.1 to 3.4 in the European Prospective Investigation into Cancer and Nutrition (EPIC) study, which is one of the largest cohort studies in the world with more than 300,000 women recruited from 10 European countries and followed for almost 10 years [17–19]. However, without the presence of HPV, cervical cancer would not develop. Thus, these risk factors mainly serve as cervical cancer co-factors that may promote cancer development in conjunction with HPV infection. The possible mechanisms of these cancer co-factors include maintenance of the cervical transformation zone that facilitate HPV infection and persistence (high parity), tobacco-related carcinogens that directly result in genetic damages (smoking), enhancement of HPV oncogene expression (long-term OC use), inflammatory responses that cause genetic instability (chlamydia or HSV-2 infection), and immunosuppression (HIV infection) [3, 20]. Genetic research also suggests that APOBEC-mediated mutagenesis may be associated with cervical cancer development, but the mechanisms need to be further investigated [21].

Worldwide cervical cancer is the fourth most common cancer in women, accounting for almost 8% of all female cancer cases and 7.5% of all female cancer deaths [14, 22]. Recently the annual number of new cervical cancer cases has gradually increased, possibly due to population growth. In 2012 there were approximately 530,000 new cases and 266,000 cervical deaths from cervical cancer [22]. The majority of cervical cancer cases ($\sim 86\%$) and cervical cancer-related deaths occur in less developed regions, including Eastern, Southern, and Middle Africa, where the burden of HIV infection is also high [23]. In the U.S. about 34,000 HPV-associated cancers are diagnosed annually and the direct medical costs for preventing and treating HPV-related diseases are estimated to be \$8 billion every year [24, 25].

5.2 Epidemiology of HPV Infection in the General Female Population

HPV infection is the most common sexually transmitted infection with over 300 million infected women globally [13, 26–28]. Risk factors for acquiring HPV infection include early sexual debut (\leq 15 years of age), parity, multiple sexual partners, use of contraceptives, and smoking [29]. In the pre-HPV vaccine era, worldwide about 10% of women with normal cervical cytology has an HPV infection [13, 30]. HPV prevalence also varies by age, with the highest prevalence seen in women younger than 25 years of age. However, a second-peak of HPV prevalence is observed in women aged 45 years or older [30]. While the true cumulative incidence of cervical HPV infection in the general population is difficult to assess because HPV infection is not a reportable disease, it is estimated that up to 75% of sexually active women will get an HPV infection during their life time [26, 31]. Longitudinal studies assessing the incidence of cervical HPV infection among women have reported a 20% or higher one-year cumulative incidence, depending on the age range of the study populations and types of HPV infections examined [32–38].

In the U.S. nearly 80 million people are infected with HPV and about 14 million people acquire new HPV infections each year [28]. Based on the National Health and Nutrition Examination Survey (NHANES) data, the overall prevalence of genital HPV infection among U.S. females aged 14–59 years was 42.5% in 2003–2006 and the prevalence highly varied by age, with the highest prevalence (53.8%) among 20–24-year-old females and the lowest prevalence among 14–19-year-old females (32.9%) [39]. Minority women, including non-Hispanic blacks and Mexican Americans, had the higher prevalence of HPV infection (59.2 and 44.2%, respectively) than non-Hispanic white women (39.2%).

5.3 HPV Infection in HIV+ Women

Compared with HIV-negative women or the general female population, HIV + women have higher prevalence and incidence of cervical HPV infection, higher risks of persistent HPV infection and subsequent cervical intraepithelial lesions, and a higher incidence of cervical cancer [40–44]. Large national or international multisite longitudinal cohort studies have been conducted to better understand the long-term health outcomes, including HPV-associated diseases, in HIV+ people. Several cohort studies are noteworthy: The North American AIDS Cohort Collaboration on Research and Design (NA-ACCORD), which was established in 2006, includes >130,000 HIV+ people from 25 large contributing cohorts throughout the United States and Canada [45]. Four studies in the NA-ACCORD, The AIDS Link to the IntraVenous Experience (ALIVE), Multicenter AIDS Cohort Study (MACS, males only), Polaris HIV Seroconversion Study (Polaris), Veterans Aging Cohort Study (VACS), and Women's Interagency HIV Study (WIHS), have recruited both HIV+ and HIV-negative people. Another similar multisite

prospective cohort study in the U.S. is The HIV Epidemiology Research Study (HERS), which also includes both HIV+ women and risk-matched HIV-negative women to evaluate HIV-related diseases [46]. In Europe, The SWISS HIV Cohort study (SHCS), The Project for Electronic Clinical-Epidemiologic Follow-up of HIV-1 Infection and AIDS (PISCIS cohort), The French Hospital Database on HIV (FHDH-ANRS CO4), and the Study on HIV, cervical Abnormalities and infections in women in Denmark (SHADE) are long-term cohort studies that include HPV-related health outcomes in people living with HIV [41, 47–49]. The Management of Abnormal Cytology in HIV-1 Infected Women (MACH-1) study is an international collaboration between six European hospital centers and one community center in Cape Town in South African [50]. There are also numerous single-center prospective cohorts to investigate the natural history of HPV infection in HIV+ people.

5.3.1 Prevalence of HPV Infection and HR-HPV Types in HIV + Women

High prevalence of cervical HPV infection in HIV+ women have been observed, but the prevalence varies across studies because of differences in study periods, geographic areas, or study populations and HPV detection methods [51-64]. An earlier meta-analysis published by Clifford et al. in 2006 reported that in 3230 HIV + women with normal cervical cytology, the overall prevalence of cervical HPV infection was 36.3% for any HPV type and was 11.9% for multiple HPV infection (infection with ≥ 2 types) [65]. However, the prevalence sharply increased in HIV + women with abnormal cervical cytology. Geographic variation in HPV prevalence was also observed: the prevalence was highest in South/Central America (57.3%), followed by Africa (56.6%), and was lowest in North America (31.4%) [65]. Interestingly, there were noticeable differences in HPV-type distributions across regions: the prevalence of HPV31 and HPV35 were significantly higher in Africa, HPV39 was more common in Asia, and HPV16 and HPV68 were more prevalence in South/Central America. Currently most studies utilize sensitive assays, such as polymerase chain reaction (PCR) or hybrid capture II, to detect the presence of over 30 HPV types. In a recent meta-analysis, Park et al. examined five large, population-based studies, mainly from the U.S. and Western Europe, published between 2011 and 2013 to assess the prevalence of cervical HPV infection in HIV + women [66]. The results indicated that the summary prevalence of any type(s) of cervical HPV infection in HIV+ women (64%, 95% confidence interval: 25–95%) was 20% higher than the prevalence in U.S. females aged 14–59 years (43%). HIV + women were also more likely to have HR-HPV infection (summary prevalence of HR-HPV infection: 46%, 95% CI: 34–58%) than the U.S. females (29%). Various risk factors associated with cervical HPV infection in HIV+ women have been reported, but the most consistent risk factors for both any type HPV infection and HR-HPV infection are low CD4 count (<350), younger age (<30 years), non-white race, smoking, and high HIV RNA viral load [49, 52, 67–71].

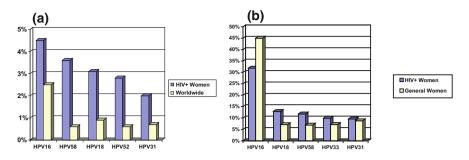


Fig. 5.2 a Comparison of prevalence of top five HPV types in HIV+ women with normal cervical cytology and in the general female population with normal cervical cytology worldwide. (30, 65) **b** Comparison of prevalence of top five HPV types in HIV + women with high-grade intraepithelial lesions (HSIL) and in the general female population with HSIL. (65)

While the overall type-specific distribution of cervical HPV infection in HIV + women with normal cervical cytology are similar to the distribution in the general population (Fig. 5.2a), [65, 72] HIV+ women are more likely to be infected with non-16 or non-18 HR-HPV (such as 51, 52, 53, 56, and 58), [73, 74] and the prevalence of infection with multiple HPV types is also higher in HIV+ women (14–78%) than in HIV-negative women (7–26%) [49, 52, 75–78]. However, HPV16 prevalence increases with the severity of cervical lesions: in HIV+ women with HSIL (Fig. 5.2b) or cervical cancer, HPV16 was the most predominant type (31.9%), followed by HPV18 (12.9%) and HPV58 (11.8%), suggesting that HPV16, 18, and 58 are likely to persist over time [65].

5.3.2 Incidence and Persistence of HPV Infection in HIV + Women

Prospective cohort studies demonstrate a high cumulative incidence (new detection) of cervical HPV infection in HIV+ women. Sun et al. initially reported the incidence rate of any cervical HPV infection was 11 per 100 person-visits in 220 HIV+ women [49]. Later Ahdieh et al. provided type-specific incidence among 862 HIV+ women with median 2.5 years of follow-up, indicating a higher incidence rate of LR-HPV infection (any type: 19.8 per 100 person-years [PY]) than the rate of HR-HPV infection (any type: 8 per 100 PY) [79]. The incidence rates for HPV16 and 18 were similar (2.0 per 100 PY). In the WIHS with up to 9 years of follow-up, the cumulative incidence of any HPV infection was 68% at one year in 2543 HIV+ women [80]. The incidence continued increasing over time and at 8 years 92% of HIV + women had experienced a new HPV infection. The trend of cumulative incidence of HR-HPV infection was similar: the incidence was 40% at one year, but rose to 67% at 8 years. HIV+ women, even those with CD4 count >500 cells/ μ L, had an approximately twofold risk of acquiring new HPV infection than HIV-negative women. [56, 68, 80, 81] Risk factors for incident HPV infection in HIV+ women

include pre-existing HPV infection, younger age (<30 years), inconsistent condom use, multiple recent sexual partners, smoking, low CD4 count (<200 cells/ μ L), or detectable HIV RNA viral load. [56, 68, 79, 80, 82, 83].

As there is no "gold standard" definition of HPV persistence, a persistent HPV infection has been defined as continuous detection of the same type of HPV DNA for longer than a certain time period (6 months or 12 months) or repeated HPV DNA positivity at two or more clinic visits, which are at approximately 4–6-month intervals, during the study period [49, 59, 78, 79, 83–88]. The persistent rate of cervical HPV infection may not be comparable across studies, but a higher HPV persistence rate was observed in HIV+ women. In one of the WIHS cohort studies conducted by Sun et al. involving 220 HIV+ women and 231 HIV-negative women in New York City, HIV + women were significantly more likely to have persistent cervical HPV infections at follow-up visits than HIV-negative women (24.1 vs. 3.9%), and HPV16-associated types (16, 31, 33, 35, or 58) were the most common persistent types in both groups [49]. Similar findings were reported from a large, short-term (approximate 6-month follow-up) cohort study conducted in Nigeria that 24% of 321 HIV+ women had persistent cervical HPV infection, while only 10% of 309 HIV-negative women had persistent cervical HPV infection [78]. However, another short-term (6 months) natural history study using a convenience sample from the WIHS found a higher cervical HPV persistence rate in both HIV+ women (65%) and HIV-negative women (32%) [85]. Because of different definitions for persistent HPV infection, multiple risk factors for persistent cervical HPV infection in HIV+ women have been reported, but only low CD4 count (<200 cells/ μ L) or high HIV RNA viral load was consistently associated with HPV persistence [49, 79, 85].

A few studies have reported HPV DNA viral load, assessed by the real-time PCR assays and expressed as the number of HPV copies/µg of cellular DNA, is associated with HPV persistence in HIV+ women, but the sample size of HIV+ women with HPV DNA viral load data is generally small. In the Canadian Women's HIV Study, the persistence of HPV16 infection was significantly related to high HPV16 viral loads: in 20 HIV+ women with HPV16 viral loads $\geq 10^7$ copies/µg cellular DNA, the average duration of HPV16 infection was 21.3 months, while the duration was only 13.5 months in 32 HIV+ women with HPV16 viral load <10⁷ copies/µg cellular DNA (p-value = 0.01). Among 15 HIV+ women who had persistent HPV16 infection and developed cervical lesions during the study period, HPV16 viral load also increased with the severity of the lesions [87].

5.4 Cervical Lesions and Cervical Cancer in HIV+ Women

5.4.1 Prevalence, Incidence and Progression of Cervical Lesions in HIV+ Women

Abnormal cervical cytologies, mostly atypical squamous cells with undetermined significance (AS-CUS), are commonly detected (up to 50%) in HIV+ women, but the

prevalence of high-grade cervical lesion is low (<10%) [51, 52, 54–56, 61, 63, 89, 90]. Compared with HIV-negative women, HIV+ women have an approximately threefold risk of having an abnormal cervical cytology [91]. In the WIHS with 1661 HIV + women and 462 risk-matched HIV-negative women, 16.2% of HIV+ women had LSIL or worse (LSIL+), while in HIV-negative women the prevalence of LSIL+ was only 4% [92]. The presence of cervical lesion in HIV+ women is consistently associated with HR-HPV, low CD4 counts and high HIV viral loads, indicating the role of immunosuppression on the progression of HPV infection [91, 93, 94].

HIV+ women are also more likely to develop new cervical lesions or progress to high-grade lesions. In a recent systematic review based on the data from 15 longitudinal cohort studies involving 5882 HIV+ women with normal cytology at the baseline, the incidence rates ranged from 4.9 per 100 person-years (PY) in France to 21.1 per 100 PY in Thailand for any cervical lesion, and 0.4 per 100 PY in the U.S. to 8.8 per 100 PY in Italy for high-grade cervical lesions (HSIL or CIN2/3), but the incidence rates of any cervical lesion in HIV-negative women were only 1.1 per 100 PY to 4.7 per 100 PY [43]. The cumulative incidence of cervical lesion was difficult to estimate from these studies because of different follow-up periods (from <one year to 8 years), but up to 44% of HIV+ women developed cervical lesions within five years after the baseline visit. While most of the studies included in this systematic review recruited mainly HIV+ women younger than 45 years of age, progression from low-grade (LSIL or CIN1) to high-grade lesions (HSIL or CIN2/3) also occurred at rates ranging from 1.2 to 26.2 cases per 100 PY. Compared with risk-matched HIV-negative women, HIV+ women had an average of threefold risk (RR range: 1.5–10) of developing any cervical lesions. HIV+ women also had an increased risk of progression to high-grade cervical lesions, but because the progression rates in HIV-negative women were limited and highly varied across studies (from 0 to 5.7 per 100 PY), the RR of progression of cervical lesions between HIV+ women and HIV-negative women was not calculated to avoid any unstable estimation. There were no consistent findings on the effects of CD4 count or combined antiretroviral therapy (cART) on cervical lesion development, likely because CD4 count measurement was different across studies and the cART use was not well assessed. In seven studies with available information on CD4 count (either baseline CD4 count or nadir CD4 count), while there was a tendency that low CD4 count (<200 cells/ μ L) was associated with both incidence and progression of cervical lesions, only two studies reported significant, moderate associations [43]. The role of cART use was contradictory, as the association between cART and the incidence of cervical lesions ranged from 0.3 to 1.8 in five studies. But in the WHIS assessing the effects of cART adherence, HIV+ women who used cART as prescribed >=95% of the time had nearly 50% lower risk of having prevalent and incident cervical HPV infection and also had more rapid clearance of HR-HPV-positive cervical lesions compared with non-adherent HIV+ women [95]. Another nested case-control study from the SWISS HIV Cohort Study also showed a nearly 40% protective effect of long-term cART use (>2 years) against CIN2/3 [96]. These studies demonstrate the need to develop more thorough measurement of CD4 count and cART to better evaluate the natural history of HPV infection in HIV

+ women in the cART era. In addition to HIV-related factors, infection with HR-HPV types, especially HPV16 or 18, is also associated with two-four-fold risk of progression to high-grade cervical lesions [81, 82]. In several small studies with HPV DNA viral loads data, high HPV viral loads may also be related to the development of high-grade lesions [87, 97].

5.4.2 Incidence and Risk Factors of Cervical Cancer in HIV + Women

In early epidemic of HIV/AIDS, HIV+ women had high morbidity and mortality of cervical cancer, thus, in 1993 cervical cancer was included as one of AIDS-defining clinical conditions by the U.S. Centers For Disease Control (CDC) [98]. Prior to the cART era, nearly one in five of HIV-HPV co-infected women without evidence of cervical disease developed precancerous cervical lesions within three years of HIV diagnosis [40]. Worldwide HIV+ women have a greater risk of developing cervical cancer compared with the general population with a standardized incidence ratio (SIR) ranging from 2–40 [42]. However with the wide use of cART, the incidence of cervical cancer in HIV+ women has not declined [99–104]. Findings from a large international collaborative study even showed a possible increase of cervical cancer incidence between 1992–1996 (pre-cART) and 1997–1999 (post-cART) in HIV+ women (rate ratio = 1.87; 99% CI = 0.77-4.56; P-value = 0.07) [105]. The SIR of cervical cancer in HIV+ women remains high (3.3-5.0) and the number of cervical cancer cases may actually increase because of prolonged life span [106– 111]. This excess risk of cervical cancer in HIV+ women in the cART era is likely due to the combinations of immunodeficiency, cumulative effect of genetic instability from long-term HR-HPV infection, and the high prevalence of cervical cancer co-factors (e.g., smoking) [41, 44, 104, 108–110, 112–123].

Due to the lack of preclinical models of HIV-HPV co-infection, research on HPV-related cancer risk in HIV+ people is generally based on large longitudinal cohort studies or through the linkage of HIV/AIDS case reports with the cancer registry data [40, 41, 44, 48, 108, 112, 116, 117, 124, 125]. Recent data from the WIHS showed that since the study population was established in 1994-5, only four cervical cancer cases had occurred in 1807 HIV+ women with a median 12.3 years of follow-up (incidence rate = 19.5 per 100,000 PY) [111]. Although no cervical cancer was observed in 488 HIV-negative women, because of the low number of cervical cancer cases, there was no significant difference in cervical cancer incidence rate between HIV+ and HIV-negative women (p-value = 0.53). However most women included in the WIHS had regular Papanicolaou (Pap) tests every 6 months, the results from the WIHS may not reflect the real-world experience of cervical cancer incidence in HIV+ women. Using the U.S. AIDS-Cancer Match Registry Study data, Frisch et al. reported a much higher incidence of cervical cancer during 1978–1996 in AIDS women (85.7 per 100,000 PY), and Chaturvedi et al. later found a similar incidence (90.4 per 100,000 PY) during 1996–2004 [108].

Although cervical HPV infection is highly prevalent, only a subset of HIV + women has persistent HPV infection and eventually develops cervical cancer [51, 52, 54, 56, 61, 63, 89]. Numerous studies have reported that severe immunodeficiency, represented by low CD4 count (<200 cells/uL) and AIDS diagnosis, are associated with the development of precancerous cervical lesions (such as CIN2/3) or cervical cancer [96, 104, 108, 112, 113, 118, 121, 125, 126]. In a large prospective cohort study (>13,000 women) using data from the NA-ACCORD, HIV+ women with baseline CD4 counts>200 cells/µL have a two-three-time the incidence of cervical cancer compared with HIV-women, but for HIV+ women with CD4 count <200 cells/µL, their cervical cancer incidence was nearly eight times the incidence in HIV-negative women [125]. While the associations between low CD4 count or cART use and cervical cancer risk have been extensively examined, the beneficial effects of high CD4 count and cART on cervical cancer remain unclear [42, 48, 108]. The inconsistent findings across studies could be due to the different measurement of CD4 count (nadir CD4 count, baseline CD4 count, or CD4 count prior to the cancer diagnosis) or the lack of detailed information on cART use (the timing and the length of cART use or the actual cART regimen). Because many HIV+ people are living healthier with the cART use, they are less likely to have CD4 count <200 cells/ μ L and may never progress to AIDS. Yet as there is no decrease in the incidence of cervical cancer in the cART era, it appears that the low CD4 count may not be the sole predictor of cervical cancer risk.

As a cervical cancer co-factor, tobacco-smoking is highly prevalent in HIV + people (54% versus 20–23% in US adults) and HIV+ smokers are less likely to quit smoking than the general population [66, 127]. Various studies from different countries have reported that smokers have a higher prevalence and incidence of cervical HPV infection, higher HPV DNA load, and almost two-fold risk of CIN2/3 or cervical cancer compared with non-smokers. [34, 128–131] Preclinical studies also suggest that tobacco-smoking not only enhances human papillomavirus synthesis, but also likely results in increased exposure of the cervical epithelium to potentially mutagenic metabolites of tobacco carcinogen [132, 133]. Thus, the increased risk of cervical cancer in HIV+ women could be due to the synergistic effects between tobacco carcinogens (such as benzo[*a*]pyrene) and HPV infection, regardless of host's immune status [20, 132].

5.4.3 The Interaction Between HPV and HIV

As HIV primarily targets the CD4 T-lymphocyte and HPV infects epithelial cells, a direct interaction between HIV and HPV is unlikely, but basic science discoveries suggest that HIV could indirectly affect HPV life cycle by upregulating the transcription of HPV early genes or enhancing the expression of viral oncoproteins E6 and E7 [134, 135]. The role of HIV in the natural history of HPV infection is considered through HIV-related immunodeficiency, increased susceptibility of HPV infection, or possibly reactivation of latent HPV infection [115, 136, 137]. The strong associations between low CD4 cell count, HPV persistence, and cervical

cancer observed in human research support that immunosuppression induced by HIV infection reduces cell-mediated immunity and facilitates HPV pathogenesis [42, 137, 138]. Additionally high cervical HIV RNA viral load (> 545 copies/mL) was found to be associated with persistent infection with HR-HPV (OR = 2.84, p-value = 0.04) in HIV+ women, providing evidence that HIV infection may influence the natural history of HPV infection [139].

HPV infection also increases the risk of HIV infection in both men and women, likely due to the similar transmission route through sexual contacts, a possible local inflammatory response that recruits immune cells susceptible to HIV infection, or HPV-induced epithelial ruptures that promote HIV entry [138, 140–143]. Results from a systematic review and meta-analysis conducted by Lissouba et al. in 2013 indicated that individuals with any type(s) HPV infection had nearly two times the risk of acquiring HIV infection (summary OR = 1.96; 95% CI, 1.55–2.49) [143]. Additionally, the association was statistically significant between HR-HPV infection and HIV acquisition (summary OR, 1.92; 95% CI, 1.49–2.46), but was borderline with LR-HPV (summary OR, 1.53; 95% CI, 0.96–2.42). The observed strong association between HPV infection and HIV acquisition could have great public health implications for utilizing HPV vaccination as a tool for HIV prevention.

5.5 Prevention of HPV Infection and Cervical Cancer in HIV+ Women

5.5.1 The Role of CART

Current HIV treatment guidelines recommend that all HIV+ people be offered cART after HIV diagnosis to reconstitute the immune system and increase CD4 cell counts [144]. As HIV+ women with low CD4 counts have a greater risk of developing cervical cancer, initiation of cART at early stage of HIV infection may help prevent cervical cancer in HIV+ women. However, the effect of cART on the natural history of cervical HPV infection is controversial. Although the wide use of cART has dramatically improved the immune function and the longevity of HIV-infected (HIV+) people, there is no clear benefit of cART in reducing cervical cancer incidence in HIV+ women [103, 106, 145–148]. A recent study conducted by Rohner et al. in South Africa showed that without the implementation of cervical cancer screening program and access to treatment of cervical precancerous lesions, the incidence of cervical cancer remained high (>500/100,000 person-years) in HIV + women who initiated cART [149]. Thus, adherence to recommended cervical cancer screening is still critical for HIV+ women.

5.5.2 HPV Vaccination

Three prophylactic HPV vaccines (bivalent 16/18 Cervarix®, quadrivalent 16/18/6/11 Gardasil®, and nonavalent 16/18/31/33/45/52/58/6/11 Gardasil®9),

which are recombinant vaccines based on the L1 virus-like particle technology, have been approved in many countries and have also been included in the national immunization program in over 50 countries [150]. Clinical trials data show a high efficacy of HPV vaccines in protection against cervical HPV infection with vaccine-covered types (>90%) and CIN1 or worse (>60%) [151–153]. The real-world observational studies also provide evidence of HPV vaccine effective-ness on reductions of vaccine types of HPV infection (~90% reduction) and cervical lesions (~45% reduction for low-grade lesions and ~85% reduction for high-grade lesions) in the general population [154–156].

Currently there are no data on the efficacy of HPV vaccination or the safety and immunogenicity of nonavalent vaccine in HIV+ people. The safety and immunogenicity of the bivalent and quadrivalent vaccines have been evaluated in HIV + pre-adolescent girls and boys (aged 7-12) and women up to age 45 years [157-161]. These studies have demonstrated that HPV vaccines are safe and immunogenic; the seroconversion rate is greater than 90% for HPV6, 11, and 16 and is also over 75% for HPV18, even in HIV+ women with CD4 count <200 cells/µl [162]. Therefore HPV vaccines are advocated as an effective cancer prevention strategy for HIV + people [162–166]. However, HPV vaccines are recommended only for adolescents and young adults up to age 26 years, regardless of HIV status, and the greatest vaccine effectiveness on reducing cervical abnormalities is observed in younger age groups [167]. It is not clear if HPV vaccination would benefit HIV+ women and result in a reduction in cervical cancer as many HIV+ women are beyond the recommended age range, may have already been infected with HPV, or are more likely to be infected with non-vaccine-covered HR-HPV types [162, 168]. Additionally, although the protective titers of HPV antibodies have not been established, the geometric mean titers (GMT) of HPV antibodies in HIV+ women was only half that of the general women aged 24-45 years and the GMT is even lower in HIV+ women with low CD4 count [162]. Long-term prospective studies are needed to determine the HPV vaccine effectiveness on reducing cervical lesions in HIV+ women.

5.5.3 Cervical Cancer Screening in HIV+ Women

Cervical cancer screening guidelines have been well developed for the general population by the U.S. Preventive Services Task Force (U.S.PSTF), the American Cancer Society (ACS), the American Society for Colposcopy and Cervical Pathology (ASCCP), and the American Society for Clinical Pathology (ASCP) [169, 170]. In 2015, ASCCP and the Society of Gynecologic Oncology (SGO) issued an interim guidance for the use of a human papillomavirus (HPV) test as the primary screening for cervical cancer, [171] and in 2017, the U.S.PSTF also recommended FDA-approved HR-HPV testing every 5 years for women aged 30–65 years as one of the primary cancer screening methods [172]. However, these guidelines do not address the increased risk of cervical cancer in HIV+ women, even those with normal Pap test results [173]. The U.S. CDC, the U.S. National Institutes of Health and the HIV Medicine Association of the Infectious Diseases

Society of America recommend a shorter time intervals for re-screenings for HIV + women [163]. Table 5.2 summarizes the differences between the U.S. PSTF and the U.S. CDC in cervical cancer screening guidelines. To evaluate which cervical cancer screening recommendation is appropriate HIV+ women, Robbins et al.

Population	U.S. PSTF for general population		U.S. CDC for HIV+ women	
	Pap testing	HPV testing	Pap testing	HPV testing
Women <21 years	Not recommended	Not recommended	Within 1 year of the sexual debut and no later than 21 years old	Not recommended
Women aged 21– 29 years	Pap test alone every 3 years	Not recommended	At HIV diagnosis; Pap test every year; after 3 consecutive normal test, Pap test every 3 years	Not recommended
Women aged 30– 65 years	If Pap test alone, every 3 yeas	If HR-HPV test alone, every 5 years	At HIV diagnosis; Pap test every year; after 3 consecutive normal test, Pap test every 3 years	Pap-HPV co-testing at HIV diagnosis or age 30: if co-test negative, screen every 3 years; If Pap test normal but HR-HPV+, repeat co-testing in 1 year
Women >65 years	Not recommended if had adequate screenings or not at high risk	Not specified	Continue screening as recommended for women >=30 years	Continue screening as recommended for women >=30 years
Women who have had a hysterectomy	Not recommended for women with removal of the cervix and not having a history of CIN2+ or cervical cancer	Not specified	Not specified	Not specified
Women with abnormal Pap test or HR-HPV results	Not specified		Pap-HPV co-testing: if either one at one-year repeat test abnormal, refer to colposcopy; ASC-US: if HR-HPV+, refer to colposcopy; if HR-HPV is unknown, rescreen in 6–12 months; LSIL or worse: refer to colposcopy	

Table 5.2 Comparison of the U.S. PSTF and the U.S. CDC cervical cancer screening guidelines for general population and for HIV+ women (163, 172)

compared the risks of precancerous lesions between US HIV+ women and the general population based on different screening intervals and management strategies [174]. The study results supported the CDC's recommendation for the shorter screening intervals as HIV+ women, especially those with CD4 counts <500 cells/ μ L, would have higher risks of developing CIN2 or 3 if they followed the same intervals that are recommended for the general population. Therefore, tailored cervical cancer screening strategies should be applied to HIV+ women. In addition, the U.S. CDC recommends more intensive clinical management of abnormal Pap test results or positive HPV co-testing results in HIV+ women as progression risk is high and recurrence of cervical lesions after treatment is also common [163, 175].

In the U.S. since the Pap test was implemented in the 1950s, the incidence of cervical cancer in the US has decreased by 50% in the past 40 years: in 1975 the incidence was 14.8 per 100,000 women; and it decreased to 7.4 per 100,000 women in 2014. A similar reduction is also observed in the mortality of cervical cancer (5.6 deaths per 100,000 in 1975 versus 2.3 per 100,000 in 2014) [176]. While the incidence of cervical cancer has largely decreased in the general population, the burden of cervical cancer is still much higher in HIV+ women [44, 149]. The NA-ACCORD study revealed that the majority (90%) of cervical cancer cases in HIV+ women were those who did not have a recent Pap test, did not follow-up for a colposcopy after an abnormal Pap test, or did not receive treatment after detection of precancerous lesions [125]. For HIV+ women adherent to the cervical cancer screening program and with normal cervical cytology, their incidences of CIN and cervical cancer were comparable to HIV-negative women [44]. Nonetheless, adherence to cervical cancer screening remains a challenge for HIV+ women, even in high-resource settings. Worldwide less than 50% of HIV+ women undertake recommended cervical cancer screening and they are almost 30% less likely to have regular Pap tests compared with the general population. [177, 178] To increase cervical cancer screening uptake and minimize the number of false negatives in HIV + women, HR-HPV-based screening algorithms should be applied [179].

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HPV-Associated Oropharyngeal Cancer in the HIV/AIDS Patient

Jennifer E. Cameron and Michael Hagensee

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Abstract

Since their discovery as the etiologic agents of cervical cancer in the mid-1970s, human papillomaviruses (HPVs) have been linked with a growing number of epithelial-derived tumors, including head and neck squamous cell carcinomas. HPV demonstrates a particular predilection for causing tumors of the oropharynx, with the majority of cases involving infection with high-oncogenic risk HPV-16. People living with HIV are at increased risk of infection with HPV- and HPV-related oral complications even with adequate control of their HIV infection with antiretroviral therapy. In this chapter, we discuss the molecular mechanisms that underlie HPV-mediated oncogenesis in the oropharynx. We also describe the progress that has been made in understanding the epidemiology of oral HPV infection and the determinants of oral HPV-related pathology. Finally, we examine

what can be done to treat and prevent oral HPV infection, benign lesions, and cancer, particularly in the context of the HIV-positive patient.

6.1 Introduction

Since their discovery as the etiologic agents of cervical cancer in the mid-1970s, human papillomaviruses (HPVs) have been linked with a growing number of epithelial-derived tumors, including head and neck squamous cell carcinomas (HNSCC, see Table 6.1 for a list of abbreviations). In the head and neck region, the virus demonstrates a particular predilection for causing tumors of the oropharynx. The presence of HPV in oropharyngeal tumors has significant implications for the prognosis of the patient and the treatment regimen indicated. The incidence of HPV-associated HNSCC is reportedly on the rise in several regions globally, including the United States, Europe, and Australia. In all regions, the predominant HPV genotype associated with head and neck tumors is HPV-16. HPV infection, related oral benign lesions, and HNSCC are all increased in the HIV-seropositive population. It is not clear that highly active antiretroviral therapy (HAART) is reducing these rates by improving immune control. HAART may be potentially adding to the increased rates either directly due to damage to the oral epithelium or indirectly due to an increase in lifespan of the HIV-infected individual.

In this chapter, we discuss the findings of investigations that have begun to reveal the molecular mechanisms that underlie HPV-mediated oncogenesis in the oropharynx. We also describe the progress that has been made in determining the prevalence of oral HPV infection and the risks associated with acquiring oral HPV infections. Finally, we examine what can be done to prevent oral HPV infection, benign lesions, and cancer, particularly in the context of the HIV-positive patient.

6.1.1 Classical HPV-Mediated Oncogenesis

Papillomaviruses are ubiquitous, small DNA viruses that commonly infect squamous epithelium. Over 200 genotypes of human papillomavirus have been described. The viruses can be classified according to their predilection for infecting skin or mucosal tissues, with those that preferentially infect the mucosal epithelium showing little to no mucosal site restriction. Another useful way to classify the viruses is by their propensity to associate with clinical disease, with "low-risk" viruses typically causing benign papillomas (warts), "intermediate-risk" viruses causing rare cases of cancer, and "high-risk" viruses causing the majority of cases of HPV-positive tumors. Perhaps the most objective way to classify the viruses is by the relatedness of their genetic sequence. Phylogenetic analysis classifies the human papillomaviruses into alpha, beta, gamma, mu, and nu subgroups. Within this classification, the viruses that infect the mucosal epithelium belong to the alpha-papillomavirus group. The alpha-papillomavirus group contains HPV-16, 18, 31, 33, and 45, which account for more than 90% of HPV-associated cervical, vaginal, penile, and anal malignancies. While all of these alpha group viruses appear capable of establishing oral infections, oropharyngeal cancers appear to derive predominantly from infection with HPV-16. Other members of the alpha-papillomavirus group include the anogenital wart-associated genotypes-6 and -11 and the oral-specific genotypes-7, -13, and -32, all of which are associated with warts on the mucosal surfaces of the oral cavity.

Individual HPV genotypes are numbered according to the order in which they were discovered and subsequently confirmed as a novel virus by genetic sequence. In order to qualify as a unique HPV genotype, the putative virus must demonstrate a greater than 10% genetic variation from known HPV genotypes in three specific regions of the genome: the early genes E6 and E7, and the late gene L1. These regions of the virus genome were selected based on their importance to clinical disease; the E6 and E7 genes encode the oncogenic proteins of the virus and the L1 gene encodes the major capsid protein, which is the primary target of the serological antibody response to HPV infection and the antigen used in papillomavirus vaccines. This genomic definition of viral subtypes generally coincides with unique L1-specific serum antibody responses, such that serological assays can identify exposure to specific HPV genotypes. The serum antibody response to the HPV vaccine is similarly genotype-restricted, and therefore multiple genotypes are included in current vaccines to afford broad protection against the most clinically relevant HPV infections.

Papillomaviruses are believed to initiate infection by gaining access to the basal keratinocyte progenitor cells, either through micro-abrasions of the epithelial tissue or at sites where the epithelial layers are thin, such as the squamo-columnar junction of the endocervix. After successful infection of the basal keratinocytes, papillomaviruses express "early" genes, including the E2, E6, and E7 genes. The E6 protein interacts with many host cell nuclear proteins, the most important of which is the tumor suppressor p53. When in complex with p53, the E6 protein facilitates ubiguitination and subsequent proteasome-mediated degradation of p53 [1]. Loss of p53 leads to failed cell cycle checkpoint control, unrestricted cell proliferation, and resistance to apoptotic stimuli. Similarly, the E7 protein interacts with many host cell nuclear proteins, the most important of which is the retinoblastoma tumor suppressor protein, pRb. High-risk HPV E7 protein mediates degradation of pRB [2, 3], which in turn releases E2F family transcription activators for importation into the nucleus where they promote cell cycle progression to S-phase [4, 5]. These effects largely account for the oncogenic potential of papillomaviruses. During a productive papillomavirus infection, however, the function of these proteins is restricted by the papillomavirus E2 protein. The E2 protein functions as a transcriptional suppressor and specifically down-modulates the expression of E6 and E7. This allows the virus to successfully complete its life cycle without excessive damage to the host.

During productive viral infection, papillomaviruses complete their life cycle with the expression of the late genes L1 and L2 in the upper layers of the keratinized squamous epithelium. Together, the protein products of the L1 and L2 genes make up the viral capsid. The L1 protein predominates in the assembled capsid and is the immunodominant antigen of the serum antibody response to papillomaviruses. Serological responses to HPV L1 are type-specific with limited cross-reactivity between types. Ectopic expression of L1 leads to spontaneous assembly of empty viral particles. These L1 viral particles are used in papillomavirus vaccines to induce protective HPV type-specific immunity. Papillomavirus type-specific serum antibody responses correlate with exposure to the corresponding HPV genotype but are poor predictors of HPV-related disease or disease outcomes.

The vast majority of HPV infections resolve without long-term effects on the host. During the normal productive HPV infection, the viral genome is maintained extra-chromosomally as circular viral episomes capable of expressing viral transcripts depending on the differentiation state of the cell. Under conditions that are poorly understood, however, the viral genome may stably integrate into the host genome. Integration of the HPV genome is a hallmark of HPV-mediated anogenital cancers. In cancer cases, the HPV E2 transcriptional suppressor open reading frame is frequently disrupted, resulting in the loss of E2 expression and unchecked expression of the viral oncoproteins E6 and E7. The abundance of E6 and E7 effectively immortalize the host cell. The immortalized cell is capable of proliferation but loses the ability to differentiate, thus limiting L1 and L2 expression. Although the correlates of immune-mediated clearance of HPV infection are poorly understood, it is likely that this loss of L1 and L2 expression compromises the host's ability to clear the infected cells. Thus, integration of HPV into the host genome and overexpression of E6 and E7 oncoproteins are the predominant features of HPV-mediated anogenital cancers. Several features of oropharyngeal HPV infection suggest that the virus behaves similarly in this tissue. Notably, the molecular biology of HPV-associated head and neck tumors has not been studied in the context of HIV infection; however, it seems highly likely that the molecular mechanisms of HPV-induced carcinogenesis are no different in patients with HIV than they are in patients without HIV.

6.1.2 Canonical Cell Signaling Pathways Involved in HPV-Mediated HNSCC

HPV was first proposed as an etiologic agent of head and neck tumors in the early 1980s. Syrjanen et al. provided circumstantial evidence suggesting the presence of HPV in oral squamous cell carcinomas based on the identification of HPV-associated morphological characteristics in tissue adjacent to the tumor tissue [6]. Detection of high-risk HPV DNA in head and neck tumors followed, revealing a strong association between HPV infection and oropharyngeal cancers, particularly those cancers arising from the lingual and palatine tonsils of the oropharynx [7–15]. The tissue of the tonsillar crypts consists of reticulated squamous epithelium punctuated with gaps in the basement membrane that allow lymphoid derived cell lineages to traverse from the stroma to the apical surface of the epithelium [16]. This loose network of cells is believed to provide infectious viral particles ready access to the basal keratinocytes. Studies comparing HPV detection in oropharyngeal cancer cases and matched controls confirmed the association between HPV and oropharyngeal tumors [17–20]. Further investigation provided strong evidence

of the involvement of HPV in the genesis of oropharyngeal tumors by demonstrating HPV integration and expression of high-risk HPV E6 and E7 transcripts in the tumors [19, 21–27].

HPV-associated head and neck tumors demonstrate molecular signatures that are distinct from that of HPV-negative head and neck tumors. HPV-negative head and neck tumors are frequently characterized by genomic mutations in TP53, the gene encoding the tumor suppressor protein p53. In contrast, HPV-positive head and neck tumors often contain wild-type TP53 [10, 21, 25–32]. Likewise, the E7-mediated degradation of pRb in HPV-positive tumors is distinct from the largely intact pRb protein expression seen in HPV-negative tumors [26-28]. The lack of somatic mutations in TP53 and the absence of pRB protein in HPV-positive HNSCC suggest that the HPV E6 and E7 proteins are functionally active in these tumors, similar to their anogenital counterparts. While HNSCC tumors typically demonstrate either TP53 mutations or E6/E7 expression but rarely both, HPV DNA detection and TP53 mutation can coexist [26], suggesting that in some cases the virus may be a bystander rather than the etiologic agent of the tumor. The frequency of wild-type TP53/high E6/E7 expression phenotype tumors is greatest for tumors derived from the oropharynx [26], consistent with the predilection for HPV to associate with oropharyngeal squamous cell carcinoma (OPSCC).

A significant consequence of E7-mediated loss of pRb expression is the activation and nuclear translocation of the E2F transcription factor. Activation of E2F transcription results in the induction of the tumor suppressor protein cyclin-dependent kinase inhibitor 2A gene (CDKN2A) which encodes the p16^{INK4A} protein [33]. In the presence of intact pRB p16^{INK4A} promotes cell cycle arrest, but in the absence of pRB p16^{INK4A} disrupts D1:CDK4/6 complexes and promotes cell cycle progression [34]. In HPV-negative tumors, p16^{INK4A} is frequently silenced due to mutation or epigenetic modification of the gene [35, 36]. Thus, HPV-positive tumors can generally be distinguished from HPV-negative tumors based on the detection of p16^{INK4A} protein [7, 26, 30, 35, 37]. The detection of p16^{INK4A} has also been shown to have diagnostic utility for distinguishing "true" HPV-associated HNSCC from bystander HPV infection [38]. Importantly, the reduction in cyclin D1 subsequent to p16^{INK4A} activity impairs the function of RAD51, a mediator of homologous recombination-directed DNA damage repair and is thought to contribute to the radiosensitivity associated with HPV-positive HNSCC tumors [39, 40].

6.1.3 Integration of HPV into the Host Genome in HNSCC

It is generally believed that integration of HPV into the host genome is an essential step in cervical cancer carcinogenesis. The virus is determined to be integrated if fusion events containing both viral and host genomic elements can be detected within the tumor tissue. Integration of HPV into the host genome affects the gene expression capabilities of the virus. The consequences of integration classically include disruption of the regulatory E2/E4 region of the genome, which in turn leads to induction of the viral oncogenes E6 and E7. Integration may also disrupt the E1

open reading frame (ORF), which may promote DNA damage and growth arrest as shown in in vitro studies [41]. Integration may facilitate host-viral fusion transcripts that are more stable than viral transcripts alone [42]. A viral super-enhancer may be created by integration near regulatory element repeats [42], and integration at sites of host super-enhancers has been shown to boost E6/E7 expression levels [43]. Likely each individual integration event is independent and has unique consequences. Viral breakpoints are not conserved or predictable and can occur anywhere, although one report noted an increased incidence of viral linearization breakpoint in the E1 ORF [44]. Studies primarily focused on tumor-derived cell lines or patient-derived cancer tissues demonstrate that the entire genome is not always present in the integration, but E6 and E7 are frequently intact and highly expressed at the transcript level [45, 46]. This is likely because tumors and resulting cell lines have selected for this type of integration event and these findings may not be reflective of all integration events in vivo. Importantly, while integration may be one event that enhances expression of the oncogenes E6 and E7, integration does not predict E6/E7 expression level or HPV viral load in HNSCC [47]. This indicates that integration is not an absolute requirement to achieve high E6 and E7 expression levels and tumor phenotype. This observation is supported by studies of HPV-positive head and neck tumors that failed to reveal fusion events in 30–60% of the tumors [24, 26, 44, 47]. In fact, one study demonstrated larger tumor size at diagnosis in patients with HPV-positive HNSCC tumors in which the virus remained episomal (extrachromosomal) compared to patients with integrated HPV [24].

When HPV integration does occur, it is rarely a single integration event; viralhost fusion events may be detected at multiple sites in the host genome [44]. In tumor cell lines, HPV integration events are often identified at host genomic fragile sites, for example, areas of the genome that are prone to amplification, deletion, and chromosomal translocations or rearrangements [45]. Integration at or near cancer-related genes is also a common feature of HPV-positive HNSCC-derived cell lines [48]. In patient-derived tumor tissues, HPV integration tends to occur at common fragile sites, areas that are highly transcriptionally active, and areas that contain short sequences of viral–host nucleic acid homology [49–51]. Host genomic copy number variations often co-localize to sites of HPV integration [50], though it is not clear whether the integration of HPV facilitates genomic instability and copy number variation, or if integration of HPV and copy number variation are both consequences of genomic instability [52]. Integration at sites of "micro-homology" suggests that viral genome may have been mistaken for host by the DNA repair machinery during microhomology-mediated end joining repair [49, 51].

Integration of HPV into the host genome may or may not result in phenotypic consequences to the host. If integration occurs in genes that are epigenetically silenced, in intergenic regions, or in intron regions, there is unlikely to be any appreciable change in the cell's function or phenotype. Likewise, disruption of a host coding sequence by viral genome sequence may have no functional consequences as long as the second allele remains intact and compensatory expression of a functional protein is achieved. These types of silent integration events may occur with relative frequency but go undetected due to their benign effect on the cell. In

patient-derived HNSCC tissues, integration into an annotated gene occurred 54% of the time, and 71% of all tumors harbored integrated HPV [44]. Amplification, enhanced oncogene expression, loss of function of tumor suppressors and loss- or gain-of-function fusion transcripts are all potential deleterious effects of HPV integration that can result in cancer phenotypes. For example, integration of HPV upstream of NR4A2, a transcription factor often activated in cancers [53], led to 250-fold amplification of the downstream region and induction of NR4A2 [44] (again see Table 6.1 for the definition of abbreviations and putative gene functions). In another example, HPV integration 150 base pairs upstream of a translocation junction involving chromosomes 3 and 13 led to the induction of the oncogenes KLF5, TP63, and TPRG1 [44]. In addition to determining cancer phenotype, defined integration events may also drive treatment responses, with integration in intergenic regions correlating with positive treatment outcomes and integration into or near cancer-related genes predicting recurrence [48]. While the functional consequences of HPV integration can be revealed through modern genomic and phenotypic studies, the mechanisms that drive HPV integration are not well understood.

6.1.4 Epigenetic Regulation of the Host Genome in HPV-Associated HNSCC: Aberrant DNA Methylation

Recent studies have begun to reveal the complex mechanisms of carcinogenesis in HPV-associated epithelial neoplasms in molecular detail. These tumors demonstrate genetic and epigenetic changes that promote tumor phenotypes via many of the same cell signaling pathways that have been described in a variety of HPV-negative tumors. By far, the most common epigenetic modification of the human genome is the addition of a methyl group to the carbon-5 position of cytosine nucleotides, predominantly those that immediately precede a guanosine nucleotide (CpG dinucleotides, or CpG islands). Aberrant methylation and subsequent chromatin remodeling of promoter regions and first exons of coding genes often results in silencing of critical genes in HNSCC, for example, the cell cycle/cell fate regulators CDKN2A and DAPK and the DNA repair genes MGMT and MLH1 [54]. Cases of HNSCC that test positive for HPV are almost three times more differentially methylated at CpG loci than HPV-negative cases of HNSCC when comparing tumor to adjacent normal tissue [55]. In HNSCC-derived cell lines, greater frequency of gene methylation is seen in HPV-positive lines than in HPV-negative lines [56]. Expression of DNA methyltransferases such as DNMT1 and DNMT3A may be upregulated in HPV-positive OPSCC [56, 57]. Ectopic expression of HPV-16 E7 and infection of keratinocytes in vitro confirmed induction of both DNMT1 and DNMT3A [58]. Anayannis et al. [59] proposed that this effect may be due to the activity of E7 via release of E2F (subsequent to pRB degradation) that stimulates DNMT1 transcription [60]. Anayannis et al. also proposed that E6 may contribute to induction of DNMT1 via release of Sp1 transcription factor from inactivating complexes with p53 [61]. The E7 protein may interact directly with DNMT1 via its zinc finger domain and promote methyltransferase activity (in in vitro studies) [62]. Expression of DNMT1 is also induced in cervical cancer [63], supporting the role of the HPV oncoproteins in mediating DNA methylation. Methylation patterns in OPSCC are sufficiently consistent such that analysis of methylation of a panel of 22 CpG loci can distinguish HPV-positive tumors from HPV-negative tumors [57]. Four of these loci are found in the CDKN2A locus, downstream of the transcription start site of p16. Hypermethylation of this region correlated with increased expression of p14^{ARF} and p16^{INK4A} proteins [57].

HPV-positive OPSCC has higher levels of gene promoter methylation than HPV-negative OPSCC [64]. Promoter methylation signatures associated with HPV infection in OPSCC can be identified and include three cadherins of the polycomb group target genes [65]. The predicted consequence of this modification is silencing of cadherin expression, leading to disruption of cell-cell adhesion and dysregulation of tissue morphogenesis. Other promoters found to be hypermethylated in HPV-positive HNSCC include cell cycle regulators CCNA1 (cyclin A1) [66] and TP73 [67]; invasion and metastasis mediators CADM1, CDH13, TIMP3 [67] and IGSF4 [68]; mediator of WNT signaling SFRP4 [69]; signaling mediator receptors ESR1 and RAR β [64]; decider of cell fate APC [67]; and proapoptotic DAPK [67]. The latter promoter hypermethylation events (ESR1, RAR β , APC, and DAPK) have also been identified frequently in HPV-negative OPSCC, suggesting that perturbation of these pathways is important for the tumor phenotype in oropharyngeal tissue. These hypermethylated promoters have all been reported in isolated studies and without empiric data to solidify their mechanistic roles in the tumors. However, specific gene promoter methylation signatures have been shown to predict prognosis of HPV-positive OPSCC, for example, ALDH1A2, GATA4, GFR4, IRX4, and OSR2 [70], suggesting that they may have a mechanistic role in promoting tumor progression. Finally, in addition to promoter methylation, host gene expression may be regulated via the interactions of HPV E6 and E7 with cellular p300 and MYC, two major activators of promoter enhancers [71-74].

6.1.5 Epigenetic Regulation of the Host Genome in HPV-Associated HNSCC: Dysregulation of Gene Transcription via Host Chromatin Modification

Methylation and acetylation of histones modify the structure of host chromatin and regulate transcriptional activity of host genes (Fig. 6.1). Histone acetyltransferases (HATs) produce an open chromatin structure to allow transcription factors and coactivators to bind and induce transcription; histone deacetylases (HDACs) promote condensed and inactive chromatin. Histone methyltransferases (HMTs) methylate H3 and H4 histone tails at arginine and lysine residues. Both acetylation and methylation are reversible; however, acetylation always results in open chromatin whereas methylation can result in either activation or repression depending on the specific residue that is methylated. Demethylation occurs through the activity of histone demethylases (HDMs). Therefore, transcriptionally active areas of the

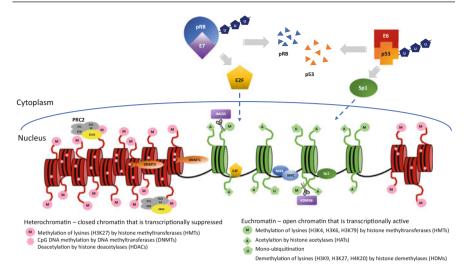


Fig. 6.1 Epigenetic modifications associated with HPV-mediated oncogenesis. Degradation of p53 by HPV E6 and pRB by HPV E7 releases transcription factors such as Sp1 and E2F for nuclear importation and transcription initiation. The HPV oncoproteins promote the activity of DNA methyltransferases DNMT1 and DNMT3, resulting in silencing of host tumor suppressors. Induction of EZH2 promotes activity of polycomb repressor complex 2 (PRC2) to repress chromatin via trimethylation of lysine 27 on histone 3 (H3K27me3). E7 also activates histone acetyltransferases (HATs), inactivates histone deacetylases (HDACs) and promotes the activity of histone demethylases such as KDM6B and JMJD3 to promote open chromatin and host gene transcription. Interactions between E6/E7 and the promoter enhancer activators p300 and MYC also promote host gene transcription. Diagram not drawn to scale

genome are unmethylated at promoter CpG islands and have loose chromatin structure to allow transcription factors and regulatory proteins to bind. Loose chromatin is characterized by acetylation of histones at lysine residues and flanking nucleosomes that are trimethylated at lysine 4 on histone 3 (designated H3K4me3; reviewed by Baylin and Jones [75]). Transcriptionally inactive areas generally are in complex with inhibitory polycomb group complex proteins, for example, EZH2, a histone-lysine *N*-methyltransferase, which catalyzes the trimethylation of lysine 27 on histone 3 (H3K27me3), resulting in a repressive phenotype.

Host histone modification has been shown to be mediated by the HPV E7 protein. The E7-mediated activation of histone acetyltransferases and inactivation of histone deacetylases leads to acetylation of histone tails and chromatin opening [76]. This is consistent with the functional role of E7 in dysregulating cell cycle progression and growth, which requires activation of gene transcription. For instance, the E7-mediated induction of the lysine demethylase KDM6B leads to removal of repressive H3K27me3 marks from the CDKN2A promoter, allowing transcriptional activation of the gene locus [77]. Alternatively, the JMJD3 demethylase activates CDKN2A via RAS [78]. The result of these activation events is the production of p16^{INK4A}, a tumor suppressor protein that is frequently

upregulated in cancer and is an established marker of HPV-associated dysplastic tissue, as noted above. Conversely, the E7-mediated release of E2F promotes transcription of the polycomb repressor complex (PRC2) methyltransferase EZH2 in cervical cancer cell lines [79]. In HPV-positive HNSCC, signatures indicative of EZH2 activity include global elevation of H3K27me3 marker of transcriptional repression [80] and hypermethylation of the PRC2 promoter in HNSCC cell lines [56]. Clinically, HDAC inhibitors may be promising adjunctive therapeutics for HNSCC [81–85] but their role in HPV-positive OPSCC specifically is not known.

6.1.6 Epigenetic Regulation of the Host Genome in HPV-Associated HNSCC: Dysregulation of MicroRNA Expression

A third epigenetic mechanism by which HPV may drive host cell tumor phenotypes is through dysregulation of cellular microRNAs (miRNAs). These small noncoding RNA molecules regulate protein production by mediating interactions between translationally suppressive RNA-induced silencing complexes (RISC) and cognate sequences encoded within the 3-prime untranslated region of mRNA transcripts. MicroRNA expression signatures can differentiate HPV-positive from HPV-negative HNSCC [86, 87]. Further, miRNA dysregulation appears to be similar in HPV-positive HNSCC and cervical cancer, suggesting the involvement of HPV and likely E6/E7 in the dysregulation of host miRNAs [88]. Common features between HPV-positive HNSCC and cervical cancer include the induction of miR-15a and miR-16 and suppression of miR-195 and miR-497 [86]. Several miRNAs have been reported to be dysregulated in HPV-associated HNSCC by more than one group, including induction of miR-9 [87, 89, 90], miR-20b [87, 90], and miR-363 [87, 91] and down-regulation of miR-126, miR-143, miR-145, and miR-199a/b [87, 91]. In addition to viral-mediated miRNA dysregulation, single nucleotide polymorphisms in the precursor sequences of miRNAs may increase the risk of OPSCC by altering their ability to be cleaved into mature, functional miRNAs. Examples include miR-146, miR-149, miR-196, and miR-499 [92].

The functional consequence of aberrant miRNA expression is complex to unravel, with multiple miRNAs often targeting a single transcript and multiple transcripts being targeted by a single miRNA; however, some research has shed light into possible oncogenic mechanisms resulting from miRNA dysregulation. For instance, the oncogenic miRNA miR-21, which is consistently induced in HPV-positive OPSCC, targets the tumor suppressors PTEN, TPM1, and Bcl-2 [93]. Expression of the tumor suppressor PDCD4 is lost in the majority of tonsil-derived tumors and may be a consequence of translational suppression by miR-21 and miR-499 working in concert [94]. Induction of miR-363 is purportedly mediated by HPV E6 [95] and may promote a less-aggressive tumor phenotype via reduction of MYO1B (myosin 1B), a protein that promotes cell migration and invasion in vitro [96].

MicroRNA expression may be an important prognosticator of patient outcomes. Low levels of let-7d, miR-205 [97], and miR-375 [98] were shown to predict prognosis of HNSCC independently of HPV status. Other studies showed miRNA profiles that may predict prognosis but no consistent prognostic miRNA profile has emerged, largely due to heterogeneity in populations, variable inclusion of cases (all HNSCC sites vs. OPSCC only), and inconsistent methodologies [89, 90, 99-102]. Still, with careful parsing of patient subgroups and application of standardized techniques, this area shows promise for future clinical utility. Of note, loss of miR-375 expression is associated with both HPV-positivity of HNSCC and poor prognosis [98]. The loss of miR-375 was demonstrated to be the result of epigenetic silencing via E6-mediated induction of DNMT1 in HPV-16-positive cervical cancer cells [103]. Ectopic expression of miR-375 directly targeted E6/E7 transcripts, activated p21 and suppressed telomerase activity in HNSCC and cervical cancer models [104]. An important target of miR-375 is MALAT1, a long noncoding RNA associated with tumor phenotypes [103]. In the absence of miR-375 MALAT1 is overexpressed. Ectopic expression of HPV16 E6 in oral keratinocytes led to increased expression of MALAT1 and this effect was associated with loss of p53 [105]. Conversely, knockdown of E6/E7 expression in cervical cancer cells resulted in a reduction in MALAT1 expression [106]. The consequences of overexpression of MALAT1 include invasive and epithelial-mesenchymal transition (EMT) tumor phenotypes [98, 103, 107] and may explain the association between loss of miR-375 expression and poor patient prognosis.

6.1.7 Epigenetic Modification of the Viral Genome in HPV-Mediated HNSCC

Epigenetic modifications may promote oncogenesis not only through changes in host gene expression but also by changes in viral gene expression. Papillomaviruses do not encode any proteins with methyltransferase activity, but the HPV genome does have CpG dinucleotides within conserved palindromic sequences [108]. The viral genome is often methylated when flanking host DNA is methylated [109]. Even though this may be a bystander effect, it could still have an impact on viral gene expression. Late gene regions (at the boundary of L1 and L2) may be methylated in cervical cancer [110, 111] and HPV-positive HNSCC [65, 112], but HPV-16 LCR/E6/E7 promoter regions are generally unmethylated in HPV-positive HNSCC [65, 112]. Methylation of the viral long control regions may be a strategy used by the host to control the virus or by the virus to control viral gene expression. For instance, demethylation of the HPV-16 LCR in an OPSCC cell line using 5-aza-2'-deoxvcytidine caused repression of E6/E7 transcript expression followed by cell cycle arrest at G2/M checkpoint [113], indicating that methylation of the HPV LCR led to high E6/E7 expression and tumor phenotype. Further, HPV-positive OPSCC with intact HPV E2 sequences (either episomal virus or integrated concatemers) had partial to complete methylation of the E2 binding sites 3 and 4 [114]. High methylation at those sites corresponded to high E6/E7 transcript expression and poor prognosis [114] and may explain cases of OPSCC in which HPV remains episomal with an intact E2 open reading frame and high E6/E7 expression.

6.1.8 Noncanonical Cell Signaling Pathways Involved in HPV-Mediated HNSCC

While the oncoproteins of HPV are thought to play a major role in promoting sustained cell proliferation, somatic mutations of the host genome may be crucial to achieving a fully transformed phenotype. A proportion of the somatic mutations uncovered in HPV-associated HNSCC may be the consequence of off-target damage to the host genome resulting from a potent host cell response to viral infection. The class of enzymes known as apolipoprotein B mRNA editing catalytic polypeptide-like (APOBEC) consists of cytosine deaminases that restrict viral replication by mutating viral DNA (reviewed by Harris and Dudley [115]). Bystander mutation of host DNA occurs as a consequence of sustained APOBEC activity, and therefore APOBEC-mediated mutations are common events in virally induced cancers [116]. These host somatic mutations are marked by cytosine-to-thymine or guanine point mutations at TpC sites [116]. Infection with HPV appears to induce APOBEC3A which may improve clearance of virus [117]. Infection with high-risk HPV also induces APOBEC3B via the activity of E6 and expression of E6 may exacerbate APOBEC mutagenesis [118], with the consequence of increased off-target mutagenesis of host DNA.

The activity of APOBEC can result in C-to-T mutations in two hotspots in the PIK3CA gene. These mutations cause amino acid changes that result in gain of function and constitutive activation of the PIK3CA gene product, the p110 α catalytic subunit of phosphoinositol 3-kinase (PI3K) [119]. PIK3CA is a component of the phosphatidylinositol 3-kinase/protein kinase B (AKT)/mammalian target of rapamycin (mTOR) pathway, which is often dysregulated in cancers and is involved in cell growth, proliferation, differentiation, glucose metabolism, protein synthesis, and apoptosis [120–123]. PIK3CA is considered to be an oncogene since activating mutations and duplications of PIK3CA result in unchecked growth, invasion and metastasis [124]. Mutation of PIK3CA occurs in 22-56% of HPV-positive HNSCC [50, 125-127]. Hotspots for PIK3CA mutation in HPV-positive HNSCC include E542K and E545K mutations in the helical domain. These mutations are a result of C-to-T changes in TCW genomic regions, consistent with APOBEC induced mutagenesis [119, 128–130]. The proposed mechanism by which these two amino acid changes evoke constitutive activation of PI3K is via attenuation of the binding capacity of the negative regulator p85a unit to p110a [131]. The importance of the role of PIK3CA in HPV-associated carcinogenesis is further supported by the frequent finding of PIK3CA gene amplification in up to 52% of all HPV-positive HNSCC [50, 125] and PIK3CA mutation in up to 42% of cervical cancers [126, 132–137]. To date, PIK3CA is the most frequently mutated gene reported in HPV-positive cancers.

Activation of PI3K signaling is further exacerbated by mutation and subsequent loss of expression of the tumor suppressor and cell cycle regulator PTEN. The protein product of PTEN regulates PI3K signaling via dephosphorylation of the PI3K target PIP3, which in turn interacts with PDK1 to activate AKT signaling. Somatic mutation of PTEN has been described in HPV-positive HNSCC [50, 125],

with 24–56% of HPV-positive HNSCC demonstrating both PIK3CA and PTEN mutations [122, 138]. Remarkably, more than 80% of anal cancers with PIK3CA mutations also harbored PTEN mutations [122] indicating the importance of the combined gain of function of PIK3CA and loss of function of PTEN and sustained activation of the PI3K/AKT/mTOR pathway in HPV-mediated tumorigenesis. Using murine xenograft model systems, treatment of anal cancer with the mTOR inhibitor rapamycin resulted in reduced growth [139], suggesting that this pathway may be a tractable target for adjunctive HPV-associated cancer therapy.

Somatic mutations that promote signaling via PI3K activation and the RAS/MEK/ERK signaling axis have been identified in multiple receptor tyrosine kinases in HPV-positive HNSCC. Activating mutations have been described in FGFR2 and/or FGFR3 kinases in 10–17% of HPV-positive HNSCC [50, 125], KRAS in 6% [127], and HRAS in 1–12% [125]. Inactivating somatic mutation in NF1, a negative regulator of the RAS signaling pathway, was seen in up to 14% of HPV-positive HNSCC [50, 125]. Activation of these signaling pathways promotes cell cycle progression, proliferation, and survival. Kinase inhibitors may be effective in defined subsets of patients with these mutations [127], though this remains under investigation.

In addition to the above receptor tyrosine kinases, the receptor tyrosine kinases of the epidermal growth factor receptor family, ERBB2/HER2 and ERBB3/HER3, may also be induced by HPV E6 and E7 expression. Members of the ERBB family promote cell cycle progression and proliferation and are frequently activated in solid organ tumors. Expression of HER2 and HER3 and receptor complexes indicative of signaling activation were shown to be elevated in HPV-positive tumors [140]. Silencing of E6 and E7 in tumor cell lines mitigated HER3 expression [141], linking the activity of growth factor receptor tyrosine kinases with HPV oncogene expression. Clinically this suggests that targeted therapy with Afatinib, an anti-ErbB family small molecule inhibitor, may be an effective therapeutic approach. However, recent results from a multicenter Phase-III clinical trial reporting efficacy of Afatinib in HNSCC patients demonstrated little to no benefit for patients with HPV-positive tumors [142]. It is possible that better definition of tumor phenotypes will reveal a subset of patients who will respond favorably to ERBB family blockers.

Genetic changes in immune response related genes may also facilitate HPV-associated tumor development. Presentation of antigen in MHC class I molecules (human leukocyte antigens, HLA) facilitate immune clearance of viral infections. Germline variants in the HLA-A/B genes are associated with HPV-positive HNSCC risk [143]. Somatic mutations in HLA-A/B genes are also identified in 11% of HPV-positive HNSCC [127]. Similar findings have been described in cervical cancer [144, 145]. Activation of receptor signaling on immune cells is often mediated via NF- κ B signal transduction, and constitutive activation of this pathway promotes cell proliferation and immortalization. Inactivating somatic mutations in two negative regulators of NF- κ B signaling, TRAF3, and CYLD, have been described in

HPV-positive HNSCC [50, 146]. Interestingly, while TRAF3 deletions (14%) or truncating somatic mutations (8%) were common in HPV-positive HNSCC [50], TRAF3 does not appear to be commonly mutated in cervical cancers [132].

In summary, HPV-mediated oncogenesis in the oropharynx is similar to that in the anogenital regions, with a few key differences. Elevated expression of the viral oncoproteins E6 and E7 appears to play a critical role in promoting the tumor phenotype, with less of a reliance on integration of the viral genome into the host genome than what is typically observed in anogenital tract tumors. Progression of tumor formation is clearly a multistep process involving changes to the host genome. Some of these changes, such as epigenetic modification of the host chromatin, may be reversible; others, such as APOBEC-mediated somatic mutations and integration-associated disruption of the host genome, are irreversible. Improved understanding of the specific cell signaling pathways that are frequently altered during HPV-mediated tumorigenesis continues to reveal opportunities for personalized adjunctive therapies to treat patients with HPV-associated HNSCC.

6.2 Genotype Prevalence and Risk Factors for Oral HPV Infection in the HIV-Seropositive Individual

6.2.1 Oral HPV Infection Is More Common in HIV-Infected Individuals

The majority of oral HPV infections are asymptomatic, and the development of oral HPV-associated cancer is a rare event that presumably occurs only after persistent infection. Therefore, epidemiological studies often examine the risk factors for asymptomatic detection of oral HPV infection. Only a handful of studies compared the rates of HPV infection in HIV-positive and HIV-negative individuals. Oral HPV infection is associated with markers of sexual risk, such as homosexuality, unprotected oral sex, and a history of previous sexually transmitted disease (STD), indicating that HPV is likely transmitted to the oral cavity through sexual contact.

Early studies examined relatively small cohorts (n = 1 to 300) comparing infection in HIV-positive and negative individuals [147–152]. These studies showed a significant increase in prevalent oral HPV infections of 3–7-fold in HIV-positive individuals as compared to HIV-negative individuals. Low CD4+ T cell counts, increased number of oral sex partners and evidence of other STDs (chlamydia and herpes simplex virus, HSV) were associated with oral HPV infection in the HIV-positive cohort. Interestingly, other studies have not shown an association with lower CD4+ T cell counts [152]. Risk factor analysis for oral HPV infection in a study of HIV-positive individuals from New Orleans noted increases in Caucasians (57% vs. 24%) and males (68% vs. 25%) [147]. It is of interest that neither CD4+ T cell count nor HIV viral load correlated with the presence of oral HPV [147].

In the U.S., two large cohort studies have examined the prevalence and risk factors for oral HPV infection in HIV-positive individuals. The Woman's Interagency HIV Study (WIHS) enrolled 2794 HIV-seropositive women and 972 high-risk HIV seronegative women in two installments (from 1994 to 1995 and from 2001 to 2002) at clinics in New York City, Boston, Washington D.C., Chicago, Los Angeles, and San Francisco [149]. The Multicenter AIDS Cohort Study (MACS) recruited 2963 HIV-positive men and 4124 HIV-negative men from Baltimore, Chicago, Pittsburgh, and Los Angeles since 1987 [153]. These large cohort studies confirmed the early work showing a significantly higher prevalence of oral HPV infection (25-40%) in HIV-positive individuals as compared to HIV-negative subjects (9-25%). The overall risk factors for HPV oral infection included smoking, lower CD4+ T cell count, and higher lifetime number of sex partners. Lower CD4+ T cell counts at sampling predicted oral HPV risk better than nadir CD4+ T cell count. Studies from Europe and Australia, where HPV-associated oropharyngeal cancer incidence is rising as it is in North America, also found a 2-3-fold increased prevalence of oral HPV infection in HIV-positive subjects [154–156]. In these cohorts, an increased reported lifetime number of oral sex partners were associated with the presence of an oral HPV infection. Finally, a recent meta-analysis on oral infection in men who have sex with men (MSMs) substantiated the increase in high-risk HPV infections in HIV-positive men as compared to similarly risked HIV-negative men [157].

One striking observation in HIV-negative populations is that oral HPV infection is more common in males, which parallels the increased incidence of HPV-positive HNSCC predominantly affecting men [151, 152]. This male predominance generally seems to be found in the HIV-positive population as well, with 20-68% of men and 20–38% of women testing positive for oral HPV infection [147]. Interestingly, both subclinical HPV infection and OPSCC appear to be more common in heterosexual men than in men who have sex with men (MSMs) [153]. Although the data did not reach statistical significance, this observation was consistent among three different studies [150, 158, 159]. Whether this observation can be attributed to differences in sexual behaviors, independency of sexual networks, or genderspecific differences in transmission efficiency between mucosal sites is not known. In contrast, a recent large international study found a higher proportion of OPSCC cases tested positive for HPV in women than in men (see details below), suggesting that regional environmental or behavioral factors may be more important than de facto gender in terms of oral HPV acquisition and OPSCC [38]. A study focusing on a cohort of women who have sex with women would be of interest to better delineate the role of gender in the risk of oral HPV infection and OPSCC.

6.2.2 Genotypes in Oral HPV Infection in HIV-Infected Individuals

In addition to defining the rates of oral infection, an important consideration is the genotype(s) of HPV that are found in the oral cavity. HPV-16 is detected in 95% of

OPSCC [9, 151] and therefore many studies have determined the rates of asymptomatic HPV-16 oral infection. The other high-risk HPV genotypes included in screening tests vary greatly among studies. Most studies showed that the prevalence of HPV-16 varied from 0.6 to 6% in HIV-positive individuals and HPV-16 made up between 1.4 and 18% of the detectable oral HPV infections [147, 155, 156, 160–162]. Prevalence of HPV-33 was reportedly between 0.6 and 10% in a few studies and it accounted for 6–29% of detected oral HPV infections [155, 160–163]. The prevalence of other high-risk genotypes is more difficult to assess since studies did not consistently test for the same range of genotypes.

Low-risk HPV genotypes-6 and -11 are classically associated with genital warts and are expected to be found in the oral cavity since oral sex is believed to facilitate transmission between mucosal sites. The prevalence of HPV-6 was up to 4% of HIV-positive individuals and it accounted for up to 9% of oral HPV infections [147, 155, 160–163]. Similarly, the prevalence of HPV-11 was 0.6-6% in the HIV-infected population and made up 1.4–18% of the oral HPV infections detected [155, 160, 162]. Other HPV genotypes that were commonly found were HPV-55 and HPV-83, with prevalence similar to HPV-6 and -11 (up to 5% of the subjects and up to 15% of the detected oral HPV infections) [147, 162]. It is not clear how often HPV-55 is seen in oral pathology, but its classification as a high-risk HPV genotype and its relative frequency of detection may warrant further investigation [147, 161, 163]. The most complete HPV genotyping study published to date utilized a novel approach to simultaneously detect the common anogenital HPV genotypes (alpha) as well as the cutaneous beta and gamma genotypes of HPV [164]. By this more comprehensive approach, 87% of a cohort of 52 HIV-positive individuals was positive for any genotype of HPV. The oncogenic alpha genotypes (-16, -18, and others) were found in 23% and the non-oncogenic alpha types in 40% of the participants, which is comparable to the above studies. Of note, only coinfection with hepatitis C was seen as a risk factor for oncogenic oral HPV infections in this study.

Curiously, genotypes which show a predilection for the oral cavity (HPV-7, -13, and -32) have rarely been studied. Pursuant to an outbreak of HPV-32-positive oral warts in HIV-seropositive patients initiating combination antiretroviral therapy, Cameron and Hagensee found HPV-32 in 9.5% of all HIV subjects examined (Fig. 6.2) [147] from a cohort enrolled from 2000 to 2004. In a follow-up study in HIV-positive individuals (2013–2017), the prevalence of oral HPV-32 infection was only 4.8%, which is a reduction of 49%. The reduction in subclinical detection of HPV-32 infection coincides with clinical evidence that the prevalence of oral warts in HIV-positive individuals had declined (M. Hagensee, unpublished observation). The prevalence of oral HPV-16 did not decline as drastically in the same time span (from 1.3% to 1.1, 15% reduction), suggesting that the reduction is not due to general HPV acquisition or clearance.

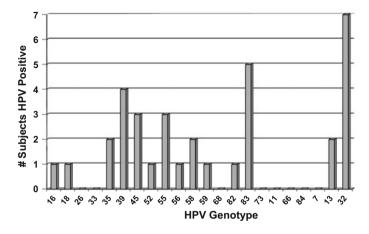


Fig. 6.2 The HPV genotypes found in the oral cavity of HIV-positive individuals

6.2.3 Comparison of Oral and Genital HPV Genotype Prevalence

In studies that compared oral HPV infection to HPV infection of the anogenital tract in the same individual, oral HPV infection was detected less frequently than anogenital infection. The most common site of HPV infection in HIV-positive men was the anus, with 73-97% testing positive, followed by 25-44% testing positive at the penis and 16–44% testing positive in the oral cavity [155, 163, 165, 166]. High-oncogenic risk HPV genotypes were also found less often in the oral cavity (11–27% HPV-positive) than the anus (24–86% HPV-positive) or the penis (23– 41% HPV-positive) [155, 163, 165, 167]. A similar trend was observed in women, with oral HPV prevalence between 20 and 25% and prevalence at the cervix between 76 and 97% [150, 168, 169]. High-risk HPV genotypes were seen in the oral cavity in 3–15% of those screened as compared to 58–63% HPV-positive at the cervix [150, 168, 169]. There was a notable lack of HPV genotype concordance between the oral cavity and genital tract [155, 168]. It is logical to predict that the HPV genotypes found in the oral cavity of an individual might better reflect those detected in his or her recent sex partner. Prospective studies in monogamous couples would be of interest to examine the kinetics of HPV transmission via various forms of sexual contact.

6.2.4 Oral HPV Incidence, Persistence, and Resolution

The natural history of HPV infection in the female genital tract has been well described. Cervical HPV infection is typically cleared naturally without clinical intervention, but the virus often establishes infection for more than a year before being cleared. Continued persistence of the virus is essential to malignant

transformation and therefore persistent infection puts the host at risk for dysplasia that can progress to cancer. The natural history of oral HPV infection has been more challenging to investigate because of the difficulty in pinpointing the exact site of infection for prospective sampling, the lack of well-defined precancerous pathology in the oropharynx, and the relatively lower frequency of HPV infection in the oral cavity. Additionally, there are no consensus definitions for HPV acquisition or persistence and clearance, and these outcomes are dependent on sampling frequency and duration of prospective follow-up. Despite inconsistencies in study design and outcome definitions across published prospective studies, a picture of the natural history of oral HPV infection is beginning to emerge.

Defining HPV incidence as the detection of any new HPV genotype at a follow-up visit that was not detected at the previous visit or baseline, incidence ranged between 4.8 and 24 per 100 person-years for any HPV, 3.2–9.0 per 100 person-years for any high-risk HPV, and 0.8–1.2 per 100 person-years for HPV-16 in HIV infected individuals [153, 157, 170]. The highest incidence rates were seen for HPV-16 and -18 [171]. Incident detection of HPV was associated with lower CD4+ T cell count and increased numbers of oral sex partners [153]. When persistence was defined as an HPV genotype seen at baseline and at the next consecutive visit, 35–75% of infections met the criteria for persistence [153, 155, 157, 170, 172]. Risk factors for increased duration of HIV infection, taking ART, and increased time on ART [153, 155, 172]. Interestingly, one study showed increased HPV persistence in those who have had a tonsillectomy [170].

Conversely, 44–83% of HPV infections were considered to have cleared when clearance was defined as the absence of detection of a HPV genotype seen at baseline at the subsequent follow-up visit [153, 155, 170, 172]. Sampling error leads to false-negative HPV tests, which can artificially inflate clearance estimates. Using the more stringent criteria of two consecutive HPV-negative visits after a positive test, clearance decreased to 35–53% of infections detected at baseline [153, 157, 166, 171]. Factors associated with clearance included female gender, shorter duration of HIV infection and no previous history of sexually transmitted infections [166]. However, increasing number of oral sex partners and the presence of anal warts may also predict the clearance of an oral HPV infection [171]. Finally, incident infections detected at baseline.

Using a different approach, Lam et al. attempted to define a serum immunological marker that would predict those who would clear an oral HPV infection [173]. A cohort of 1601 adults (75% with HIV infection) had oral rinse samples collected and tested for HPV status at 6-month intervals for 4 years. From these, a multiplex cytokine assay from paired serum samples was performed in those with incident HPV infection, prevalent infection and no HPV infection (roughly 300 in each group). Serum TNF-alpha, IL-8, IFN-gamma, IL-10, and IL-2 levels were most highly elevated in those with prevalent HPV infection and were moderately elevated in those with incident infection compared to the HPV-negative group. Higher TNF-alpha levels were seen in those with persistent oral HPV infection in both men and women with higher IL-2 levels seen with persistent infection in men.

6.2.5 Oral Warts in the HIV-Positive Individual—The Benign Tumors Caused by HPV

Papillomas due to HPV infection can occur on virtually all oral mucosal surfaces [174]. While the majority of papillomas occur on the labial mucosa, they can also occur on the buccal mucosa, the tongue, the soft palate, and the gingiva. While the histopathology of oral warts almost invariably demonstrates poorly differentiated, large, vacuolated koilocytic cells, the gross appearance varies greatly. Often the clinical appearance of lesions is reflective of the specific HPV genotype causing the lesion. For instance, HPV genotypes 6 and 11 tend to cause soft, sessile, and cauliflower-like lesions (condyloma accuminatum) in the oral cavity. HPV genotypes 1, 2, and 7, which are associated with cutaneous warts, cause firm, sessile, and oral common warts (vertuca vulgaris). HPV genotypes 13 and 32, which have been described exclusively in the oral cavity, are the cause of oral focal epithelial hyperplasia (FEH), a dysplastic lesion characterized by multiple small, flat papules generally found on the lower lip. While there is some degree of HPV genotype-specific clinical presentation, unusual manifestations of oral HPV disease in the HIV-positive patient frequently occur [174]. Examinations of oral wart biopsies from HIV-positive individuals prior to the routine use of highly active antiretroviral therapy (HAART) contain a range of HPV genotypes, including cutaneous type 2; genital types 6, 11, 16, and 18; and oral type 13 [174]. However, the most common HPV genotypes identified in HIV-associated oral warts are the oral-specific HPV type 32 and the cutaneous HPV type 7 [174]. A study by Cameron and Hagensee examined the genotypes of HPV involved in oral warts in the HIV-positive individual in the setting of routine use of HAART. The vast majority of these samples were HPV-32 positive with other HPVs detected being 6, 7, 53, 73, and 84 [147]. Additional studies investigating the HPV genotypes found in warts and other oral lesions found HPV types 6, 11, 13, and 32 to be the most prevalent [175]. Cumulatively, pooling the limited data from these studies, HPV-13 and -32 are the most common HPV genotypes seen in oral warts in HIV-positive individuals (Fig. 6.3) [147, 174, 175].

6.2.6 Treatment and Prognosis of HIV-Associated Oral Warts

The treatment of oral warts in the HIV-positive patient is difficult due to both the wide distribution of lesions throughout the oral mucosa and the high recurrence rate. Although these lesions are generally painless, they can become traumatized. They can mechanically interfere with eating and talking and look unsightly when present externally on the lips. Treatments utilized include both medical and surgical modalities, depending upon the site of the wart, the characteristics of the wart, and the

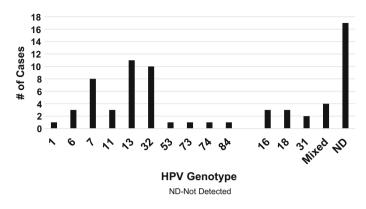


Fig. 6.3 The HPV genotypes found in biopsies of oral warts in HIV-positive individuals. Data combined from three studies [147, 174, 175]. ND, no HPV detected

number of lesions. Surgical techniques include excision, electrosurgery, cryosurgery, and CO_2 laser, whereas medical modalities include podophyllin resin and interferon- α injections [176]. Treatment with Imiquimod is indicated for cutaneous and genital warts, but this topical medicine is not approved for use in the oral cavity.

Surgical excision is difficult when multiple warts cover a large area. However, surgical or electrosurgical debulking of the wart is useful prior to use of topical agents or the CO₂ laser. The use of the CO₂ laser has proven problematic because the dispersal of HPV in the laser plume can lead to nasal warts in either operator or patient [176]. The use of surgical techniques does not often lead to postoperative scarring of the intraoral mucosa, but scarring of the lips can occur, leading to stricture and diminished opening. The use of podophyllin resin (25%) as a topical agent has proven disappointing [176]. Case reports showed promising results of the use of interferon- α as a topical and systemic combination with weekly intralesional injections in addition to twice-weekly subcutaneous injections. Follow-up ranged from 12 months to three years, with no recurrence of warts at the site of treatment [177]. Topical 1% Cidofovir gel was reportedly successful in treating a case of recalcitrant oral warts in a HIV-positive individual [178], but data from controlled clinical trials are lacking.

Trials focusing on nongenital warts may provide some new treatment options. Taking oral zinc sulfate 600 mg/day led to resolution of 50% of nongenital (skin) warts after two months of treatment [179]. In addition, oral isotretinoin was shown superior to topical isotretinoin in the treatment of nongenital warts [180] with a 69% remission rate. It would be of interest to determine the efficacy of these medications for the treatment of oral warts.

6.2.7 Is a Wart a Cancer in Disguise?

Up to one-half of genital warts may harbor concomitant infections with both lowand high-risk HPV genotypes [181]. The clinical concern is that a lesion that appears benign may actually have the potential to transform into a malignant lesion. A published case report indicated that a clinically diagnosed oral wart showed an epithelial neoplasm upon histological examination of the biopsy [182]. In situ hybridization demonstrated focal positivity for HPV-16 and -18. This suggests that high-risk HPV genotypes can masquerade as low-risk genotypes and cause warts in the oral cavity [182]. It appears, however, that this is a rare occurrence since reports utilizing sensitive real-time PCR assays and p16 staining have failed to demonstrate high-risk HPV genotypes in oral wart tissues [181, 183]. Importantly, these studies did not investigate HIV-infected individuals that are prone to infections with multiple HPV genotypes and the possibility that warts in this population might mask concomitant infections with high-risk HPV genotypes.

6.2.8 The Role of HPV Infection in HNSCC in HIV-Positive and HIV-Negative Individuals

Long associated with cancers and precancerous lesions of the anogenital mucosa, HPV is also involved in the etiology of a subset of head and neck squamous cell carcinomas [151, 184]. Over 50% of tumors arising from the lingual and palatine tonsils (oropharynx) contain HPV DNA with over 90% of this harboring HPV-16. These tumors arise mostly in upper income, younger (2–5 years) white men than those that are HPV-negative. This is likely due to sexual exposure (i.e., oral sex) [151, 184]. These tumors present at an earlier tumor stage but a more advanced nodal stage with a nonkeratinized basaloid histopathology. Surprisingly, they also respond better to conventional chemotherapy and radiotherapy [184, 185].

Parameters of HPV infection are highly correlated with the development of OPSCC. Seropositivity to HPV-16 viral capsid proteins (L1) confers a 32-fold increased risk of OPSCC [151]. Those with an oral HPV-16 infection as determined by PCR detection have a 50-200-fold higher likelihood of developing OPSCC [175, 186]. Since oral HPV infection is more common in HIV-positive individuals, it is of concern that HNSCC due to HPV will also become more common as this population ages. Tobacco and alcohol use and abuse are also more common in HIV-positive individuals and are classic risk factors for HNSCC. It has been controversial whether these traditional risk factors may also increase the risk of HPV-related OPSCC with some studies showing that tobacco and alcohol use increased the risk of HPV-related OPSCC [186] and others indicating that HPV-associated and substance-associated HNSCC are two distinct diseases [151]. Most studies have shown a male predominance in HNSCC overall and in OPSCC specifically but many of these did not test the tumors for the presence of HPV. In a large international study, a large male predominance for OPSCC (83%) was found and HPV DNA was detected in 25% of these tumors using a sophisticated and sensitive assay [38]. In this large cohort, 32% of the tumors in women were HPV-16-positive as compared to only 19% of the tumors in men [38]. Any high-risk HPV detection was also higher in women (40%) versus 22% in men. This observation is provocative but needs to be corroborated in other cohorts. Multiple studies have shown an increase in HPV-related OPSCC over the past few decades that are attributed to a birth cohort effect [184]. This could be due to changes in attitudes regarding sex that occurred in the 1960s and may have led to increased oral HPV-16 infection via sexual practices. It is tempting to also associate the HIV epidemic with this increase in HPV-related oral cancers but this has not borne out in large epidemiological studies likely due to the relatively low prevalence of HIV/AIDS in the general population.

6.2.9 HPV-Related OPSCC Is More Common in the HIV-Seropositive Population

HIV-positive individuals are at increased risk for all HPV-associated cancers. Cervical cancer rates are increased 2.9–5.4-fold in HIV-positive women. Increases are also seen in anal $(7.8 \times \text{ for females}, 60 \times \text{ for males})$ vaginal/vulvar $(3.9 \times)$ and penile $(5.4-6.9\times)$ cancers [150, 187]. The data for oral cancers is complicated due to some studies failing to distinguish OPSCC from HNSCC or lacked testing for HPV. Most studies show that the occurrence of OPSCC in HIV-positive individuals is increased by 1.6- to 2.6-fold over the HIV-negative population [150, 158, 187–190]. These studies represented individuals from North America, Australia, and Europe and spanned cohorts from the mid-1990s to the mid-2000s. A few studies showed even higher rates in the HIV-positive population. An early Swiss cohort study showed a higher rate of OPSCC at 4.1-fold in HIV-positive individuals as compared to the general population [159] and a recent large cohort from America showed an increased frequency (3.2-fold) in HIV-positive individuals [191]. In all these studies, HPV-positive cancers were defined simply by anatomical locations (oropharynx) and not by testing of biopsy samples for the virus. Furthermore, many studies matched HIV/AIDS registries with cancer databases to provide this insight [158, 187, 189, 191].

With the increased life expectancy of the HIV-infected individual due to HAART, there is a potential risk for the HPV-related oral malignancies to increase in prevalence over time. Indeed, early studies noted an increase in head and neck cancer in HIV-positive individuals over time [192]. In more formal analyses, two studies examined the rates of OPSCC in HIV-positive individuals over time. Both studies noted an increase of 60–80% in oral cancer prevalence from the mid-1990s to the mid-2000s in HIV-positive individuals [189, 193]. These cancers tend to occur at a younger age than in HIV-negative individuals. For example, the age of diagnosis of tongue cancer was 45.6 years in HIV-positive and 58.9 years in HIV-negative individuals (p = 0.03) [194]. Immune suppression as indicated by diminishing peripheral blood CD4+ T cell count does not reliably predict OPSCC risk [158, 187, 191].

A few recent studies have examined the role of smoking in the prevalence of OPSCC in HIV-positive individuals. Chew et al. revealed an OPSCC incidence rate of 23.2/100,000 among a cohort of U.S. military veterans with HIV [195]. Risk factors for OPSCC in this cohort were age over 50, CD4+ T cell count less than 200 and relatively poor HIV control. Smoking history was not associated with OPSCC but a large proportion of this group (84%) smoked. Finally, Silverberg et al. examined the large Kaiser Permanente cohort of about 20,000 HIV-positive and 210,000 HIV-negative adults [196] and demonstrated a modest increase of OPSCC (Relative Risk [RR], 1.9) in HIV-positive individuals after adjustment for age, race/ethnicity, and gender. However, this was no longer statistically significant (RR, 1.4) after adjusting for smoking, BMI, and alcohol and drug use. In conclusion, HIV-positive people are at increased risk of OPSCC but the exact roles of HPV, tobacco and alcohol exposure are not well defined.

6.2.10 Examination of the Site of HPV-Related HNSCC in HIV-Positive Individuals

To help distinguish between smoking, alcohol and HPV infection as risk factors, Picard et al. examined 40 HIV-positive individuals with HNSCC and performed immunohistochemistry for p16, in situ hybridization for 12 high-risk HPV genotypes, and PCR (L1 targeted) for 15 high-risk HPV genotypes [197]. Thirty of the 47 identified patients had tumors in the oropharynx or oral cavity (64%). Twelve of the 40 tested for HPV were positive with 42% of those with oropharyngeal cancer testing positive and 33% of those with oral cancer testing positive. HPV-16 was detected in 50% of the HPV-positive cancers. Median survival was increased in those with HPV-related tumors (Hazard Ratio 2.9, 0.9-10.1). McLemore et al. examined 12 HIV-positive patients with oropharynx or oral cavity carcinoma [198]. They found 5/12 positive for HPV by L1 PCR with HPV-16 in 4 out of 5 cases. A case-control study of 41 HIV-positive HNSCC patients showed 28% being positive for HPV by L1 PCR. Of the HPV-positive tumors, 50% occurred in the orophaynx and 50% occurred at other sites, and 7/12 was positive for HPV-16 [46]. In sum, these small studies demonstrated HPV in 33-50% of HNSCC in HIV-seropositive patients with higher HPV-positive frequency in the oropharynx. Clearly more studies focused on determining the role of HPV in OPSCC in HIV-positive individuals need to be undertaken.

6.2.11 Treatment of OPSCC in the HIV-Positive Individual

Many studies have shown that treatment of HPV-related OPSCC has a favorable outcome [199, 200]. Due to this favorable outcome in HPV-related OPSCC, there has been a discussion about potentially reducing the amount of radiation used to treat this condition [201]. There are only a few reports focusing on the success rate of treating the HIV-positive person with HPV-related OPSCC. A very early pilot

study showed worse outcomes (death) in 5 of 6 cases of HNSCC in HIV-positive individuals as compared to 0 of 4 who were HIV-negative [202]. None of these HIV-positive patients were on ART. Later studies have shown more favorable results with local control and 5-year survival of 55–80% and in some cases no difference in outcomes between HIV-positive and HIV-negative individuals [203–205]. Although a number of clinical trials are focusing on dose reduction for HPV-related OPSCC, there are none that focus on the HIV-positive person. At this time, dose reduction for HIV-positive individuals with OPSCC cannot be recommended. Finally, there is no data on screening for recurrence in the HIV-positive individual to support any deviation from the screening guidelines for the HIV-negative population.

Although many investigators have examined ways to screen for OPSCC using HPV diagnostic testing or an oral Pap smear, no test is clinically approved and no studies have focused on the HIV-positive individual. An interesting approach utilized an bead-based multiplex serological assay for numerous HPV genotypes and proteins [206]. Antibodies to HPV-16 E1, E2, E6, E7, and L1 proteins were detected in those with OPSCC. The most robust association was seen with E6 in which 35% of those with OPSCC had HPV-16 E6 antibodies as compared to 0.6% of the controls (odds ratio [95% confidence interval], 274 [110-6981]). These antibodies were not seen in individuals with cancers at other oral sites and in some cases, these antibodies were detectible 10 years prior to diagnosis. It is not clear how often this panel of serum antibodies are detected in the general population and if screening for them would be a cost-effective approach to detect oral or perhaps any HPV-related cancers. These findings need to be examined in an HIV-positive population.

6.2.12 The Role of Antiretroviral Therapy on Oral HPV Infection and Warts

The widespread administration of highly active antiretroviral therapy (HAART) in the United States has had a profound impact on the incidence of HIV-associated oral-opportunistic infections (OI) and death from these OIs. A number of studies from the early 2000s showed a decreased incidence of oral lesions, especially oral candidiasis (thrush) and oral hairy leukoplakia (OHL) [207–210] as compared to the cohorts examined in the early to mid-90s. The prevalence of oral candidiasis generally dropped from 32–43% to 14–19%, OHL from 8% to 4–6%, and necrotizing periodontal or gum disease from 4–5% to 2%. Interestingly, regimens containing non-nucleoside reverse transcriptase inhibitors (NNRTIs) may reduce the risk of OIs to a greater extent than regimens containing protease inhibitors (PIs), despite similar levels of immune control [208]. This implies that the use of different ART combinations could have differential effects on oral infection and disease. It is less clear what impact HAART has had on HPV-related oral lesions and chronic HPV infection since this has rarely been reported. Contradictory reports have shown both positive and negative impact of HAART on the prevalence of oral warts [207, 209].

In the year 2000, Dr. Janet Leigh, Director of the HIV Dental Clinic at the Medical Center of Louisiana, New Orleans, reported a striking clinical observation that the incidence of oral warts in HIV-seropositive individuals had increased substantially since the introduction of HAART [211]. Shortly thereafter, John and Deborah Greenspan reported a significant three-four-fold increase in the prevalence of oral warts in a San Francisco based cohort of HIV-seropositive people during the decade of the 1990s [207]. The associated risk factors for this paradoxical increase in oral warts was the use of ART, male gender, and seropositivity for hepatitis B virus and having more than a one-log drop in HIV viral load in the year prior to wart diagnosis [207, 212]. Finally, Cameron et al. [147] reported that there was a sixfold increase in oral HPV detected in those prescribed HAART (71% vs. 28%) but only in the Caucasian HIV-positive population [147]. There was not a significant increase in oral HPV detection in African-American members of the cohort who were prescribed HAART. The study was unable to evaluate patient compliance with the prescribed regimen, but did report an association between oral HPV detection and significant drop in HIV viral load, suggesting that those with oral HPV were taking their medications as prescribed.

These initial studies implied a lack of reduction in HPV oral infection and warts in those on ART implicating either a lack of restoration of oral immunity against HPV or some direct augmentation of oral HPV infection due to ART. A few recent studies add further insight into this paradox. A cross-sectional study from Mexico City reported a 6.9% prevalence of HPV-related benign oral lesions with most of these cases diagnosed as either papillomas or multifocal epithelial hyperplasia [175]. In comparison to a cohort without HPV oral lesions, the patients with lesions were more likely to be older (over age 40, p = 0.002). In addition, those on ART for more than 12 months (p < 0.001) were more likely to have an oral HPV-related lesion, implicating an effect of ART on HPV itself or on the oral epithelium. Additional insight comes from a study focused on the best time to start ART [213]. Two cohorts of HIV-positive individuals were enrolled, one that started ART immediately following HIV diagnosis and a second group that delayed the start of therapy until they experienced a drop in CD4+ T cell count below 200 cells/ml or acquired an opportunistic infection. The delayed group had an incident rate of oral lesions of 17% versus 4% in the immediate group (p < 0.01) with increases seen in oral candidiasis, OHL and herpes simplex virus infections. There was no significant difference in HPV-related lesions in these cohorts; however, the incidence of oral warts was increased after ART was initiated in the delayed group as compared to before starting ART (4.3% vs. 0.97%, p < 0.01). The AIDS Clinical Trial Group (ACTG) protocol A5272 study set out to determine if oral HPV infection or warts increased during prospective follow-up after starting ART [161]. This study followed 388 HIV-positive individuals as they started ART and collected oral wash specimens pre-ART and after 16 and 24 weeks of therapy. At baseline, 18% of participants had at least one HPV genotype present as compared to 24% at the next follow-up visit. HPV infection at baseline was more likely to persist than to clear. Finally, those who acquired a new HPV genotype had a larger increase in CD4+ T cell count and no significant change in HIV viral load as compared to those who did not acquire a new HPV infection. There were no changes in the incidence of warts but the follow-up was only 24 weeks. These studies all point to a potential detrimental effect of ART on controlling oral HPV infection with implications for future rates of HPV-related oral disease.

Studies from the Hagensee laboratory also imply a role of ART in the pathogenesis of oral wart formation. We performed a retrospective chart review on 21 HIV-positive patients with oral warts seeking dental care at the Medical Center of Louisiana HIV outpatient clinic (Cameron and Hagensee, unpublished). Interestingly, 13 (62%) patients had started ART in the year prior to wart diagnosis. There was no clear association with current CD4+ T cell count, nor was there a clear indication of recent change in CD4+ T cell count with the majority (14, 67%) having stable CD4+ T cell counts (\pm 100 cells/ml) in the previous year prior to diagnosis. Strikingly, 76% of these individuals had a four-log drop in HIV viral load within 6 months prior to wart development despite no observed change in CD4+ T cell count. Thus, one could hypothesize that wart development is an unconventional form of immune reconstitution disease following initiation of antiretroviral therapy for HIV.

To investigate the possibility that HPV-associated oral warts represent an immune reconstitution syndrome Lilly et al. [214] examined biopsy specimens from HIV-positive individuals for evidence of immune cell infiltration and activity. Biopsies of oral lesions were taken along with control biopsies of tissue adjacent to the lesion. They studied a total of 12 patients with oral warts and found no difference in a large panel of inflammatory marker mRNA profiles (Th1, Th2, and inflammatory) as well as quantities of CD3+, CD4+, and CD8+ cells. Thus, consistent with the lack of association with appreciable differences in CD4+ T cell counts, restoration of immunity in itself does not seem to promote wart formation. This may imply an interaction of the ART agents and the oral epithelium that increases HPV oral infection and/or persistence and subsequent lesion development. A publication from the Meyers laboratory adds an interesting in vitro correlate of these clinical observations. Danaher et al. noted a marked growth inhibition of organotypic raft cultures with a number of commonly used protease inhibitors (PIs) at concentrations that approximated the levels achieved in the oral cavity [215]. The most profound inhibition of growth was observed with Nelfinavir followed by Lopinavir and Saquinivir. Indeed, PIs have been proposed as new cancer chemotherapy drugs due to their profound activity on apoptosis [216, 217]. Thus, toxic effects of PIs on the oral epithelium may promote the development of HPV-associated lesions.

6.2.13 Antiretroviral Therapy and Risk of OPSCC

As noted above, HPV-associated OPSCC has been well described in the HIV-negative population with rates that are increasing over the past years [184]. It

is not clear if the widespread use of ART increases the risk of OPSCC in HIV-positive individuals. Insight may be found by examining other patient populations that are immune suppressed. Rates of OPSCC are increased in solid organ transplant patients 2.2–5.3-fold but the HPV status of these tumors are unknown [188, 218]. The highest rates of OPSCC are in those undergoing liver transplantation. Interestingly, the reason for liver transplant was mostly alcoholic liver disease implying interaction of traditional substance use risk factors, immune suppression and potentially HPV infection in the increased risk of OPSCC.

The study by Powles et al. examined the rates of many non-AIDS defining cancers in the pre-ART (1983–1995), early ART (1996–2001) and established ART eras (2002–2007). They found a nonsignificant increase in head and neck cancers in those classified as on established ART (2.66-fold) as compared to those in the early ART (1.75-fold) and pre-ART (1.34-fold) eras [219]. A similar study using the Italian linkage registry also showed a slight increase in head and neck cancers in the ART period (1997–2002, Standardized Incidence Ratio = 1.8) as compared to the pre-ART era (prior to 1997, SIR = 1.4) [220]. These studies do not clearly demonstrate an increase in OPSCC over time in the HIV-positive population; however, it is clear that these rates are not declining to HIV-negative populations despite improved immunity. If these cancers were significantly immune-related then it would be expected that the rates would decline as is seen for Kaposi's sarcoma. One explanation is that the lesion(s) started development while immune suppressed and ART has increased the lifespan of the individual so that these lesions can be detected (survivor bias). Conversely, the improved immunity may be offset by toxicity of the ART on the oral epithelium.

6.2.14 Projected Impact of HPV Vaccination for Prevention of Oropharyngeal Cancer

Primary prevention of oncogenic HPV infection has been made possible through the advent of the HPV vaccine. The available vaccines are recombinant protein vaccines formulated with empty virus-like particles (VLPs) consisting of HPV L1 capsid protein. The VLPs induce serum antibody responses resembling the natural response to HPV infection. This serum antibody response is HPV type-specific with little to no cross-protection against other HPV genotypes; therefore, multiple HPV genotypes are included in the vaccine to promote broad-spectrum protection. To date the most broad-spectrum HPV vaccine available, Gardasil-9 (Merck), provides protection against seven oncogenic HPV genotypes (HPV-16, -18, -31, -33, -45, -52, and -58) and the two most common low-risk (wart-associated) genotypes (HPV-6 and -11). In the U.S., this vaccine is currently approved for both males and females ages 9–45, with a recommended two-dose vaccine regimen (0, 6– 12 months) for adolescents ages 9–14 and three-dose regimen (0, 2, and 6 months) for recipients ages 15 and up.

Given the slow development of HPV-associated cancer following the acquisition of HPV infection, HPV vaccine clinical trials were designed with genotype-specific infection and early precancer endpoints as surrogate outcomes for cancer. The vaccine was found to be safe and highly effective at preventing infection with the genotypes covered by the vaccine. Likewise, there were no incident diagnoses of vaccine genotype positive cervical dysplasia among women receiving the vaccine in large-scale clinical trials [221]. In practice, populations with high rates of vaccination reported remarkably rapid declines in genital wart incidence [222], and vaccine efficacy remains high (96% protection from infection and 100% protection from cervical intraepithelial neoplasia) up to ten years after the initial dose [223]. These findings support the current projections that the nonavalent HPV vaccine will prevent up to 90% of HPV-associated cervical cancer, assuming adequate uptake of the vaccine.

Although empirical evidence is scant, experts believe that the HPV vaccine will prove to be an effective primary prevention strategy for HPV-associated oropharyngeal cancer. Studies to determine the HPV genotype distribution in OPSCC have consistently shown a predominance of HPV-16 (80–90% of HPV-positive cases) followed by HPV-33 (3–10% of HPV-positive cases), with HPV-18 making up an additional 2–5% of HPV-positive cases [38, 224, 225]. Therefore, assuming that parenteral vaccination generates sufficient protective immunity in the oral cavity, the nonavalent vaccine should provide adequate protection to prevent more than 90% of HPV-related OPSCC. The low rates of oral HPV infection, the lack of a readily identifiable dysplastic precursor lesion in the oropharynx, and the relatively rare incidence of oropharyngeal cancer (estimated 4.5 cases per 100,000 population in the U.S. [226]) preclude clinical trials to demonstrate vaccine efficacy for prevention of HPV-associated oropharyngeal cancer. Nevertheless, there is evidence to support the inference that the vaccine will be effective at preventing HPV-associated OPSCC. First, antibodies against HPV can be detected in the oral cavity following HPV infection [227, 228] or HPV vaccination [229, 230]. Second, vaccination appears to prevent the acquisition of oral HPV infection. In a large cohort of Costa Rican women, the prevalence of oral HPV-16 and -18 infections four years after vaccination was 0.03% in those receiving the HPV vaccine compared to 0.5% in those in the control arm of the trial for a HPV vaccine efficacy estimate of 62.5–99.7% [231]. Similarly, data from the National Health and Nutrition Examination Survey (NHANES) cohort, including both males and females, demonstrated an 88% reduction in the prevalence of oral infections with HPV-6, -11, -16, and -18 in young adults who received at least one dose of the HPV vaccine [232]. While definitive conclusions from these reports are not possible due to the low prevalence of oral HPV infection and limited observation periods, the data thus far favors a positive impact for the vaccine as a primary prevention strategy for HPV-associated OPSCC.

People living with HIV are likely to derive benefit from the vaccine similar to the general population. While no published efficacy trials have specifically targeted HIV-infected populations, phase 1 clinical studies in HIV-seropositive men and children have demonstrated good safety and immunogenicity of the quadrivalent HPV vaccine [233, 234]. Importantly, individuals with HIV infection appear capable of generating anti-HPV antibodies in oral fluids [227], suggesting that vaccine-mediated protection from OPSCC is feasible in this population. The U.S. Centers for Disease Control recommends three-dose HPV vaccination for immunocompromised adolescents and young adults, including those living with HIV, on the same schedule as recommended for the general population [235].

6.3 Summary

In summary, oral HPV infection is more prevalent in HIV-positive individuals (13-40%) than in HIV-negative individuals (4-25%). Those with greater lifetime sexual partners, particularly those reporting a history of oral sex and those with compromised immunity are the most at risk for oral HPV infection. OPSCC diagnoses are on the rise in the general population in the U.S., Europe, and Australia, and in HIV-infected individuals, restoration of immunity and reduction of HIV viral load does not appear to reduce the risk of developing OPSCC. Based on the established natural history of HPV infection at anogenital mucosal sites, it is believed that chronic HPV infection of the oral epithelium will lead to precancer and cancerous lesions. Natural history studies are needed to prove this as well as to establish additional risk factors for HPV-related oral disease development such as warts or cancer. Partner studies focused on oral HPV acquisition are also needed to better establish the mode of transmission. The mechanism by which HPV causes OPSCC also needs further study in order to reveal targeted diagnostic and therapeutic opportunities for cancer prevention. In contrast to HPV-associated disease in the genital tract, HPV-associated head and neck tumors are overwhelmingly dominated by HPV-16 infection, and they may not require viral integration into the host chromosome in order to promote tumor formation. Both reversible and irreversible genetic and epigenetic changes to the host genome contribute to head and neck tumor formation. Finally, HPV vaccine implementation needs to be improved to increase primary prevention of the oral-related HPV diseases.

Appendix

Abbreviations	Definitions
HPV	Human papillomavirus
E2	HPV early gene encoding a protein that regulates viral transcription. Also refers to the protein product of the gene
E6	HPV early gene encoding an oncoprotein that interacts with cellular p53. Also refers to the protein product of the gene
E7	HPV early gene encoding an oncoprotein that interacts with cellular pRb. Also refers to the protein product of the gene

Table 6.1 List of abbreviations used in this chapter

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(continued)

Abbreviations	Definitions
L1	HPV late gene that encodes the major capsid protein of the virus. Also refers to the protein product of the gene
L2	HPV late gene that encodes the minor capsid protein of the virus. Also refers to the protein product of the gene
p53	Tumor suppressor protein that promotes apoptosis
pRb	Retinoblastoma protein; tumor suppressor protein that regulates cell cycle
E2F	Transcription factor involved in cell cycle progression and proliferation
TP53	Gene encoding the p53 protein
OPSCC	Oropharyngeal squamous cell carcinoma, a subset of head and neck cancers
HNSCC	Head and neck squamous cell carcinoma
P16 ^{INK4A}	Tumor suppressor encoded by CKN2A. Inhibits cell cycle progression via inhibition of CDK4 kinase. Biomarker of HPV infection
CDKN2A	Cyclin-dependent kinase inhibitor 2A. Gene encodes several transcript variants, the protein products of which are tumor suppressors that regulate cell cycle through inhibition of CDK4 kinase
D1	Cyclin D1. Promotes cell cycle progression
CDK4/6	Cyclin-dependent kinase 4, 6. Serine/threonine kinases that promote cell cycle progression. These kinases phosphorylate and inactivate pRb
RAD51	RAD51 Recombinase. Mediates homologous recombination and DNA damage repair
ORF	Open reading frame, or predicted coding regions of the viral genome
NR4A2	Nuclear receptor subfamily 4 group A member 2. Putative transcription factor regulating differentiation during neuronal development
KLF5	Kruppel like factor 5. Zinc finger protein that functions as a transcriptional activator
TP63	Tumor protein p63. Member of p53 transcription factor family
TPRG1	Tumor protein p63 regulated
CpG	Cytosine-Guanine dinucleotides that can be methylated at the carbon-5 position of cytosine
DAPK	Death-associated protein kinase. Mediates gamma-interferon inducible apoptosis
MGMT	O-6-methylguanine-DNA methyltransferase. DNA repair protein that protects genome from alkylating agent-induced mutagenesis
MLH1	MutL homolog 1. Component of the DNA mismatch repair machinery
DNMT1	DNA methyltransferase 1. Mediates methylation of genomic CpG dinucleotides. Involved in maintenance of methylation following DNA replication
DNMT3A	DNA methyltransferase 3 alpha. Responsible for de novo methylation of CpG dinucleotides
Sp1	Specificity protein 1. Zinc finger transcription factor involved in many cell processes. Posttranslational modifications determine its function. Can act as an activator or a repressor

Table 6.1 (continued)

(continued)

Abbreviations	Definitions
P14 ^{ARF}	Tumor suppressor encoded by CKN2A. Inhibits cell cycle progression via inhibition of CDK4 kinase
CCNA1	Gene that encodes cyclin A1. Coordinates cell division via regulation of cyclin-dependent kinases. Interacts with Rb family proteins, E2F and p21 family proteins
TP73	Tumor protein p73. Member of p53 transcription factor family. Involved in cell stress response
CADM1	Cell adhesion molecule 1. Also called IGSF4A. Mediates cell-cell adhesion. Involved in activating natural killer cell cytotoxicity and interferon-gamma production by CD8+ T cells
CDH13	Cadherin-13. Appears to protect vascular endothelial cells from oxidative stress-induced apoptosis
TIMP3	Tissue inhibitor of metalloproteinases 3, TIMP Metallopeptidase inhibitor 3. Prevent degradation of extracellular matrix via inhibition of matrix metalloproteinases
IGSF4	See CADM1
WNT	Wingless-type MMTV integration site family member. Secreted signaling protein involved in developmental processes. Promotes beta-catenin-mediated transcriptional activation
SFRP4	Secreted frizzled-related protein 4. Soluble ligand of WNT family proteins. Important in bone morphogenesis
ESR1	Estrogen receptor 1. Hormone-responsive receptor that also functions in the nucleus as a transcription factor
RARβ	Retinoic acid receptor beta. Steroid-thyroid hormone receptor that binds retinoic acid. Modulates cell growth
APC	Adenomatous polyposis coli tumor suppressor. Inhibitor of WNT signaling
ALDH1A2	Aldehyde dehydrogenase family 1 member A2. Enzyme that catalyzes synthesis of retinoic acid from precursor retinaldehyde
GATA4	GATA binding protein 4. Zinc finger transcription factor that recognizes GATA promoter motifs. Important in developmental processes
GFRA4	GDNF family receptor alpha 4. Receptor for persephin. Mediates activation of RET tyrosine kinase receptor
IRX4	Iroquois homeobox 4. Mediator of cardiac tissue development
OSR2	Odd-skipped related transcription factor 2. Likely involved in developmental processes
P300	E1A binding protein p300. Transcriptional co-activator protein. Plays a role in activation of hypoxia response genes
МҮС	MYC proto-oncogene, BHLH transcription factor. Works in concert with MAX to initiate gene transcription. Mediates cell growth, apoptosis, transformation and angiogenesis
HAT	Histone acetyltransferase. Catalyzes transfer of acetyl group to lysine residues on histones to promote open chromatin and transcriptional activity
HDAC	Histone deacetylase. Removes acetyl groups from lysine residues of histones to regulate gene expression
	(continued)

Table 6.1 (continued)

Abbreviations	Definitions
НМТ	Histone methyltransferase. Catalyze transfer of up to three methyl groups to lysine and arginine residues of histones. Regulates gene expression
HDM	Histone demethylase
H3K4me3	Histone 3 Lysine 4 methyl 3. Denotes trimethylation of the fourth lysine on histone H3. Marker of active transcription
EZH2	Enhancer of zeste 2 polycomb repressive complex subunit 2. In complex with PRC2, catalyzes the methylation of lysines 9 and 27 on histone 3 to suppress gene transcription
H3K27me3	Histone 3 Lysine 27 methyl 3. Trimethylation of lysine 27 of histone H3. Marker of repressed chromatin
JMJD3	Jumonji domain containing 3. Also known as lysine demethylase 6B (KDM6B). Removes methyl groups from lysine 27 of histone H3, thereby promoting transcriptional activity
RAS	Family of small GTPases that transmit intracellular signals. Promotes cell growth, differentiation, and survival
PRC2	Polycomb repressive complex 2. Protein involved in transfer of methyl groups to lysine 27 of histone H3, promoting transcriptional repression. Responsible for silencing of chromatin during embryonic development
RISC	RNA-induced silencing complex. A complex of proteins that suppress mRNA translation. Specificity is conferred by miRNAs in complex with RISC
miRNA	microRNA. Short noncoding RNA species that suppress translation of proteins by directing RISC complexes to cognate target nucleotide sequences on the mRNA transcript 3' untranslated region
PTEN	Phosphatase and tensin homolog. Dephosphorylates phosphoinositide substrates. Inhibitor of AKT signaling pathway
TPM1	Tropomyosin 1. Actin-binding protein that stabilizes actin filaments. Functions in muscle contraction
Bcl-2	Apoptosis regulator. Protein located on outer membrane of mitochondria. Promotes survival of cells, particularly lymphocytes
PDCD4	Programmed cell death 4. Inhibits translation by binding and interfering with eukaryotic translation initiation factor 4A1
MYO1B	Myosin 1B. Motor protein that facilitates cell migration
MALAT1	Metastasis-associated lung adenocarcinoma transcript 1. Long noncoding RNA that may function as a scaffold for ribonucleoprotein complexes in the nucleus. Regulates gene transcription and promotes cell migration and metastasis
EMT	Epithelial-mesenchymal transition. Process in which epithelial cells lose phenotypic properties such as polarity and cell-cell adhesion, and gain properties that resemble pluripotent mesenchymal stem cells, including migratory capacity. Functions in wound healing
LCR	Long control region; portion of viral genome that contains regulatory nucleotide sequences
G2/M	Cell cycle checkpoint important for repair of DNA damage

Table 6.1 (continued)

(continued)

Abbreviations	Definitions
PIK3CA	Phosphatidylinositol-4,5-bisphosphate 3-kinase catalytic subunit alpha. Gene encodes catalytic alpha subunit of phosphoinositide-3-kinase (PI3K), p110. The kinase initiates signaling cascades that promote cell proliferation, survival, and migration. Activates AKT signaling
АКТ	AKT serine/threonine kinase. Following activation of AKT by PI3K cascade AKT phosphorylates a broad array of proteins to promote growth, survival, migration, and angiogenesis
РІЗК	Phosphoinositide-3-kinase. Enzyme that phosphorylates the hydroxyl group at the third position in the inositol ring of phosphatidylinositol. Promotes signal transduction that results in a number of pro-tumorigenic processes
mTOR	Mammalian target of rapamycin. Serine/threonine kinase that phosphorylates a wide range of proteins involved in pro-tumorigenic processes. Activated by PI3K/AKT signaling
APOBEC	Apolipoprotein B mRNA editing enzyme, catalytic polypeptide-like. Cytidine deaminase. Bind RNA or single-stranded DNA. Converts cytidine to uridine, thymidine or guanine
ТрС	Thymidine-Cytidine dinucleotides. Motif recognized by APOBEC cytidine deaminases
APOBEC3A	Apolipoprotein B mRNA editing enzyme, catalytic polypeptide-like 3A. Cytidine deaminase. Plays an antiviral role by editing viral genome sequences. Active primarily in cytoplasm of monocytes/macrophages
APOBEC3B	Apolipoprotein B mRNA editing enzyme catalytic subunit 3B. Cytidine deaminase. May play an anti-viral role in host defense. Localizes to nucleus and therefore can cause off-target mutagenesis of host DNA
TCW	Thymidine-Cytidine-(Adenosine or Thymidine) nucleotide motif that is targeted by APOBEC cytidine deaminases
PIP ₃	Phosphatidylinositol (3,4,5)-trisphosphate. Cell membrane-associated phospholipid created by phosphorylation of phosphatidylinositol (4,5)-bisphosphate (PIP ₂) by PI3K. Mediates AKT signaling
PDK1	Pyruvate dehydrogenase kinase 1. Phosphorylates and deactivates pyruvate dehydrogenase, a mitochondrial enzyme involved in regulation of carbohydrate metabolism. Promotes survival during hypoxia and oxidative stress
MEK	MAPK/ERK kinase 1; Mitogen-activated protein kinase kinase 1 (MAP2K1). Phosphorylates and activates ERK/MAPK
ERK	Extracellular signal-regulated kinase, mitogen-activated protein kinase (MAPK). Part of receptor–ligand-mediated signaling cascade that activates downstream transcription factors
FGFR2	Fibroblast growth factor receptor 2. Tyrosine-protein kinase and cell surface receptor that promotes cell signaling via RAS/MEK/ERK or PI3K/AKT/mTOR pathways
FGFR3	Fibroblast growth receptor 3. Tyrosine-protein kinase and cell surface receptor that promotes cell signaling via RAS/MEK/ERK or PI3K/AKT/mTOR pathways

 Table 6.1 (continued)

(continued)

Abbreviations	Definitions
KRAS	KRAS proto-oncogene, GTPase. Protein binds GDP/GTP and has GTPase activity. Mediates cell proliferation and induces silencing of tumor suppressor genes
HRAS	HRAS proto-oncogene, GTPase. Protein binds GDP/GTP and has GTPase activity. Mediates cell proliferation and induces silencing of tumor suppressor genes
ERBB	Epidermal growth factor receptor (EGFR); HER1. Transmembrane glycoprotein cell surface receptor tyrosine kinase. Induces cell proliferation upon interaction with epidermal growth factor ligand
HER2	Human epidermal growth factor receptor 2; Erb-B2 receptor tyrosine kinase 2 (ERBB2). Lacks a ligand binding domain but heterodimerizes with other epidermal growth factor receptors and enhances kinase activity and signal transduction
HER3	Human epidermal growth factor receptor 3; Erb-B2 receptor tyrosine kinase 3 (ERBB3). Receptor binding site for neuregulins but lacks active kinase domain. Functions by forming heterodimers with other epidermal growth factor receptors that have kinase domains
HLA-A/B	Human leukocyte antigens A and B; major histocompatibility complex (MHC). Cell surface receptor that presents short polypeptides to immune cells
NF-κB	Nuclear factor kappa-light-chain-enhancer of activated B cells. Transcription factor protein complex that initiates gene transcription in response to danger stimuli (cell stress, infection, toxins, etc.)
TRAF3	Tumor necrosis factor (TNF) receptor-associated factor 3. Signal transduction mediator of TNF receptor signaling that leads to activation of NF- κ B
CYLD	CYLD lysine 63 deubiquitinase. Cleaves lysine-63-linked polyubiquitin chains. Contributes to NF-κB activation
MSM	Men who have sex with men
HAART	Highly active antiretroviral therapy
OHL	Oral hairy leukoplakia
OPC	Oral pharyngeal cancer

Table 6.1 (continued)

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7

HPV-Associated Anal Cancer in the HIV/AIDS Patient

Chia-Ching J. Wang and Joel M. Palefsky

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Abstract

The prevalence of anal human papillomavirus (HPV) infection and anal high-grade squamous intraepithelial lesion (HSIL) remain high among HIV-infected individuals on effective antiretroviral therapy (ART). The incidence of HPV-related anal cancers has continued to increase since the introduction of ART. Therefore, ART may confer only limited benefit with respect to reducing the risk of anal HSIL and cancer. Efforts are in progress to define the efficacy of secondary prevention programs for prevention of anal cancer. In the modern ART era, anal cancer recurrence and survival outcomes are similar in HIV-infected and HIV-uninfected patients, but HIV-infected patients may experience more toxicities. This article reviews the current literature on HPV-associated anal cancer in the HIV-infected population, including epidemiology, screening, clinical characteristics, and treatment outcomes.

Keywords

HPV · Anal cancer · HIV · Immunosuppression · Vaccination

7.1 Introduction

Improvements in the care of people living with HIV since the introduction of antiretroviral therapy (ART) 20 years ago have led to prolonged survival of this population. For HIV-infected men and women age 20 years who initiated ART between 2008 and 2010 can expect to live, on average, an additional 40 years or more and those who started ART and whose CD4 counts exceeded 350 cells/mm³ 1 year after ART initiation have an estimated life expectancy approaching that of the general population [1]. These advances also reflect the availability of increasingly effective antiretroviral agents, more options for the management of patients developing resistance, fewer drug interactions, better management of opportunistic infections and chronic diseases, and introduction of HIV screening programs with initiation of ART immediately upon HIV diagnosis and at higher CD4 levels than under older guidelines.

There are currently over 1 million people living with HIV/AIDS in the United States [2]. With decreases in infectious deaths, cancer has become a leading cause of morbidity and mortality in this patient population [3]. Cancer is now estimated to be responsible for over one-third of all deaths in HIV-infected individuals [4]. Kaposi sarcoma (KS), certain non-Hodgkin's lymphomas (NHLs) and cervical cancer confer the diagnosis of AIDS in an HIV-infected patient, and are referred to as AIDS-defining malignancies (ADM). Over the years, it has also been recognized that several additional cancers occur more frequently in HIV-infected patients, such as lung cancer, hepatocellular carcinoma (HCC), anal cancer, oropharyngeal cancer, classical Hodgkin lymphoma, and non-melanoma skin cancer [5, 6]. These

neoplasms in HIV patients are referred to as non-AIDS-defining malignancies (NADM).

The majority of cancers associated with HIV are linked to co-infection with oncogenic viruses, with human papillomavirus (HPV) being one of the most common. HPV is responsible for 100% of cervical cancers and 88% of anal cancers, with the majority caused by HPV 16 or 18 [7, 8]. The purpose of this article is to present the most recent information on the epidemiology, treatment, and outcomes of anal cancer in the modern ART era.

7.2 HPV Infection and HPV-Related Diseases in HIV-Infected Individuals

The HPV virion contains a double-stranded, circular DNA genome surrounded by a capsid. HPV initially infects cells of the basal layer of squamous epithelium that has been exposed due to microabrasions or other forms of breach in the epithelium. The viral capsid proteins are L1 and L2. The HPV genome is divided into three regions: early, late, and long control or non-coding (Fig. 7.1). The early region contains the regulatory proteins, E1 and E2, and the main oncogenic proteins, E6, and E7. The region also includes the E4 and E5 proteins, with E4 functioning primarily as a structural protein, and E5 as an accessory oncogenic protein that promotes transformation along with E6 and E7 through reducing turnover of cell surface epidermal growth factor receptor. The oncogenic functions of E6 and E7 are complementary; E7 inactivates the host retinoblastoma protein and increases the rate of mutations by enhancing DNA replication. E6 inactivates the host p53 protein and allows these mutations to accumulate by disrupting DNA repair and cell death [9]. The E6 and E7 oncoproteins also enhance cellular proliferation, resulting in increased numbers of infected cells and infectious virions [10].

The anus consists of a mucosa-lined anal canal and a keratinized epitheliumlined perianal area. The anal canal begins where the rectum enters the puborectalis sling at the apex of the anal sphincter complex (palpable as the anorectal ring on digital anorectal examination and approximately 1–2 cm proximal to the dentate line), and ends where the squamous mucosa blends with the perianal skin, which roughly coincides with the palpable intersphincteric groove or the outermost boundary of the internal sphincter muscle. HPV infects basal cells throughout the anal canal and perianal epithelium, but one of the prime targets of HPV is the anal epithelial transformation zone (TZ). In the anus, the TZ, extends proximally from the squamocolumnar junction (SCJ) where the rectal columnar epithelium meets the squamous epithelium of the anus, to the dentate line distally (Fig. 7.2). The TZ is an area of active transition from columnar epithelium to squamous epithelium through the process of squamous metaplasia.

Clinically, mucosal HPVs are classified into low-risk and high-risk types according to the potential of malignant progression of the lesions they cause [11]. Infections with low-risk HPVs are primarily associated with flat low-grade

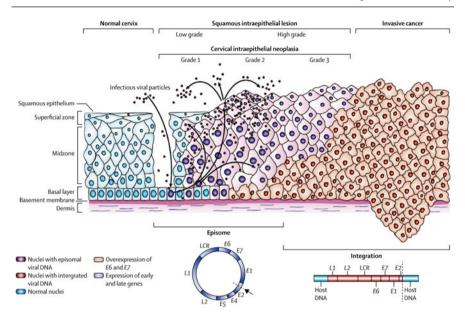


Fig. 7.1 Human papillomavirus lifecycle and organization of its genome [156]. Basal cells in the cervical epithelium rest on the basement membrane, which is supported by the dermis. Human papillomavirus is though to access the basal cells through microabrasions in the cervical epithelium. After infection, the early human papillomavirus genes E1, E2, E4, E5, E6, and E7 are expressed and the viral DNA replicates from episomal DNA. In the upper layers of epithelium (the midzone and superficial zone) the viral genome is replicated further, and the late genes L1 and L2, and E4 are expressed. L1 and L2 encapsulate the viral genomes to form progeny virions in the nucleus. The shed virus can then initiate a new infection. Low-grade intraepithelial lesions support productive viral replication. An unknown number of high-risk human papillomavirus infections progress to high-grade anal intraepithelial neoplasias. The progression of untreated lesions to micro-invasive and invasive cancer is associated with the integration of the human papillomavirus genome into the host chromosomes (red nuclei), with associated loss or disruption of E2, and subsequent upregulation of E6 and E7 oncogene expression. LCR = long control region. Permission: https://s100.copyright.com/CustomerAdmin/PLF.jsp?ref=bbdd7703-ca29-4109-bed1-4bc1990e7ce0

squamous intraepithelial lesions (LSIL), or genital warts (condyloma acuminata). HPV-6 and HPV-11 are the most abundant low-risk HPVs and cause more than 90% of condylomata acuminate [12]. These lesions are at very low risk for malignant progression and frequently regress spontaneously over time. In rare cases, low-risk HPV infections can cause slow-growing giant condyloma, also known as Buschke–Lowenstein tumor. These lesions are highly destructive to adjacent normal tissue through local spread, and can metastasize [13]. Infections with high-risk HPVs are associated with carcinoma and premalignant lesions, known as high-grade squamous intraepithelial lesions (HSIL), in the cervix, anus, vulva, vagina, and oropharynx.

HPV infection is very common in the perianal region and anal canal in both sexes. The highest anal HPV prevalence (nearly 100%) is found in HIV-infected men having sex with men (MSM). High-risk HPV types can be detected in the

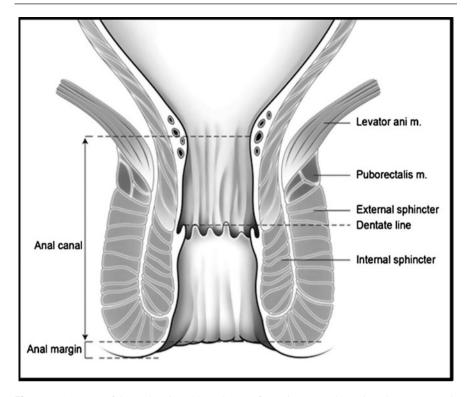


Fig. 7.2 Anatomy of the anal region [157]. The transformation zone, shown in red arrow, extends from the squamocolumnar junction to the dentate line. Permission: https://s100.copyright.com/CustomerAdmin/PLF.jsp?ref=3e25e5ed-bc96-46ec-b88d-2b0643f96fad

majority of HIV-positive MSM (73.5; 95% confidence interval [CI]: 63.7–83.0%) [14]. Multiple HPV genotypes have been associated with HSIL and cancer. HPV-16 accounts for over 50% of cases of HSIL and anal cancer. Other high-risk types include 18, 31, 35, 45, 51, 52, and 58 [15]. High-risk HPV are more prevalent among HIV-infected individuals, which can also contribute to a higher prevalence of HPV-associated malignancies in this population [16].

HIV-infected women are also at very high risk of anal HPV infection and some studies show that it is even more common than cervical HPV infection in this population [17–20]. An early study of HIV-infected and HIV-uninfected women injection drug users reported that anal HPV infection was twice as frequent as cervical HPV infection, and that HPV-associated epithelial abnormalities were associated with lower peripheral blood CD4 cell counts [20]. HIV-infected women were more likely to have the same HPV genotype in the anus and cervix than HIV-uninfected women (18 vs. 3%, P < 0.001). This was true for both oncogenic (9 vs. 2%, P.0.003) and non-oncogenic (12 vs. 1%, P < 0.001) HPV types. In multivariable analysis of HIV-infected women, CD4 cell count of less than 200 was the strongest factor associated with concomitant oncogenic (OR.4.2) and

non-oncogenic (OR.16.5) HPV infection [18]. Anal intercourse is not required for anal HPV infection, and may possibly be spread from the cervix to the anus by wiping after going to the toilet [19]. Likewise, HIV-infected men who have sex with women have a high prevalence of anal HPV infection [17], potentially acquired through autoinoculation.

The terminology for HPV-associated squamous lesions of the lower anogenital tract has a long history marked by confusion caused by the various terminologies employed by pathologists and clinicians from differing specialties to describe the same histopathological entity. The Lower Anogenital Squamous Terminology (LAST) project created a histopathologic nomenclature system that reflects current knowledge of HPV biology. Current data support the two-tiered system of LSIL and HSIL [21], which may be further qualified with the appropriate intraepithelial neoplasia (-IN) terminology for specific location. The biomarker, p16, has the most robust published literature on its utility to help make morphological diagnoses of HPV-associated squamous lesions, particularly intermediate lesions such as intraepithelial neoplasia grade 2 (IN-2) or moderate dysplasia, more objective and reproducible. Negative or non-block positive staining of IN-2 lesions strongly favors an interpretation of LSIL or a non-HPV-associated pathology [22]. Therefore in the anus, LSIL includes condyloma, AIN 1, and p16-negative AIN 2, and these are not considered to be precancerous. In contrast, anal HSIL includes p16-positive AIN 2 and AIN 3. HSIL is considered to be the true cancer precursor [21].

Given the high prevalence of anal HPV in HIV-infected men and women, it is not surprising that anal HSIL is also common in this population. In the Multicenter AIDS Cohort Study, the prevalence of any abnormal anal cytology was 38, 41, and 47% among HIV-infected MSM with current CD4+ T-cell count > 500, 350-499, and <350 cells/mm3 (P < 0001), respectively [23]. A prospective cohort study to assess the natural history of anal HPV infection in HIV-infected MSM in the ART era showed that the incidence of any anal HPV infection and oncogenic anal HPV infection was 21.3/100 and 13.3/100 person-years, respectively [24]. 20% of these men with an incident HPV infection also had more than one new HPV type detected during follow-up [24]. Low CD4 counts are a risk factor for HIV-positive individuals developing anal squamous intraepithelial lesions (ASIL). Palefsky et al. showed that, for HIV-infected men, having CD4 cell counts below 200 cells/mm³ was associated with more than threefold increased incidence of progression (based on cytology and/or biopsy) of normal or atypical epithelium to ASIL, or from anal LSIL to a higher grade lesion [25]. HIV-infected MSM on effective ART for 24 months or more have also been shown to be have less HPV infection and less anal HSIL, although the reduction in HPV burden is relatively modest (from 100 to 88%) [26, 27].

Consistent with their high prevalence of anal HPV infection, HIV-infected women have a high prevalence of ASIL. Even in the era of effective ART, the prevalence of ASIL has been found to be significantly increased among HIV-infected women (16%) compared with HIV-uninfected women (4%) [28]. The Women's Interagency HIV Study showed a prevalence of 9 and 1% of anal HSIL in HIV-infected and HIV-uninfected women, respectively [28]. More recent data

indicate that the prevalence of anal HSIL in HIV-infected women was 28% [29]. In HIV-infected women, progressive immunodeficiency and higher HIV viral load also are associated with an increased rate of cervical HPV carriage, cervical HSIL and cervical cancer [30, 31]. Previously, smaller studies with short follow-up periods did not show a beneficial impact of ART on high-risk HPV infection [32, 33]. More recently, larger prospective cohorts demonstrated that sustained virologic suppression by effective ART can decrease the risk of persistent high-risk HPV infection and lead to more rapid clearance of HPV-related cervical SILs [34–36].

It has been demonstrated that HPV-induced anal HSIL lesions are the direct precursors of anal cancer. In a retrospective review of 138 HIV-infected MSM diagnosed with anal cancer during 1997–2011, anal cancer developed at the previously biopsied site of anal HSIL in 27 men [37]. Sixty six men were diagnosed with anal cancer at their first clinic visit, and they all had HSIL as well. The concordance between the location of the HSIL and the cancer could not be definitively confirmed in 45 men, but most of them had HSIL overlying or immediately adjacent to their cancer. However, the risk and rates of progression from HSIL to anal cancer among HIV-infected patients who were not treated for HSIL are not precisely known. In a recent meta-analysis, Machalek et al. estimated the progression rate from anal HSIL to anal cancer among HIV-infected men in the modern ART era to be one in 377 per year in the absence of treatment for precursor lesions [14]. In a retrospective cohort analysis of HIV-infected patients under care at the University of California at San Diego Owen Clinic, patients with a baseline HSIL anal cytology had an estimated 5-year probability of progression to anal cancer of 1.7% and an estimated annual progression risk of 1 in 263 [38]. This group of investigators also found a high probability of regression of the anal HSIL state (27-62%) at 2 years after initial cytology screening using a 3-state Markov model of clinical pathogenesis [39]. More recently, Dalla Pria et al. reported on the experience of an HIV-infected MSM cohort in which HRA with intervention for HSIL was routinely offered [40]. In this HSIL-treated cohort, the estimated rate of anal cancer from histopathologic diagnosis of anal HSIL ascertained at the first HRA was 6.1 per 1000 person-years (95% CI: 4.2–7.8); this rate corresponds to per person per year rate of 1/164. Of note, these estimated rates of progression for anal HSIL are lower than the risk of progression to cancer for cervical HSIL (approximately 1 in 80 per year) [41]. It appears that on a per-lesion basis, anal HSIL is less likely to lead to anal cancer than cervical HSIL is to cervical cancer. The reasons for the lower susceptibility of the anus to malignant transformation compared with the cervix are unknown, but the hormonal milieu and potentially the different microbiomes of the two sites may be involved.

7.3 Primary Anal Cancer Prevention

Vaccination with the bivalent and quadrivalent HPV (qHPV) vaccine has been shown to reduce anal infection with HPV 16 and HPV 18 in both males and females naïve to those types [42, 43]. Clinical trials to determine the efficacy of vaccination to reduce the incidence of ASIL and penile HPV-associated disease have only been performed with qHPV vaccine. These studies confirmed that qHPV vaccine could reduce the risk of genital warts and anal HSIL in males [43]. In one double-blinded trial, 602 sexually active MSM, age 16-26, were randomized to receive 3 doses of (qHPV vaccine or placebo and evaluated every 6 months by HRA and HPV testing over 3 years. There was significant reduction of anal HSIL associated with any type of HPV (not only those associated with HPV 6, 11, 16 and 18) in those who received the qHPV vaccine compared with those who received the placebo [43]. Wilkin et al. evaluated 112 HIV+ men (ages 27 or older with no evidence of anal HSIL) with the three-dose course of qHPV vaccine and found that all of these HIV+ men seroconverted [44]. Therefore, gHPV vaccine has been demonstrated to be both immunogenic and safe in HIV-infected men. The efficacy of HPV vaccination in prevention of anal HSIL in HIV-infected MSM is being evaluated in an ongoing trial [45]. Deshmukh et al. estimated that qHPV vaccination of HIV-negative MSM age 27 or older treated for anal HSIL would reduce the lifetime risk of anal cancer by 60.77% at an incremental increase of cost-effectiveness ratios (ICER) of \$87,240 per quality-adjusted life-year [46]. Their modeling suggests that gHPV vaccination for MSM may decrease their lifetime risk of anal cancer and is a cost-effective strategy because it decreases lifetime costs and increases quality-adjusted life expectancy.

In 2015, the 9-valent (9v) HPV vaccine became available, adding HPV types HPV 31, 33, 45, 52, and 58 to HPV 6, 11 16 and 18 from qHPV. Joura et al. evaluated the safety and efficacy of the 9v HPV vaccine through a double-blind international multicenter trial of 14,215 young women randomized to 9v HPV vaccine or qHPV vaccine. The investigators found that the 9v HPV vaccine prevented infection and disease related to HPV 31, 33, 45, 52, and 58 in a susceptible population and generated an antibody response to HPV 6, 11, 16, and 18 that was non-inferior to that generated by the qHPV vaccine [47]. From these data, it is assumed that the 9v HPV vaccine will provide the same degree of protection as qHPV vaccine from persistent HPV infections and development of anal HSIL (and possibly progression to anal cancer) in patients without evidence of prior vaccine-type HPV infection.

In the long term, HPV vaccination should be an excellent tool for reduction of anal cancer. Vaccination is currently routinely recommended with the 9v vaccine for individuals as young as age 9, with a target of 11–12 years of age. Catch-up vaccination is recommended up to 26 years of age for all women and up to age 21 years for men. However, catch-up vaccination is recommended up to 26 years is recommended for MSM and HIV-infected/immunocompromised individuals. [48]. The qHPV vaccine induces similar antibody titers in two doses as in three doses if

the two doses are given 6 months apart in young individuals [49]. In a meta-analysis of seven controlled trials in 11 countries with direct comparisons between two-dose and three-dose HPV vaccine schedules, adolescent girls receiving a two-dose HPV vaccine schedule with a 6-month interval between doses had non-inferior antibody responses to HPV16 and HPV18 (measured as geometric mean concentrations or seropositivity) for at least 2 years after the first dose when compared with post-adolescent women receiving the licensed three-dose schedule [50]. Based on the results of these trials, the CDC currently recommends that children under the age of 15 years receive two doses of HPV vaccine instead of three, whereas the 3-dose regimen is still required for other eligible groups, including HIV-infected individuals [51]. Most HIV-infected individuals currently at risk for anal cancer are older than 26 years and do not qualify for HPV vaccination. Even if the vaccine is made available to them, a high proportion are likely to have already have been exposed to HPV 16 and 18 [52]. Among those who might benefit from vaccination, the impact of the vaccine has been reduced by poor uptake. Uptake of the qHPV vaccine is limited, with only 43% of eligible women and 31% of eligible men receiving all three doses of the vaccine through 2016. The uptake is better for two-dose regimens of qHPV vaccine (55% for women, 43.6% for men) [53]. While herd immunity due to vaccination of females may contribute to protection against HPV even among those who have not been vaccinated, it is likely to be very limited among MSM.

There are several reasons for the poor rates of HPV vaccination in the U.S., including varying levels of access, fear of HPV vaccine side effects, limited understanding of the benefits of HPV vaccination, and fear of vaccination in general. Finally, given the long period of time required for progression from cervical or anal HSIL to invasive cancer, it is expected that it will be decades before any reduction in cancer incidence is realized.

7.4 Secondary Anal Cancer Prevention

Combined with the fact that most HIV-infected men and women are too old for vaccination or were exposed to HPV 16 and 18 before vaccination became available, millions of men and women remain susceptible to HPV 16- and HPV 18-related HSIL and cancer. For these individuals, secondary prevention in the form of identifying and treating HSIL may be the only option to reduce the risk of anal cancer. Determination of the efficacy of HSIL treatment to prevent anal cancer is therefore a current and public health concern for the foreseeable future for this target population.

Secondary prevention of anal cancer consists of detection and treatment of anal HSIL. Anal HSIL can be detected by anal cytology, high-resolution anoscopy (HRA) and/or biopsy. Unlike cervical cancer, United States Preventive Services Task Force guidelines for anal screening are not yet in place (47). This is largely because the efficacy of treating anal HSIL in preventing anal cancer in HIV-infected

men and women is not yet known. The Anal Cancer/HSIL Outcomes Research (ANCHOR) Study, supported by the National Cancer Institute and Office of AIDS Research, is an ongoing phase III, randomized, multi-institutional trial to determine whether treating anal HSIL is effective in reducing the incidence of anal cancer in HIV-infected men and women [54]. These results from this major trial should lead to changes in standard-of-care guidelines.

New York State HIV treatment guidelines recommend yearly anal cytology for certain subgroups of HIV-infected individuals [55]. Other guidelines, such as those published by the HIV Medicine Association of the Infectious Diseases Society of America recommend anal cytology screening for anal cancer, but do not specify the frequency of anal cytologies nor the necessity of HRA for follow-up of abnormal anal cytology results [56]. In many regions, resources for anal cytology and the follow-up HRA screening procedures remain limited.

Anal cytology is the test most commonly used to identify individuals who might benefit from HRA. While national organizations such as US Preventive Health Task Force or American Cancer Society do not recommend routine anal cancer screening using anal cytology, we believe that HIV-infected MSM should be considered for screening. Cost-effectiveness analyses have shown that screening MSM regardless of HIV status is justifiable [57]. Other at-risk groups that should be considered for anal cytology include HIV-infected women, HIV-infected men who have sex with women, women with a history of vulvar or cervical cancer, and organ/marrow transplant recipients. Women with a history of vulvar or cervical HSIL may also be considered for screening. Sensitivity of anal cytology is in the range of 50–80%, with sensitivity being higher in the HIV-infected population [58].

Primary HPV testing and cervical cytology have been recommended for primary cervical cancer screening of women between 30 and 65 years of age [59]. HPV testing is also helpful for triage of women with equivocal or low-grade cytologic abnormalities and prediction of the therapeutic outcome after treatment of cervical HSIL [60]. In contrast, the role of HPV testing in anal cytologic specimens is less well-established. Prior studies have shown that molecular tests for the presence of high-risk HPV have high sensitivity but low specificity for anal HSIL [61–63]. HPV testing may be more useful in HIV-uninfected MSM for its negative predictive value [64, 65]. Further research is needed to determine the optimal use of anal HPV testing in screening algorithms for anal HSIL in different at-risk populations.

Individuals with abnormal anal screening cytology are referred for HRA in which the anal canal is examined with a colposcope after the application of 5% acetic acid and/or Lugol's solution and visible lesions are biopsied for histological diagnosis (Fig. 7.3). In many clinical centers patients with histologic results of anal HSIL are recommended for treatment to prevent progression from anal HSIL to invasive cancer, even as the results of the ANCHOR study are awaited, However, unlike the treatment of cervical HSIL where the entire SCJ of the cervix is either ablated or excised, the entire SCJ of the anal canal cannot be surgically treated for concerns of stricture or other complications. Currently, the most commonly used treatment is HRA-directed ablation of apparent anal HSIL lesions. Unfortunately, recurrence rates are very high and frequently additional treatments are needed [66].

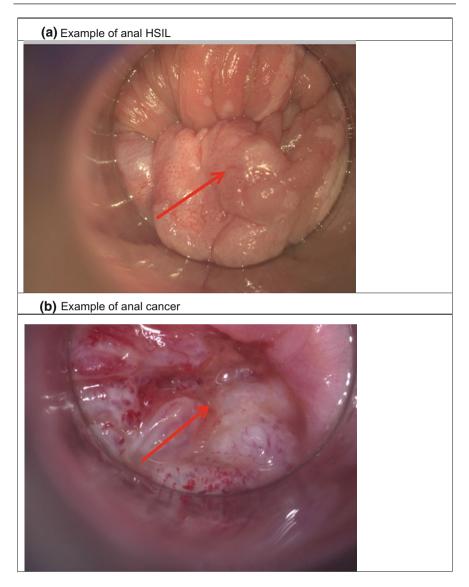


Fig. 7.3 Visualization of Anal HSIL and cancer under high-resolution anoscopy. **a** Anal HSIL, shown in red arrow, is aceto-white with coarse mosaic pattern and punctation. **b** Anal cancer, shown in red arrow, shows atypical vascular changes. It can be friable and ulcerated. A mass should be palpable by digital examination. There may be focal tenderness

7.5 Anal Cancer in HIV-Infected Individuals

The majority of patients with anal cancer present with bleeding, pain or sensation of a mass [67]. Bleeding from a mass at or just above the anal sphincter may be falsely attributed to hemorrhoids and may delay the diagnosis. At initial presentation, most patients have a T1 (tumor 2 cm or smaller) or T2 (tumor more than 2 cm but less than 5 cm) lesion and fewer than 20% are node-positive [68]. The probability of nodal spread is directly related to tumor size and location. It is far more common in cancers that originate in the anal canal than on the perianal skin. Tumor size (T stage) and nodal status (N stage) are the most significant prognostic factors for patients with anal cancer (Table 7 1). In a large series of 270 patients, the 5-year survival by stage was 86% for those with T1-2 disease versus less than 60% for T3-4 disease, and 76% for those with N0 disease versus 54% for those with node-positive disease [69].

Anal cancer is a relatively uncommon cancer in the general population. However, its incidence rate in the US has nearly doubled from the period of 1973–1970 to 1994–2000, and the rate is continuing to rise [70]. According to an analysis of Surveillance, Epidemiology, and End Results (SEER), the incidence of anal cancer increased by 2.9% per year during 1992–2001 [71]. In 2017, there are estimated 8200 new cases of anal cancer (2950 in men and 5250 in women) and 1100 deaths in the US [72].

It is estimated that there are approximately 37 million people worldwide living with HIV/AIDS as of the end of 2015 [73], including about 1.2 million HIV-infected individuals in the United States [74]. Approximately 1% of women and 28% of men with anal cancer are HIV-infected [75]. Prior to the availability of effective ART, the estimated incidence of anal cancer among HIV-infected MSM was nearly 60-fold higher than men in the general population [76]. Since the advent of effective ART, the incidence of malignancies associated with Epstein-Barr virus and Kaposi sarcoma herpesvirus has decreased in HIV-infected individuals. However, the incidence of HPV-associated anal cancer has increased. In a study of 34,189 HIV-infected individuals and 114,260 HIV-uninfected individuals from the North American AIDS Cohort Collaboration on Research and Design (NA-ACCORD) cohort with follow-up between 1996 and 2007, the unadjusted anal cancer incidence rates per 100,000 person-years were 30 for HIV-infected women, 0 for HIV-uninfected women, 131/100,000 for HIV-infected MSM, 46/100,000 for other HIV-infected men, and 2/100,000 for HIV-uninfected men. Therefore, the incidence of anal cancer in HIV-infected MSM is estimated to be 80 times higher than men in the general population [6]. This increase in incidence of anal cancer has been shown to be strongly influenced by the HIV epidemic in men [75]. In particular, the HIV prevalence was as high as 84% in anal cancer cases occurring in young African-American men [75]. Low CD4 count was also associated increased incidence rate of anal cancer [77]. The immunosuppression associated with HIV infection reduces the ability to control oncogenic viral processes, which could explain the higher risk of infection-related cancers.

Anal cancer T Primary tum						
T category	T criteria					
TX	Primary tumor not assessed					
ТО	No evidence of primary tumor					
Tis	High-grade squamous intraepithelial lesion (previously					
10	termed carcinoma <i>in situ</i> , Bowen disease, anal					
	intraepithelial neoplasia II-III, high-grade anal intraepithelial neoplasia)					
T1	Tumor $\leq 2 \text{ cm}$					
T2	Tumor >2 cm but \leq 5 cm					
T3	Tumor >5 cm					
T4	Tumor of any size invading adjacent organ(s), such as the vagina, urethra, or bladder					
Regional lym	ph nodes (N)					
N category	N criteria					
NX	Regional lymph nodes cannot be assessed					
ND	No regional lymph node metastasis					
N1	Metastasis in inguinal, mesorectal, internal iliac, or external iliac nodes					
Nla	Metastasis in inguinal, mesorectal, or internal iliac lymph nodes Metastasis in external iliac lymph nodes					
N1b						
N1c	Metastasis in external iliac with any Nla nodes					
Distant metas	stasis (M)					
M category M criteria						
MO	No distant metastasis					
Ml	MI Distant metastasis					
Prognostic sta	age groups					
When T is	And N is	And M is	Then the stage group is			
Tis	NO	MO	0			
T1	NO	МО	I			
T1	N1	МО	IIIA			
T2	NO	МО	IIA			
T2	N1	MO	IIIA			
Т3	NO	MO	IIB			
Т3	N1	MO	IIIC			
T4	NO	MO	nm			
T4	N1	MO	IIIC			
Any T	Any N	Ml	IV			

Table 7.1 TNM staging for anal cancer

Used with permission of the American Joint Committee on Cancer (AJCC), Chicago, Illinois. The original and primary source for this information is the AJCC Cancer Staging Manual, Eighth Edition (2017) published by Springer International Publishing

TNM Tumor, node, metastasis; A American Joint Committee on Cancer; UICC Union for International Cancer Control

A recent analysis from the HIV/AIDS Cancer Match Study, a linkage of population-based state HIV and cancer registries, showed that anal cancer is the third most common cancer occurring in excess in the HIV-infected population. 83% of excess cases of anal cancer occurred among HIV-infected MSM, and 71% among those living five or more years since AIDS onset [15]. As effective ART has greatly prolonged the life expectancy of those with HIV, the proportion of the HIV-infected population in older age groups has increased and will likely continue to increase in the future. A recent publication from NA-ACCORD showed that the annual trend in the cumulative incidence of anal cancer among HIV-infected adults (who were mostly men) was significantly increasing (6% per year) compared to it being stable in HIV-uninfected adults [78]. Yanik et al. used a linkage between data from cancer registries in the SEER program of the National Cancer Institute and Medicare claims (SEER-Medicare) to estimate absolute cancer risk among people age 65 years or alder with an HIV diagnosis and evaluate the association between HIV and cancer in this age group [79]. They also found that HIV-infected individuals aged 65 or older had higher incidence of anal cancer compared with HIV-uninfected elderly persons (adjusted hazard ratio = 34.2) [80]. This highlights a clear need for cancer prevention in this age group and the importance of screening.

Several cohort studies reported that immunodeficiency is associated with anal cancer incidence in HIV-infected individuals. Either nadir CD4 of less than 200 cells/mm³ or longer duration of time with CD4 less than 200 cells/mm³ have been shown to be related to higher risk of anal cancer [81, 82], but results from studies evaluating the effect of HIV viral load on incidence of anal cancer have been mixed. Silverberg et al. [83] found that most recent HIV viral load was not associated with anal cancer risk. However, they did not evaluate any cumulative markers of HIV viral load control. Chao et al. performed a retrospective cohort study among male US veterans diagnosed with HIV and followed between 1985 and 2009 using the Veterans Affairs Immunologic Case Registry. They found that individuals with excellent HIV control (between 80 and 100%) during their follow-up time have an approximately half of the risk of anal after adjusting for the effect of CD4 count.

Protease inhibitors (PIs) selectively bind to the catalytic site of HIV protease, interfering with HIV replication. Some PIs (e.g., indinavir, saquinavir, ritonavir, lopinavir, and nelfinavir) at varying concentrations have been shown to be anti-angiogenic and anti-tumorigenic because of their effects on cell invasion and matrix metalloproteinases, as well as because of modulation of the activity of cell proteasome [84, 85]. Nelfinavir also targets the phosphoinositide 3-kinase/AKT pathway, which is thought to play an important role in the development of cancers through multiple mechanisms [86]. There are no in vitro data available regarding the pathways targeted by specific PIs in anal cancer, but anal cancer closely resembles cervical cancer in several ways. In contrast to some of the other studies, a study of HIV-infected male US veterans looked specifically at the use of PIs and their relationship to incidence of anal cancer and showed the contrary. In multivariate analysis, increasing percentage time on PIs was associated with an increased risk of anal cancer [3]. Poor immunologic recovery and virologic control, a history

of condylomata acuminata, and case registry enrollment in the late combined ART era were also associated with increased anal cancer risk.

7.6 Treatment and Outcomes of Anal Cancer

Concurrent chemoradiotherapy (CRT) with 5-fluorouracil (5-FU) infusion and mitomycin (or cisplatin) has been established as the standard-of-care regimen for non-metastatic anal cancer [87–92]. In ACCORD 03, induction chemotherapy prior to CRT did not improve response rates, 3-year colostomy-free survival, event-free survival, local control, or overall survival [93]. The UK ACT II trial included over 900 anal cancer patients randomly assigned to radiotherapy with either 5-FU/mitomycin or 5-FU/cisplatin. Patients in each arm were further randomly assigned to maintenance therapy with two cycles of 5-FU/cisplatin or no maintenance. At median follow-up of 5.1 years, no differences were found in complete response rate or progression-free survival [91]. Intensity-modulated radiotherapy (IMRT) has also been shown to reduce acute toxicities compared with conventional three-dimensional radiotherapy [94, 95]. Unfortunately, HIV-infected patients were excluded from these major trials.

When CRT was first applied to HIV-infected patients in the pre-ART era, reduced doses of radiotherapy and/or chemotherapy were administered due to concern for increased hematologic and mucosal toxicity secondary to compromised immunologic status [96, 97]. However, when therapy was applied in standard doses, increased toxicity, requiring treatment breaks or dose reductions, and poorer clinical outcome were reported [98, 99]. In five studies that included 53 HIV-infected patients, the incidence of grade 3–4 skin toxicity was 50–78% [96, 98–101]. Pretreatment CD4 count less than 200 was identified as a factor associated with poorer anal cancer control and increased treatment morbidity in a small retrospective cohort [100].

In the modern ART era, immune restoration with effective suppression of HIV viral load and elevation in CD4 count could be achieved in most HIV-infected patients, with improvement in compliance and reduction in treatment-related side effects. Reports on clinical outcomes of HIV-infected patients with anal cancer treated with standard therapy have been conflicting. Blazy et al. reported that high-dose CRT with radiotherapy doses of 60–70 Gy with concurrent 5-FU and cisplatin is feasible [102]. However, some studies show that HIV-infected patients had comparable disease control and survival to HIV-negative patients [103–109], whereas others suggested that HIV-positive patients may do worse in terms of enhanced treatment-related toxicity and/or an increased risk for local relapse [110–114]. Wexler et al. reported the local failure rate was only 16% in their cohort, but 44% of patients had T1N0 disease [107], which could reflect the fact that many of the referring providers are experienced in caring for HIV-infected individuals and more likely to examine patients for HSIL and anal cancer. Martin et al. reported their single-center experience with standard 5-FU/mitomycin CRT with long-term

follow-up. Despite HIV-infected patients having higher nodal stages, the complete response rates after CRT were higher than 80% in both HIV-infected and HIV-uninfected patients [108]. In contrast, one of the largest series of anal cancer patients (total = 107, HIV-infected and HIV-uninfected) showed that HIV-infected patients had significantly worse overall survival and colostomy-free survival compared with a similar cohort of HIV-negative patients, despite having similar treatment approach, patient adherence, and cancer stage [114]. There were also no differences in radiation-related acute toxicity based on HIV status. There are no clear explanations for the differences, or lack of differences, in the outcomes of anal cancer in the HIV-infected versus the HIV-negative population. Almost all of these reports are limited by small patient numbers and the retrospective nature of the data.

Capecitabine, an established treatment alternative to intravenous 5-FU for patients with colorectal cancer, has also been tested in patients with locally advanced anal cancer. Small retrospective comparisons showed capecitabine had similar 3-year locoregional control rates and overall survival compared with 5-FU [115], and the capecitabine group had lower rates of grade 4 hematologic toxicity [116] when combined with mitomycin and radiotherapy. In a small prospective single-arm phase II study, chemoradiotherapy with capecitabine and mitomycin yielded a locoregional control of 86% in 6 months (CI 95% 0.72–0.94) and similar toxicity profile [117]. Despite the limited data, capecitabine has been accepted as an alternative to 5-FU in treatment of non-metastatic anal cancer.

7.7 Novel Therapies for Anal Cancer

Cetuximab is an epidermal growth factor receptor antibody whose activity depends on the presence of wild-type k-ras. It is felt to be a promising agent because k-ras mutations are rare in anal cancer [118]. Cetuximab also prolongs survival when used in combination with radiation therapy in patients with locally advanced squamous cell carcinoma of the oropharynx [119, 120], and enhances the activity of cisplatin in advanced head and neck cancers [121]. The ACCORD 16 anal cancer trial assessed response rates after CRT with 5-FU, cisplatin, and cetuximab. This study was prematurely terminated due to unacceptably high rates of serious adverse events, and 2 of 5 patients who completed planned treatment experienced locoregional recurrences [122, 123]. The AIDS Malignancy Consortium (AMC) and Eastern Cooperative Oncology Group (ECOG) recently completed two trials that were concurrently conducted to determine the effectiveness of cetuximab plus chemoradiation (CRT) in patients with HIV infection (AMC045) and without HIV infection (E3205) [109, 124]. It is important to note that patients with HIV infection had similar clinical outcomes as those who did not have HIV infection, with about 70% being alive and recurrence-free at 3 years. Treatment tolerance and the overall side effect profile were also similar in the two populations. However, the locoregional failure rate of 20% and grade 4 toxicity rate of 26% indicate the continued need for more effective and less toxic treatment in HIV-infected patients with newly diagnosed anal cancer.

Despite the effectiveness of CRT in primary treatment of anal cancer, the locoregional failure rate has been reported as 10–30% [125, 126]. There are 10–20% of anal cancers that present with extrapelvic disease at initial diagnosis [127], and 25% of cases develop distant metastases [128]. For recurrent or metastatic anal cancer, treatment options are quite limited. Patients with biopsy-proven local recurrence of anal cancer can be treated with abdominoperineal resection and colostomy [125]. A small single-arm study of 19 patients showed cisplatin and 5-FU had a response rate of 66% for metastatic anal cancer [129]. However, no consensus exists regarding treatment following progression on first-line therapy for unresectable or metastatic anal cancer.

Given the paucity of treatment options for recurrent or metastatic anal cancer, effective novel therapies are greatly needed. Immune checkpoint therapy is now a new pillar of cancer therapy. Many cancers evade immune surveillance and destruction through upregulation of the immune cell checkpoint molecule programmed death ligand 1 (PD-L1). Program death-1 (PD-1) is an inhibitory receptor expressed by an activated CD4+ and CD8+ T cells. When PD-L1 binds its inhibitory receptor PD-1 on the surface of T cells, T-cell activation is downregulated, which in turn reduces the local anti-tumor immune response [130]. PD-L1 positivity, which had not been previously defined in anal cancer, was found to be high (74% of screened patients) in one study [131]. In addition, the PD-1 pathway may mediate pathogen-specific and cancer-specific CD8+ T-cell dysfunction in chronic HIV infection [132].

Recently, several monoclonal antibodies have been developed that block the binding of PD-1 to PD-L1. Nivolumab is a humanized monoclonal antibody against PD-1 that disrupts this interaction and enables T-cell cytotoxicity. It has activity as a monotherapy in multiple advanced solid cancers, and has been approved by the Food and Drugs Administration for treatment of head and neck cancer, melanoma, non-small-cell lung cancer, and renal cell carcinoma [133–136]. Recently Eng et al. conducted a multicenter phase 2 study of nivolumab for patients with previously treated metastatic anal cancer [137]. Among the 37 patients who received at least one dose of nivolumab, 9 (24%) had either complete or partial response with a side effect profile similar to other trials. In KEYNOTE-028, pembrolizumab had a response rate of 17% (95% CI, 5-37%) and 10 (42%) had confirmed stable disease, for a disease control rate of 58% [131]. The Eastern Cooperative Oncology Group (ECOG) and the American College of Radiology Imaging Network (ACRIN) are planning a trial (ECOG-ACRIN 2165) to determine if there is benefit for adjuvant nivolumab after anal cancer patients have completed standard-of-care CRT. There are also 2 ongoing clinical trials using either nivolumab or pembrolizumab in HIV-infected patients with advanced solid tumors (NCT 02408861 and NCT 02595866). Assuming that HIV-infected patients maintain adequate CD4+ T-cell counts under careful clinical observation with an infectious diseases specialist, HIV-infected patients be considered for participation in future clinical trials with immune checkpoint inhibitors so that the safety and activity of these drugs can be studied further in a larger series.

7.8 HIV-Related Treatment Issues in Treatment of Anal Cancer

For HIV-infected patients with cancer, concurrent treatment with ART and anti-cancer therapy is increasingly common [138]. Extrapolating from treatment studies of HIV-associated lymphomas, combining ART and chemotherapy is tolerable in most cases and is not associated with life-threatening toxic effects, similar to those observed in patients with cancer without HIV infection [139–141]. In HIV-infected patients receiving chemotherapy for cancer, most modern ART regimens can be safely implemented to suppress viral replication to undetectable levels. Less is known about the interaction between ART and new anti-cancer agents such as immune checkpoint inhibitors. Recent guidelines state that integrase strand transfer inhibitor (INSTI)-based regimens may be preferred in cancer patients receiving anti-cancer treatment because of their more favorable drug interaction profile [142]. Zidovudine is often avoided because it commonly causes nausea, anemia, and myelosuppression, which can be potentiated by chemotherapy [143]. Tenofovir may lead to renal dysfunction, particularly in patients receiving other nephrotoxic drugs such as cisplatin. For protease inhibitors (PIs) and non-nucleoside reverse transcriptase inhibitors (NNRTIs), the potential for drugdrug interactions is high because these agents are extensively metabolized by and induce or inhibit the CYP450 system, which mediates the metabolism of more than half of all drugs that undergo hepatic metabolism [144]. PIs also may act as radio-sensitizers by inhibiting proteasome function and causing apoptosis [145], thereby potentially increasing both tumor control and toxicity.

In HIV-infected cancer patients, CD4 count, HIV-1 RNA level, and HAART adherence should be monitored [142]. A low CD4 count might not necessarily reflect suboptimal immunologic response to ART because CD4 counts can be affected by both the malignancies and/or their treatment. Kesselring et al. showed that in the 6 months prior to diagnosis of NADM in HIV-infected patients, a significant CD4 decline could be seen [146]. When administered to immunocompetent individuals, chemotherapy causes a profound decline in CD4 cell counts and a more modest fall in CD8 T cells [147], while the natural killer cell population is relatively spared [148]. The most striking finding from studies in immunocompetent patients is the protracted amount of time needed for recovery of the CD4 cells. In people with HIV there is concern that prolonged CD4 suppression induced by chemotherapy may have a major adverse influence on the course of HIV disease even when suppression of HIV viremia is maintained with ART. Furthermore, although both chemotherapy and radiotherapy lead to decline in CD4 cell count, the effect of radiotherapy on CD4 is more prolonged and significant, whereas chemotherapy does not influence CD4 cell count recovery [149]. Since the major source of bone marrow is radiated, the CD4+ T-cell count may fall severely and may not readily recover to pretreatment values. Scatter of radiation may also affect the intestinal tract, which is also an important compartment for CD4+ T cells [150, 151]. In a single institution study of 60 HIV-infected patients with anal cancer, those who received CRT with effective HAART had higher pretreatment CD4 compared those who received CRT without HAART. However, median CD4 at 3 months after anal cancer diagnosis was more than 50% lower than their pretreatment value, and their median CD4 at 12 months after diagnosis was only 200 cells/mm³ [103].

CRT potentiates the neutropenia associated with HIV/AIDS. For anal cancer patients who receive pelvic radiation, myelosuppression may be especially severe. Granulocyte colony-stimulating factors (GCSF) can reduce the effects of chemotherapy-induced neutropenia, and is often liberally used by oncologists when treating cancer in HIV-infected patients. The caveat is that GCSF should not be given concurrently with CRT due to concern for worsening hematologic toxicity [152]. The immunological deterioration following CRT may have an impact on the clinical course of the HIV disease and may be associated with an increased risk of opportunistic infections and diseases. One group reported that 4 patients (11%) developed opportunistic illnesses such as candida esophagitis during long-term follow-up of their anal cancer [153]. Therefore, antibiotic prophylaxis should be implemented to further reduce infectious complications during the treatment of HIV/AIDS-associated anal cancers based on careful assessment of risk.

The guidelines for prophylaxis against opportunistic infections in patients with HIV take into account risk and history of exposure, as well as the status of the immune system, particularly as reflected by the CD4 count, the receipt of and duration of HAART, and the response to HAART [154]. The guidelines for preventing of infections in patients with cancer are centered on the degree and duration of neutropenia, a key risk factor for infection [155]. Both the HIV-related and cancer-related guidelines need to be considered to prevent opportunistic infections in HIV-infected patients with anal cancer.

7.9 Conclusion

As ART helps HIV-infected individuals live longer but without producing a discernible effect on HSIL progression, the impact of HPV on this population can only be expected to increase. HIV-infected patients are often not able to clear HPV infection, and anal HSIL remains common. Guidelines to establish anal cancer screening and management programs as standard of care will await the results of randomized controlled trials of treatment of HSIL to reduce the risk of subsequent cancer. HIV-infected individuals with anal cancer can receive similar treatment as HIV-negative individuals and achieve similar outcomes, but they may require more careful monitoring for toxicities. HIV-infected anal cancer patients should be included in clinical trials of novel cancer drugs such as immune checkpoint inhibitors.

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Merkel Cell Carcinoma in the HIV-1/AIDS Patient

8

Robert H. Goldstein and James A. DeCaprio

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Abstract

Merkel cell carcinoma (MCC) is a highly aggressive, primary neuroendocrine cancer of the skin. The majority of MCC cases are associated with the recently discovered Merkel cell polyomavirus (MCPvV), while the remaining are caused by ultraviolet (UV) light-induced mutations from excessive sunlight exposure. The risk of developing MCC is much higher in the white population relative to all other races. Approximately 10% of all patients with MCC have some form of immunosuppression including HIV-1/AIDS, chronic inflammatory conditions, solid organ transplantation, or hematological malignancies. The age of onset of MCC is lower and the mortality is higher in immunosuppressed individuals than in immune-competent patients. It is plausible that HIV-1/AIDS predisposes to virus-positive MCC, but it should be noted that HIV-1/AIDS increases the risk for developing of UV-induced skin cancers such as cutaneous squamous cell carcinoma and basal cell carcinoma and therefore may also increase the risk for virus-negative MCC. Surgical management is considered standard of care for localized Merkel cell carcinoma with current recommendations advising a wide local excision of the lesion. Most international guidelines support the use of local adjuvant radiotherapy coupled with tumor staging to improve the frequency of cure. For advanced, metastatic, and recurrent MCC, checkpoint blockade inhibitors targeting PD-1 and PD-L1 have shown remarkable activity including durable long-term. MCC in patients living with HIV-1/AIDS are treated with similar modalities as HIV-1 uninfected individuals with MCC.

8.1 Introduction

Merkel cell carcinoma (MCC) is a highly aggressive, primary neuroendocrine cancer of the skin. The majority of MCC cases are associated with the recently discovered Merkel cell polyomavirus (MCPyV), while the remaining are caused by ultraviolet (UV)-light-induced mutations from excessive sunlight exposure. Significantly, immunosuppression from HIV-1 infection, chronic inflammatory conditions, solid-organ transplantation, and hematological malignancies increase the risk of developing MCC. Although MCC is 40 times less common than malignant melanoma, MCC has a dramatically lower survival probability than melanoma, rendering MCC the most lethal skin cancer. Epidemiologic data indicate that there are approximately 2500 new MCC cases per year within the USA, and approximately 1000 of these patients will die from their disease [1]. This high mortality rate is largely due to the fact that until recently none of the standard therapeutic interventions were able to improve the overall survival of patients suffering from the metastatic disease. Since several lines of evidence indicate the outstanding immunogenicity of MCC, immune modulating treatment strategies are particularly attractive.

8.2 Initial Description of Malignancy

MCC was first described by Cyril Toker in 1972 as a trabecular carcinoma of the skin with carcinoid features [2]. Later, using an electron microscope, Toker reported the presence of membrane-bound granules containing dense cores within the tumor cells, a feature common to other tumors of neural crest origin. He also noted that the neurosecretory granules in MCC tumor cells were similar in appearance to those found in normal Merkel cells [3]. The name of the cancer was eventually changed from trabecular carcinoma to Merkel cell carcinoma to reflect the similarity to normal Merkel cells [4, 5].

Normal Merkel cells are the mechano-receptors for a gentle touch and form synapses with afferent nerves [6]. Normal Merkel cells are located in the basal layer of the skin epithelium and in hair follicles. In contrast, nearly all MCC tumors present in the dermal layer of the skin. Immunohistochemistry (IHC) staining was recognized to be useful in distinguishing MCC from other neuroendocrine tumors such as small-cell lung carcinoma (SCLC). In particular, IHC staining for cytokeratin 20 (CK20, KRT20) can distinguish MCC from other skin tumors and can readily detect normal Merkel cells in the basal layer of the skin epidermis and hair follicle [7]. MCC is also frequently positive for additional neuroendocrine markers including neuron cell adhesion molecule 1 (NCAM1; CD56), chromogranin A (CHGA), and synaptophysin (SYP). Staining for TTF-1 (Thyroid Transcription Factor-1, NKX2-1, and NK2 homeobox 1) is rarely positive in MCC and is used to distinguish MCC from SCLC [8]. MCC can present as a pure neuroendocrine tumor or combined neuroendocrine tumors with nonendocrine features such as squamous cell carcinoma [9].

8.3 MCC and Association with Immunosuppression

While it was recognized that individuals with hematologic malignancies that developed MCC had a poor prognosis in the early 1990s [10], it was not until 1997 when a direct link between immunosuppression was postulated [11]. At that time, a correlation was noted between medically induced immunosuppression with aza-thioprine and cyclosporine and the development and rapid spread of MCC. Early reports highlighted a prolonged period of immunosuppression prior to MCC development.

Around this same time, the incidence of new infections and deaths from the human immunodeficiency virus (HIV-1) were peaking [12]. HIV-1 was noted to be the primary cause of death for men between the ages of 25 and 44 [12]. Most deaths were the result of profound T-cell deficiencies resulting in the acquired immune deficiency syndrome (AIDS), defined by the diagnosis of an opportunistic infection or an AIDS-defining malignancy. The AIDS-defining malignancies included Kaposi's sarcoma, driven by human herpesvirus 8 (HHV-8) also known as Kaposi's sarcoma herpesvirus (KSHV), non-Hodgkin lymphoma, often triggered by

Epstein–Barr virus (EBV), and cervical cancer, resulting from human papillomavirus (HPV). Of note, MCC was never categorized as an AIDS-defining malignancy likely due to the rarity of the malignancy even in individuals with profound immunosuppression.

Despite a dramatically shortened life expectancy, HIV-positive individuals were noted to have MCC as early as 1992 [13]. Using population-based registries of cancer and AIDS, Eric Engel and colleagues were able to determine the relative risk of people with AIDS developing Merkel cell carcinoma to be 13.4 [14]. Additional case reports of HIV-1 associated MCC were described over the next decade [15–21]. Following the introduction of effective antiviral therapy for HIV, it was noted that the prognosis of Merkel cell carcinoma was improved with effective treatment of HIV, suggesting a link with improvement in overall immune function [22].

8.4 Clinical Presentation

An important study assessed the typical presentation of MCC and defined the AEIOU features: Asymptomatic/lack of tenderness, Expanding rapidly, Immune suppression, Older than 50 years, and Ultraviolet-exposed site on a person with fair skin [23]. Most MCC tumors present as asymptomatic pink or red lesion are thought to be benign despite the rapid growth in the prior 3 months. Perhaps reflecting an altered immune state, chronic lymphocytic leukemia (CLL) is highly associated with MCC. This study noted that for several patients with newly diagnosed MCC, the immune-suppressed state (AIDS or CLL) was discovered as part of the MCC workup and recommended that workup for immunosuppression be considered in patients presenting with MCC [23]. The risk of developing MCC is increased in patients with chronic inflammatory disorders such as rheumatoid arthritis or medically induced immunosuppression for solid-organ transplantation [11, 24–26].

Age is a significant risk factor for MCC with 90% of patients over 50 years of age (YOA) and nearly half older than 75 YOA [27]. MCC incidence increases with age, from 0.1 to 1.0 to 9.8 (per 100,000 person-years) between age groups 40 and 44, 60and 64, and 85+ years, respectively [1]. A variety of institutional-based and national cancer registry studies have reported the age at diagnosis for MCC to be 69 years and higher. A report analyzing 6908 MCC cases in the National Cancer Database (NCDB), a national tumor registry for the USA, found the median age at diagnosis was 76 years (range: 20–90 years) [28]. Notably, the age of onset of MCC is lower and the mortality is higher in immunosuppressed individuals than in immune-competent patients [29].

Notably, skin pigmentation seems to protect against MCC, as black, Asian and Hispanic individuals have a considerably lower risk of MCC than white populations. The risk of developing MCC is much higher in the white population relative to all other races. A recent survey of SEER data from 1973 to 2006 identified 3870 cases of MCC. Almost 95% of all MCC cases were identified in the white

population while only 1% in the black population [30]. Additional evidence for the risk of UV exposure arises from the frequent occurrence of MCC in elderly patients on the chronically sun-exposed skin, the increased MCC incidence in individuals treated with UVA photochemotherapy and the observation that many patients with MCC have a history of other skin cancers associated with sun exposure. A history of melanoma is also linked with a threefold greater risk of MCC [31]. It should be noted that despite the very high incidence of HIV-1 infection in sub-Saharan Africa, there are few if any reports of MCC. While there could be many reasons for the few reports of MCC, it is possible that dark skin color is highly protective against UV-induced skin damage and the development of MCC even in the presence of profound immunosuppression.

While there are limited data on HIV-infected individuals with Merkel cell carcinoma, the clinical presentation appears different than that seen with HIV-uninfected individuals. In limited case series, HIV-infected individuals are more likely to have Merkel cell carcinomas of non-head and neck skin and to be younger at the age of diagnosis (46 vs. 69 YOA in HIV-uninfected individuals) [16, 19, 21]. Case reports and case series suggest that Merkel cell carcinoma is more aggressive in the context of HIV infection, although there are no large databases that control for HIV viral load, ART, surgical management and chemotherapeutics [15, 16, 19, 21].

8.5 Isolation of Merkel Cell Polyomavirus

Given the increased risk by immunosuppression for developing MCC, Huichen Feng and Masahiro Shuda in the laboratory of Yuan Chang and Patrick Moore began a search for a pathogenic cause for MCC. They performed whole transcriptome sequencing of several MCC tumors and searched for pathogens by first subtracting all human genes from their analysis. In the remaining sequences, novel transcripts distantly related to polyomaviruses were detected in an MCC tumor. Complete sequencing of the viral genome led to the determination that it corresponded to a new human polyomavirus that they called Merkel cell polyomavirus (MCPyV) [32]. They determined that MCPyV DNA was clonally integrated into the genome of MCC tumor cells, when they observed an identical Southern blot integration pattern for a primary tumor and a metastatic tumor involving a lymph node from the same patient. They detected MCPyV by PCR and Southern blotting in 8 of 10 tested MCC tumors, indicating that most but not all MCC tumors contained MCPyV. These results supported the model that MCPyV contributed to MCC in a manner similar to human papillomavirus (HPV) in cancer [33]. It should be noted that Chang and Moore had discovered KSHV by discerning differences in DNA sequences present in AIDS patients and Kaposi's sarcoma tumor tissue compared to normal tissue from non-AIDS patients [34].

When MCPyV was first identified in MCC tumor specimens in 2008, it was only the fifth human polyomavirus to be identified at that time [32]. Its discovery quickly led to the realization that although MCPyV was likely to be causal in MCC, it was a typical polyomavirus, infecting most people at an early age and persisting as a lifelong infection. Although MCPyV can cause the highly aggressive MCC, it normally produces a lifelong, asymptomatic, and innocuous infection in most people. Primary infection with MCPyV does not cause any discernable signs or symptoms [35]. What has come into sharper focus is that although some of the now 14 human polyomaviruses can cause exceptionally catastrophic diseases in immunocompromised patients, MCPyV is the only one clearly associated with cancer [36, 37]. Furthermore, a variety of immunosuppressed conditions including AIDS can significantly increase the risk of developing MCC.

8.6 Polyomaviruses

The first polyomavirus was discovered in 1953, when an infectious agent was reported to cause salivary gland cancer in laboratory mice [38]. The cancer-causing agent was identified as a non-enveloped DNA virus that was named polyomavirus from the Greek poly (many) and oma (tumor). Polyomaviruses are small, non-enveloped, and double-stranded DNA viruses. The circular viral genome is approximately 5200 base pairs and encodes 5–8 viral proteins.

MCPyV is one of the 14 distinct human polyomaviruses species [39, 40]. MCPyV encodes four early genes: Large T antigen (LT); 57kT, an alternatively spliced form of LT; small T antigen (ST); and ALTO (Alternative LT open reading frame) [32, 41]. The late region encodes the major viral capsid protein VP1 and the minor capsid protein VP2 [42].

MCPyV is part of a large group of polyomaviruses, many of which are implicated in human disease. Of these, MCPyV, BK polyomavirus (BKPyV), and JC polyomavirus (JCPyV) are known to have oncogenic potential in cell culture and animal models. BKPyV was first isolated in 1971 from an immunosuppressed renal transplant recipient [43]. Most adults are seropositive (>80%) for BKPyV after asymptomatic childhood infection, but reactivation with complications can be seen in the immunosuppressed population, including those with HIV [44, 45]. In healthy hosts, it is postulated that BKPyV infection occurs via respiratory droplets with infection occurring in tonsillar tissue and spreading to blood mononuclear cells and then disseminating to secondary sites, including the kidney, brain, and lymph nodes [46].

BK polyomavirus (BKPyV) can cause polyomavirus-associated nephropathy in renal transplant recipients and hemorrhagic cystitis in hematopoietic stem cell transplant recipients treated with immunosuppressive therapy [43]. Kidney transplant recipients are most likely to experience reactivation of BKPyV and may develop BKPyV-associated nephropathy and subsequent allograft loss [47], while hematopoietic stem cell transplant recipients more frequently develop post-engraftment hemorrhagic cystitis with BKPyV reactivation [48].

While reactivation of BKPyV in renal transplant and hematopoietic stem cell transplant recipients is well described, reactivation in patients immunosuppressed from HIV is not well understood [49]. BKPyV has been isolated from the urine, blood, and cerebrospinal fluid of HIV-infected individuals and varied manifestations of the disease have been reported, which include hemorrhagic cystitis, renal failure, encephalitis, retinitis, and pulmonary infection [50–52]. BKPyV viruria is seen in a large percentage of HIV-infected individuals compared to HIV-negative controls (57.7% vs. 21.7%) and appears independent of CD4 count or HIV control [51].

JCPyV was also discovered in 1971 after isolation of viral particles from a patient with Hodgkin's disease, who developed progressive multifocal leukoencephalopathy (PML), the most common manifestation of JCPyV pathology [53]. Patients with PML often present with progressive, focal neurologic deficits that may advance to seizures and dementia. Since then, JCPyV has been implicated in granule cell neuronopathy, encephalitis, meningitis, and nephropathy [54] and complications of infection are seen in patients on monoclonal antibodies including natalizumab, efalizumab, and rituximab [55].

Before the introduction of effective antiretroviral therapy (ART) up to 7% of HIV-infected individuals developed PML, but the incidence has substantially decreased since 2000; PML is now more frequently associated with immunosuppressive therapy for multiple sclerosis [56]. Outcomes remain poor for patients with PML and HIV infection, with frequent persistence of neurologic deficits and cognitive decline and, while the introduction of effective ART has decreased the incidence of disease, only about 50% of people with PML and HIV have improvement in outcomes with ART initiation [57].

Human polyomavirus 6 (HPyV6), HPyV7, and Trichodysplasia spinulosa-associated polyomavirus (TSPyV) have been detected on the skin of healthy volunteers [58, 59]. In severely immunocompromised patients, HPyV6 and HPyV7 can cause pruritic dermatoses characterized by hyperproliferation of dyskeratotic (with premature or altered differentiation) keratinocytes that result in brownish skin plaques [60]. TSPyV can cause a hyperkeratotic folliculitis referred to as Trichodysplasia spinulosa in solid-organ transplant recipients [59, 61].

Polyomavirus replication occurs within the cellular nucleus and is dependent on LT. LT forms a double hexamer centered on the viral *origin* of replication. LT functions to melt and unwind the double-stranded viral DNA and recruit cellular DNA polymerases and other host factors to replicate the viral DNA. The replicated viral genome is packaged within the viral capsid comprised of VP1 and VP2. High levels of virus production lead to the lytic destruction of the host cell.

It is not known what cells normally support MCPyV replication since MCPyV LT expression has not yet been detected by immunohistochemistry (IHC) in any normal human tissue. If healthy skin supports MCPyV replication, then cells within hair follicles infected with TSPyV in the Trichodysplasia spinulosa syndrome or in keratinocytes with HPyV6 and HPyV7 in dyskeratotic dermatoses could potentially also support MCPyV replication. Alternatively, a recent report demonstrated that cultures of human dermal fibroblasts could support MCPyV replication [62]. It should be noted that papillomavirus infection is dependent on

breaks in the intact epithelium permitting access of the papillomavirus to the basement membrane. A similar mechanism has not been described for MCPyV or any other polyomavirus.

Evidence for persistent infection by a specific polyomavirus is reflected in serum antibodies against the corresponding polyomavirus coat protein VP1. The polyomavirus virion is comprised of 72 pentamers of VP1 together with VP2 on the inner surface of each VP1 pentamer [63]. When expressed in bacteria or yeast, VP1 will spontaneously form pentamers or viruslike particles that generate a useful capture antigen to detect antibodies in serum specific for each human polyomavirus [64, 65].

Based on the VP1 serology assay, it has been inferred that the initial exposure to MCPyV likely occurs in early childhood because the seroprevalence is lower in children and higher in adults. An intriguing study from Cameroon examined serology against the MCPyV VP1 pentamer in 196 children from birth to 5 years of age (YOA) [66]. Significant titers against MCPyV were detected in newborns but these titers gradually decreased to undetectable levels by 16 months of age. Maternal-derived antibodies likely account for the seropositivity in newborns that gradually declined during the first year of life. The maternally derived antibodies were likely to be effective in preventing primary infection during infancy. By 18 months of age when the maternal antibodies were no longer present, children were susceptible to de novo infection and could mount an antibody response of their own. Beginning at 18 months of age, an increasing fraction of children became positive until approximately 80% tested positive by 5 YOA [66]. In a separate cohort from the same study, the strongest correlation of seropositivity was observed between siblings of similar ages suggesting that siblings likely were exposed to MCPyV at the same time and by each other. Similar results were reported from a population study in Australia that investigated the serology of several cutaneous polyomaviruses including MCPyV, HPyV6, HPyV7, and TSPyV as well as BKPyV. Children below 6 months displayed seropositivity rates for all viruses studied comparable to that found in adults with rates decreasing after 6 months of age then starting to increase by 2-3 YOA and continuing to increase with age [67].

Several additional studies support the increasing risk with age for exposure and persistent infection by MCPyV and other polyomaviruses. Seroprevalence of 10 human polyomaviruses was assessed from a population-based skin cancer case–control study conducted in New Hampshire, USA [68]. The overall seropositivity for MCPyV in this study was 70.4%. Of note, all participants were seropositive for at least one polyomavirus and the overall study population had evidence for infection with a mean of 7.3 different polyomaviruses. A study of five polyomaviruses conducted in Italy with participants aged 1–100 YOA found that the seroprevalence for MCPyV rapidly increased with age, from 41.7% in children age 1–4 YOA to 87.6% in 15–19 YOA and remained relatively frequent in adulthood (79.0–96.2%) [69].

Antibodies to MCPyV LT and ST are usually not present in healthy individuals, but can be detected in patients with virus-positive MCC. Antibodies to the common region of MCPyV ST and LT were present in half of the patients with MCC and in less than 1% of healthy individuals [70]. Importantly, antibody titers to MCPyV T antigens decrease upon definitive treatment of the MCC and can be used as a biomarker to follow disease status [70]. Of note, MCC patients often have higher titers of antibodies to VP1 than normal healthy individuals [71].

8.7 Virus-Positive and Virus-Negative MCC

MCC can be distinguished by the presence or absence of integrated MCPyV DNA and viral mRNA and oncoprotein expression. Virus-positive MCC contains integrated copies of the MCPyV DNA. In all cases sequenced to date, the integrated MCPyV DNA has undergone mutations that truncate LT that render it unable to replicate viral DNA. Virus-positive MCC expresses the truncated LT and an intact ST and typically does not express the viral coat proteins VP1 and VP2. Virus-negative MCC does not contain MCPyV DNA and does not express LT or ST. In addition, next-generation DNA sequencing studies of MCC tumors have revealed striking differences in the genomes of virus-positive and virus-negative MCC. Virus-positive MCC typically contains very few somatic mutations and copy number alterations. In contrast, virus-negative MCC shows a very high frequency of DNA mutations that are associated with UV damage with point substitution mutations of cytosine to thymidine (C > T) that occur in the context of dipyrimidines, C[C > T]N and N[C > T]C, typically seen in other sun-exposure-associated skin cancers such as melanoma, basal cell carcinoma, and squamous cell carcinoma [72–77]. While lifelong exposure to UV radiation may be required to introduce all the mutations found in virus-negative tumor DNA, it is less clear why virus-positive MCC also typically occurs in the elderly. Of note, UV exposure could also play a part in viral carcinogenesis by causing local immunosuppression [78].

In a study of 282 cases of MCC, where the presence of virus was established by IHC with two different monoclonal antibodies against the MCPyV Large T antigen as well as PCR detection of viral DNA, the median age at diagnosis was 71 years for both virus-negative and virus-positive MCC [79]. Another study used RNA-fluorescence in situ hybridization (FISH) to detect MCPyV T antigen expression in MCC. This approach yielded a highly accurate determination of whether the MCC was a virus-positive or a virus-negative MCC, detecting MCPyV in 37 of 75 cases (49.3%). They observed that MCC tumors from younger patients and female patients were twice as likely to be virus-positive compared to older male patients [80].

The contrasting mutational profile between virus-positive and virus-negative MCC may provide clues into the oncogenic events necessary to generate the tumor. An important feature of virus-positive MCC is that the tumor maintains expression of LT and ST [32]. In all cases reported to date, the truncated LT preserves the N-terminal J domain and RB-binding (LXCXE) motif but loses the DNA-binding and helicase domains as well as a C-terminal growth inhibitory domain (Fig. 8.1a) [81–83]. Some MCC tumors express a truncated LT that also retains the nuclear

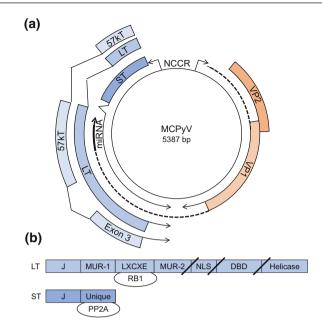


Fig. 8.1 a Circular map of MCPyV includes early region genes for LT, ST, and 57 kT and late region for VP1, VP2, and miRNA. The non-coding control region (NCCR) contains a bidirectional promoter and the viral origin of replication. Exon 3 of 57 kT is depicted and is in the same reading frame as LT. ALTO is not depicted. **b** Linear maps of LT and ST. LT and ST share an N-terminal J domain. LT contains the LXCXE or RB-binding motif, MCPyV-unique region (MUR)-1 and -2, nuclear localization signal (NLS), DNA-binding domain (DBD) and helicase domain. In MCC, mutations in LT result in truncations after the LXCXE or NLS and depicted by slashes. ST contains a unique region not shared with LT that binds to protein phosphatase 2A (PP2A)

localization signal (NLS) in addition to the J domain and LXCXE motif [84, 85]. The truncation of LT is probably required for oncogenesis for several reasons. The full-length LT is capable of binding to the viral origin of replication, the first step in replication of the virus that requires the DNA-binding and helicase domains of LT. When full-length MCPyV LT is expressed in virus-positive MCC cell lines, it binds to integrated copies of the MCPyV *origin of replication* and induces in situ replication of the integrated viral DNA leading to a DNA-damage response [81, 83]. This DNA-damage process likely selects against any tumor that expresses full-length LT.

Virus-positive MCC tumors also express MCPyV ST. ST shares the N-terminal J domain with LT and contains a unique region that can bind to protein phosphatase 2A (PP2A) [86] (Fig. 8.1b). MCPyV ST has an additional domain distinct from PP2A binding known as the LT stabilizing domain or LSD. This unique region of MCPyV ST is not well conserved in ST from other polyomaviruses. The LSD motif in ST functions to increase the levels of MCPyV LT and contributes to increased viral replication at least in part by increasing LT levels [87]. Evidence for a role of MCPyV ST in MCPyV replication includes its ability to translocate to viral DNA

replication centers within the nucleus in the presence of the viral origin and LT [88]. The LT stabilizing activity may reflect ST's ability to perturb the function of FBXW7, a component of the cullin-RING ligase family of ubiquitin ligases. The ST LSD domain also binds to CDC20 and CDH1, substrate recognition components of the anaphase-promoting complex [89].

MCPyV ST can increase levels of 4EBP1 phosphorylation that, in turn, promotes increased protein translation [90]. Significantly, the PP2A-binding activity of MCPyV ST was not required to increase the levels of phospho-4EBP1. MCPyV ST binding to CDC20 may contribute to increased 4EBP1 phosphorylation [89]. MCPyV ST binds to L-Myc (MYCL), a member of the Myc family of oncogenic transcription factors, and recruits L-Myc to the Tip60/p400 (KAT5/EP400) chromatin remodeling complex [91]. The ST-MYCL-Tip60/p400 complex has potent transcriptional activation activity that likely contributes to the MCPyV oncogenic activity [92]. Expression of ST can promote significant changes in gene expression including induction of pro-glycolytic genes and can induce aerobic glycolysis when expressed in fibroblasts [92]. Whether the ability of ST to induce a Warburg effect in cells is linked to the LSD motif, PP2A binding, or 4EBP1 phosphorylation is not known.

Although its exact molecular functions are not well understood, MCPyV ST has strong oncogenic activity. For example, ST alone can transform Rat-1 fibroblasts [90] and increase cell motility [93]. ST can cooperate with truncated LT to transform human fibroblasts [82]. Combined expression of MCPyV ST with truncated LT in mice keratinocytes led to hyperplasia, hyperkeratosis, and acanthosis of the skin as well as papillomas [94]. ST can induce tumor formation when expressed in mice as a sole transgene [95, 96]. Because of the presence of the integrated MCPyV genome in virus-positive MCC tumors and the oncogenic activities of ST and LT, MCPyV has been classified by the World Health Organization-International Agency for Research on Cancer as probably carcinogenic to humans (Group 2A) [97].

In addition to the expression of MCPyV ST and truncated LT, virus-positive MCC tumors often contain additional mutations in genes that activate the phospho-inositol 3 kinase (PI3 K) pathway such as gain-of-function mutations in PIK3CA or loss-of-function mutations in PTEN and TSC1. Some of these PI3K mutations are also seen in virus-negative tumors. In contrast, most virus-negative MCC contain mutations involving numerous tumor suppressor genes and oncogenes including RB1, TP53, NOTCH, chromatin-modifying enzymes such as KMT2A, KMT2C, KMT2D, ARID1A, ARID1B, SMARCA4, and KAT6A, as well as genes involved in DNA-damage pathways including ATM, MSH2, BRCA1, and BRCA2 [73, 75, 77]. These observations indicate that the MCPyV viral oncogenes contribute the major oncogenic component to virus-positive MCC. In contrast, viral-negative MCC contains a large number of mutations in both oncogenes and tumor suppressor genes.

Despite the significant differences in the tumor mutational burden (TMB) between virus-positive and virus-negative MCC, there are few phenotypic differences in the two types of MCC. Based on histopathological features alone, two subtypes of MCC can be recognized: pure neuroendocrine tumors and combined tumors with neuroendocrine and divergent (mainly squamous) differentiation. Most pure tumors are MCPyV-positive and CK20-positive while combined tumors are uniformly MCPyV-negative and occasionally CK-20 negative [98, 99]. Virus-negative MCC can also present at pure neuroendocrine-type MCC.

What percentage of MCC tumors contain integrated MCPyV is not clear although 80% is a reasonable estimate based on several reports. The original study that identified MCPvV in MCC used Southern blotting with ³²P-phosphate labeled viral probe to confirm MCPyV DNA integration into the tumor genome [32]. Very few, if any, studies since then have used radiolabeled Southern blotting to detect integrated viral DNA in tumor DNA. Another approach used in the original study was PCR amplification of viral DNA from tumor DNA. However, PCR amplification of viral DNA is not always reliable for several reasons. The viral DNA has undergone multiple mutations and rearrangements during integration that at a minimum result in truncation of LT and can reduce primer recognition [81]. In addition, the integrated viral DNA may have undergone amplification that could introduce additional mutations to the T antigen genes [76]. There may even be some strain differences in MCPyV common to different parts of the world that could impede detection of integrated virus by PCR [100]. Another challenge to PCR detection arises since most studies use DNA isolated from formalin-fixed paraffin-embedded tumor sections that can result in degradation of DNA. Given the presence of UV-induced DNA damage in virus-negative MCC and integration of MCPyV DNA in virus-positive MCC, it is likely that next-generation sequencing of MCC will serve as the most accurate approach to determine the type of MCC tumor.

While genomic sequencing has revealed that virus-negative MCC has evidence for a high degree of UV damage, this does not exclude a role for UV exposure in the development of virus-positive MCC. The relative lack of UV-damaged DNA in virus-positive MCC indicates that the etiologies are clearly different, suggesting that the precursor to virus-negative MCC was a recipient of lifelong intense UV exposure while the virus-positive MCC were not exposed to the same degree or for as long. However, UV exposure could affect the immune response to virus-negative and virus-positive MCC etiology. The effect of UV radiation in the pathogenesis of MCC has been suggested to be more likely a result of immune modulation than direct effects on DNA itself [101]. It was reported that the early promoter of MCPyV responds to UV exposure and that levels of ST mRNA increased in UV exposed skin from a healthy human volunteer [102].

8.8 Does AIDS Increase the Risk of Virus-Positive or Virus-Negative MCC?

The AIDS-defining cancers of Kaposi's sarcoma, aggressive B-cell lymphomas, and invasive cervical cancer are each associated with human DNA tumor viruses. Similarly, hepatitis B virus (HBV) and hepatitis C virus (HCV) are known to drive hepatocellular carcinoma, which is hastened in the presence of HIV [103, 104].

In contrast, Merkel cell carcinoma caused by MCPyV is not considered an AIDS-defining malignancy. MCPyV DNA loads are significantly higher in HIV-positive men with poorly controlled HIV infection compared to those with well-controlled HIV viral loads [105], but the progression of MCPyV is not known to be directly impacted by the presence of HIV, but rather by the degree of overall immunosuppression [17]. The average time from HIV diagnosis to the diagnosis of Merkel cell carcinoma, 9.5 years, is significantly longer than other AIDS-associated malignancies [105].

Given the association of AIDS-defining malignancies with KHSV, EBV, and HPV, it is a reasonable assumption that AIDS-associated MCC is MCPyV-positive. However, there have not been any molecular studies that have directly determined the virus status in AIDS-associated MCC. Given the association of MCPyV with MCC and the increased risk of HIV-1/AIDS patients developing MCC, it is plausible that MCC is virus-positive. However, it is also plausible that AIDS increases the risk for virus-negative MCC similar to the increased risk for developing of the non-melanoma skin cancers (NMSC) or keratinocyte carcinomas such as cutaneous squamous cell carcinoma and basal cell carcinoma [106–109]. Low CD4 counts and high HIV-1 viral loads have been associated with a twofold increased risk in squamous cell carcinoma [106]. Until more definitive sequencing studies of AIDS-associated MCC, it cannot be stated with certainty that HIV-1 infected individuals have an increased risk of developing virus-positive or virus-negative MCC.

In another example, organ transplant recipients have a lifelong requirement for immunosuppression and are at increased risk for skin cancers. Skin cancers account for 40–50% of all posttransplant malignancies with squamous cell carcinoma (SCC) and basal cell carcinoma (BCC) comprising 90–95% of these skin cancers plus Kaposi's sarcoma, malignant melanoma, and MCC [110]. Importantly, some of the therapeutics used in organ transplantation carry an increased risk for developing skin cancers. The calcineurin inhibitor, cyclosporine, reduces sensitivity to UV-induced apoptosis in keratinocytes. Azathioprine can sensitize cells to UV-induced damage through the incorporation of a metabolite into DNA, which generates reactive oxygen species upon exposure to UV light [111]. In patients with rheumatoid arthritis, methotrexate and anti-TNF drugs were associated with an increased risk of NMSC [112]. The increased risk for skin cancers in organ transplant recipients and rheumatoid arthritis is associated with UV-light-induced mutagenesis for SCC and BCC. This increased risk may also extend to virus-negative MCC.

8.9 Therapy of MCC

Surgical management is considered a standard of care for localized Merkel cell carcinoma with current recommendations advising a wide local excision of the lesion with 1–2 cm of peripheral and deep margins coupled with a sentinel lymph

node biopsy given the frequency of metastatic disease at first presentation. Most international guidelines support the use of local adjuvant radiotherapy coupled with tumor staging to improve the frequency of cure [27]. For advanced, metastatic and recurrent MCC, checkpoint blockade inhibitors targeting PD-1 and PD-L1 have shown remarkable activity including durable long-term responses [113, 114]. Importantly, both virus-negative MCC and virus-positive MCC have shown high response rates to checkpoint blockade inhibitors. It is likely that the virus-negative MCC have a high level of neoantigens resulting from the extensive UV-induced mutational rate similar to that observed in melanoma. The high response rate in virus-positive MCC may reflect the presence of the viral tumor antigens although definitive evidence for this model has not been reported.

There are no randomized control trials of Merkel cell carcinoma treatment in the HIV-infected population. In the absence of data to direct therapy, most HIV-infected individuals with Merkel cell carcinoma are treated with similar modalities as HIV-uninfected individuals with Merkel cell carcinoma. These include a combination surgery, radiation therapy, chemotherapy, and immunotherapy.

Most clinical trials involving immunotherapy exclude patients with HIV, immunosuppression, hematological malignancies, and previous organ transplants including a recent trial in MCC [114]. However, current recommendations for the treatment of lymphoma and Hodgkin disease in patients with HIV/AIDS mirror treatment in patients without HIV/AIDS [115, 116]. There have been a few reports of HIV/AIDS patients being treated with checkpoint blockade inhibitors. Reports of patients with HIV infections and advanced melanoma were treated with the PD-1 inhibitor pembrolizumab without significant toxicities [117]. In patients living with HIV/AIDS (PLWHA), non-small-cell lung cancer (NSCLC) is the most common non-AIDS-related malignancy. For PLWHA with NSCLC treated with the PD-1 inhibitor nivolumab, the HIV-related parameters of viral load and CD4 counts were not altered [118]. Another study of seven patients with metastatic NSCLC and HIV infection demonstrated the safety of treatment with PD-1 inhibitors nivolumab or pembrolizumab. All patients received antiretroviral therapy while on anti-PD-1 treatment and none experienced grade 3 or 4 immune-related adverse events or immune reconstitution inflammatory syndrome [119].

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HIV–HBV and HIV–HCV Coinfection and Liver Cancer Development

Jianming Hu, Kuancheng Liu and Jun Luo

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Abstract

Liver diseases that are caused by the hepatitis B virus (HBV) and hepatitis C virus (HCV), including cirrhosis and hepatocellular carcinoma (HCC), have

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become increasingly important in patients infected with the human immunodeficiency virus (HIV) as their life expectancy is getting longer with successful anti-HIV therapy. Due to their shared transmission routes, dual infection by HIV and HBV or HIV and HCV, and triple infection by all three viruses are fairly common and affect millions of people worldwide. Whereas the immunodeficiency caused by HIV enhances the likelihood of HBV and HCV persistence, hepatotoxicity associated with anti-HIV therapy can worsen the liver diseases associated with HBV or HCV persistence. Evidence suggests HIV infection increases the risk of HBV- or HCV-associated HCC risk although the precise mechanisms of enhanced hepatocarcinogenesis remain to be fully elucidated. Recent success in curing HCV infection, and the availability of therapeutic options effective in long-term suppression of both HIV and HBV replication, bring hope, fortunately, to those who are coinfected but also highlight the need for judicious selection of antiviral therapies.

Keywords

Hepatitis B virus \cdot Hepatitis C virus \cdot Human immunodeficiency virus HBV \cdot HCV \cdot HIV \cdot Hepatocellular carcinoma \cdot HCC \cdot Coinfection

9.1 Introduction

Before the advent of highly active antiretroviral therapy (HAART), human immunodeficiency virus (HIV)-infected patients were most likely to succumb to opportunistic bacterial or fungal infections, secondary to HIV-induced immune suppression. As anti-retroviral therapies continue to improve, patients infected with HIV are living longer and the health problems that are of primary concern to these patients have been changing, at least for those who have access to HARRT. With longer survival times, liver diseases including chronic viral hepatitis and hepatocellular carcinoma (HCC), have become increasingly important in these patients [1-5]. In fact, approximately 10–15% of mortalities in HIV-infected patients are now due to liver diseases. In patients infected with HIV, most liver diseases are due to chronic viral hepatitis. This is not surprising considering that agents causing viral hepatitis, like the hepatitis B virus (HBV) and hepatitis C virus (HCV), are transmitted through similar routes to HIV. An additional complication involving the liver in HIV-infected patients is the concern that many anti-HIV drugs are hepatotoxic, which can be further exacerbated by viral hepatitis. Fortunate for the millions of HIV-infected patients who are also inflicted with viral hepatitis is the recent development of curative therapies for HCV infection and treatment options that are effective in suppressing both HIV and HBV infection.

9.2 Chronic HBV and HCV Infections

Worldwide, there are ca. 325 million people who are chronically infected with either HBV or HCV, or both [5]. HBV is a small, enveloped DNA virus that belongs to the *Hepadnaviridae* family. HBV is unusual for a DNA virus in that replication of its DNA genome is through reverse transcription of an RNA intermediate [6, 7]. The small, 3.2 kb HBV DNA genome encodes four open reading frames (ORFs) (Fig. 9.1), which are translated to make the viral core (C) protein, the main constituent of the viral nucleocapsid; the reverse transcriptase (RT), the enzyme responsible for DNA replication via reverse transcription; and three envelope glycoproteins. In addition, the HBV X (HBx) protein has a number of pleiotropic effects on viral and cellular gene expression, cell signaling, cell cycle, and apoptosis, although the significance of these in viral replication or pathogenesis remains unresolved [8]. The latest development in this regard is the discovery that HBx triggers the degradation of a host restriction factor that would otherwise suppress HBV transcription [9]. HBV is transmitted by contact with blood or body fluids of an

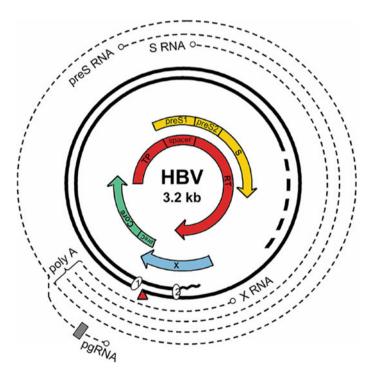


Fig. 9.1 HBV genome organization. Solid lines, the partially double stranded, relaxed circular DNA genome; dotted lines, viral RNA transcripts; solid arrows, encoded proteins. Core, core protein; S, surface protein, RT, reverse transcriptase; X, X protein. Triangle, the RT protein covalently linked to the genome; checked box, the ε RNA packaging signal; ovals, direct repeat 1 and 2, *cis*-acting elements involved in reverse transcription

infected person in the same way as HIV. However, HBV is 50–100 times more infectious than HIV.

Of the 2 billion people who have been infected with HBV, ca. 257 million have chronic, lifelong infections [5, 10–14]. In the US alone, there are 1.25–2 million chronic HBV carriers. In some areas of Asia and Africa, where HBV is endemic, 10–20% of the whole population is chronically infected with HBV (Fig. 9.2). In these parts of the world, HBV infections are acquired mainly perinatally or early in childhood, a high percentage (up to 90%) of which become chronic. In contrast, 5–15% of immune-competent adults who acquire HBV infection will become chronic carriers of the virus. Patients who are chronically infected with HBV are at high risk of premature death from cirrhosis of the liver and HCC, a highly malignant liver cancer [15]. The risk of death from HBV-related liver cancer or cirrhosis is approximately 25% for persons who become chronically infected and are untreated. Together, these diseases kill approximately one million people each year worldwide.

HCV is an enveloped RNA virus belonging to the *Flaviviridae* family [16]. Like other flaviviruses, the 9 kb long, positive-sense, single-stranded RNA genome (Fig. 9.3) of HCV is translated into a polyprotein, which is proteolytically cleaved into the viral structural proteins, including a single capsid (C) protein and two envelope glycoproteins (E1 and E2), and the non-structural proteins required for viral replication, including two proteases (NS2 and NS3) and the viral RNA-dependent RNA polymerase (NS5b). Like HBV, HCV is transmitted through blood and body fluids.

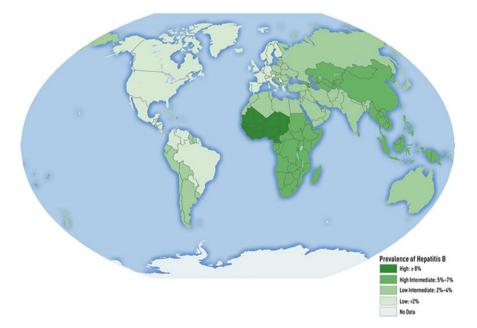


Fig. 9.2 Global prevalence of chronic HBV infection. A map showing the percentage of population chronically infected with HBV in different regions of the world. From U.S. Center for disease control and prevention

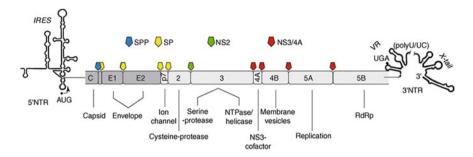


Fig. 9.3 HCV genome organization. The positive-sense, single-stranded HCV RNA genome encodes a long ORF (ca 3000 amino acids). The viral polyprotein is proteolytically cleaved into the structural proteins, C (capsid), E1 and E2 (envelope glycoprotein 1 and 2), as well as the nonstructural (NS) proteins, p7, and NS2 to NS5B, by the host (signal peptidase or SP and signal peptide peptidase or SPP) and viral proteases (NS2 and NS3/4A). The major known function(s) of the viral proteins are indicated below each protein. The structured 5' and 3' non-translated region (NTR) important for viral replication and translation are marked. polyU/UC and X-tail are conserved elements in the 3' NTR important for viral replication. IRES, internal ribosome entry site important for cap-independent translation (note the lack of a 5' cap on the viral RNA); AUG and UGA, the initiation and stop codons for polyprotein translation; VR, variable region; RdRp, RNA-dependent RNA polymerase

HCV frequently causes persistent infection of the liver, although there is no DNA form in its life cycle or latent stage known [17, 18]. In fact, the chance of chronic infection with HCV is approximately 55–85% and this varies little with age, in contrast to HBV infections. An estimated 71 million people worldwide are chronically infected with HCV (Fig. 9.4) [5]. Approximately 3.9 million (1.8%) Americans have been infected with HCV, 2.7 millions of whom are chronically infected. Approximately 5–20% of chronically infected persons develop liver cirrhosis over a period of 20–30 years, and HCC develops in 1–5% of persons with chronic infection.

9.3 Coinfection of HBV or HCV with HIV

Worldwide, ca. 36.7 million people are infected with HIV. Roughly, 1.2 million of these people live in the US. In Europe and the US, approximately 8–16% of HIV patients are also chronically infected with HBV [1, 19–22]. Worldwide, the number of HIV-infected people who are also chronically infected with HBV is estimated at ca. 2.7 million (Fig. 9.5) [5, 23], with a large proportion of these coming from HBV-endemic regions of Asia and Africa [20, 24]. The risk of developing chronic HBV infection is about three- to six-fold higher in HIV-infected patients than in those who are not infected with HIV, likely due to the fact that HIV-induced immune suppression can reduce the patient's ability to clear HBV [25]. Furthermore, HIV-induced immune suppression may also play a role in the reactivation of

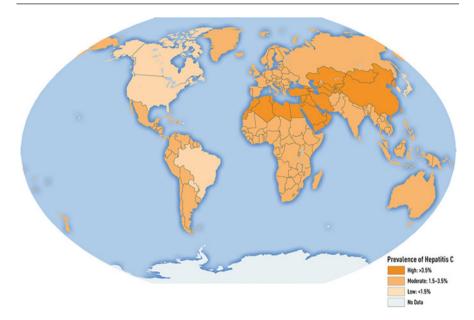
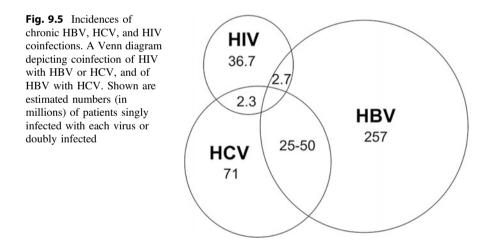


Fig. 9.4 Global prevalence of chronic HCV infection. A map showing the percentage of population chronically infected with HCV in different regions of the world. From U.S. Center for disease control and prevention



latent HBV infections, which are thought to be under immune control following clinical but not virological resolution [26].

The number of HIV-infected people who are chronically infected with HCV worldwide is approximately 2.3 million [5, 27] (Fig. 9.5). In developed countries, $\sim 25\%$ of HIV patients also have chronic hepatitis C infection [22].

The number of coinfections varies depending on the route of transmission; for example, the incidence of HIV/HCV coinfection is higher in populations of injecting drug users (IUD) than those who were infected by sexual transmission.

9.4 HBV–HCV Dual Infections and Occult HBV Infection

The incidence of HBV–HCV coinfection is not uncommon (Fig. 9.5), as might be predicted from their shared transmission routes [28, 29]. About 10–20% chronic HBV-infected patients are also infected with HCV. In addition, occult HBV infection, defined by the undetectability of HBsAg, the main HBV envelope protein, in the serum but the presence of antibodies against the viral core antigen (HBcAg) or HBV DNA, is fairly common [30]. This may be an important factor in the development of HCC in patients with no serologic evidence of HBV or HCV infection, and additionally, may play a role in the development of HCC in chronically infected HCV patients [31].

9.5 Hepatotropism and Lymphotropism of HBV and HCV

While there is little dispute that HBV and HCV infect the hepatocytes in the human liver, it remains controversial as to whether these viruses also infect other cell types, in particular, lymphoid cells. It has been reported that HBV DNA can be detected in peripheral blood mononuclear cells (PBMC) and some HBV isolates may, in fact, be able to infect PBMC [32], although the question of true infection or passive endocytosis of virus is still being debated. With respect to HCV, there are many reports of infection of the lymphoid cell, particularly B lymphocytes [33] but the level of HCV replication seems to be rather low in general and specific detection is problematic. On the other hand, HIV is lymphotropic and infects only a small percentage of CD4+ T-cells. Therefore, although the possibility exists that HIV and HCV or HBV may infect the same cell types, true dual infection of the same cell seems unlikely.

9.6 Hepatocellular Carcinoma

HCC is the most common primary cancer of the liver, accounting for 60–90% of all hepatic malignancies [34–37]. It is the 6th most common cancer among men and the 11th most common cancer in women worldwide. Particularly, in HBV-endemic regions of sub-Saharan Africa and Eastern Asia, HCC is the most prevalent cancer and incidences can be as high as 50–150 cases per 100,000 population [38]. In North America and Western Europe where the HBV infection rate is relatively low,

HCC incidence is below 10 per 100,000. However, there has been a recent surge of HCC in the developed world, likely due to the prevalence of HCV infections in these areas [39–41]. Together, chronic HBV and HCV infections are responsible for over 80–90% of all HCC on a global scale, and account for 5% of all human cancer burden [42]. Chronic HBV carriers have been shown to be at a 100- to 233-fold increased risk for the development of HCC. HBV infection is responsible for the majority of HCC development in the developing world and accounts for 15–20% of HCC in the US. In contrast, HCV accounts for the majority of HCC in the developed world [43]. In addition, as mentioned earlier, coinfection of both HBV and HCV is also common and can further increase the risk of HCC development.

Despite the clear epidemiological evidence that HBV and HCV are responsible for the vast majority of HCC, the mechanism of viral hepatocarcinogenesis remains incompletely understood (Fig. 9.6) [34-36, 44-47]. There are several possible mechanisms by which liver cancer may develop in patients with chronic viral hepatitis. The first is by an indirect means: chronic viral infection of the liver produces a state of persistent inflammation, in which cancer is a nonspecific side effect of the immune response against the HBV or HCV infection. Thus, HCC may develop as a result of the continuous damage and regeneration of the liver cells in a mutagenic inflammatory environment, which ultimately leads to the aberrant activation of one or more cellular proto-oncogenes or the inactivation of tumor suppressor genes. Evidence is rather strong in support of this nonspecific carcinogenesis mechanism. It is now clear that chronic inflammation and tissue damage over a long period, per se, can be carcinogenic, regardless of the initial trigger events. Thus, not only chronic HBV and HCV infections, but also alcoholic liver damage and metabolic liver damage as a result of genetic mutations including α 1-antitrypsin deficiency, Wilson's disease, and hemochromatosis all increase the

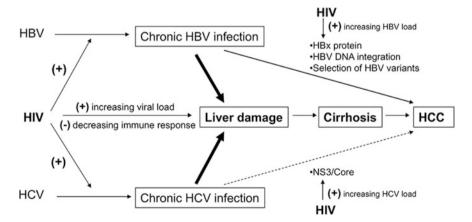


Fig. 9.6 Potential mechanisms HBV- and HCV-induced hepatocarcinogenesis and its enhancement by HIV. Solid arrows, likely mechanisms; dotted arrows, uncertain mechanisms; (+), enhancing effect; (-), inhibitory effect. See text for details

risk of HCC [37]. Indeed, the majority of HCC, regardless of etiology, arises in the background of liver cirrhosis resulting from chronic liver damage.

Another potential mechanism of viral hepatocarcinogenesis involves a more direct role of viral proteins [35, 44, 46, 47]. Thus, although the different causes of chronic liver damage, as outlined above, can all increase the risk of HCC, chronic HBV or HCV infections present a much greater risk of liver cancer than other hepatic inflammatory disorders. In addition, HCC may develop in chronic HBV infections in the absence of cirrhosis. Although the exact viral proteins and their carcinogenic mechanism remain to be elucidated, the HBx protein and the HCV core and NS5A proteins have been reported to have transforming potential in overexpression systems (Fig. 9.6). As HCC generally only develops after decades of HBV or HCV infections, it seems unlikely that these viruses encode any *bona fide* oncogenes. Caution is, therefore, warranted in the interpretation of these overexpression studies out of the context of natural viral infection.

A third molecular mechanism of carcinogenesis, available to HBV but not HCV, is insertional mutagenesis as a result of HBV DNA integration into the host chromosomes [48–50]. It has been known for decades that HBV DNA does integrate into the host genome during infection although integration is not an obligatory step in viral replication, in contrast to retroviruses. In fact, most HBV-related HCCs harbor HBV DNA integration. HBV DNA has been shown to integrate into the host DNA at multiple sites in a seemingly random fashion, although more recent reports suggest that there may be some preferred sites of integration [49, 51, 52]. What is still unclear is the etiological role of DNA integration in HBV carcinogenesis in humans. Elegant studies using the woodchuck hepatitis virus (WHV), a member of the mammalian hepadnaviruses closely related to HBV, have convincingly demonstrated that viral DNA integration, specifically the insertional activation of the cellular myc proto-oncogenes, plays a critical role in liver cancer development in chronically WHV-infected woodchucks, virtually all of which develop HCC [53–55]. Reports of potentially similar insertional activation of cellular oncogenes in human liver cancer have appeared [49, 51, 56, 57] but its prevalence and true significance in carcinogenesis remain to be clarified.

9.7 Does HIV Coinfection Increase the Risk of HCC Associated with HBV or HCV Infection?

Epidemiological evidence suggests that coinfection with HIV may increase the risk of HCC development in HBV- or HCV-infected patients, although the data do not seem definitive [19, 28, 58–68]. As mentioned above, HIV coinfection can decrease the rate of HBV or HCV clearance and increase the risk of chronic HBV or HCV infection and the risk of reactivation of latent HBV infections (Fig. 9.6) due to defect in immune-mediated clearance of HBV or HCV [19, 25], including the suppression of anti-HCV activity of NK cells in HIV-infected patients [69]. For both HBV- and HCV-infected patients, HIV coinfection seems to accelerate the

progression of liver diseases, leading to increased risk of cirrhosis and possibly liver cancer [19, 70, 71]. In HIV-infected patients, HBV infection carries higher risk of chronicity, higher viral load, lower chance of spontaneous viral clearance, faster progression to cirrhosis, and appears to cause faster and more frequent progression to HCC [63, 72-77]. HIV infection alone has also been reported to increase the risk the HCC, as well as other cancers, presumably as a result of defective immune surveillance against tumorigenesis [68, 78]. Moreover, triple infection with HIV, HBV, and HCV is not uncommon and may present an even greater risk of HCC development than the double infections. No clear data are available in this regard, as it takes years to decades for HCC to develop in HBV- or HCV-infected patients and thus long-term follow-ups are necessary to assess any increased cancer risks in HIV coinfected patients. Before definitive measurements of liver cancer incidences, surrogate markers are sometimes used to predict HCC development. These surrogates include the progression of cirrhosis, which, as mentioned earlier, almost always precedes the development of HCC, and increased viral load, which may predict an increased risk of HCC (Fig. 9.6). In the case of HBV, there is strong evidence now to indicate that HBV viral load is in fact directly correlated with liver disease progression and the risk of HCC development [79-81], and effective antiviral treatment to decrease HBV replication has been shown to decrease the risk of HCC development [82–85]. However, HCV viral load in the blood does not seem to be correlated directly with liver disease progression [86, 87].

A major hurdle in trying to understand the consequences of HIV coinfection in the setting of HBV or HCV chronic infections is the lack of cell culture systems or convenient animal models that can be infected by both HIV and HBV or HCV. Infection of chimpanzees, which are susceptible to all three viruses, is a possibility, but these studies would be very costly and take years to conduct, and are no longer allowed with U.S. government funding. As already alluded to earlier, the chance of HIV coinfecting the same host cell with either HBV or HCV seems to be remote. Any effect of HIV infection on HCC risk associated with HBV or HCV would be unlikely to be exerted at the level of direct virus–virus interactions. Rather, indirect effects of HIV-mediated immune dysfunction on HBV- or HCV-induced hepatocarcinogenesis are more likely (Fig. 9.6).

Mechanistically, the increased chance of chronicity of HBV and HCV infections associated with HIV coinfection, as discussed above, can account for some of the increase in the risk of HCC, as chronic HBV or HCV infection is clearly associated with HCC. The increased HBV or HCV load associated with HIV coinfection could also potentially exacerbate liver damage and thus accelerate disease progression and ultimately cancer development. In this regard, recent studies suggest that under conditions of severe immunodeficiency, the normally non-cytopathic HBV can damage the infected cells directly with uncontrolled high-level replication [88]. Similarly, although HCV is usually considered to be non-cytopathic, HCV replication or HCV proteins may nevertheless directly induce cellular damage such as steatosis [89], which also occurs more frequently in HCV–HIV coinfections [90]. The inflammatory response and cytokines induced by HIV infection may worsen HBV- or HCV-associated liver diseases [77, 91, 92]. In HIV–HCV coinfections,

the enteropathy induced by HIV leads to microbial translocation from the intestinal tract to the liver, which is reported to accelerate fibrosis [93] and may thus play a role in enhancing HCV hepatocarcinogenesis. On the other hand, a decreased immune response against HBV or HCV, as a result of HIV-induced immune suppression, might actually reduce liver inflammation and damage [68] and thus, slows down progression to cirrhosis and cancer (Fig. 9.6).

The increased HBV or HCV load in HIV coinfected patients can, in principle, also influence cancer progression by increasing the expression of HBV or HCV proteins that may be more directly involved in cellular dis-regulation and transformation and in the case of HBV, the chance of insertional mutagenesis (Fig. 9.6). Furthermore, some reports suggest that in the HBV/HIV coinfected patients, certain HBV variants that are associated with enhanced carcinogenicity may be selected, which could lead to increased risk of HCC development in these dually infected patients [94, 95].

Although HIV is not known to infect hepatocytes, HIV proteins may nevertheless still be able to influence the HBV- or HCV-infected cells. For example, the HIV transactivating protein, Tat, which can be present systemically during HIV infection, has been reported to enhance the development of liver cancer [96] and may thus influence the development of HCC in HIV coinfected HBV or HCV patients.

9.8 Anti-Retroviral-Therapy-Associated Hepatotoxicity

Anti-retroviral-therapy-induced liver toxicity is an additional concern in HIV coinfected HCV or HBV patients. One of eight patients treated with anti-retroviral drugs show hepatotoxicity, a situation that is more likely to occur in HBV- or HCV-infected patients that further exacerbates liver damage accompanying chronic HBV or HCV infections [1, 19, 76, 97]. Anti-retroviral drugs that have shown hepatotoxicity include certain nucleoside analogs (HIV RT inhibitors) and HIV protease inhibitors. A further complication in the treatment of HIV-HBV coinfected patients is the fact that some nucleoside analogs, such as 3TC (lamivudine), are active against both the HIV and HBV RT, and can select for drug-resistant mutants of both viruses. HIV-infected patients are sometimes treated intermittently, in order to prevent the selection of drug-resistant HIV. However, hepatic flare can result when a patient is taken off anti-retroviral therapy. This is thought to be due to HBV viral rebound upon drug withdrawal and can lead to an increase in liver damage and subsequent progression to cirrhosis and HCC. It is, therefore, important to ensure that the coinfecting HBV infection continues to be treated while the patient is off the HIV treatment, e.g., using nucleoside analogs specific for the HBV RT but inactive against the HIV RT.

9.9 Management of HIV-HBV and HIV-HCV Coinfection

As alluded to above, a number of nucleoside analog inhibitors of the HIV RT (NRTIs) proved to be also active against the HBV RT and indeed have been approved for HBV therapy, including lamivudine, adefovir, and tenofovir [98]. The less potent of these such as lamivudine and adefovir can lead to the rapid selection of drug-resistant HBV mutants, rebound of viral replication, and liver disease progression. Therefore, it is important to select NRTIs that are not only effective against both HIV and HBV but also have a high barrier to resistance, i.e., tenofovir, as no combination therapy is available yet for HBV, in contrast to HIV [73, 76, 99–101]. Some studies suggest that tenofovir may be less effective against HBV in the setting of HBV–HIV coinfection [102], which warrants further studies. As the current treatment for either HBV or HIV is not curative, long-term (and likely lifelong) treatment is required to control either viral infection, careful monitoring of viral resistance as well as drug toxicity is a necessity.

In the case of HCV–HIV coinfection, it is great news that the recently developed anti-HCV drugs that target different HCV proteins (so-called direct-acting antivirals or DAAs) and are highly active against HCV mono-infection remain highly active in HCV–HIV coinfected patients [103–105]. This has raised the hope that effective antiviral therapy against HCV in the HIV-coinfected patients will alleviate the HCV-associated liver diseases. Conversely, effective antiviral therapy against HIV in HIV–HCV coinfected patients can slow liver disease progression induced by HCV infection [106]. On the other hand, drug–drug interactions between anti-HCV and anti-HIV drugs leading to adverse effects in the dually infected and treated patients have been observed and need to be carefully monitored [107, 108].

A concern related to HIV–HBV coinfection is the reported adverse effect of HIV infection on the efficacy of HBV vaccination. A number of studies have indicated that HBV vaccine efficacy, which is normally very high (ca. 95% response rate), can be decreased in HIV-infected patients, especially in those with low CD4 T cell counts [109–114]. As HBV vaccination is an essential part of the global strategy to control HBV infection, this apparent detrimental effect of HIV infection on HBV vaccine efficacy should be closely monitored.

9.10 HGV-HIV Coinfection

An intriguing interaction between HIV and another prevalent human virus, the hepatitis G virus (HGV or GBV-C), may in fact be beneficial to the host [115–117]. Although initially thought to be one of the viruses that can cause hepatitis (hence the name HGV), HGV is not known to cause any human disease but is a relatively common virus that is found worldwide. Like HCV, it is a single-stranded, positive-sense RNA virus that belongs to the family *Flaviviridae*. HGV is transmitted through blood and body fluids, similar to HIV, HBV, or HCV. Different from HCV, HGV primarily infects lymphocytes. Interestingly, in persons

coinfected with HIV and HGV, HGV appears to confer protection against the progression to AIDS [118, 119]. This was largely demonstrated before the advent of HAART but has been shown in the post-HAART era as well. Furthermore, HIV replication in vitro was shown to be inhibited by coinfection with HGV, suggesting a mechanism involving direct interaction between the viruses [120]. However, not all studies on the effect of HGV coinfection have reported favorable results and the mechanism that provides this putative protection has yet to be elucidated. For the purposes of this review, HGV does not appear to influence liver disease in HBV or HCV coinfections.

9.11 Summary

Liver diseases caused by chronic HBV or HCV infection, including cirrhosis and HCC, have emerged as an increasingly important problem faced by millions of HIV-infected patients who are coinfected with HBV or HCV. On one hand, HIV-induced immune suppression enhances the risk of chronic viral hepatitis, increases HBV or HCV load, and may hasten the progression to cirrhosis and liver cancer. On the other hand, significant hepatotoxicity is associated with a number of anti-retroviral drugs, further exacerbating liver damage associated with chronic viral hepatitis. The elucidation of the multiple virus–virus and virus–host interactions that underlie viral hepatocarcinogenesis and potential HIV enhancement will be facilitated greatly by the establishment of appropriate in vitro and in vivo model systems. As millions of HIV-infected patients in the developing countries are gaining access to HAART therapy for their HIV infections, endemic HBV and HCV infections and their associated liver diseases will only become more problematic on a global scale.

Fortunately, recent progresses in developing tenofovir-based regimens that are effective against both HIV and HBV infection, and esp. curative therapies for HCV infection, are improving the prognosis for the HIV coinfected patients. Recent focus to find a cure for HBV [121] and ongoing efforts to pursue a cure for HIV infection [122], along with the wider application of the curative HCV therapies, promise to rid the world of these deadly infections and eliminate the vast majority of HCC, a highly malignant cancer for which little treatment options are available. On the other hand, while overall liver-disease-related deaths among HIV–HCV coinfected patients in some countries may be decreasing due to effective surveillance and antiviral treatments, there has been no clear reduction overall in liver disease risk associated with HBV or HCV coinfections in HIV-infected patients and HCC incidence continues to increase [123–125], possibly reflecting the irreversible carcinogenic events that have occurred before effective antiviral treatments and highlighting the need to continue to monitor HCC risks even among the patients undergoing effective antiviral therapy.

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