

Chapter 6

Immune-Related Adverse Events: Pneumonitis



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Abstract Checkpoint inhibitors are part of the family of immunotherapies and are increasingly being used in a wide variety of cancers. Immune-related adverse events pose a major challenge in the treatment of cancer patients. Pneumonitis is a rare immune-related adverse event that presents in distinct patterns. The goal of this chapter is to instruct readers on the incidence and clinical manifestations of pneumonitis and to offer guidance in the evaluation and treatment of patients with pneumonitis.

Keywords Checkpoint inhibitors · Immune-related adverse event · Pneumonitis · Thoracic imaging · Organizing pneumonia · Nonspecific interstitial pneumonia · Hypersensitivity pneumonitis · Diffuse alveolar damage

Introduction

The prevalence of cancer is rising in parallel with increasing life expectancy [1]. Recurrent and refractory cancers pose major therapeutic challenges for clinicians, and new strategies are necessary to counter the evolving landscape of cancer [2]. Immunotherapy is one such strategy where the immune system can be weaponized against cancers to induce a potentially durable reduction in tumor burden [3–5]. Common targets of immunotherapy agents include the programmed cell death protein 1 (PD-1) pathway and the cytotoxic T-lymphocyte associated protein-4 pathways (CTLA-4), which we discuss in detail below [6]. Tumor cells can suppress the natural anti-tumor activity of T-cells through several mechanisms, including expression of PD-L1 (a ligand for PD-1) and CTLA-4 [7]. Inhibitors of the PD-1 and CTLA-4 pathways boost anti-tumor immune responses by preventing homeostatic downregulation of T-lymphocyte activity that normally occurs during chronic infection to prevent excessive tissue injury [8, 9]. However, a reinvigorated

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131

immune system may lead to disturbances in normal immune self-tolerance and, as a result, may induce off-target immune-related adverse events (irAEs) which may affect numerous organs. In this chapter, we focus on pulmonary irAEs that occur after immunotherapeutic agents.

Inhibition of T-Lymphocyte Function by the PD-1 and CTLA-4 Pathways

PD-1 is a monomeric transmembrane protein in the immunoglobulin superfamily that is found on the surface of macrophages and T- and B-lymphocytes [10–12]. PD-1 is primarily expressed in mature T-cells and appears within 24 h of T-cell activation as a mechanism to regulate T-cell activity to prevent injury to healthy tissue [13]. PD-1 binds primarily to two ligands, PD-L1 and PD-L2. PD-L1 is broadly expressed by hematopoietic cell lineages and various epithelial and endothelial cells, while PD-L2 is expressed primarily by dendritic cells and B-lymphocytes [10]. Several inflammatory cytokines can induce PD-L1 expression on the surface of lymphocytes and on non-immune cells [11]. The interaction of PD-1 with its ligands causes the recruitment of phosphatase Src homology protein 2 (SHP2), which leads to subsequent inactivation of the PI3K/AKT signaling [14, 15]. In T-lymphocytes, activation of the PD-1 pathway blocks proliferation, impairs inflammation and decreases survival [16]. Binding of PD-1 to PD-L2 decreases T-lymphocyte cytokine production, but does not inhibit proliferation [17]. Furthermore, activation of the PD-1 pathway induces the differentiation of naïve T-lymphocytes into T-regulatory lymphocytes, which induce immune tolerance [18, 19]. Cancer cells harness the inhibitory functions of PD-1 activation by expressing PD-L1 and PD-L2, which limits anti-tumor immune responses [20]. PD-1 can also be expressed on tumor-associated macrophages, which may lead to a tumor microenvironment that is conducive to cancer progression [21].

Optimal T-lymphocyte activity requires binding of co-stimulatory molecules such as CD28, expressed on the T-lymphocyte cell surface, to its receptors B7-1 (CD80) and B7-2 (CD86), expressed on antigen presenting cells [22, 23]. CTLA-4 is a CD28 homolog that has a higher affinity for B7 than CD28, but does not produce a stimulatory signal. CTLA-4 has a 36-amino acid cytoplasmic tail that lacks enzymatic activity, but also has an immunoreceptor tyrosine-based inhibitory motif that has inhibitory functions [24, 25]. Activation of CTLA-4 induces signals that inhibit T-lymphocyte function [23, 26–29] decrease T-lymphocyte proliferation, and impair secretion of interleukin-2 [22, 23, 26, 27, 30]. In health, CTLA-4 is mainly expressed by T-regulatory cells, and CTLA-4 activation is an important mechanism to promote peripheral tolerance [31]. Loss of CTLA-4 function leads to fatal autoimmunity in mice [32, 33]. Similarly, cancer cells express CTLA-4 on the tumor surface, which leads to impaired T-cell function and survival [34, 35].

Immune Checkpoint Inhibition as a Therapeutic Strategy in Cancer

Cancer cells harness checkpoint activation through the PD-1 and CTLA-4 pathways to induce anergy in anti-tumor lymphocytes. Inhibition of these pathways can lead to tumor regression. In this section, we will briefly discuss the CTLA-4 inhibitor ipilimumab, the PD-1 inhibitors nivolumab and pembrolizumab, and the PD-L1 inhibitors atezolizumab, avelumab, and durvalumab. These drugs have been approved by the Federal Drug Administration (FDA) to treat several cancers, and several more trials of ICPI therapy are underway.

Ipilimumab is the only CTLA-4 inhibitor approved by the FDA. Ipilimumab binds the front β -sheet of CTLA-4 and interferes with the formation of CTLA-4:B7 complexes [36]. The Federal Drug Administration approved ipilimumab in 2011 after a pivotal study showed improved survival in metastatic melanoma [37]. Another CTLA-4 inhibitor, tremelimumab, is in development, but not yet approved by the FDA and is beyond the scope of this chapter.

Inhibitors of the PD-1 pathway broadly fall into two categories: inhibitors of PD-1 function and inhibitors of PD-L1 function. Nivolumab and pembrolizumab bind competitively to PD-1 to form PD-1:monoclonal antibody complexes [38]. However, the two drugs bind PD-1 in slightly different orientations. Nivolumab was approved by the FDA for use in melanoma in 2014, squamous cell lung cancer and advanced renal cell cancer in 2015, non-Hodgkin's lymphoma and classical Hodgkin's lymphoma in 2016, and in combination with ipilimumab for treatment of advanced renal cell cancer in 2018. Pembrolizumab was approved by the FDA for use in melanoma in 2014, metastatic non-small cell lung cancer in 2015, advanced head and neck cancers in 2016, and solid tumors with mismatch repair deficiencies or microsatellite instability in 2017.

Avelumab, atezolizumab, and durvalumab competitively bind to PD-L1 in slightly different orientations [39]. In 2017, avelumab was approved by the FDA for use in urothelial cell cancer and Merkel cell carcinoma. In 2016, the FDA approved atezolizumab for use in urothelial cell cancer and non-small cell lung cancer. Durvalumab was approved by the FDA for use in metastatic urothelial cell cancer in 2017, non-small cell lung cancer in 2018. Several other PD-1 and PD-L1 inhibitors are in development but beyond the scope of this chapter.

Clinical and Radiologic Patterns of Pneumonitis

In the following section, we discuss presentations of pneumonitis after immune checkpoint inhibitor (ICI) therapy. Pneumonitis is a rare irAE after ICI therapy that presents as an interstitial lung disease [40]. Pneumonitis after ICI therapy presents in four patterns: organizing pneumonia (OP), nonspecific interstitial pneumonia (NSIP), hypersensitivity pneumonitis (HP), and diffuse alveolar damage (DAD).

For the purposes of this chapter, we will combine NSIP and HP into one category, due to similarities in presentation and in therapeutic approaches. Table 6.1 summarizes the clinical, radiological, and pathological features associated with each pattern of pneumonitis, and Fig. 6.1 shows characteristic images from chest computed tomography (CT) scans. A more complete discussion of the clinical features and pathophysiology of various ILDs is available elsewhere [41, 42].

OP: OP is a common manifestation of pneumonitis after ICI therapies [43]. OP primarily affects distal bronchioles, respiratory bronchioles, alveolar ducts, and alveolar walls [44]. Symptoms of OP may include low-grade fever, malaise, and cough, and the onset of symptoms in idiopathic cases is often subacute [45–48]. Respiratory infections are often associated with the development of OP though the mechanism remains unclear [49]. Thoracic CT imaging of patients with OP primarily appears as ground-glass or consolidative opacities which are more predominant in the lung periphery in sub-pleural regions [50]. The reverse halo sign, which is characterized by ground-glass opacities surrounded by denser consolidative opacities, can be seen in OP but is not pathognomonic [51]. The extent of radiological involvement can vary substantially from case to case. The histology of OP is characterized by excessive proliferation of plugs of granulation tissue (Fig. 6.2) in distal airspaces with infiltration by lymphocytes and plasma cells [50]. These plugs consist of loose collagen, fibroblasts, and myofibroblasts. Bronchoalveolar lavage (BAL) is often performed in OP to rule out infection though a BAL inflammatory signature is not sufficient to diagnose OP [50]. The treatment of OP depends upon the severity of the disease. We recommend use of the Common Terminology Criteria for Adverse Events (CTCAE, Table 6.2) to grade the severity of pneumonitis [52]. Mild cases (Grade 1) of OP may resolve spontaneously, but close monitoring for early signs of pulmonary impairment is imperative [53]. Patients with pneumonitis of grade 2 or higher should be treated with corticosteroid therapy. Corticosteroids are highly efficacious in OP, and treatment doses typically start at 0.5–1 mg/kg/day of prednisone or equivalent for 3–6 months. Interruptions in corticosteroid treatment may result in relapse of OP [54]. Non-corticosteroid therapies, such as cyclophosphamide, cyclosporine, rituximab, and macrolides, have been associated with anecdotal success in small case series of steroid-refractory patients, but are not typically used [55–58]. Infliximab has been reported to be effective in severe pneumonitis, but this requires validation in a prospective study [43]. In general, at least temporary cessation of ICI therapy is recommended to allow for resolution of pneumonitis.

NSIP: NSIP is a rare ILD that is often associated with autoimmune diseases or human immunodeficiency virus infection, and along with OP is a common manifestation of pneumonitis after ICI therapy [59]. NSIP typically presents with nonspecific symptoms of cough and dyspnea though the duration of symptoms may vary from case to case. Thoracic CT imaging of NSIP typically reveals ground-glass opacities, reticular infiltrates, and traction bronchiectasis [60–62]. Sub-pleural sparing of lung infiltrates may help distinguish NSIP from idiopathic pulmonary fibrosis [63]. The HP variant of ICI-related pneumonitis may be characterized by air trap-

Table 6.1 Clinical, radiological, and histopathological features of common patterns of pneumonitis

| Type | Clinical features | Radiological features | Histopathological features | Treatment |
|---|---|---|--|--|
| Cryptogenic organizing pneumonia (COP) | Nonproductive cough, dyspnea, weight loss, usually for less than 2 months | Patchy areas of consolidation or ground-glass opacities which are often seen in the periphery. Multiple alveolar opacities, solitary opacities, or infiltrative opacities can be seen | Proliferation of granulation tissues in the distal bronchus and alveoli along with mild to moderate infiltration of plasma cells and lymphocytes | Mild COP with no pulmonary function impairment- resolution can occur spontaneously, but requires close monitoring of respiratory symptoms, imaging, and/or pulmonary function. Progressive and/or persistent symptoms with evidence of pulmonary function impairment- corticosteroid therapy with doses usually starting at 0.5–1 mg/kg/day of prednisone or equivalent for 3–6 months |
| Nonspecific interstitial pneumonia (NSIP) | Nonproductive cough, dyspnea which develops over weeks to months. Bibasilar crackles are also heard in majority of patients | Reticular markings, traction bronchiectasis, and ground-glass opacities are seen mostly in lower zones | Fibrosis with diffuse inflammatory cell infiltration and uniform and diffuse thickening of alveolar walls, but without loss of alveolar structural integrity | Patients with minimal symptoms and no change in pulmonary function-observation Moderate symptoms or impairment in pulmonary function test- corticosteroid therapy (0.5–1 mg/kg/day of prednisone or equivalent) for 8–12 weeks Steroid-refractory disease – Therapy with intravenous corticosteroids and/or cytotoxic therapies |
| Diffuse alveolar damage (DAD) | Rapid onset of progressive dyspnea and cough over days to weeks | Widespread airspace opacities may be more prominent in the dependent areas of the lung | Alveolar thickening with hyaline membrane deposition and infiltration with inflammatory cells | Supportive therapies for patients with respiratory failure and intravenous high-dose corticosteroids |

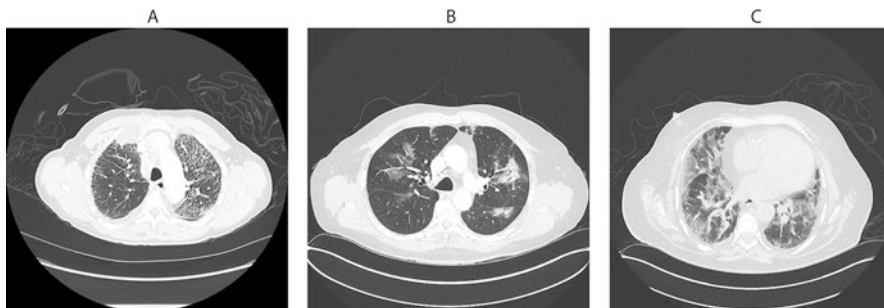


Fig. 6.1 Representative images of (a) nonspecific interstitial pneumonitis, (b) organizing pneumonia, and (c) diffuse alveolar damage in patients receiving precision oncology therapies

Fig. 6.2 Buds of granulation tissue (*arrows*) in the lumen of alveoli. Reproduced with permission from *Clinical Respiratory Medicine*, Cottin V. and Cordier J., 2012, Elsevier Publishing

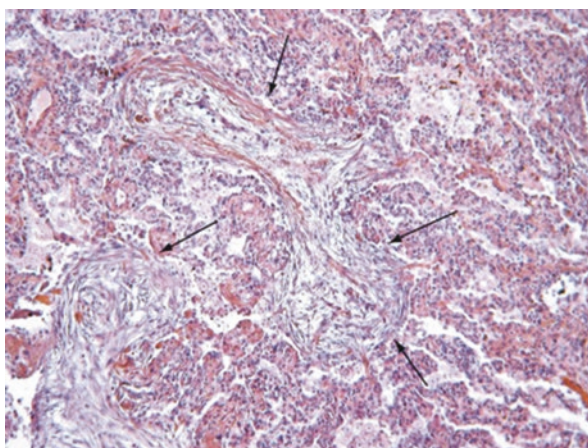


Table 6.2 Grading of pneumonitis as outlined by the Common Terminology Criteria for Adverse Events v5.0

| Grade | Grade 1 | Grade 2 | Grade 3 | Grade 4 | Grade 5 |
|-----------------------|--|---|--|---|---------|
| Symptoms | Asymptomatic | Symptomatic, limiting instrumental activities of daily living | Severe symptoms, limiting self-care activities of daily living | Life-threatening respiratory compromise | Death |
| Intervention required | Clinical or diagnostic observations only; intervention not indicated | Medical intervention indicated | Medical intervention and oxygen are indicated | Urgent medical intervention is indicated (e.g., tracheostomy or intubation) | |

ping on expiratory chest CT imaging [64]. However, unlike HP that occurs in the general population, there is no clear link to pulmonary exposures such as aerosolized molds [65] or toxic chemicals [66]. Histologically, NSIP is characterized by dense fibrosis with diffuse inflammatory cell infiltration and uniform and diffuse thickening of alveolar walls, but unlike idiopathic pulmonary fibrosis, there is no loss of alveolar integrity [67]. Fibroblastic foci may be present, but are less common in cases of NSIP [68]. The HP variant of pneumonitis may be characterized by poorly formed non-caseating granulomas [64]. In general, patients who develop NSIP after ICI therapy require corticosteroid therapy (0.5–1 mg/kg/day of prednisone or equivalent) for 8–12 weeks. Steroid-refractory disease is more commonly seen in NSIP than in OP and may require further therapy with intravenous corticosteroids and/or cytotoxic therapies [53]. For ICI-related NSIP, interruption of ICI therapy is generally recommended [69].

DAD: DAD is a severe form of pneumonitis caused by widespread alveolar injury that results in severe capillary leak and non-cardiogenic pulmonary edema [69, 70]. Clinically, the presentation is similar the acute respiratory distress syndrome, characterized by tachypnea, severe hypoxemia, and widespread alveolar infiltrates. Typically, this occurs more rapidly than OP or NSIP, with the onset of symptoms rapidly progressing in days. Though histology is difficult to obtain due to the severity of illness, the histopathologic appearance of diffuse alveolar damage (DAD) is characterized by the formation of thickened alveolar membranes, hyaline membrane deposition, and infiltration with inflammatory cells (Fig. 6.3) [71, 72]. The acute phase of DAD is characterized by inflammation and edema of alveolar structures, while the organizing phase is characterized by the deposition of collagen by fibroblasts [73]. Thoracic CT images of DAD show widespread airspace opacities, which may be more prominent in the dependent areas of the lung [74–76]. Other diseases may mimic drug-induced DAD and should be ruled out. Pulmonary infections and eosinophilic pneumonias may be ruled out by analysis of BAL fluid, while congestive heart failure should be ruled out with a thorough clinical examination, echocardiography, and potentially right heart catheterization. Supportive therapies, including noninvasive or invasive mechanical ventilation are often necessary to treat respiratory failure associated with DAD. Early initiation of high-dose systemic corticosteroids is generally recommended although data supporting this practice is very limited. Mortality rates despite aggressive therapy remain high [77].

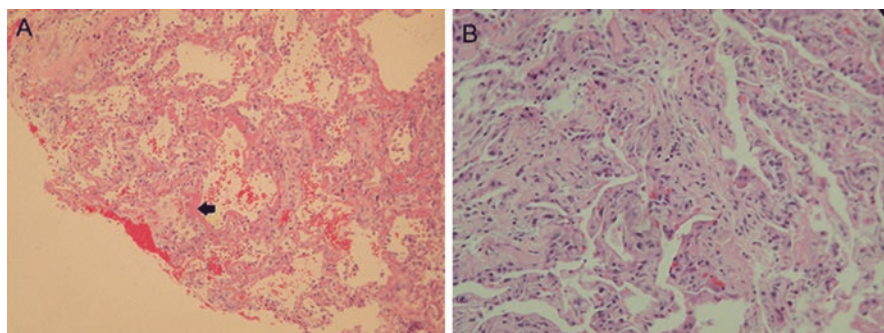


Fig. 6.3 Pathological findings of diffuse alveolar damage. (a) Diffuse alveolar damage in the acute phase. The interstitium is edematous. Hyaline membrane (arrow) is seen lining the alveolar ducts (hematoxylin and eosin stain, $\times 100$). (b) Diffuse alveolar damage in the organizing phase. The interstitium is thickened with organizing connective tissue. Prominent type 2 pneumocyte hyperplasia is seen (hematoxylin and eosin stain, $\times 200$) [73]

Clinical Approach to the Evaluation of ICI-Related Pneumonitis

Because symptoms of pneumonitis may be subtle and masked by other comorbid symptoms associated with the underlying cancers (e.g., large lung cancers or widespread pulmonary metastases), we advise that clinicians that evaluate and treat patients who are on ICI therapies have a low threshold for initiating a thorough evaluation for pneumonitis. Symptoms such as dyspnea, cough, fever, and chest pain should raise the suspicion for pneumonitis [78, 79]. We recommend thoracic imaging and pulmonary function testing. Chest radiography is not sufficiently sensitive to detect subtle findings of pneumonitis; therefore, symptomatic patients should be referred for thoracic CT imaging [80]. Radiation doses associated with thoracic CT are low with modern scanners, making serial thoracic imaging a safe and effective method to evaluate progression or resolution of pneumonitis [81]. Pulmonary function testing should be performed at the time of evaluation, as early impairment in pulmonary function may herald the onset of pneumonitis [82]. Furthermore, in patients with confirmed pneumonitis, pulmonary function should be monitored serially to evaluate for progression or resolution of pneumonitis. Early consultation with pulmonary experts is recommended, and bronchoscopy with BAL should be performed early in the course of the evaluation of patients who are suspected of having ICI-related pneumonitis in order to rule out alternative diagnoses, such as infectious pneumonia. Surgical biopsies of the involved lung parenchyma should be considered in select patients to evaluate the histopathological features of pneumonitis. Transbronchial biopsies are generally not recommended due to poor sensitivity for the detection of ILD [83].

Incidence and Clinical Characteristics of Pneumonitis After ICI Therapy

The incidence of pneumonitis varies with the specific agent. For example, pneumonitis occurs in about 1% of patients treated with ipilimumab, while the incidence with PD-1 and PD-L1 inhibitor monotherapy is 3–5%, and the incidence with combination therapy with PD-1 or PD-L1 inhibitors and CTLA-4 inhibitors is as high as 10% [84–88]. In general, the median onset of pneumonitis is about 3 months [43, 89–91]. Pneumonitis after ICI therapy generally presents as OP or NSIP, but may rarely present as DAD and can have a fulminant course. In this section, we discuss incidence rates and specific forms of pneumonitis that occur with each FDA-approved ICI therapy.

CTLA-4 Inhibitors

Ipilimumab is the only CTLA-4 inhibitor approved by the FDA at the time of this writing. The incidence of pneumonitis with ipilimumab is low, with pneumonitis of any grade occurring in 1.3% of treated patients, and high-grade (grades 3 or 4) pneumonitis occurring in 0.3% of treated patients [92]. The median time from treatment initiation to the onset of pneumonitis has been reported to be around 2.3 months, and the most common pattern of pneumonitis is OP [93]. While some irAEs are more common with CTLA-4 inhibitors than PD-1 or PD-L1 inhibitors [94, 95], pneumonitis is less common, though the mechanism for this difference is unclear [96]. Pneumonitis occurs at about one-third the rate in patients treated with ipilimumab for melanoma treatment as compared to those being treated for renal cell cancer or non-small cell lung cancer [96]. One possibility for this may be the presence of lung disease from cigarette smoking, as has been described in other ILDs [97].

PD-1 and PD-L1 Inhibitors

In this section, we will discuss the PD-1 inhibitors nivolumab and pembrolizumab and the PD-L1 inhibitors atezolizumab, avelumab, and durvalumab. Pneumonitis after PD-1 inhibition occurs as much three times more frequently as compared to conventional chemotherapy regimens across several types of cancers [98]. A recent meta-analysis of clinical trials of nivolumab and pembrolizumab found that the overall incidence of pneumonitis due to anti-PD-1 therapy is around 3% overall and 1.5% for high-grade pneumonitis [98]. However, the incidence in individual trials ranged from around 0.5% in melanoma [94] to around 5% in non-small cell lung cancer [99]. Similar to ipilimumab, the incidence of pneumonitis after PD-1

inhibition seems to be higher in smoking-related cancers. The rate of any-grade pneumonitis and high-grade (grade 3 or higher by CTCAE criteria) pneumonitis in renal cell cancer (any: 4.4%, high: 1.7%) and non-small cell lung cancer (any: 4.3%, high: 2.0%) are higher than in studies of melanoma (any: 1.4%, high: 0.9%) [98]. Similarly, in a case-control study of patients who developed pneumonitis after PD-1 inhibitor therapy, smoking status was not associated with the risk of pneumonitis, but a history of COPD or lung radiotherapy was predictive of pneumonitis [100]. However, there does not appear to be any difference in the incidence of pneumonitis by PD-1 inhibitor dosage, suggesting that irAEs are not directly tied to these therapies in a dose-dependent fashion [98]. This is consistent with our observation that pneumonitis after checkpoint inhibitor therapy appears to be an idiosyncratic phenomenon. Pneumonitis after PD-L1 inhibitor therapy may occur less frequently than after PD-1 inhibitor therapy. In non-small cell lung cancers, the overall incidence of any-grade and high-grade pneumonitis was higher in patients treated with PD-1 inhibitors as compared to PD-L1 inhibitors (PD-1 vs. PD-L1: any: 3.6% vs. 1.3%; high: 1.1% vs. 0.4%) [85].

One key caveat is that because many of these trials were single-arm, open-label studies, these results could be prone to bias. In fact, in patients treated with PD-1 and PD-L1 inhibitors in clinical practice at two high-volume institutions, the rates of pneumonitis after PD-1 or PD-L1 inhibition appear to be similar in those with melanoma (5%) and those with non-small cell lung cancer (4%) [86]. The median time to pneumonitis in that study was 2.8 months from the time of treatment initiation. Further studies are needed to better understand the incidence of pneumonitis, particularly as these therapies are approved for new cancers. For example, in a small sub-cohort, Naidoo et al. found an 11% incidence rate of pneumonitis in patients with hematologic cancers, markedly higher than in melanoma or non-small cell lung cancer [86].

Combination Therapy with PD-1/PD-L1 Inhibitors and CTLA-4 Inhibitors

By inhibiting both the CTLA-4 and PD-1 pathways, it is possible to achieve greater immune activation that may increase anti-tumor responses in certain cancers [101]. However, this also increases the risk for irAEs, including pneumonitis. Compared to monotherapy, the incidence of pneumonitis with combination therapy may be as high as 10%, and the time to onset is usually sooner [86]. Naidoo et al. found that the median time to pneumonitis onset was 2.7 months in patients receiving combination ICI therapy as opposed to 4.6 months in those receiving ICI monotherapy [86]. Wu et al. found a similarly higher incidence of pneumonitis with combination ICI therapy as compared to ICI monotherapy. In combination ICI therapy, the incidence of pneumonitis was almost 7%, and the incidence of high-grade pneumonitis was almost 2% [98]. This suggests that when compared to ICI monotherapy, combination ICI therapy results in a higher risk for any-grade and high-grade pneumonitis, and a faster onset to pneumonitis in patients in whom this

develops. ICI therapies often have durable effects due the induction of immunologic memory [102]. As a result, sequential treatment with PD-1/PD-L1 inhibitors and CTLA-4 inhibitors may have a similar increase in the risk of pneumonitis as with combination ICI therapy where both PD-1/PD-L1 inhibitors are given at the same time. In a small study of 40 patients who received nivolumab or pembrolizumab followed by ipilimumab, Bowyer et al. found that 8% of patients experienced high-grade pneumonitis [103]. This finding needs to be confirmed in a larger study cohort, but suggests that when ICI therapies are given sequentially, the risk of pneumonitis is similar to combination therapy.

Radiologic Patterns of Pneumonitis After ICI Therapy

Pneumonitis after ICI therapy typically presents as NSIP or COP. In clinical practice, in a cohort of 915 patients who received ICI monotherapies or combination therapies, the most common pattern of pneumonitis was NSIP (18/27), followed by COP (5/27). Others have shown that COP is more common after PD-1 [43] or CTLA-4 inhibitor therapy [93]. DAD reactions are rarer and typically have a more severe clinical course, but may still be managed with prompt initiation of immunosuppression.

Other manifestations of pulmonary irAEs have been described in the literature. Airway inflammation with bronchiolitis has been described in a patient who was receiving nivolumab for non-small cell lung cancer [104]. Rapidly recurrent pleural and pericardial effusions were reported in two patients within 8 weeks of initiating nivolumab therapy [105]. An increased incidence of pleural effusions was also noted in the early clinical trials of nivolumab therapy in patients with non-small cell lung cancer, although these effusions could not be definitely attributed to nivolumab, as opposed to progression of disease [106]. ICI-related pleural and pericardial fluid accumulation may be a form of irAE or a form of pseudoprogression. Drug interruption and management of pleural/pericardial drainage procedures are the primary focus of treatment. Initiation of immunosuppressive therapy for recalcitrant effusions is reasonable although the role of steroids in this setting has not been established.

Sarcoid-like reactions have been observed with ipilimumab [93, 107, 108] and with PD-1 inhibition [109, 110]. Sarcoid-like reactions are rare irAEs, and the manifestations vary from case to case. Presentations may include mediastinal lymphadenopathy, pulmonary infiltrates, skin rashes, and renal disease. While these reactions may resemble sarcoidosis clinically, the immunology is not necessarily identical to sarcoidosis that occurs in the general population [107, 111]. However, inhibition of immune checkpoint pathways may increase the population of Th17 cells, which are thought to be involved in non-ICI-related sarcoidosis [112, 113]. Therefore, there is a plausible biological basis for the incidence of sarcoid-like reactions in patients treated with ICI inhibitors. Treatment includes interruption of ICI treatment and systemic steroids. Further work is necessary to understand the incidence of sarcoid-like reactions after ICI therapies.

Areas of Uncertainty

Re-challenge with ICI Therapies After the Occurrence of Pneumonitis

A key question in patients receiving ICI therapy is whether the onset of irAEs such as pneumonitis may indicate a more favorable response to treatment. Some groups have found that patients who experience irAEs have a better treatment response [91, 114], while others have not [115]. Therefore, re-challenge with ICI therapies after the occurrence ICI-related pneumonitis may be desirable. Several groups have reported the safety of resuming ICPI therapy after irAEs [116, 117]. However, the overall incidence of irAEs is higher upon drug re-challenge, with about half of patients experience any-grade irAEs. Furthermore, about 20% of patients experience irAEs which are different from the initial irAE [117]. In other words, patients who develop pneumonitis after ICI therapies may experience a non-pneumonitis irAE upon drug re-challenge. Generally, these events are treatable with corticosteroids and are not fatal [91] though rare fatalities have been reported [117]. However, it is not clear whether ICI re-challenge is of sufficient clinical benefit to warrant the risk of recurrent irAEs [35]. The Society for Immunotherapy of Cancer recommends that drug re-challenge can remain an option in patients with grade 2 pneumonitis that has resolved completely, as well as in select patients with grade 3 pneumonitis that have resolved completely and in whom the benefits of ICI therapies outweigh the risks of recurrent irAEs [118]. Patients with grade 4 pneumonitis should not undergo re-challenge with ICI therapies. Further work in this area is necessary to guide practice algorithms.

Biomarkers to Identify Patients at Risk for Pneumonitis

As noted earlier in this chapter, certain patients may be at higher risk for the initiation of pneumonitis. In particular, patients with pre-existing lung injury from smoking or from radiation may bear a higher risk for ICI-related pneumonitis. Recent advances in imaging techniques have allowed thoracic CT images to be analyzed at the voxel level to detect textural features which are associated with disease or health [119]. A similar approach led to the development of a radiomic-based algorithm which predicted the onset of pneumonitis from pre-treatment thoracic CT scans of patients who underwent ICI therapies [120]. These findings need to be externally validated, but highlight the power of imaging as a biomarker of disease risk.

Interleukin-17 is an inflammatory cytokine that is upregulated in many autoimmune diseases, including inflammatory bowel disease [121]. Elevated serum IL-17 levels were predictive of colitis in patients with melanoma treated with ipilimumab [122]. Similarly, in patients with leukemia, Th1/Th17 cells are expanded in bronchoalveolar lavage fluid from patients with leukemia who developed pneumonitis

after ICI therapy as compared to control patients with leukemia who had not received ICI therapy [123]. Further work is necessary to identify inflammatory biomarkers in the blood or in bronchoalveolar lavage fluid that can help predict the onset of pneumonitis after ICI therapy.

Conclusions

Pneumonitis is a rare but serious irAE that occurs after therapy with PD-1, PD-L1, and CTLA-4 inhibitors. Pneumonitis should be recognized promptly if patients have new pulmonary symptoms such as cough or shortness of breath. The workup in patients with suspected pneumonitis should include pulmonary function testing, thoracic CT imaging, and bronchoscopy with bronchoalveolar lavage to rule out infection. Treatment with corticosteroids is generally effective and results in prompt resolution of symptoms. However, untreated pneumonitis can be fatal. Further work is needed to identify which patients are at the highest risk for the development of pneumonitis after ICI therapies.

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