Chapter 13

Whole Human Mitochondrial DNA Sequencing

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Abstract

Mitochondrial DNA (mtDNA) can help in the identification of biological evidence recovered from crime scenes and human remains. Typically the hypervariable regions are targeted for sequencing; however, more discriminating profiles are obtained if the whole genome is sequenced. Different approaches exist as to how best amplify and sequence whole mtDNA from forensic specimens. Here, we describe a method based on two-round PCR, combining multiplex and simplex PCRs. This method has been used in the analysis of mitochondrial genomes from archival saliva samples applied to FTA® cards after 10 years of transportation and preservation, without special protection. It is expected that this technique can be also used for the analysis of other old biological specimens directly or with modifications related to the level of DNA degradation.

Key words Whole mtDNA , DNA sequencing , Multiplex PCR , Simplex PCR

1 Introduction

Mitochondrial DNA (mtDNA) is a trace evidence of biological origin used for identifying perpetrators. It is also valuable for the identification of human remains based on linkage to maternal relatives. Since the first publications on isolation and sequencing of mtDNA from a variety of biological specimens $[1, 2]$ $[1, 2]$, it became clear that this molecular marker can be of crucial significance in forensic identifications, particularly when samples with low amounts of DNA and/or degraded DNA are available.

The first application of mtDNA in forensics was based on the sequencing of hypervariable sequence regions I and II (HVS-I and HVS-II) $\left[3\right]$. These segments are relatively small (about 400 bp) each) and accumulate far more nucleotide polymorphisms than other regions of the mitochondrial genome due to a comparatively high mutation rate. However, power of discrimination using solely this region can be low, as many people share identical sequences, otherwise known as haplotypes, in HVS-I and HVS-II.

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Additionally, it is sometimes difficult to correctly determine an individual's haplogroup because similar polymorphisms in HVS-I and HVS-II may found in different haplogroups, or the nucleotide polymorphisms are noninformative. To overcome this limitation of partial DNA analysis, the whole mitochondrial genome sequences can be amplified and sequenced. In the majority of cases, this allows for the unambiguous placement of a given mtDNA genome into a haplogroup.

There are two approaches to whole human mtDNA sequencing. The first traditional approach is based on amplification of overlapping segments covering the entire genome, followed by Sanger sequencing. The second method was recently developed, based on a next- generation sequencing technology. Because the latter approach is currently under evaluation and may not yet meet forensic standards $[4, 5]$ $[4, 5]$, this chapter focuses on the multiplex PCR and Sanger sequencing technique, which is achievable in the majority of forensic laboratories.

Numerous PCR-based protocols have been developed to sequence the whole human mtDNA. These protocols differ in the number of PCR primers encompassing amplicons of varying size or in the amount of sequencing primers annealing to large PCR fragments (up to approximately 8.5 kb) $[6–11]$. Procedures are optimal for different applications depending on the quality and quantity of biological specimens and DNA isolated from them. In general, whole mtDNA sequencing protocols applicable for forensics should pursue the following criteria developed in $[11]$ and supplemented in this chapter:

- 1. Each nucleotide should be determined from at least two independent sequencing reactions using different primers [\[11](#page-14-0)].
- 2. The number of PCR amplicons or sequencing primers required to cover the entire mitochondrial genome should be kept minimal [[11](#page-14-0)].
- 3. Primers should produce an optimal signal-to-noise ratio, allowing for heteroplasmy detection [11].
- 4. When analyzing degraded samples primers should encompass relatively short PCR segments to permit stable amplification of mtDNA amplicons from degraded DNA and samples with low amounts of DNA.

Old and partially degraded samples are frequently met in forensic investigations. However, no approach has been specifically designed for the reconstruction of whole mtDNA from such sampling. In this chapter, we demonstrate that the human whole mtDNA can be efficiently amplified and sequenced from saliva specimens collected in 1997 in Central Asia. These samples were placed in 1.5 ml tubes and transported at ambient temperature. Tubes were then stored in a freezer at −20 °C for 10 years before

applying the saliva samples to FTA cards. Some recent protocols suggest carrying out amplification of the whole mitochondrial genome with only two overlapping amplicons of about 8.5 kb each [10, [11\]](#page-14-0). This technique is useful for relatively fresh blood and biopsy samples, but it was unable to produce results for old saliva samples with degraded DNA. Such difficult samples require an approach based on multiplex PCR followed by simplex PCRs with specific primer pairs and DNA sequencing of purified amplicons. We found that the primers published in $[7]$ are better suited for successful reconstruction of whole mtDNA sequences from archival saliva specimens. Primers used for the first-round and secondround PCRs are listed in Table [1](#page-3-0).

2 Materials

Table 1
Primer pairs used for the PCR of human mtDNA[®] **Table 1 Primer pairs used for the PCR of human mtDNA a**

"The primer sequences are taken from [7]. The 5′ coordinates correspond to the positions at the revised Cambridge Reference Sequence of the human mtDNA [13].
Primer 17F sits over nucleotide position 10400 characteristic fo "The primer sequences are taken from [7]. The 5' coordinates correspond to the positions at the revised Cambridge Reference Sequence of the human mtDNA [13].
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 Table 2 Appropriate primer mixing for the first-round PCRs

- 2. ZR-96 DNA Clean-up Kit with DNA Binding Buffer, Wash Buffer, Silicon-A Plate, Collection Plate, and Elution Plate (Zymo Research).
- 3. Nuclease-free water.
- 1. BigDye Terminator v.3.1 Cycle Sequencing Kit with 5× Dilution Buffer and the BigDye reaction mixture (Life Technologies). *2.5 DNA Sequencing*
	- 2. Sequencing primers corresponding to the forward and reverse PCR primers.
	- 3. Nuclease-free water.
- 1. BigDye XTerminator Purification Kit with XTerminator solution and SAM solution (Life Technologies). *2.6 DNA Sequencing Purifi cation*

3 Methods

At all times during the extraction and amplification of human mtDNA, rigorous precautions must be followed in order to minimize the possibility of cross-transfer of mtDNA molecules between different samples. Negative extraction and amplification controls should be included to detect such cross-transfer and contamination. Pre-PCR and post-PCR procedures are to be divided between separate, isolated lab rooms and performed inside DNA workstations specially dedicated for each technological step such DNA isolation, PCR setup, and preparing DNA sequencing reactions after at least 2 h of irradiation under UV light in the hood to be used. After amplification, PCR tubes should be opened and agarose gel electrophoresis runs in a specialized electrophoresis room. Lab coats, reagents, and supplies must not be brought to DNA isolation and pre-PCR room from post-PCR/electrophoresis room.

3.3 Polymerase Chain Reactions (PCRs)

3.3.1 First-Round (multiplex) PCR

- 1. In a PCR workstation, prepare the primer mixture by pipetting the pairs of forward and reverse primers $(5 \mu M)$ together into one tube to obtain a primer solution in which each primer has a concentration of 1 μM. Primer pairs should be mixed in combinations according to Table [2](#page-5-0) (*see* **Note 1**).
- 2. Prepare the multiplex PCR master mix as indicated in Table [3.](#page-8-0) After each master mix has been made, pipette 50 μl of master mix into each PCR tube (or the specific wells of a 96-well plate) that contain FTA disks, one PCR tube/well with a blank extraction control, and one empty PCR tube/well for a negative PCR control.
- 3. Place PCR tubes or plate in thermocycler using parameters specified for a particular thermostable DNA polymerase and PCR primers. Thermal cycling conditions optimal for GoTaq DNA Polymerase included an initial denaturation at 95 °C for 2 min, followed by 30 cycles of denaturation for 1 min at 95 °C, primer annealing for 1 min at 58 °C, and extension for 2 min at 72 °C. After the last cycle, the final extension for 5 min at 72 °C was added (*see* **Note 2**).
- 1. In a PCR workstation after UV treatment lasting two hours, separately prepare the master mixtures for each primer pair used in second-round PCR according to the recipe indicated in Table [4.](#page-8-0) *3.3.2 Second-Round (Simplex) PCR*
	- 2. After each master mix is made, pipette 20 μl of the appropriate master mix into PCR tubes or specific wells of a 96 well plate.
	- 3. Add 5 μl of the appropriate template from the first-round PCR into the appropriate tube/well.
	- 4. For every primer pair, pipette 20 μl of master mix into a new PCR tube or an empty well, adding 5 μl of nuclease-free water instead of DNA template. This will be your second-round PCR negative control.
	- 5. Place PCR tubes or plate in thermocycler using parameters specified for a particular thermostable DNA polymerase and PCR primers. Thermal cycling conditions optimal for GoTaq DNA Polymerase included an initial denaturation at 95 °C for 2 min, followed by 30 cycles of denaturation for 1 min at 95 °C, primer annealing for 1 min at 58 °C, and extension for 1 min at 72 °C. After the last cycle the final extension for 5 min at 72 °C was added (*see* **Note 2**).
- 1. In an electrophoresis room, prepare a 1 % agarose gel by adding 1 g agarose to 100 ml of 1× TAE buffer in a 250 ml Pyrex glass bottle. Melt the agarose in a microwave oven by heating the mixture for 1–2 min (depending on the oven's power) until dissolved, with the bottle's lid placed ajar on top to reduce buffer evaporation. Do NOT seal the lid on the bottle! *3.4 Agarose Gel Electrophoresis*

 Table 3 First-round (multiplex) PCR recipe

Table 4 Second-round (simplex) PCR recipe

- 2. Warning: the bottle will be hot! Carefully remove bottle and let cool on bench to approximately 65 °C. Add 1 μl of ethidium bromide with a concentration of 10 mg/ml. Swirl bottle 2–3 times to mix solution. Ethidium bromide is considered to be a hazardous chemical; practice caution in handling using protective wear, such as gloves and a lab coat. Properly dispose of ethidium bromide- containing gels and buffers according to your institution's biosafety regulation.
- 3. Pour into a gel tray with a comb corresponding to the number of your samples. Let the gel solidify for at least 30 min for complete polymerization.

PCR Purification

- 4. Add 1 μl of a 100-bp DNA Ladder solution to the first well. Starting with the second well, pipette 3–5 μl of each secondround simplex PCR reaction and each negative control (FTA extraction, first-round, and second-round negative controls) into individual wells (*see* **Note 3**). Be sure each sample is loaded into the appropriate well and not drifting into the well next to it.
- 5. Run electrophoresis for approximately 30–60 min at 80–100 V, remove the gel from tray, and view with UV light using a UV transilluminator or gel documentation system (*see* **Note 4**). In the image, find DNA bands analogous to the expected size as determined from the positions of primers on the human mtDNA. Second-round PCR products should generate a single bright band. If the negative controls do not produce bright or faint PCR bands (Fig. [1\)](#page-10-0), move on to purification of the simplex PCR mixture remaining in the corresponding PCR sample tubes or plate's wells (Subheading 3.5).
- 6. If DNA products of the expected length are present in the negative extraction and the first-round PCR negative controls, dispose of the tubes or wells with corresponding PCRs and repeat the first- and second-round PCRs for the particular primer pairs. If DNA bands of the expected size are present only in the second-round negative controls, discard the second-round PCR tubes or wells with the particular primer pair and setup new second-round PCRs with the same primer pair.
- We recommend using the Zymo-Spin Column Purification for PCR products in individual tubes and the Zymo Silicon-A Plate protocol when there are PCR samples in a plate to clean. *3.5 PCR Purifi cation*
- 1. Label a 1.5 ml reaction tube and spin column with specimen code. *3.5.1 Zymo-Spin Column*
	- 2. Add 100 μl of DNA Binding Buffer to each PCR reaction tube.
	- 3. Pipette PCR reaction mixture into Zymo-Spin Column in a collection tube and centrifuge at 13,000 rpm for 30 s.
	- 4. Discard flow through and place column back into collection tube.
	- 5. Add 200 μl Wash Buffer to the column and centrifuge at $14,549 \times g$ for 30 s.
	- 6. Discard flow through, place column back into collection tube and repeat **step 5** for a total of two washes.
	- 7. Discard flow through and collection tube. Place column into appropriate 1.5 ml reaction tube from **step 1**.
	- 8. Add 40 μl of water and let column stand for 1 min.
	- 9. Centrifuge at 13,000 rpm for 30 s and discard spin column. Store products in a freezer.

 Fig. 1 Agarose gel electrophoresis showing PCR results. Five second-round PCR products obtained with the D1F and D1R primers are in *lanes 2–6* . The exACT-Gene ladder (Fisher BioReagents) is in *lane 1* . The negative extraction and PCR controls containing no PCR fragments are in *lanes 7* and *8* , respectively

500–1000 bp 5–20 ng

 Table 6 DNA sequencing reaction recipe a

a One eighth of the cycle sequencing reaction mixture recommended by Life Technologies is used.

- 2. Open resulting electropherogram files and perform visual inspection of the mtDNA sequences by two researchers independently with BioEdit [[12](#page-14-0)] or 4Peaks [\(http://www.nucleo](http://www.nucleobytes.com/)[bytes.com \)](http://www.nucleobytes.com/). Many commercial softwares are available, but these are freeware that can be downloaded from the internet.
- 3. Align sample sequences to the revised Cambridge Reference Sequence $[13]$ using MEGA5 $[14]$ and identify variant sites in the sequences. MEGA5 can be replaced with any other software that can process DNA sequences including alignment, trimming, and assembling.
- 4. Submit mtDNA HVS1 and HVS2 polymorphisms to HaploGrep $[15]$, an online application that associates mtDNA haplotypes with specific haplogroups by comparison to a phylogenetic tree of global human mtDNA variation (mtDNA tree Build [16](#page-14-0) on PhyloTree.org) $[16]$, to make a preliminary estimation of haplogroup association.
- 5. Verify the haplogroups determined through the HVS1 and HVS2 sequences by searching for the association of particular mutations found in your whole mtDNA sequence with the mtDNA tree Build 16 using the "Find" function in PhyloTree.org.

4 Notes

- 1. Do not amplify overlapping fragments of mtDNA in the same PCR, as it can lead to accumulation of short amplicons flanked by the reverse primer of the upstream fragment and the forward primer of the downstream fragment.
- 2. Each primer pair for the first-round and second-round PCRs was designed to anneal at 58 $^{\circ}$ C [7]. If different primers are used for the amplification of shorter amplicons, adjust annealing temperature according to the melting temperature for new

primers using a *T*m (melting temperature) Calculator on the BioMath page of the Promega web site at [www.promega.com/](http://www.promega.com/biomath) biomath. The annealing temperature of the primer pair equals the lowest T_m of any individual primer in the mixture, minus 3 °C. The time of extension for GoTaq DNA Polymerase is 1 kb/min. If a different thermostable polymerase is used, modify the cycling parameters according to the manufacturer's recommendations.

- 3. The Green and Colorless GoTaq Flexi Buffers give approximately equivalent yields. For PCRs containing the 5× Green GoTaq Flexi Buffer, load the reaction onto the agarose gel directly after amplification. Reactions containing the $5x$ Colorless GoTaq Flexi Buffer may also be loaded directly onto the agarose gel, but a tracking dye (e.g., 6× blue/orange loading dye) must be added to monitor the progress of electrophoresis [[17](#page-14-0)]. Add a tracking dye to the DNA ladder solution.
- 4. UV transilluminator produces potentially harmful UV light. Protect eyes and skin from exposure to UV light. For safety, a gel documentation system has an automatic shut off for UV light when door is opened.
- 5. Each sequencing run results in a electropherogram file in .ab1 format.

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