# **Chapter 4**

## **Mesenchymal Stem Cells in Cardiology**

### Ian A. White, Cristina Sanina, Wayne Balkan, and Joshua M. Hare

#### Abstract

Cardiovascular disease (CVD) accounts for more deaths globally than any other single disease. There are on average 1.5 million episodes of myocardial infarction (heart attack) each year in the United States alone with roughly one-third resulting in death. There is therefore a major need for developing new and effective strategies to promote cardiac repair. Intramyocardial transplantation of mesenchymal stem cells (MSCs) has emerged as a leading contender in the pursuit of clinical intervention and therapy. MSCs are potent mediators of cardiac repair and are therefore an attractive tool in the development of preclinical and clinical trials. MSCs are capable of secreting a large array of soluble factors, which have had demonstrated effects on pathogenic cardiac remolding, fibrosis, immune activation, and cardiac stem cell proliferation within the damaged heart. MSCs are also capable of differentiation into cardiomyocytes, endothelial cells, and vascular smooth muscle cells, although the relative contribution of trilineage differentiation and paracrine effectors on cardiac repair remains the subject of active investigation.

Key words Mesenchymal stem cell, Cardiology, Myocardial infarction, Clinical trial, Cardiovascular disease

#### 1 Introduction

While our knowledge of the developmental origin of MSCs is still relatively limited, it is widely believed that MSCs are derived from mesoderm, one of the three germ layers that form at gastrulation during the development of the mammalian embryo [1]. It is from this mesodermal layer that cells destined to form the myocardium of the heart are also derived [2]. During heart tube formation, promyocardial cells migrate from the lateral plate mesoderm to populate the primordium of the left ventricle and sinus venosus. The outflow tract and right ventricle are then simultaneously populated with cells migrating from a second cardiogenic area located posterior to the dorsal wall of the pericardial cavity [3].

Within 3 weeks of gestation the human heart demonstrates the first signs of peristaltic contraction, while mesoderm-derived cells continue to migrate into the heart as it grows. This hyperplastic growth continues until birth at which point the organ has received

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a full complement of cardiac cells [2, 3]. During the first few days following birth, cardiomyocytes undergo a final round of karyokinesis in the absence of cytokinesis resulting in binucleation and exit from the cell cycle [4, 5]. Postpartum organ growth to adulthood is then primarily the result of cardiomyocyte hypertrophy rather than cellular proliferation. For decades it was believed that this program made the heart a post-mitotic organ, unable to replenish lost cells once depleted [6]. However, during the 1990s several researchers began describing an ability of mature cardiomyocytes to re-enter into the cell cycle in vitro, although this process resulted in rapid apoptosis of the cells [7]. More recently some key studies have expanded on this observation, demonstrating sustained cytokinesis of postpartum mammalian cardiomyocytes in vivo. The first of these studies identified a transient ability of the murine neonatal heart to repair in response to partial resection of the left ventricular apex [8]. Within a finite developmental window, mature cardiomyocytes can undergo sarcomeric disassembly and re-enter mitosis with the resulting progeny contributing directly to recellularization of the injury site. The second study demonstrated an ability of human cardiac tissue to replace between 0.45 and 1 % of cells per year throughout the human lifespan [9], based on abrupt changes in the cellular incorporation of the radioisotope <sup>14</sup>C of humans exposed following the Limited Nuclear Test Ban Treaty of 1963. Together these observations have led to a revolution in the perception of cardiovascular disease, dispelling concerns that repair of the mammalian heart was not feasible and giving hope to interventional strategies geared toward promoting endogenous repair mechanisms.

#### 2 Cardiovascular Disease

Cardiovascular disease (CVD) continues to account for more deaths globally than any other single disease. The most recent data show that Americans suffer an average of 1.5 million episodes of acute myocardial infarction (AMI) each year with roughly one-third resulting in death [10]. This rate translates to about one heart attack every 30 seconds and one cardiac-related death every 1.5 minute within the United States alone. Morbidity associated with post-infarction cardiomyopathy is also a significant problem and accounts for approximately 6 million hospital visits per year, thereby contributing significantly to annual healthcare costs.

AMI is the result of blockage to one or more of the main coronary arteries. Upon occlusion, a region of permanent injury containing dead and dying cells, known as an infarct, develops. Blood supply is interrupted within the developing infarct and the area rapidly becomes hypoxic [11]. Cardiomyocytes are comparatively resistant to chronic hypoxia at neutral pH. However, when the

extracellular pH drops below 6.5 cardiomyocytes undergo extensive hypoxia-induced death. Hypoxia in cardiomyocytes causes a switch from oxidative to glycolytic energy generation, resulting in increased glucose consumption, lactic acid production, and lower intracellular pH. Increased plasma lactate levels reflect this metabolic shift and are diagnostic of infarction in ischemic heart disease [12]. Chronic hypoxia in the presence of high glucose leads to progressive acidosis of cardiac myocytes. The resulting hypoxiaacidosis leads to apoptosis of cardiac cells within the infarct zone followed by vascular collapse and extensive tissue necrosis [12, 13]. A typical human infarct can result in the loss of over 1 billion cardiomyocytes [14], the tissue being replaced by the formation of a permanent, avascular collagenous scar, that averts an otherwise inevitable ventricular rupture. This process of cardiac remolding, while rapid in onset, can take several weeks to complete. When completed, these changes lead to significant reduction in cardiac function and ultimately to heart failure and death. While examples exist in nature that demonstrate an innate regenerative ability of cardiovascular tissue following injury [15, 16], this capability has been largely lost in mammalians, possibly as a consequence of increased body mass and greater systemic blood pressure.

Studying large and small animal models of AMI have led to the development of strategies to improve the reparative response of mammalian cardiac tissue. In this regard recent focus has been directed at engaging endogenous repair mechanisms through various interventions including the use of exogenous stem cell transplantation. The rationale for this approach is that the proliferative and multilineage differentiation capacity of stem cells conveys latent potential for organ regeneration through the formation of new tissue and also through the initiation of neovascularization. Pluripotent stem cells, such as embryonic stem cells (ESCs) and induced pluripotent stem cells (iPSCs), were early candidates for regenerative therapy as they retain the most potential for multilineage differentiation and proliferation. However, as a therapeutic cell for cardiac regeneration they are fraught with biological (and in the case of ESCs, ethical) issues, including risk of teratoma formation [17] and have therefore proved to be of little direct use.

The limited differentiation capacity of adult stem cells suggested a more refined approach and in 2003 the first phase I clinical trial using adult skeletal myoblast stem cells was carried out [18]. After initial optimism triggered by cell engraftment and enhancements in left ventricular function, the effects were found not only to be unsustainable, but also to lead to arrhythmias due to a lack of electrical coupling with host cardiomyocytes [19]. However, with the discovery of cardiac stem cells (CSCs) [20] came an exciting opportunity to improve engraftment and enhance functional integration. The recent SCIPIO trial [21] established CSCs as an effective therapeutic cell demonstrating cardiac engraftment, enhancement of coronary vasculature, and a contemporaneous reduction in scar volume. These initial results are promising, and efforts aimed at increasing cell numbers obtained from tissue biopsies are currently moving forward. In the interim a variety of other adult cell types have been examined in preclinical and clinical trials. Some of the most consistently successful results for the induction of cardiac repair have been seen with the use of MSCs, which have been isolated from bone marrow and adipose tissue, expanded in culture and used successfully in preclinical and clinical trials (*see* Table 1 for list of clinical trials).

#### 3 Mesenchymal Stem Cells

The term "Mesenchymal Stem Cells" (MSCs) was coined by Arnold Caplan in the early 1990s [22, 23], although the cells were first described in the 1970s by Alexander Friedenstein as a population of bone marrow stromal cells capable of mesodermal differentiation and trophic support of hematopoiesis [24, 25]. Since their discovery, there have been over 20,000 publications on the subject of MSC biology and their clinical applications. However, despite this attention a consensus has yet to be reached regarding the exact identity of these cells. In an attempt to standardize the field, the Mesenchymal and Tissue Stem Cell Committee of the International Society for Cellular Therapy (ISCT) set minimal criteria in 2001 for defining MSCs. The primary feature set forth by the committee, as definitively representative of MSCs, is an adherence to plastic substrate together with a capacity for multilineage differentiation toward osteo-, adipo-, and chondrocytic lineages. The committee also recommended that MSCs should express cluster of differentiation (CD) markers: CD90, CD105, CD44, CD106, CD166, CD73, and CD29 while not expressing CD45, CD34, CD14, CD11b, CD19, CD31, and HLA-DR [26]. While broadly accepted, labs have not universally adopted these criteria. Indeed, the designation Mesenchymal Stem Cell and Multipotent Stromal Cell in reference to MSCs is routinely and interchangeably used. This lack of consensus has made navigating the MSC literature and following the development of MSC biology more of a challenge.

MSCs have been isolated from several different species and from nearly every tissue type, suggesting that MSCs likely reside in all postnatal organs [22, 27, 28]. The cells reside within organs in a perivascular distribution where they contribute to niche maintenance and tissue homeostasis [29–31]. MSCs typically do not mobilize to the peripheral blood and constitute only around 1 in  $10^8$  of the total peripheral mononuclear cell population [32, 33]. Therefore, to obtain sufficient numbers for transplantation it is necessary to isolate MSCs from a tissue or organ and expand them in vitro, using specific protocols [34–39].

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Study	Year/country	Study ID	Phase	Cell type	Delivery method	No. treated	Primary outcome
Prospective randomized study of mesenchymal stem cell therapy in patients undergoing cardiac surgery (PROMETHEUS)	2007-2011/USA	NCT00587990	1–2	Autologous	Intraoperative intramyocardial	45	Safety/efficacy study
Intracoronary autologous mesenchymal stem cells implantation in patients with ischemic dilated cardiomyopathy	2012–Pres./Malaysia	NCT01720888	7	Autologous	Intracoronary	80	Efficacy study
Left ventricular assist device combined with allogeneic mesenchymal stem cells implantation in patients with end-stage heart failure	2012-Pres./Greece	NCT01759212	2-3	Allogeneic	Intraoperative intramyocardial	ы	Efficacy study
Allogeneic stem cells implantation combined with coronary bypass grafting in patients with ischemic cardiomyopathy	2012-Pres./Greece	NCT01753440	2-3	Allogeneic	Intraoperative intramyocardial	30	Efficacy study
A phase IIa, single-blind, placebo-controlled, crossover, multicenter, randomized study to assess the safety, tolerability, and preliminary efficacy of a single intravenous dose of ischemia-tolerant allogeneic mesenchymal bone marrow cells to subjects with heart failure of non-ischemic etiology	2012-Pres./USA	NCT02123706	2a	Allogeneic	Intravenous	20	Safety/efficacy study
The percutaneous stem cell injection delivery effects on neomyogenesis pilot study (The POSEIDON-Pilot Study)	2010-2013/USA	NCT01087996	1–2	Allogeneic/ autologous	Transendocardial injection	30	Safety/efficacy study
A phase 1 randomized, double-blind, placebo- controlled, dose escalation, multicenter study to determine the safety of intravenous ex-vivo cultured adult human mesenchymal stem cells (Provacel) following acute myocardial infarction	2005–2009/USA	NCT00114452		Allogeneic	Intravenous	53	Safety study
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Study	Year/country	Study ID	Phase	Cell type	Delivery method	No. treated	Primary outcome
Randomized clinical trial of intravenous infusion umbilical cord mesenchymal stem cells on cardiopathy (RIMECARD)/dilated cardiomyopathy	2012-Pres./Chile	NCT01739777	-	Allogeneic	Intravenous	30	Safety/efficacy study
Safety and efficacy of intracoronary adult human mesenchymal stem cells after acute myocardial infarction (SEED-MSC)	2007–2010/Korea	NCT01392105	2	Autologous	Intracoronary	80	Safety/efficacy study
"ESTIMATION Study" for endocardial mesenchymal stem cells implantation in patients after acute myocardial infarction	2011–Pres./Russia	NCT01394432	60	Autologous	Transendocardial injection	50	Efficacy study
Umbilical cord-derived mesenchymal stem cells therapy in ischemic cardiomyopathy	2013–2014/China	NCT01946048	1	Allogeneic	Intraoperative intramyocardial	10	Safety/efficacy study
Mesenchymal stem cells and myocardial ischemia (MESAMI)	2010–2014/France	NCT01076920	1, 2	Autologous	Transendocardial injection	10	Safety/efficacy study
Transendocardial autologous cells (hMSC or hBMC) in ischemic heart failure trial (TAC-HFT)	2008–2012/USA	NCT00768066	1, 2	Autologous	Transendocardial injection	67	Safety/efficacy study
TRansendocardial stem cell injection delivery effects on neomyogenesis study (the TRIDENT study)	2013-Pres./USA	NCT02013674	7	Allogeneic	Transendocardial injection	45	Safety/efficacy study
PercutaneOus StEm Cell Injection Delivery Effects On Neomyogenesis in Dilated CardioMyopathy (The POSEIDON-DCM Study)	2011–Pres./USA	NCT01392625	1, 2	Autologous/ allogeneic	Transendocardial injection	36	Safety/efficacy study
Mesenchymal stem cells to treat ischemic cardiomyopathy	2010–2013/Brazil	NCT01913886	1, 2	Autologous	Intracoronary	10	Safety/efficacy study
Combined CABG and stem cell transplantation for heart failure	2006–2010/Finland	NCT00418418	7	Autologous	Intraoperative intramyocardial	60	Efficacy study
A phase II, multicenter, randomized, double-blind, placebo-controlled study to evaluate the safety and efficacy of PROCHYMAL®	2009-2016/USA	NCT00877903	5	Allogeneic	Intravenous	220	Safety/efficacy study

Clinical trial of autologous adipose tissue-derived 2012–2014/Japan stromal cell therapy for ischemic heart failure Stem cell therapy for vasculogenesis in natients with 2005–2009 /
2005–2009, Denmark
2008–2014/USA
2008–2014, Denmark
2013–Pres./ Republic of Korea
2013–2016/Spain
2012-2014/USA
2011-2012//China
2009–2012/India
2008–2010/Spain
2013–2017/USA

Table 1 (continued)

Study	Year/country	Study ID	Phase	Phase Cell type	No. Delivery method treated	No. treated	Primary outcome
Effect of intramyocardial injection of mesenchymal precursor cells on heart function in people receiving an LVAD	2009-2011/USA	NCT00927784 2	2	Allogeneic	Intraoperative intramyocardial	10	Safety/efficacy study
The purpose of this study is to evaluate the efficacy and safety of a allogenetic mesenchymal precursor cells (CEP-41750) for the treatment of chronic heart failure	2014–2018/USA	NCT02032004	ŝ	Allogeneic	Transendocardial injection	1730	Safety/efficacy study
Safety study of allogeneic mesenchymal precursor cell infusion in myocardial infarction	2012–2014/New Zcaland; Denmark; Belgium; Australia	NCT01781390	7	Allogeneic	Intracoronary	225	Safety/efficacy study

The fact that tissue isolation and culturing techniques appear to contribute to differences in cell characteristics, combined with their apparent ubiquitous distribution, highlights the potential heterogeneity within various MSC isolates. Consequently, several subpopulations of MSCs have been described, some of which are described below:

- Recycling Stem (RS) cells represent the smallest population, and most rapidly dividing in culture. These bone marrowderived cells are considered the most primitive and exhibit a greater potential to differentiate into osteoblasts, adipocytes, and chondrocytes under standard conditions [40, 41].
- Multipotent Adult Progenitor Cells (MAPCs) are the only MSCs to display immortality in culture, and demonstrate a capacity to differentiate into cells of all three germ layers (endoderm, mesoderm, and ectoderm) [42, 43].
- Human Bone Marrow-derived Multipotent Stem Cells (hBMSCs) [44] also have the capacity to differentiate into cells of all three germ layers.
- Human Marrow-Isolated Adult Multilineage Inducible (MIAMI) cells, which in addition to being multi-potent, express numerous markers found among embryonic stem cells and pancreatic islet cells [45].
- Cardiac Stromal Cells (CStCs) are a novel MSC subpopulation arising from cardiac tissue [46]. Compared to BM-derived MSCs, these cells demonstrate an enhanced ability to express cardiovascular markers and differentiate into cardiomyocytes [46], while exhibiting a reduced ability to differentiate along the osteogenic and adipogenic lineages.
- Subpopulations of c-kit (CD117) positive MSCs. While not a defining characteristic, there is some evidence to suggest that these cells may constitute a more homogeneous group of primitive MSCs exhibiting greater capacity for endodermal differentiation and enhanced multilineage differentiation efficiency [40, 47, 48].

In summary, MSCs comprise a heterogeneous population of multipotent adult mesodermal progenitors. They possess several biological properties, such as a broad differentiation potential, low immunogenicity, an ability to modulate host immune responses, and to produce and secrete an array of factors that promote tissue remodeling. These features make them attractive candidates for cell-based therapies, and will be explored in detail below as they pertain to myocardial infarction as the primary cause of cardiovascular disease in humans.

#### 4 Cardiac Immunobiology

Perhaps one of the most striking features of MSCs is their capacity for immune obscurity [49-51]. This property is (in part) due to the absence of Major Histocompatibility Complex (MHC) class II, CD40 ligand, and CD80/86 (B7 costimulatory molecules) expression [52–54], all of which are involved in allogeneic tissue rejection. MSCs also lack, or express at very low levels, MHC class I, which is typically used by natural killer cells (NK) and cytotoxic T cells (CTLs) to differentiate healthy "self" from unhealthy cells or "non-self." This lack of MHC-I shields MSCs from detection by allogeneic CTLs, but makes them conspicuous to activated NK cells, which exhibit cytolytic activity against both autologous and allogeneic MSCs [55, 56]. Despite the potency of immune modulation exerted by MSCs (or perhaps because of it) a mechanism seems to have evolved that systematically removes autologous MSCs over time whether infection or malignant transformation has occurred or not. Elimination is comparatively enhanced for allogeneic MSCs, the rate of which seems to be dictated by a balance between their relative expression of immunogenic and immunosuppressive factors [57, 58]. While some concerns regarding the relative immunogenicity of allogeneic MSCs might be obviated by using autologous MSCs, there are compelling reasons for developing an "off the shelf" modality for allogeneic MSCs. The low numbers of autologous MSCs that can be isolated from an individual necessitates ex-vivo expansion of cells leading to unavoidable delays between isolation and re-infusion. Moreover, the quantity and quality of MSCs dramatically diminish with age [59], and several genetic diseases preclude the use of autologous MSCs [60]. Furthermore, the effect ex vivo culture has upon the immunogenicity of autologous MSCs has yet to be determined experimentally.

One of the challenges facing the use of MSCs for cell therapies is that their immune evasion is hampered upon differentiation, when the cells upregulate MHC expression, thus compromising their covert status and making them visible to the immune system [61]. Clearly this detectability has implications for their use in the treatment of AMI, where MSC differentiation to cardiomyocytes may play a therapeutic role. In a swine model of chronic ischemic cardiomyopathy, our group and others have reported the capacity of allogeneic MSCs to engraft and undergo multilineage differentiation [62, 63]. However, long-term success of MSC engraftment into post-infarct myocardium has yet to be satisfactorily demonstrated, possibly due to the fact that the cells are being attacked by the immune system upon differentiation. The future success of MSC-based cellular therapies for the treatment of CVD will therefore benefit from studies aimed at exploiting and enhancing the immune evasive properties of MSCs.

The immunomodulatory properties of MSCs have been extensively described throughout the literature and represent arguably one of the principal mechanisms of action against adverse cardiac remodeling following AMI. Immune modulation is not, however, a default function of MSCs and specific activation is required by inflammatory mediators to stimulate the immunomodulatory activity of MSCs [64]. Following AMI, proinflammatory chemokines are rapidly released by tissue-resident macrophages activated by damage-associated molecular patterns (DAMPs) [65]. These chemokines bind to glycosaminoglycans on endothelial cell surfaces and in the extracellular matrix, causing a respiratory burst in neutrophils and their subsequent degranulation [66]. The release of reactive oxygen species, proteases, arachidonic metabolites, and other proinflammatory mediators leads to robust immune activation and severe damage of the vascular endothelium and myocardium [67]. MSCs used in models of lung injury [68], diabetes [69], and sepsis [70] appear to be motivated by this stimulus to adopt a modulatory role, diminishing the apoptosis and degranulation of neutrophils, therefore tempering the magnitude of the innate response.

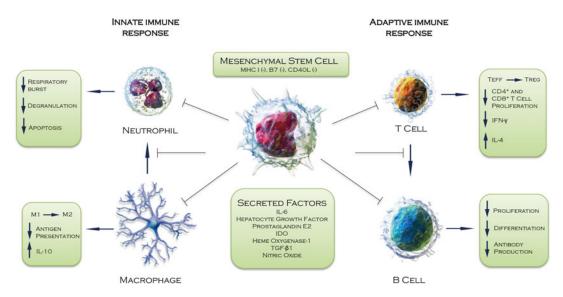
Once "primed" by pro-immunogenic stimuli, MSCs exhibit modulatory activity on several aspects of the immune system, most notably a major effect on resident macrophages. Macrophages can be broadly separated into two distinct categories. Classically activated (M1) macrophages represent the proinflammatory arm, whereas alternatively activated (M2) macrophages represent an anti-inflammatory, reparative branch [71, 72]. Through the secretion of PGE2, MSCs promote the M2 phonotype even in the presence of heavy proinflammatory stimuli that would normally lead to M1 phenotypes [70, 73, 74]. A shift from an M1 to an M2 phenotype results in decreased production of IFN- $\gamma$  and TNF- $\alpha$ , potent proinflammatory cytokines, and promotes the production of the anti-inflammatory cytokine IL-10. The effect is a further tempering of neutrophil recruitment and activation. Cardiac fibroblasts, responsible for the production and deposition of collagen leading to the establishment of a permanent scar, respond to an array of proinflammatory cytokines (e.g., tumor necrosis factor-α, interleukin (IL)-1, IL-6, and transforming growth factor-beta (TGF-β) all of which are produced by M1 macrophages in the myocardium post-MI) [73, 75]. The healing process requires a precise balance between removal of debris and regulation of scar formation. Depletion of macrophages in infarcted hearts impairs collagen deposition, however it also inhibits necrotic cell clearance, angiogenesis and predisposes the heart to rupture [76]. The molecular pathways that control the balance between the proinflammatory (M1) and reparative (M2) functions of macrophages therefore represent one potential target for MSC-mediated modulation of pathogenic cardiac remodeling and enhancement of repair [77].

Dendritic cells (DC) are phagocytic antigen-presenting cells, which link the innate immune system to the adaptive immune system. MSCs modulate the function and maturation of DCs in co-culture experiments [78, 79], and hamper migration to lymph nodes in vivo and mitigate their T-cell allostimulatory capacity [80]. Activated MSCs also act directly upon the adaptive immune response by suppressing T-cell proliferation, as demonstrated in mixed lymphocyte reactions, through the release of soluble factors including indoleamine 2,3-dioxygenase (IDO) [81], PGE2 [82], nitric oxide (NO) [83], heme oxygenase-1 (HO-1) [84], hepatocyte growth factor (HGF), and transforming growth factor- $\beta$ (TGF-β) [54, 85]. In addition to inhibiting T-cell proliferation, MSCs can also influence T-cell lineage commitment. In a rat allograft model, combined intrathymic (i.t.) and intravenous (i.v.) injection of MSCs prolonged survival of the transplanted heart. The allograft survival was associated with a shift in the Th1/Th2 balance, and an upregulation of CD4<sup>+</sup>, CD25<sup>+</sup>, and Foxp3<sup>+</sup> T regulatory (Treg) cell differentiation. A concordant decrease in the level of proinflammatory cytokines interleukin 2 (IL-2) and interferon-gamma (IFN- $\gamma$ ) and an increase in the levels of anti-inflammatory IL-4 and IL-10 were also reported. The B cell, which produces antibodies, is highly dependent on T cells and MSC inhibition of T-cell activity and proliferation likely contribute a major role in MSC-dependent B-cell modulation [86]. However, human MSCs have been observed to directly inhibit the proliferation, differentiation, and chemotactic behavior of mature B cells when preactivated with exogenous IFN- $\gamma$  [87]. In an allogeneic co-culture experiment, B cells arrested in the  $G_0/G_1$  phase of the cell cycle, IgM, IgG, and IgA production were significantly impaired and expression of chemotactic receptors CXCR4, CXCR5, and CCR7 was significantly downregulated [88].

Thus, this evidence makes it clear that MSCs have a broad and significant impact on the immune system (Fig. 1). Their capacity for immune modulation and homing to the sites of injury makes them a compelling delivery system for secreted soluble factors that potentially protect the heart from acute injury. However, MSCs are also capable of producing anti-fibrotic factors and matrix metalloproteinases (MMPs) that are able to remodel extracellular matrix. It is thought, therefore, that MSCs have a potential role in chronic cardiac disease through reverse remolding of the scar resulting in sufficient reperfusion and rigidity to promote cardiomyocyte regeneration [89, 90].

#### 5 Cardiomyogenesis and Neoangiogenesis

As previously discussed, MSCs possess several key characteristics that set them apart from other cell types, making them an attractive therapy for cardiomyoplasty. In addition to their immunoregu-



**Fig. 1** Paracrine immunomodulation in the infarcted myocardium. MSCs are immune-evasive and modulate the response of both the adaptive and innate immune system during acute inflammation of the ischemic myocardium. Illustration of cells used with permission from Wikimedia commons

latory and homing properties, their genetic stability and ability to be expanded in culture means that vast numbers of cells capable of multilineage differentiation can be generated.

Human MSCs retain sufficient plasticity to adopt cardiac specific characteristics in culture and can be induced to express several cardiomyocyte-specific makers (*a*-actinin, myosin heavy chain, and troponin-T) and transcription factors (GATA-4 and Nkx2-5) [91-93] when driven by exogenous stimuli. Mechanical strain [94], electrostimulation [95, 96], DNA demethylation using 5-azacytidine [97], culture with pro-cardiogenic factors such as BMP-2 [98], HGF [99], TGF-β [100] and Jagged1 [101], or co-culture with mature xenogeneic cardiomyocytes [92, 102] or cardiomyocyte lysate [103] have each proven efficacious in driving myocyte differentiation in vitro. However, whether the physiological conditions within the heart are sufficient to promote such efficient differentiation of transplanted MSCs toward a myocyte lineage is a topic of discussion. Our group and others have demonstrated cardiac differentiation in vivo, but this has not been observed by others [14, 62, 104-109] possibly due to species differences or distinct handing of the cells, which could affect viability or differentiation frequency toward cardiomyogenesis. Nevertheless, a direct role for transplanted MSCs in neomyogenesis may be of little significant importance from a physiological standpoint. Evidence from our laboratory and others suggests that MSCs may stimulate the proliferation and maturation of resident cardiac stem cells through paracrine signaling, thereby indirectly supporting

innate neomyogenesis [110]. Indeed, such a supporting role would be in concordance with the theory that MSCs, as perivascular cells, function as supportive cells in the maintenance of stem cells within the vascular niche of the organ.

An ideal cell type for use in ischemic AMI would be one that not only promotes cardiomyogenesis, but also supports neoangiogenesis. There appears to be clear evidence that MSCs retain sufficient ability to differentiate into endothelial and vascular smooth muscle cells, both in vitro and in vivo [62, 109, 111-114]. Moreover, MSCs have potent proangiogenic activity, both in vitro and in vivo, through the secretion of VEGF, FGFs, angiopoietins, and extracellular matrix components [89, 115-120]. The significance of the angiogenic action of MSCs in post-ischemic myocardial protection was highlighted specifically by Markel and colleagues using small-interfering RNA (si)RNA directed against VEGF. In these studies knock down of VEGF in transplanted MSCs resulted in a marked negative effect on recovery of myocardial function in a rat model of AMI [121]. This effect was further demonstrated in suicide gene-based studies carried out by Yoon et al. [122]. In this system targeted elimination of cells acquiring a vascular lineage dramatically reduced functional benefits, whereas depletion of cardiomyogenic cells showed no significant effect [122]. Our group recently demonstrated that this effect of VEGF on MSC differentiation toward a vascular phenotype was dependent on the activation of PDGFR via nitric oxide synthase [123]. Thus, accumulating evidence suggests a crucial role for MSCs in neoangiogenesis and vascular homeostasis that might parallel their endogenous role as perivascular cells [124].

While MSCs produce a battery of factors that have a demonstrable biochemical effect on cardiac disease [125], the measurable effects on tissue regeneration have been less than expected. Low engrafting efficiency, low viability, and hostile environmental conditions within the injury site have been implicated as potential explanations [126, 127]. If the medicinal benefit of MSCs is conveyed through recapitulation of their pericytic function, inasmuch as their stimulation of resident cardiac stem cells and induction of angiogenesis, then engraftment of MSCs with host vasculature would be a necessity. However, the ischemic scar tissue is, by its nature, avascular and therefore may not provide the ideal substrate or niche environment for robust engraftment and MSC activation. Recent efforts have therefore been geared toward improving the therapeutic efficiency, engraftment, survival and immune evasion of MSCs, the results of which are several preconditioning approaches and genetic modifications aimed at amplifying existing characteristics and imparting novel capabilities onto the cells.

#### 6 Mesenchymal Stem Cell Modification

In order to utilize MSCs for cardiac repair, it is necessary to expand the cells in vitro and then prepare them as a suspension for injection. However, MSCs are normally grown attached to a substrate, and this adhesion to structural glycoproteins of the extracellular matrix (ECM) is necessary for their survival [128, 129]. Removal of this matrix support, as occurs when the cells are prepared for injection, will therefore lead to increased anoikis, i.e., apoptosis induced by loss of matrix attachments. This lack of matrix, coupled with the fact that infusion of MSCs into the circulation or directly into the infarcted region of the heart exposes them to harsh environmental conditions, means that the number of culture-expanded MSCs engrafting at sites of injury rapidly declines following initial infusion [130]. A number of studies have therefore focused on improving the engraftment efficiency of MSCs following their injection into the damaged heart. As MSCs are capable of longterm transgene expression [131], it has been possible to develop strategies based on genetic engineering to enhance homing efficiency and improve cell survival.

Mangi et al. were the first to demonstrate that genetic modification of MSCs to overexpress the anti-apoptotic transcription factor Akt resulted in a greater resistance to apoptosis both in vitro and in vivo and led to an increase in cardiac function in a rodent model of MI [132, 133]. Transgenic overexpression of another prosurvival gene, Bcl-2 by rat MSCs decreased apoptosis by 32 %, enhanced secretion of VEGF by 60 % under hypoxic conditions and improved capillary density in the infarct border zone [134]. Similarly, transfection of MSCs with basic-fibroblast growth factor (bFGF) enhanced cytoprotection under hypoxic conditions and caused greater neovascularization compared to untransfected MSCs [135]. MSCs overexpressing VEGF demonstrated an improvement in myocardial perfusion and in restoration of heart function compared to control groups [136] and MSCs transduced with hemoxygenase, an enzyme preventing oxidative damage, showed both better engraftment and enhanced cell survival following intra-myocardial delivery relative to nontransduced MSCs [137].

Culture-expanded MSCs demonstrate reduced expression of receptors for chemokines and adhesion molecules such as CXCR4 and CCR1, which significantly compromises homing capacity. Studies in which MSCs were modified to overexpress CXCR4 and CCR1 demonstrated enhanced engraftment and cardiac performance [138, 139]. Overexpression of tissue transglutaminase (tTG), which crosslinks proteins, leads to enhanced adhesion of MSCs and survival of implanted cells via an integrin-dependent mechanism [140]. Indeed, integrin signaling is a critical component of MSC engraftment and the integrin-linked kinase (ILK) is crucial for hypoxic MSCs to establish cell adhesion with ischemic myocardium [127]. ILK enhances phosphorylation of PKB/Akt, which plays a major role in the regulation of adhesion-mediated cell survival signals. Hypoxic conditions suppress expression of ILK, however forced expression through transfection of the ILK gene results in enhanced MSC survival, decreases in infarct size, and a greater improvement of left ventricular function [127].

Although the engraftment efficiency of MSCs might be enhanced by such genetic modification, these approaches are currently restricted to basic and translational research due to limited clinical experience with gene therapy and genetically modified cell products. However, these ongoing studies will further elucidate the biology of MSCs and will contribute to our understanding of the mechanisms of their reparative action.

#### 7 Mesenchymal Stem Cell Preconditioning

Modifications to MSCs with translational pragmatism include various methods of priming or preconditioning [141]. In this regard, improvements in engraftment of transplanted MSCs have been demonstrated in the absence of direct genetic manipulation, by the use of combinatorial pretreatment with several exogenous growth factors. In a rat model of AMI, pretreatment with insulin-like growth factor-1 (IGF-1), fibroblast growth factor-2 (bFGF) and bone morphogenetic protein-2 (BMP-2) enhanced connexing-43 (Cx43) gap junction formation and imparted cytoprotective effects on cardiomyocytes [98]. Behfar et al. pretreated human MSCs with a recombinant cocktail of transforming growth factor-beta [1], BMP-4, activin-A, retinoic acid, IGF-1, bFGF, alphathrombin, and interleukin-6, which directed differentiation of MSCs into cardiopoiesis. These cells were subsequently injected into the myocardium of infarcted murine hearts, which led to functional and structural benefits [142].

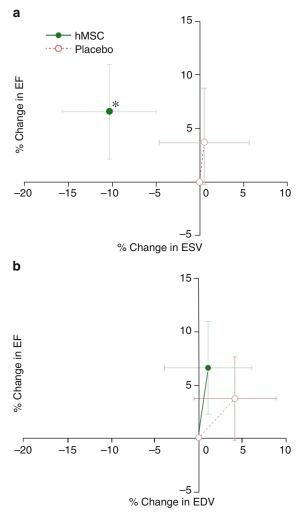
Pharmacological pretreatment of MSCs with steroids such as estrogen [143], which influences myocardial remodeling through stimulation of growth hormone production, or statins such as atorvastatin [144, 145], which enhance cell survival and differentiation into cardiomyocytes, have also received attention, as has tadalafil, a phosphodiesterase inhibitor used on adipose-derived MSCs in rat models of cardiomyopathy [146]. Non-biochemical and non-pharmacological treatments such as hypoxic and anoxic preconditioning have also demonstrated significant improvements on MSC survival. Hypoxic preconditioning activates the Akt signaling pathway leading to the expression of several prosurvival and proangiogenic factors such as Bcl-2, Bcl-xL, Hif-1, VEGF, Ang-1, and erythropoietin [147]. Hypoxia preconditioning enhanced MSCs survival together with improved angiogenesis of the infarct border zone and as a consequence improved cardioprotection following AMI [148]. Similarly anoxic-preconditioned MSCs demonstrated reduced apoptosis, which is thought to be mediated through upregulation of the Bcl-2/Bax ratio and by inhibition of caspase-3 activation in the myocardium [108].

#### 8 Clinical Trials with Mesenchymal Stem Cells for Heart Disease

Thirty-two clinical trials using MSCs to treat different heart conditions including AMI, severe coronary ischemia, ischemic cardiomyopathy, dilated cardiomyopathy, and heart failure are registered on https://clinicaltrials.gov (a web-based service by the US National Institute of Health; see Table 1). Most have used or are using adult bone marrow-derived MSCs; while several trials chose cell-based treatment with MSCs derived from adipose tissue or umbilical cord. Many of these studies used allogeneic sources of MSCs from healthy donors, which, as discussed above, is possible due to the immune evasive and immunomodulatory properties exhibited by MSCs. Allogeneic MSCs are an attractive and convenient cell type, the major advantage being their immediate availability. In contrast, autologous cells require expansion for 4-6 weeks prior to treatment, a delay that may reduce the efficacy of stem cell therapy. In addition, their therapeutic ability may be compromised by the health of the donor/patient.

The initial MSC studies for acute and chronic MI in 2004–2008 used intracoronary administration of autologous cells [149, 150]. However, in 2009, the first double-blinded trial on 53 patients with AMI "Osiris"[151] showed that allogeneic intravenous cell infusion was well tolerated and had a significantly greater effect on left ventricular ejection fraction and a lower incidence of arrhythmia and chest pain (Fig. 2). This study began the era of allogeneic MSC use for cardiac pathology, suggesting that allogeneic cell-based therapy is safe and effective. Although autologous MSC treatment was prevalent in ischemic cardiomyopathy (11/15 clinical trials), non-ischemic cardiomyopathy and AMI trials adopted allogeneic MSC treatment early on (Fig. 3). By 2014, an equal number of clinical trials used allogeneic and autologous MSCs (Fig. 4).

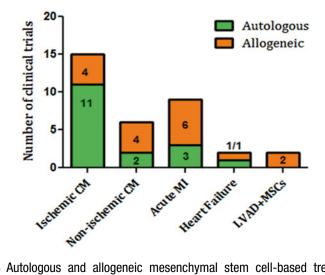
Consistent with the registered data from https://clinicaltrials. gov, there are ongoing phase I, II and III clinical trials evaluating MSC safety and efficacy for cardiac regeneration using a variety of delivery systems. The method of stem cell delivery can influence cell-therapy outcome, which is why intracoronary, intravenous, intraoperative/intramyocardial injections, and catheter-based transendocardial injections are currently in use in order to establish the



**Fig. 2** Impact of hMSC treatment on LV remodeling. Changes in left ventricular (LV) ejection fraction (EF) are plotted against the changes in LV end-systolic volume (ESV) (**a**) and end-diastolic volume (EDV) (**b**) during follow-up. Human mesenchymal stem cell (hMSC) patients (n=18 at 12 months) exhibit evidence of reverse remodeling with no increase in LV EDV and a decline in LV ESV, whereas placebo patients (n=11 at 12 months) demonstrate evidence of LV chamber enlargement. \*P=0.005 versus baseline [151]

most efficient stem cell transplantation technique in compliance with heart pathology (Fig. 5).

The first clinical trials for acute and chronic MI [150] used catheter-based intracoronary autologous MSC delivery during percutaneous coronary intervention (PCI). These studies showed no serious adverse events, significantly better regional and global left-ventricular function, up to 10 % increased left ventricular ejection fraction (LVEF), increased exercise capacity, and improvement in



**Fig. 3** Autologous and allogeneic mesenchymal stem cell-based treatment according to heart pathology

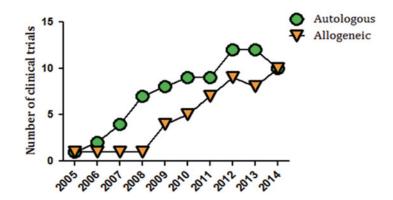


Fig. 4 Progressive growth of allogeneic and autologous mesenchymal stem cellbased clinical trials

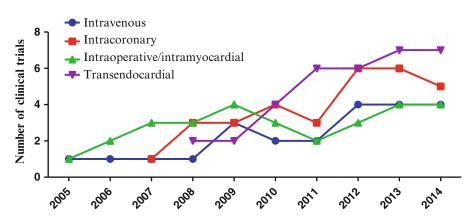
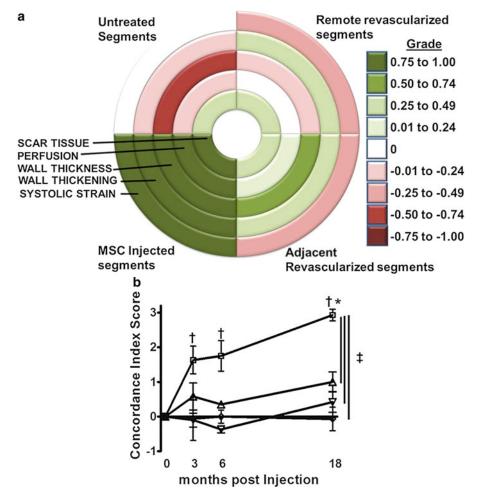


Fig. 5 MSC delivery methods used in clinical trials from 2005 to 2014

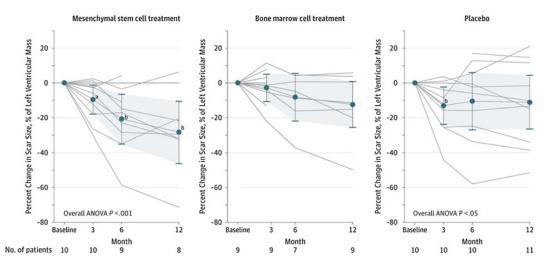
New York Heart Association (NYHA) heart failure class. Using the same method of cell delivery, Katritsis et al. [149] combined autologous MSCs with endothelial progenitor cells for AMI treatment and showed better left ventricular function and myocardial perfusion with evidence of regeneration of the previously nonviable infarct scar. A pilot study conducted by Mohyeddin-Bonab et al. in 2007 tested whether intracoronary delivery during PCI or intraoperative/intramyocardial treatment with autologous MSCs is safe and efficient for old myocardial infarction [152]. Their results demonstrated increased LVEF, a change in NYHA class, and significant improvement in viable myocardium in the MSC-treated group. However, there were no data presented (probably because of small sample size) regarding which delivery route was more beneficial. The PROMETHEUS study showed that intraoperative/ intramyocardial autologous MSC injections together with Coronary Artery Bypass Grafting (GABG) procedure into akinetic, yet non-revascularized segments, was safe and produced comprehensive regional functional restitution, which in turn drives improvement in global left ventricular function (Fig. 6) [153]. The TAC-HFT trial compared transendocardial injections of autologous MSCs, bone marrow mononuclear cells (BMCs), and placebo in chronic ischemic heart failure [154, 155]. This study again showed safety of the delivery method, and MSCs and BMCs significantly improved regional contractility [156] and increased 6-min walk distance, but only the MSCs reduced scar size (Fig. 7). There were no changes in LVEF or heart chamber volumes [154].

Anastasiadis et al. [157] combined left ventricular mechanical support device (LVAD) implantation with allogeneic MSC injections in a case-report study and showed improved LVEF when the device was turned off. This study from 2012 formed the basis for a recent study with LVAD+ allogeneic MSC treatment for patients with end-stage heart failure. In 2013 our group compared allogeneic vs. autologous bone marrow-derived MSCs delivered by transendocardial injection in patients with ischemic cardiomyopathy: the POSEIDON randomized dose-escalation trial (20, 100, 200 million cells). This study showed that allogeneic and autologous MSCs are safe and reduced mean early enhancement defect (scar size) by 33.21 %. Allogeneic MSCs reduced left ventricular enddiastolic volumes and interestingly, the lowest concentration of MSCs (20 million cells) produced the greatest reductions in left ventricular volume and increased ejection fraction. Notably, allogeneic MSCs did not stimulate significant donor-specific alloimmune reactions [51]. The segmental ejection fraction analysis from the POSEIDON study showed that injected and non-injected segments improved regional contractile performance with the greater scar reduction in injected sites (Fig. 8) [158]. In 2014, Lee et al. [159] published results of a multicenter trial examining the safety and efficacy of intracoronary administration of autologous bone

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**Fig.6** Concordance index score is an indicator of simultaneous and comprehensive improvement. (PROMETHEUS Trial.) (a) Bull's-eye map depicting the concordance of change for each variable used for the concordance index score based on the average grade for each group at 18 months post-treatment. A grade closer to 1 signifies a concordant improvement in the variable and a grade closer to -1 represents deterioration. The concordance index score is then derived by adding the grades for changes in scar tissue size, perfusion, and the average of the grades for changes in wall thickness, wall thickneing, and systolic strain. The highest value (3) signifies simultaneous improvement in all five CMR indices and the lowest (-3) a simultaneous deterioration, respectively. (b) In the MSCs plus CABG group, the injected non-revascularized segments improved comprehensively and thus had a higher concordance index score compared with all other groups. The effect of the MSCs dissipated by a function of distance from the actual injection site (P=0.03 adjacent vs. remote revascularized and non-treated segments). (*Open square*) MSC injected; (*filled triangle*) adjacent revascularized; (*filled inverted triangle*) remote revascularized; and (*open diamond*) untreated. \*P<0.05 1-way ANOVA repeated measures; <sup>†</sup>P<0.05 vs. baseline, Bonferroni post-tests; <sup>‡</sup>P<0.05 2-way ANOVA [153]



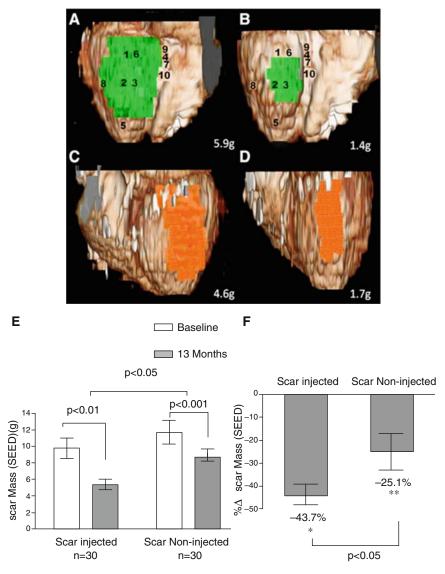
**Fig. 7** Impact of transendocardial stem cell injection of mesenchymal stem cells, bone marrow cells, or placebo on the scar size. (TAC-HFT randomized trial.) Significant reduction in scar size as the percentage of left ventricular mass for patients treated with mesenchymal stem cells (MSCs) and those in the placebo group who underwent serial magnetic resonance imaging. Repeated measures of analysis of variance model *P* values: treatment group, P=0.99; time, P=0.007; treatment group × time, P=0.22. Data markers represent means; error bars, 95 % Cls. Analysis of variance (ANOVA) was conducted with repeated measures. <sup>a</sup>Within group, P<0.05 vs. baseline. <sup>b</sup>Within group, P<0.01 vs. baseline [154]

marrow-derived MSCs in patients with AMI and showed improved global LVEF in MSC-treated patients.

Twenty ongoing clinical trials are testing the regenerative potential of MSCs for cardiac disease, in particular ischemic cardiomyopathy and AMI. However, there is still a need for larger clinical trials comparing autologous and allogeneic MSCs in order to answer questions about the most effective dose and frequency for each cardiac pathology, the best cell delivery system, and the use of single vs. multiple cell types.

#### 9 Conclusions

Collectively, the studies using MSCs to treat CVD are numerous and consensus favors MSCs as potent mediators of cardiac repair. MSCs clearly retain significant plasticity and are capable of formidable immunomodulation and neo-angiogenesis. However, a feature common in MSC transplantation is a conspicuous lack of proliferation, differentiation, or engraftment. Several explanations have been presented to explain this and many modifications and pretreatments are being attempted to address it. However, there are other considerations, perhaps more difficult to address and that have not been described as thoroughly. Stem cell division is driven

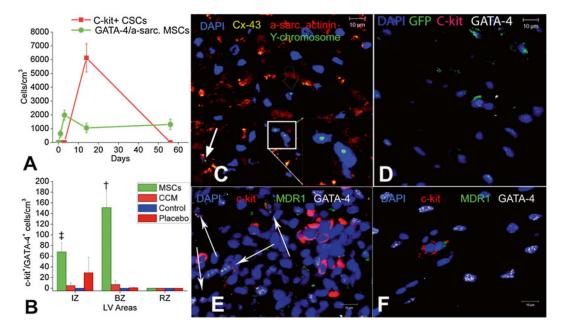


**Fig. 8** Volume-rendered three-dimensional (3D) reformats of left ventricle with color encoding of scar tissue. (POSEIDON Trial.) (**a**, **b**) Scar mass (*green*) of inferior segments treated by transendocardial stem cell injection (TESI) at baseline and 13 months after TESI, respectively (numbers represent sites of injection). (**c**, **d**) Scar mass (*orange*) of lateral segments not treated by TESI at baseline and at 13-month follow-up, respectively. Actual scar mass (grams) is depicted in the *lower right corner* of each panel. Three-dimensional reconstructions in this figure correspond to segmental early enhancement defect (SEED) measurements. (**e**, **f**) Absolute values and percentage changes of scar mass obtained by segmental imaging analysis approach. When considering the autologous and allogeneic groups combined, there is greater scar size reduction in the scar-injected segments ( $-43.7 \pm 4.4$  %, from  $9.8 \pm 1.2$  to  $5.4 \pm 0.7$  g; n = 30; \*P < 0.01) when compared with the scar-non-injected segments ( $-25.1 \pm 7.8$  %, from  $11.7 \pm 1.4$  to  $8.6 \pm 1.0$  g; n = 30; \*P < 0.001; between-group comparison scar-injected vs. scar-non-injected P < 0.05) [158]

by contextual signals from the 3D structure of the niche. MSCs being pericytic or adventitial cells require adhesion to a substrate to maintain viability, which in vivo constitutes perivascular adhesion to sinusoids or large vessels, respectively [29, 160]. In the absence of the niche microenvironment, stem cells can irreversibly lose their inherent stemness [161]. Although they initially survive and retain many stem cell markers, their efficacy can begin to wane very rapidly following transplantation [161]. When MSCs are injected into the myocardium or circulation no such orientation or structure exists and the transplanted cells therefore may not receive the mitogenic signals necessary to initiate cell cycle or drive differentiation. Moreover, a lack of substantive adhesion may cause a loss of cellular identity, anoikis and depletion by NK cells, leading to an irreversible decline in viable cell numbers. It is clear that while we have made significant progress, much work remains as we learn to fully exploit the power of MSCs in cardiac repair.

Moving forward, an exciting prospect aimed at improving stem cell potency, viability, and engraftment involves cell combination therapy. An example includes the use of ex-vivo stromal cell aggregates known as cardiospheres [162]. These floating clusters are comprised of a central core of primitive c-kit+ cardiac stem cells surrounded by layers of early-stage committed differentiating cells, and an outside cell layer of MSCs [163]. Culture of these cells as a 3D structure is thought to potentially recapitulate cardiac niche biology in the in vitro environment [164]. Preclinical models using autologous as well as allogeneic cardiosphere-derived cells (CDCs) have demonstrated a reduction in scar size and improvements in cardiac function after MI, although the mechanism of action is not clear [165–168]. Results from a phase I clinical trial using intracoronary infusion of cardiosphere-derived cells (CADUCEUS) further supports the notion that CDCs are capable of regenerating heart tissue after AMI in humans [169].

Our group recently reported preclinical findings from studies combining MSCs with c-kit<sup>+</sup> cardiac stem cells (CSC) [170]. Co-culture with MSCs enhanced CSC proliferation and lineage commitment toward a cardiac phenotype, suggesting that important biological interactions exist between these cells (Fig. 9) [110]. These interactions are dependent on gap junction formation mediated through expression of Cx43 [110, 171]. In a porcine model of AMI, co-injection of human MSCs and human c-kit<sup>+</sup> CSCs resulted in a sevenfold greater engraftment of stem cells than with either cell type alone, a significant reduction in scar size and significant restoration of diastolic and systolic function [170]. These observations, together with the success of safety trials of MSCs (POSEIDON) [51] and c-kit<sup>+</sup> stem cells (SCIPIO) [21] have created an opportunity for exploring therapeutic enhancement of this combination therapy in humans. As a result, a new



**Fig. 9** MSCs stimulate endogenous CSCs. (a) The contribution of cardiomyocyte precursors following exogenous administration of MSCs (*green line*) and endogenous CSCs (*orange line*) during cardiac repair after MI. MSC differentiation occurs rapidly after delivery. At 2 weeks, MSCs activate endogenous expansion of c-kit<sup>+</sup> CSCs (*orange line*). (b) Two weeks following TEI, the number of C-kit<sup>+</sup> cells coexpressing GATA-4 is greater in MSCs versus non-MSCs treated hearts. The cardiac precursors are preferentially located in the IZ and BZ of the MI, indicating an active process of endogenous regeneration ( $^{+}P=0.019$  and  $^{+}P<0.0001$ ). (c, d) The 2-week-old chimeric myocardium contains mature cardiomyocytes (*open arrow*), immature MSCs (*inset*), and cardiac precursors of MSCs origin (*arrow*), coupled to host myocardium by connexin-43 gap junctions. Interestingly, endogenous c-kit<sup>+</sup> CSCs are found in close proximity to MSCs (d). (e) Cluster of c-kit<sup>+</sup> CSCs in an MSC-treated heart; numerous CSCs are committed to cardiac lineage documented by GATA-4 and MDR-1 coexpression (*arrows*). (f) Few isolated c-kit<sup>+</sup> cells were found in non-MSC-treated animals [110]

phase I clinical trial has recently received regulatory approval from the FDA and is currently in the process of recruiting patients.

The debate enveloping stem cell clinical trials for use against cardiac disease has intensified recently with the publication of two high profile reports [172, 173]. These critical reports clearly have implications for future clinical trials involving bone marrow cells and CSCs and the tide of criticism would seem to also threaten clinical trials utilizing MSCs. However, this criticism will likely not affect MSCs, which stand alone in their unprecedented ability to perform a number of beneficial functions, as discussed above. The data coming from preclinical and clinical trials clearly support a continued effort to exploit the medicinal potential of MSCs. The biochemical activity of MSCs has been demonstrated beyond a doubt with observable results. Our understanding of MSC biology has evolved significantly over the past two decades and significant

advancements have been made, yet much work still remains. Therefore, the focus moving forward will be directed at expanding the current successes through discovery of the critical factors mediating MSC repair and optimization of the system.

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