

Perinatal and Postnatal Determinants of Brain Development: Recent Studies and Methodological Advances

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Abstract

Perinatal diet is an important factor in programming brain development and susceptibility to obesity. There are currently several elegant and simple prenatal and postnatal animal models in use to mimic the effects of early life overfeeding and to study its impact on brain and metabolic development. In this chapter we will discuss the background to some of these models, with a specific focus on manipulating rodent litter sizes to alter the early life nutritional environment.

Key words Diet, Fostering, Hypothalamic–pituitary–adrenal axis, Lipopolysaccharide, Litter size, Obesity, Perinatal programming, Suckling

1 Introduction

1.1 The Importance of Perinatal Diet in Programming Obesity, Metabolic Dysfunction, and Appetite in the Offspring

Obesity has become epidemic in our society and, as such, obesity in parents at conception and throughout pregnancy has become very common. Around 60 % of women of childbearing age are classified as overweight or obese in the US and Australia [1, 2]. In addition to the effects of diet and obesity at conception and during pregnancy, a baby may also have to contend with an inappropriate diet in the days, weeks, and months following birth. Diet during these vulnerable early programming stages of life can have significant influences on feeding, satiety, and metabolic circuitry in the brain, but also on brain development in general, including on cognitive function [3, 4].

The first dietary influence to potentially affect baby's brain development is an indirect one; the effect of paternal diet on the sperm. There is currently limited evidence for the extent of this effect on brain development, but we do know paternal obesity in humans influences sperm concentration and motility and can damage sperm DNA [5]. When other factors are controlled for, paternal obesity at conception leads to increased adiposity in daughters (but not sons) when they reach adolescence [6]. In rat models, paternal

obesity is linked to pancreatic beta-cell dysfunction and a predisposition to diabetes, with, again, these effects being manifest in female but not male offspring [7].

Perhaps more intuitively obvious is the maternal effect on the offspring. Maternal metabolic state during pregnancy can have pronounced effects on offspring brain development. Overweight or obese mothers are significantly more likely to have or develop type 2 or gestational diabetes and these conditions predispose the baby to abnormal pancreatic development, insulin resistance, diabetes, and obesity [8–11]. Even in the absence of diabetes, a “junk food” diet, one high in fat, sugar, and salt, during pregnancy can also influence a baby’s brain development [12–14]. For instance, a maternal diet high in fat is linked with changes to central reward processing, altering the way the rewarding aspects of food are perceived throughout life, leading to a preference for fatty, sugary foods [3]. Cognitive function in general can be altered in the offspring of obese or high-fat-diet-fed mothers, with these children having poorer psychomotor and cognitive development scores than children from lean mothers [4]. These dietary influences from both the father and mother can occur in the absence of or in addition to any specific genetic effects. Thus, experimental animal models, where the genetic variable is removed, consistently reveal an important early life dietary influence on brain development.

Postnatally, a baby’s vulnerability to dietary influences continues. Obese and overweight babies and children are significantly more likely to grow to be obese and overweight adults [15, 16]. There are a variety of factors that contribute to this. Potentially, the most important dietary contribution to a baby’s brain development is the speed with which it gains weight after birth [17–19]. Particularly in, but not restricted to, small for gestational age babies, intensive feeding immediately postnatally is important in ensuring appropriate brain and lung development, but can also lead to increased risk of obesity and its associated central dysfunction [17–19]. Stettler and colleagues have found for every 100 g a baby gains in weight in the week after birth, its chances of developing obesity as an adult are increased by 28 % [20]. Factors influencing weight gain include the baby’s general health as well as the composition of its diet. Maternal diet can influence the composition and amount of breast milk available. For instance, there are indications that a maternal diet high in conjugated linoleic acid isomers (found in organic meats and dairy) may reduce adiposity and the likelihood of obesity in the baby. At least, conjugated linoleic acid isomers in diet can reduce fat accrual in adults [21, 22] and can also be passed on to the baby through the breast milk [23]. Maternal breast milk omega-3 levels are even significantly correlated with better performance in mathematics tests in the offspring [24]. Similarly, baby formula content may also be important in programming a baby’s development throughout life.

High-protein, but not low-protein formulas are associated with obesity long-term [25], and formulas fortified with long-chain polyunsaturated fatty acids are associated with faster processing speeds in cognitive tests later in life than standard formulas [26]. Feeding frequency and the timing of introduction of solid food may also influence a baby's brain development and its propensity to develop obesity [27].

While there are indications that factors such as breast versus formula-feeding and timing of solid food introduction in humans are very important in a baby's development, research in this area is clouded by the huge number of additional variables inherent in any human study of this kind. Socioeconomic and other environmental factors, parental age, definitions of exclusive breast-feeding, breast versus bottle versus different types of formula-feeding, and social stigma encouraging reporting errors all make it difficult to draw solid conclusions from the data [28]. Animal models are therefore essential for us to delineate the effects of early life overfeeding as well as the mechanisms for these changes.

2 Models of Perinatal Overfeeding

Several animal models of perinatal overfeeding are in routine use to study the programming influence of diet on brain and metabolic development [29–33]. These include:

1. Paternal high fat diet prior to and/or at conception.
2. Maternal high fat diet prior to and/or at conception and/or during pregnancy.
3. In utero growth restriction.
4. Maternal high fat/high protein diet during lactation.
5. “Pup-in-a-cup” artificial rearing.
6. Sucking pups in small litters.
7. Combinations of any of the above.

2.1 *Equating Developmental Ages and Stages*

It is difficult and controversial to accurately equate developmental stages between species. However, higher order mammals do undergo significant development of brain pathways contributing to feeding regulation, satiety, and metabolism in the third trimester of gestation. The rodent reaches a similar stage of development of these pathways in the first and second week of life. For instance, the projections from the arcuate nucleus to the paraventricular nucleus of the hypothalamus (PVN) are essential for body weight regulation and these are functional in primates and other higher order mammals but immature in the rodent at birth [34]. It is thus

generally considered that late gestation and the early postnatal period in the rodent are roughly equivalent to the third trimester of pregnancy in the human, particularly with regard to metabolic systems. For this reason, we will concentrate on postnatal rodent models of early life dietary intervention in this chapter. In particular, we will discuss the impact of suckling rodent pups in small litters to induce neonatal overfeeding.

2.2 Postnatal Models of Overfeeding; Manipulations in Maternal and Pup Diet

Alteration of the maternal diet is a well-recognized technique of changing the nutritional content of milk for lactating rodents. Nutrients can be directly administered to the mother or added to the maternal diet during pregnancy and/or pre-weaning [35]. A well-established model of postnatal overfeeding involves feeding the mother a high fat diet. This causes excessive weight gain in the pup, which is, considering variability due to diet composition and strain, generally maintained until adulthood [36].

Within the literature many diets have been investigated, ranging from chow altered to contain increased amounts of fats and/or carbohydrates to the high-fatcafeteria-style diet [37]. Each has their own advantages: the chow diet can be made to contain varying concentrations and types of fats and sugars so consumption of different components is easily calculated; while the cafeteria-style diet, which is the feeding of “human” food, such as pies and cakes, to rodents, is seen to be much more palatable and perhaps more similar to the human condition, but absolute amounts of ingestion of the various elements are sometimes difficult to assess [38].

The effect of maternal obesity on the offspring has been investigated from gestation through to lactation. Offspring from obese mothers gain more weight and exhibit increased adiposity, glucose intolerance, and increases in blood pressure and other metabolic markers, than those from lean dams [39, 40]. Central disturbances in the development of hypothalamic feeding circuits are also apparent [41]. Moreover, this excess weight gain and metabolic disturbance is carried through to adulthood, and is independent of post-weaning diet. Meanwhile, pups born to lean mothers then nursed by obese dams exhibit increases in weight and plasma triglyceride levels compared to pups born and nursed by lean mothers [42]. This example demonstrates the complicated nature of maternal dietary influences, that is maternal obesity at both pregnancy and the time of nursing have influences on programming obesity and metabolic dysfunction in the offspring.

The rodent pup-in-a-cup artificial rearing model allows the researcher to have complete control of dietary content and quantity in the immediate postnatal period. This neonatal overfeeding procedure allows the lipid, protein, and carbohydrate composition of milk to be manipulated by artificially rearing pups in foam cups in a temperature-controlled bath and feeding via tubes implanted directly into the gut [43, 44]. Studies have been performed from

neonatal day one, though results should be considered in the context of the underlying influence of loss of normal maternal and sibling interactions during pre-weaning, absence of ano-genital licking to stimulate digestion, and some suggestion of reduced brain growth [45].

3 Postnatal Models of Overfeeding; Litter Size Manipulation to Induce Overfeeding

An alternative well-accepted rodent model to mimic human overfeeding during the perinatal period is to manipulate the litter size in which the pups are suckled. Pups are suckled in either small litters, where they have greater access to their mother's milk, or in standardized control litters. This type of overfeeding during the early postnatal period leads to increased weight gain and body fat in early life that persists throughout the juvenile period and into adulthood [46–48].

3.1 *Materials and Methods*

Suckling rat (or mouse) pups in small litters is an extremely simple and effective method of inducing changes in neonatal diet. It will be discussed here for Wistar rats but can be adapted for use in mice and other animals. It requires:

1. At least three time-mated pregnant dams (scheduled to give birth on the same day).
2. Spare cages labeled with the dam's identification code.
3. Scales for weighing.
 - (a) Observe all dams periodically on the date of birth and commence litter size manipulation 2–3 h after birthing is complete. This timing avoids additional pups accidentally being added to the litter after manipulation and limits stress placed on the dam during birth.
 - (b) Gently remove all pups from their nests and place them in whole-litter groups in labeled clean cages. Ensure pups remain together in a bunch so they retain as much heat as possible. At this point it is useful to track numbers of pups born and numbers stillborn.
 - (c) Randomly pick one male and one female from litters other than the natural litter of the foster-dam until the desired litter size is reached. We use small litters of 4 and control litters of 12 [46–49]. We also use this model to induce neonatal underfeeding by creating large litters of 20 pups [49–51].

- (d) Weigh the pups in a group and return them to the nest, taking care to disturb the nest site as little as possible. Excess pups should be euthanized in a different room.
- (e) Leave the dams and pups undisturbed for as long as possible before cleaning cages or otherwise disturbing them. Cage cleaning, feeding, and all other procedures should be standardized between cages. Pups are generally weaned into same-sex littermate pairs at postnatal day (P)21.

While these litter manipulations are designed to manipulate the amount of food the pup has access to, they are well within the normal physiological range. Wistar rats give birth to an average of 12–15 pups but are known to regularly give birth and raise as many as 18 or as few as 2.

3.2 Troubleshooting

Pup temperature during manipulation: As young neonates, pups behaviorally thermoregulate in the nest, circulating closer to the dam and the centre of the nest to stay warm [52]. Prolonged periods away from the dam lead to a significant drop in body temperature that should be avoided as it potentially contributes to pup rejection by the foster-dam [53]. Keep the pups together as a birth-litter during manipulation and conduct the procedure as quickly as possible to avoid excessive cooling. We typically manipulate only three to six litters at one time to reduce the time the pups are away from the dam to approximately 5 min. If excessive cooling is anticipated and unavoidable, an incubator or heating lamp can be used. Heating blankets are not recommended due to the possibility the pups may overheat.

Pup attrition: Unanticipated pup death occasionally occurs, even in untouched litters, and this can be due to a variety of factors. Occasionally pups are born with congenital abnormalities that mean they are either not viable for long after birth or are less competitive for food and maternal attention. When selecting pups for reallocation, take care to avoid those that are weaker, smaller, or paler in color than their siblings to minimize the chances of selecting an individual with an existing abnormality.

Severe stress can, in some cases, induce the dams to kill and cannibalize healthy pups [54, 55]. One should take extreme care when removing pups from the nest and introducing the new pups to minimize contact with the dam and to avoid disturbing her nest-site. Cages should be left unchanged for as long as feasible after birth/litter manipulation. This will depend upon animal facility policy, cage size, cage ventilation, etc., but we have found careful cage changes 3 days after birth do not adversely affect the dams or their litters.

Fostering issues: Fostering eliminates pregnancy-related variables from the model. Wistar rats are very good foster parents [53, 56]

and we have seen no cases of refusal to care for a new litter. We have also found no differences in crude measurements, including weight and fat pads, in litters that were not fostered but were culled to small size ([46], unpublished). There is some suggestion foster mothers may give more attention to their own pups in a mixed litter or otherwise treat foster-pups differently [57]. For this reason, we ensure no dam receives any of her naturally born pups.

Greater difficulties with fostering may present with other rat strains and with mice. One can minimize rejection by taking great care to not stress the dam. It has also been suggested that rubbing bedding material onto the experimenter's gloves and onto the new pups to disguise foreign smells assists with acceptance [53].

3.3 Comments and Considerations on the Model

Gender balance: The size and gender composition of the litters will depend upon the experimental protocol, but for a standard model to induce overfeeding during the neonatal period we ensure a 50-50 balance of males and females within a litter. There is some evidence dams offer differences in attention to males and females [58, 59] and, although it might be desirable to generate all-male litters when all-male studies are being designed for, we chose to eliminate this variable as it is not possible to do this for small and control litters equally.

Litter representation for statistical analysis: Typically when a whole-litter manipulation is conducted, that litter is then regarded as an “*n*” of one for the purposes of group composition and statistical analysis [60]. In this regard, one also needs to consider the ethically appropriate use of animals in research and how to avoid maximizing information obtained from each animal. We can also consider all our pups are fostered and are therefore not from the same pregnancy. For our experiments we typically take one to two males and one to two females from each litter for allocation to each experimental group, thereby controlling for mothering effects but maximizing appropriate animal use.

Maternal attention and other non-nutritive elements to the model: This model is certainly effective at increasing the food available to the pups suckled in the small litters. Previous studies have shown rats suckled in small litters receive more milk and milk that is higher in fat than those from control litters, despite the dam reducing her milk production [29]. We should note, however, that this model has elements independent of food intake that could also contribute to weight gain and brain development.

Rats raised in small litters are, for instance, given more opportunities for interaction with their dam [29]. Maternal attention is an important component of an animal's development that can permanently influence brain function long-term. For instance, seminal studies by Meaney and colleagues have shown that pups that receive more licking, grooming, and intensive (arched back)

nursing during their suckling period have hyperactive hypothalamic–pituitary–adrenal (HPA) axes [61–64]. These effects of maternal care are likely to be due, at least in part, to the tactile stimulation inducing thyroid hormone and serotonin responses that stimulate nerve growth factor inducible factor A expression, which increases histone acetylation of the glucocorticoid receptor [65]. Increased maternal attention therefore leads (in otherwise untreated pups) to comparatively enhanced glucocorticoid receptor transcription, more efficient glucocorticoid negative feedback onto the HPA axis, and attenuated HPA axis responses to stress [65, 66]. Although there is no question pups raised in small litters receive more maternal attention, it is clear that this is not sufficient to override the nutritive and other effects of the model with respect to its effects on HPA axis function. Thus, neonatally overfed rats have exacerbated, not attenuated, HPA axis responses to stress and immune challenge [46, 47, 67]. How the overfeeding is able to override the long-term effects of maternal care in this way is not known.

Other factors that should be considered with the model are the potential for differences in body temperature regulation in a small litter with respect to a control one. In addition, neonatally overfed pups will receive a diet that is higher in several nutritional elements, not just fat. For instance, they will also receive proportionally more leptin, which can act as a trophic factor in the brain in early life [68–71].

3.4 Typical/ Anticipated Results

Neonatal overfeeding from being suckled in a small litter leads to accelerated growth and weight gain that persists into early adulthood. Thus rats from small litters weigh significantly more as early as P7 and maintain this elevated weight into adulthood of 12 [46–49]. Those from large litters have the opposite phenotype [49–51] (Fig. 1).

Animal models of early life overfeeding have shown us postnatal diet can be extremely important in programming brain development. For instance, rats raised in small litters, where they have greater access to their dam's milk than those in control litters do, have accelerated maturation of their HPA axes. In this case, the excess milk, fat, and other nutrients leads to an adult-like profile of adrenocorticotrophic hormone and corticosterone in small litter rats, as well as increases in PVN glucocorticoid receptor mRNA [67]. They also respond with a greater hormonal and central response to stress than controls do [47, 49]. It is likely that this change in HPA axis maturation contributes long-term to the way the animal responds to stress and immune challenge. Thus, neonatally overfed adult female rats have exacerbated neuronal activation in the PVN, the apex of the HPA axis, in response to psychological stress compared with control rats [46]. Neonatally overfed male

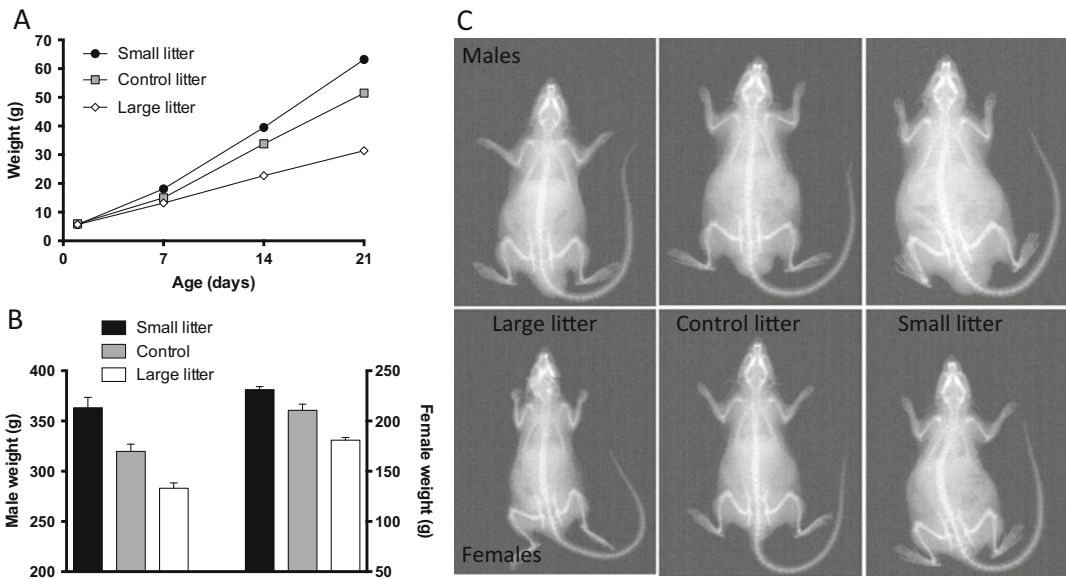


Fig. 1 Representative data showing how litter size affects long-term body weight. **(a)** Pre-weaning weights. **(b)** Adult weights. **(c)** Adult dual-energy X-ray absorptiometry scans showing fat mass. Similar data have been published previously [46–51]

and female adult rats have a similar exacerbated PVN response to an immune challenge with the bacterial endotoxin lipopolysaccharide (LPS) [47]. This latter is likely to be a reflection of slower glucocorticoid negative feedback, culminating in less suppression of the HPA axis response to the immune challenge and also less, or slower glucocorticoid-mediated suppression of nuclear factor κ B-dependent cytokine transcription. In short, these changes mean neonatally overfed animals have dysregulated central and peripheral responses to stress and immune challenge. Again, neonatally underfed (large litter) rats have the opposite responses [50, 51].

Neonatal overfeeding in animal models may also contribute to aberrant development of brain pathways regulating feeding, satiety, and metabolism. In the rat and mouse, connectivity between various parts of the hypothalamus occurs at critical stages of development [68–71]. The growth of these connections is stimulated by a surge in circulating leptin. In early life, leptin is obtained principally from the diet. Excessive leptin, as occurs with neonatal overfeeding by raising rats in small litters [48], can potentially signal this hypothalamic connectivity to start developing too soon, or to overdevelop. In either case, the result is a potential impairment in satiety signaling.

This potential for central changes in satiety signaling in neonatally overfed animals is reflected in changes in feeding, and metabolism in these rats. Thus, many groups have seen neonatal overfeeding leads to hyperphagia [72–75]. We have also seen changes in

metabolism [48], and it has been suggested alterations in brown adipose tissue thermogenesis contribute to the phenotype [76].

4 Conclusion

Obesity has become a significant problem in the developing world, and the impact of early life diet on how our children develop is an important factor to explore. There are now several simple and replicable rodent models available that allow us to investigate this question. Litter size manipulation is one of these. This relatively non-invasive technique to alter early life nutrition, as outlined here, allows us to interrogate the neurological changes that occur with early life diet and, ultimately, test strategies to ameliorate the effects of an overweight/obese phenotype initiated in early life.

Acknowledgements

This work was supported by a Discovery Project Grant from the Australian Research Council (ARC) to SJS (DP130100508). SJS is an ARC Future Fellow (FT110100084) and an RMIT University VC Senior Research Fellow.

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