Chapter 14 Efficacy and Toxicity of Microneedle-Based Devices

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14.1 Introduction

The field of transdermal drug delivery has experienced significant innovative advancements [1]. Traditional modes of drug delivery include oral, parenteral, or cutaneous delivery via a hypodermic needle. Oral drug delivery is affected by the acidity of the stomach, poor intestinal absorption, and first-pass hepatic metabolism, which all contribute to lower bioavailability [2]. Hypodermic needles are painful and associated with needle phobia and apprehension [3]. Transdermal drug delivery is an alternative delivery method that introduces drugs by bypassing the skin barrier to allow for either systemic or local drug delivery.

The major barrier in transdermal drug delivery is the stratum corneum, the outermost layer of skin, ranging from 15 to 170 μ m in thickness depending on the anatomical site [4, 5], and composed of keratinized dead cells. Transdermal drug delivery systems bypass the stratum corneum, which is usually the rate-limiting barrier. The stratum corneum is lipophilic in nature and is resistant to the passage of hydrophilic substances or the passage of molecules that are larger than a few hundred Daltons [6]. In general, multiple different approaches are taken to bypass the stratum corneum [1]. Microneedles enhance transdermal drug delivery through the creation of physical conduits or channels in the stratum corneum. Because microneedles typically penetrate over 200 μ m, anatomical changes in the thickness

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Company	Year
Regents of the University of California	2012
Industry-academic corporation foundation Yonsei University	2013
Therajet Inc.	2013
Clinical resolution laboratory Inc.	2008
Alza corporation	2005
Alza corporation	2006
Corium International Ltd.	2008
3M Innovative Properties company	2005
3M Innovative Properties company	2008
NanoBioSciences LLC	2007 and 2010
BD & Company	2009

Table 14.1 List of microneedle patents by company and year

Details about the microneedle designs can be found in [63]

Table 14.2 Current microneedle based devices on the market

Name	Manufacturer	Description
Skin microchannel	3M	Solid medical grade polymer material. Solid
system®		microneedle array with 351 microneedles/cm
Dermaroller	Dermaroller GMBH	Solid microneedle roller
MTS-roller	Clinical Resolution	Solid microneedle roller
	Laboratory, Inc.	

of stratum corneum are unlikely to alter the efficacy of microneedle penetration past the stratum corneum. This field is growing as evidenced by the number of microneedle-based patents (Table 14.1). Several marketed microneedle devices are outlined in Table 14.2.

14.2 Microneedle Fabrication

Microneedles are miniature needles created using the lithographic techniques and are designed to penetrate the stratum corneum to enter the epidermis without piercing the underlying dermis. By doing so, they avoid nerve endings in the dermis to render painless insertions [7–11]. However, longer microneedles are available when dermal remodeling is desired such that the needles enter the dermis and thus are painful with application. The main feature of microneedles that contributes to pain is their length [12]. Shorter microneedles do not reach the dermis and do not come into contact with nerves or blood vessels; they either elicit little to no pain, thereby making short microneedles highly desirable for drug delivery and they are the focus of this chapter.

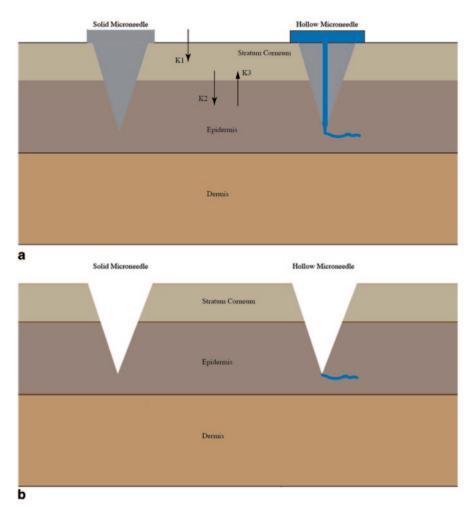


Fig. 14.1 Schematic of microneedle penetration of solid and hollow microneedles. **a** During insertion the microneedles penetrate to the epidermis. The partition coefficients k1 and k2 promote penetration into the epidermis and into the dermis, respectively. The penetration coefficient k3 promotes retention within the stratum corneum. **b** The microneedles leave behind conduits into the epidermis after they are removed. The conduits allow topically applied substances to have direct access to the epidermis. The hollow microneedles allow for intraepidermal injection as depicted

Microneedles have been developed in several different designs, including outof-plane and in-plane microneedles [13]. Out-of-plane needles are designed such that the microneedle is perpendicular to the surface (Fig. 14.1), whereas in-plane microneedles are parallel to the surface but are more difficult to place into arrays [14]. As most of the research and applications have focused on the out-of-plane microneedle, this chapter will review their use in transdermal drug delivery. Outof-plane microneedles are subdivided into solid and hollow microneedles. Solid microneedles are used to create temporary physical holes in the stratum corneum or are coated such that the drug is delivered from the surface of the microneedle upon insertion (Fig. 14.1). Hollow microneedles consist of a conduit (Fig. 14.1) allowing for either bolus or continuous infusion after the microneedle is inserted (Fig. 14.1). A variety of materials have been used to make microneedles including metal [15], titanium [16, 17], glass [18–20], polymers [19, 21–25], and sugars [8, 21, 26].

There are many factors that can impact the efficacy of microneedles including its design: e.g., radius of the microneedles, microneedle shape, the quantity of microneedles used, and microneedle thickness [27].

14.3 Microneedle Strategies for Drug Delivery

The strategy for drug delivery depends on the design of the microneedle. Solid microneedles cannot infuse drugs through the needle. Instead, they are used in three different strategies. The first strategy is to create micropores in the stratum through insertion and removal of the microneedle array. These pores remain open for as little as a few hours before closure when left uncovered to a range of 48 h when covered with a drug-delivered patch [11, 28]. Drug solutions can then be applied topically to traverse these transiently open channels to bypass the stratum corneum, and some studies have utilized iontophoresis to accelerate movement through these channels [29–31]. Because solid microneedle pretreatment of the skin create transient pores with direct access past the stratum corneum, this can enhance the penetration of a topical formulation without necessitating changes to the formulation.

Another strategy is to coat microneedles with a drug prior to insertion. The third strategy is to create dissolving microneedles that dissolve upon insertion to deliver a drug payload. The latter two strategies are limited to drugs that are stable enough to be coated onto or encapsulated during the microneedle fabrication process [32]. Hollow microneedles can be used to infuse drugs as a bolus [33, 34] or as a continuous infusion [35] after insertion.

Utilizing these various strategies, microneedles have been employed to deliver vaccines [36–38], insulin [15, 19, 35, 39, 40], erythropoietin [21], desmopressin [16], and methotrexate [41]. Testing in humans with *in vivo* studies have shown that microneedles can inject nicotinic acid derivatives through hollow silicon out-of-plane microneedles [33, 34] and insulin through a hollow glass micropipette tip [42].

14.4 Transdermal Drug Delivery Applications

Microneedles are versatile and have numerous dermatologic applications. Short (70–80 μ m) solid microneedle treatment has been shown to significantly increase the permeation of the small hydrophilic compound galathamine *in vivo* in mice

[43]. Hollow 500 µm microneedles delivering a 2% dose of lidocaine, have been shown to provide anesthesia as quickly and effectively as a hypodermic needle [44]. Solid microneedle generated micropores have been demonstrated to accelerate the delivery of topical dyclonine [45] and topical aminolevulinic acid [46]. A study in mice evaluated the use of a polymer-based microneedle roller to enhance the topical delivery of l-ascorbic acid for hair growth. This study employed microneedle rollers to create microchannels before topically applying l-ascorbic acid *in vivo* in mice. Pretreatment with the microneedle rollers significantly reduced skin pigmentation in melasma patients compared to depigmentation serums alone [47].

The applications of microneedles expand to enhancing photodynamic therapy (PDT) for the treatment of actinic keratoses. PDT requires the local application of a photosensitive drug combined with incident light to selectively cause cell death, presumably through locally generated singlet oxygen [48]. In one study, silicon microneedle patches were used to improve the efficiency of administering topical photosensitive drugs by creating transient microchannels in nude mice before delivering one of two preformed photosensitizer, either 5-ALA [49] or meso-tetra (N-methyl-4-pyridyl) porphine tetra tosylate [50]. A transdermal patch was used to deliver the photosensitizing drug over the skin perforated by a microneedle. The results revealed that the photosensitizing drug was delivered in greater quantities and in a shorter time in comparison to sites that were not perforated with the microneedle patch. The greater depth of penetration is likely the result of faster penetration past the stratum corneum, but this was not specifically studied. As such, one potential advantage of microneedle based therapy would be to reduce incubation times for PDT.

Indeed, clinical studies have demonstrated that channels produced by solid microneedles enhanced the delivery of both aminolevulinic acid (ALA) and methylaminolevulinic acid (mALA) [51]. Solid microneedle pretreatment decreased the requisite incubation time of 5-ALA from 60 to 20 min without affecting the efficacy of PDT treatment on actinic keratoses as measured by complete response rate [46]. Furthermore, combining mALA with microneedle pretreatment resulted in the effective treatment of actinic keratosis while preventing most reoccurrences [52, 53]. One clinical study evaluated the role of short microneedles with PDT for photorejuvenation and noted clinical improvement of photodamage [54]. Of note, this latter trial was limited by a lack of a control group.

14.4.1 Hollow Microneedles

Only a few animal [35, 55] and human [33, 34, 42] studies of hollow microneedles have been performed. *In vivo* human experiments revealed that hollow microneedles significantly hastened penetration of methyl nicotinate compared to topical administration [7]. Hollow microneedles were shown to deliver insulin either through a transdermal patch that can actively release insulin *in vivo* in mice [35] or deliver a bolus of insulin in humans without the pain associated with hypodermic needles [42].

14.5 Safety of Microneedles

One of the initial concerns with microneedles was the biocompatibility of silicon or glass, since there are reports of silicon and glass related granulomas [56, 57]. Short microneedles are developed to only penetrate into the epidermis, and not into the dermis. Therefore, any remaining foreign body produced by fragments of the microneedle will likely be contained in the epidermis and discarded within the regular turnover time of 2–4 weeks. Silicon is expensive when considering mass production for commercialization, and manufacturing has moved away from silicon and toward polymer and sugar based synthesis. The polymers and sugars are biocompatible and many are designed to biodegrade. As microneedle technology continues to move toward biocompatibility, microneedle material related adverse events will likely become less of a concern.

The stratum corneum is also a formidable barrier against infection. Microneedles physically breach this barrier, raising the possibility for cutaneous infections. Channels created by solid microneedles are open transiently for a few hours or less [11]. and microneedles create a lower bacterial burden than injection with traditional hypodermic needles [58]. Furthermore, facial transepidermal water loss (TEWL) after treatment with short microneedles of 150 and 250 µm recovered to near baseline levels after 8 h [59]. Considering that TEWL is a measurement of epidermal skin barrier function, this further suggests that the skin recovers quickly after a treatment to recover the protective skin barrier. Transient insertions of short solid microneedles of 70-80 um did not affect the skin's capability to resist Staphylo*coccus aureus* infection despite incubation with the bacteria *in vivo* in mice [43]. However, the use of longer microneedles that reach the dermis increased the infection rates compared to the untreated control [43]. In comparison, the use of hollow microneedles for extended infusions may elevate the risk for infection. There are no studies of the infectious risk of long-term infusions with hollow microneedles and the risk of cutaneous infections will need to be further studied.

14.6 Pharmacokinetics and Pharmacodynamics

Comparative studies between oral and transdermal drug delivery have shown some similarities and differences. Studies in estrogen delivery have shown that transdermal delivery may mimic physiological levels more closely [60]. However, another study in subjects with Turner syndrome showed no difference when evaluating the metabolic effects of oral and transdermal estrogen [61]. However, few articles have compared microneedle based transdermal drug delivery to oral or intravenous based drug delivery.

Microneedle based delivery of desmopressin was found to have similar elimination kinetics compared to intravenous delivery in guinea pigs [16]. Short microneedle based drug delivery will require drugs to traverse the interstitial fluid of the epidermis and dermis prior to enter the blood stream but it is unknown how different or similar this may be in comparison to oral, intravenous, intramuscular, or subcutaneous delivery. Further studies in animals and in humans will be needed to better assess if microneedle-assisted delivery results in similar or different pharmacokinetic and pharmacodynamic profiles. These studies will need to take into account the 15 factors of transcutaneous penetration [62].

14.7 Conclusion

Microneedle use in transdermal drug delivery is an innovative and practical technology with a bright future. Microneedles now make it possible to deliver agents through the stratum corneum that were previously impermeable. Microneedles enhance patient comfort by decreasing and often eliminating pain. The pharmacokinetics and pharmacodynamics will need to be further evaluated, especially when comparing one microneedle design against another. Collaboration between physicians and engineers will continue to drive the evolution and growth of microneedles and their use for cutaneous drug delivery.

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