### **Chapter 3**

# **Control Systems**

### Introduction to Control Systems

MATLAB offers an integrated environment in which you can design control systems. The diagram in Figure [3-1](#page-0-0) shows how an engineering problem leads to the development of models and the analysis of experimental data, which in turn lead to the design and simulation of control systems. The subsequent analysis of these systems leads to further modifications of the design, this development loop resulting in rapid prototyping and implementation of effective systems.

<span id="page-0-0"></span>

#### *Figure 3-1.*

MATLAB provides a high-level platform for technical model generation, data analysis and algorithm development. MATLAB combines comprehensive engineering and mathematics functionality with powerful visualization and animation features, all within a high-level interactive programming language. The MATLAB toolboxes extend the MATLAB environment to incorporate a wide range of classical and modern techniques for the design of control systems, providing cutting edge control algorithms developed by internationally recognized experts.

MATLAB contains more than 600 mathematical, statistical and engineering functions, providing the power of numerical calculation you need to analyze data, develop algorithms and optimize the performance of a system. With MATLAB, you can run fast iterations of designs and compare performances of alternative control strategies. In addition, MATLAB is a high-level programming language that allows you to develop algorithms in a fraction of the time spent in *C*, *C*++ or FORTRAN. MATLAB is open and extendible, you can see the source code, modify algorithms and incorporate existing *C*, *C*++ and FORTRAN programs.

The interactive *Control System Toolbox* tools facilitate the design and adjustment of control systems. For example, you might drag poles and zeros and see immediately how the system reacts (Figure [3-2](#page-1-0)). In addition, MATLAB provides powerful interactive 2-D and 3-D graphics features showing data, equations, and results (Figure [3-3\)](#page-2-0). It is possible to use a wide range of visualization aids in MATLAB or you can take advantage of the specific control functions which are provided by the MATLAB toolboxes.

<span id="page-1-0"></span>

#### *Figure 3-2.*

<span id="page-2-0"></span>

*Figure 3-3.* 

The MATLAB toolboxes include applications written with MATLAB language-specific functionality. The MATLAB control-related toolboxes encompass virtually all of the fundamental techniques of control design, from LQG and root-locus to H and logical diffuse methods. For example, it might add a fuzzy logic control system design using the built-in algorithms of the *Fuzzy Logic Toolbox* (Figure [3-4\)](#page-3-0).

<span id="page-3-0"></span>

#### *Figure 3-4.*

The most important MATLAB toolboxes for control systems can be classified into three families: modeling (*System Identification Toolbox*), classical design and analysis products (*Control System Toolbox* and *Fuzzy Logic Toolbox*), design and advanced analysis products (*Robust Control Toolbox, Mu-Analysis Toolbox, LMI Control Toolbox* and *Model Predictive Toolbox*) and optimization products (*Optimization Toolbox*). The following diagram illustrates this classification.

## Control System Design and Analysis: The Control System Toolbox

The *Control System Toolbox* is a collection of algorithms, mainly written as M-files, that implement common techniques of design, analysis, and modeling of control systems. Its wide range of services includes classical and modern methods of control design, including root locus, pole placement and LQG regulator design. Certain graphical user interfaces simplify the typical tasks of control engineering. This toolbox is built on the fundamentals of MATLAB to facilitate specialized control systems for engineering tools.

With the *Control System Toolbox* you can create models of linear time-invariant systems (LTI) in transfer function, zero-pole-gain or state-space formats. You can manipulate both discrete-time and continuous-time systems and convert between various representations. You can calculate and graph time response, frequency response and loci of roots. Other functions allow you to perform placement of poles, optimal control and estimates. The *Control System Toolbox* is open and extendible, allowing you to create customized M-files to suit your specific applications.

The following are the key features of the *Control System Toolbox*:

- • *LTI Viewer*: An interactive GUI to analyze and compare LTI systems.
- • *SISO Design Tool*: An interactive GUI to analyze and adjust single-input/single-output (SISO) feedback control systems.
- • *GUI Suite*: Sets preferences and properties to give full control over the display of time and frequency plots.
- • *LTI objects*: Structures specialized data to concisely represent model data in transfer function, state-space, zero-pole-gain and frequency response formats.
- MIMO: Support for multiple-input/multiple-output (MIMO) systems, sampled data, continuous-time systems and systems with time delay.
- • *Functions and operators to connect LTI models*: Creates complex block diagrams (connections in series, parallel and feedback).
- • Support for various methods of converting discrete systems to continuous systems, and vice versa.
- • Functions to graphically represent solutions for time and frequency systems and compare various systems with a single command.
- • Tools for classical and modern techniques of control design, including root locus analysis, loop shaping, pole placement and LQR/LQG control.

### Construction of Models

The *Control System Toolbox* supports the representation of four linear models: state-space models (SS), transfer functions (TF), zero-pole-gain models (ZPK) and frequency data models (FRD). LTI objects are provided for each model type. In addition to model data, LTI objects can store the sample time of discrete-time systems, delays, names of inputs and outputs, notes on the model and many other details. Using LTI objects, you can manipulate models as unique entities and combine them using matrix-type operations. An illustrative example of the design of a simple LQG controller is shown in Figure [3-5.](#page-5-0) The code extract at the bottom shows how the controller is designed and how the closed-loop system has been created. The plot of the frequency response shows a comparison between the open-loop system (red) and closed loop system (blue).

<span id="page-5-0"></span>

#### *Figure 3-5.*

The *Control System Toolbox* contains commands which analyze and compute model features such as I/O dimensions, poles, zeros and DC gain. These commands apply both to continuous-time and discrete-time models.

### Analysis and Design

Some tasks lend themselves to graphic manipulation, while others benefit from the flexibility of the command line. The *Control System Toolbox* is designed to accommodate both approaches, providing a complete set of functions for the design and analysis of models via the command line or GUI.

### Graphical Analysis of Models Using the LTI Viewer

The *Control System Toolbox* LTI Viewer is a GUI that simplifies the analysis of linear time-invariant systems (it is loaded by typing *>>ltiview* in the command window). The LTI Viewer is used to simultaneously view and compare the response plots of several linear models. It is possible to generate time and frequency response plots and to inspect key response parameters such as time of ascent, maximum overshooting and stability margins. Using mouse-driven interactions, you can select input and output channels for MIMO systems. The LTI Viewer can simultaneously display

up to six different types of plots including step, impulse, *Bode* (magnitude and phase or magnitude only), *Nyquist, Nichols*, sigma, and pole/zero. Right-clicking will reveal an options menu which gives you access to several controls and LTI Viewer Options, including:

- • **Plot Type:** Change the type of plot.
- • **Systems:** Selects or deselects any of the models loaded in the LTI Viewer.
- • **Characteristics:** Displays parameters and key response characteristics.
- • **Zoom:** Enlargement and reduction of parts of the plot.
- • **Grid:** Add grids to the plots.
- • **Properties:** Opens the *Property Editor*, where you can customize attributes of the plot.

In addition to the right-click menu, all the response plots include data markers. These allow you to scan the plot data, identify key data and determine the system font for a given plot. Using the LTI Viewer you can easily graphically represent solutions for one or several systems using step response plots, zero/pole plots and all frequency response plots (*Bode, Nyquist, Nichols* and singular values plots), all in a single window (see Figure [3-6\)](#page-6-0). The LTI Viewer allows you to display important response characteristics in the plots, such as margins of stability, using data markers.

<span id="page-6-0"></span>

*Figure 3-6.* 

### Analysis of Models Using the Command Line

The LTI Viewer is suitable for a wide range of applications where you want a GUI-driven environment. For situations that require programming, custom plots or data unrelated to their LTI models, the *Control System Toolbox* provides command line functions that perform the basic frequency plots and time domain analysis used in control systems engineering. These functions apply to any type of linear model (continuous or discontinuous, SISO or MIMO) or arrays of models.

### Compensator Design Using the SISO Design Tool

The *Control System Toolbox* SISO Design Tool is a GUI that allows you to analyze and adjust SISO control feedback systems (loaded by typing *>>sisotool* in the command window). Using the SISO Design Tool, you can graphically adjust the dynamics and the compensator gain using a mixture of root locus and loop shaping techniques. For example, you can use the view of the locus of the roots to stabilize a feedback loop and force a minimum buffer, and use Bode diagrams to adjust bandwidth, gain and phase margins or add a filter *notch* to reject disturbances. The SISO Design GUI can be used for continuous-time and discrete-time time plants. Figure [3-7](#page-7-0) shows root locus and Bode diagrams for a discrete-time plant.

<span id="page-7-0"></span>

#### *Figure 3-7.*

The SISO Design Tool is designed to work closely with the LTI Viewer, allowing you to quickly reiterate a design and immediately see the results in the LTI Viewer. When making a change to the compensator, the LTI Viewer associated with the SISO Design Tool automatically updates the plots of the solution you have chosen. The SISO Design Tool integrates most of the functionality of the *Control System Toolbox* in a single GUI, dynamically linking time, frequency, and pole/zero plots, offering views of complementary themes and design goals, providing graphical changes in Design view and helping to manage the complexity and iterations of the design. The right-click and drop-down menus give you flexibility to design controls with a click of the mouse. In particular, it is possible to view Bode and root locus diagrams, place poles and zeros, add delay/advance networks and notch filters, adjust the compensator parameters graphically with the mouse, inspect closed loop responses (using the LTI Viewer), adjust gain and phase margins and convert models between discrete and continuous time.

### Compensator Design Using the Command Line

In addition to the SISO Design Tool, the *Control System Toolbox* provides a number of commands that can be used for a wider range of control applications, including functions for classical SISO design (data buffer, locus of the roots and gain and phase margins) and functions for modern MIMO design (placement of poles, LQR/LQG methods and Kalman filtering). Linear-Quadratic-Gaussian (LQG) control is a modern state-space technique used for the design of optimal dynamic regulators, allowing the balance of benefits of regulation and control costs, taking into account perturbations of the process and measuring noise.

### The Control System Toolbox Commands

The *Control System Toolbox* commands can be classified according to their purpose as follows:

#### *General*

*Ctrlpref:* Opens a GUI which allows you to change the *Control System Toolbox* preferences (see Figure [3-8\)](#page-10-0).

#### *Creation of linear models*

*tf:* Creates a transfer function model *zpk:* Creates a zero-pole-gain model *ss:* Creates a state-space model

*dss:* Creates a descriptor state-space model *frd:* Creates a frequency-response data model *set:* Locates and modifies properties of LTI models

#### *Data extraction*

*tfdata:* Accesses transfer function data (in particular extracts the numerator and denominator of the transfer function) *zpkdata:* Accesses zero-pole-gain data *ssdata:* Accesses state-space model data *get:*Accesses properties of LTI models

#### *Conversions*

*s:* Converts to a state-space model *zpk:* Converts to a zero-pole-gain model *tf:* Converts to a transfer function model *frd:* Converts to a frequency-response data model *c2d:* Converts a model from continuous to discrete time *d2c:* Converts a model from discrete to continuous time *d2d:* Resamples a discrete time model

#### *System interconnection*

*append:* Groups models by appending their inputs and outputs *parallel:* Parallel connection of two models *series:* Series connection of two models *feedback:* Connection feedback of two systems *lft:* Generalized feedback interconnection of two models *connect:* Block diagram interconnection of dynamic systems

#### *Dynamic models*

*iopzmap:* Plots a pole-zero map for input/output pairs of a model *bandwidth:* Returns the frequency-response bandwidth of the system *pole:* Computes the poles of a dynamic system *zero:* Returns the zeros and gain of a SISO dynamic system *pzmap:* Returns a pole-zero plot of a dynamic system *damp:* Returns the natural frequency and damping ratio of the poles of a system *dcgain:* Returns the low frequency (DC) gain of an LTI system *norm:* Returns the norm of a linear model *covar:* Returns the covariance of a system driven by white noise

#### *Time-domain analysis*

*ltiview:* An LTI viewer for LTI system response analysis *step:* Produces a step response plot of a dynamic system *impulse:* Produces an impulse response plot of a dynamic system *initial:* Produces an initial condition response plot of a state-space model *lsim:* Simulates the time response of a dynamic system to arbitrary inputs

#### *Frequency-domain analysis*

*ltiview:* An LTI viewer for LTI system response analysis *bode:* Produces a Bode plot of frequency response, magnitude and phase of frequency response *sigma:* Produces a singular values plot of a dynamic system *nyquist:* Produces a Nyquist plot of frequency response *nichols:* Produces a Nichols chart of frequency response *margin:* Returns gain margin, phase margin, and crossover frequencies *allmargin:* Returns gain margin, phase margin, delay margin and crossover frequencies *freqresp:* Returns frequency response over a grid

#### *Classic design*

*sisotool:* Interactively design and tune SISO feedback loops (technical *root locus* and *loop shaping*) *rlocus:* Root locus plot of a dynamic system

#### *Pole placement*

*place:* MIMO pole placement design *estim:* Forms a state estimator given estimator gain *reg:* Forms a regulator given state-feedback and estimator gains

#### *LQR/LQG design*

*lqr:* Linear quadratic regulator (LQR) design *dlqr:* Linear-quadratic (LQ) state-feedback regulator for a discrete-time state-space system *lqry:* Linear-quadratic (LQ) state-feedback regulator with output weighting *lqrd:* Discrete linear-quadratic (LQ) regulator for a continuous plant *Kalman:* Kalman estimator *kalmd:* Discrete Kalman estimator for a continuous plant

#### *State-space models*

*rss:* Generates a random continuous test model *drss:* Generates a random discrete test model *ss2ss:* State coordinate transformation for state-space models *ctrb:* Controllability matrix *obsv:* Observability matrix *gram:* Control and observability gramians *minreal:* Minimal realization or pole-zero cancelation *ssbal:* Balance state-space models using a diagonal similarlity transformation *balreal:* Gramian-based input/output balancing of state-space realizations *modred:* Model order reduction

#### *Models with time delays*

*totaldelay:* Total combined input/output delay for an LTI model delay2z: Replaces delays of discrete-time TF, SS, or ZPK models by poles at z=0, or replaces delays of FRD models [Note: in more recent versions of MATLAB, *delay2z* has been replaced with *absorbDelay*.] *pade:* Padé approximation of a model with time delays

#### *Matrix equation solvers*

*lyap:* Solves continuous-time Lyapunov equations *dlyap:* Solves discrete-time Lyapunov equations *care:* Solves continuous-time algebraic Riccati equations *dare:* Solves discrete-time algebraic Riccati equations

<span id="page-10-0"></span>

*Figure 3-8.* 

The following sections present the syntax of the above commands, appropriately grouped into the previously mentioned categories.

### LTI Model Commands









As a first example, we generate a random discrete LTI system with three states, two inputs and two outputs.

#### $\rightarrow$  sys = drss(3,2,2)



CHAPTER 3 CONTROL SYSTEMS

```
Sampling time: unspecified
Discrete-time model.
>>
```
In the following example, we create the model

$$
5\frac{dx}{dt} = x + 2u
$$

```
y = 3x + 4u
```
with a gap of 0.1 seconds and tagged as '*voltage*' entry.

#### >> sys = dss(1,2,3,4,5,0.1,'inputname','voltage')



*Sampling time: 0.1 Discrete-time model.*

The example below creates the following two-input digital filter:

$$
H(z^{-1}) = \left[ \frac{1}{1 + z^{-1} + 2z^{-2}} \frac{1 + 0.3z^{-1}}{5 + 2z^{-1}} \right]
$$

specifying time displays and channel entries *'channel1'* and *'channel2'* :

```
>> num = {1 , [1 0.3]}
den = \{ \begin{bmatrix} 1 & 1 & 2 \end{bmatrix}, \begin{bmatrix} 5 & 2 \end{bmatrix} \}H = filt(num,den,'inputname',{'channel1' 'channel2'})
```
*NUM =*

*[1.00] [double 1 x 2]*

*den =*

*[double 1 x 3] [double 1 x 2]*

*Transfer function from input "channel1" to output:*

 *1 ----------------- 1 + z^-1 + 2 z^-2*

*Transfer function from input "channel2" to output:*

*1 + 0.3 z ^ - 1 -------------- 5 + 2 z ^ - 1*

*Sampling time: unspecified*

Next we create a SISO FRD model.

 $\rightarrow$  freq = logspace(1,2); resp = .05\*(freq).\*exp(i\*2\*freq); sys = frd(resp,freq)

*From input 1 to:*



*Continuous-time frequency response data model.*

Now we define an FRD model and its data is returned.

```
>> freq = logspace(1,2,2);
resp = .05*(freq).*exp(i*2*freq);
sys = frd(resp,freq);
[resp,freq] = frdata(sys,'v')
resp =
           0.20
           2.44
freq =
          10.00
         100.00
```
The following example creates a 2-output/1-input transfer function:

$$
H(p) = \begin{bmatrix} \frac{p+1}{p^2+2p+2} \\ \frac{1}{p} \end{bmatrix}
$$

```
>> num = {[1 1] ; 1}
den = {[1 2 2] ; [1 0]}
H = tf(num, den)
```

```
NUM =
```

```
[double 1 x 2]
[1.00]
den =
[double 1 x 3]
[1x2 double]
Transfer function from input to output...
         s + 1
#1: -------------
     s ^ 2 + 2 s + 2
      1
#2: -
      s
```
The following example computes the transfer function for the following state-space model:

$$
A = \begin{bmatrix} -2 & -1 \\ 1 & -2 \end{bmatrix}, B = \begin{bmatrix} 1 & 1 \\ 2 & -1 \end{bmatrix}, C = \begin{bmatrix} 1 & 0 \end{bmatrix}, D = \begin{bmatrix} 0 & 1 \end{bmatrix}
$$



*Continuous-time model.*

*y1 0 1*

*Transfer function from input 1 to output:*

*s - 2.963e-016 ------------- s^2 + 4 s + 5*

*Transfer function from input 2 to output:*

*s ^ 2 + 5 s + 8 ------------ s ^ 2 + 4 s + 5*

The following example specifies two discrete-time transfer functions:

$$
g(z) = \frac{z+1}{z^2+2z+3} \qquad h(z^{-1}) = \frac{1+z^{-1}}{1+2z^{-1}+3z^{-2}} = zg(z)
$$

#### $\rightarrow$  g = tf([1 1],[1 2 3],0.1)

*Transfer function:*

 *z + 1 ------------ z^2 + 2 z + 3*

*Sampling time: 0.1*

#### >> h = tf([1 1],[1 2 3],0.1,'variable','z^-1')

*Transfer function:*

 *1 + z^-1 ------------------- 1 + 2 z^-1 + 3 z^-2*

*Sampling time: 0.1*

We now specify the zero-pole-gain model associated with the transfer function:

$$
H(z) = \left[ \frac{\frac{1}{z - 0.3}}{\frac{2(z + 0.5)}{(z - 0.1 + j)(z - 0.1 - j)}} \right]
$$

```
>> z = {[]; -0.5}p = \{0.3 ; [0.1+i 0.1-i]\}k = [1; 2]H = zpk(z,p,k,-1)z =
[]
[-0.5000]
p =
[ 0.3000]
[1x2 double]
k =
1
2
Zero/pole/gain from input to output...
         1
#1: -------
      (z-0.3)
           2 (z+0.5)
#2: -------------------
      (z^2 - 0.2z + 1.01)
```
*Sampling time: unspecified*

In the following example the transfer function  $tf([-10 20 0],[1 7 20 28 19 5])$  is converted into zero-pole-gain format.

*>> h = tf([-10 20 0],[1 7 20 28 19 5])*

*Transfer function:*

 *-10 s^2 + 20 s --------------------------------------- s^5 + 7 s^4 + 20 s^3 + 28 s^2 + 19s + 5*

*>> zpk(h)*

*Zero/pole/gain:*

 *-10 s (s-2) ---------------------- (s) ^ 3 (s ^ 2 + 4s + 5)*



### Model Feature Commands

### Model Conversion Commands





CHAPTER 3 CONTROL SYSTEMS



As a first example, we consider the system:

$$
H(s) = \frac{s-1}{s^2+4s+5}
$$

with input lag *Td* = 0.35 seconds. The system is discretized using triangular approximation with sampling time *Ts* = 0.1 sec.

#### >> H = tf([1 -1],[1 4 5],'inputdelay',0.35)

*Transfer function:*

 *s - 1 exp(-0.35\*s) \* ------------ s^2 + 4s + 5*

#### >> Hd = c2d(H,0.1,'foh')

*Transfer function:*

 *0.0115 z^3 + 0.0456 z^2 - 0.0562z - 0.009104 z^(-3) \* -- z^3 - 1.629 z^2 + 0.6703z*

*Sampling time: 0.1*

If we want to compare the step response and its discretization (see Figure [3-9\)](#page-24-0) we can use the following command:

#### <span id="page-24-0"></span>>> step(H,'-',Hd,'--')



*Figure 3-9.* 

The next example computes a Padé approximation of third order with I/O lag 0.1 seconds and compares the time and frequency response with its approximation (Figure [3-10](#page-25-0)).

#### >> pade(0.1,3)

<span id="page-25-0"></span>*Step response of 3rd-order Pade approximation*





### Commands for Reduced Order Models





In the example that follows we consider the zero-pole-gain model defined by *sys = zpk*([- 10 - 20.01], [- 5 - 9.9 -20.1], 1) and estimate a balanced realization, presenting the diagonal of the balanced grammian.

#### >> sys = zpk([-10 -20.01],[-5 -9.9 -20.1],1)

*Zero/pole/gain:*

 *(s+10) (s+20.01) ---------------------- (s+5) (s+9.9) (s+20.1)*

#### >> [sysb,g] = balreal(sys)



CHAPTER 3 CONTROL SYSTEMS

```
d =
        u1
    y1 0
```
*Continuous-time model.*

*g = 0.1006 0.0001 0.0000*

The result shows that the last two states are weakly coupled to the input and output, so it will be convenient to remove them by using the syntax:

#### >> sysr = modred(sysb,[2 3],'del')

*a = x1 x1 -4.97 b = u1 x1 -1 c = x1 y1 -1 d = u1 y1 0*

*Continuous-time model.*

Now we can compare the answers of the original and reduced models (Figure [3-11](#page-28-0)) by using the following syntax:

>> bode(sys,'-',sysr,'x')

<span id="page-28-0"></span>

*Figure 3-11.* 

### Commands Related to State-Spaces





As a first example we consider the following continuous state-space model:



We calculate the balanced model as follows:

```
>> a = [1 1e4 1e2; 0 1e2 1e5; 10 1 0];
b = [1; 1; 1];c = [0.1 10 1e2];sys ss (a, b, c, 0) =
a =
 x1 x2 x3
   x1 1 1e+004 100
   x2 0 100 1e+005
 x3 10 1 0
b =
      u1
   x1 1
   x2 1
   x3 1
c =
       x1 x2 x3
   y1 0.1 10 100
d =
      u1
   y1 0
```
*Continuous-time model.*

In the following example we calculate the observability matrix of the ladder system *A* = [1, 1; 4, − 2], *B* = [1, − 1, 1, − 1], *C* = [0, 1; 1, 0]

```
>> A = [1, 1; 4, -2]; B = [1, -1, 1, -1]; C = [1,0; 0.1];\rightarrow [Abar, Bbar, Cbar, T, k] = obsvf(A,B,C)
```
*Abar =*

 *1 1 4 -2 Bbar = 1 -1 1 -1 Cbar = 1 0 0 1 T = 1 0 0 1 k = 2 0*

Below we calculate the controllability matrix of the system in the previous example.

```
>> A = [1, 1; 4, - 2]; B = [1, - 1, 1, - 1]; C = [1,0; 0.1];
>> [Abar, Bbar, Cbar, T, k] = ctrbf(A,B,C)
```
*Abar =*



```
T =
   -0.7071 0.7071
   -0.7071 -0.7071
```

```
k =
```
*1 0*

### Commands for Dynamic Models





As a first example, we calculate the eigenvalues, natural frequencies and damping factors of the continuous transfer function model:

$$
H(s) = \frac{2s^2 + 5s + 1}{s^2 + 2s + 3}
$$

#### >> H = tf([2 5 1],[1 2 3])

*Transfer function:*

*2 s^2 + 5 s + 1 -------------- s^2 + 2 s + 3*

#### >> damp(H)

*Eigenvalue Damping Freq. (rad/s)*

*00e - 1 + 000 + 1. 41e + 000i 5. 77e-001 1. 73e + 000 00e - 1 + 000 - 1. 41e + 000i 5. 77e-001 1. 73e + 000*

In the following example we calculate the DC gain of the MIMO transfer function model:

$$
H(s) = \begin{bmatrix} 1 & \frac{s-1}{s^2+s+3} \\ \frac{1}{s+1} & \frac{s+2}{s-3} \end{bmatrix}
$$

#### >> H = [1 tf([1 -1],[1 1 3]) ; tf(1,[1 1]) tf([1 2],[1 -3])] dcgain(H)

*Transfer function from input 1 to output...*

*#1: 1*

 *1 #2: ---- s + 1*

*Transfer function from input 2 to output...*

```
 s
#1: -----------
      s^2 + s + 3
      s + 2
#2: -----
       3s
ans =
1.0000 - 0.3333
1.0000 - 0.6667
```
Next we consider the discrete-time transfer function

$$
H(z) = \frac{z^3 - 2.841z^2 + 2.875z - 1.004}{z^3 - 2.417z^2 + 2.003z - 0.5488}
$$

with 0.1 second sampling time and calculate the 2-norm and the infinite norm with its optimum value.

#### >> H = tf([1 -2.841 2.875 -1.004],[1 -2.417 2.003 -0.5488],0.1) norm(H)

*Transfer function:*

*z^3 - 2.841 z^2 + 2.875 z - 1.004 --------------------------------- z^3 - 2.417 z^2 + 2.003 z - 0.5488*

*Sampling time: 0.1*

*ans =*

*1.2438*

#### >> [ninf,fpeak] = norm(H,inf)

*surrounded =*

*2.5488*

*fpeak =*

*3.0844*

We then confirm the previous values by generating the Bode plot of *H*(*z*) (see Figure [3-12](#page-34-0)).

<span id="page-34-0"></span>>> bode (H)





Next we calculate and graph the root locus of the following system (see Figure [3-13](#page-35-0)):

$$
h(s) = \frac{2s^2 + 5s + 1}{s^2 + 2s + 3}
$$

 $\Rightarrow$  h = tf([2 5 1],[1 2 3]); rlocus (h)

<span id="page-35-0"></span>



In the example below we plot a *z*-plane grid over the root locus of the following system (see Figure [3-14\)](#page-36-0):

$$
H(z) = \frac{2z^2 - 3.4z + 1.5}{z^2 - 1.6z + 0.8}
$$

 $> H = tf([2 -3.4 1.5], [1 -1.6 0.8], -1)$ 

*Transfer function:*

*2 z^2 - 3.4 z + 1.5 ------------------ z^2 - 1.6 z + 0.8*

*Sampling time: unspecified*

>> rlocus(H) zgrid axis('square')

<span id="page-36-0"></span>

*Figure 3-14.* 

### Commands for Interconnecting Models





<span id="page-37-0"></span>



*Figure 3-15.* 

<span id="page-37-1"></span>



<span id="page-37-2"></span>



<span id="page-38-0"></span>

*Figure 3-18.* 

<span id="page-38-1"></span>

*Figure 3-19.* 

<span id="page-38-2"></span>

*Figure 3-20.* 

As a first example we will combine the systems *tf*(1, [1 0]) and *ss*(1,2,3,4). We should bear in mind that for systems with transfer functions  $H_{1} (s)$ ,  $H_{2} (s)$ , ...,  $H_{n} (s)$ , the resulting combined system has as transfer function:

$$
\begin{bmatrix} H_1(s) & 0 & \dots & 0 \\ 0 & H_2(s) & \dots & \dots \\ \dots & \dots & \dots & 0 \\ 0 & \dots & 0 & H_n(s) \end{bmatrix}
$$

For two systems *sys*1 and *sys*2 defined by  $(A_1, B_1, C_1, D_1)$  and  $(A_2, B_2, C_2, D_2)$ , their combination *append*(*sys1*, *sys2*) yields the system:

$$
\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix} = \begin{bmatrix} A_1 & 0 \\ 0 & A_2 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} B_1 & 0 \\ 0 & B_2 \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \end{bmatrix}
$$

$$
\begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = \begin{bmatrix} C_1 & 0 \\ 0 & C_2 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} D_1 & 0 \\ 0 & D_2 \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \end{bmatrix}
$$

For our example we have:

#### $\rightarrow$  sys1 = tf(1,[1 0])  $sys2 = ss(1,2,3,4)$ sys = append(sys1,10,sys2)

*Transfer function:*

*1 s a = x1 x1 1 b = u1 x1 2 c = x1 y1 3 d = u1 y1 4 Continuous-time model. a =*

 *x1 x2 x1 0 0 x2 0 1 b = u1 u2 u3 x1 1 0 0 x2 0 0 2* *c = x1 x2 y1 1 0 y2 0 0 y3 0 3 d = u1 u2 u3 y1 0 0 0 y2 0 10 0 y3 0 0 4*

*Continuous-time model.*

The following example, illustrated in Figure [3-21](#page-40-0), attaches the plant *G*(*s*) to the driver *H*(*s*), defined below, using negative feedback:

$$
G(s) = \frac{2s^2 + 5s + 1}{s^2 + 2s + 3}
$$

$$
H(s) = \frac{5(s+1)}{s+10}
$$

<span id="page-40-0"></span>

*Figure 3-21.* 

```
>> G = tf([2 5 1],[1 2 3],'inputname','torque',...)
'outputname','velocity');
H = zpk(-2, -10, 5)Cloop = feedback(G,H)
```
*Zero/pole/gain:*

*5 (s+2) ------- (s+10)*

*Zero/pole/gain from input "torque" to output "velocity":*

*0.18182 (s+10) (s+2. 281) (s+0. 2192) ----------------------------------- (s+3. 419) (s ^ 2 + 1. 763s + 1.064)*

The following example builds a second-order transfer function with damping factor 0.4 and natural frequency 2.4 rad/sec.

#### >> [num,den] = ord2(2.4,0.4)

*num =*

*1*

*den =*

*1.0000 1.9200 5.7600*

*>> sys = tf(num,den)*

*Transfer function:*

 *1 ------------------ s ^ 2 + 1.92 s + 5.76*

### Response Time Commands





As a first example we generate and plot a square signal with period 5 seconds, duration 30 seconds and sampling every 0.1 seconds (see Figure [3-22\)](#page-43-0).

```
>> [u,t] = gensig('square',5,30,0.1);
>> plot(t,u)
axis([0 30-1 2])
```
<span id="page-43-0"></span>



In the example below we generate the response plot for the following state-space model (see Figure [3-23](#page-44-0)):

$$
\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix} = \begin{bmatrix} -0.5572 & -0.7814 \\ 0.7814 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}
$$

$$
y = \begin{bmatrix} 1.9691 & 6.4493 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}
$$

with initial conditions

$$
x(0) = \begin{bmatrix} 1 \\ 0 \end{bmatrix}
$$

```
>> a = [-0.5572 -0.7814;0.7814 0];
c = [1.9691 6.4493];
x0 = [1; 0]sys = ss(a, [], c, []);
initial (sys, x 0)
x 0 =
1
0
```
<span id="page-44-0"></span>

*Figure 3-23.* 

Below we generate the step response plot of the following second order state-space model (see Figure [3-24\)](#page-45-0):

$$
\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix} = \begin{bmatrix} -0.5572 & -0.7814 \\ 0.7814 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 1 & -1 \\ 0 & 2 \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \end{bmatrix}
$$

$$
y = \begin{bmatrix} 1.9691 & 6.4493 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}
$$

The following syntax is used:

```
>> a = [-0.5572 -0.7814;0.7814 0];
b = [1 -1; 0 2];c = \begin{bmatrix} 1.9691 & 6.4493 \end{bmatrix};
sys = ss(a,b,c,0);step(sys)
```
<span id="page-45-0"></span>



### Frequency Response Commands







As a first example we generate the Bode plot for the following continuous SISO system (see Figure [3-25\)](#page-48-0):

$$
H(s) = \frac{s^2 + 0.1s + 7.5}{s^4 + 0.12s^3 + 9s^2}
$$

 $\rightarrow$  g = tf([1 0.1 7.5],[1 0.12 9 0 0]); bode (g)

<span id="page-48-0"></span>



Next we evaluate the following discrete-time transfer function at  $z = 1 + i$ :

$$
H(z) = \frac{z-1}{z^2+z+1}
$$

>> H = tf([1 -1],[1 1 1],-1) z = 1+j evalfr(H,z)

*Transfer function:*

 *z - 1 ---------- z^2 + z + 1 Sampling time: unspecified z = 1.0000 + 1. 0000i ans = 0.2308 + 0. 1538i*

Next we generate the Nichols chart, with grid, for the following system (see Figure [3-26](#page-49-0)):

$$
H(s) = \frac{-4s^4 + 48s^3 - 18s^2 + 250s + 600}{s^4 + 30s^3 + 282s^2 + 525s + 60}
$$

#### >> H = tf([-4 48 -18 250 600],[1 30 282 525 60])

*Transfer function:*

*-4 s^4 + 48 s^3 - 18 s^2 + 250s + 600 ------------------------------------- s^4 + 30 s^3 + 282 s^2 + 525s + 60*

### >> nichols(H)

<span id="page-49-0"></span>>> ngrid



*Figure 3-26.* 



### Pole Location Commands

### LQG Design Commands



### Commands for Solving Equations



As an example, we solve the Riccati equation:

$$
A^T X + X A - X B R^{-1} B^T X + C^T C = 0
$$

where:

$$
A = \begin{bmatrix} -3 & 2 \\ 1 & 1 \end{bmatrix} \quad B = \begin{bmatrix} 0 \\ 1 \end{bmatrix} \quad C = \begin{bmatrix} 1 & -1 \end{bmatrix} \quad R = 3
$$

>> a = [-3 2;1 1]; b = [0 ; 1]; c = [1 -1]; r = 3; [x,l,g] = care(a,b,c'\*c,r)

*x =*

*0.5895 1.8216 1.8216 8.8188*

*l =*

*-3.5026 -1.4370*

#### *g =*

*0.6072 2.9396*

### **Exercise 3-1**

Create the continuous state-space model and compute the realization of the state-space for the transfer function *H*(*s*) defined below. Also find a minimal realization of *H*(*s*).

$$
H(s) = \begin{bmatrix} \frac{s+1}{s^3 + 3s^2 + 3s + 2} \\ \frac{s^2+3}{s^2 + s + 1} \end{bmatrix}
$$



#### >> H = [tf([1 1],[1 3 3 2]) ; tf([1 0 3],[1 1 1])];  $\rightarrow$  sys = ss(H)

*Continuous-time model.*

#### >> size(sys)

*State-space model with 2 outputs, 1 input, and 5 states.*

We have obtained a state-space model with 2 outputs, 1 input and 5 states. A minimal realization of *H*(*s*) is found by using the syntax:





*Continuous-time model.*

#### >> size(sys)

*State-space model with 2 outputs, 1 input, and 3 states.*

A minimal realization is given by a state-space model with 2 outputs, 1 input and 3 states.

This result is in accordance with the following factorization of *H*(*s*) as the composite of a first order system with a second order system:

$$
H(s) = \begin{bmatrix} \frac{1}{s+2} & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} \frac{s+1}{s^2+s+1} \\ \frac{s^2+3}{s^2+s+1} \end{bmatrix}
$$

### **Exercise 3-2**

Find the discrete transfer function of the MIMO system *H*(*z*) defined below where the sample time is 0.2 seconds.

$$
H(z) = \begin{bmatrix} \frac{1}{z+0.3} & \frac{z}{z+0.3} \\ \frac{-z+2}{z+0.3} & \frac{3}{z+0.3} \end{bmatrix}
$$

```
>> nums = {1 [1 0];[-1 2] 3}
Ts = 0.2H = tf(nums, [1 0.3], Ts)
```
*nums =*



*Ts =*

*0.20*

*Transfer function from input 1 to output... 1 #1: ------ z + 0.3 -z + 2 #2: ------ z + 0.3 Transfer function from input 2 to output... z #1: ------ z + 0.3*

 *3 #2: ------ z + 0.3*

*Sampling time: 0.2*

### **EXERCISE 3-3**

Given the zero-pole-gain model

$$
H(z) = \frac{z - 0.7}{z - 0.5}
$$

with sample time 0.01 seconds, perform a resampling to 0.05 seconds. Then undo the resampling and verify that you obtain the original model.

>> H = zpk(0.7,0.5,1,0.1)  $H2 = d2d(H, 0.05)$ 

*Zero/pole/gain:*

*(z-0.7) ------- (z-0.5)*

*Sampling time: 0.1*

*Zero/pole/gain:*

*(z-0.8243) ----------*

*(z-0.7071)*

*Sampling time: 0.05*

We reverse the resampling in the following way:

#### >> d2d(H2,0.1)

*Zero/pole/gain:*

*(z-0.7) ------- (z-0.5)*

*Sampling time: 0.1*

Thus the original model is obtained.

### **Exercise 3-4**

Consider the continuous fourth-order model given by the transfer function *h*(*s*) defined below. Reduce the order by eliminating the states corresponding to small values of the diagonal balanced grammian vector g. Compare the original and reduced models.

> $h(s) = \frac{s^3 + 11s^2 + 36s + 26}{s^4 + 14.6s^3 + 74.96s^2 + 153.7s + 154s^2}$  $3 + 11e^2$  $\frac{s^3 + 11s^2 + 36s + 26}{s^4 + 14.6s^3 + 74.96s^2 + 153.77}$  $14.6s<sup>3</sup> + 74.96s<sup>2</sup> + 153.7s + 99.65$

We start by defining the model and computing a balanced state-space realization as follows:

```
\rightarrow h = tf([1 11 36 26],[1 14.6 74.96 153.7 99.65])
[hb,g] = balreal(h)g'
```
*Transfer function:*

 *s^3 + 11 s^2 + 36s + 26 --*

*s^4 + 14.6 s^3 + 74.96 s^2 + 153.7s + 99.65*

*a =*



*b =*



*c = x1 x2 x3 x4 y1 -1.002 -0.1064 -0.08612 0.008112 d = u1 y1 0*

*Continuous-time model.*

*g = 0.1394 0.0095 0.0006 0.0000 ans = 0.1394 0.0095 0.0006 0.0000*

We now remove the three states corresponding to the last three values of *g* using two different methods.

```
>> hmdc = modred(hb,2:4,'mdc')
hdel = model(hb,2:4, 'del')a =
            x1
    x1 -4.655
b =
            u1
    x1 -1.139
c =
            x1
   y1 -1.139
d =
              u1
   y1 -0.01786
Continuous-time model.
a =
            x1
    x1 -3.601
b =
            u1
    x1 -1.002
c =
            x1
   y1 -1.002
d =
        u1
    y1 0
```
*Continuous-time model.*

Next we compare the responses with the original model (see Figure [3-27\)](#page-58-0).

```
>> bode(h,'-',hmdc,'x',hdel,'*')
```




We see that in both cases the reduced model is better than the original. We now compare the step responses (see Figure [3-28](#page-59-0))

```
>> step(h,'-',hmdc,'-.',hdel,'--')
```
<span id="page-59-0"></span>

*Figure 3-28.* 

### **Exercise 3-5**

Calculate the covariance of response of the discrete SISO system defined by  $H(z)$  and  $T_s$  below, corresponding to a Gaussian white noise of intensity  $W = 5$ .

$$
H(z) = \frac{2z+1}{z^2+0.2z+0.5}, T_s = 0.1
$$

#### $\rightarrow$  sys = tf([2 1],[1 0.2 0.5],0.1)

```
Transfer function:
       2 z + 1
   -----------------
z^2 + 0.2 z + 0.5
Sampling time: 0.1
>>p = covar(sys,5)
p =
```
*30.3167*

### **Exercise 3-6**

Plot the poles and zeros of the continuous-time transfer function system defined by

$$
H(s) = \frac{2s^2 + 5s + 1}{s^2 + 2s + 3}.
$$

 $\Rightarrow$  H = tf([2 5 1],[1 2 3]) *Transfer function: 2 s^2 + 5s + 1 ------------- s ^ 2 + 2s + 3* >> pzmap (H) >> sgrid

Figure [3-29](#page-60-0) shows the result.

<span id="page-60-0"></span>

*Figure 3-29.* 

### **Exercise 3-7**

Consider the diagram in Figure [3-30](#page-61-0) in which the matrices of the state-space model sys2 are given by:

 $A = [-9.0201, 17.7791; -1.6943, 3.2138];$  $B = [-5112, .5362; -0.002, -1.8470];$  $C = [-3.2897, 2.4544; -13.5009, 18.0745];$  $D = [-.5476, -.1410; -.6459, .2958].$ 

<span id="page-61-0"></span>

#### *Figure 3-30.*

First join the unconnected blocks, and secondly find the state-space model for the global interconnection given by the matrix  $Q = [3.1, -4, 4, 3, 0]$  with inputs = [1,2] and outputs = [2,3].

The blocks are joined using the following syntax:

```
>> A = [ -9.0201, 17.7791; -1.6943 3.2138 ];
B = [-.5112, .5362; -.002, -1.8470];C = [-3.2897, 2.4544; -13.5009 18.0745];D = [-.5476, -.1410; -.6459, .2958];>> sys1 = tf(10,[1 5],'inputname','uc')
sys2 = ss(A,B,C,D,'inputname',{'u1' 'u2'},...
'outputname',{'y1' 'y2'})
sys3 = zpk(-1, -2, 2)
```
*Transfer function from input "uc" to output:*

 *10 ---- s + 5 a = x1 x2 x1 -9.02 17.78 x2 -1.694 3.214 b = u1 u2 x1 -0.5112 0.5362 x2 -0.002 -1.847*

```
c =
 x1 x2
  y1 -3.29 2.454
  y2 -13.5 18.07
d =
         u1 u2
  y1 -0.5476 -0.141
  y2 -0.6459 0.2958
Continuous-time model.
Zero/pole/gain:
```
*2 (s+1) ------- (s+2)*

The union of the unconnected blocks is created as follows:



*Continuous-time model.*

We then obtain the state-space model for the global interconnection.

```
>> Q = [3, 1, -4; 4, 3, 0];
\rightarrow inputs = [1 2];\rightarrow outputs = [2 3];>> sysc = connect(sys,Q,inputs,outputs)
a =
         x1 x2 x3 x4
   x1 -5 0 0 0
   x2 0.8422 0.07664 5.601 0.4764
   x3 -2.901 -33.03 45.16 -1.641
   x4 0.6571 -12 16.06 -1.628
b =
         uc u1
   x1 4 0
   x2 0 -0.076
   x3 0 -1.501
   x4 0 -0.5739
c =
         x1 x2 x3 x4
   y1 -0.2215 -5.682 5.657 -0.1253
   y2 0.4646 -8.483 11.36 0.2628
d =
         uc u1
   y1 0 -0.662
   y2 0 -0.4058
Continuous-time model.
```
### **Exercise 3-8**

Plot the unit impulse response of the second-order state-space model defined below and store the results in an array with output response and simulation time.

The model is defined as follows:

```
\dot{x}\dot{x}x
   x
                                                                                                 x
                                                                                                 x
       1
       2
                                                                                                     1
                                                                                                     2
                              0.5572 -0.78140.7814 0
                                                                                                                       1 -10 2
é
\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix} = \begin{bmatrix} -0.5572 & -0.7814 \\ 0.7814 & 0 \end{bmatrix}é
                                                                                             \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} 1 & -1 \\ 0 & 2 \end{bmatrix}ë
                                \begin{bmatrix} .5572 & -0.7814 \ 0 & 0 \end{bmatrix} \begin{bmatrix} x_1 \ x_2 \end{bmatrix} + \begin{bmatrix} 1 & -1 \ 0 & 2 \end{bmatrix}é
                                                                                                                                                \begin{bmatrix} u_1 \\ u_2 \end{bmatrix}u
                                                                                                                                                   u
                                                                                                                                                       1
                                                                                                                                                       2
```
The requested plot is obtained by using the following syntax (see Figure  $3-31$ ):

```
>> a = [-0.5572 -0.7814;0.7814 0];
b = [1 -1; 0 2];c = [1.9691 \t 6.4493];sys = ss(a,b,c,0);impulse (sys)
```
<span id="page-64-0"></span>



The output response and simulation time are obtained using the syntax:

#### $\rightarrow$  [y t] = impulse (sys)

*y(:,:,1) = 1.9691 2.6831 3.2617 3.7059 4.0197 4.2096 . . y(:,:,2) = 10.9295 9.4915 7.9888 6.4622 4.9487 . .*

### **Exercise 3-9**

Graph and simulate the response of the system with transfer function *H*(*s*) defined below to a square signal of period 4 seconds, sampling every 0.1 seconds and every 10 seconds.

$$
H(s) = \begin{bmatrix} \frac{2s^2 + 5s + 1}{s^2 + 2s + 3} \\ \frac{s - 1}{s^2 + s + 5} \end{bmatrix}
$$

We begin by generating the square signal with *gensys* and then perform the simulation using *lsim* (see Figure [3-32](#page-65-0)) as follows:

```
>> [u,t] = gensig('square',4,10,0.1);
>> H = [tf([2 5 1],[1 2 3]) ; tf([1 -1],[1 1 5])]
lsim(H,u,t)
```
<span id="page-65-0"></span>



*Transfer function from input to output...*

 *2 s ^ 2 + 5 s + 1 #1: -------------- s ^ 2 + 2 s + 3 s 1 #2: ---------- s ^ 2 + s + 5*