Clinical Application of NIRS 4

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4.1 Introduction

The possibility of a diagnostic method based on near-infrared light has been discussed for more than 80 years. Since Jöbsis's introduction, near-infrared spectroscopy (NIRS) has been increasingly applied to measure tissue oxygenation in humans by detecting the levels of hemoglobin (Hb) and myoglobin (Mb) [\[1](#page-11-0)]. In this chapter, the recent advances in and prospects for clinical applications of NIRS are summarized.

There are several significant advantages that NIRS might offer over existing radiological techniques. The most obvious feature is its non-ionizing nature, which humans can be exposed to for a long time without harm due to accumulation of x-rays [\[2](#page-11-0)]. Similarly, NIRS does not lead to excess heat, unlike ultrasound, for which heating of tissues presents a significant hazard [\[2\]](#page-11-0). NIRS methods have potential uses as diagnostic tools because they can offer the ability to differentiate between human tissues with varying optical absorption or scatter. They can also allow functional information to be obtained based on specific absorption pattern. Therefore, NIRS can measure oxygenation in human tissues without the use of radioisotopes or other contrast agents. Nevertheless, NIRS has some disadvantages. One problem is a lack of quantification. There are options to quantify absolute changes in Hb levels, but it is not possible to measure these changes with NIRS. An additional magnetic resonance imaging (MRI) or computed tomography (CT) scan is necessary to quantify such changes. Another problem is difficulty in using complex formulas to convert measured optical density in order to quantify the amount of Hb circulating in the blood. These formulas have some limitations: due to their complexity, a small amount of interference can lead to data inaccuracies [\[3](#page-12-0)].

In order to appreciate the obstacles associated with the development of the NIRS method, the nature of the interactions that occur between near infrared light and human tissues should be considered. The most dominant interaction at near infrared wavelengths is elastic scattering. The scatter coefficient, μ_s , of many tissues has been measured at a variety of near-infrared wavelengths. Measurements of transmitted intensity are greatly affected by scattered light. Choice of wavelength is complicated by a need to consider the relative optical features of different tissues, the availability of suitable sources, and detector sensitivity detectors [\[1](#page-11-0), [2\]](#page-11-0). The characteristic scatter of tissue is expressed in terms of transport scatter coefficient μ'_{s} , and the absorption coefficient is expressed by μ_{a} .

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Early applications of NIRS to measure oxygenation in various human tissues were made by Jöbsis [\[1](#page-11-0)] and Chance et al. [\[4](#page-12-0)]. The NIRS methodology involves detection of differences in light absorption from tissues and is comprised of different approaches, including: (1) continuous-wavelength spectroscopy [[5\]](#page-12-0), (2) spatially resolved spectroscopy [\[6](#page-12-0)], (3) time-resolved spectroscopy [[7\]](#page-12-0), and (4) phase-modulation spectroscopy [[8](#page-12-0)]. The most versatile and widely used approach is continuous-wavelength spectroscopy. Near-infrared light in the range of 700–900 nm is used in an NIRS device because of its ability to penetrate into tissue.

To obtain a reflected-light signal from human tissues, the light source and photodetector (PD) are typically separated by 2.5–3.0 cm. The near-infrared light penetrates in a shallow arc, and the penetration depth is half the separation between the light source and PD (approximately $1.25-1.50$ cm) $[4, 6]$ $[4, 6]$ $[4, 6]$ $[4, 6]$. This indicates that longer separation distances result in deeper penetrations, but also translate into less light reaching the detector. The actual penetration depth and wavelength are very difficult to measure and vary with Hb concentration and tissue thickness (e.g., skin, fat, skull) between the light source and PD, as well as by human tissue type [[9,](#page-12-0) [10](#page-12-0)]. This is the primary difference between the use of NIRS with reflected light and with transmitted light (such as pulse oximeters, where the light pathlength is known).

NIRS has been applied to measure oxygenation in a variety of human tissues, including muscle, blood vessels, brain, and connective tissue, and more recently has been used in a clinical setting to assess circulatory and metabolic abnormalities [[1,](#page-11-0) [2\]](#page-11-0).

4.2 Muscle Metabolism

Pulmonary ventilation supplies the alveoli with fresh air, which contains a high concentration of oxygen. The oxygen, combined with Hb, is transported to tissues via the circulation, and then consumed within tissues (e.g., muscle). A noninvasive method for oxidative phosphorylation is required to evaluate this process in humans. Nuclear magnetic resonance spectroscopy (NMRS) is currently used to measure the concentration of phosphorus atoms to monitor phosphocreatine, phosphate, ATP, and hydrogen ion concentrations [[11,](#page-12-0) [12](#page-12-0)].

NIRS, however, offers another technique for indirectly measuring human muscle metabolism [\[11](#page-12-0), [12](#page-12-0)]. It monitors tissue oxygen level by measuring optical absorption changes in oxygenated and deoxygenated Hb/Mb, and it allows for noninvasive measurement of the balance between oxygen consumption and oxygen supply. NIRS is assumed to map vascular $PO₂$, and NMR monitors deoxygenated Mb as a reflection of intracellular $PO₂$. A combined NIRS and NMR study has demonstrated that deoxygenated Mb kinetics from NMR matched those observed with NIRS from gastrocnemius muscle under planter flexion or pressure cuffing [\[13](#page-12-0)]. These results establish the feasibility and methodology to observe deoxygenated Mb and Hb signals in skeletal muscle and help to clarify the origin of the NIRS signal in skeletal muscle.

The oxygen uptake estimated from pulmonary gas exchange parameters has been used as an indirect index of muscle metabolism. NIRS has made it possible to directly and noninvasively measure localized O_2 extraction/consumption. A number of studies have used NIRS to measure the muscle oxygenation (Hb saturation and blood volume) of working/exercising muscles [\[14–21](#page-12-0)]. Figure [4.1](#page-2-0) shows typical changes in oxygenated Hb that occur during cycling at five constant work rates [[14\]](#page-12-0). With increasing work rate, oxygenated Hb at the vastus lateralis muscle decreased progressively. At the beginning of exercise, oxygenated Hb increased due to increased cardiac output and muscle blood flow. Thereafter, with increasing exercise intensity, it decreased due to increased oxygen consumption and oxygen extraction by working muscle. During recovery, oxygenated Hb increased rapidly due to

Fig. 4.1 Continuous recording of the oxygenated Hb trace of vastus lateralis muscle during incremental cycling: The symbol "S" indicates the starting point for each exercise and "F" the finishing point for each exercise. Note that a progressively decreasing signal (Hb desaturation) in the vastus lateralis muscle was found with increasing exercise intensity [\[14\]](#page-12-0)

greater oxygen supply to muscle (hyperemia). Previous studies have measured oxygenation in the thigh or calf muscles during cycling [\[14](#page-12-0)], rowing [[15\]](#page-12-0), skating [[16\]](#page-12-0), knee extension [\[17](#page-12-0)], ankle plantar flexion [[9,](#page-12-0) [18\]](#page-12-0), and in arm muscles during arm cranking [\[19](#page-12-0)], elbow flexion [\[20](#page-12-0)], and finger flexion/ hand grip exercise [\[21](#page-12-0)].

NIRS measures muscle oxygenation in the small blood vessels, or capillaries [[11,](#page-12-0) [12\]](#page-12-0). Muscle oxygenation has been shown to correlate with localized muscle activity, as well as myoelectric activity and changes in blood lactate concentration [\[14](#page-12-0)]. NIRS measurements have also been correlated with phosphocreatine measurements taken using NMRS [\[22](#page-12-0)].

Some studies, however, have reported heterogeneity for muscle metabolism determined by multiple NIRS imaging devices [[23–25\]](#page-12-0). As shown in Fig. [4.2](#page-3-0), within the medial head of the gastrocnemius muscle during standing planter flexion, oxygenation was not uniformly distributed throughout the muscle, but instead was consistently different in the proximal and distal areas; the distal portion had greater deoxygenation and a higher decrease in blood volume during exercise, and it had greater oxygenation and increase in blood volume compared to the proximal portion [\[25](#page-12-0)].

4.2.1 Exercise Prescription/Training Effects

In order to keep/improve physical fitness or improve athletic performance, these NIRS measurements about muscle metabolism could be applied to prescribe exercise programs. Exercise intensity is usually determined by heart rate, oxygen uptake, or blood lactate concentration. These indicators can be used as a gauge of relative whole body exercise intensity. Changes in integrated myoelectric (iEMG) activity and degree of Hb saturation by NIRS in the vastus lateralis muscle were measured simultaneously during cycling with different constant work rates [\[14](#page-12-0)]. Changes in oxygenated Hb were observed to significantly correlate to iEMG for each subject ($r = -0.947$ to -0.993). The iEMG value has been used as an index for measuring the amount of muscle activity, degree of physical work, or amount of fatigue [\[26](#page-12-0)]. Therefore, it follows that Hb saturation levels provide reliable information regarding localized muscle activity during various exercise modes where many muscles are involved, such as arm and leg exercises.

Fig. 4.2 Changes in oxygen saturation and blood volume during rest and muscle contraction: The symbol "pro" indicates the proximal portion and "dis" the distal portion of the medial head of the gastrocnemius muscle. Note that compared with the proximal portion, lower oxygen saturation and blood volume (sum of oxygenated Hb and deoxygenated Hb) were found during muscle contraction in the medial head of the gastrocnemius muscle [\[25\]](#page-12-0)

Muscle oxygenation is also useful for evaluating either training effects or fitness level. The time course of post-exercise muscle oxygenation has been reported to be related to aerobic function [[27,](#page-12-0) [28](#page-12-0)] and is accelerated after training in relation to changes in muscle oxidative enzymes or blood flow/ capillarization [[29\]](#page-12-0). Puente-Maestu et al. [[27\]](#page-12-0) reported the speed of the re-oxygenation determined by NIRS during recovery from exercise over vastus lateralis muscle to be positively modified by endurance training, thus indicating it to be related to changes in oxidative enzyme (citrate synthase). However, muscle oxygenation has also been monitored during functional electrical stimulation in patients with spinal cord injury [\[30](#page-13-0)] and in healthy subjects for improving muscle strength [\[31](#page-13-0)].

In summary, these NIRS approaches might be useful for evaluating localized exercise intensity and can be applied in determining an optimal exercise program for athletes or for rehabilitation of patients with paraplegia.

4.2.2 Congestive Heart Failure and Q_{10} Deficiency

The clinical uses of NIRS muscle findings in varied diseases have also been explored. Booushel et al. [\[32](#page-13-0)] applied NIRS in clinical evaluation of patients with idiopathic muscle myalgia and exercise intolerance to ascertain the extent to which this clinical condition is associated with altered oxidative metabolism. Other investigators have shown that patients with congestive heart failure desaturate their muscle at a lower work level compared to healthy subjects, indicating an insufficient blood flow to exercising muscle [\[33](#page-13-0), [34](#page-13-0)].

Patients with coenzyme Q_{10} deficiency related to limited muscle oxidative phosphorylation also have an oxygenation pattern during exercise different from that in healthy subjects [\[34](#page-13-0)]. In fact, the impairment in muscle oxidative phosphorylation is so severe that there is no increase in arterialto-venous oxygen difference [[35\]](#page-13-0). Accessory respiratory muscle oxygenation during exercise has also been assessed in patients with heart failure and in heart transplant recipients [\[36](#page-13-0), [37\]](#page-13-0).

Compartment syndrome of the lower limb is a clinical phenomenon that is characterized by an increase in compartment pressure in the lower, leading to circulatory deterioration and inadequate tissue oxygenation $\lceil 38 \rceil$. Arato´ et al. $\lceil 39 \rceil$ reported that parallel observation of a subject's clinical status, intracompartmental pressure, and tissue oxygen saturation in the gastrocnemius muscle as determined by NIRS might lead to a correct and reliable diagnosis, thus resulting in optimal timing of fasciotomy and proper evaluation of the efficiency of surgery. Measurements of both the intracompartmental pressure and tissue oxygen saturation can be helpful in making an accurate diagnosis, so that an optimal determination can be made about whether or not a fasciometomy is indicated, and in evaluating a patient's status during postoperative follow-up.

4.3 Vessel Function

Several prospective studies have focused on the ability of arterial function to predict cardiovascular risk, which would allow for detection of atherosclerosis before the occurrence of critical events [\[40](#page-13-0), [41\]](#page-13-0). Although they comprise the standard for a diagnosis of hypertension, systolic/diastolic blood pressure measurements are unsuitable for evaluating arterial function in the early stages of cardiovascular disease. Arterial compliance is determined by the functional and structural components related to the intrinsic elastic properties of arteries [\[42](#page-13-0)]. In order to evaluate endothelial function, it is necessary to measure the arterial structure, which can be carried out via cold pressor or flow-mediated dilation tests. These methods involve complicated techniques and take a long time.

The ankle-to-brachial index (ABI), however, is the standard for a diagnosis of arteriosclerosis obliterans (ASO). The ABI is determined using the systolic and brachial systolic blood pressure in the ankle. In order to conclusively evaluate/diagnose arteriosclerosis obliterans, it is necessary to measure arterial structure and function by MRI or CT. These methods also involve complicated techniques and take a long time, and CT results in exposure to radiation. Therefore, an easier screening tool is required at the clinical level.

NIRS can monitor the balance between oxygen consumption and oxygen supply by measuring optical absorption changes in oxygenated and deoxygenated Hb. A previous study [\[24](#page-12-0)] suggested that oxygenation as determined by NIRS has an influence on arterial function and severity of cardiovascular disease (CVD). It is therefore possible that such NIRS measurements could be used to evaluate an individual's risk of CVD.

4.3.1 Arterial Function

ASO is characterized by occlusive lesions consisting primarily of atheromas, which are often accompanied by fibrosis and calcification of the medial coat of the artery and that may be associated with thrombosis of varying severity. This disease is now considered to be an occlusive arterial disease

Fig. 4.3 Change in delta oxygenated Hb during the occlusion test: Open squares represents data for an arteriosclerosis obliterans (ASO) patient and *closed squares* that of a healthy subject (non-ASO). Note that compared with the healthy subject, a slower recovery after thigh occlusion was found in the gastrocnemius muscle of the arteriosclerosis obliterans patient [\[47\]](#page-13-0)

predominantly affecting people with diabetes mellitus. ABI is the standard method for screening patients for ASO severity [\[43](#page-13-0)]. To evaluate basic physiological functions, however, rehabilitation practitioners require a simple method that is easy to follow and yields data critical to a diagnosis. Many researchers have attempted to evaluate or diagnose ASO severity using NIRS [[44–47\]](#page-13-0). People with ASO have larger decreases in oxygenated Hb and blood volume during some tasks (walking/running, standing planter flexion, or occlusion), and they have slower recovery compared to healthy subjects. Figure 4.3 shows the typical changes in oxygenated Hb (ΔO_2Hb) in the gastrocnemius muscle during the thigh occlusion test. Compared to healthy subjects (non-ASO), the ASO patients have a slower recovery and a shallower recovery rate gradient after occlusion [\[47](#page-13-0)]. ABI correlated with both recovery time ($r = -0.61, p < 0.01$) and recovery rate ($r = 0.80, p < 0.001$). In the clinical setting, ASO patients have a faster recovery of their oxygenated Hb after taking medication to improve their circulation [\[46](#page-13-0)]. These patients reported reduced symptoms of ASO, including a greater ability to walk without pain, as determined by the subjective judgment of a medical doctor.

To evaluate endothelial function, the arterial structure must be measured, which can be carried out via the cold pressor test (CPT), which results in production of NO via sympathetic activation. This is a novel method for evaluating endothelial function [\[48](#page-13-0)]; however, it is also complicated and time consuming. The responses of oxygenation around the calf muscle and carotid artery diameter to a CPT have been measured simultaneously [[49\]](#page-13-0). A greater decrease in oxygenated Hb and vasoconstriction during the test was found in elderly hypertensive patients compared with healthy elderly subjects. The response of oxygenated Hb was significantly correlated to vasodilation/vasoconstriction $(r = 0.658, p < 0.001)$. These findings suggest that evaluation of oxygenation by NIRS might be able to provide valuable information about vascular endothelial function.

4.3.2 Microvascular Function

Assessment of microvascular compliance is a difficult task. Strain-gauge plethysmography, the standard method for evaluating vascular compliance, does not allow a distinction to be made between the venous and arterial blood volume shifts that occur for a given pressure change. Measurement of vascular compliance reflects the contribution of both compartments, even though in classic strain-gauge plethysmography the data obtained are attributable to only the dilation of the venous tree. Binzoni et al. [[50\]](#page-13-0) developed a novel method for measuring the compliance of the superficial veins of the lower limb by NIRS. This method is complementary to strain-gauge plethysmography. The NIRS data during head-up tilt allowed for assessment of superficial venous compliance of the lower limb at less than 24 mmHg of hydrostatic pressure (P), and also of changes in oxygenated and deoxygenated Hb levels in the P range -16 to 100 mmHg, which made it possible to assess the characteristics of the vasomotor response of the arteriolar system.

4.4 Brain Function

Similar to positron emission tomography (PET) and functional MRI (fMRI), NIRS can measure changes in cerebral blood flow and Hb saturation. A fast response to neuronal activation can be obtained with other related techniques, such as event-associated optical signals, which are derived from the near-infrared light scattering properties of active neurons. The NIRS method is used to measure brain oxygenation in adults [\[51](#page-13-0)], newborn infants [\[52](#page-13-0)], and the fetus during labor [[53\]](#page-13-0).

4.4.1 Vascular Dementia

The typical symptoms of subcortical vascular dementia (SVD) are slowness in motor performance and in cognitive processing, which results from early impairment of attention and executive functions [\[54](#page-13-0)]. Previous studies of SVD patients have observed a reduction in cerebral blood flow or the metabolic rate of oxygen during a resting or active state [[55,](#page-13-0) [56](#page-13-0)]. In a recent study using simultaneous NIRS and fMRI [\[56](#page-13-0)], SVD patients showed decreased oxygenated Hb, total Hb, level-dependent blood concentration, and cerebral blood flow, and the metabolic rate of oxygen decreased during a simple motor task, which might explain the pathological small-vessel compromise, impaired vascular reactivity, and impaired neurovascular coupling. This NIRS method can therefore reveal various hemodynamic and metabolic changes, and may be useful in early detection or monitoring of subcortical vascular dementia.

4.4.2 Brain Oxygenation during Surgery

Many clinical studies and case reports have demonstrated that an ability to measure brain oxygenation aids in detecting clinically silent episodes of brain ischemia in a variety of clinical settings, thus helping to safeguard brain function. In patients with subarachnoid hemorrhage, episodes of angiographic cerebral vasospasm were associated with a reduction in ipsilateral NIRS signals [[57\]](#page-14-0). Degree of spasm was associated with a greater reduction in same-side NIRS signal, demonstrating real-time detection of intracerebral ischemia.

The proper management of brain oxygenation is one of the principal endpoints of all anesthetic procedures, but the brain remains one of the last monitored organs during clinical anesthesiology. There are some medical procedures where iatrogenic brain ischemia is present, including carotid endarterectomy (CEA) in patients with high-grade carotid artery stenosis, temporary clipping in brain aneurysm surgery, hypothermic circulatory arrest for aortic arch procedures, and other cases in which the pathology itself generates brain ischemia, such as traumatic brain injury and stroke [\[58](#page-14-0)]. Proper monitoring of ischemia and response to treatment are essential for ensuring a good outcome.

There have been a number of case–control and retrospective studies of brain oximetry in cardiac surgeries that have shown improvements in outcome associated with brain oximetry monitoring and correlations between desaturation and adverse outcomes [\[59](#page-14-0)]. In a study utilizing brain oximetry during coronary artery bypass surgery where patients were randomized to active monitoring and a series of interventions designed to improve oxygen saturation, a significant association was found between brain desaturation and early cognitive decline, and also an increased risk of a prolonged hospital stay $[60]$ $[60]$.

Various studies have shown that cerebral oximetry monitoring during CEA can be a valuable tool for detecting cerebral ischemia [\[61](#page-14-0), [62\]](#page-14-0). A large cohort of NIRS findings from CEA performed under general anesthesia was studied to determine the sensitivity, specificity, and predictive values of regional cerebral tissue oxygen saturation cut-off points in predicting the need for shunting or for levels resulting in neurological complications [\[63](#page-14-0)]. In comparison with other devices, NIRS can provide continuous measurement of frontal cortex oxygenation in a simple and noninvasive way. Most studies utilizing NIRS during CEA have defined the sensitivity and specificity of changes in brain desaturation as correlated with either clinical signs of brain ischemia or other neuromonitoring modalities. Kirkpatrick et al. [[64\]](#page-14-0) reported a positive correlation between changes in cerebral flow velocity as detected by transcranial Doppler and changes in Hb saturation.

4.4.3 Brain Oxygenation in Children

In such complex settings as pediatric cardiac surgery, pediatric neurosurgery, and pediatric/neonatal intensive care, NIRS has been used to monitor and detect episodes of cerebral ischemia intraoperatively when combined with bispectral index monitoring [\[65](#page-14-0)]. NIRS monitors have been continuously and safely employed on the many neonates who suffer from dysfunction in brain oxygenation. Optical absorption by Hb provides a natural contrast agent to study oxygenation and dynamic processes, including evoked responses. The fact that the neonatal head is relatively transparent to light has been known for a long time, and transillumination of the head has been widely used to observe abnormalities near the brain surface, including development of hydrocephalus and subdural hemorrhage [\[2](#page-11-0)].

Brain oximetry has been employed to evaluate variations in the cerebral circulation in preterm infants presenting with apneic episodes [\[66](#page-14-0)]. An increased total Hb and decreased oxygen saturation were found during apneic episodes. In neonatal birth asphyxia, mild brain cooling has been utilized in an attempt to minimize subsequent brain hyperanemia and intravenous hyperalimentation. Ancora et al. [\[67](#page-14-0)] reported changes in brain oxygenation and EEG in an asphyxiated newborn during and after cool cap treatment, and suggested that NIRS might be useful during hypothermia treatments in order to monitor changes in brain oxygenation as possible indicators of the efficacy of cool cap treatment.

These findings suggest that the use of NIRS in monitoring brain oxygenation can provide indications of compromised brain perfusion in a clinical setting. The simple operation and continuous nature of the NIRS method must be considered with regard to the method's relative sensitivity and specificity versus other monitoring modalities.

4.5 Cancer Detection

There are many medical devices available to clinicians who diagnose, stage, and treat human cancer: CT, ultrasound, single-photon emission computed tomography, PET, MRI, and NIRS [\[68](#page-14-0)]. The NIRS method has been used more often in recent times. Its use NIRS as a supplement to conventional techniques in clinical areas has generated considerable interest. NIRS has such advantages as being noninvasive, fast, and relatively inexpensive. In addition, it poses no risk of ionizing radiation, and NIR light can easily penetrate centimeter-thick tissues. Differences in the NIRS signals between tissues are manifestations of multiple physiological changes, which are in turn associated with such factors as vascularization, cellularity, oxygen consumption, or remodeling [\[69](#page-14-0)].

The NIRS method offers the promise of a dramatic improvement in screening, and will continue to improve over the next decade. Diagnostically significant results in terms of sensitivity and specificity have been reported for breast, skin, pancreatic, and colorectal cancers. An accuracy between 72% and 97% has been achieved for the different types of tumors studied [[70\]](#page-14-0).

4.5.1 Breast Tissue

X-ray mammography is widely used in screening patients for breast cancer, the most common form of carcinoma in females. Because of uncertainties associated with radiographic density, mammography has an up to 22% false-negative rate, as well as a high false-positive rate in patients under 50 years of age [[71\]](#page-14-0). A recent study found that routine initial mammography was not clinically advantageous for subjects under 35 years of age [\[72](#page-14-0)]. Furthermore, the use of hormone replacement therapy in postmenopausal patients is known to increase mammographic density [\[73](#page-14-0)] and has recently been shown to impede the efficacy of mammographic screening [\[74](#page-14-0)]. Techniques such as MRI and ultrasound are used only as secondary procedures because of such factors as high cost and poor specificity or low sensitivity.

NIRS methods are advantageous for screening because they are noninvasive, quantitative, and relatively inexpensive. They do not require compression and pose no risk of ionizing radiation. Chance's group [\[75](#page-14-0)] introduced the endogenous contrast method, tomographic near-infrared imaging of the human breast, in 1994 [[75\]](#page-14-0). With this technique the inherent properties of normal and malignant tissue can be examined noninvasively using invisible near-infrared light. Recent improvements include the development of a handheld device for breast cancer detection [\[76](#page-14-0)] and the use of MRI to improve 3D reconstruction of optical images [[77\]](#page-14-0). Diffuse optical spectroscopy by increasing the wavelength range and resolution has also been employed to characterize malignant tumors (Fig. [4.4\)](#page-9-0) [\[78](#page-14-0)].

The use of invasive procedures such as fine-needle aspiration or surgical biopsy have also been implemented to provide a definitive diagnosis for breast cancer. Given the suboptimal performance of x-ray mammography in premenopausal and perimenopausal patients, the majority of invasive followup procedures are performed on normal or benign tissue that present no malignant disease [\[79](#page-14-0)]. As a result, the use of noninvasive NIR methods as a supplement to present techniques for diagnosing and detecting breast cancer has generated considerable interest.

4.5.2 Skin Lesion

Skin cancer is one the most common human carcinomas. The clinical diagnosis is often difficult, as many benign skin lesions resemble malignancies upon visual examination. Therefore, a histopathologic analysis of skin biopsies remains the standard for confirmation. McIntosh et al. [\[80\]](#page-14-0) collected visible and near-infrared spectra of skin neoplasms in vivo and found significant differences between normal skin and skin lesions in several areas. NIRS is therefore a promising noninvasive technique for screening of skin lesions.

Fig. 4.4 Specific tumor component index-based image of a malignant tumor: Diffuse optical spectroscopic imaging-mapped regions of the breast are superimposed onto a breast picture. The vertical boxes indicate a subset of the region of interest corresponding to the spectra below. Dots on the image indicate locations at which imaging measurements were obtained. Spectra are shown in two regions: malignant $(left)$ and normal tissue (*right*). Note that the specific tumor component absorption spectrum is found only in the tumor-containing region and not in surrounding normal tissue [[78](#page-14-0)]

4.5.3 Therapeutic Application

Various treatments are used to cure cancer, control its growth, shrink tumors, or to destroy microscopic cancer cells. Chemotherapy, and radiation/photon dynamic therapy are two of the most oftenused procedures in cancer treatment. NIRS can offer options for optimizing the biological effect, accurate dosimetry, and for monitoring treatment progression and efficacy. In a previous study [[69\]](#page-14-0), the tissue optical index, developed as a contrast function by combining diffuse optical spectroscopy (DOS) measurements, was monitored following a single dose of adriamycin and cyclophosphamide neoadjuvant chemotherapy. DOS can be used as a quantitative diffuse optical method, which is conceptually similar to the relationship between MRI and MRS. In general, DOS employs a limited number of source-detector positions; however, it employs broadband content in the temporal and spectral domains in order to recover complete absorption and scattering spectra measuring approximately 650–1,000 nm $[69]$ $[69]$. Figure [4.5](#page-10-0) shows the tissue oxygen index (TOI) prior to and on days 1, 2, 3, 6, and 8 following therapy. Note the dramatic drop in TOI within just 1 day: 2.5 prior to therapy to 1.7 on day 1. By day 8, peak TOI levels were approximately equal to normal baseline levels, thus representing a 60% reduction in 1 week. NIRS can therefore be used to quantitatively assess the biochemical composition of the tumor and its response to treatment.

From these findings it can be concluded that NIRS may play an important role in detecting cancer, predicting responders early in the course of therapy, and developing an optimal strategy for care.

Fig. 4.5 Changes in tissue oxygen index observed post-therapy: Time point 0 was prior to treatment. The error bars represent the standard deviation of measurements. Note that changes were observed in the tissue oxygen index of the tumor (*triangles*) as soon as 1 day post-therapy [[69](#page-14-0)]

4.6 Others

Although it has already been applied to muscle/brain metabolism, vessel function, and cancer detection, NIRS is also quite useful in evaluating and diagnosing various diseases in the clinical setting.

Preterm labor is responsible for 70% of perinatal mortality and nearly half of long-term neurological morbidity [\[81](#page-14-0)], and is one of the most challenging problems in modern obstetrics and gynecology. One of the keys to treating preterm labor and preventing severe neonatal complications is early identification of at-risk patients. Hornung et al. [[82\]](#page-15-0) used frequency-domain NIRS to monitor changes in the optical properties of the uterine cervix that occur during regular pregnancies, and thus showed that oxygenated Hb and total Hb are significantly correlated with gestational age. Therefore, this kind of NIRS device can be applied to assess the cervix for prediction of risk of preterm labor or for distinguishing between true and false labor.

Surgical site infections (SSIs) comprise the most common serious complication of anesthesia and surgery. The transition from contamination to an established infection occurs during a decisive period, even though infections are typically detected a week or more following surgery [[83\]](#page-15-0). Antibiotics administered during this decisive period are more effective in reducing infection risk. Previous studies have suggested that tissue oxygen tension is one of the best factors for predicting SSIs $[84, 85]$ $[84, 85]$ $[84, 85]$ $[84, 85]$. Govinda et al. $[86]$ $[86]$ measured changes in tissue oxygen saturation $(S^{tO}₂)$ as determined by NIRS after colorectal surgery in order to establish the parameters for predicting development of an SSI. StO₂ measured at the upper arm was found to be lower in patients with an SSI than in those without, and these measurements had a high sensitivity and specificity for predicting development of an SSI. NIRS may thus be able to predict surgical site infections and so allow earlier preventive or treatment measures to be implemented.

Severe sepsis is an inflammatory response to infection that results in acute organ dysfunction [[87\]](#page-15-0). Despite a hyperdynamic systemic blood flow and elevated mixed venous oxygen saturations, elevated blood lactate concentrations are common in sepsis and suggest that a maldistribution of oxygen within the tissues may contribute to organ failure and death. In human sepsis, impaired microvascular reactivity is related to tissue dysoxia as well as organ dysfunction. Doerschug et al. [[88\]](#page-15-0) measured microvascular Hb and $StO₂$ using NIRS both before and after forearm ischemia in patients with sepsis and found $StO₂$ to decrease and the rate of tissue reoxygenation to be impaired with sepsis. Additionally, the rate of tissue reoxygenation was shown to be related to degree of organ dysfunction. NIRS measurements of tissue microvascular perfusion and reactivity may provide important information about sepsis, and such information may serve as endpoints in future therapeutic interventions aimed at improving microvascularization [\[88](#page-15-0)].

4.7 Summary

Improvements in NIRS during the past eight decades have matured this technique to the point where it is now effective in a wide range of applications.

However, most of the NIRS investigations reported thus far are feasibility studies. In order to become a major part of a monitoring system, more technological improvements will be needed. Further studies with a larger number of patients and/or normal subjects are also required to further understand the problems associated with this technique, and to confirm its efficacy. Such clinical trials are expected to be carried out over the next decade and will likely have an impact in both research and clinical settings. In sum, NIRS is a prospective technology, an d this kind of tool might play a crucial role in diagnosing and treating human disease, or for defining response to therapy.

Problem

4.1 How does NIRS help us better understand the relationship between vasculature and tissue function?

Further Reading

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