

CHAPTER 9

ATHEROSCLEROSIS, CAVEOLAE AND CAVEOLIN-1

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Abstract: Atherosclerosis is a disease of the blood vessel characterized by the development of an arterial occlusion containing lipid and cellular deposits. Caveolae are 50-100 nm cell surface plasma membrane invaginations that are believed to play an important role in the regulation of cellular signaling and transport of molecules among others. These organelles are enriched in sphingolipids and cholesterol and are characterized by the presence of the protein caveolin-1. Caveolin-1 and caveolae are present in most of the cells involved in the development of atherosclerosis. The current literature suggests a rather complex role for caveolin-1 in this disease, with evidence of either pro- or anti-atherogenic functions depending on the cell type examined. In the present chapter, the various roles of caveolae and caveolin-1 in the development of atherosclerosis are examined.

INTRODUCTION

The Development of Atherosclerosis: Current Understanding

Cardiovascular disease (CVD) is the leading cause of morbidity and mortality in industrialized nations. Atherosclerosis is the primary cause of CVD and is mainly characterized by the formation of plaques that develop in the arterial wall. This wall consists of three distinct cellular layers: the intima, the media and the adventitia. The intima is the innermost monolayer of the artery formed by endothelial cells (ECs) and

internal elastic lamina. The media consists of smooth muscle cells (SMCs) embedded in an extracellular matrix (ECM). The adventitia is the outer layer of the arterial wall and is made up predominantly of fibroblasts and ECM.¹

Plaque formation is a complex multistep process that is initiated by the accumulation of lipoproteins in the arterial intima and followed by the infiltration of monocytes at lesion sites. Lipoprotein infiltration mainly involves low-density lipoprotein (LDL), which acts as a molecular suitcase for the transport and delivery of lipids to peripheral tissues. Thus, increased plasma LDL levels have been linked to increased risk of CVD.² Importantly, the entrapment of LDL particles and their subsequent modification (e.g., oxidation or aggregation) in the sub-endothelial space of arteries^{3,4} have been demonstrated to play a major role in the initiation of atherosclerosis.⁵ As a result, the transfer of LDL from the blood stream to the sub-endothelial space may be the defining initial step for the atherosclerotic process.

The presence of modified LDL particles in the sub-endothelial space induces early inflammation via the activation of ECs. This inflammatory process is initiated by the expression of adhesion molecules, such as vascular cell adhesion molecule-1 (VCAM-1), intracellular cell adhesion molecule-1 (ICAM-1), P-Selectin and E-Selectin.⁶ Selectins play a key role in the primary interaction between monocytes and the endothelium, namely tethering and rolling of monocytes at the surface of activated endothelial cells.⁷ Subsequently, monocytes differentiate into macrophages that can take-up large amounts of modified LDL and eventually become foam cells, which are enriched in cholesteryl esters (CE). The presence of T-lymphocytes, foam cells and macrophages in the intima further contribute to the inflammatory response via the secretion of chemokine and cytokine molecules, such as Monocyte Chemoattractant Protein-1 (MCP-1) and Tumor Necrosis Factor- α (TNF- α).⁸

In the early stages of this process, foam cell formation occurs via the uptake and subsequent accumulation of modified LDL in macrophages.⁹ Ingested lipoprotein particles are degraded into cholesterol, amino acids and fatty acids in the lysosomes. Excess cholesterol is stored in lipid droplets as cholesteryl esters (CE).¹⁰ These cholesterol-loaded macrophages transform into foam cells since the expression of receptors responsible for lipoprotein uptake (i.e., scavenger receptors) is not regulated by cellular cholesterol levels.¹¹ The scavenger receptors CD36 and Scavenger Receptor class A (SR-A) are receptors that bind modified LDL. Contrary to the LDL-receptor, mRNA levels of these receptors are not regulated by cellular cholesterol levels. Consequently, mice deficient in either of these receptors exhibit reduced atherosclerotic lesions.^{12,13} This phenotype is likely due to impaired modified LDL uptake by macrophages and, consequently, reduced fatty streak formation.

During all stages of lesion progression, macrophages may undergo apoptosis^{14,15} and with prolonged cholesterol loading, macrophages show characteristics of necrosis.¹⁶ In vitro experiments have shown that free cholesterol (FC)-loading or oxidized LDL (oxLDL) treatment of macrophages leads to necrosis that is characterized by disruption of the plasma membrane and swelling of cellular organelles.¹⁶⁻¹⁸ Other possible causes for macrophage death in atherosclerotic lesions include growth factor deprivation¹⁹ and the exposure to factors such as inflammatory cytokines and nitric oxide.²⁰ These observations highlight the importance of the macrophage phagocytotic properties that would allow an efficient clearance of apoptotic cells. The removal of the resulting apoptotic cells by phagocytosis is carried out by infiltrating macrophages in a process known as efferocytosis.²¹ This process is decreased in the more advanced stages of atherosclerosis and, as a result, increased plaque necrosis and inflammation are observed.^{9,22} Besides apoptotic macrophages, lesions in the sub-endothelial space at this stage are also composed of proliferating SMCs and an ECM composed of lipid-rich cellular and necrotic debris.^{1,23} The secretion of cytokines and

growth factors by macrophages and T-cells further promote the migration and proliferation of SMCs. In turn, these stimulated SMCs produce ECM proteins that can facilitate plaque rupture.¹ All of these events are believed to promote the development of an atheroma and later plaque rupture can eventually lead to blood clot and acute arterial occlusion causing a myocardial infarction or stroke depending on the location.^{1,3,4,24}

A good understanding of the molecular mechanisms associated with the development of atherosclerosis has been obtained in mouse models. Mice are normally very resistant to atherosclerosis. However, under specific genetic and dietary conditions, they can develop hypercholesterolemia and extensive atherosclerotic lesions with characteristics that are similar to those observed in humans. In mice, a targeted disruption of the apolipoprotein E gene (*apoe*) is characterized by increased very low-density lipoproteins (VLDL) and LDL associated cholesterol levels in the blood stream. In addition, feeding *apoe*^{-/-} mice with a western-type diet (i.e., enriched in cholesterol) leads to a further increase in plasma cholesterol levels that can reach 1500-2000 mg/dl (~ten times normal values) and therefore accelerates the appearance of lesions in the aorta.^{25,26}

Caveolin-1 (Cav-1) is expressed in all of the cell types involved in the development of atherosclerosis (i.e., endothelial cells, macrophages and smooth muscle cells). Because of its role in the regulation of cellular cholesterol homeostasis and in numerous signaling pathways, it has been proposed to play an important role in atherosclerosis together with caveolae. The objective of this chapter is therefore to provide a better understanding of the role of Cav-1 and caveolae in the complex process of atherosclerosis development at the cellular and molecular levels. The study of complex diseases such as atherosclerosis is challenging because of its multi-factorial origin, most notably environmental and genetic. Based on data generated by various laboratories, including ours, we believe that the study of Cav-1 will allow the development of novel scientific approaches to study atherosclerosis by examining the different steps associated with the development of this disease. In this chapter, we present a caveolae-based approach to dissect the various steps, in particular, intimal LDL accumulation, endothelial, macrophage and SMC function. These cell types are directly involved in the development of atherosclerosis at different stages during disease progression. More specifically, we underline the multifaceted and sometimes opposing roles of Cav-1 in ECs, macrophages and SMCs. Finally, we present a working model for Cav-1 function in atherogenesis.

Caveolae: Discovery and Biochemical Properties

“Caveolae”, a term coined by Yamada,²⁷ are small, 50-100 nm, flask-shaped plasma membrane invaginations, first identified by Palade in 1953 and described as “little caves” due to their appearance by electron microscopy.²⁸ This type of vesicular structure is a subtype of lipid rafts, which are plasma membrane microdomains enriched in sphingolipids and cholesterol.²⁹ The particular lipid composition of caveolae/lipid rafts is responsible for the insolubility observed in non-ionic detergents (e.g., Triton X-100) at 4° C and a light buoyant density after sucrose gradients ultracentrifugation.³⁰ These properties have been instrumental for the purification and biochemical characterization of these structures.³¹⁻³⁵ They are involved in the regulation of signal transduction events, endocytosis, transcytosis, membrane trafficking and the regulation of cholesterol homeostasis.^{33,36} They are highly sensitive to cholesterol depletion as treatment of cells with cholesterol-binding agents (e.g., cyclodextrin) flatten these structures.^{37,38} Caveolae are characterized by the presence of the protein Cav-1. Caveolae and Cav-1 are abundant in terminally differentiated cells,

including fibroblasts, epithelial cells, adipocytes and ECs.^{39,40} Cav-1-deficient mice lack caveolae in all of the cell types normally expressing Cav-1. These findings indicate that Cav-1 is required for the formation of caveolae.⁴¹⁻⁴³

Caveolae and Caveolins Structure and Function

The molecular makeup of caveolae has remained mysterious for four decades after their initial morphological description. The discovery of Cav-1 as a major structural protein component of caveolae has since provided new insights into the multifaceted function of caveolae and caveolins.^{38,44} Cav-1 was first identified through a screening of tyrosine-phosphorylated proteins in Rous sarcoma v-Src positive cells. This protein was detected in caveolae by immuno-electron microscopy and protein sequencing identified it as the previously characterized VIP21 protein (Vesicular integral-membrane protein of 21 kDa).^{45,46} Two additional caveolin protein isoforms have also been identified by sequence identity. Together, they form the caveolin protein family, which consists of three proteins (Caveolin-1, Caveolin-2, Caveolin-3) that are well-conserved from *C. elegans* to mammals.³⁸

Cav-1 has an unusual topology (Fig. 1) with the middle portion of the protein (~33 amino acids) embedded into the cytoplasmic leaflet of the lipid bilayer and its amino and carboxy termini in the cytosol, thus forming a hairpin-like structure.⁴⁴ Cav-1 and Cav-3 homo-oligomerize, while Cav-1 and Cav-2 form hetero-oligomers, via the caveolin oligomerization domain (COD, residues 61-101).⁴⁷ After synthesis in the endoplasmic reticulum (ER), Cav-1 forms high molecular oligomeric complexes with either itself or Cav-2. In skeletal muscle and cardiac myocytes, Cav-3 is the main structural component of caveolae.⁴⁸ Cav-1 oligomers organize themselves within the membrane to form a higher order umbrella-like structure (Fig. 1). As the complex traffics through the Golgi network, a higher order complex of well over 1000 subunits (Cav-1 oligomers) eventually leads to the formation of caveolae at the plasma membrane in association with cholesterol and sphingolipids. Cav-1 protein levels are highly dependent on cellular cholesterol levels.⁴⁹⁻⁵² In addition, this protein has a high affinity for cholesterol.⁵³⁻⁵⁶ The initial Cav-1 oligomers allow to anchor various receptors and signaling molecules.^{57,58} Besides, this structure promotes the invagination and bending of the membrane through the caveolin-induced asymmetrical conformation.

Domain mapping and deletional analysis have identified a Cav-1 scaffolding domain (CSD, residues 82-101), which allows Cav-1 to mediate protein-protein interactions and modulate signal transduction pathways. Several cytoplasmic and transmembrane proteins and downstream signaling molecules have been shown to preferentially localize to caveolae and interact with Cav-1. These molecules include Src-family tyrosine kinases, p42/44 MAPK and endothelial nitric oxide synthase (eNOS). Cav-1 can hold these signal transducing molecules in an inactive state until they are activated by the appropriate stimulus.^{57,59} These properties allow caveolae and caveolins to regulate signal transduction and act as platforms for compartmentalization, engaging signaling molecules in a manner similar to lipid rafts. This function has been proposed in the “caveolin signaling hypothesis”.⁶⁰

ABSENCE OF CAVEOLIN-1 DECREASES ATHEROSCLEROSIS DEVELOPMENT

The first direct indication suggesting that Cav-1 plays a role in atherosclerosis has come from findings obtained in our laboratory. We have shown a major reduction of

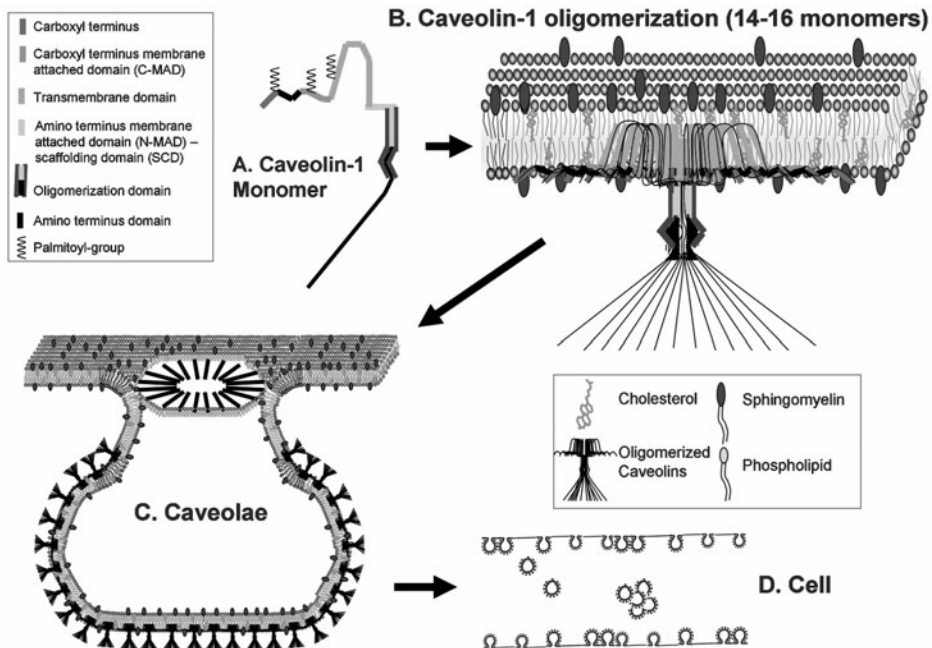


Figure 1. Caveolae Organization. A) Representation of Cav-1 hairpin-like structure with its domains, including the scaffolding domain (SCD) which allows Cav-1 to bind and regulate kinases and other downstream signaling pathways. B) Representation of Cav-1 oligomer formation (14-16 monomers) with an umbrella-like structure embedded within the plasma membrane enriched in cholesterol and sphingomyelin. C) Higher ordered complex of well over 1000 subunits eventually forms caveolae within the lipid bilayer of the plasma membrane. D) Examples of caveolae structures observed in differentiated cells. Grape-like structures plasma membrane attached caveolae are shown.

atherosclerosis in caveolin-1-deficient (*cav-1*^{-/-}) mice in the *apoe*^{-/-} genetic background. These double knock-out mice displayed reduced aortic lesions by up to 70% compared to *apoe*^{-/-} mice alone despite remarkably elevated levels of circulating plasma cholesterol.^{61,62}

However, Cav-1 is expressed in all the cell types involved in the development of an atheroma. Nevertheless, its expression levels and function are different depending on the cell type. In fact, current studies suggest that Cav-1 has both a pro- and anti-atherogenic role that is context-dependent based on the cell type in which it is expressed.⁶¹ The various roles of Cav-1 in atherosclerosis will be discussed in the following sections.

Role of Caveolin-1 in the Regulation of Endothelial Cell Function

Caveolae and the Regulation of LDL Transcytosis

Elevated plasma LDL cholesterol levels have been associated with increased risk for heart disease development. As a consequence, all mouse models used for atherosclerosis studies exhibit abnormal lipoprotein profiles. Transcytosis and retention of LDL are believed to be the initiating events that lead to downstream processes such as activation

of ECs and subsequent monocyte recruitment. The transcytosis process is defined as the transfer across ECs of a molecule (e.g., LDL) from the lumen to the subendothelial side of a blood vessel. Its occurrence may be related to the presence of Cav-1 in ECs, since it was suggested that caveolae could mediate LDL transcytosis⁶³ (Fig. 2). Other molecules that are known to transcytose across ECs are albumin⁶⁴ and transferrin.⁶⁵ Interestingly, ECs that lack Cav-1 display impaired transcytosis of albumin.^{66,67}

Transcytosis is the first function that has been ascribed to caveolae⁶⁸ and fifteen years later it was shown that the majority of LDL transcytosis occurs via caveolae.⁶⁹ Endothelial caveolae are thought to play a role in transcytosis via receptor-mediated transfer of LDL across ECs^{61,68} or fluid phase transfer of LDL across ECs. A third pathway by which LDL could cross the endothelial barrier might be via a paracellular transport, which could occur between two ECs (Fig. 2). However, the latter pathway is unlikely to occur since LDL particles may be too large (20-30 nm) to fit between the tightly apposed ECs. In fact, Simionescu et al have shown that the transfer of molecules via the paracellular pathway is limited to those in the 3-6 nm range.⁷⁰ Moreover, Vasile et al⁶⁹ have shown that LDL particles are endocytosed in small amounts in ECs by receptor-dependent and receptor-independent processes. In addition, caveolae have also been shown to be responsible for the transcytosis of LDL and HDL across ECs of the blood brain barrier.^{71,72} Finally, in a recent study, we have shown that Cav-1-deficient mice present defects in the aortic uptake of LDL particles, both in vivo and in vitro.⁶³ In direct support of these findings, we have confirmed that downregulation of the Cav-1 protein in human umbilical vein endothelial cells leads to an over 50% reduction in LDL uptake (S Pavlides and PG Frank unpublished data). The latter studies demonstrate a critical role for caveolae-mediated transcytosis of LDL particles from the vascular lumen to the sub-endothelial space. Furthermore, they also indicate an important pro-atherogenic function for Cav-1 and caveolae in ECs. Therefore, the requirement for high cholesterol

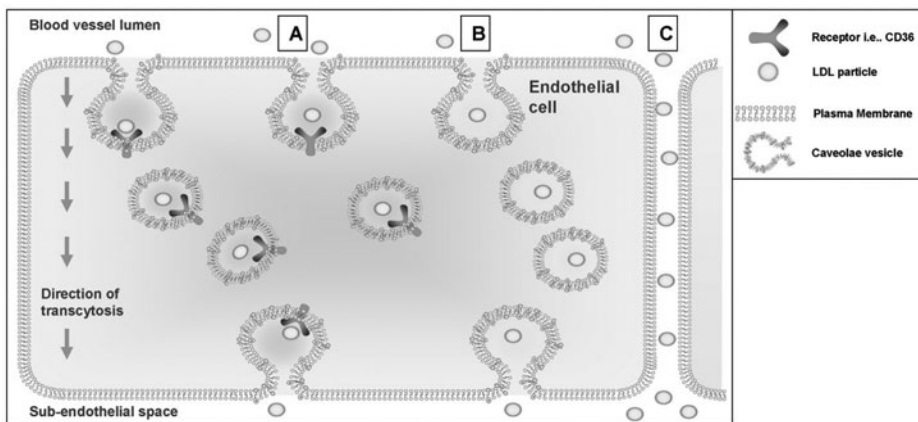


Figure 2. Transcellular model of LDL transfer across endothelial cells. LDL is transferred from the lumen to the sub-endothelial space via three possible transcellular pathways: A) Receptor-mediated transcytosis: LDL binds specific receptors that are found in caveolae (such as CD36). Caveolae vesicles endocytose and transfer LDL across the cell, where caveolae fuse with plasma membrane on the basal side to release LDL within the intima. B) Fluid phase-mediated transcytosis: LDL particles engage in caveolae vesicles in a nonspecific manner and are transferred across the cell to the intima. C) Paracellular pathway: LDL particles are transported between the space of two apposed cells in a caveolae-independent manner.

circulating levels in animal models susceptible to atherosclerosis is not sufficient but transcytosis of LDL across ECs is a prerequisite for the initiation of atherosclerotic lesion progression. Blockage of this process could prevent atherosclerotic lesion development. Further research into the factors regulating this step may lead to the development of novel drugs for the treatment of vascular diseases.

Caveolin-1: Role in the Regulation of eNOS Function and Inflammation

Endothelial Cav-1 is implicated in vascular inflammation, which is a critical element in the development of atherosclerosis. In that regard, the endothelial nitric oxide synthase (eNOS) has been demonstrated to play an important role in inflammation. eNOS is an enzyme produced by endothelial cells and it is palmitoylated and myristoylated.⁷³⁻⁷⁵ These posttranslational modifications are a common feature of many signaling proteins that are targeted to caveolae.³³ Moreover, eNOS interacts with the Cav-1 scaffolding domain and is tonically inhibited by Cav-1 in vascular ECs.^{42,76,77} eNOS is a complex dimeric enzyme, which activity is highly regulated. Intracellular calcium concentration rises upon agonist (e.g., acetylcholine) stimulation of ECs. This reaction leads to the binding of calmodulin to intracellular calcium and the newly-formed complex effectively displace Cav-1 from eNOS and associates with the latter. Dissociation of eNOS from caveolin allows the production of nitric oxide (NO).⁷⁸ Dysregulated eNOS activity, due to the lack of one of the major cofactors can have adverse effects by inducing superoxide production⁷⁹ (see Chapter 3 for additional details relating to the role of Cav-1 in the regulation of eNOS function).

Activation of eNOS is associated with protective effects on lesion formation via decreased expression of adhesion molecules, such as VCAM-1.^{80,81} VCAM-1 is a protein that belongs to the immunoglobulin superfamily, which also includes integrins and selectins.⁸² Under basal, unstimulated physiological conditions, VCAM-1 is not expressed. However, under specific pro-inflammatory conditions, such as in the presence of cytokines like Tumor Necrosis Factor (TNF)- α or Interleukin (IL)-1 β , ECs are activated and quickly synthesize VCAM-1.⁸³ Previous studies have demonstrated the important role of VCAM-1 in the development of atherosclerosis.^{84,85} Our studies using *cav-1*^{-/-} *apoe*^{-/-} and *apoe*^{-/-} mice have shown that the absence of Cav-1 in endothelial cells could lead to a reduction in VCAM-1 production.⁶² In addition, Fernandez-Hernando et al^{86,127} have demonstrated the direct role of Cav-1 in the regulation of adhesion molecule expression. In this study, Fernandez-Hernando et al have shown that the re-expression of Cav-1 in endothelial cells of *cav-1*^{-/-} *apoe*^{-/-} is sufficient to reverse the effect observed on VCAM-1 expression. In addition, these authors have also shown that the expression of other markers of inflammation (ICAM-1, E-selectin and P-selectin) is reduced in *cav-1*^{-/-} *apoe*^{-/-} mice.^{86,127} Taken together, these data suggest that endothelial Cav-1 plays an essential role in the regulation of endothelial cell activation.

Summary

The current literature strongly suggests that endothelial Cav-1 and caveolae plays critical roles in the development of atherosclerosis. Moreover, contrary to its role in macrophages and smooth muscle cells (See the following two sections), a clear pro-atherogenic role has been demonstrated for endothelial Cav-1.^{62,86} It may regulate lipoprotein and cholesterol accumulation in the intima.⁶³ In addition, we and others have demonstrated direct and indirect effects for Cav-1 in the regulation of endothelial-mediated

inflammation.^{62,86} Finally, Cav-1 may also play an important role in the regulation of endothelial cell replacement in injured blood vessels. In that case, the presence of Cav-1 may limit cellular replacement in injured blood vessels, thereby promoting lipoprotein accumulation in the intima and eventually atheroma growth.⁸⁷

Role of Caveolin-1 in the Regulation of Macrophage Function

Macrophage Apoptosis during Atherosclerotic Development

Macrophage apoptosis occurs at all stages of atherosclerotic lesion development after foam cell formation.^{14,15} Cav-1 has been implicated in the regulation of apoptosis in a number of cell types such as endothelial cells⁸⁸ and smooth muscle cells.⁸⁹ Extensive analysis shows that Cav-1 expression sensitizes certain types of cells to chemicals that induce apoptosis. For example, NIH 3T3 cells that overexpress Cav-1 are more sensitive to apoptosis mediated by the protein kinase inhibitor staurosporine. Conversely, NIH 3T3 cells that have been depleted of Cav-1 become resistant to apoptosis induced by staurosporine.⁹⁰ Similar results have been obtained with the bladder epithelial cell line T24.⁹⁰ Studies have shown that regulators of apoptosis, such as the TNF- α receptor⁹¹ and caspase-3⁸⁸ localize to caveolae and their function may depend on the presence of caveolae. These data suggest that the localization of apoptotic regulators within caveolae is critical for apoptosis.

Several studies have now examined the role of molecules regulating macrophage apoptosis in the development of atherosclerosis. In vivo studies using bone marrow transplantation of cells lacking the pro-apoptotic gene *Bax* have revealed that decreased macrophage apoptosis leads to increased early lesion size and cellularity.⁹² Similar results were obtained in bone marrow transplantation experiments using cells lacking the pro-apoptotic (or tumor-suppressor) gene *p53*.⁹³ Both of the above studies have used mouse models susceptible to atherosclerosis such as *apoE*^{-/-} and *ldlr*^{-/-} mice. However, these bone marrow-derived macrophages lacking the pro-apoptotic genes (*bax*, *p53*) have been shown to display increased cellular proliferation, which may further contribute to the increased lesion size.⁹² On the other hand, mice that lack the anti-apoptotic factor, AIM (*apoptosis inhibitor expressed by macrophages*) have been shown to develop smaller early atherosclerotic lesions compared to their *ldlr*^{-/-} control group.⁹⁴ The above examples are indicative of an inverse relationship between apoptosis and early atherosclerotic lesion development. Increased apoptosis leads to decreased cellularity and therefore, reduced lesion size. Conversely, decreased apoptosis leads to increased cellularity and therefore, increased lesion size.

In mouse peritoneal macrophages (MPMs), Cav-1 expression is up regulated during simvastatin-induced apoptosis of macrophages where Cav-1 colocalizes with phosphatidylserine (PS).⁴⁹ In their study, Gargalovic and Dory have suggested that Cav-1 may be involved in the externalization of PS during early apoptosis and that increased expression of Cav-1 in MPM may serve as an early marker for apoptosis.⁵¹ Recently, we have shown that the absence of Cav-1 in MPMs is associated with increased accumulation of CE and decreased free cholesterol (FC).⁹⁵ CE is a neutral lipid that is stored in lipid droplets within the cytoplasm. It is the accumulation of CE that leads to the formation of foam cells.⁹⁶ This event is concomitant with reduced FC synthesis and increased acyl coenzyme A: cholesterol acyltransferase (ACAT) activity.⁹⁵ Increased FC levels in the ER are toxic for macrophages and may lead to the activation of the unfolded protein response (UPR) and eventually to apoptosis.^{17,48,97} Our findings⁹⁵ are in agreement with the first line of defense against cholesterol toxicity, which, in macrophages, is the esterification

of FC into CE by the enzyme ACAT.⁹⁸ Therefore, efficient conversion of FC into CE is considered a survival mechanism.^{17,48,99} These results suggest that *cav-1*^{-/-} macrophages may be more resistant to the toxic effects of FC and less susceptible to apoptosis than wild-type macrophages. Thus, if cell death (apoptosis) is inefficient and cholesteryl ester is stored more efficiently in macrophages lacking Cav-1, cellularity may be amplified in association with enhanced foam cell formation. This hypothesis is in agreement with our preliminary studies in which we observe an increased in early atherosclerotic lesion development in wild-type mice transplanted with bone marrow obtained from caveolin-1-deficient mice (S. Paulides and P.G. Frank, unpublished data).

Phagocytosis: Role in Lesion Development and Progression

The process of efferocytosis by macrophages infiltrating the atherosclerotic lesion is crucial for the containment of the atheroma. Many groups have suggested that apoptosis is linked to phagocytosis¹⁰⁰ and others have shown that at early stages of lesion development, foam cell accumulation and apoptosis are regulated by the levels of phagocytosis. In early lesion development, the process of phagocytosis is considered favorable because it helps prevent further expansion of the atheroma by decreasing cellularity and lessening the inflammatory cascade. Macrophage apoptosis is associated with diminished lesion cellularity and decreased lesion progression in early lesions, in which phagocytic clearance of apoptotic macrophages seems to be efficient.⁹²⁻⁹⁴ Moreover, even if the initial response is the engulfment of foam cell apoptotic bodies by neighboring macrophages, phagocytes may become engorged with apoptotic foam cell “remnants,” including abundant lipids. Eventually, the capacity of macrophages to carry out this process can be exceeded. However, in later more complex lesions, this balance is disrupted and apoptotic cell clearance is usually defective and this defect leads to advanced plaque formation.^{22,101}

Data suggests that Cav-1 is involved in the modulation of macrophage inflammatory responses (e.g., to oxLDL) and in the clearance of apoptotic cells at lesion sites.^{102,103} Electron microscopy studies have shown that Cav-1 is linked to the process of phagocytosis and cannibalism (an act of engulfing live cells)¹⁰⁴ among malignant tumor cells, through images of caveolae-like structures (caveolae-caveolae fusion) at the site of cell contact between the phagocyte and the tumor cell. Additional studies have shown that Cav-1 is present in endolysosomes of phagocytes, indicating that caveolae may contribute to the formation of the “cannibalistic vacuole”.¹⁰⁴ Our laboratory has shown that *cav-1*^{-/-} MPM have decreased phagocytic clearance ability of apoptotic thymocytes and fluorescein-labeled *E.coli* K-BioParticles.¹⁰² To further expand on these results, Li et al,¹⁰² have also shown that phagocytosis is impaired in wild-type MPM treated with methyl- β -cyclodextrin, which disrupts caveolae by depleting cholesterol from the plasma membrane. If Cav-1-deficient cells have reduced phagocytic capabilities, it may follow that Cav-1 is important for the proper clearance of apoptotic foam cells by macrophages in the arterial intima. In that case, macrophage Cav-1 may play an anti-atherogenic role since it allows macrophages to clean up apoptotic foam cells and cellular debris at lesion sites.

Macrophage and Inflammation

Macrophages have also been shown to play a critical role in the regulation of vascular inflammation during atherogenesis.¹ Interestingly, Cav-1 has previously been shown to play a role in the regulation of this process.¹⁰⁵ Overexpression of Cav-1 in macrophages

leads to reduced secretion of TNF- α and IL-6, whereas downregulation of Cav-1 leads to increased TNF- α and IL-6 secretion.¹⁰⁶ In that case, signaling via the NF κ B/Akt pathway is also increased. Therefore, Cav-1 is believed to play an anti-inflammatory role and prevent activation via the NF κ B/Akt pathway.¹⁰⁶ In addition, the pro-apoptotic role of Cav-1 in macrophages may prevent a prolonged inflammatory response and may reduce the recruitment of T-cells and monocytes into atherosclerotic plaques. Taken together, these data suggest that the absence of Cav-1 in macrophage may lead to events (apoptosis-inflammation) that synergistically contribute to accelerated plaque progression in early lesions.

Summary

In general, most of the studies have provided evidence for a role of Cav-1 in the regulation of macrophage function. We propose that Cav-1 plays a role in the regulation of cellular cholesterol homeostasis, apoptosis and inflammation. In each of the function examined, we and others have shown that macrophage Cav-1 has antiatherogenic properties.

Role of Caveolin-1 in the Regulation of Vascular Smooth Muscle Cell Migration and Proliferation

Vascular tunica media is mainly composed of SMCs that can contract or relax and, as a consequence, allow the modification of blood vessel shape and blood pressure. Like the aforementioned macrophages and endothelial cells, SMCs play a critical role in atherosclerosis development. Early studies have shown that diet-induced atherosclerosis alters vascular smooth muscle morphology and/or function in rabbit,¹⁰⁷ swine,¹⁰⁸ nonhuman primate^{109,110} and humans.¹¹¹ In particular, an increase in SMC proliferation leads to increased arterial wall thickness and intracellular lipid accumulation. In parallel, various research groups have realized that SMCs can present either a contractile or a synthetic phenotype.¹¹²⁻¹¹⁵ The latter cellular state involves the acquisition of proliferative, migrating and secreting machineries, which play key roles during atherosclerosis development.¹¹⁶⁻¹¹⁸

Caveolae structures have been detected in association with the plasma membrane of brain vascular SMCs by freeze fracture ultrastructure techniques.¹¹⁹ Similar to striated muscle cells, vascular SMCs express Cav-1, -2 and -3,¹²⁰⁻¹²³ however, in contrast to striated muscle cells, caveolin-3 has been detected to a lesser extent in vascular SMCs.¹²²⁻¹²⁴ Interestingly, while genetic deletion of Cav-3 in mice prevents caveolae formation in striated muscle cells,^{125,126} Cav-1 genetic ablation is sufficient to considerably diminish the number of caveolae in vascular SMCs.^{43,127-129} Moreover, while Cav-1 is expressed in all vascular SMCs, caveolin-3 expression appears to be restricted to arterial rather than venous SMCs.¹³⁰

Regulation of SMC migration

The main role of SMCs is to aid in the distribution of blood through vascular smooth muscle contraction and relaxation. This vascular smooth muscle function is in part controlled by NO produced by eNOS in ECs,¹³¹ although studies have also demonstrated the existence of NOS activity in SMC.^{132,133} As mentioned earlier, Cav-1 regulates NO production in ECs. Therefore, a great amount of evidence in this field has shown that Cav-1 indirectly regulates SMC function. Consequently, it has been shown that aortic rings from Cav-1-deficient mice fail to contract properly when

exposed to phenylephrine and the NO-mediated relaxation effects of acetylcholine significantly increases compared to the effect observed in aortic rings obtained from wild-type mice.^{42,43} Supporting the increased production of NO in *cav-1*^{-/-} aortic rings, treatment with the NOS inhibitor L-NAME, causes a significantly greater contraction of aortic rings obtained from *cav-1*^{-/-} mice than of those obtained from wild-type mice.⁴² More recently, endothelial re-expression of Cav-1 in *cav-1*^{-/-} mice has been shown to rescue low flow-mediated dilation¹³⁴ and restored SMC contractility.¹³⁵ Taken together, these data suggest that signaling mediated by NO in SMCs is regulated by endothelial Cav-1. Interestingly, several studies have now confirmed the link between defective NO-signaling and atherosclerosis. These observations have been made in human subject as well as in animal models.¹³⁶ The current literature suggests a critical role for Cav-1 in this pathway. Interestingly, endothelial Cav-1 expression has been shown to be upregulated in hypercholesterolemic subjects.¹³⁷ This fact is sufficient to explain by itself the abnormal NO-mediated vasorelaxation observed in these patients. These findings have more recently been confirmed in mice overexpressing Cav-1 in endothelial cells only.⁸⁶

NOS activity and presence of the nNOS isoform have been demonstrated in SMC.^{130,132,133} In addition, Cav-3 is expressed in SMCs¹²⁰⁻¹²³ and nNOS has also been shown to interact with the scaffolding domain of Cav-3.¹³⁸ Taken together, these observations point towards the idea that Cav-3 may also regulate NO production in SMCs and may therefore play an important role in the development of CVD. However, this hypothesis has not been yet addressed. In general, the current literature suggests that Cav-1 and/or Cav-3 may play a key role in the vasorelaxation of SMCs via their ability to regulate NO production. Since NO can regulate SMC function,¹³⁹ Cav-1 and Cav-3 may therefore, indirectly regulate the phenotype of SMCs. This process may be relevant for the pathogenesis of atherosclerosis.

Before proliferating, SMCs migrate into the vascular intima to form part of the occlusive mass found in atheromas.¹⁴⁰ SMC migration is controlled by a set of molecules including PDGF, angiotensin II, TGF β and FGF¹⁴¹ that activate tyrosine kinases.¹⁴² Cav-1 expression has been implicated in the regulation of a number of signaling pathways that regulate SMC migration. This is possibly due to the fact that Cav-1 negatively regulates and stabilizes key players (i.e., kinases) implicated in various signaling cascades.¹⁴³⁻¹⁴⁷ Moreover, Cav-1 may also modulate vascular protease activity and SMC migration.¹⁴⁸ Taken together, these data are consistent with those obtained in our laboratory where we have shown that aortic SMCs from *cav-1*^{-/-} mice have an increased migratory potential compared to aortic SMCs obtained from wild-type mice.¹⁴⁹

Role of Caveolin-1 in the Regulation of SMC Proliferation

It is well accepted that SMCs have the capacity to acquire proliferative properties (i.e., synthetic phenotype) at the initial stages of atherosclerosis.^{109,150} Since Cav-1 has been shown to regulate various signaling pathways involved in the control of cellular proliferation, we can expect Cav-1 to play an important role in the regulation of vascular SMC proliferation. In agreement with this idea, several in vitro studies have demonstrated an antiproliferative function for Cav-1 in vascular SMC.^{120,127} For example, Schwencke et al (2005) have demonstrated that in the absence of Cav-1, primary SMCs display increased proliferative properties.¹²⁷ Recently, vascular SMCs have been found to proliferate in response to static pressure correlating with Cav-1 downregulation and the activation of ERK1/2.¹⁵¹ ERK1/2 has

been shown to be negatively regulated by Cav-1 in various studies.^{146,149,152} Besides the ERK pathway, Cav-1 may regulate other signaling pathways involved in the regulation of SMC proliferation. These pathways include integrin/focal adhesion kinase¹⁵³ and tissue factor.¹⁵⁴

Correlative studies have also shown that Cav-1 expression is reduced in human vascular SMC from atherosclerotic lesions^{127,155} and in neointimal hyperplasia.⁸⁹ Neointima formation is a process characterized by SMC proliferation and extracellular matrix deposition in the vascular intimal layer. To evaluate the role of Cav-1 in the pathogenesis of neointimal lesions, we have used *cav-1*^{-/-} mice as a model system. The right common carotid artery of wild-type and *cav-1*^{-/-} mice was ligated just proximal to its bifurcation. The changes in vessel wall geometry in response to flow reduction in *cav-1*^{-/-} and wild-type mice were determined by measuring the luminal, intimal and medial areas of carotid arteries after vessel ligation. Our results demonstrate that Cav-1-deficiency is associated with increased neointimal formation with the concomitant activation of the p42/44 MAP kinase cascade and upregulation of cyclin D1.¹⁵⁶ In support of these findings, Schwencke et al (2005) have shown that proliferation of SMCs from *cav-1*^{-/-} mice is inhibited when re-expressing Cav-1.¹²⁷ Under specific conditions (i.e., cyclic strain), Cav-1 may also be involved in the activation of pro-proliferative signals.¹⁵⁷ However, this line of research will require further investigations.

Recent studies have shown that Cav-1 may influence vascular protease activity and potentially stabilize atherosclerotic lesions. Rodriguez-Feo et al¹⁴⁸ have demonstrated that low levels of SMC Cav-1 promotes plaque instability with increased lipid core size, macrophage infiltration and increased secretion of IL-6, IL-8 and matrix metalloprotease-9 activity.¹⁴⁸ This study implies that the absence of Cav-1 in SMCs could be directly related to impaired inflammatory responses that contribute to the formation of an atherosclerotic lesion.

Summary

In summary, these findings suggest that Cav-1 and caveolae in SMCs play an important role in the regulation of SMCs phenotype. They suggest that Cav-1 may play both antiproliferative and antimigratory roles. Therefore, we propose that SMC Cav-1 may have an anti-atherogenic role during the development of atherosclerosis.

CONCLUSION

A role for Cav-1 during atherogenesis has first been demonstrated in our laboratory. We have shown that double knockout mice *cav-1*^{-/-}*apoe*^{-/-} develop significantly less atherosclerotic lesions than the control *apoe*^{-/-} mice. It is proposed that endothelial Cav-1 promotes atherogenesis through its role in the transcytosis of LDL across ECs from the blood stream into the subendothelial intima. In addition, endothelial Cav-1 appears to play an important role in the regulation of vascular inflammation. By contrast, macrophage Cav-1 may have an anti-atherogenic role. Supporting this hypothesis, we have recently shown that Cav-1 regulates intracellular cholesterol homeostasis and accumulation of CE in macrophages, but decreased FC⁹⁵ indicating a possible survival function.^{16,17,99} In addition to the latter finding, we have also shown that macrophages lacking Cav-1 present impaired phagocytosis properties.¹⁰² These findings indicate that macrophage Cav-1 can contribute to reduced atherosclerotic lesion cellularity. Another anti-atherogenic role of Cav-1 may be linked to its ability to reduce the production of cytokines by macrophages submitted to a pro-inflammatory stimulus.¹⁰⁶ Furthermore, Cav-1 expression in SMCs is

hypothesized to be anti-atherogenic by inhibiting migration and proliferation of this cell type during atherosclerosis progression. Finally, these findings suggest rather complex and sometimes opposing roles for Cav-1 supporting a cell-context dependent paradigm for all three types of cells that play a central role during atherosclerosis development.⁶¹ A better understanding of the role of Cav-1 in vivo is required to better define the various functions of Cav-1. It is expected that these studies will provide us with a better rationale for the treatment of patients with CAD.

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