

CHAPTER 1

microRNA BIOGENESIS AND FUNCTION

An Overview

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Abstract: During the last decade of the 20th century a totally novel way of gene regulation was revealed. Findings that at first glance appeared freak features of plants or *C. elegans* turned out to be mechanistically related and deeply conserved throughout evolution. This important insight was primed by the landmark discovery of RNA interference, or RNAi, in 1998. This work started an entire novel field of research, now usually referred to as RNA silencing. The common denominator of the phenomena grouped in this field are small RNA molecules, often derived from double stranded RNA precursors, that in association with proteins of the so-called Argonaute family, are capable of directing a variety of effector complexes to cognate RNA and/or DNA molecules. One of these processes is now widely known as microRNA-mediated gene silencing and I will provide a partially historical framework of the many steps that have led to our current understanding of microRNA biogenesis and function. This chapter is meant to provide a general overview of the various processes involved. For a comprehensive description of current models, I refer interested readers to the reviews and primary literature references provided in this chapter and to the further contents of this book.

INTRODUCTION: PTGS IN PLANTS AND SMALL RNAs

In the early 90s a number of papers were published that revealed an activity in Tobacco and Petunia plants that was triggered by repetitive transgenic DNA and that resulted in the silencing of that DNA and any other DNA bearing significant homology to the trigger (cosuppression).^{1,2} At least part of these phenomena acted downstream of transcription, through destabilization of mRNA and hence was named “Post-Transcriptional Gene Silencing” (PTGS). The molecular trigger of this phenomenon was not clear, although

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it was speculated that “aberrant” RNA or double stranded RNA (dsRNA) were good candidates for priming PTGS. Although aberrant RNAs still play an important role in many models on RNA-mediated silencing events in plants, for example as templates on which dsRNA is synthesized, we now know that dsRNA is indeed in most cases the primary trigger. Furthermore, in 1999 a landmark paper from David Baulcombe and colleagues identified small RNA molecules as potential “specificity determinants” in PTGS.³ This hypothesis has turned out to be absolutely correct and the identification of this type of small RNA species helped to lay the basis for an outburst of research activity on RNA-based silencing processes in the years that followed.

RNAi

In 1998, double-stranded RNA (dsRNA) was first described as a very potent and specific agent for gene silencing in *C. elegans*. The term RNA interference was coined to refer to the described silencing effects, a term that is now usually abbreviated to RNAi.⁴ This ground-breaking work, published by Craig Mello, Andrew Fire and their colleagues, was awarded the Nobel Prize in 2006. Mello and Fire noted that RNAi targets exonic regions in RNA and leads to decreased RNA levels, consistent with a model in which RNAi leads to sequence specific mRNA destabilization, as had been found for cosuppression in plants. It was also noted that dsRNA could very well be a trigger in plant cosuppression, since inverted repeat sequences had been described as very potent triggers of PTGS. Soon after this paper, RNAi-like processes were identified in numerous other systems.⁵ Biochemical experiments in *Drosophila* started to reveal a mechanistic framework of RNAi,^{6,7} while genetics in *C. elegans* was revealing endogenous functions for RNAi and genes required for it.^{8,9} It appeared that RNAi could mechanistically be roughly divided into two steps: an initiation step and an effector step (Fig. 1).¹⁰ In the initiation step small RNAs are generated from the dsRNA trigger; in the effector step these small RNAs guide an Argonaute protein-containing complex named RNA-Induced Silencing Complex (RISC) to cognate mRNAs. The realization that small RNA molecules (then named siRNAs, for short interfering RNAs), like those described by Baulcombe in Tobacco plants undergoing PTGS, rather than long dsRNA molecules provided the sequence specificity of the whole process,^{7,11} enabled efficient RNAi also in mammalian cells.¹² This provided a highly efficient way to perform reverse genetic experiments in cell culture systems, a finding that has revolutionized research on mammalian cells.

DICER

Dicer, the enzyme that generates siRNAs from dsRNA, was identified in 2001.¹³ This enzyme contains two RNase III active sites, a so-called PAZ domain (named after three proteins in which this domain was first recognized: Piwi, Argonaute and Zwiille), a helicase domain and a dsRNA-binding domain. It binds to the ends of dsRNA substrates and introduces a staggered double-stranded break further along the dsRNA.¹⁴ The catalytic activity is very characteristic and always leaves a 3'-hydroxyl group, a 5'-phosphate group and a two base overhang at the 3' end. The length of the small RNA generated can vary, but usually is between 20 and 25 bases. Within one organism, different Dicer

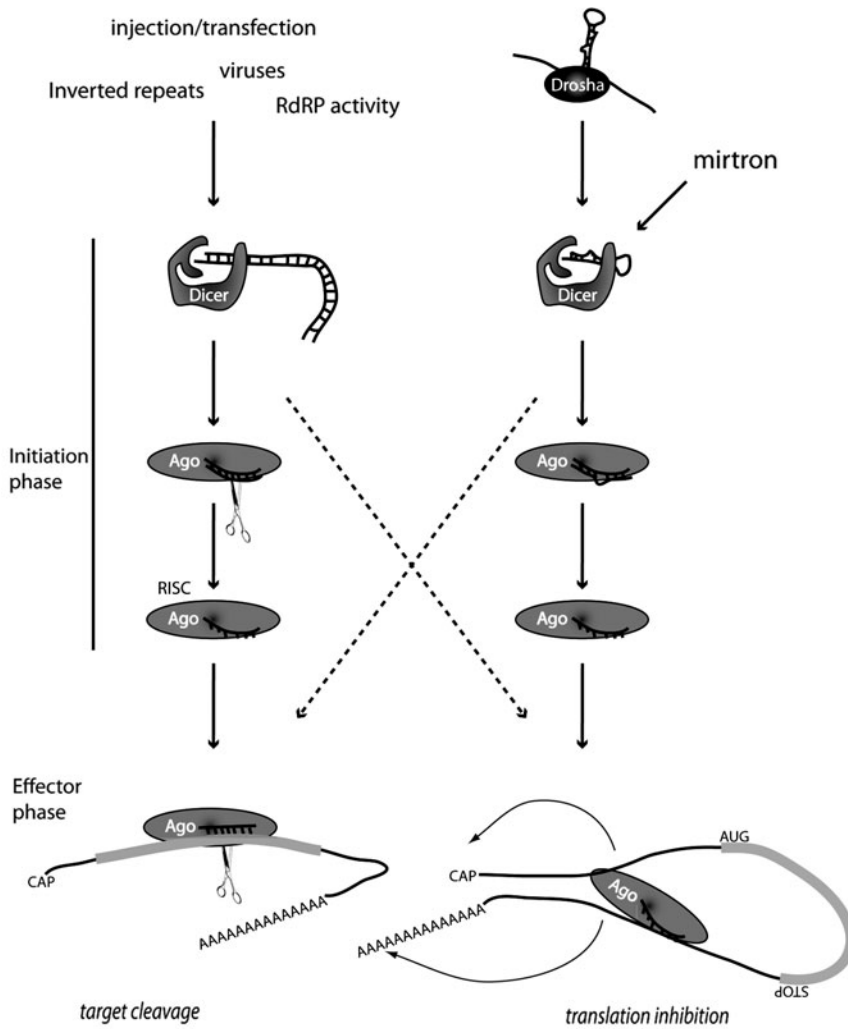


Figure 1. Schematic comparison between RNAi and miRNA mechanisms. For a more detailed scheme of miRNA action see Figure 2. “RdRP activity” refers to RNA-dependent RNA polymerase activity that in plants and yeast can turn ssRNA into dsRNA that is subsequently cleaved by Dicer. Likely, this is a major source for the dsRNA trigger in PTGS. The scissors indicate passenger strand and target cleavage. The dashed lines crossing from RNAi to miRNA and vice versa indicate that the separation between these pathways is not absolute: side effects from siRNAs in RNAi experiments can be triggered through miRNA like activities and miRNAs are capable of inducing target cleavage if presented with a properly matching target RNA. The type of silencing induced is also strongly dependent on the sub-type of Argonaute protein involved.

genes can be present, each encoding a protein generating rather specific subsets of small RNA products.¹⁵ Mammals, however, only have one Dicer gene.

ARGONAUTE

Genetic experiments identified an Argonaute protein as a major player in RNAi in *C. elegans* soon after the discovery of RNAi itself.¹⁶ Biochemical data supported this finding by identifying an Argonaute protein as an essential component of RISC.¹⁷ Argonaute proteins had no known biochemical activities at that time. The only thing that was clear from Argonaute protein sequences is that they contained two characteristic domains: a PAZ domain (also found in Dicer) and a Piwi domain (named after the *Drosophila* Piwi protein, in which it was first recognized). It took a number of years before it became clear that Argonaute proteins actually form the catalytic center of RISC. The PAZ domain was shown to bind to the 3' end of the small RNA,^{18,19} while the Mid domain, in between the PAZ and Piwi domains, appeared to interact with the 5' end of the small RNA.²⁰ Finally, the structure of the Piwi domain revealed an RNaseH-like structure,²¹ consistent with the biochemical characteristics of RISC that had by then been well defined: endonucleolytic hydrolysis leaving a 5'-phosphate and a 3'-hydroxyl group.^{22,23}

This RNA cleavage activity is first used for RISC activation: Initially Argonaute proteins are loaded with double-stranded siRNAs and in order to become active, one of the strands has to be removed. This can be done through endonucleolytic cleavage.²⁴⁻²⁶ The discarded strand is referred to as the passenger strand, while the strand remaining bound to the Argonaute is known as the guide strand. It is this strand that guides the Argonaute protein to a target. At the target the very same catalytic activity used for RISC activation now can induce target RNA destabilization. However, this scenario is most likely an oversimplification, as there are indications that the catalytic activity of Argonaute proteins on small RNA duplexes differs from that on target RNA.^{27,28}

It should also be noted that many Argonaute proteins contain a Piwi domain that is not compatible with nucleolytic activity. This has implications for both the mechanism of RISC activation as well as for the mechanism through which the targeted RNA is silenced. Passenger strand displacement in these Argonautes depends on weakened basepairing interactions within the small RNA duplex,²⁹ while target RNA silencing depends on additional cofactors recruited by the Argonaute (see below).

THE FIRST microRNAs AND LINKS TO RNAi

Already in 1993, years before the discovery of RNAi and siRNAs, two papers were published by the Ambros and Ruvkun labs describing a small RNA molecule in *C. elegans* that turned out to be the first microRNA ever to be described: *lin-4*.^{30,31} *lin-4* was named as such because mutants display *lineage* defects during development. The molecular basis of the lineage defects was the capability of *lin-4* to repress the activity of another gene, named *lin-14*, through imperfect basepairing interactions with the 3'UTR of the *lin-14* mRNA. It was also clear that *lin-4* came in two forms: a small 22 nucleotide version and a longer 61 nucleotide version that could fold into an imperfect hairpin structure. The small form of *lin-4* contained all the bases required for the basepairing interaction with *lin-14* and hence was likely the active, or mature form. In a follow-up study it was proposed that *lin-4* represses *lin-14* at the translational level.³² The broader relevance of these findings remained unclear until 2000 when another small RNA gene was cloned: *let-7*.³³ This second small RNA had many features in common with *lin-4* but, in contrast to *lin-4*, turned out to be extremely well conserved across bilaterian animals.³⁴ This sparked

the idea that gene regulation through small RNAs could be a much more widespread phenomenon than was appreciated at the time. Ruvkun and colleagues also proposed that the biogenesis and/or function of *lin-4* and *let-7*-like small RNAs could relate to the phenomenon of RNAi for which many biochemical studies had by then also indicated that small RNAs were providing the sequence specificity of the process (see above).

This notion appeared correct, as in 2001 a number of papers demonstrated that Dicer mediates both RNAi and *let-7* function³⁵⁻³⁸ by processing the approximately 70 bases long *let-7* precursor into the mature small RNA and the longer dsRNA molecules used in RNAi into siRNAs. What's more, specific Argonaute proteins were shown to be essential for *let-7* function and processing,³⁵ just like another type of Argonaute protein had been shown to be required for RNAi.¹⁶ Finally, *let-7* was found to trigger an RNAi-like reaction on target RNAs to which *let-7* could basepair perfectly.³⁹ Together, these findings made a very strong case for intimate mechanistic connections and similarities between *lin-4*-and-*let-7*-mediated gene regulation on the one hand and RNAi on the other (also see Fig. 1).

miRNAs: ANCIENT MEDIATORS OF GENE REGULATION

Not long after the finding that *let-7* was evolutionarily conserved, a number of reports appeared describing numerous endogenous small RNA genes from different animals, including *C. elegans*, *Drosophila* and human.⁴⁰⁻⁴² Like *let-7*, some of these were evolutionarily well conserved. They also derived from potential double stranded RNA structures, much like *let-7* and *lin-4*, and later studies indeed verified that for most of these novel endogenous small RNAs Dicer is required for the conversion of a longer precursor RNA into a mature small RNA.⁴³ Apart from their huge scientific impact, these findings also started to illustrate the need for a unifying name for this type of regulatory small RNA molecules: microRNAs (or miRNAs).⁴⁴

Given all these results, it was absolutely clear that mRNA silencing by small RNA molecules was no exceptional feature of either *C. elegans* or plants, but represented a widespread and likely ancient mode of gene regulation. Indeed, miRNAs in the animal kingdom date far back, as far as the very base of the metazoan tree, suggesting that miRNAs have played an important role in the evolution of all animal life on our planet.⁴⁵ Notably, the complexity of the encoded miRNA repertoire correlates with the apparent complexity of the animal, raising the possibility that miRNAs have played a role in the growing complexity of multi-cellular metazoans. As in the animal kingdom, very primitive members of the plant kingdom produce miRNAs, as for example in the single celled "green yeast" chlamydomonas,⁴⁶ suggesting that also during plant evolution miRNAs have been around from very early-on. Interestingly however, while both plants and animals have a well-developed miRNA system, fungi do not appear to contain an equivalent of miRNA-mediated gene silencing, although a basic RNAi machinery is often present. This, in combination with the fact that plant and animal miRNA biogenesis and silencing mechanism appeared quite distinct in the first instance has been taken as an indication that miRNA-like pathways have independently evolved from the basic RNAi machinery in plants and animals.⁴⁷ However, recent discoveries on the mechanism of miRNA action in plants have started to unveil many more similarities between plant and animal miRNA systems, suggesting that despite the many differences, miRNA-type silencing may have started to evolve from the basic machinery even before plant and animal lineages split.⁴⁸

Table 1. Factors involved in the various stages of miRNA biogenesis and function

	Arabidopsis	Drosophila	<i>C. elegans</i>	Mammals
First miRNA cleavage	DCL1	Drosha	DRSH-1	Drosha
	HYL1	Pasha	PASH-1	DGCR8
Second miRNA cleavage	DCL1	Dicer-1	Der-1	Dicer
	?	Loqs	?	TRBP, PACT
Nuclear export	HASTY	Exportin-5	?	Exportin-5
miRNA Argonaute	Ago1, Ago10	Ago-1	ALG-1, ALG-2	Ago1, 2, 3, 4
Silencing effector	?	GW182	AIN-1, AIN-2	TNRC6
Decapping	Varicose	Ge-1	?	EDC4
	?	Dcp-1, 2	?	Dcp1, 2
Deadenylation	?	?	?	Pan2-Pan3
	?	CAF1-NOT1	?	Ccr4-Caf1
	?	?	?	PABP

PRIMARY miRNA PROCESSING BY DROSHA

Already from the first description of *lin-4* it was clear that miRNAs are derived from RNA hairpin structures formed by RNAs of roughly 65-75 bases in length. As this hairpin RNA is the direct precursor for a mature miRNA it is now referred to as the pre-miRNA. However, it soon became clear that pre-miRNAs are only an intermediate between a long primary RNA transcript (known as pri-miRNA) and the mature form.⁴⁹ Pri-miRNAs are generated through transcription by RNA polymerase II and in most cases resemble regular genic transcripts in that they are capped, poly-A tailed and spliced.^{50,51} In fact, many pre-miRNAs are generated from protein-coding loci, in which cases they are usually embedded in intronic sequences.⁵²

While in Arabidopsis DCL1, one of the four available Dicer-like proteins, is the only RNaseIII-type nuclease required for the generation of most miRNA duplexes⁵³ and the whole process takes place in the nucleus, in animal systems Dicer only processes the pre-miRNA into the mature form and does so in the cytoplasm. The step from pri- to pre-miRNA occurs in the nucleus and is catalyzed by another RNase III-type enzyme, named Drosha⁵⁴ (also see Table 1). This enzyme, together with the RNA-binding protein Pasha, or DGCR8, and other components that together are named the microprocessor,⁵⁵⁻⁵⁷ binds to the open-ended region of the miRNA-containing hairpin.⁵⁸ It then releases the pre-miRNA from the pri-miRNA by a double strand cleavage, leaving a two-base 3' single-stranded overhang. After transport to the cytoplasm, mediated by a specialized nuclear export factor named exportin 5,⁵⁹⁻⁶¹ Dicer recognizes the 3' overhang on the pre-miRNA through its PAZ domain, after which it cleaves off the loop, generating again a two-basepair 3' overhang. This product is then loaded into an Argonaute protein.

A minority of animal miRNAs can be processed without involvement of Drosha. In these cases, pre-miRNAs are directly derived from spliced introns, which are processed by a lariat-debranching enzyme into a suitable Dicer substrate. These microRNAs go by the name mirtrons.⁶²⁻⁶⁴

SMALL RNA SELECTIVITY OF ARGONAUTE PROTEINS

In most species, multiple Argonaute proteins are present and in many cases, specific Argonaute proteins have a strong preference for specific types of short RNA molecules. Two well-described determinants of this effect are the identity of the most 5' base of the future guide strand⁶⁵ and the thermodynamic properties of the small RNA duplex.^{66,67} Regarding miRNAs in mammals however, these all seem to go into each of the four Argonaute proteins known as Ago1, 2, 3 and 4⁶⁸ and functional differences between these four proteins regarding miRNA function has so far remained unclear. Only Ago2 has a unique role in target mRNA cleavage during RNAi in mammalian cells.

Argonaute loading occurs in the context of the so-called RISC-Loading Complex, or RLC.⁶⁹⁻⁷¹ In general, this complex consists of a Dicer protein, an Argonaute and an RNA-binding protein. The human version of this complex consists of three subunits: Dicer, Argonaute and the RNA-binding protein TRBP.⁷² In addition, this core complex can interact with additional RNA-binding proteins, such as the TRBP-related protein PACT.⁷³ Structural studies have begun to reveal how the core Dicer-Ago2-TRBP complex may enable the transition of a processed double-stranded siRNA from Dicer to Argonaute,⁷⁴ although no data at atomic resolution is yet available for the RLC.

Apart from a molecular understanding of how siRNAs can be transferred between two proteins, such structural studies will likely shed light on another intriguing observation regarding the maturation of miRNA:Argonaute complexes. This relates to the finding that for most miRNAs, one of the two strands is found in vast excess over the other. The dominating strand is named "mature miRNA" while the other strand is usually called the "miRNA star" (miR*) strand. Interestingly, this is not unique to endogenous miRNAs, as clear directional strand loading can be observed with synthetic, fully basepaired siRNA duplexes where the chance of each strand to become either a guide or a passenger strand is often not random. The physical basis behind this observation has been shown to relate to the thermodynamic properties of the basepairing in the small RNA duplex: in thermodynamically asymmetric duplexes the strand whose 5' end is basepaired least strongly will remain associated with the mature Argonaute complex (e.g., will become the mature miRNA or the siRNA guide strand).^{75,76} The other strand is usually discarded and degraded, although so far no study has directly addressed the fate of the discarded strand in detail.

TARGET RECOGNITION

As described above, miRNAs guide the Ago protein to homologous mRNAs. However, not the complete sequence of the miRNA is relevant in this step: a region in the 5' part of the miRNA is the main determinant when it comes to target recognition by miRNAs.⁷⁷⁻⁸¹ This region, spanning from base two to seven or eight is also known as the "seed" region and structural studies have shown that precisely these bases are projected away from the Argonaute protein allowing efficient basepairing to other RNA molecules.⁸²⁻⁸⁴ Surprisingly, in animal systems, this region alone is sufficient for regulation of the targeted RNA molecules, although more extensive basepairing of the target to regions more 3' in the miRNA can have an effect on target recognition.⁷⁹

Although mRNAs can in principle be recognized along their complete length, meaning either in their 5' untranslated region (UTR), coding region or 3'UTR, most naturally occurring miRNA regulatory sites in animals have so far been found in the 3'UTR.⁸¹ This may relate to their mode of action, which often does not include direct target cleavage but rather relates to processes affecting translation (see below), as target RNA cleavage by extensively base-pairing miRNAs, which is a common event in plants, often occurs within the coding region.⁸⁵

Given the small amount of sequence information used in target recognition by miRNAs and the fact that target site accessibility also plays an important role in the interaction between RISC and target RNA,⁸⁶ *in silico* target predictions are not easy to make. Just searching for so-called “seed-matches” results in enormously long lists of potential miRNA targets and more sophisticated algorithms had to be developed to generate more meaningful outputs. These algorithms for example take into account the conserved nature of a predicted miRNA target site and apply scores to certain base compositions directly flanking the miRNA-binding site and/or the complete secondary structure of the 3'UTR. Many of these programs produce very useful information to people studying individual miRNAs or protein-coding genes, but one should keep in mind that many of the applied rules are based on correlations and not on mechanistic studies. The output of these programs is therefore highly enriched in genuine miRNA targets, but at the gene-by-gene level one still has to take good care and validate predicted interactions through experiments. For a more in-depth review on this topic please see.⁸¹

MECHANISMS OF miRNA-MEDIATED SILENCING

As described above, some miRNAs regulate their mRNA target through direct target cleavage, analogous to the mRNA degrading activity observed during RNAi. As already described above, this cleavage event is a very specific one: nucleolytically active Argonaute proteins cleave targeted RNA molecules between the bases that pair to bases 10 and 11 of the small RNA, as counted from its 5' end,¹¹ leaving a 5' phosphate and a 3' hydroxyl group on the cleavage products.^{22,23} These signatures have been used to demonstrate direct target RNA cleavage induced by miRNAs, as it is quite distinct from any other indirect RNA decay pathway. In order to facilitate such direct, Argonaute-mediated cleavage events, the miRNA requires significant basepairing beyond the seed region, including perfect basepairing in the region surrounding the site to be cleaved, i.e., bases 9-11 of the miRNA. This type of regulation is mainly found in plants, although recent work has shown that in plants translational inhibition, as discussed below, makes a major contribution to miRNA-mediated silencing in plants as well.^{87,88}

In cases where miRNAs do not induce direct target cleavage, they lead to translational inhibition and mRNA destabilization through mechanisms other than Argonaute-mediated cleavage. The mechanism of translational inhibition by miRNAs has been and still is heavily debated with various models being proposed, ranging from effects directly on the initiation step, shortening of the polyA tail, effects on translation elongation and proteolysis of the nascent poly-peptide chain.⁸⁹ However, without going into the many details that can be discussed regarding this topic, many laboratories seem to converge on a model in which miRNAs interfere in some way with the initiation phase of translation, through recognition of the initiation complex or the cap structure⁹⁰⁻⁹² and in which the Argonaute protein needs to interact with a GW-repeat-containing protein named GW182,

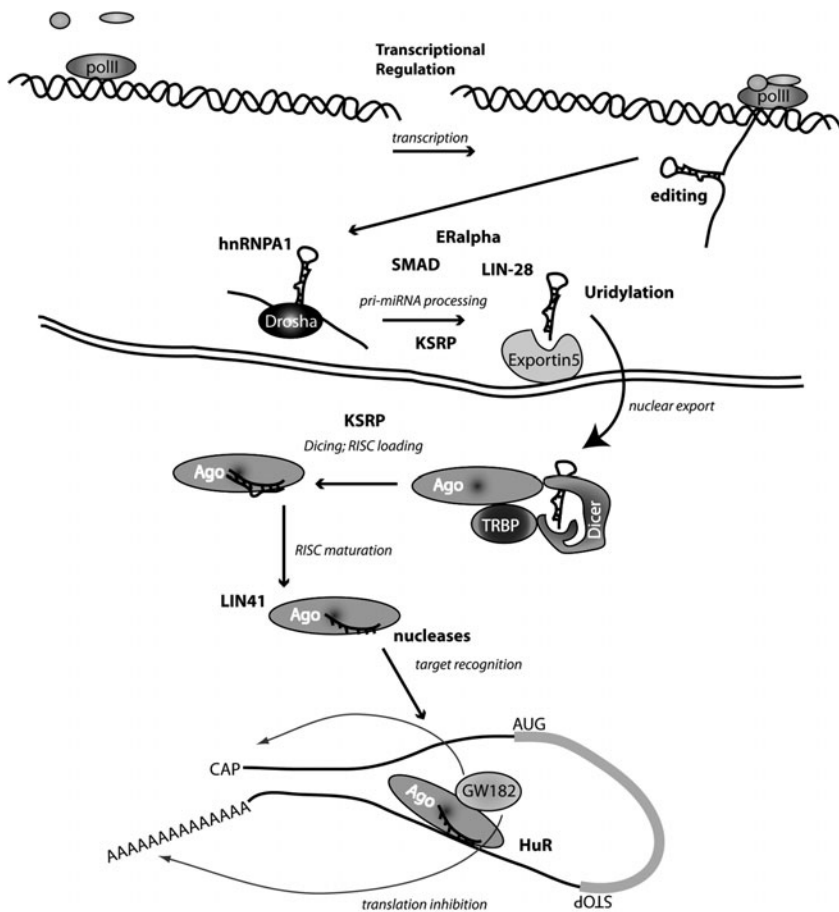


Figure 2. A schematic overview of miRNA biogenesis and function is presented. Important events are indicated in *italics*. Regulatory activities and/or factors are indicated in **bold**. The various factors/activities are described in detail in the main chapters of this book.

also known as TNRC6, to achieve this.⁹³⁻⁹⁷ Interestingly, miRNA-targeted mRNAs appear to be separated from the bulk of the active mRNA pool by sequestration into cytoplasmic foci, named P-bodies.⁹⁸ While initially P-bodies were considered mechanistically related to the miRNA silencing event, it has now been clearly shown that these structures do not to play an active role in the translational silencing process,⁹⁹ but rather might function as a storage place from which silenced mRNA can sometimes be reactivated.¹⁰⁰

While none of the above interactions directly degrade the targeted mRNA, miRNA-mediated silencing often correlates with decreases in mRNA abundance.⁸⁰ Most likely this is the result of mRNA de-capping and de-adenylation processes, known to be associated with P-bodies and these processes do contribute to the overall silencing response,^{87,95,101-105} suggesting that while P-body localization is not the main trigger in miRNA silencing, it may still be relevant to the total silencing effect imposed by miRNAs. In fact, large-scale proteomics experiments seem to indicate that mRNA destabilization

may be even more important for general miRNA silencing than effects on translation.^{106,107} Clearly, the way miRNAs impose their effects is still open to much debate.

REGULATING miRNAs

Many recent reports have illustrated that miRNA activity itself is subject to regulation. The main focus of the following chapters in this book will discuss in detail a number of mechanisms affecting miRNA-mediated gene regulation. Consequently, I limit myself to the notion that already at this point in time almost all levels in the miRNA pathway have been found to be subject to regulation: miRNA transcription, Drosha, Dicer, Argonaute, 3'UTR and miRNA molecules themselves are all affected by the action of additional proteins (Fig. 2). Furthermore, miRNA pathway genes themselves tend to be strongly regulated by miRNAs, suggesting that negative feed-back loops are essential for proper miRNA-mediated gene regulation. Although it may not be surprising that such a general gene regulatory mechanism is not allowed to operate unchecked, these findings emphasize that the rather simplistic way in which miRNA-mediated gene regulation has so far been approached will surely not suffice to completely understand the how, when and why of miRNA-mediated gene silencing.

CONCLUSION

In a very short time, the research field of small RNAs has grown enormously. The fact that a book can now be written on the mere regulation of just one of the small RNA pathways, the miRNA pathway, is by itself already a clear illustration of that notion. Less than 10 years after the realization that miRNAs represent a significant and widely conserved way of gene regulation, we know many of the core players (Table I) and we are starting to develop a sense of how this small RNA machinery is interwoven with the rest of the cell's processes (Fig. 2 and further chapters). Given the rapid pace of discovery of regulatory steps in the miRNA pathway at present, it seems inevitable that the findings described in this book will soon turn out to describe only our first encounters with miRNA regulatory steps. It appears likely that the miRNA pathway is manipulated in many different ways and a good understanding of these processes will be of great value not only for understanding miRNA function but also for a better appreciation for the many noncoding RNA molecules, other than RNAi-related small RNAs, that are presently being identified. Personally, I look forward to the many discoveries to be made in these fields in the coming years.

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