

A high-magnification electron micrograph of a cell, showing a large, dark, granular nucleus on the left side, surrounded by a lighter, more textured cytoplasm. The overall image is in shades of teal and grey.

ADVANCES IN
EXPERIMENTAL
MEDICINE
AND BIOLOGY

Volume 666

**Pathogen-Derived
Immunomodulatory
Molecules**

Edited by
Padraic G. Fallon

Pathogen-Derived Immunomodulatory Molecules

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Edited by

Padraic G. Fallon, BSc, MSc, PhD

*Inflammation and Immunity Group, Institute of Molecular Medicine,
Trinity College, Dublin, Ireland*

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DEDICATION

This book is dedicated to the Fallon ladies:
Rosie, Caitríona and Niamh.

PREFACE

Pathogen-Derived Immunomodulatory Molecules is a book title that may require some explanation. Pathogens that are present today have evolved following a long association with man and have developed unique strategies that have been optimized by natural selection to subvert the host immunity. As we approach the 200th anniversary of Charles Darwin's birth, it is appropriate to appreciate that Darwin recognized that pathogens (infections) play a significant and potent role in natural selection, encompassed by the concept "infection begets natural selection". This book therefore examines the molecules that pathogens produce, which can modulate or usurp the functions of the immune system.

The idea of using molecules from pathogens as a therapeutic is an ancient concept in medicine. Such a strategy is exemplified by vaccination, with pathogen molecules employed to induce protective immunity against the given or related species of pathogen. The following chapters explore the concept of using pathogen-derived immune modulating molecules as a therapy. In doing so, they may provide the drug cabinet of the future for treating a spectrum of unrelated disease. Herein, a range of immune modulating molecules or strategies from various pathogens is examined in one volume.

The intention of the book was to have chapters addressing immunomodulating molecules from different pathogens. The range of pathogens considered includes bacteria (chapters by Williams, van Strijp and Rooijackers), viruses (chapters by Bowie, McFadden), protozoan parasites (Aliberti), helminths (Harnett, Fallon), fungi (Sorrell) and parasitic ticks (Anguita). Chapters also address specific immunomodulatory molecules or strategies. The diversity of aspects addressed in the book is highlighted by Lucas and colleagues review of the 'saga' of viral serine proteinase inhibitors, with a focus on Serp-1, the first new generation of pathogen immunomodulatory molecule currently in clinical trials. While Elliott and Weinstock have contributed a provocative chapter exploring the use of live parasitic helminth infections as a therapeutic strategy for immune-mediated diseases; indeed trials have already been completed for such an approach. With respect to pathogens usurping an immune pathway, Alcamí and colleagues here reviewed the growing number of pathogens that have evolved a range of molecules that can modify many aspects of the chemokine system.

This book is timely due to the need to expand the horizons of conventional drug discovery. A trend in the biopharmaceutical pipeline of fewer drugs to market is illustrated by USA FDA in 2007 approving the lowest number of new molecular entities since 1983. As the drug discovery and development industry broadens its search for new drugs to less traditional strategies, this book will be a reference to the potential for exploiting pathogen as a source of the anti-inflammatory drugs of the future.

Finally, I hope that this book whets the appetite for the reader, whether in academia or industry, to explore opportunities for exploiting pathogens for the discovery of new processes in immunobiology and, ultimately, for development of new therapies for human inflammatory diseases.

Padraic G. Fallon, BSc, MSc, PhD

ABOUT THE EDITOR...



PADRAIC G. FALLON is Stokes Professor of Translational Immunology, School of Medicine, Trinity College Dublin, Ireland. Formally he was a Wellcome Trust Fellow, in the Department of Pathology, University of Cambridge, UK. He is currently the head of the Inflammation and Immunity Research Group, Institute of Molecular Medicine, St James's Hospital, Trinity College Dublin. Using both animal and cellular models, Professor Fallon's research addresses the mechanisms of modulation of human inflammatory diseases by pathogens, with a focus on parasitic helminths. The objective of the research is to develop novel therapeutic strategies for the treatment of inflammatory or autoimmune diseases. Allergic lung and skin inflammation and inflammatory bowel disease are the principal human diseases investigated by the Fallon Inflammation and Immunity Research Group. Since 1998, pathogen-derived immunomodulatory molecules have been a central theme in Professor Fallon's research.

PARTICIPANTS

Poom Adisakwattana
Inflammation and Immunity Group
Institute of Molecular Medicine
Trinity College Dublin
Dublin
Ireland

Antonio Alcami
Centro de Biología Molecular Severo
Ochoa
Consejo Superior de Investigaciones
Científicas
Universidad Autónoma de Madrid
Madrid
Spain
and
Department of Medicine
University of Cambridge
Addenbrooke's Hospital
Cambridge
UK

Julio Aliberti
Division of Molecular Immunology
Cincinnati Children's Hospital Medical
Center and University of Cincinnati
College of Medicine
Cincinnati, Ohio
USA

Juan Anguita
Department of Veterinary and Animal
Sciences
University of Massachusetts Amherst
Amherst, Massachusetts
USA

Mee Y. Barteo
Department of Medicine
and
Department of Molecular
Genetics and Microbiology
University of Florida
Gainesville, Florida
USA

Erik Biessen
University of Maastricht
Maastricht
The Netherlands

Ilze Bot
University of Maastricht
Maastricht
The Netherlands

Andrew G. Bowie
School of Biochemistry
and Immunology
Trinity College Dublin
Dublin
Ireland

Sharon C.A. Chen
Centre for Infectious Diseases
and Microbiology and Westmead
Millennium Institute
University of Sydney
and
Department of Infectious Diseases
Westmead Hospital
Sydney
Australia

Alexander Christov
University of Oklahoma
Oklahoma City, Oklahoma
USA

Erbin Dai
Department of Medicine
University of Florida
Gainesville, Florida
USA
and
Robarts Research Institute
University of Western Ontario
London, Ontario
Canada

Jennifer A. Davids
Department of Medicine
and Department of Molecular
Genetics and Microbiology
University of Florida
Gainesville, Florida
USA

David S. Donaldson
Department of Cellular and Molecular
Medicine
School of Medicine Sciences
University of Bristol
Bristol
UK

David E. Elliott
Division of Gastroenterology
and Hepatology
Department of Internal Medicine
University of Iowa
Roy J. and Lucille A. Carver
College of Medicine
Iowa City, Iowa
USA

Padraic G. Fallon
Inflammation and Immunity Group
Institute of Molecular Medicine
Trinity College
Dublin
Ireland

Margaret M. Harnett
Division of Inflammation
Infection and Immunity
University of Glasgow
Glasgow
UK

William Harnett
Strathclyde Institute of Pharmacy
and Biomedical Sciences
University of Strathclyde
Glasgow
UK

Barry Harrington
School of Biochemistry
and Immunology
Trinity College Dublin
Dublin
Ireland

Mark Hatton
McMaster University
Hamilton, Ontario
Canada

Ilse Jongerius
Medical Microbiology
University Medical Center Utrecht
Utrecht
The Netherlands

Ignacio J. Juncadella
Department of Veterinary
and Animal Sciences
University of Massachusetts Amherst
Amherst, Massachusetts
USA

Liyang Liu
Department of Medicine
University of Florida
Gainesville, Florida
USA
and
Robarts Research Institute
University of Western Ontario
London, Ontario
Canada

David A. Lomas
Cambridge Institute for Medical
Research
University of Cambridge
Cambridge
UK

Alexandra Lucas
Department of Medicine
and
Department of Molecular Genetics
and Microbiology
University of Florida
Gainesville, Florida
USA
and
Robarts Research Institute
University of Western Ontario
London, Ontario
Canada

Colin Macaulay
Viron Therapeutics, Inc
London, Ontario
Canada

Fabiana S. Machado
Division of Molecular Immunology
Cincinnati Children's Hospital
Medical Center
and
University of Cincinnati College
of Medicine
Cincinnati, Ohio
USA

Grant McFadden
Department of Medicine
and
Department of Molecular Genetics
and Microbiology
University of Florida
Gainesville, Florida
USA
and
Robarts Research Institute
University of Western Ontario
London, Ontario
Canada

Leslie Miller
University of Maastricht
Maastricht
The Netherlands

Richard Moyer
Department of Molecular Genetics
and Microbiology
University of Florida
Gainesville, Florida
USA

Orla Mulhern
School of Biochemistry
and Immunology
Trinity College Dublin
Dublin
Ireland

Ganesh Munuswamy-Ramunujam
Department of Medicine
and
Department of Molecular Genetics
and Microbiology
University of Florida
Gainesville, Florida
USA

Hendrik J. Nel
Inflammation and Immunity Group
Institute of Molecular Medicine
Trinity College Dublin
Dublin
Ireland

Mark Poznansky
Robarts Research Institute
University of Western Ontario
London, Ontario
Canada

Masmudur M. Rahman
Department of Molecular Genetics
and Microbiology
College of Medicine
University of Florida
Gainesville, Florida
USA

Sanjay Ram
Division of Infectious Diseases
and Immunology
University of Massachusetts Medical
School
Worcester, Massachusetts
USA

Jakob Richardson
University of Oklahoma
Oklahoma City, Oklahoma
USA

Mary Richardson
Department of Molecular Genetics
and Microbiology
University of Florida
Gainesville, Florida
USA

Suzan Rooijackers
Medical Microbiology
University Medical Center Utrecht
Utrecht
The Netherlands

Margarida Saraiva
Life and Health Sciences Research
Institute
School of Health Sciences
University of Minho
Braga
Portugal

Sean P. Saunders
Inflammation and Immunity Group
Institute of Molecular Medicine
Trinity College Dublin
Dublin
Ireland

Tania C. Sorrell
Centre for Infectious Diseases
and Microbiology and Westmead
Millennium Institute
University of Sydney
Sydney
Australia

Collin Sullivan
Department of Molecular Genetics
and Microbiology
University of Florida
Gainesville, Florida
USA

Jos A.G. van Strijp
Medical Microbiology
University Medical Center Utrecht
Utrecht
The Netherlands

Karin Ellen Veldkamp
Medical Microbiology
University Medical Center Utrecht
Utrecht
The Netherlands

Kasinath Viswanathan
Oregon Health Sciences University
Portland, Oregon
USA

Hao Wang
Oregon Health Sciences University
Portland, Oregon
USA

Joel V. Weinstock
Division of Gastroenterology
and Hepatology
Department of Internal Medicine
Tufts Medical Center
Boston, Massachusetts
USA

Neil A. Williams
Department of Cellular and Molecular
Medicine
School of Medicine Sciences
University of Bristol
Bristol
UK

Robert Zhong
University of Maastricht
Maastricht
The Netherlands

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CHAPTER 1

Bacterial Toxins as Immunomodulators

David S. Donaldson and Neil A. Williams*

Abstract

Bacterial toxins are the causative agent of pathology in a variety of diseases. Although not always the primary target of these toxins, many have been shown to have potent immunomodulatory effects, for example, inducing immune responses to co-administered antigens and suppressing activation of immune cells. These abilities of bacterial toxins can be harnessed and used in a therapeutic manner, such as in vaccination or the treatment of autoimmune diseases. Furthermore, the ability of toxins to gain entry to cells can be used in novel bacterial toxin based immuno-therapies in order to deliver antigens into MHC Class I processing pathways. Whether the immunomodulatory properties of these toxins arose in order to enhance bacterial survival within hosts, to aid spread within the population or is pure serendipity, it is interesting to think that these same toxins potentially hold the key to preventing or treating human disease.

Introduction

Since the discovery that the disease associated with *Corynebacterium diphtheriae* (diphtheria) was caused by a secreted toxin,¹ many other toxins that are the causative agent of the pathology in bacterial diseases have been isolated (Table 1). Although the primary target involved in the induction of pathology by these toxins is often not the immune system, many of them also appear to have potent immunomodulatory effects in vivo and in vitro. Furthermore, these effects have the potential to be harnessed and applied in a therapeutic manner. In this review, the immunomodulatory effects and potential applications of a variety of bacterial toxins, including the Anthrax toxins, the *Helicobacter pylori* vacuolating cytotoxin, Clostridial toxins, toxins produced by *Bordetella pertussis*, listerolysin O and the Cholera-like enterotoxins, will be discussed.

Toxins Secreted by *Bacillus Anthracis*

Edema Toxin (EdTx) and Lethal Toxin (LeTx) are two toxins with immunomodulatory activity that are produced by *B. anthracis*, the cause of the disease anthrax. Both toxins are composed of a heptameric complex of protective antigen (PA) bound to either edema factor (EF) or lethal factor (LF).²⁻⁴ The heptameric complex of PA is responsible for receptor binding and cellular entry,⁵ whereas toxicity is associated with both EF, a calmodulin-dependent adenylate cyclase that induces increases in cytosolic cAMP⁶ and LF, a metalloprotease that cleaves mitogen-activated protein kinase kinases (MAPKK).^{7,8}

Many studies have shown that LeTx harbours a potent immunomodulatory capacity. LeTx induces apoptosis of murine macrophages and human monocytes; however, they may need to be activated before this occurs.⁹⁻¹² Additionally, LeTx inhibits chemotaxis and the expression of pro-inflammatory cytokines and chemokines in stimulated macrophages, by preventing nuclear factor (NF)- κ B and interferon regulatory factor (IRF)-3 activation, and diminishes their T-cell

*Corresponding Author: Neil A. Williams—Department of Cellular and Molecular Medicine, School of Medicine Sciences, University of Bristol, University Walk, Bristol, BS8 1TD, UK.
Email: neil.a.williams@bristol.ac.uk

Table 1. Common bacterial diseases associated with toxin production

Bacteria	Toxin(s)	Disease
<i>Bacillus anthracis</i>	Edema toxin	Anthrax
	Lethal toxin	
<i>Bacillus cereus</i>	Cereulide	Food poisoning
<i>Bordetella pertussis</i>	Petussis toxin	Whooping cough
	Adenyate cyclase toxin	
<i>Clostridium botulinum</i>	Neurotoxins	Botulism
<i>Clostridium difficile</i>	Toxin A	Antibiotic associated
	Toxin B	Diarrhoea
<i>Clostridium tetani</i>	Tetanus toxin	Tetanus
<i>Corynebacterium diphtheriae</i>	Diphtheria toxin	Diphtheria
<i>Escherichia coli</i>	Heat-labile	Traveller's diarrhoea
	Enterotoxin	
<i>Listeria monocytogenes</i>	Listeriolysin O	Listeriosis
<i>Pseudomonas aeruginosa</i>	Exotoxin A	Pneumonia
<i>Shigella dysenteriae</i>	Shiga toxin	Dysentery
<i>Vibrio cholerae</i>	Cholera toxin	Cholera

stimulatory capacity.¹²⁻¹⁷ Macrophage production of nitric oxide (NO) is also reduced by LeTx,¹⁴ potentially through its ability to cleave neural NO synthase (nNOS).¹⁸ The activity of LeTx is not limited to monocytes/macrophages, as it also induces the apoptosis of dendritic cells (DC)¹⁹ and inhibits LPS-induced activation of murine and human DC, associated with reduced cytokine expression, lack of costimulatory molecule up-regulation and an impaired ability to stimulate CD4⁺ T-cells.^{20,21} The ability of B-cells to proliferate and produce antibodies in response to a variety of stimuli is impaired by LeTx²² as is the proliferation of CD4⁺ T-cells, due in part to a reduction in both interleukin (IL)-2 secretion and NF- κ B activation.^{23,24}

EdTx has been shown to act as a mucosal adjuvant, enhancing both systemic and mucosal antibody responses to ovalbumin (OVA) and inducing a mixed T helper (T_H)1/T_H2 OVA-specific CD4⁺ T response following intra-nasal co-administration,²⁵ potentially related to its ability to induce AP-1 and C/EBP- β expression in murine macrophages.²⁶ In contrast, EdTx inhibits murine CD4⁺ T-cell proliferation²³ and the production of IL-12 and tumour necrosis factor (TNF)- α by murine DC in response to *B. anthracis* spores.²⁷ Additionally, both LeTx and EdTx have been shown to inhibit human neutrophil chemotaxis^{17,28} and superoxide production.^{29,30}

The ability of PA to deliver LF into the cytosol has been exploited in order to deliver antigens into MHC class I processing pathways and stimulate cytotoxic T-lymphocyte (CTL) responses.³¹ A detoxified version of LF (LFn) has also been used to deliver conjugated antigens into the cytosol of antigen presenting cells (APC), resulting in the induction of both CD4⁺ and CTL responses.³²⁻³⁴

***H. pylori* Vacuolating Cytotoxin**

H. pylori, a Gram-negative bacteria implicated in the pathogenesis of peptic ulcer disease and gastric adenocarcinoma,³⁵ secretes a toxin, referred to as the vacuolating cytotoxin (VacA), capable of inducing the formation of large vacuoles in epithelial cells.³⁶ Although not required for infection,

secretion of VacA confers a selective advantage to expressing strains³⁷ and administration of VacA alone can result in gastric injury.³⁸ Additionally, VacA has been shown to induce the activation of signalling molecules³⁹ and to have many immunomodulatory activities.

VacA interacts with CD18 on human CD4⁺ T-cells⁴⁰ resulting in inhibited proliferation, primarily by preventing nuclear factor of activated T-cells (NFAT) nuclear translocation and the up-regulation in IL-2 secretion associated with this.⁴¹⁻⁴³ Interestingly, this effect of VacA on CD4⁺ T-cells confers the ability to resist primary HIV-1 infection.⁴⁴ VacA also induces cyclooxygenase (COX)-2 expression in neutrophils and macrophages,⁴² inhibits MHC Class II presentation in B-cells⁴⁵ and inhibits phagosome maturation in macrophages.⁴⁶ Mast cell migration and degranulation is inhibited by VacA, whereas secretion of TNF- α , macrophage inflammatory protein (MIP)-1 α , IL-1 β , IL-6, IL-10 and IL-13 is enhanced.^{47,48}

Toxins Produced by *Clostridium* Species

Clostridium difficile, a common cause of antibiotic associated diarrhoea,⁴⁹ produces two toxins with known immunomodulatory properties, referred to as toxin A and B (TcdA and TcdB).⁵⁰ Both toxins display glucosyltransferase activity, resulting in the inactivation of Rho, Rac and Cdc42 within target cells.^{51,52} Both TcdA and TcdB are capable of inducing colitis similar to that induced by *C. difficile*, suggesting that they play an important role in pathology.⁵³

Both TcdA and TcdB induce IL-1 β , TNF- α , IL-6 and IL-8 secretion from human monocytes.^{54,55} TcdA also inhibits human neutrophil chemotaxis and oxidative activity,⁵⁶ induces apoptosis in lamina propria macrophages and peripheral blood T-cells⁵⁷ and stimulates IL-1 production by mouse macrophages.⁵⁸ Rat peritoneal mast cells produce TNF- α in response to TcdA, whereas mast cell degranulation in response to a nematode infection is inhibited.⁵⁹ A similar capacity has been attributed to TcdB, which can inhibit Fc ϵ RI-mediated and polyclonally-stimulated degranulation by rat basophilic leukaemia cells and mast cells.^{60,61} Conversely, both TcdA and B induce the degranulation of human mast cells and induce them to produce prostaglandin (PG) E₂ and IL-8.⁶²

Other toxins produced by *Clostridium* species have been shown to have immunomodulatory activities. The Type D toxin produced by *Clostridium botulinum* inhibits the production of TNF- α by LPS stimulated human monocytes.⁶³ Additionally, the *Clostridium perfringens* delta toxin has been shown to be cytotoxic to rabbit macrophages, but not to thymocytes.⁶⁴

Toxins Produced by *B. pertussis*

B. pertussis is the cause of the acute respiratory illness referred to as Whooping Cough.⁶⁵ *B. pertussis* produces a variety of toxins that are associated with its pathogenicity including the pertussis toxin (PT) and the adenylate cyclase toxin (CyaA), both of which have been shown to have potent immunomodulatory activities. PT displays ADP-ribosyltransferase activity^{66,67} and is associated with the systemic symptoms of *B. pertussis* infection.⁶⁸ Furthermore, PT appears to enhance colonisation of *B. pertussis*.⁶⁹ CyaA is a calmodulin-dependent adenylate cyclase.^{70,71} The receptor for CyaA is CD11b/CD18 ($\alpha_M\beta_2$ integrin), restricting its immunomodulatory effects to monocytes/macrophages, neutrophils and DC.⁷² Mice challenged with CyaA-deficient strains of *B. pertussis* have greatly reduced bacterial loads, suggesting that CyaA is important for colonisation.⁷³

PT can act as an adjuvant, inducing systemic and mucosal antibody responses characterised by high levels of immunoglobulin (Ig)G, IgA and IgE⁷⁴⁻⁷⁶ and enhanced delayed type hypersensitivity reactions⁷⁷ to co-administered antigens. Furthermore, immunisation of keyhole limpet hemocyanin (KLH) with PT resulted in the generation of a mixed T_H1/T_H2 response, with increased KLH-specific secretion of IL-4, IL-5 and interferon (IFN)- γ .⁷⁸ Recent evidence has also suggested that PT can induce the differentiation of T_H17 cells.^{79,80} Administration of PT exacerbates the autoimmune disease experimental autoimmune encephalomyelitis (EAE),⁸¹ and it has become common practice to include injection with PT as an inherent part of protocols to trigger a number of autoimmune disease models including EAE and experimental autoimmune uveitis (EAU).^{82,83} The reasons for its inclusion are largely supposed to be due to its ability to reduce the

competence of the blood brain barrier,⁸⁴ however, the ability of PT to exacerbate autoimmune disease may also be related to its ability to prevent activated CD4⁺ T-cell deletion⁸⁵ and reduce the number of CD4⁺CD25⁺Foxp3⁺ regulatory T-cells (Treg).^{86,87} Interestingly, adoptive transfer of PT-stimulated murine bone marrow-derived (BM)DC can substitute for PT in the induction of EAU, suggesting that PT-mediated modulation of DC may also have a role in the promotion of autoimmune disease.⁸⁸ Conversely, the B-subunit of PT has been shown to inhibit EAE induction⁸⁹ and strains of *B. pertussis* deficient in PT show enhanced responses to *B. pertussis* antigens, suggesting that PT down-regulates this response.⁹⁰

PT induces the maturation of monocyte-derived (MD)DC, resulting in the up-regulation of CD80, CD86, CD83 and MHC Class II^{91,92} and enhances the production of IL-12, TNF- α , IL-8 and IL-10, resulting in the generation of T_H1 responses.^{91,93,94} However, LPS-induced IL-12 and TNF- α production by MDDC can be inhibited by PT.⁹² This effect is limited to MDDC as PT appears to have no effect on human plasmacytoid DC.⁹⁴ PT promotes *B. pertussis* infection by suppressing alveolar macrophage function,⁹⁵ potentially through its ability to inhibit macrophage chemotaxis and migration and impair Fc mediated phagocytosis.⁹⁶ PT also inhibits LPS-induced NO production⁹⁷ and alters the responsiveness of macrophages to cytokine stimulation.⁹⁸ Despite this, PT prevents the apoptosis of BM macrophages,⁹⁹ induces the expression of CD80 and CD86⁷⁸ and enhances LPS-induced secretion of TNF- α .⁹⁷ PT-deficient strains of *B. pertussis* induce higher levels of IL-6 and IL-10 production from BM mast cells, suggesting that PT has a role in down-regulating this response also.¹⁰⁰

PT inhibits the chemotaxis of neutrophils^{69,101,102} and lymphocytes.¹⁰³ PT has been shown to act as a T-cell mitogen,¹⁰⁴ and induces the proliferation of both naïve and memory CD4⁺ T-cells.¹⁰⁵ This proliferation is associated with increased NFAT and NF- κ B nuclear translocation and up-regulated CD40L, CD69 and CD25 expression.¹⁰⁵ B-cell survival in vitro is also impaired by PT¹⁰⁶ and adoptively transferred PT-treated B-cells fail to migrate to lymph nodes or into the white pulp of the spleen.¹⁰⁷ Despite this, administration of PT enhances the expression of CD80 and CD86 on splenic B-cells.⁷⁸

CyaA also acts as both a systemic and mucosal adjuvant. Immunisation of mice with CyaA and OVA induces anti-OVA IgG and IgA responses.^{108,109} Furthermore, co-administration of CyaA and KLH enhances IgG₁, but not IgG_{2a}, production and results in the generation of CD4⁺ T-cells which secrete high levels of IL-5 and IL-10 upon restimulation, suggesting that it biases responses towards a T_H2/Tr1 phenotype.¹¹⁰ Conversely, immunisation of mice with CyaA conjugated to a viral peptide has been shown to induce the generation of T_H1 responses.¹¹¹

CyaA has multiple effects on cells of the immune system. Neutrophil function and chemotaxis is impaired by CyaA.¹¹²⁻¹¹⁴ Furthermore, CyaA induces the apoptosis of macrophages^{115,116} and alters their response to LPS stimulation, resulting in increased IL-10 and IL-6 and reduced IL-12 and TNF- α secretion.^{110,117} In addition, CyaA inhibits the oxidative response of human monocytes and inhibits LPS-induced production of TNF- α .^{118,119} CyaA also inhibits the ability of murine macrophages to induce CD4⁺ T-cell proliferation and induces the differentiation of T_H17 cells by inducing PGE₂ production.¹²⁰ CyaA has similar effects on DC. CyaA suppresses LPS-induced TNF- α and IL-12 production by BMDC whilst increasing their production of IL-10 and IL-6.^{110,117,121} Furthermore, LPS-induced CD40 and ICAM-1 up-regulation was suppressed, whereas expression of CD80 and MHC Class II remained up-regulated.^{110,117} CyaA also has similar effects on human MDDC, inducing increased expression of CD80, CD86, CD83 and MHC class II, increasing their ability to stimulate CD4⁺ T-cells, whilst inhibiting LPS-induced IL-12 production.^{92,122}

Both PT and CyaA have been used to deliver viral and tumour peptides into cells in order to prime CTL responses. PT mediated delivery of a HIV gp120 into the MHC Class I pathway was able to induce the generation of CTL responses in vitro and in vivo.¹²³ CyaA mediated peptide delivery can result in the stimulation of both the MHC Class I and II pathways, resulting in the activation of both CTL and CD4⁺ T-cell responses.¹²⁴⁻¹²⁹ The ability of CyaA to target antigen

specifically to APC through its binding to CD11b/CD18 has meant that huge interest has been generated in its potential use in human anti-tumour vaccines.⁷²

***Listeria monocytogenes* Listeriolysin O**

Listeriolysin O (LLO) is a pore-forming cholesterol-dependent cytolysin that is produced by *L. monocytogenes* and mediates its escape from phagosomes.^{130,131} In addition to this, LLO has also been shown to have immunomodulatory activities. LLO induces the apoptosis of lymphocytes and DC^{132,133} whilst retaining the ability to induce IFN- γ , IL-1 α , IL-6, IL-10, IL-12 and TNF- α production from murine splenocytes, predominantly from macrophages and NK cells.¹³⁴⁻¹³⁶ LLO also induces degranulation and leukotriene formation by neutrophils.¹³⁷ LLO-treated APC also lose the ability to process antigen, without altering MHC class II expression.¹³⁸ LLO-induced increases in IFN- γ and IL-12 skews the immune responses toward a T_H1 phenotype and accordingly LLO has been used to suppress both the sensitization and effector phase of a murine model of allergy.^{139,140}

The ability of LLO to mediate escape from phagosomes has been utilized in order to deliver antigens into the MHC class I pathway. *Escherichia coli* expressing LLO and antigens have been shown to successfully deliver these antigens into the MHC class I presentation pathways of murine BMDC and macrophages and human MDDC, subsequently stimulating CTL responses.¹⁴¹⁻¹⁴³ Furthermore, vaccination with *E. coli* expressing LLO and OVA has been shown to impair the suppressive ability of CD4⁺CD25⁺ Treg cells.¹⁴⁴ In order to minimise the risk associated with administering live bacteria, liposomes containing LLO and OVA and translational fusions of LLO and antigens have been shown to be effective at inducing CTL responses in vitro and in vivo.¹⁴⁵⁻¹⁴⁷

The Cholera-Like Enterotoxins

The *E. coli* heat-labile enterotoxin (Etx) and the related Cholera Toxin (Ctx), produced by enterotoxigenic *E. coli* and *Vibrio cholerae* respectively, are major virulence factors associated with traveller's diarrhoea and cholera. Both toxins are composed of a single A subunit (CtxA/EtxA) and five B subunits arranged in a pentameric ring (CtxB/EtxB), are highly homologous at the amino acid level (82%)¹⁴⁸ and have near identical 3D structures.^{149,150} Both toxins bind the cell surface receptor ganglioside G_{M1}, which is found ubiquitously on mammalian cells and the ganglioside G_{D1b}.¹⁵¹ Etx can also interact with asialo-G_{M1}, lactosylceramide and certain galactoproteins, however with much lower affinity.¹⁵²⁻¹⁵⁵

Both Ctx and Etx are potent immunogens when administered either parenterally or mucosally. Both induce strong mucosal (IgA) and systemic (IgG) antibody responses against themselves,^{156,157} and can induce similar responses to co-administered antigens when administered mucosally.^{156,157} Responses to co-administered antigens induced by Ctx are long-term and can persist for up to 2 years in mice.¹⁵⁸ Although many similarities exist between the two toxins, Ctx is associated with the generation of a predominantly T_H2 response,¹⁵⁹ whereas Etx induces a more balanced T_H1/T_H2 response to co-administered antigens.¹⁶⁰ Furthermore, Etx can induce IgA responses and the differentiation of IL-5 secreting T-cells in IL-4^{-/-} mice, whereas Ctx cannot.¹⁶¹ The basis of these differences appears to lie within the B subunit as chimeras of CtxA with EtxB and EtxA with CtxB display the adjuvant properties of their respective B subunits.¹⁶²

Ctx enhances HLA-DR expression on human B-cells, enhances their stimulatory capacity¹⁶³ and promotes their differentiation into plasma cells.¹⁶⁴ Both Ctx and Etx induce the up-regulation of CD86 on macrophages, DC and B-cells, increasing their T-cell stimulatory capacity.^{165,166} Furthermore, the induction of antibody responses has been linked to this increase in CD86 expression.^{166,167} Etx induces the up-regulation of CD80 and down-regulation of CD40 on human MDDC and impairs their ability to present antigens.¹⁶⁸ Ctx also induces the maturation of human MDDC, by increasing PGE₂ and NO production,¹⁶⁹ whilst retaining the capacity to suppress LPS-induced cytokine expression.¹⁷⁰ Ctx-matured MDDC have the ability to stimulate CD4⁺ T-cells and induce their differentiation into T_H2 cells.^{170,171} However, Ctx inhibits anti-CD3 induced PBMC CD40L expression and proliferation.¹⁷² Sub-cutaneous administration of Ctx

with KLH in mice has been shown to result in the generation of IL-10-secreting CD4⁺ T-cells, with properties similar to those of Tr1 cells.¹⁷³ Furthermore, Ctx was able to induce higher levels of IL-10 production by BMDC in response to LPS whilst inhibiting expression of ICAM-1 and CD40.¹⁷³

Originally it was thought that the ability of Ctx and Etx to act as mucosal adjuvants was linked to the ADP ribosyltransferase activity of the A subunit as a mutant lacking enzymatic activity was ineffective as an adjuvant when administered orally.¹⁷⁴ However, the development of other nontoxic mutant forms of Ctx and Etx has shown that loss of ADP ribosyltransferase activity is not associated with a loss of adjuvant activity.¹⁷⁵⁻¹⁷⁷ Furthermore, recombinantly produced CtxB and EtxB appear to retain some adjuvant activity. Intranasal administration of EtxB with herpes simplex virus (HSV)-1 glycoproteins in the absence of Etx can induce immunity to HSV-1.^{178,179} EtxB has also been shown to induce immune responses to influenza virus haemagglutinin (HA) and OVA.^{180,181} Intraperitoneal injection of CtxB with bovine serum albumin (BSA) resulted in increased systemic and mucosal anti-BSA antibodies.¹⁸² Since CtxB is a poor mucosal adjuvant alone,¹⁸³ mucosal immunisation with CtxB-antigen conjugates, presumed to increase stability, have also been shown to be effective at inducing immunity to the conjugated antigens.¹⁸⁴

Both CtxB and EtxB have been shown to have effects on a variety of cells *in vitro*. They alter cytokine production from monocytes/macrophages and inhibit pro-inflammatory cytokine production after LPS stimulation.^{182,185-188} Pre-incubation of splenic APC with CtxB has been shown to confer the APC with the ability to induce increased IL-4 production from a CD4⁺ T-cell clone.¹⁸⁹ Both CtxB and EtxB are capable of modulating antigen processing by macrophages, resulting in either decreased¹⁹⁰ or increased¹⁹¹⁻¹⁹³ presentation. CtxB can also induce the maturation of DC, manifested by increased MHC Class II and CD86 expression and increased secretion of IL-12.¹⁹⁴ EtxB and CtxB can also induce polyclonal activation of B-cells, associated with an up-regulation of MHC class II, CD80, CD86, CD40 and CD25 expression on the cell surface.¹⁹⁵⁻¹⁹⁷ The mechanism by which this occurs appears to involve the activation of the mitogen activated protein (MAP) kinase and the extracellular signal related kinase (Erk).¹⁹⁸ Despite this, CtxB and EtxB inhibit anti-mouse IgM and LPS induced proliferation of B-cells.^{199,200} CtxB has also been shown to enhance IL-4 mediated IgG₁ and TGF- β ₁ mediated IgA isotype switching in murine B-cells.^{201,202} Both CtxB and EtxB are capable of inducing apoptosis in CD8⁺ T-cells *in vitro*^{203,204} and appear to have differential effects on CD4⁺ T-cells depending on their phenotype. Activation-induced proliferation of naïve CD4⁺ T-cells can be suppressed with CtxB or EtxB^{199,200} whereas CtxB can enhance the proliferation of antigen-experienced CD4⁺ T-cells.²⁰⁵ Intra-nasal administration of EtxB with antigen also enhances antigen-specific CD4⁺ T-cell proliferation.²⁰⁶ The underlying reason for this differential effect is unknown, however it has been reported that cross-linking of G_{M1} by CtxB can substitute for costimulation following T-cell activation, which could ultimately lead to increased proliferation.²⁰⁷

Although it has been demonstrated that EtxB or CtxB retain adjuvant activity and can stimulate immune responses to co-administered antigens, immunisation with EtxB and CtxB has also been associated with the induction of peripheral tolerance and protection from autoimmune disease development. Orally administered CtxB-antigen conjugates have been shown to down regulate both cell mediated and humoral immunity to the conjugated antigens and protect from T_{H1} mediated autoimmune disease development, such as EAE, Type 1 diabetes (T1D) and collagen induced arthritis (CIA)²⁰⁸⁻²¹³ and T_{H2} mediated diseases, such as allergy.²¹⁴ Intra-nasal administration of EtxB alone has also been shown to protect from T1D and CIA development in animal models.^{215,216} Furthermore, intra-nasal administration of an EtxB-OVA conjugate has been shown to suppress the induction of OVA-specific IgE production.²¹⁷ Recent studies have identified that mucosal administration of CtxB-antigen conjugates appears to modulate a population of CD4⁺CD25⁺Foxp3⁺ Treg in a transforming growth factor (TGF)- β ₁-dependent manner and these are likely to underlie its ability to induce protection from both autoimmune disease and allergy.²¹⁸⁻²²⁰

The ability of EtxB to mediate binding and entry to the cells has been exploited for other purposes. Binding of EtxB has been shown to enhance presentation of Epstein-Barr virus latent

Table 2. Other bacterial toxins displaying immunomodulatory activities

Bacterial Species	Toxin Name	Immunomodulatory Activities
<i>Bacillus cereus</i>	Cereulide	Inhibits NK cell cytotoxicity and cytokine production ²²⁷
<i>Corynebacterium diphtheriae</i>	Diphtheria toxin	Delivery of peptides into MHC class I pathways ^{228,229}
<i>Escherichia coli</i>	Type II enterotoxins (LT-IIa/LT-IIb)	Mucosal and systemic adjuvant ²³⁰ Activates splenic DC in vitro ²³⁰ Induces apoptosis of CD8 ⁺ T-cells and inhibits CD4 ⁺ T-cell proliferation ²³¹ Inhibits LPS-induced NF- κ B activation and cytokine secretion in monocytes ²³² B subunits interact with TLR2 and can activate monocytes ²³³
<i>Haemophilus ducreyi</i>	Cytolethal distending toxin	Induces lymphocyte and DC death in vitro ^{234,235} Inhibits B-cell proliferation and antibody production ²³⁴
<i>Mycobacterium ulcerans</i>	Mycolactone	Inhibits human DC maturation and chemotaxis ²³⁶ Inhibits murine macrophage TNF- α production in response to whole bacteria ²³⁷
<i>Pasteurella multocida</i>	<i>P. multocida</i> toxin	Prevents human DC migration ²³⁸ Activates human and mouse DC ²³⁹ Inhibits LPS-induced IL-12 production from human DC ²³⁹
<i>Pseudomonas aeruginosa</i>	Exotoxin A	Delivery of peptides into MHC class I and II pathways ^{240,241} Acts as a T-cell mitogen ^{242,243} A subunit inhibits human PBMC proliferation and differentiation ²⁴⁴ Alters human monocyte cell surface protein expression ²⁴⁴ Inhibits B-cell responses to T-dependent and T-independent antigens ²⁴⁵ Induces Mast cell apoptosis ²⁴⁶
<i>Shigella dysenteriae</i> / <i>Escherichia coli</i>	Shiga toxin/ Shiga-like toxin	Induction of cytokine secretion by monocytes/ macrophage ²⁴⁷⁻²⁴⁹ Prevents neutrophil apoptosis ²⁵⁰ Delivery of peptides into MHC class I pathways ²⁵¹⁻²⁵³ Inhibits phagocytosis and superoxide production by human neutrophils ²⁵⁴ Treatment of B-cell lymphomas ²⁵⁵

membrane proteins on lymphoblastoid cells by inducing their internalisation, rendering the cells more susceptible to killing by CTL.²²¹ EtxB has also been used to successfully deliver a peptide into the nucleus that suppresses HSV-1 replication.²²² Furthermore, conjugation of EtxB to an OVA peptide was able to deliver the peptide into the MHC class I processing pathway in DC, facilitating cross-presentation and suggesting that it may be used to generate CTL responses against tumour-derived antigens.²²³ DNA vaccination of mice with a construct containing carcinoembryonic antigen, an antigen expressed on a number of different malignancies, fused to EtxB has been shown to induce CTL responses and reduce tumour growth.²²⁴ An alternative vaccine strategy uses only the A1 fragment of Ctx conjugated to two staphylococcal protein A component Ds (CTA1-DD) targeting it to Ig-expressing B-cells.¹⁶⁷ Following intra-venous or intra-nasal administration of CTA1-DD with KLH, KLH-specific systemic and mucosal antibody responses were successfully induced. Linking antigens to CtxA2 and then combining this with CtxB has also been shown to be an effective way of inducing systemic and mucosal antibody responses to the conjugated antigens following mucosal delivery.²²⁵

Concluding Remarks

Within this review, the known immunomodulatory effects of the most commonly studied bacterial toxins have been presented. Many other bacterial toxins also have immunomodulatory activities, some of which are summarised in Table 2. Understanding the abilities of these toxins to modulate the immune system not only aids our understanding of the pathogenesis of disease and treatment of disease, it also allows the discovery of novel applications of these toxins, such as their use in vaccination to unrelated pathogens or in the treatment of immunopathological diseases. For example, oral administration of the Beçhet's disease specific peptide from heat shock protein 60 conjugated to CtxB has been shown to suppress relapsing uveitis in a Phase I/II clinical trial.²²⁶ The immunomodulatory properties of bacterial toxins appear to have evolved in order to enhance bacterial survival within hosts, ultimately causing the death of many, however, these same toxins potentially hold the key to saving many lives.

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CHAPTER 2

Innate Immune Evasion by Staphylococci

Karin Ellen Veldkamp and Jos A.G. van Strijp*

Abstract

When bacteria invade the human host, they are directly confronted with a serious threat, the human innate immune system. This chapter describes the challenge that a staphylococci face and recent findings on how this bacterium counteracts the massive attack of this innate immune system. In order to survive within the human host, staphylococci have evolved a wide variety of small, excreted proteins that interfere with subsequent steps of the human innate immune system cascade.

Introduction

Especially in the last years the molecular details of the innate immune system and its mechanism of action have been revealed by numerous groups worldwide. We first have to distinguish different important feature of that innate immune system with respect to this particular bacterium.

Staphylococci are nonmotile, nonspore forming, Gram-positive, facultative anaerobic cocci, belonging to the Firmicutes. Colonies on blood agar are round convex, classically golden, but may vary from white to yellow, often surrounded by a clear zone of hemolysis. *Staphylococcus aureus* (*S. aureus*) is a normal commensal of the skin and mucous membranes. Within a few days after birth, the skin, perineal area and sometimes the gastrointestinal tract of infants are colonized by their immediate human surroundings.¹ Older children and up to 20–40 percent of the adults can become carriers but then *S. aureus* is most commonly found in the anterior nares. Organisms resident in the nares are probably the principle cause of endogenous infections.^{2,3}

Many *S. aureus* strains are coated with an external polysaccharide layer, which has been called a microcapsule because it can only be visualized by electron microscopy after antibody labeling. The major cell wall component of *S. aureus* is peptidoglycan (PG). It is a polysaccharide polymer composed of alternating subunits of N-acetyl glucosamine and N-acetyl muramic acid. Pentapeptide side chains of alternating L- and D-amino acids are linked to the muramic acid residue and are cross-linked by a pentaglycin bridge attached to L-lysine of one chain and D-alanine on the other chain. This tight three-dimensional structure makes up for 40 to 60% of the dry weight of the cell wall.⁴ Teichoic acids contribute 30 to 40% of the cell wall weight.⁵ Some of these phosphate-containing polymers are covalently bound to PG and are called cell wall teichoic acids. In contrast to Eukarya and Archaea, bacteria start protein translation with a formyl-methionine instead of a methionine. After protein processing, formylated peptides are formed, which are partially degraded by deformylases, but a vast amount is released in the bacterial surroundings.

On its cell surface *S. aureus* express proteins that promote attachment to host proteins, such as collagen and fibronectin which are part of the extracellular matrix.⁶ Most strains of *S. aureus*

*Corresponding Author: Jos A. G. van Strijp—Medical Microbiology, UMC Utrecht G04-614, Heidelberglaan 100, 3584 CX Utrecht, The Netherlands. Email: j.vanstrijp@umcutrecht.nl

express fibronectin and fibrinogen-binding proteins. Fibrinogen/fibrin binding protein is a glycoprotein, which is also called clumping factor as the binding of fibrinogen results in clumping of whole bacteria.

During growth *S. aureus* produces a wide variety of exoproteins, especially in the postexponential phase of the growth cycle.⁷ Nearly all strains secrete cytotoxins, which includes four hemolysins (alpha, beta, gamma and delta) and a group of enzymes like nucleases, proteases, lipases, hyaluronidase, catalase and collagenase. Hemolysins attack erythrocytes from various species, as well as other cells. Alpha-hemolysin is produced by most clinical isolates and acts on a wide variety of eukaryotic cell membranes by forming pores.^{8,9} In a mouse model, Alpha-hemolysin expression by independent *S. aureus* strains directly correlated with their virulence. Passive and active immunization in this model showed protection against *S. aureus* challenge.¹⁰ Beta-hemolysin, a sphingomyelinase is particularly produced by isolates from animals with for example mastitis.¹¹ Virtually every strain of *S. aureus* makes gamma-hemolysin which consist of two nonassociated secreted proteins, S and F component acting synergistically at membrane level by forming pores.^{12,13} Delta-hemolysin is produced by 97% of *S. aureus* strains and is capable of causing damage in a variety of mammalian cells by forming channels in the cell membrane¹¹ and enhances priming of neutrophils and stimulates TNF- α production in monocytes.¹⁴ Delta toxin now belongs to a class of secreted staphylococcal peptides that have a remarkable ability to recruit, activate and subsequently lyse human neutrophils, thus eliminating the main cellular defense against *S. aureus* infection. These peptides (phenol soluble modulins) are produced at high concentrations by and contribute significantly to the strains' ability to cause disease in animal models of infection. A recent study revealed a contribution of these factors to enhanced virulence of community acquired methicillin resistant Staphylococci.¹⁵

The main function of most staphylococcal excreted enzymes is to convert macromolecular substrates from the host tissue, like proteins, lipids, polysaccharides and nucleic acids, into low molecular weight nutrients necessary for bacterial growth and to contribute to invasiveness of *S. aureus* by breaking down extracellular matrix. Some strains produce one or more additional exoproteins, which include toxic shock syndrome toxin-1 (TSST-1), the staphylococcal enterotoxins (SEA, SEB, SEC, SED, SEE, SEG, SHE and SEI), the exfoliative toxins (ETA and ETB) and PV-leukocidin. Kuroda et al sequenced the genome of 2 methicillin-resistant *S. aureus* (MRSA) strains and this revealed several other open reading frames encoding for exoproteins including a cluster composed with enterotoxin genes encoding for enterotoxin homologues.¹⁶ TSST-1 causes menstruation- and wound-associated toxic shock syndrome. Two former names for TSST-1 were staphylococcal pyrogenic exotoxin C and staphylococcal enterotoxin F. Staphylococcal enterotoxins (SE's) are heat-stable toxins causing staphylococcal food-poisoning by increasing dramatically intestinal peristalsis. TSST-1 and the staphylococcal enterotoxins are also known as pyrogenic toxin superantigens. Superantigens are able to activate subsets of T-lymphocytes without antigenic specificity by interacting directly with MHC class II molecules on macrophages and with the V β chain of T-cell receptors. This causes a cytokine release leading to major systemic effects.^{17,18} Molecular studies of the SEs have shown that superantigenicity and the capacity for causing food poisoning are determined by separate parts of the protein.^{19,20} Exfoliative toxins (ET-A and ET-B) cause separation of the dermis at the granular cell layer resulting in extensive scalding, which is called staphylococcal scalded syndrome (SSSS).^{21,22}

Panton-Valentine leukocidin (PV-leukocidin) damages membranes of leukocytes. Like gamma-hemolysin it consists of two components, which act synergistically, but leukocidin is leukocidic and not haemolytic.^{12,13} The epidemiologic association of PVL bacteriophage with *S. aureus* strains from patients with necrotizing pneumonia led to research that illustrated that PVL is a key virulence factor in pulmonary infections.²³ Others however found opposite results in different mouse models and illustrated a major role for alpha-hemolysin.²⁴

Host Defense and the Battle against *S. aureus* and Its Products

S. aureus has a complex array of toxins and enzymes for attacking the host, so that it becomes a highly pathogenic invader able to cause serious local and systemic infections. Once the outer barriers have been breached, staphylococci encounter additional host defenses mediated by either humoral or cellular components (Figure 1). Phagocytosis and intracellular killing by phagocytes is the major principle of clearing *S. aureus* and is most efficiently phagocytosed after opsonization by both complement and antibodies.

Complement

Complement was first identified as a heat-labile principle in serum that “complemented” antibodies in the killing of bacteria. Now is known that complement is a system of more than 30 proteins in plasma and on cell surfaces that interact with each other in an orderly fashion that is referred to as the ‘complement cascade’. Complement and complement evasion by bacteria is addressed in a different chapter (“Bacterial complement escape” by Jongerius, Ram and Rooijackers) and in recent reviews.^{25,26}

Phagocytes

Phagocytosis was discovered by Elie Metchnikoff in 1882.²⁷ He noted the power of certain blood cells to move toward bacteria and foreign substances and ingest them and alerted the scientific community to the importance of phagocytosis in immunity.²⁸ In general there are two types of phagocytic cells: granulocytes, most importantly polymorphonuclear neutrophils and mononuclear phagocytes like blood monocytes and tissue macrophages. Neutrophils and monocytes, which circulate in blood and migrate through tissues, are attracted to sites of inflammation. Phagocytosis and complement activation trigger the production of cytokines. Mononuclear phagocytes are an important source of pro-inflammatory cytokines like IL-1, TNF- α , IL-6 and IFN α . Especially IL-1 and TNF- α increase expression of adhesion molecules on endothelial cells, neutrophils and monocytes.²⁹ Production of IL-8 by endothelial cells, monocytes and neutrophils in response to cytokines or microbial products, further modulates expression of adhesion molecules and provides a chemotactic signal that promotes emigration of neutrophils from the blood to the inflammatory site.

Distinct steps can be recognized in the emigration cascade of leukocytes.³⁰ Rolling of leukocytes along the vessel wall depending on a loosely adhesive contact between leukocytes and endothelial cells during which selectins (CD62) are the main adhesion molecules: P-selectin and E-selectin on the endothelial cells of the blood vessel and L-selectin on the leukocytes. Firm adhesion of leukocytes to the endothelium and activation of these leukocytes leads to flattening of the leukocyte. The integrins predominate this adhesion step. The most important integrins expressed on leukocytes are LFA-1 (CD11a/CD18), Mac-1 (CD11b/CD18), P150.95 (CD11c/CD18) and VLA-4 (CD49d/CD29). Their counterstructures on the endothelium are intracellular adhesion molecule-1 (ICAM-1) and -2 for LFA-1, ICAM-1 for Mac-1 and VCAM-1 for VLA-4.³¹ Diapedesis, the actual penetration of the leukocytes between endothelial cells, is mediated by PECAM-1 on the endothelial cells binding to PECAM-1 on the leukocyte.³²

Chemotaxis

For migration towards the actual site of infection, directed locomotion of phagocytes is triggered by specific substances, termed chemotactic factors. The phagocytes carry several receptors on their cell surface by which they are able to recognize these chemotactic factors, which are secreted by either activated host cells, activated complement or by the invading micro-organism itself to form a gradient by which phagocytes are able to determine the right direction of migration. Well known chemoattractants for phagocytes are platelet activating factor (PAF), arachidonate metabolite leukotriene B₄ (LTB₄), anaphylatoxin complement fragment C5a, bacterial derived formylated peptides like N-formyl-methionyl-leucyl-phenylalanine (fMLP) and chemokines like interleukine-8 (IL-8). LTB₄ is formed by neutrophils, C5a is released during activation of

complement when C5 is cleaved by C5 convertase into C5a and C5b to form the membrane-attack complex. PAF, originally isolated from stimulated basophils, can be produced by a variety of cells, such as neutrophils, platelets, monocytes, macrophages and endothelial cells and has been suggested as a mediator of inflammation, platelet and neutrophil activation, plasma extravasation and anaphylactic shock. N-formylated peptides are derived from bacterial protein degradation or to arise from mitochondrial proteins upon tissue damage.³³⁻³⁵ IL-8 is produced by macrophages, neutrophils, endothelial cells, mesothelium. It belongs to the family of the chemokines, which are small proteins that are structurally and functionally related with chemotactic as well as cytokine-like activation properties.^{36,37} The most prominent shared feature of the chemokines is a conserved motif of two cysteine pairs linked together with sulfide bonds. There are at least four families of chemokines. The two major families are subdivided on the basis of the N terminal region where two cysteine residues are separated by one amino acid, CXC or alpha chemokines, or adjacent to each other, CC or beta chemokines.³⁶ IL-8, the first recognized chemokine, belongs to the CXC chemokines. Whether alpha chemokines are chemotactic for neutrophils depends on whether the sequence preceding the CXC sequence contains glutamic acid-leucine-arginine. If not then the chemokine will act on lymphocytes.

The chemoattractants as well as the chemokines exert their function by binding to their receptors. These receptors belong to the superfamily of G-protein coupled seven transmembrane receptors or 'serpentine' receptors.³⁸ Receptor-ligand binding leads to a cascade of cellular activation, including the generation of inositol triphosphate, the release of intracellular calcium and the activation of protein kinase C (PKC), which eventually results in actin polymerization.^{39,40} Chemokine-receptor signaling also activates small guanosine triphosphate-binding proteins of the Ras and Rho families.⁴¹ Rho proteins are involved in cell motility through regulation of actin-dependent processes such as membrane ruffling, pseudopod formation and assembly of focal adhesion complexes. Thus, binding of chemoattractants and chemokines to their receptors activate multiple intracellular signaling pathways that regulate the intracellular machinery necessary to propel the cell in its chosen direction.

Bacterial products can induce chemotaxis such as formylated peptides and phenol soluble modulins. Next to that, the micro-organism or its products can induce the release of chemoattractants from host cells.⁴² Endothelial cells infected with *S. aureus* express both IL-8 mRNA and the protein⁴³ and after stimulation with purified LTA, blood monocytes also show IL-8 mRNA expression.⁴⁴ Espersen et al studied the chemotactic activity of peptidoglycan for peripheral blood neutrophils in human serum.⁴⁵ They showed that PG was able to induce chemotactic activity in normal human serum, but not in heat-treated or C5-deficient human serum, indicating that PG treatment of serum resulted in generation of chemoattractants by activation of complement. PV-leukocidin could directly induce the release of LTB₄ and IL-8 in neutrophils, as was shown by Konig et al.⁴⁶ Immunomodulatory effects of TSST-1 were seen in neutrophils. After 10 minutes incubation with TSST-1 neutrophils showed an enhanced expression for the FPR and LTB₄ receptor. This enhancement was not seen after 30 minutes incubation, which according to the authors, could be due to negative feedback mechanisms.⁴⁷

Phagocytosis and Killing

Many micro-organisms are not readily ingested by phagocytes because antiphagocytic components are present on the microbial surface. Ingestion of such organisms requires the effective neutralization of these antiphagocytic structures. This is accomplished by the deposition of specific IgG antibody or of the complement fragment C3b on the microbial surface. Optimal opsonization for phagocytosis usually requires the participation of both these substances. C3b and specific immunoglobulin function as ligands connecting the micro-organism to the phagocyte at the specific C3b receptor and Fc receptor on the cell membrane of the neutrophils. Opsonin-independent phagocytosis by neutrophils is less efficient because it depends on the contact angle between micro-organism and the surface on which it rests. So opsonin-independent phagocytosis is more efficient in small tissue spaces than on smooth open surfaces. The mechanism by which neutrophils

ingest opsonized micro-organisms and enclose them in phagosomes depends on the interaction between opsonic ligands distributed homogeneously over the surface of the particle and receptors on the phagocyte membrane. This interaction results in the circumferential flow of the phagocyte membrane around the particle and its subsequent enclosure within the phagosome.^{48,49} *S. aureus* eradication from the host depends mainly on opsonization, phagocytosis and subsequent killing by neutrophils.

In addition to initiating microbial ingestion, the interaction of opsonic ligands with receptors in the cell membrane results in stimulation of the membrane. This stimulation triggers degranulation and a burst of oxidative metabolism as well as a release of oxidative products and granule contents into the phagosome and extracellular milieu. The metabolic burst is characterized by markedly increased oxygen consumption by neutrophils, production of a number of active metabolites of oxygen (including superoxide, singlet oxygen, hydroxyl radical and hydrogen peroxide) and increased activity of the hexose monophosphate shunt.^{50,51} Although the active metabolites of oxygen may themselves possess microbicidal activity, this activity is markedly enhanced by the granule contents, particularly myeloperoxidase, present in the phagosome. Other granule-bound antibacterial molecules are defensins, azurocidin, cathepsin G and bactericidal/permeability-increasing (BPI) protein, which kill the microbes by permeabilizing the membrane. Myeloperoxidase, hydrogen peroxide and a halide ion acting in conjunction form one of the neutrophils most potent microbicidal mechanisms.⁵²

The importance of the active metabolites of oxygen is shown in diseases with defects in staphylococcal killing like in chronic granulomatous disease (CGD). CGD is an inherited disease characterized by severe and recurrent staphylococcal and other bacterial and fungal infections, manifested in most cases in early childhood. Phagocytic cells of CGD patients are unable to produce superoxide anions and their efficiency in bacterial killing is significantly impaired. Chronic granulomatous disease (CGD) results from a defect in any of the 4 subunits of the NADPH oxidase complex. This NADPH oxidase complex allows phagocytes to rapidly convert O₂ to superoxide anion which generates other antimicrobial reactive oxygen intermediates, such as H₂O₂, hydroxyl anion and peroxynitrite anion.^{53,54}

Innate Immune Evasion

In 2000 we first described the chemotaxis inhibitory activity of staphylococci.⁵⁵ Later this activity was pinpointed to a molecule called CHIPS for chemotaxis inhibitory protein of Staphylococci.⁵⁶ Since then a lot of small excreted molecules have been identified by us and by others that act in various ways to counteract the inflammatory capacity of the innate immune system.^{25,26,57-62} Here we provide a concise overview of the molecules that have recently been identified and act on specific parts of the human innate immune system.

Complement Evasion

A vast number of staphylococcal complement evading strategies have been described. Staphylococcal complement inhibitor (SCIN) is a highly efficient complement inhibitor.⁶³⁻⁶⁶ It is a 10 kD, excreted protein that blocks all three complement pathways: the lectin, classical and alternative pathway. SCIN efficiently prevent phagocytosis and killing of staphylococci and C5a production. SCIN specifically acts on both surface-bound C3 convertases (C3bBb and C4b2a). SCIN has two homologues in *S. aureus* SCIN-B and SCIN-C.⁶⁷ They have the same mechanism of action as SCIN has. Most *S. aureus* strains have next to SCIN, either the SCIN-B or the SCIN-C gene. The genes for SCIN-B or the SCIN-C are located on a genomic island that also harbors the genes for Efb (extracellular fibrinogen binding protein) and Ecb (Extracellular complement binding protein). The C-terminal part of Efb as well as the Ecb molecule are potent inhibitors of C5 convertases.^{25,67,68,69} Protein A is well known for its capacity to bind the Fc part of IgG. Through this binding, protein A blocks Fc-receptor mediated phagocytosis on one hand and complement activation via C1q on the other.⁷⁰ Recently Protein A also recognizes TNF-Receptor 1, a receptor for tumor-necrosis factor-alpha (TNF- α).⁷¹ The staphylococcal superantigen-like proteins (SSLs) are

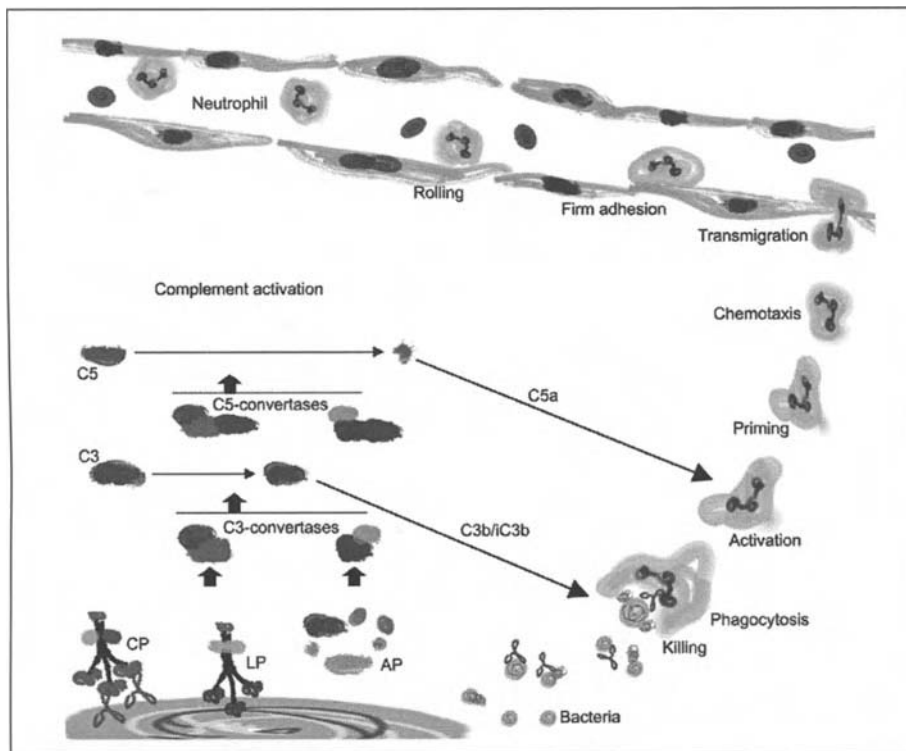


Figure 1. Acute inflammatory response. Subsequent steps in neutrophil extravasation, movement toward the site of infection and removal of invading bacteria.

close relatives of the superantigens but are located on a separate gene cluster within a 19-kb region of the pathogenicity island SaPin2. SSL-7 (23.2 kD) binds human IgA1 and IgA2 resulting in an inhibited IgA binding to cell surface Fc α RI (CD89). In addition to that, SSL7 binds complement factor C5 from human, primate, sheep, pig and rabbit serum and inhibited complement-mediated hemolysis and serum killing of a Gram-negative organism *Escherichia coli*.⁷²

Rolling, Adhesion and Transmigration Inhibition

Yet another member of the SSL family, SSL-5 was described to inhibit the first step in Neutrophil mediated inflammatory events. SSL-5 inhibits the interaction between P-selectin on endothelial cells and P-selectin glycoprotein ligand-1 (PSGL-1) on neutrophils, thereby inhibiting neutrophil rolling on the endothelium suggesting that *S. aureus* uses SSL5 to prevent neutrophil extravasation towards the site of infection, even at the earliest possible step.⁷³ The interaction with sialyl-lewis-X (sLe(X)) is crucial and this is now illustrated by cocrystal studies. sLe(X) bound to a specific site on the surface of the C-terminal domain of SSL5 in a conformation identical with that bound by P-selectin.^{74,75}

The next step in neutrophil extravasation is inhibited by the Extracellular adherence protein (Eap) of *S. aureus*. Eap inhibits direct interactions with the host adhesive proteins intercellular adhesion molecule 1 (ICAM-1), fibrinogen or vitronectin, Eap disrupted beta(2)-integrin and urokinase receptor mediated leukocyte adhesion in vitro. In a bacterial peritonitis mouse model, Eap-expressing *S. aureus* (or isolated Eap) elicited less neutrophil influx as compared to an Eap-negative strain.^{76,77} Further Eap protects in an ICAM-1 dependent experimental autoimmune encephalomyelitis (EAE) in mice, indicating that Eap could possibly represent a treatment

for autoimmune neuroinflammatory disorders such as multiple sclerosis.⁷⁸ In recent reviews many other possible interactions of Eap with numerous other ligands were described.⁶⁰

Evading Neutrophil Chemotaxis and Activation

Leukocyte migration is a key event both in host defense against invading pathogens as well as in inflammation. Here we discuss three proteins that specifically interfere with this aspect of the innate immune system; CHIPS and FLIPr.

The very early signs of bacterial invasion, C5a and formylated peptides are recognized by the innate immune system through two related receptors on neutrophils, the C5aR and FPR. The excreted Chemotaxis inhibitory protein of *S. aureus* (CHIPS) is a 14.1 kD protein that specifically impairs the response of neutrophils and monocytes to formylated peptides and C5a.^{55,56,79} CHIPS inhibits neutrophil recruitment in vitro and in vivo at high CHIPS concentrations. Also phagocyte activation by C5a and formylated peptides is completely blocked by CHIPS. The CHIPS gene is present in 62% of all *S. aureus* isolates. CHIPS binds specifically and directly to the C5aR and FPR and thereby totally blocks ligand binding and signal transduction. The apparent KD values of CHIPS for the C5aR and FPR were 1.1 nM and 35.4 nM, respectively.^{80,81}

The anaphylatoxin C5a plays an important role in host immunity and pathological inflammatory processes. For C5a a two-site binding model was proposed, in which initial binding of C5a to the C5aR N-terminus is followed by interaction of its C-terminal tail with an effector domain on the receptor. We found that CHIPS exclusively binds the C5aR N-terminus. Deletion and mutation experiments of the C5aR N-terminus revealed that the binding site of CHIPS is contained in a short stretch of 9 amino acids, of which the aspartic acid residues at position 10, 15 and 18 plus the glycine at position 12 are crucial. Binding studies with C5aR/C3aR and C5aR/IL8RA chimeras confirmed that CHIPS binds only to the C5aR N terminus without involvement of its extracellular loops. CHIPS may provide new strategies to block the C5aR, which may lead to the development of new C5aR antagonists.^{74,80,82} Next to its action on the C5aR, CHIPS also blocks the action of the formylated peptide receptor (FPR).^{80,81} N-formyl peptides, such as fMet-Leu-Phe, are some of the first identified and most potent chemoattractants for phagocytic leukocytes are spill-over products of the bacterial protein production machinery. Both the C5aR and FPR belong to the superfamily of seven-transmembrane, heterotrimeric G protein-coupled receptors (GPCR). Although their ligands are highly diverse and receptor-specific, all GPCRs have a comparable architecture and share 20 to 30% amino acid homology, mainly in the transmembrane regions. Small molecules, such as fMet-Leu-Phe, bind to their GPCR in the interhelical region, while larger molecules, such as C5a, use a two-site motif for binding their GPCR.

Using different monoclonal antibodies, a set of CHIPS-spanning peptides and truncated rCHIPS molecules, the two activities of CHIPS could be separated on the molecule. The C5aR modulating capacity was assigned to a not yet completely defined region in the C-terminus of CHIPS. The FPR modulating capacity of CHIPS is located at the far N-terminal region of the molecule.⁸² The two phenylalanines at position one and three are crucial for FPR blocking activity.

The receptor FPR-like-1 (FPRL1) belongs also to the family of formyl peptide receptors. Another protein from *S. aureus* impairs the neutrophil responses to FPRL1 agonists. FPRL1 inhibitory protein (FLIPr) inhibited the calcium mobilization in neutrophils stimulated with MMK-1, WKYMVM, prion-protein fragment PrP(106-126) and amyloid beta (1-42). All these ligands are known FPRL1 agonists. Stimulation with low concentrations of fMLP was partly inhibited. Directed migration was also completely prevented toward MMK-1 and partly toward fMLP. Fluorescence-labeled FLIPr efficiently bound to neutrophils, monocytes, B cells and NK cells. HEK293 cells transfected with human C5aR, FPR, FPRL1 and FPRL2 clearly showed that FLIPr directly bound to FPRL1 and, at higher concentrations, also to FPR but not to C5aR and FPRL2. FLIPr can reveal unknown inflammatory ligands crucial during *S. aureus* infections. As a novel described FPRL1 antagonist, it might lead to the development of therapeutic agents in FPRL1-mediated inflammatory components of diseases such as systemic amyloidosis, Alzheimer's and prion disease.⁸³

Evading Phagocytosis and Killing

Protein A inhibits phagocytosis by binding the Fc part of IgG and blocking the access to neutrophil Fc receptors.⁷⁰ Alternatively staphylococci can inhibit IgG mediated effector functions by the excretion of staphylokinase (SAK). *S. aureus* expresses several plasminogen (PLG)-binding receptors at its surface. SA, after excretion, activates surface-bound plasminogen (PLG) into plasmin (PL). Surface-bound plasmin cleaves both IgG and C3b. We showed (226) that PL, formed by the conversion of PLG by SAK at physiological concentrations, leads to removal of these important opsonic molecules necessary for recognition by immune cells. PL attacks human IgG as well as human (i)C3b at the bacterial cell wall, causing impaired phagocytosis by human neutrophils. PL cleaves IgG at position Lys 222 and thus removes the entire Fc fragment, including the glycosylation site (Asn 297) necessary for recognition by C1q thereby inhibiting the activation of the classical pathway of complement. Also PL-activity created by PLG and SAK led to decrease of (i)C3b molecules at the staphylococcal surface. PLG+rSAK cleaved C3b in both the α -chain as well as in the β -chain. The decrease of C3b molecules will indirectly diminish C3 convertases as well as C5 convertases.

SSL-7 (23.2 kD) binds human IgA1 and IgA2 resulting in an inhibited IgA binding to cell surface Fc α RI (CD89).⁷² Through this mechanism *S. aureus* interferes with IgA-mediated effector functions. The three-dimensional structure of the complex of SSL7 with Fc of human IgA1 shows that SSL7 and Fc α RI bind many of the same key residues in the Fc region of human IgA, thereby explaining how SSL7 potently inhibits IgA-dependent cellular effector functions mediated by Fc α RI, such as phagocytosis, degranulation and respiratory burst. Two SSL7 molecules interact with the Fc (one per heavy chain) primarily at the junction between the C α 2 and C α 3 domains. The binding site on each IgA chain is extensive, with SSL7 shielding most of the lateral surface of the C α 3 domain.^{85,86}

Alfa-Defensins are peptides that are present in and are secreted by neutrophils and provide antimicrobial protection mediated by disruption of the integrity of bacterial cell walls. Staphylokinase (SAK) (16 kD) is excreted by *S. aureus* and is well-known to activate human plasminogen. Tarkowski et al showed that binding of defensins by SAK inhibits the bactericidal effect of α -defensins. Notably, the site within staphylokinase that binds α -defensins is different from its plasminogen-binding site. In vivo, staphylococcal strains producing SAK were protected against the bactericidal effect of α -defensins. Therefore SAK mediated inhibition of α -defensins is considered to be an important defense mechanism of *S. aureus*.⁸⁷

Resistance to the metabolic burst of neutrophils can help to gain advantage within the host. All *S. aureus* species are catalase positive. Catalase inactivates toxic hydrogen peroxide and free radicals produced within phagocytic cells following ingestion of microbes.⁷⁰ The production of catalase by *S. aureus* can be a defense mechanisms against killing in the phagosome. Catalase is able to convert hydrogen peroxide into nontoxic H₂O and O₂. By this conversion catalase production can counteract staphylococcal phagocytic killing, which is mediated by toxic oxygen radicals produced by neutrophils.

Staphylococcal Innate Immune Evasion

Here we have summarized a new group of immune evasion molecules in *S. aureus* (Figure 2). Besides being important in Staphylococcal pathogenicity these factors can teach us to recognize crucial steps in innate immunity pathways. Evolution seems to have tuned these factors to act on essential steps in our innate immune system and that specific element is useful in the understanding of fundamentally crucial steps of the innate immune system. From a therapeutic point of view, these proteins have a high potential in anti-inflammatory therapy. Per definition, molecules that help to evade acute innate immune mechanism are anti-inflammatory compounds. Their high specificity partially explains their nontoxic nature. Being soluble molecules, it is tempting to speculate that they could be used as injectables in severe acute inflammatory disorders. Pre-existing antibodies against all these proteins will complicate this approach but the targets that are attacked by all of these factors might prove to be the essential targets to tackle in anti-inflammatory therapy.

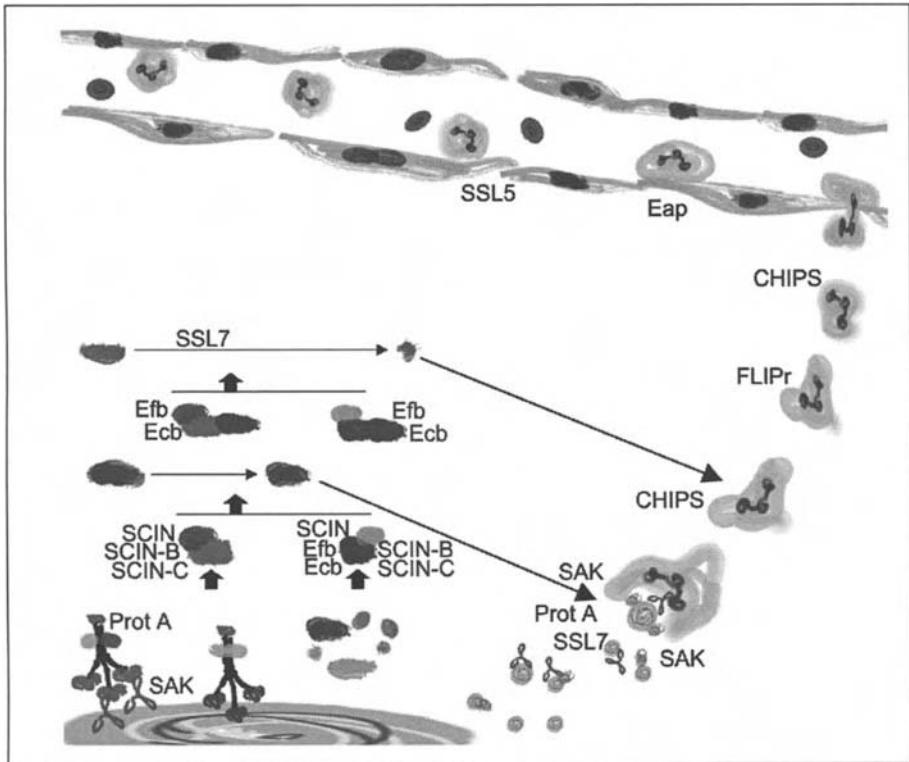


Figure 2. Staphylococcal innate immune evasion. The molecules produced by *S. aureus* that counteract the different steps of our innate immune system. SSL-5 (Staphylococcal Superantigen-like 5), Eap (Extracellular adherence protein), CHIPS (Chemotaxis inhibitory protein of *Staphylococci*), FLIPr (FPRL-1 inhibitory protein), SSL-7 (Staphylococcal Superantigen-like 7), Efb (Extracellular fibrinogen binding protein), Ecb (Extracellular complement binding protein), prot A (protein A), SCIN (Staphylococcal Complement inhibitor), SCIN B (Staphylococcal Complement inhibitor-B), SCIN C (Staphylococcal Complement inhibitor-C), SAK (Staphylokinase).

Bacteriophages serve as a driving force in microbial evolution, adaptation to new environments and the pathogenesis of human bacterial infections. Two newly discovered immune modulators, chemotaxis inhibitory protein of *S. aureus* (CHIPS) and staphylococcal complement inhibitor (SCIN), cluster on the conserved 3' end of beta-hemolysin (hly)-converting bacteriophages (betaC-phis). Since these betaC-phis also carry the genes for the immune evasion molecules staphylokinase and enterotoxin A, this 8-kb region at the 3' end of betaC-phi represents an innate immune evasion cluster (IEC).⁶⁵

Extensive phage dynamics (intragenomic translocation, duplication, transfer between hosts, recombination events) during infection was shown by analyzing cocolonizing and consecutive isolates of patients. This activity leads to the splitting of the strain population into various subfractions exhibiting different virulence potentials (Hlyb-production and/or production of immune evasion molecules). Thus, phage-inducing conditions and strong selection for survival of the bacterial host after phage movement are typical for the infectious situation.⁸⁸ Also other immune evasion molecules are located on specific clusters, such as SaPI_{n2} with all the SSLs⁷² and immune evasion cluster with Efb, Ecb, SCIN B/C, FLIPr.⁶⁷

In a relatively short period of time we and others have identified an impressive amount of highly specific innate immune evasion molecules in a single micro-organism. Probably this is

just the tip of the iceberg. If we can document the complete armamentarium of innate immune evasion mechanisms in *S. aureus*, this will indirectly provide an increasing insight into innate immune evasion and the fundamentals of bacterial pathophysiology in general. Furthermore it will provide insight into our own innate immune system and open the way to develop smart and specific anti-inflammatory compounds.

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CHAPTER 3

Bacterial Complement Escape

Ilse Jongerius, Sanjay Ram and Suzan Rooijackers*

Abstract

Complement activation is a crucial step in our innate immune defense against invading bacteria. Complement proteins can quickly recognize invading bacteria and subsequently label them for phagocytosis or kill them by direct lysis. In order to survive in the human host, bacterial pathogens have evolved a number of excreted and membrane-bound proteins that interfere with several steps of the complement cascade. In this chapter we summarize the most successful complement-modulating strategies by human bacterial pathogens.

Introduction

The complement system is a major mediator of the innate immune system, our first line of defense against invading micro-organisms.^{1,2} Complement consists of more than thirty proteins in plasma and on cell surfaces and its activation results in a quick and effective defense against invading microbes. An important eradication strategy is the opsonization of foreign substances with C3b and iC3b which marks them for uptake by neutrophils via complement receptors (CR).^{3,4} Furthermore, Gram-negative bacteria can be directly killed via the formation of C5b-9, the membrane attack complex (MAC).⁵ The complement system also forms a bridge between the innate and adaptive immune system since C3d, the degradation product of C3b and iC3b, facilitates antigen presentation to B-cells.^{6,7}

The complement system comprises three different activation routes to recognize extrinsic substances (Fig. 1): the classical (CP), the lectin (LP) and the alternative (AP) pathway. The CP is activated by binding of C1q to IgG or IgM molecules bound to the microbial surface.⁸ The C1q-attached serine protease C1s in turn cleaves C4 resulting in formation of the anaphylatoxic peptide C4a and C4b.^{9,10} The C4b molecule covalently binds to the bacterial surface due to exposure of its internal thioester that reacts with hydroxyl (creating an ester bond) or amino groups (creating an amide bond).¹¹ Then C2 binds to surface-bound C4b whereupon it is cleaved by activated C1s to form the CP C3 convertase C4b2a.¹⁰

The LP is highly similar to the CP and its activation also results in the formation of C4b2a.¹² The recognition molecules of the LP are mannan-binding lectin (MBL) and ficolins (L-, H- or M-ficolin). These lectins are structurally similar to C1q although they recognize microbial sugar patterns instead of immune complexes.¹³ MBL and ficolins recognize neutral sugars (preferentially mannose, N-acetylglucosamine (GlcNAc) and fucose) when presented in a repetitive manner such as on the surface of a range of microbes.^{12,14} MBL and ficolins are associated with MBL-associated serine protease (MASP)-1, MASP-2, MASP-3 and a nonprotease small MBL-associated protein (sMAP or MAP19).¹³ MASP-2 is the only protease known to be responsible for cleavage of C4 and C2 to generate the C3 convertase C4b2a.¹⁴ Other less well-defined functions of MASPs are activation of the AP by direct cleavage of C3 by MASP-1¹⁵ and activation of the coagulation system by MASP-2.¹⁶

*Corresponding Author: Suzan Rooijackers—Medical Microbiology, HP G04.614, University Medical Center Utrecht, The Netherlands. Email: s.h.m.rooijackers@umcutrecht.nl

The AP is either activated by spontaneous hydrolysis of the internal thioester bond in C3 (forming C3(H₂O)) or by covalent attachment of C3b to bacterial surfaces via the CP and LP.⁵ Factor B binds to both surface-bound C3b and fluid-phase C3(H₂O) and is in turn cleaved by factor D to form a fluid-phase C3 convertase C3(H₂O)Bb or a surface-bound C3bBb complex.^{17,18}

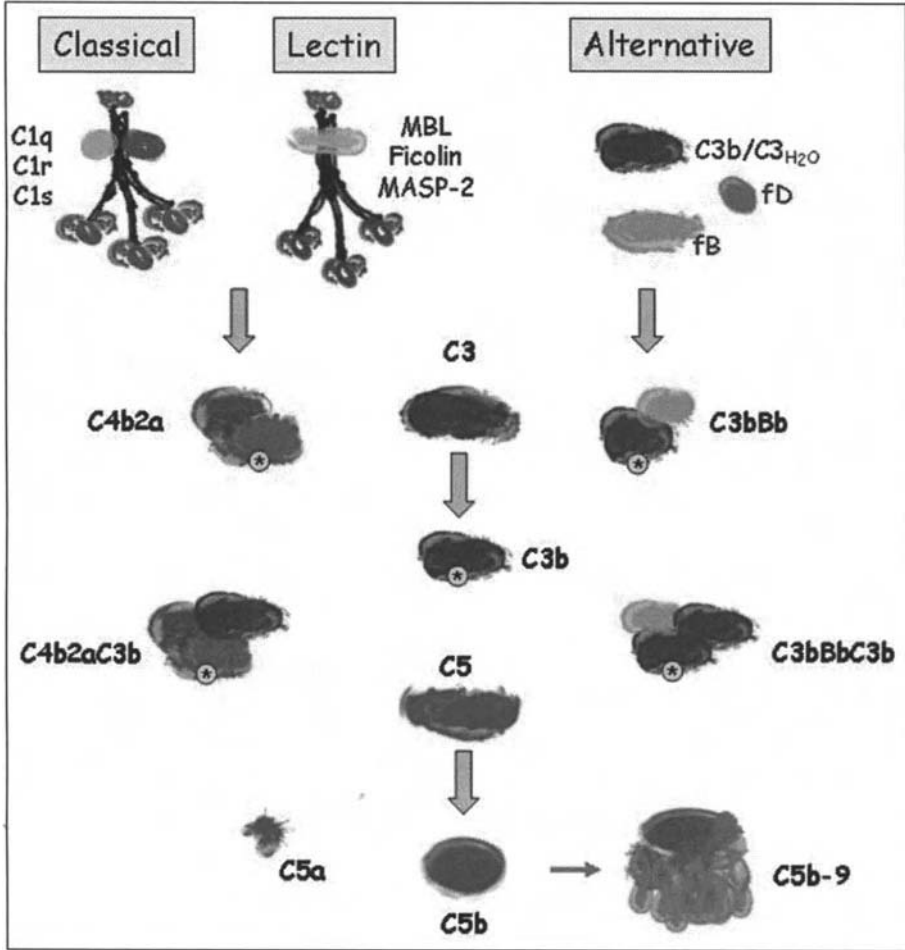


Figure 1. Schematic overview of the complement system. Complement activation can occur via three different pathways. The antibody-dependent Classical Pathway starts when C1q in the C1q-C1r₂-C1s₂ complex recognizes antibodies that are bound to the microbial surface. In the Lectin Pathway, Mannose Binding Lectin (MBL) and Ficolins recognize microbial sugar patterns and activate the MBL-associated serine protease 2 (MASP-2). Both C1s and MASP-2 can cleave complement proteins C4 and C2 to generate the CP/LP C3 convertase: C4b2a. Within this complex, C4b is covalently (*) attached to the microbial surface. The Alternative Pathway C3 convertase (C3bBb) is generated after binding of factor B (fB) to surface-bound C3b or fluid-phase C3(H₂O). Factor B is subsequently cleaved by factor D (fD) to generate C3bBb. Both C3 convertases C4b2a and C3bBb cleave C3 into covalently bound C3b (*) and an anaphylatoxin C3a. C3b contributes to phagocytosis, antigen presentation and formation of C5 convertases, C4b2a3b and C3bBb3b. C5 convertases cleave C5 into an anaphylatoxin C5a and C5b, which forms a complex with complement proteins C6, C7, C8 and C9 to generate the membrane attack complex (MAC) and mediate microbial lysis.

The AP C3 convertase is positively regulated by properdin, a multimeric protein that increases the stability of the complex.¹⁹

The C3 convertase enzymes fulfill a central role in the complement cascade, since all initiation pathways result in their formation (C4b2a for the CP/LP and C3bBb for the AP). C3 convertases are bimolecular complexes that only have a short lifespan of 1-2 minutes because C2a and Bb dissociate from the complex in an irreversible way.¹⁸ C3 is the most abundant complement protein in serum (1 mg/ml) and its cleavage to C3b and C3a is an important step in complement activation.^{20,21} C3a is a small fluid-phase chemo-attractant while C3b covalently attaches to the microbial surface via its thioester. Both C3b and its degradation product iC3b mark the microbe for efficient uptake by phagocytes via CR1 and CR3, respectively.¹ Further degradation of iC3b by factor H and I results in the formation of C3d which is recognized by CR2 on B-cells, supporting antigen presentation.²¹

In addition to its role in phagocytosis, C3b molecules are also needed for initiation of the terminal pathway. The binding of an additional C3b molecule to C3 convertases results in the formation of C5 convertases: C4b2aC3b (CP/LP) and C3b₂Bb (AP).^{22,23} These convertases preferentially cleave C5 instead of C3 and form two C5 split products (C5a and C5b). C5a is a very potent chemotactic factor which recruits and activates phagocytes by binding to the C5a receptor. C5b forms a soluble complex with C6 and subsequent binding of C7 results in hydrophilic to amphiphilic transition and induces expression of a metastable site through which C5b-7 is inserted into target lipid bilayer membranes. Subsequent incorporation of C8 and multiple C9 molecules allows the complex to traverse lipid bilayers forming complete transmembrane channels resulting in osmotic lysis of the cell. The MAC can directly kill Gram-negative bacteria while Gram-positive bacteria are protected against the MAC by their thick cell wall.²⁴

Since complement activation can also damage host tissues it is tightly regulated by several fluid-phase and cell bound molecules. Convertase activity is regulated by a large group of proteins called regulators of complement activation (RCA). Fluid-phase molecules include Factor H (fH) and factor H-like protein 1 (FHL-1) that accelerate decay of the AP convertase, while C4-binding protein (C4BP) dissociates the CP/LP convertase. Host cells express membrane-bound CR1 and decay-accelerating factor (DAF) that dissociate C4b2a. Furthermore, membrane cofactor protein (MCP) and most of above described convertase regulators are also cofactors for factor I (FI)-mediated degradation of C4b and C3b.⁶ Finally, the CP/LP enzymes C1r, C1s and MASPs are controlled by the serine protease inhibitor C1 Inhibitor (C1-INH).¹⁰ The formation of the MAC is regulated by molecules that prevent insertion of C5b-7 into lipid membranes: membrane-bound CD59 and fluid-phase vitronectin and clusterin.²⁵

Gram-Positive Pathogens

Staphylococcus aureus

Staphylococcus aureus causes a number of community- and hospital derived infections ranging from uncomplicated wound infections to severe diseases as septicemia or endocarditis. *S. aureus* expresses a large number of proteins that specifically impair the effectiveness of the innate immune system.²⁶⁻²⁸ These include factors that modulate antimicrobial peptides and phagocytic cells but also complement modulators (Table 1). The first anti-opsonic molecule identified in *S. aureus* was Staphylococcal protein A (SpA) (42 kDa), a surface protein that can also be released in the extracellular milieu.²⁹ By binding the Fc part of IgG molecules, SpA covers the bacterial surface with IgG molecules in a manner that prevents recognition by Fc-receptors on phagocytes. This way, SpA blocks Fc-receptor mediated phagocytosis. Furthermore, since SpA interferes with C1q binding it prevents classical pathway complement fixation as well.^{30,31}

The staphylococcal cell wall-associated protein Sbi (45 kDa) is also involved in immunoglobulin binding.³² The Sbi extracellular region (Sbi-E) consists of four major domains including two IgG-binding regions. Recent data have indicated that the other two domains have

Table 1. Pathogens that directly inactivate complement proteins

Pathogen	Reference
IgG	
<i>Staphylococcus aureus</i> (SpA, Sbi, SAK) [^]	30-32,34
<i>Streptococcus pyogenes</i> (M-protein, IdeS, SpeB)	52,62,63
<i>Porphyromonas gingivalis</i> (Arg- and Lys- gingipains)	106
C1q	
<i>Pseudomonas aeruginosa</i> (elastase, alkaline protease)	98
C3/C3b	
<i>Staphylococcus aureus</i> (Sbi, SAK)	33,34
<i>Streptococcus pyogenes</i> (SpeB)	65,66
<i>Streptococcus pneumoniae</i> (PspC)	78
<i>Pseudomonas aeruginosa</i> (elastase, alkaline protease)	98
<i>Serratia liquefaciens</i> (metalloprotease)	99
<i>Yersinia pestis</i> (Pla)	101
<i>Porphyromonas gingivalis</i> (Arg- and Lys-gingipains)	105
C4	
<i>Serratia liquefaciens</i> (metalloprotease)	99
AP C3 convertases	
<i>Staphylococcus aureus</i> (SCIN, SCIN-B, SCIN-C, Efb, Ecb)	40,43
CP/LP C3 convertases	
<i>Staphylococcus aureus</i> (SCIN, SCIN-B, SCIN-C)	40
C5 convertases	
<i>Staphylococcus aureus</i> (Efb, Ecb)	43
C5a	
<i>Streptococcus pyogenes</i> (scpA)	67
<i>Streptococcus agalactiae</i> (scpB)	71
C5	
<i>Staphylococcus aureus</i> (SSL7)	38
<i>Porphyromonas gingivalis</i> (Arg- and Lys- gingipains)	103
C5b-9	
<i>Streptococcus pyogenes</i> (SIC, CRS)	59,60
<i>Serratia liquefaciens</i> (metalloprotease)	99
<i>Salmonella typhimurium</i> (Rck)	120
<i>Yersinia enterocolitica</i> (Ail)	121
<i>Borrelia burgdorferi</i> (CD59-like)	137
Properdin	
<i>Streptococcus pyogenes</i> (SpeB)	64

[^]bacterial complement inhibitor is indicated in parenthesis.

complement-inhibitory functions since they bind C3 via the thioester-containing C3dg fragment and the C3a anaphylatoxin domain.³³

Another way for *S. aureus* to inhibit phagocytosis is via the production of Staphylokinase (SAK) (16 kDa).³⁴ SAK targets plasminogen (PLG) on the bacterial surface and activates it into plasmin (PL). PL can cleave two major opsonic molecules, IgG (at the hinge region) and C3b.^{35,36} It was shown that the conversion of PLG to PL by SAK leads to removal of IgG and C3b on the bacterial surface. Thus, SAK impairs both IgG and complement dependent phagocytosis by human neutrophils.³⁴

Staphylococcal superantigen-like (SSL) protein 7 is a 23 kDa protein that belongs to a family of 11 different SSLs that are clustered on staphylococcal pathogenicity island 2 (*SapIn2*).^{37,38} SSL7 binds to IgA but also prevents formation of the MAC via specific binding to C5.³⁸ SSL7 binding to C5 possibly also prevents formation of C5a which seems more important for clearance of *S. aureus* because its thick cell wall renders it resistant to MAC-mediated lysis.

The importance for inhibiting C5a-mediated responses was already indicated by the Chemotaxis inhibitory protein of *S. aureus* (CHIPS) (14 kDa).³⁹ This extracellular protein binds two major chemotactic receptors on neutrophils, the C5a receptor (C5aR) and the formyl peptide receptor (FPR). By binding these receptors, CHIPS effectively blocks neutrophil chemotaxis towards C5a and formylated peptides (e.g., fMet-Leu-Phe) (fMLP).

Finally, *S. aureus* excretes a number of proteins that specifically block convertase activity. The first described convertase inhibitor is Staphylococcal complement inhibitor (SCIN) (10 kDa), an excreted protein that binds C3 convertases and interferes with all complement pathways.⁴⁰ SCIN binding to surface-bound C3 convertases has two major consequences. First, the binding of SCIN to C4b2a and C3bBb impairs the enzymatic activity of the convertases. Second, SCIN stabilizes C3 convertases which impairs the formation of new convertases. These actions result in very potent inhibition of phagocytosis and C5a production.⁴⁰⁻⁴² Next to production of SCIN, *S. aureus* also excretes the proteins SCIN-B and SCIN-C. Both proteins are homologues of SCIN that share the exact same mechanism of action.⁴³

Extracellular fibrinogen binding protein (Efb) (16 kDa) and Extracellular complement binding protein (Ecb) (10 kDa) (also known as Efb homologous protein Ehp⁴⁴) also target surface-bound convertases.⁴³ Efb was first shown to bind fibrinogen but subsequently was shown to bind to the C3d region of C3 as well.⁴⁵⁻⁴⁷ Ecb is a homologue of Efb that also binds C3, but does not harbor the fibrinogen-binding domain.^{43,44} Efb and Ecb were found to specifically block all C3b containing convertases (C3 convertases of the AP and C5 convertases of the CP, LP and the AP), thereby inhibiting C3b deposition via the AP and more potently block C5a formation via all pathways. Inhibition of C5a formation was demonstrated in vivo using a mouse model for immune complex diseases. Addition of Ecb prior to induction of the Arthus reaction resulted in complete inhibition of neutrophil influx via C5a production.⁴³ Next to targeting C3b containing convertases it was also shown that fluid phase interactions of Efb and Ecb with C3 can inhibit proteolytic activation of C3.^{44,46}

Streptococci

Group A streptococcus (GAS, *Streptococcus pyogenes*) is a highly virulent human pathogen responsible for a large number of severe (septicemia, necrotizing fasciitis) and mild (pharyngitis) infections. The best known virulence factor in GAS is the surface-bound M-protein, which exists in more than 100 antigenically distinguishable variants that all have different functions.⁴⁸⁻⁵⁰ Several M proteins are known to bind FH, FHL-1 and Fc regions of IgG. IgG binding results in inhibition of the CP while binding of FH and FHL-1 interferes with activation of the AP. Recently it was shown that several GAS also use M-proteins to attract C4BP to the surface and thereby interfere with activation of the classical pathway.^{51,52} Furthermore, cell-bound C4BP still functions as cofactor for FI and thus contributes to degradation of C4b.

The highly virulent GAS serotype M1, does not use M proteins to bind FH or FHL-1 but expresses the surface-bound Fba protein to bind these complement regulators.⁵³ Fba was shown

to contribute to M1 GAS survival in human whole blood. Furthermore, it was demonstrated that binding of Fba to FHL-1 promotes entry of GAS into human epithelial cells.^{53,54}

Recently, streptococcal collagen-like protein Scl1.6 of M6-type GAS was characterized as a FH/FHL-1 binding protein. Scl1.6-bound FH retained cofactor activity for factor I-mediated cleavage of C3b suggesting a role in GAS evasion of complement-mediated opsonization.⁵⁵

Mrp4 (M-related protein 4) was shown to bind to fibrinogen.⁵⁶ Inactivation of Mrp4 results in loss of fibrinogen binding and a twofold increase in C3b deposition on the bacterial surface. It was shown that fibrinogen binding was responsible for inhibition of the CP resulting in a novel mechanism to resist phagocytosis. This might be a common mechanism among GAS since half of the clinical isolates express Mrp.

GAS also express molecules that specifically bind to CR3 and FcγRIIIB. M5 protein interferes with CR3-dependent association between GAS and neutrophils, inhibiting the ingestion of bacteria. Isolated human neutrophils killed an M5-negative GAS strain, but not the wild-type parent strain.⁵⁷ The GAS Mac-1-like protein Mac shares homology with the alpha-subunit of CR3. Despite the homology with CR3 its function is not related to complement modulation. Mac binds to CD16 (FcγRIIIB) and thereby blocks phagocytosis and production of reactive oxygen species, resulting in decreased pathogen killing.⁵⁸

Streptococcal Inhibitor of Complement (SIC) (31 kDa) is the only bacterial protein that specifically binds components of the terminal complement pathway. SIC is excreted by M1 type GAS and binds to soluble C5b-7 to prevent its insertion into cell membranes.^{59,60} The protein Closely Related to SIC (CRS) was found in serotype M57 and shares many characteristics of SIC, including its ability to bind C6 and C7. Since streptococci are naturally resistant to complement-mediated cytolysis, MAC inhibition was suggested not to be the sole function of SIC. In serotypes M12 and M55 the protein Distantly Related to SIC (DRS) was found. DRS has a limited sequence similarity with the C-proximal half of SIC and its biological function is unknown.⁶¹

Finally, GAS produce several proteases that modulate complement functions. The cysteine proteinases IdeS and SpeB cleave microbe-bound IgG molecules in the hinge region to remove the Fc region and prevent Fc-mediated phagocytosis and killing.^{62,63} Next to cleavage of IgG, SpeB can also cleave properdin and C3.⁶⁴⁻⁶⁶ This way, SpeB blocks opsonization and bactericidal activity by neutrophils to GAS. Terao et al showed that a SpeB-deficient *S. pyogenes* had a lower survival rate in mice than its isogenic parent.⁶⁶ The cell wall anchored C5a peptidase (scpA) cleaves the anaphylatoxin C5a fragment but not its precursor C5 and thereby inhibits chemotaxis of neutrophils towards the site of infection.⁶⁷ ScpA is a well-established virulence factor as determined in animal models where mutant strains were better cleared than wild-type strains.⁶⁸

Group B *streptococcus* (GBS, *S. agalactiae*) is a major cause of severe neonatal infections including pneumonia, meningitis and septicemia. Relatively few complement modulators have been described in GBS thus far. Like GAS, GBS expresses a surface-bound protein (β protein or Bac) that binds to FH via its C-terminal domain. By capturing FH to the bacterial surface, β protein mediates FI-mediated degradation of C3b degradation.⁶⁹ β protein also binds to the Fc-part of human IgA but the biological relevance is still unknown.⁷⁰ GBS also produce the cell wall anchored C5a peptidase (called scpB in GBS).⁷¹ Interestingly, ScpB is highly specific for human C5a.⁷²

S. pneumoniae causes a variety of infections including upper respiratory infections, meningitis and pneumonia. A possible role for the surface-bound pneumolysin in complement evasion by *S. pneumoniae* remains uncertain since several studies demonstrated that it specifically activates the CP in an IgG-independent manner.^{73,74} Pneumococcal surface protein A (PspA) was also proposed as a complement inhibitor although the exact mechanism of action remains unknown.⁷⁵ Nevertheless, PspA is required for full virulence in mouse models of pneumococcal infections. Pneumococcal surface protein C (PspC, also known as SpsA, CbpA and Hic) binds to FH via its N-terminal part. This binding results in inhibition of the AP.^{76,77} Furthermore, PspC can also directly bind to C3, IgA and the human polymeric Ig receptor.⁷⁸ Recent studies have demonstrated that PspA and PcpC have a synergistic effect on complement inhibition.⁷⁹

Gram-Negative Pathogens

Unlike their Gram-positive counterparts, Gram-negative bacteria do not possess a cell wall and are susceptible to direct killing by complement via insertion of C5b-9. Therefore most Gram-negative pathogens have evolved mechanisms to block complement activation at various stages along the cascade and in some instances a single pathogen may possess multiple such mechanisms. Because of the extensive number of studies describing complement evasion strategies used by numerous Gram-negative pathogens, this section discusses the broad mechanisms of complement evasion with select illustrative examples.

Complement Regulation by Smooth Lipopolysaccharide and Capsule

Several Gram-negative bacteria express smooth lipopolysaccharide (LPS) which consists of repeating saccharide units beyond the core saccharide; each repeat is called an O-unit that usually comprises two to six sugars and the entire structure made up of repeating units is called the O-antigen. In addition, several of these pathogens express capsular polysaccharide which also plays a key role in virulence.

Smooth LPS from organisms such as *Klebsiella pneumoniae* can directly activate the alternative pathway.⁸⁰ Direct binding of C1q to outer membrane components, for example, OmpK36 of *K. pneumoniae*,⁸¹ porin II of *Aeromonas hydrophila*⁸² or to the surface of *Salmonella minnesota*⁸³ can activate the classical pathway in an antibody independent fashion. Bacterial resistance to complement-mediated killing may be the result of either an inability to activate complement or a failure of activated complement to effect killing. *K. pneumoniae* strains bearing certain capsular polysaccharide (called the K antigen) types, for example, K1, K10 or K16, impede complement activation by masking the O-antigen.⁸⁴ Similarly, the S-layer protein of certain *Aeromonas* species prevents complement activation by masking LPS.⁸² Although the O-antigen activates the alternative pathway of complement, C3b activated in this manner binds distant from the bacterial membrane and fails to form C5b-9.⁸⁰ Isogenic rough mutants (LPS that lack O-antigenic repeats) bind C3b close to the bacterial membrane with formation of C5b-9 and are serum sensitive. The O-antigen may regulate the classical pathway by preventing C1q from binding to somatic antigens.^{85,86}

Many medically important Gram-negative pathogens such as *Neisseria meningitidis*, *N. gonorrhoeae*, *Haemophilus influenzae* Type b, nontypeable *H. influenzae*, *H. ducreyi*, *Campylobacter jejuni*, *Pasteurella multocida* and *Yersinia pestis* do not express an O-antigen. Instead, their LPS consist of up to six glycan residues that extend beyond the core heptose and therefore are often referred to as lipooligosaccharide (LOS). Some (for example, *N. meningitidis*, *H. influenzae* Type b, *C. jejuni*, *P. multocida* and *Y. pestis*) express a capsule, which enhances virulence probably by preventing opsonophagocytosis and/or enhancing serum resistance. The molecular basis for capsule-mediated serum resistance has not been fully elucidated.

Phase Variation and Lipooligosaccharide Sialylation

Pathogens such as *N. gonorrhoeae*, nontypeable *Haemophilus influenzae* and *Moraxella catarrhalis* that lack a capsule and also do not express smooth LPS must rely on alternative means to evade complement. As discussed below, binding of complement inhibitory proteins is an important mechanism of complement evasion for some of these bacteria. In addition, these species (and others, such as *Campylobacter* and *Helicobacter*) have the ability to alter their surface structures through a mechanism called phase variation, that is, stochastic, high-frequency switches in expression of surface structures which enables a bacterium to generate diversity.⁸⁷⁻⁸⁹ This diversity allows a population to “preemptively” escape an immune response to the surface antigen. A mechanism of phase variation involves changes in the length of simple sequence repeat regions within genes. Several LOS biosynthesis genes are phase variable which allows a single strain to vary LOS glycans which facilitates immune escape. The LOS structures of *Neisseria*, *Haemophilus* and *Campylobacter* can mimic host molecules such as glycosphingolipids and GM1 gangliosides;^{90,91} while this may provide a mechanism of immune escape in some instances, the generation of autoantibodies can result in neuronal damage, as seen with Guillain-Barre syndrome following *C. jejuni* infections.⁹² In addition, certain LOS structures can be substituted with sialic acid which may serve to decrease

C3 and C4 binding to bacteria because LOS has been identified as a target for these complement components on *Neisseriae* and *Haemophilus*.⁹³⁻⁹⁶ Increased factor H binding upon sialylation of the lacto-*N*-neotetraose LOS structure is unique to *N. gonorrhoeae* and requires the concomitant presence of gonococcal porin.⁹⁷

Proteolytic Cleavage of Complement Components

Several bacteria secrete proteases that degrade complement components. These include *Pseudomonas aeruginosa* elastase and alkaline protease that cleave C1q and C3,⁹⁸ *Serratia liquefaciens* metalloprotease that degrades C4, C3, C5-9,⁹⁹ *S. marcescens* protease that inactivates complement,¹⁰⁰ *Y. pestis* plasminogen (Pla) protease which also possesses C3 cleaving activity¹⁰¹ and *Porphyromonas gingivalis* Arg- and Lys-gingipains that degrade Ig, C3, C5 and the C5a receptor.¹⁰²⁻¹⁰⁶ Activation of C1 and cleavage of C5 by *P. gingivalis* results in complement activation, C5a generation and neutrophil recruitment with resulting inflammatory response that characterizes periodontal disease.^{107,108}

Rather interestingly, protease-deficient mutants of *P. gingivalis* bind greater amounts of both C3b and C5b-9 and are phagocytosed more readily,¹⁰⁵ yet remain serum resistant. Recent data have shown that complement resistance in *P. gingivalis* does not depend on protease production but requires expression of cell surface polysaccharide, which is an anionic branched mannan structure.^{109,110} Similarly, loss of *Y. pestis* Pla expression does not affect resistance to human complement, although Pla-negative mutants show decreased virulence murine infection models.^{100,111} Complement cleaving proteases may serve to limit anaphylatoxin generation and neutrophil influx rather than serving as the primary mechanism of serum resistance.

Binding of Complement Inhibitory Molecules to the Bacterial Surface

Over the past decade numerous pathogens have been shown to bind to complement inhibitory molecules which results in complement regulation on the bacterial surface. The main fluid-phase complement inhibitory molecules are C1-INH, C4BP, factor H and its alternatively spliced variant, FHL-1. Table 2 lists the pathogens and the molecule(s) on these bacteria that bind to complement inhibitory molecules. The bacteria bind these inhibitors in a manner that permits bound proteins to remain functionally active and perform their complement regulation functions.

In certain instances, binding of a complement regulator may not necessarily enhance serum resistance. As an example, C4BP binds to *N. gonorrhoeae* Type IV pilin protein through a relatively weak interaction that is best appreciated in hypoosmolar buffers.¹¹² It was postulated, although not formally proven, that this interaction could contribute to serum resistance. In contrast, binding of C4BP to gonococcal porin protein directly contributes to complement evasion.¹¹³ Another example is the C4BP-*Moraxella catarrhalis* interaction, where purified C4BP binds to bacteria and preincubation of bacteria with C4BP followed by addition of C4BP-depleted serum results in cleavage of C4b.¹¹⁴ However, minimal C4BP binding occurs when normal human serum is used as a source of C4BP and cofactor activity is not seen in this setting.¹¹⁵ The same *M. catarrhalis* protein (UspA2) that binds pure C4BP also binds to vitronectin; the latter prevents C9 polymerization within the MAC complex and enhances serum resistance.¹¹⁵

Binding of complement inhibitors to some pathogens is restricted to certain mammalian hosts. Host-specific serum resistance in turn may play a role in determining species specificity of infections. As an example, most strains of *N. gonorrhoeae* causes natural infection only in humans, bind only human C4BP and resist only human serum. Select gonococcal strains that express the porin 1B molecule bind chimpanzee C4BP and are resistant to chimpanzee serum.¹¹⁶ Interestingly, experimental urethritis in chimpanzees can be caused by porin 1B, but not porin 1A, strains;¹¹⁷ the latter do not bind chimpanzee C4BP and are sensitive to killing by chimpanzee complement. In contrast, *B. burgdorferi* can infect a wide range of animals binds to factor H from different species.¹¹⁸ Similarly, *Y. pestis* that infects a wide array of animals and is resistant to complement from several sources¹¹⁹ exhibits broad specificity of C4BP binding.¹¹⁶

Table 2. Pathogens that capture human complement regulators

Pathogen	Reference
C1 inhibitor	
<i>Bordetella pertussis</i> (protein coded by <i>bvg</i> locus) ^A	144
Factor H and/or factor H-like protein 1 (FHL-1)	
<i>Streptococcus pyogenes</i> (M-protein, Fba, Scl1.6)	49,53,55
<i>Streptococcus agalactiae</i> (β protein)	69
<i>Streptococcus pneumoniae</i> (PspC)	76,77
<i>Yersinia enterocolitica</i> (YadA protein)	145
<i>Neisseria gonorrhoeae</i> (porin 1A; porin 1B when lipooligosaccharide is sialylated)	146
<i>Neisseria meningitidis</i> (lipoprotein GNA1870)	147
<i>Pseudomonas aeruginosa</i> (elongation factor Tuf)	148
<i>Borrelia burgdorferi</i> (CRASP, Erp)	135
<i>Borrelia afzelii</i> (CRASP, Erp)	135
<i>Borrelia hermsii</i> (FHBP19, FHBP28)	138,139
<i>Treponema denticola</i> (FhbB)	141
C4b-binding protein	
<i>Streptococcus pyogenes</i> (M-protein)	52
<i>Escherichia coli</i> K1	149
<i>Neisseria gonorrhoeae</i> (porin 1A, select porin 1B and Type IV pilin)	112,113
<i>Bordetella pertussis</i> (filamentous hemagglutinin)	150
<i>Moraxella catarrhalis</i> (ubiquitous surface protein (Usp)A2)	114
<i>Neisseria meningitidis</i> (porin A)	151
Nontypeable <i>Haemophilus influenzae</i> , select strains	152
Vitronectin	
<i>Moraxella catarrhalis</i> (UspA2)	115
<i>Haemophilus influenzae</i> (Haemophilus surface fibrils (Hsf))	153

^Abacterial ligand for complement regulator is indicated in parenthesis.

Prevention of C9 Polymerization and Membrane Attack Complex Insertion

Killing of Gram-negative bacteria requires assembly of terminal complement components (MAC) in the bacterial membrane. Polymerization of C9 within this complex is important for effective killing. One such mechanism of preventing effective C5b-9 formation is binding of vitronectin to bacteria such as *M. catarrhalis* as described above, which restricts C9 polymerization to three molecules of C9 per MAC complex. Expression of the Rck (Resistance to complement killing) protein of *S. typhimurium* restricts C9 polymerization.¹²⁰ Proteins homologous to Rck in *Y. enterocolitica* and *Y. pestis* called Ail (Adhesion and invasion locus) also mediate resistance to complement.^{119,121} Although the molecular basis for serum resistance mediated by Rck and Ail proteins have not been fully elucidated, it is possible that these proteins may also serve as ligands for vitronectin, which in turn prevents C9 polymerization.

Another mechanism of complement regulation at the level of the terminal complement pathway involves extrusion of the membrane attack complex as occurs with *Salmonella minnesota*. C5b-7 complexes that are assembled on the bacterium are released upon the incorporation of C8 into the complex.^{122,123} The molecular configuration of C5b-9 in the bacterial membrane also appears to be an important factor in determining the fate of the bacterium; Joiner et al showed that C5b-9 complexes (bactericidal and nonbactericidal MAC) were associated with different outer membrane proteins in serum sensitive and serum resistant *N. gonorrhoeae*, respectively.¹²⁴

Blocking Antibodies

As early as 1894, Pfeiffer described a phenomenon where animals given an excess of immune serum were sometimes more susceptible to the challenge organism.¹²⁵ In 1942, Thomas et al demonstrated that convalescent sera obtained from patients with meningococcal disease sometimes had less bactericidal activity than acute (nonimmune) serum.¹²⁶ Subsequently it was demonstrated that certain immune IgA1 directed against serogroup C meningococcal capsule,¹²⁷ or immune IgG against serogroup W-135 meningococcal capsule¹²⁸ could block killing by otherwise bactericidal antibodies. The Fab fragment of anti-capsular IgA1 was sufficient to block IgG-mediated killing. Other pathogens against which blocking Ig has been demonstrated include *Brucella abortus*,¹²⁹ *B. melitensis*¹³⁰ and *P. aeruginosa*.^{131,132}

The role of blocking antibodies in enhancing serum resistance and in virulence of *N. gonorrhoeae* has been best characterized. Antibodies against Rmp (Reduction modifiable protein, or Protein III) antibodies were shown to block killing by otherwise bactericidal antibodies. Rather interestingly, the presence of blocking antibodies (either in the presence or absence of bactericidal antibodies) resulted in increased C3 and C9 binding to gonococci.¹³³ It has been postulated that in the presence of blocking antibodies, C3 is diverted to different targets and results in the formation of nonbactericidal C5b-9.¹³³ Plummer et al subsequently showed that women with antibodies against *N. gonorrhoeae* Rmp were at increased risk of acquiring gonorrhea.¹³⁴ While the exact mechanism of blocking still remains enigmatic, this under-appreciated complement evasion mechanism may be used by diverse bacterial species.

Spirochetes

Borreliae

Lyme disease is a vector-borne inflammatory disorder that can be caused by several Borreliae of which *Borrelia burgdorferi*, *B. afzelii* and *B. garinii* are the best known. These spirochetes are well-known to escape the complement system by acquisition of host regulators (Table 2).⁴⁹ *B. burgdorferi* and *B. afzelii* express five Complement regulator-acquiring surface proteins (CRASP) that bind FH and/or FHL-1.¹³⁵ Furthermore, the Osp E/F related proteins (Erp) were also described to bind factor H.¹³⁶ *B. burgdorferi* can also resist formation of the MAC by the CD59-like (80 kDa) surface protein that shares functional similarities with CD59, the human cell-bound inhibitor of MAC.¹³⁷ CD59-like prevents polymerisation of C9 and thus formation of MAC.

Relapsing fever is a rapidly progressive and severe septic disease caused by Borreliae that are either transmitted by soft bodied ticks (e.g., *B. hermsii* and *B. parkeri*) or by lice (*B. recurrentis*). *B. hermsii* and *B. parkeri* and *B. recurrentis* have all been shown to bind FH.^{138,139} In *B. hermsii*, two proteins were found to be responsible for FH binding: FHBP19/FhbA and FHBP28. *B. recurrentis* was shown to also capture C4BP in a way that retained functional activity of the CP regulator.¹⁴⁰ A more detailed overview of complement modulation by Borreliae is provided by Kraiczky and Wurzner.⁴⁹

Treponema

Treponema denticola belongs to the normal flora of the human oral cavity and is an important cause of acute and chronic to periodontal disease. *T. denticola* encodes a 14 kDa surface protein that specifically binds FHL-1 but not FH.^{141,142} This FHL-1 binding protein B (FhbB) captures FHL-1 to the surface of *T. denticola* although it was not shown to serve as a cofactor for factor I-mediated cleavage of C3b. Therefore it was hypothesized that FhbB might facilitate adherence to FHL-1 expressing cells.

Discussion

Human bacterial pathogens have evolved a wide variety of strategies to prevent elimination via the complement system. Since complement activation occurs via multiple recognition molecules and effector mechanisms, bacterial complement modulators are found to target several levels of the cascade. Some bacteria modulate the initial steps of complement activation by blocking recognition molecules or masking their surface. Other bacteria produce surface-exposed or secreted proteins that specifically block complement proteolysis. A large number of these proteins are aimed at the central enzymatic steps (convertases) either directly or by binding human regulators of these convertases. All these individual strategies are effective ways to circumvent complement attack and in some cases the *in vivo* efficacy has been proven. Nevertheless, most bacteria use more than one strategy to withstand the complement attack, preferably with different molecules. Both the redundancy and multiplicity of complement evasion strategies are critical determinants for the survival of a successful pathogen in a population.

Because Gram-negative bacteria and spirochetes do not possess a cell wall, they have evolved ways to block incorporation of the lytic C5b-9 complex. However, regardless of their thick cell wall, terminal pathway evasion has also been observed in both *S. aureus* (SSL7) and Group A streptococcus (SIC). Although the importance of SSL7 probably lies in blocking the formation of the C5a anaphylatoxin, SIC seems to be specific for the MAC. Gram-negatives seem to preferentially use surface-bound molecules to evade complement, rather than secreted proteins that appear to abound among Gram-positive pathogens. It remains to be determined whether this difference truly exists or is caused by a bias on part of investigators. Nevertheless, both types of molecules are highly important subjects of research since they have therapeutic applications. Being soluble, secreted anti-inflammatory proteins are likely candidates for therapeutic treatment of complement-associated diseases. Surface-bound proteins could be exploited to design molecules and/or vaccines that interfere with their action and thus help to treat infectious diseases. In order to achieve this, more insight into the molecular interactions is required. The rapidly increasing knowledge of the structural organization of complement proteins will certainly help to understand the mechanisms of the inhibitory actions of these bacterial complement inhibitors and facilitate their use in therapeutics.¹⁴³

Because the complement system is an evolutionary conserved protein cascade, the observed host specificity of bacterial complement inhibitors is very striking. The Gram-positive proteins SAK, SCIN and ScpB and the Neisserial porin molecules display strict human specificity. In case of Neisserial porin which exclusively binds human C4BP, host-specificity of the complement inhibitor binding may contribute to host specificity of the infection. Understanding the basis for species specificity of complement evasion will hopefully lead to development of better animal models for infectious diseases, for example, creating transgenic mice for human complement inhibitory proteins to study pathogens such as *N. gonorrhoeae*.

Understanding how bacteria block complement activation is essential to further our insight into bacterial pathogenesis. The ongoing discovery of bacterial complement modulatory proteins and their exact mechanism of action will greatly enhance our understanding of bacteria-host interactions.

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Modulation of Innate Immune Signalling Pathways by Viral Proteins

Orla Mulhern, Barry Harrington and Andrew G. Bowie*

Abstract

In recent years an explosion of information on the various strategies viruses employ to penetrate and hijack the host cell has led to an increased understanding of both viruses themselves and the host immune response. Despite their simplicity viruses have evolved a number of strategies to not only evade the host immune response but also modulate immune signalling to favour their replication and survival within the cell. The innate immune response provides the host with an early reaction against viruses. This response relies heavily upon the recognition of pathogen-associated molecular patterns (PAMPs) by a number of host pattern recognition receptors (PRRs), leading to activation of innate signalling pathways and altered gene expression. In this chapter we outline the signalling pathways that respond to viral infection and the various methods that viruses utilize to evade detection and modulate the innate immune response to favour their survival.

Introduction

The host immune response relies upon the detection of PAMPs to initiate the innate immune response and ensuing adaptive response. These PAMPs are usually highly conserved molecular patterns that are produced by the invading organism and are essential to the life cycle of the organism. Viral PAMPs include DNA, dsRNA, ssRNA and various viral proteins. Upon recognition of these PAMPs by host PRRs, signalling pathways are initiated which result in the activation of transcription factors such as the interferon (IFN) regulatory factors (IRFs) and nuclear factor κ B (NF κ B). Altered gene expression in response to this, especially the upregulation of Type I IFNs, induces an antiviral state in the cell. Given the importance that host PRRs play in challenging viral infection, it is critical that viruses adopt mechanism to avoid them. Indeed viruses not only evade, but also subvert PRRs, by turning particular signalling pathways on for the benefit of the life cycle of the virus. Interestingly, by using viruses to study the interaction between host and pathogen many lessons can be learnt, not only related to viral evasion, but also to the host immune response. Thus viruses can serve as immunological tools to define important host responses. The viruses referred to in this chapter and their classification and common abbreviation are shown in Table 1.

PKR

Double-stranded (ds) RNA-dependent protein kinase (PKR) was the first PRR shown to recognise a product of viral replication, namely dsRNA. PKR is induced by IFN and in a critical host antiviral defence mechanism and as such it is both a PRR and an IFN effector protein.¹ The discovery of PKR explained the observation that in cells pretreated with IFN, the translation of viral mRNAs were blocked.^{1,2} PKR is activated in response to viral, cellular or synthetic dsRNA of 30

*Corresponding Author: Andrew G Bowie—School of Biochemistry and Immunology, Trinity College Dublin, Dublin 2, Ireland. Email: agbowie@tcd.ie

Table 1. Virus nomenclature

Abbreviation	Virus	Family	Genome
AdV	Adenovirus	<i>Adenoviridae</i>	dsDNA
CMV	Cytomegalovirus	<i>Herpesviridae</i>	dsDNA
EBV	Epstein-barr virus	<i>Herpesviridae</i>	dsDNA
EMCV	Encephalomyocarditis virus	<i>Picornaviridae</i>	ssRNA (+)
HCV	Hepatitis C virus	<i>Flaviviridae</i>	ssRNA (+)
HIV	Human immunodeficiency virus	<i>Retroviridae</i>	ssRNA (RT)
HPV	Human papilloma virus	<i>Papovaviridae</i>	dsDNA
HSV	Herpes simplex virus	<i>Herpesviridae</i>	dsDNA
IAV	Influenza A virus	<i>Orthomyxoviridae</i>	ssRNA (-)
JEV	Japanese encephalitis virus	<i>Flaviviridae</i>	ssRNA (+)
MMTV	Mouse mammary tumor virus	<i>Retroviridae</i>	ssRNA (RT)
PV	Polio virus	<i>Picornaviridae</i>	ssRNA (+)
RSV	Respiratory syncytical virus	<i>Paramyxoviridae</i>	ssRNA (-)
RV	Rabies virus	<i>Rhabdoviridae</i>	ssRNA (-)
VACV	Vaccinia virus	<i>Poxviridae</i>	dsDNA
VSV	Vesicular stomatitis virus	<i>Rhabdoviridae</i>	ssRNA (-)
WNV	West Nile virus	<i>Flaviviridae</i>	ssRNA (+)

+: Positive-stranded RNA; -: Negative-stranded RNA; RT: Reverse transcribed RNA.

nucleotides or longer, such as poly(I:C). In addition PKR can be activated by single-stranded (ss) RNA molecules with internal dsRNA structure, as in the case of adenovirus (AdV) virus-associated Type I (VAI) RNA and human immunodeficiency virus (HIV) transactivation-responsive element (TAR) RNA.^{3,4} PKR can also be activated by cell stresses and through binding to PKR-associated activator (PACT).^{5,6} More recently it has been demonstrated that single stranded RNA (ssRNA) with a 5'-triphosphate (ppp), which may have limited secondary structure, can activate PKR.⁷

PKR inhibits translation through the phosphorylation of the alpha subunit of eukaryotic initiation factor 2 (eIF-2 α). PKR not only signals to eIF-2 α but also to the signal transducer and activator of transcription (STAT), NF κ B, IRF1, p53, c-Jun N-terminal MAP kinase (JNK) and p38 MAP kinase pathways.⁸⁻¹⁰ PKR may also function in Toll-like receptor 3 (TLR3) signalling.¹¹ As shown in Figure 1, unactivated PKR is in a monomeric form in unstimulated cells. Recognition and binding of dsRNA molecules by PKR occurs through the two N-terminal dsRNA binding motifs in PKR.¹² Conformational changes then result in PKR homodimerisation and autophosphorylation. This autophosphorylation occurs in a stretch of amino acids termed the activation segment. Within this region phosphorylation of residues thr-446 and thr-451 are of particular importance in stabilisation of PKR dimerisation and leading to increased kinase activity. Phosphorylation of eIF2 α then occurs at ser-51, which prevents eIF2 α facilitating translation of either host or viral mRNAs.

Given the role PKR plays in the host response to viruses, it is of importance for the successful propagation of the virus to evolve methods of evading PKR. There are numerous ways that viruses have devised to prevent or suppress PKR activation, as shown in Figure 1. Firstly, viruses have evolved ways to sequester dsRNA molecules, which is not surprising considering the importance of dsRNA as a danger signal for viral infection. Vaccinia virus (VACV) is a large DNA virus which contains over 200 open reading frames, many of which encode proteins involved in virus-host interactions which show immune modulatory capabilities. The VACV E3L gene encodes the E3 dsRNA-binding protein which is an important virulence factor for the virus. E3 contains a highly conserved dsRNA-binding motif in the C-terminus and that this motif is important to virulence was shown by the fact that VACV expressing dsRNA-binding proteins instead of E3L sustain some

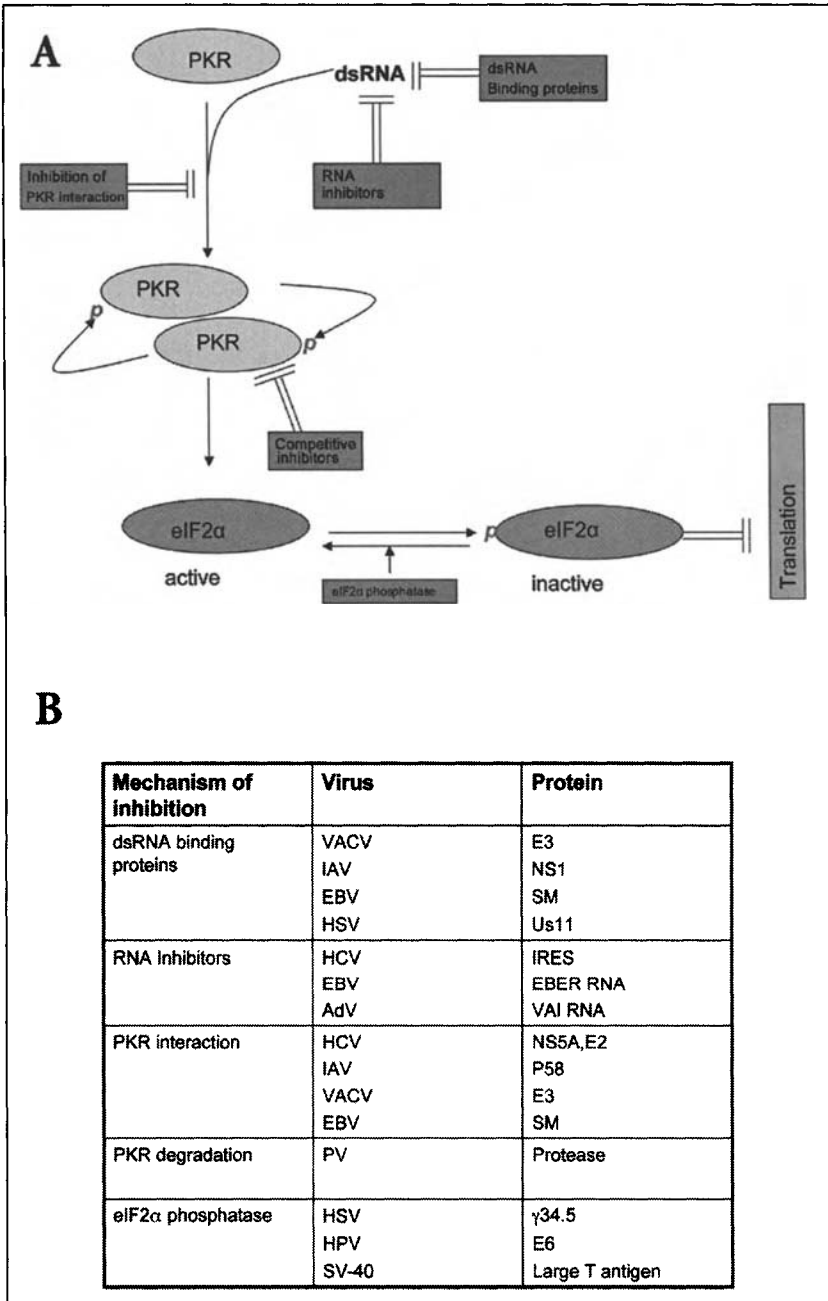


Figure 1. Activation and evasion of PKR signalling. A) schematic of the PKR signalling pathway showing points modulated by viruses. *p*, phosphorylated. B) Viral proteins shown to act on the PKR pathway. See text for details.

of the characteristics of the wild-type virus.¹³⁻¹⁶ One property of the multifunctional NS1 protein from influenza A virus (IAV) is its ability, to sequester RNA and thereby block PKR activation.^{17,18} Other viral proteins capable of binding dsRNA include the Epstein-Barr virus (EBV) protein SM

and the herpes simplex virus (HSV) protein Us11 which both contain the amino acid sequence arg-X-pro, shown to be part of a dsRNA recognition motif.^{19,20}

A second viral strategy to disrupt PKR function involves antagonistic viral nucleic acids. For example, the internal ribosome entry site (IRES) of Hepatitis C virus (HCV) has been shown to be able to bind to PKR in competition with dsRNA and prevent autophosphorylation and activation of PKR in vitro.²¹ EBV nucleic acid can also antagonise PKR, since EBV-encoded RNA 1 (EBER-1) and EBER-2 can bind PKR in vitro²²⁻²⁴ and EBER-1 can reverse the inhibitory effects of dsRNA on protein synthesis. AdV-encoded VAI RNA binds to PKR in competition with dsRNA but fails to lead to PKR autophosphorylation or activation.

Thirdly, some viral proteins can bind to and inhibit PKR, such as the HCV protein NS5A which inhibits PKR dimerization and activation.^{25,26} The envelope glycoprotein E2 of HCV encodes a second inhibitor of PKR which has sequence similarity to the phosphorylation site of eIF2 α ,²⁷ allowing it to act as a pseudosubstrate for PKR.²⁸ In a variation on this theme, IAV uses a host cellular chaperone protein, p58 as a PKR inhibitor. In uninfected cells p58 is complexed with Hsp40. IAV infection somehow causes disassociation of p58 from Hsp40, allowing p58 to interact with PKR to prevent its dimerization and activation.^{26,29} E3 from VACV is not only able to sequester dsRNA as described above, but it is also capable of directly binding to and inhibiting PKR.³⁰

A further viral strategy to disable PKR is poliovirus (PV)-induced PKR degradation,³¹ while herpes simplex virus (HSV) encodes a protein (the γ 34.5 gene product) which promotes dephosphorylation of eIF2 α .³² This strategy is also used by human papilloma virus (HPV), which encodes the protein E6 that also dephosphorylates eIF2 α .³³

Toll-Like Receptors (TLRs)

The second class of PRRs shown to play a role in the anti-viral immune response are the TLRs. The discovery of TLRs began with the identification of the *drosophila* protein Toll, a receptor that is expressed by insects and that was found to be essential for dorsoventral polarity during *drosophila melanogaster* embryogenesis.³⁴ Further studies showed that Toll has an essential role in insect innate immunity with regard to fungal infection.³⁵ Database searches eventually led to the identification of 13 mammalian TLRs with TLR1-10 found in humans and TLR1-13 found in mice (although TLR10 is defective in mice due to most of the gene being deleted). More recently the Toll pathway has been shown to be required for anti-viral immunity in *drosophila*.³⁶ TLRs serve to initiate the innate immune response and to orchestrate the ensuing adaptive response.

Recognition of Viral Nucleic Acid by TLR 3, 7, 8 and 9

Following the discovery of PKR, TLR3 was identified as the second PRR receptor for viral dsRNA, based primarily on two lines of evidence. Firstly, PKR-deficient mice could still respond to the synthetic dsRNA poly(I:C).^{37,38} Secondly TLR3^{-/-} mice showed impaired production of the cytokines TNF α , IL6 and IL12 and reduced expression of IFN- α and IFN- β when stimulated with poly(I:C) compared to wild type cells.³⁹ TLR3 has been shown to be present on the cell surface of fibroblasts, however TLR3 was also shown to localize to an intracellular vesicular compartment in myeloid dendritic cells (mDCs) and cannot be detected on their cell surface.^{40,41} This compartment has been assumed to be endosomal because inhibition of endosomal acidification abrogates poly(I:C) signalling. TLR3 has been shown to recognise dsRNA viruses such as reovirus, but interestingly also recognises West Nile virus (WNV), a positive ssRNA virus and murine cytomegalovirus (CMV), a DNA virus. This makes sense as both positive ssRNA and DNA viruses have been shown to have dsRNA replication intermediates.⁴² However many other positive ssRNA and DNA viruses do not elicit a TLR3 response, the reason for which is not yet clear. It has also been reported that TLR3 is important for cross-presentation during viral infection where uptake of viral antigens from dead or dying cells by dendritic cells are presented to cytotoxic CD8+ T-cells. Thus TLR3 may have a greater contribution to the immune response where cross-presentation is a predominant feature.⁴³

TLR9 was first shown to sense unmethylated CpG DNA present in bacterial genomes.⁴⁴ This unmethylated DNA is a potent immune stimulator and there is a marked reduction in the frequency of CpG motifs in vertebrate DNA (where cytosine residues are heavily methylated) which prevents host DNA being immune-stimulatory. Viral genomes also contain unmethylated CpG DNA motifs and HSV-1, a DNA virus, was shown to induce Type I IFNs in plasmacytoid dendritic cells (pDCs) through TLR9.⁴⁵ Further, TLR9 $-/-$ mice injected with HSV-2 did not produce IFN- α .⁴⁶ Like TLR3, TLR9 is located in intracellular endosomal compartments.⁴⁷

TLR7 and TLR8 are more closely related to TLR9 than any other TLRs with respect to their sequence similarity and signalling and have also been shown to reside in endosomal compartments where they detect viral ssRNA. Homodimers consisting of murine TLR7 or human TLR8 have been shown to detect guanosine- and uridine-rich oligonucleotides derived from HIV-1 and induce IFN- α and pro-inflammatory cytokines in DCs and macrophages.⁴⁸ Two ssRNA viruses, vesicular stomatitis virus (VSV) and IAV have been shown to elicit an IFN response through a TLR7-mediated pathway.⁴⁹ Diebold et al⁵⁰ determined that the recognition of RNA by TLR7 requires the presence of both ribose and uridine. Interestingly, both viral and 'self' RNA are capable of activating TLR7 equally efficiently if delivered to endosomes suggesting that TLR7 discriminates between viral and 'self' RNA based on their endosomal accessibility rather than sequence specificity. TLR7 would therefore also be able to detect 'self' RNA endocytosed from damaged tissue. Thus TLR3, TLR7/8 and TLR9 are capable of detecting viral dsRNA, ssRNA and dsDNA respectively (see Fig. 2).

TLR Responses to Viral Proteins

Apart from viral nucleic acids, some viral proteins also seem capable of activating PRR signalling pathways and to date the two TLRs shown to respond to viral proteins are TLR2 and TLR4 (Fig. 2). The first viral protein shown to induce cellular responses through TLRs was the fusion (F) protein of respiratory syncytial virus (RSV), which stimulates cytokine induction via TLR4 and CD14 in mice.⁵¹ RSV causes severe lower respiratory tract infections in children, but the importance the RSV-TLR4 interaction remains unclear as another group failed to see a role for TLR4 mediated cytokine induction in controlling RSV in infected mice.⁵² HSV is not only detected by TLR9 via its genomic DNA but also through TLR2, which has been shown to mediate cellular responses to viral glycoproteins. Although TLR2 $-/-$ mice display higher viral titres than wild type mice after HSV infection, they are much less susceptible to challenge with HSV and after infection show reduced cytokine production in the brain, leading to the suggestion that the HSV-TLR2 interaction may lead to encephalitis.⁵³ The human CMV envelope glycoproteins gB and gH have been shown to mediate NF κ B activation and cytokine induction through TLR2 from UV inactivated virions and thus in a replication-independent event. VACV has also been shown to stimulate cytokine production via TLR2, although the VACV ligand for this activation is still unknown. The activation of the innate immune system in response to VACV also requires TLR-independent production of IFN- β .⁵⁴

TLR Signalling Pathways Activated by Viruses

TLRs contain a highly conserved intracellular region of around 200 amino acids termed the Toll/interleukin-1 receptor (TIR) domain. It is the TIR domain that has been shown to be responsible for downstream signalling via homotypic interactions. Ligand binding by the TLRs causes receptor dimerisation resulting in the recruitment of TIR domain-containing adaptors, of which there are five: myeloid differentiation factor 88 (MyD88), MyD88 adaptor-like (MAL), TIR domain-containing adaptor inducing IFN β (TRIF), TRIF-related adaptor molecule (TRAM) and sterile alpha and HEAT/Armadillo motif (SARM).⁵⁵ The adaptors mediate downstream signalling in the cytoplasm (see Fig. 2). All TLRs signal through MyD88, with the exception of TLR3, which utilises only TRIF. Interestingly, TLR4 signals through four adaptors activating a MyD88-dependent pathway via MAL and a TRIF-dependent pathway via TRAM. As such TRIF is involved in TLR3 and TLR4 signalling. SARM has been shown to play a negative role in TLR3 and TLR4 TRIF-dependent signalling by directly binding to TRIF and inhibiting downstream

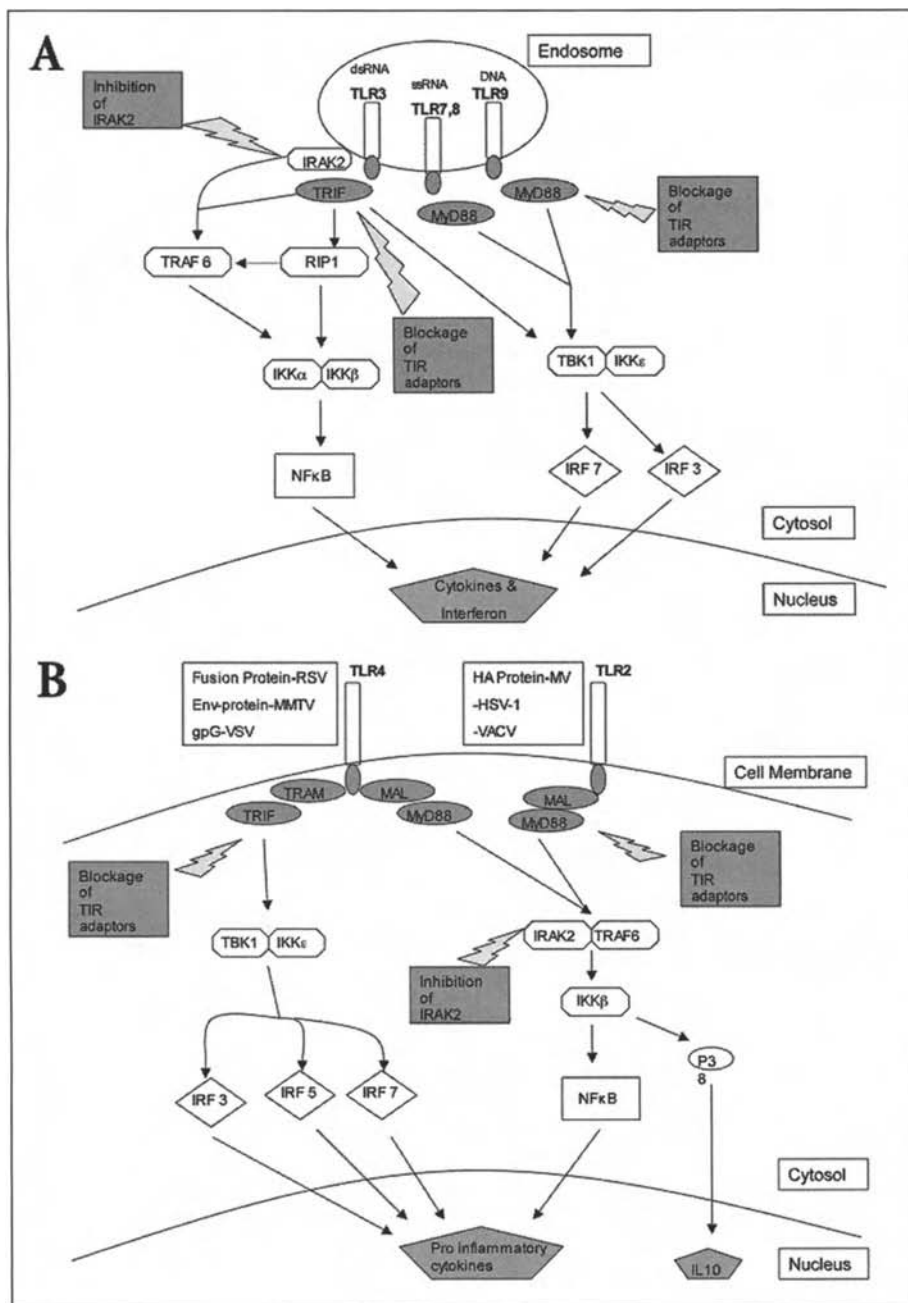


Figure 2. Activation and evasion of TLR signalling. Shown is a schematic of endosomal TLR (A) and membrane TLR (B) signalling pathways to NFκB and IRF activation showing points modulated by viruses (Previous page). Figure continued on next page.

C

Mechanism of inhibition	Virus	Protein
Antagonising TLR adaptor proteins	VACV HCV	A46 NS3/4A
Inhibition of IRAK2	VACV	A52

Figure 2, continued. C) Viral proteins shown to act on the TLR pathway. See text for details.

signals.⁵⁶ Differential utilisation of the various adaptor proteins by the TLRs results in the activation of multiple transcription factors including NF κ B, IRF3, IRF7 and cJun/ATF2. Of note, many cytokine promoters such as TNF are NF κ B-dependent, while Type I IFN induction requires IRF7 in the case of IFN α and IRF3, NF κ B and cJun/ATF2 in the case of IFN β . Thus TLR3 recruitment of TRIF activates NF κ B, IRF3, IRF7 and cJun/ATF2 resulting in the upregulation of IFN α/β and of the cytokines TNF α , IL6 and IL12. TLR2 activates NF κ B via MyD88 and Mal, while TLR4 can activate NF κ B via the MyD88 pathway and both NF κ B and IRF3 via TRIF. Finally, TLR7, 8 and 9 activate both NF κ B and IRF7 via MyD88.⁵⁵

Viral Evasion of TLR Signalling Pathways

For all the TLRs except TLR3, NF κ B activation can proceed via MyD88.⁵⁵ MyD88 dependent signalling results in the recruitment of the IL-1R associated kinases (IRAK1, 2, 4 and IRAK-M). IRAK1 and IRAK4 are active kinases whereas IRAK2 and IRAK-M do not possess kinase activity. IRAK4 seems to be the first IRAK to interact with MyD88, leading to the recruitment and activation of IRAK1. IRAK-M appears to have an inhibitory role in that it prevents the dissociation of IRAK1 and IRAK4 from MyD88 and therefore their ability to interact with downstream molecules. TNF receptor-associated factor 6 (TRAF6) is located downstream of IRAKs. TRAF6 is crucial for NF κ B activation and is upstream of the inhibitor of NF κ B (I κ B) kinase (IKK) complex, which is the central NF κ B activating kinase complex. Upstream TLR engagement triggers the Lys-63 linked ubiquitination of TRAF6, which results in the recruitment and activation of TGF- β activated protein kinase 1 (TAK1). TAK1 is the kinase responsible for phosphorylating and activating IKK β which together with IKK α and NF κ B essential modulator (NEMO) comprises the IKK complex. IKK then phosphorylates I κ B. I κ B sequesters NF- κ B dimers in the cytoplasm in unstimulated cells and phosphorylation of I κ B by IKK targets it for lys-48-linked ubiquitination and subsequent degradation by the proteasome. The IKK complex also phosphorylates the NF κ B subunit p65, which is necessary for transactivation of genes. Thereafter, NF κ B translocates to the nucleus and stimulates gene transcription. TAK1 also simultaneously activates the JNK and p38 MAP kinase pathways leading to the phosphorylation and activation of ATF2 and cJun.

IRAK1 was initially thought to be the critical kinase for NF κ B activation in this MyD88 pathway to TAK1 and IKK via TRAF6. However recently IRAK2 was shown to have a more central role in TRAF6 activation than IRAK1.⁵⁷ In fact it was studies of how viruses modulate TLR signalling which led to this conclusion. The VACV protein A52 was found to be an inhibitor of all TLR pathways to NF κ B activation.⁵⁷⁻⁵⁹ This suggested that A52 would target a signalling molecule common to all TLR pathways to NF κ B and since A52 interacted with IRAK2 and not IRAK1, this suggested an important role for IRAK2 in NF κ B activation (A52 also interacts with TRAF6 directly but surprisingly this interaction does not contribute to NF κ B inhibition, but

rather leads to more subtle manipulation of the TLR pathway,^{57,60} as discussed below). Further work then showed that suppression of IRAK2 expression by siRNA inhibited the TLR-NF κ B axis and that expression of IRAK2, but not IRAK1, triggered TRAF6 ubiquitination.⁵⁷ IRAK2 can interact with both MyD88 and MAL and has been shown to act downstream of these adaptors.⁵⁷ TLR3 however does not utilize MyD88 or MAL but interestingly, A52 is a very potent inhibitor of the TLR3-NF κ B axis when compared to other TLRs. It was this observation that led to the discovery that IRAK2 can directly interact with TLR3 to facilitate NF κ B activation.⁵⁷ Consistent with this, IRAK1 was previously shown to have no role in TLR3 signalling.¹¹ The study of A52 and IRAK2 provides an excellent example of how understanding viral modulation of innate immunity can reveal important information about host mechanisms.

It is still unclear however, how IRAK2 and TRIF work together on the TLR3 pathway. The N-terminus of TRIF contains a TRAF6 binding motif which has been proposed to integrate TRAF6 into TLR3 mediated NF κ B activation. Further, the C-terminus of TRIF mediates a second method of NF κ B activation by recruiting receptor-interacting protein-1 (RIP-1), a member of the RIP family involved in TNF receptor mediated NF κ B activation. This leads to NF κ B but not IRF activation.⁶¹ RIP1, like TRAF6, undergoes lys-63 linked ubiquitination and forms a complex with TAK1.⁶² The recruitment of both TRAF6 and RIP1 facilitates TAK1 activation, resulting in maximal NF κ B and MAP kinase activation.

In contrast to its inhibitory effect on the TLR pathways to NF κ B, A52 has no effect on IRF3 or IRF7 activation, suggesting no role for IRAK2 in these axes.⁵⁷ There are a number of now well-defined TLR pathways to IRF activation via TRIF for TLR3 and TLR4 and via MyD88 for TLR 7, 8 and 9. In the case of the TRIF pathway, IRF3 activation requires the N-terminal region of TRIF and involves recruitment of TRAF3 and NAK-associated protein 1 (NAP1).⁵⁵ This leads to the recruitment and activation of the active IRF3/7 kinase complex containing TANK-binding kinase 1 (TBK1) and IKK ϵ . Once phosphorylated IRF3 and IRF7 form homodimers and translocate into the nucleus to initiate transcription of target genes. For TLR7 and TLR9 signalling, IRAK1 is the required for IRF7 activation.^{63,64} In contrast to the TRIF pathway, TBK1 and IKK ϵ are not involved here. Rather it seems that MyD88 and IRF7 directly interact while TRAF6, TRAF3 and IKK α are recruited to the complex and have all been shown to be essential to IRF7 activation.⁶⁵ A second VACV protein, A46, can inhibit these TLR-IRF axes.⁶⁶ From database searches A46 was shown to contain a TIR domain similar to the adaptor molecules used by the TLRs. Interestingly a number of bacterial pathogens have been subsequently shown to express proteins containing TIR domains.⁶⁷ Stack et al⁶⁶ demonstrated that A46 was capable of binding to the TIR adaptors MyD88, MAL TRIF and TRAM resulting in the inhibition of both NF κ B and IRF activation in all TLR pathways. A46 failed to interact with SARM, which is in fitting with the role for SARM as a negative regulator of TLR signalling. Although A46 and A52 appear to have some overlapping effects on TLR signalling, both proteins independently contribute to the virulence of the virus.^{59,66} Even though A46 is still the only viral TIR domain-containing protein identified to date, other viruses have been shown to utilise the strategy of disabling TIR adaptor proteins: HCV encodes a serine protease, NS3/4A, which has been demonstrated to cleave TRIF, thus inhibiting TLR3 signalling.⁶⁸

Viral Subversion of TLR Signalling: More Than Just Inhibition

It has become apparent that viruses not only inhibit TLR signalling to avoid and suppress detection, but also actively subvert the signalling processes to manipulate and direct gene induction to favour the viral life cycle. For example, WNV appears to actually require TLR3 stimulation in order to cause disruption of the blood brain barrier, resulting in enhanced brain infection and encephalitis in mice.⁶⁹ This was shown since TLR3 knockout mice do not exhibit this encephalitis and contain a reduced virus titre in the brain, but a greater virus titre in the periphery, compared to wild type mice.⁶⁹ Bizarrely, even though VACV encodes inhibitors of TLR3 signalling (see previous section), TLR3 $-/-$ mice infected with VACV showed substantially lower viral replication and have reduced disease morbidity relative to wild-type mice.⁷⁰ Thus it appears some viruses use

TLR3 stimulation to enhance viral replication and to progress infection. Another manipulation of the host TLR response involves the selective stimulation of certain TLR pathways for IL-10 production. IL-10 inhibits a number of macrophage and monocyte functions including IL-12 synthesis, production of nitric oxide and expression of MHC class II costimulatory molecules.⁷¹ Further it has been shown that for some viruses at least, IL-10 production is actually required for viral persistence.^{72,73} Not only does VACV A52 inhibit NF κ B activation via interacting with IRAK-2 (see previous section), but this protein also interacts with TRAF6 leading to p38 MAP kinase activation and IL-10 promoter induction.⁶⁰ Thus during TLR stimulation A52 can switch off NF κ B-dependent genes such as IL-8, and enhance IL-10 production instead.⁶⁰ A52-mediated enhancement of TLR-induced IL-10 may be important in explaining the contribution of A52 to virulence.⁵⁹ Further, mouse mammary tumour virus (MMTV) was shown to induce IL-10 through stimulation of TLR4 in B-cells and this was necessary for viral persistence.⁷⁴

Thus there is a complex relationship between TLRs and viruses and viral immunomodulation of TLRs encompasses not only inhibition of signalling pathways for evasion, but also their hijacking and subversion.

RIG-I-Like Helicases (RLHs)

The third and most recently discovered viral PRR family are the retinoic acid-inducible protein-1 (RIG-I)-like helicases (RLHs). A role for the RNA helicase RIG-I as a viral PRR was discovered by Yoneyama et al who proposed a novel cytosolic sensor for dsRNA.⁷⁵ By screening an expression cDNA library from poly(I:C) stimulated cells for the ability to enhance poly(I:C)-induced IFN β induction, RIG-I was revealed.⁷⁵ The related RLH melanoma differentiation-associated gene 5 (MDA5) was found as a target for the V proteins from paramyxoviruses which inhibit IFN- β production by dsRNA.⁷⁶ LGP2 is also a RLH, but may play a negative role in signalling.

Recognition of Viral RNA by RLHs

Both RIG-I and MDA5 have an N-terminal caspase recruitment and activation domain (CARD) and a C-terminal DExD/H box RNA helicase domain.^{75,77} LGP2 has the helical domain, but lacks the CARD domain.^{78,79} From studies using mice deficient in either RIG-I or MDA5 it was found that the helicases are not redundant in terms of the viruses they respond to. RIG-I was found to be vital for the antiviral response to a number of negative stranded RNA viruses such as Sendai virus, VSV, Japanese encephalitis virus (JEV) and IAV, while MDA5 was found to be essential for the recognition of members of the picornavirus family such as encephalomyocarditis virus (EMCV), Mengo virus and Theiler's virus.⁸⁰

Synthetic RNAs are also differentially recognised by RIG-I and MDA5. Using cDCs from MDA5^{-/-} mice it was shown that there was no production of Type I IFNs in response to poly(I:C). In addition the administration of polyI:C to these mice showed impaired production of Type I IFNs.^{80,81} This illustrated that MDA5 is the PRR for polyI:C. In contrast it was found that both ssRNA and dsRNA with a 5' triphosphate can activate Type I IFN production via RIG-I.^{82,83} This may provide a rationale as to how RIG-I discriminates between self and viral RNA since ribosomal RNA (rRNA) and transfer RNA (tRNA) possess a 5' monophosphate and thus would not be detected by RIG-I. In addition the 5' end of mature messenger RNAs (mRNAs) and small nuclear RNAs (snRNAs) are modified with a methylguanosine cap.

Signalling Pathways Activated by RLHs

Both RIG-I and MDA5 use a common adaptor protein to signal downstream (see Fig. 3). This adaptor was identified by four groups around the same time. Kawai et al referred to this adaptor as IFN- β promoter stimulator-1 (IPS-1), Seth et al named it as mitochondrial antiviral signalling protein (MAVS), Xu et al called the protein virus-induced signalling adaptor (VISA) and Meylan et al termed the protein CARD adaptor inducing INF- β (Cardif).⁸⁴⁻⁸⁷ Binding of RNA to RLHs causes a conformational change which exposes the CARD domain. IPS-1 contains a CARD domain which interacts with the CARD domains of RIG-I and MDA5. IPS-1 mediates the activation of similar downstream pathways to TRIF and overexpression of IPS-1 induces the

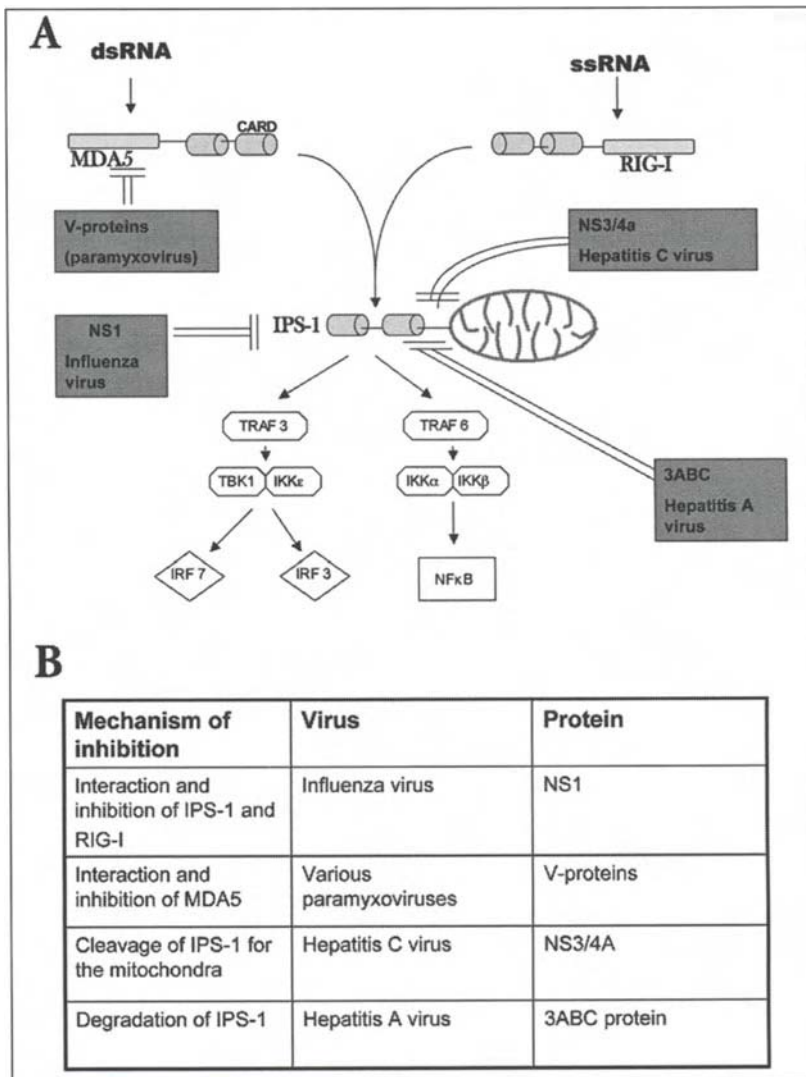


Figure 3. Activation and evasion of RLH signalling. A) schematic of the RIG-I and MDA5 signalling pathways showing points modulated by viruses. B) Viral proteins shown to act on the RLH pathway. See text for details.

activation of the IFN- β promoter and NF κ B.^{88,89} IPS-1 is localised to the outer membrane of the mitochondria. This localization seems to be essential for the function of IPS-1 and in fact HCV employs the NS3/4A protease to block IPS-1 signalling by cleaving it and thereby causing its dissociation from the mitochondria (see Fig. 3).⁹⁰ IPS-1^{-/-} mice were more susceptible to both VSV and EMCV infection than wild type mice, which demonstrates that IPS-1 is essential for both RIG-I and MDA5 signalling. Similar to TRIF, IPS-1 signals to IRF3 and IRF7 activation via TRAF3, TBK1 and IKK ϵ .^{91,92} IPS-1 can also interact with TRAF2, TRAF 6, FADD and RIP-1 all of which have been proposed to contribute to NF κ B activation.⁹²

Apart from HCV NS3/4A, which cleaves IPS-1 and paramyxovirus V proteins which bind to and inhibit MDA5, other viral strategies to evade detection by RLHs are becoming apparent. For example, NS1 from IAV inhibits signalling via direct interactions with both RIG-I and IPS-1,⁸² while the Hepatitis A virus targets its 3ABC precursor of its 3C^{pro} cysteine protease to mitochondria where it colocalizes with and cleaves IPS-1, thereby disrupting activation of IRF3 through the MDA5 pathway.⁹³

Other novel cytosolic PRR detectors of viral nucleic acid are have also been discovered recently. Takaoka et al characterised the Z-DNA binding protein (ZBP-1) as a cytoplasmic DNA receptor capable of IRF3 activation and IFN β induction and as such renamed it as DNA-dependent activator of IRFs (DAI).⁹⁴ DAI associates with IRF3 and TBK1 upon stimulation with DNA,⁹⁴ but further study is needed to determine all the other signalling components of this pathway and how viruses might evade it. Of interest is the fact that the poxvirus virulence factor E3 which binds dsRNA (see Section 2) also has a DNA-binding motif shown to contribute to virulence.⁹⁵ Thus E3 might bind to poxvirus DNA and prevent its detection by DAI or a related cytosolic DNA PRR.

Viral Inhibition Proximal to Transcription Factors

Given that most viruses likely stimulate more than one PRR pathway, an effective viral evasion strategy is to target innate signalling proximal to transcription factor activation, in order to mediate and effect at a common shared downstream point in signalling. There are numerous examples of this.⁹⁶ For example rabies virus and borna disease virus express phosphoproteins which inhibit TBK1 activity and thus prevent IRF3/7 activation. The borna disease virus phosphoprotein is phosphorylated by TBK-1 and thus acts as a viral decoy substrate preventing TBK-1 phosphorylating IRF3/7.⁹⁷ Direct binding to transcription factors or their coactivator targets is another key method of viral interference. Human herpes virus-8 blocks IRF3 dimerisation via a virally encoded dominant negative IRF termed v-IRF1. This protein inhibits IRF3 (but not IRF7) activation by competing for binding to the IRF3 binding partner CBP/p300.⁹⁸ The ICP0 protein from bovine herpes virus binds to IRF3 and leads to its proteosomal degradation. Interestingly, the exact mechanism of ICP0 is not conserved among other herpes viruses, as HSV ICP0 also interacts with IRF3 but instead of causing its degradation, it acts in a similar manner to v-IRF-1 by binding to CBP/p300 and sequestering them in specialised nuclear bodies, thus preventing IFN gene transcription.⁹⁶ IRF7 is not as well studied as IRF3 but has more recently been shown to be the target of a number of viruses. For example, EBV encodes an early protein, BZLF-1, which binds to IRF7 and prevents IFN production in virally infected cells, while the rotavirus protein NSP1 promotes the proteosomal degradation of IRF3, 5 and 7.⁹⁶

Similar to the IRFs, NF κ B is also targeted for viral evasion and this has been dealt with extensively elsewhere.⁹⁹ One striking manipulation of this transcription factor is seen by the number of viruses which contain NF κ B DNA-binding motifs in their genome, such as HIV-1, CMV, HSV and EBV. NF κ B is not only a fundamental transcription factor in mounting the innate immune response but is also an anti-apoptotic protein, promoting cell survival. Hence NF κ B DNA binding motifs are found in the promoter regions of genes encoding many anti-apoptotic proteins such as Bcl-2, Bfl1 and A20 along with pro-survival genes including c-FLIP and IAPs. Thus many viruses not only inhibit NF κ B in early infection, but activate NF κ B in later stages of viral infection in order to promote the survival of infected cells. For example both EBV and Kaposi's Sarcoma virus activate NF κ B.⁹⁹ There is mounting evidence showing the role of NF κ B in tumorigenesis and EBV is associated with the development of several human malignancies including Burkitt's lymphoma and Hodgkin's lymphoma.¹⁰⁰ EBV encodes an integral transmembrane protein, LMP-1, that functions as an activated CD40 cell surface receptor in B-cells promoting growth and lymphocyte survival. This LMP-1 protein activates the NF κ B subunit c-Rel and is essential for the survival of EBV-transformed cells.⁹⁹ Thus for a given virus, manipulation of NF κ B can be crucial not only for immune evasion but also for the survival of virally infected cells allowing viral replication to continue.

Conclusions

This chapter has shown that the host has multiple mechanisms for detecting viruses, while viruses have evolved numerous immunomodulatory strategies in order to achieve a more successful life cycle. Most components of the innate immune system are targeted by multiple viruses for evasion or subversion and discoveries in this field continue at a pace which sometimes outruns and even informs the discovery of innate immune mechanisms. With the on going exploration of immunomodulation by viruses much more will be unearthed about the unknown components of antiviral signalling. In addition these studies could potentially herald more antiviral treatments.

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CHAPTER 5

Viral TNF Inhibitors as Potential Therapeutics

Masmudur M. Rahman, Alexandra R. Lucas and Grant McFadden*

Abstract

The immune system functions by maintaining a delicate balance between the activities of pro-inflammatory and anti-inflammatory pathways. Unbalanced activation of these pathways often leads to the development of serious inflammatory diseases. TNF (Tumor Necrosis Factor) is a key pro-inflammatory cytokine, which can cause several inflammatory diseases when inappropriately up-regulated. Inhibition of TNF activities by using modulatory recombinant proteins has become a successful therapeutic approach to control TNF activity levels but these anti-TNF reagents also have risks and certain limitations. Biological molecules with a different mode of action in regulating TNF biology might provide a clinically useful alternative to the current therapeutics or in some cases might be efficacious in combination with existing anti-TNF therapies. TNF is also a powerful host defense cytokine commonly induced in the host response against various invading pathogens. Many viral pathogens can block TNF function by encoding modulators of TNF, its receptors or downstream signaling pathways. Here, we review the known virus-encoded TNF inhibitors and evaluate their potential as alternative future anti-TNF therapies.

Introduction

Dynamic interactions are set into motion between the host and pathogens whenever they encounter each other. All successful pathogens, including viruses, bacteria and intracellular parasites have adapted diverse mechanisms to counteract the innate and adaptive responses mounted by the host. During this process many have evolved to express specific pathogen-encoded molecules that have regulatory roles in controlling the immune system of the infected host.¹⁻³ These pathogen-derived molecules have often been well-honed by evolutionary selection pressures and can be attractive platform candidates as novel therapeutics to regulate the host immune system in diseases where exacerbated immune or inflammatory cascades have become pathologic to the host.^{4,5}

Pro-inflammatory cytokines like TNF α (here called TNF) play very important roles in orchestrating host defense against invading pathogens, but uncontrolled expression of these cytokines sometimes creates inflammatory diseases in humans if not properly regulated. Various anti-TNF therapeutics, such as neutralizing monoclonal antibodies or Fc fusions of TNF receptor ectodomains, have now entered into the arena of clinical usage to control inappropriate and excessive elaboration of TNF. Virus-encoded TNF inhibitors or modulators of TNF function can be exceedingly potent inhibitors of TNF pro-inflammatory activities.⁶ Here we discuss whether any of these virus-derived inhibitors might have potential clinical utility as an alternative strategy to dampen TNF-mediated pathologies.

*Corresponding Author: Grant McFadden—Department of Molecular Genetics and Microbiology, College of Medicine, University of Florida, 1600 SW Archer Rd., ARB Rm R5-295, PO Box 100266, Gainesville, FL 32610, USA. Email: grantmcf@ufl.edu

TNF and TNF-Mediated Signaling

TNF is first expressed as a membrane-bound ligand that can be cleaved and secreted as a nonglycosylated trimer of a 17-kDa protein. TNF is predominantly expressed from macrophages, monocytes, CD4⁺ and CD8⁺ T-cells, smooth muscle cells, activated NK cells, neutrophils and fibroblasts. TNF production is inducible by a number of diverse stimuli, such as interferons, IL-2 and IL-18. The initial precursor protein, 26 kDa pro-TNF, is translated, translocated to the endoplasmic reticulum, transported to the cell surface via the Golgi apparatus and is then presented on the cell membrane as a homo-trimeric complex. This cell-surface form of TNF can interact with TNF receptors of neighboring cells or it can be cleaved and released from the cell surface as a soluble trimeric ligand by the TNF converting enzyme (TACE). Cleavage and release from the cell surface appears to have some role for the biological properties of the TNF molecule *in vivo*, but both forms of the ligand can induce potent signaling activities following interaction with the two known TNF receptors in cell culture. For both the cell associated and secreted forms of TNF, ligand trimerization is required for biological activity. Either the cell bound or soluble TNF ligand binds to two structurally distinct receptors: Type I (TNFR1/p55) and Type II (TNFR2/p75), which are present on the membrane of all cell types except erythrocytes. The two receptors differ significantly in their binding affinities with TNF and other TNF-superfamily members, as well as differing in their intracellular signaling pathways. Both receptors have multiple cytoplasmic domains that control their signaling properties but TNFR1 also has an additional intracellular death domain (DD) for its diverse signaling events.^{7,8}

The trimeric TNF ligand binds to the extracellular domain of the receptors, via domains referred to as Cysteine-Rich Domains (CRDs), which induces conformational changes in the receptor and activates the intracellular signaling pathway, which itself can vary according to the cell type. Binding of TNF with TNFR1 leads to the release of the inhibitory protein silencer of death domains (SODD) from TNFR1 intracellular DD.⁹ Release of SODD allows binding of TRADD (TNFR1-associated death domain protein) to the DD, which can further activate either the apoptotic pathway, via the Fas-associated death domain (FADD) protein, or the pro-inflammatory pathway, via TNF receptor-associated factor 2 (TRAF2) and receptor-interacting protein (RIP), resulting in the activation of nuclear factor- κ B (NF- κ B) (Fig. 1). In contrast to TNF-R1, TNF-R2 is unable to activate the TRADD/FADD pathway and signals only through the TRAF2-associated pathway. Some studies have indicated the presence of cross-talk between the two receptors, which is likely to be responsible for the net response of a cell upon TNF stimulation.⁷ It is also possible that other cellular receptors can form complexes with TNF-receptors and thus add yet more levels of complexity in TNF-induced signaling.

PLAD Domain of TNFRs

The TNFR superfamily members are all Type I transmembrane proteins characterized by the presence of one to six hallmark CRDs. Many members of the TNFR superfamily (e.g., FAS, TNFR1 and TNFR2) exist as pre-assembled oligomers on the cell surface. This preligand assembly of TNFR oligomers is mediated by the preligand assembly domain (PLAD), which resides within the N-terminal cysteine-rich domain of the receptors and is not directly involved in ligand binding.¹⁰ PLAD-mediated preligand assembly has also been reported for TRAIL receptors and viral TNFR homologues.^{11,12} The PLAD domain of TNFR1 is critical in TNF responses, because mutation in the PLAD region reduces NF- κ B activation and results in the TNFR-associated periodic syndrome, an autoinflammatory syndrome in man.¹³ Also, mutation in the PLAD region of FAS has been found to participate in pathogenesis of autoimmune lymphoproliferative syndrome (ALPS), a human genetic disease involving defective apoptosis, lymphocyte accumulation and autoimmunity.¹⁴ The mutant form of PLAD appears to inhibit the pre-assembly of FAS chain, thereby blocking the FAS intracellular signaling pathway.¹⁴ Recent evidence indicates that PLAD-mediated receptor association regulates cellular responses to TNF-like cytokines, especially in cells of the immune system such as CD4⁺ and CD8⁺ T-cells.¹⁵ Thus, targeting preligand assembly itself may offer new possibilities for therapeutic intervention in different pathological conditions involving hyperactive

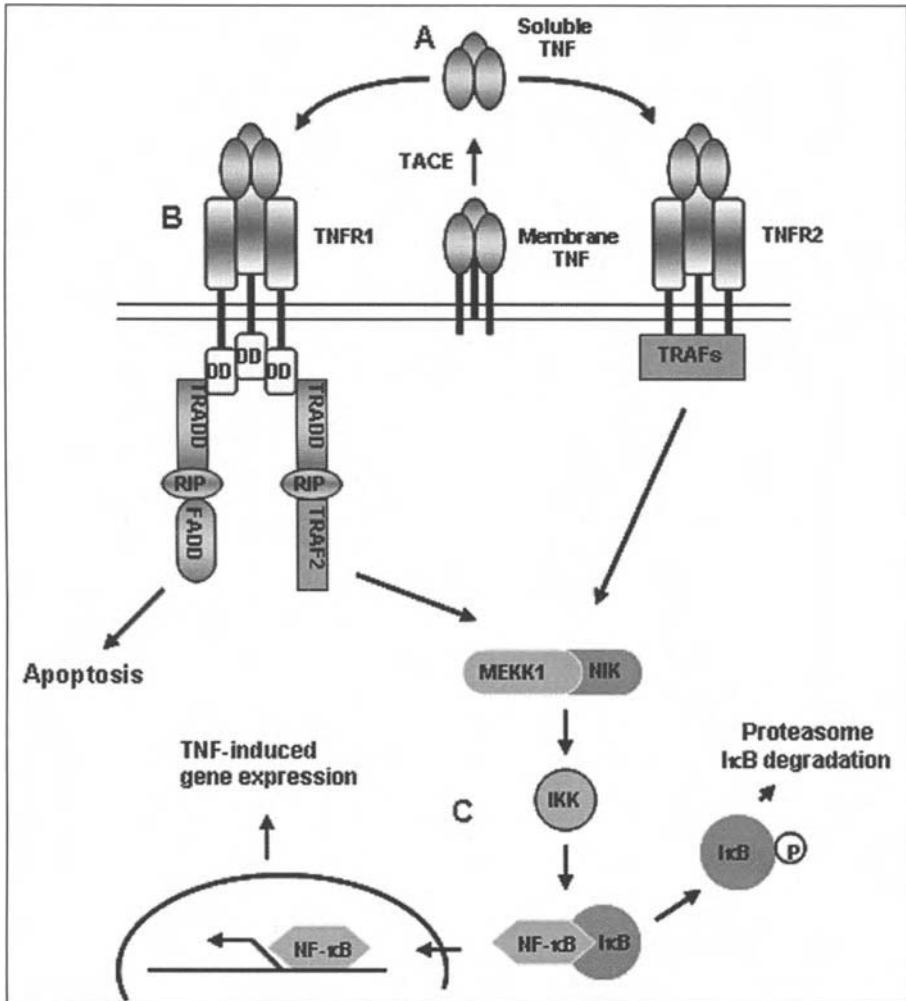


Figure 1. TNF signaling pathways and potential targets for viral inhibition. Binding of TNF with TNFR1 can lead to the activation of either pro-apoptotic signaling or NF- κ B-mediated TNF-induced gene expression. TNFR1 or TNFR2 can activate NF- κ B through several downstream proteins like TNFR1-associated death domain-containing protein (TRADD), receptor-interacting protein (RIP), TNFR-associated factor 2 (TRAF2), mitogen-activated protein kinase kinase 1 (MEKK1), nuclear factor- κ B-inducing kinase (NIK), inhibitor of nuclear factor- κ B (I κ B) kinase kinase (IKK). Virus-encoded proteins inhibit TNF-mediated responses by directly binding to TNF (A), down-regulating or binding to TNF receptors and associated factors (B) and inhibiting the proteins involved in activation of NF- κ B (C). DD, death domain; TACE, TNF- α -converting enzyme.

TNF signaling. PLAD domain proteins can also effectively prevent TNFR signaling and potentially inhibit inflammatory arthritis.¹⁶

TNF-Mediated Diseases

The immune system constantly maintains a delicate balance between the pro-inflammatory and anti-inflammatory mediators or cytokines. During many disease states, this balance is lost and the pro-inflammatory cytokines like TNF can become inappropriately upregulated. This, in turn, ultimately induces excessive levels of adhesion molecules on the endothelium, stimulates fibroblast proliferation and recruits leukocytes from the circulation into tissues or sites where they can be pathologic, such as the synovial fluid.¹⁷ Enhanced levels of TNF are associated with the development of a variety of inflammatory conditions, like Rheumatoid Arthritis (RA), juvenile RA, Crohn's disease (CD), Ankylosing Spondylitis (AS), Psoriatic Arthritis (PsA), Inflammatory Bowel disease (IBD) and asthma. TNF also can play an indirect role in other inflammatory conditions, as reported in the case of ocular inflammatory development.¹⁸ The recent findings that hyperactive systemic inflammation contributes to the development of atherosclerosis and Type 2 diabetes mellitus constitutes a major breakthrough in understanding the mechanisms underlying these conditions. Thus, TNF has been shown to play a key role in many human inflammatory disorders and is considered to be a prime therapeutic target for drug development.

Apart from its direct pro-inflammatory role, TNF also stimulates production of other pro-inflammatory cytokines (such as IL-1 and IL-6), chemokines, reactive oxygen intermediates, nitric oxide and prostaglandins and increases the rate of tissue remodeling by matrix-degrading proteases.¹⁹ TNF promotes angiogenesis and osteoclast differentiation and activates osteoclasts that stimulate bone lysis, leading to joint erosions particularly at the marginal surfaces.²⁰ TNF also increases the rate of tissue remodeling by matrix-degrading proteases and directly mediates pain, fever and cachexia. Additionally, TNF has a significant role in lipid metabolism. In animal models, administration of exogenous TNF leads to severe impairment of glucose tolerance and insulin sensitivity.²¹ Thus, TNF might serve as a therapeutic target in these disorders as well.

Current Anti-TNF Therapies in Humans

Several recombinant protein-based inhibitors of TNF activity have been developed for clinical therapy. Three of these, Etanercept (Enbrel), infliximab (Remicade) and adalimumab (Humira) have already been approved for clinical use in various inflammatory diseases. Etanercept is a dimeric fusion protein consisting of two extracellular domains of the human TNFR2, linked to the Fc portion of a Type 1 human immunoglobulin (IgG1). The Fc portion helps to maintain the molecule in the circulation²² and it has a relatively short half life of 4-5 days. Infliximab is a chimeric mAb, composed of human constant regions of IgG1, with murine variable regions. It binds to both soluble and membrane TNF with high affinity and exhibits lower nonspecific effects on other pathways.²³ Infliximab has a circulating half life of 8-10 days. Adalimumab is a complete human IgG1 anti-TNF mAb that binds to both soluble and membrane bound TNF with high affinity. It has a relatively longer half life of 12-14 days. Several other protein based anti-TNF therapeutics are also currently in clinical trials. Numerous studies have been conducted to understand the mechanism of action of the various anti-TNF therapeutics in immune-mediated diseases. In addition to neutralization of soluble TNF, these drugs clearly affect diverse intracellular signaling which regulate cell cycle arrest, apoptosis, or suppression of cytokine production.²⁴

Although the current protein-based TNF inhibitors have demonstrated ligand-inhibitory efficacy, they can also exhibit potentially serious adverse effects such as a greater predisposition towards secondary infections, congestive heart failure, neurologic changes (demyelination), lymphomas, re-exacerbation of latent tuberculosis and problems related to autoimmunity such as lupus-like syndrome.²⁵ With infliximab, acute allergic reactions are seen in approximately 5% of intravenous infusions as well.

Safety Issues with Current Anti-TNF Therapies

Some of the major safety considerations regarding the long term use of TNF antagonists include infections, autoimmune disease, demyelinating disease, malignancies and congestive heart failure. Because TNF has significant role in host defense to both bacterial and viral invasion, one

of the main concerns with anti-TNF therapy is risk of infection. Nevertheless, patients with RA who received anti-TNF agents do not show a significant increase in the overall rate of infections during treatment but there are case reports of pulmonary listeriosis,²⁶ pulmonary aspergillosis,²⁷ *Pneumocystis carinii* pneumonia²⁸ and reactivated histoplasmosis²⁹ in some patients. Tuberculosis (TB) recrudescence has been the most common serious infection observed in patients receiving TNF antagonists.³⁰ However, the incidence of TB is also influenced by age, concomitant immunosuppressive regimens, socioeconomic status and geography.³¹ Screening of patients for the possibility of latent TB and other related pathogens before treatment would further reduce the rate of infections exacerbated by treatment with TNF inhibitors.

The regulatory role of TNF with antigen-presenting cell function may be associated with autoimmune diseases arising in some patients treated with TNF antagonists. Anti-TNF treatment very often results in the development of antinuclear antibodies and anti-double-stranded DNA antibodies.³² Some of the reported autoimmune diseases associated with anti-TNF therapy are systemic lupus erythematosus (SLE) and different forms of vasculitis.³³ Among the neurological diseases reported, demyelinating disease is most common in patients with inflammatory arthritis. Congestive heart failure has been reported, mostly in RA patients. Although not common, anti-TNF drugs may also increase incidence of solid tumor development in patients with RA.³³ In many cases the complications from anti-TNF treatment depends on the specific biological agent used.

Recently and for the first time, it has been reported that perforating folliculitis (a type of perforating dermatosis characterized by trans-epithelial elimination of dermal structures) is associated with the administration of the TNF inhibitors, infliximab and etanercept, in a patient suffering from RA and pulmonary fibrosis.³⁴ The possible reason is that TNF directly inhibits fibronectin production and promotes its degradation through stimulation of several metalloproteinases.³⁵ Therefore, the blockade of TNF may induce fibronectin accumulation, favoring in some way the perforating phenomenon observed in these patients.

Viral TNF Inhibitors as Alternative Therapeutics

Viruses have adapted diverse strategies to neutralize TNF and TNF-mediated responses by targeting almost every step of the TNF response pathway.^{6,36,37} The virus-encoded modulators can either directly bind the ligand and/or receptor, or components of the TNFR signaling pathway, to inhibit the TNF response (Fig. 1). Some viral regulators have adapted a strategy of down-regulating the expression of cell surface TNFRs. Components of the TNF signal transduction pathway are also a recurrent target for viral immune evasion because of their central roles in mounting innate and adaptive immune responses.^{36,37} Some of the known viral immune modulating molecules directly bind and inhibit the function of TNF ligand (Table 1) whereas others modulate downstream TNFR functions (Table 2).

So far, poxviruses are the only viruses that express viral modulators that can bind and sequester extracellular TNF prior to its TNFR engagement.⁶ Two distinct classes of extracellular poxvirus TNF-binding proteins have been identified: those that resemble the mammalian TNFRs, termed vTNFRs and a recently characterized family of proteins that resemble the mammalian MHC class I heavy chain, termed vTNF-BPs, that bind and inhibit TNF with unusually tight affinity.^{38,39}

Viral TNFR Homologues

Among these vTNFRs are the T2-like family members encoded by Leporipoxviruses and the cytokine response modifier (Crm) family members encoded by Orthopoxviruses.^{40,41} Like their mammalian counterparts, poxvirus vTNFR superfamily proteins comprise a tandem array of CRDs. These CRDs are each composed of two distinct structural modules that can adopt one of a number of conserved conformations (primarily A or B), can contain zero to two disulphide bonds and are named according to topology and disulphide bond count (A1, A2, etc.). Individual poxviruses can express different numbers of TNFR-like molecules: cowpox virus (CPXV) expresses up to five TNFR superfamily molecules, cytokine response modifier B (CrmB), CrmC, CrmD, CrmE and vCD30,⁴²⁻⁴⁶ while variola virus (VARV) and monkeypox virus (MPXV), which causes

Table 1. Poxvirus-encoded TNF inhibitors

Gene/ORF	Virus	Characteristics	Binding Proteins	Bioactivity/ Function	Reference
CrmB	Cowpox	Secreted (early gene)	Human and mouse TNF and LT α	Blocks human and mouse TNF and LT α function	42
CrmB	Variola	Secreted	Human, mouse, rat TNF; human LT α and chemokines	Blocks human, mouse and rat TNF function	47
CrmB	Monkeypox	secreted	Human, mouse and rabbit TNF	Blocks human, mouse and rabbit TNF function	48
CrmC	Cowpox	Secreted (late gene)	Human and mouse TNF	Blocks human and mouse TNF function	43
CrmD	Cowpox and Ectromelia	Secreted (late gene)	Human, mouse and rat TNF; human LT α	Blocks human TNF and LT α function	44
CrmE	Cowpox	Secreted	Human, mouse and Rat TNF	Blocks human TNF function	45
CrmE	Vaccinia	Secreted and cell surface	Human, mouse and rat TNF	Blocks human TNF function	51
M-T2	Myxoma	Secreted (early gene)	Rabbit TNF; human TNFR	Blocks rabbit TNF, human TNF function	12,55
TPV-2L	Tanapox	Secreted (early)	Human, monkey, canine and rabbit TNF	Blocks human, monkey and canine TNF function	38,39
YMTV-2L	Yabamoney tumor virus	secreted	Human, monkey and rabbit TNF	Blocks human and monkey TNF function	39

a smallpox-like disease in humans, express only one, CrmB,^{47,48} as does Ectromelia virus, a mouse pathogen that cause mousepox, which expresses CrmD only.⁴⁹ These CrmB, C, D, E proteins bear no structural similarity to CrmA which is a cross-class serpin. Poxviral TNFR superfamily members differ from their mammalian counterparts in that they lack a transmembrane region and cytoplasmic domain for signaling and are transported to the extracellular milieu. Vaccinia virus (VACV) strains USSR, Lister and Evans encode two functional vTNFR superfamily members: CrmC and CrmE.⁵⁰ These are the smallest of the known poxvirus vTNFRs, containing an N-terminal signal peptide for secretion into the extracellular matrix, three CRDs and a short C-terminal extension.

In some cases, poxvirus-encoded vTNFR homologues have additional properties in addition to the binding and inhibiting of TNF functions. This has been demonstrated in case of myxoma virus encoded M-T2 protein, which has a second anti-apoptotic role.¹² In case of CrmE, it has recently been shown that the protein also possesses chemokine binding properties.⁴⁷ Thus, unlike the engineered commercial TNF inhibitors currently used in humans, the virus-encoded modulators sometime possess additional anti-inflammatory properties above and beyond just TNF inhibition.

Table 2. Virus-encoded TNF modulators

Viral Proteins	Virus	Mode of Action	Effects on TNF	Reference
RID complex	Adenovirus	Downregulates surface TNFR1 level	Inhibits TNF signaling	56,57
E6 and E7	HPV16	Binds to TNFR1 and inhibits DISC formation	Inhibits TNF signaling	66
3A	Poliovirus	Reduces the surface level of TNFRs	Inhibits TNF signaling	71
NS5A	HCV	Interacts with TRAF2 and TRADD	Inhibits TNF signaling	73
NS5B	HCV	Interacts with IKK α	Inhibits TNF signaling	86
Early proteins	HCMV	Reduces the surface level of TNFR1	Inhibits TNF signaling	68
Early proteins	MCMV	Reduces the surface level of TNFR1 and TNFR2	Inhibits TNF signaling	69
N1L	Vaccinia	Inhibits TRAF2, TRAF6, IKK- α and IKK- β	Inhibits activation of NF- κ B by TNF	78
K1L	Vaccinia	Inhibit I κ B α degradation	Inhibits TNF-induced gene expression	82
A238L (ASFV-I κ B)	ASFV	Binds to NF- κ B	Inhibits TNF-induced gene expression	84,85

CrmE

All members of the poxvirus-encoded vTNFR superfamily of proteins lack a C-terminal transmembrane domain like the ones in TNFR1 or TNFR2. CrmE from CPXV is expressed as a secreted 18kDa protein. CrmE binds to human, mouse and rat TNF but not to any other member of TNF superfamily.⁴⁵ Biologically, it protects cells from the cytolytic activity of human TNF by binding to it with high affinity. The CrmE ortholog from vaccinia virus has also the same properties as CPXV ortholog.⁵¹ Cells infected with VACV strains that encode CrmE (e.g., strain Lister) display both soluble and cell surface associated CrmE activity.⁵¹ VACV CrmE inhibited the cytotoxic and apoptotic activity of only human TNF in vitro. The expression of CrmE by a VACV strain (VACVWR) that does not normally encode a viral TNFR enhances virus virulence.⁵¹ It was predicted that the CrmE members structurally resemble TNFR2. The structure of CrmE protein from VACV strain Lister has been solved recently⁵² which shares significant sequence similarity with mammalian Type 2 TNF receptors (TNFSFR1B, p75; TNFR2). The bacterially expressed and purified CrmE is a monomer in solution and forms a stable complex with recombinant human TNF to form a heterohexamer. The structure confirms that CrmE adopts the canonical TNFR fold but only one of the two "ligand binding" loops of TNFR1 is conserved in CrmE, suggesting a mechanism for the higher affinity of poxvirus vTNFRs for TNF over LT- α .⁵²

CrmB and CrmD

The CrmB from VARV, MPXV and CPXV differ in their efficiencies of inhibition of cytotoxic effects of human, mouse or rabbit TNF.⁴⁸ The CrmB and CrmD, in addition to their CRD, have an extended C terminus. The TNF binding activity is exclusively located in the N-terminal CRDs of these proteins. Surface Plasmon Resonance (SPR) screening of CrmB with different cytokines and chemokines identified that it also binds to human chemokines. The chemokine binding region

is exclusively located to the C-terminal CTD. This suggests that CrmB and CrmD have distinct and independent binding sites for TNF and chemokines and might thus have a broader role as an anti-inflammatory modulator. The CTD of the variola version has been named the SECRET (smallpox virus-encoded chemokine receptor) domain.⁴⁷ The CrmB proteins from VARV, MPXV and CPXV were tested for their ability to protect BALB/c mice against LPS induced endotoxic shock. VARV-CrmB protein exhibited a more efficient protective effect with an increase in the animal survival rate. This protection could be the combined effect of TNF-binding and chemokine binding activity of the N-terminal CRDs and C-terminal SECRET domain respectively.⁴⁸ However, further *in vivo* studies are required to understand the pharmacologic properties of these Crm proteins.

Viral PLAD Like Domain

The role of PLAD domain in regulating TNF-like cytokine signaling has been recently studied in the viral TNFR homologue from myxoma virus. The myxoma virus encoded protein T2 (M-T2) binds and inhibits only rabbit TNF.⁵³ Rabbits infected with M-T2 knockout myxoma virus exhibited a markedly attenuated disease progression compared to rabbits infected with the wild type myxoma virus, suggesting that M-T2 is a viral virulence factor. Another function of M-T2 is to prevent apoptosis of myxoma virus infected rabbit CD4⁺ RL5 T-cells.⁵⁴ RL5 cells infected with T2 KO virus undergo apoptosis and prevent optimal virus replication as compared to WT myxoma virus infected cells. Deletion analysis of M-T2 demonstrated that the intracellular part of the protein possesses anti-apoptotic activity because active purified M-T2 protein added to the culture supernatants of vMyxT2ko-infected RL5 cells failed to rescue these cells from virus-induced apoptosis.⁵⁵ Thus, M-T2 has dual roles in two different forms; secreted M-T2 binds and inhibits rabbit TNF, whereas a different N-terminal domain of the intracellular M-T2 protein blocks virus-infected lymphocyte apoptosis.⁴¹

The anti-apoptotic role of M-T2 as inhibitor of TNFR-mediated cell death has been further investigated recently. The first evidence came from the observation that human Jurkat T-cells expressing M-T2 were resistant to TNF and TNFR-induced cell death.¹² Note that intact M-T2 protein neither binds nor inhibits human TNF. This M-T2-mediated inhibition of TNFR-induced cell death requires the PLAD domain located in the N-terminus of the M-T2 protein. Further, biochemical and colocalization studies using fluorescently tagged receptors demonstrated that M-T2 interacts with human TNFR1 and TNFR2 via the PLAD.¹² Thus, the M-T2 CRD domain interacts with TNF in a species-dependent fashion whereas the M-T2 PLAD domain binds both TNFRs in a species-independent manner. A version of the PLAD domain is present and conserved in all poxvirus T2-like proteins. It is entirely possible that the viral PLAD domain might also interact with other host proteins, in order to mediate its anti-apoptotic role. Viral PLAD domains in theory could be utilized as components of future anti-TNF therapeutics if the issue of protein delivery to the appropriate intracellular location could be addressed.

Viral TNF-Binding Proteins Unrelated to Host TNFRs

Another class of TNF inhibitors has been identified from certain members of poxviruses which do not encode conventional vTNFR orthologs. The viral TNF binding protein (vTNF-BP) was first identified in Tanapox virus (TPV), a member of the Yatapoxvirus.³⁸ Functional orthologs are also present in other member of Yatapoxviruses, Yaba-like disease virus (YLDV) and Yaba monkey tumor virus (YMTV) and a version is also present in swinepox virus.³⁹ Unlike poxvirus vTNFRs, only one copy of these vTNF-BPs inhibitors are present per genome. This class of vTNF-BPs exhibit closest amino acid sequence similarity to MHC class I molecules but, unlike the cellular counterpart, the viral protein lacks a transmembrane domain. The vTNF-BPs tested to date bind to TNFs from different species with diverse affinities. The TPV-encoded vTNF-BP, called TPV-2L, binds to human TNF with very high affinity (K_d, 43pM) which is the highest affinity reported to date among the known protein TNF inhibitors.³⁸ TPV-2L can also bind and inhibit monkey and canine TNF with high affinity. The vTNF-BP encoded by YMTV, called YMTV-2L, can also bind

and inhibit human and monkey TNF.³⁹ Binding of TPV-2L and YMTV-2L with TNF also inhibit the TNF-mediated signaling pathway as measured by the degradation of I κ B α .³⁹ Interestingly, the orthologous vTNF-BP from Swinepox virus exclusively binds and inhibits only porcine TNF.³⁹ TPV-2L and YMTV-2L have very good potential as anti-TNF agents, in part because their very high affinity for human TNF suggests they could achieve TNF inhibition at pharmacologically lower doses than the currently used TNF inhibitors. Although vTNF-BPs share no sequence relationship with TNFRs, the folding clearly results in very high affinity binding with human TNF. Using human TNF mutants which possess differential binding for TNFR1 and TNFR2, it was demonstrated that the interacting residues for human TNF are different for TNFRs compared to these vTNF-BPs.³⁹ However, solving the crystal structure of these class of TNF inhibitors complexed with TNF would shed more light on their function and future potential as therapeutics.

Viral Proteins That Modulate TNF Receptors and Regulate Downstream Signaling

Viral proteins which modulate the TNF receptor or downstream components of the signaling pathway can also in theory be developed as potential anti-TNF therapeutics. Down-regulation of TNFR on the cell surface is another anti-TNF mechanism adapted by many viral proteins. The adenovirus encoded receptor internalization and degradation (RID) complex associated proteins down-regulate the surface levels of TNFR1 and thereby inhibit NF- κ B activation. The adenovirus early transcription region 3 (Ad E3) encodes at least seven proteins, five of which block the acquired or innate immune response. Three of these, Ad E3-14.7K, Ad E3-10.4K and Ad E3-14.5K, impose inhibitory effects on the TNF pathway.⁵⁶ Two of these proteins, 10.4K (RID α) and 14.5K (RID β), form the hetero-trimeric complex RID in the plasma membrane which inhibits signaling through TNFR1. RID down-regulates surface TNFR1 levels by reducing the assembly of TNFR1 signaling complex and thus inhibiting TNF-induced activation of NF- κ B. In terms of the NF- κ B pathway, RID blocks the association of members of the IKK complex, as well as the protein kinase RIP, with the TNFR1.⁵⁶ From the RID complex, RID β directly interacts with TNFR1 and its tyrosine sorting motif plays a major role in the down-regulation of TNFR1 by a clathrin-dependent process where TNFR1 is degraded by an endosomal/lysosomal pathway.⁵⁷ In addition to TNFR1, RID can also down-regulate the expression of FAS,⁵⁸ TNF-related apoptosis-inducing ligand receptor 1 (TRAIL-R1 and R2)^{59,60} as well as epidermal growth factor receptor (EGFR)⁶¹ in several cell lines.

The down-regulation of TNFR1 by RID also inhibits TNF-induced secretion of chemokines. The anti-inflammatory role of RID has been demonstrated using LPS treatment as the pro-inflammatory stimulus. RID can inhibit LPS-induced signaling pathway without affecting the expression of the LPS receptor, Toll-like receptor 4 (TLR4).⁶² This suggests that RID also has intracellular targets that inhibit signal transduction and chemokine expression without receptor down-regulation. The potential use of RID as therapeutic immunomodulator has been tested in vivo where RID facilitates transplantation of allogenic pancreatic cells⁶³ and decreases autoimmune Type I diabetes incidence in NOD mice.^{64,65}

Human Papillomaviruses (HPVs), the major cause of cervical cancer, can infect various human epithelial tissues where they inhibit TNF induced apoptosis. HPV16 encodes two oncogene products, E6 and E7, that associate with other cellular proteins involved in cell proliferation and apoptosis and thereby modulate their function. HPV16 E6 protein selectively binds to TNFR1 and affects the transmission of pro-apoptotic signals triggered by TNF.⁶⁶ E6 binds to the C-terminal 41 amino acids of TNFR1 and inhibits binding of TRADD to TNFR1 and thereby blocks formation of the death-inducing signaling complex (DISC). This inhibition subsequently blocks transmission of apoptotic signals by inhibiting the activation of initiator caspases such as caspase 8. E6-mediated protection against TNF-induced apoptosis occurs in cells of different species (mouse and human) and tissues (fibroblast, osteosarcoma and histiocyte/monocyte). Both E6 and E7 of HPV16 increased the transcription of cIAP1 and cIAP2 by upregulation of NF- κ B-expression and confer resistance to TNF in human keratinocytes.⁶⁷

Cytomegalovirus (CMV) encodes proteins with diverse immunomodulatory functions. Human and murine CMV have developed mechanisms to evade the TNF-induced antiviral state by dysregulating TNFRs. HCMV infection of THP1 cells reduced the level of TNFR1 on the cell surface by accumulating the receptor pool in the trans-Golgi network.⁶⁸ Time course analysis and drug inhibition studies suggest that viral early gene products may target trafficking of TNFR1.⁶⁸ MCMV infection blocked TNF-induced nuclear translocation of NF- κ B, which decreased the level of both TNFR1 and TNFR2 in bone marrow derived macrophages.⁶⁹ This was mediated by expression of still-unknown viral immediate early and/or early genes. Identification of the viral molecule(s) responsible could yield a potential therapeutic drug target.

Poliovirus noncapsid protein 3A is a multifunctional viral protein involved in poliovirus RNA replication.⁷⁰ One of its functions is suppression of protein trafficking between the ER and Golgi apparatus. In infected cells, it affects the intracellular trafficking of TNFR and induces TNF resistance by eliminating TNFRs from the plasma membrane.⁷¹ This 3A-protein-mediated inhibition of ER to Golgi traffic of TNFR was limited to poliovirus and coxsackievirus B3. Further investigation is required to understand whether this inhibition is selective for TNFR or not.

The hepatitis C virus (HCV) is the major cause of non-A, nonB hepatitis, which often leads to liver cirrhosis and hepatocellular carcinoma. Two HCV-encoded proteins, nonstructural protein 5A (NS5A) and NS5B, have regulatory roles in TNF signaling and both have potential as anti-TNF therapeutics. Both NS5A and NS5B are essential components of the HCV replication complex. NS5A is a multifunctional phosphoprotein and inhibits TNF-induced signaling using multiple mechanisms. In HepG2 cells expression of NS5A protected these cells from TNF-induced cell death.⁷² NS5A binds to the TNFR1 signaling complex through its interaction with TRAF2 and subsequently inhibits TRAF2-dependent NF- κ B activation, thereby sensitizing the cells to TNF-induced cytotoxicity.⁷³ In another study using NS5A transgenic mice, it has been demonstrated that NS5A interacts with TRADD, inhibiting its association with FADD and TNF-mediated apoptosis, resulting in persistent infection.⁷⁴ The inhibition of intrinsic apoptotic signals is mediated by the putative BH (Bcl-2 homology) domain of NS5A, which allows it to bind to the pro-apoptotic protein Bax, rendering cells refractory to certain pro-apoptotic agonists.⁷⁵ The HCV NS5B protein is a membrane-associated phosphoprotein that possesses an RNA dependent RNA polymerase activity.⁷⁶ NS5B inhibited TNF-induced NF- κ B activation in HEK293 and hepatic cells. This inhibition is mediated by its direct interaction with IKK α .

Cell Signaling Inhibitors from Viruses That Inhibit Activation of NF- κ B

The ideal candidate for a virus-derived anti-TNF therapeutic would be more specific and less toxic to the human system than current therapies. Several viral inhibitors can specifically block intracellular signaling, often resulting in reduced TNF production or its TNFR-dependant effects. Activation of NF- κ B is an early event that occurs within minutes after exposure to TNF and plays important role in inflammation, regulation of cell proliferation, activation and survival.⁷⁷ This activation process has turned out to be an attractive target for viruses to escape immune defenses and many viruses have evolved specific gene products to inhibit the TNF-induced NF- κ B activation.

Vaccinia virus encoded protein N1L, a viral virulence factor, inhibits signaling through NF- κ B from both TNF and LT α . This N1L-mediated inhibition of NF- κ B occurs by association with IKK- γ and inhibition of IKK- α and IKK- β .⁷⁸ N1L also inhibits IRF3 signaling and thus might play a broad role as viral immunomodulator of innate immunity. However, N1L might have even additional biologic roles. N1L inhibits apoptosis and interacts with pro-apoptotic Bcl2 proteins like Bid, Bax, Bad, Bim and Bak.^{79,80} Recent crystal structure data of N1L suggest that it belongs to Bcl2 family of anti-apoptotic proteins, although no amino acid sequence similarity to these cellular proteins was observed by sequence analysis.⁸⁰ The structure also revealed that N1L contains a surface groove that resembles the BH3-binding grooves of other Bcl-2 proteins. In another report,

it was shown that N1L also inhibits the release of pro-inflammatory cytokines like TNF, IL-1 β , IFN α and IFN β and the anti-inflammatory cytokine IL-10 from human primary monocytes.⁸¹

Another vaccinia virus-encoded protein, K1L, inhibits NF- κ B activation and could be a potential inhibitor of TNF-mediated responses. K1L inhibits NF- κ B by preventing I κ B α degradation, probably by interfering directly with IKK to prevent phosphorylation or indirectly by hampering kinases that act upstream of IKK.⁸² K1L was originally identified as one of the vaccinia host range genes required for viral replication in certain human cells and rabbit kidney cells RK13. The host range function of K1L is associated with the ankyrin repeats present in this protein.⁸³

African swine fever virus (ASFV) A238L, which is an ankyrin-repeat-containing homolog of host I κ B (ASFV-I κ B), binds to NF- κ B following degradation of host I κ B and inhibits the nuclear translocation of NF- κ B.⁸⁴ It also inhibits TNF-induced gene expression. The mechanism of regulation of TNF lies in the promoter region that involves CREB binding protein (CBP)/p300 function. Localization of A238L in the nucleus and binds to the cyclic AMP-responsive element and displace the CBP/p300 coactivators.⁸⁵

Conclusions

Given the sophistication with which viral proteins are currently known to exercise their anti-TNF properties, it would be negligent to ignore the lessons they can impart about how to inhibit TNF and functionally disable pro-inflammatory cascades. Current biotechnology has generated efficient protein-based TNF inhibitors with Kd values in the nanomolar range, but viral TNF-BPs can bind and inhibit human TNF with more than an order of magnitude higher affinity than any commercial TNF inhibitor. At this point, it is unknown if any specific vTNF inhibitors will be more or less immunogenic than the clinical TNF blockade reagents currently in use, but experience has shown that immunogenicity issues are not resolvable with animal models alone. Realistically, the potential of vTNF inhibitors for treating inflammatory disorders will only be settled with properly designed clinical trials.

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CHAPTER 6

Lipoxins as an Immune-Escape Mechanism

Fabiana S. Machado and Julio Aliberti*

Here, we discuss the mechanisms of repression of signaling pathways that are triggered by Lipoxin (LX) and are responsible for control of pro-inflammatory response during chronic phase of *Toxoplasma gondii* infection. We also discuss this mechanism from the perspective of the pathogen, which pirates the host's lipoxygenase machinery to its own advantage as a probable immune-escape mechanism. Pro-inflammatory mediators such as IL-12, IFN- γ and TNF are essential in controlling parasite growth during *T. gondii* infection. However, it is clear that exacerbated production of these cytokines results in host tissue damage. LX, an anti-inflammatory eicosanoid, plays an important role in regulation of immune response to *T. gondii*.

Introduction

Toxoplasmosis is a parasitic disease, usually asymptomatic, caused by *Toxoplasma gondii*. *Toxoplasma* infection can become a serious disease in the setting of congenital infection and in immunodeficient (AIDS patients and transplant recipients¹). After infection with *T. gondii*, the released parasites from ruptured cysts actively enter host cells² including macrophages and dendritic cells (DCs). Once intracellular the tachyzoites quickly replicate and in immunocompetent individuals, the acute phase of infection resolves when the remaining fast-replicating parasites switch to bradyzoites form of *T. gondii*, probably as a response to immune attack and seclude themselves in cysts within tissues allowing the parasite escape of the immune responses and this phase is known as chronic or persistent infection.³

The main pathology resulting from toxoplasma infection occurs during chronic disease, when immune suppression caused by drugs or infections, such as HIV, can lead to reversion from the dormant encysted bradyzoite stage back to the fast replicating tachyzoites, which rupture cysts within heart or skeletal muscle and the central nervous system (CNS) causing local tissue necrosis, in the CNS it is often lethal. Intracellular parasites, including *T. gondii*, are capable of modulating numerous immune response functions in order to promote the host and themselves survival, consequently achieving successful transmission to a new host.

It is clear that *T. gondii* has evolved various strategies to take advantage of host cell signaling regulatory mechanism by distorting this balance between positive and negative influences, which allow the parasite to persist through the chronic phase of the disease and prevents host death.⁴ Here, we discussed how hosts and *T. gondii*, make use of some immune-regulatory pathways to promote host survival.

*Corresponding Author: Julio Aliberti—Division of Molecular Immunology, Cincinnati Children's Hospital Medical Center and University of Cincinnati College of Medicine, Cincinnati, Ohio, 45229, USA. Email: julio.aliberti@cchmc.org

Lipoxins

LXA₄ or (5S,6S,15S)-5,6,15-trihydroxy-7,9,13-trans-11-cis-eicosatetraenoic acid, is an eicosanoid derived from the lipoxygenase (LO)-metabolism of arachidonic acid (AA). Initial studies found that LXA₄ was secreted by neutrophils and inhibited the activating effects of LTB₄ on platelets.⁵ Since then, a growing list of anti-inflammatory effects have been associated with LXA₄ and its analogs.⁶ LO-catalyzed oxygenation of unsaturated fatty acids initiates the formation of a variety of compounds with diverse biological activities. The biosynthetic pathways involved in LXA₄ formation are complex, involve the actions of at least two independent LOs (Fig. 1) and can occur through trans-cellular cascades. However, the activity of 5-LO seems to be a common step in LXA₄ synthesis.⁵ 5-LO is produced as a propeptide that is activated by cleavage. Low levels of active 5-LO are found in different cell types, including macrophages, platelets, DCs and neutrophils.⁷ The expression of a 5-LO-activating protein (FLAP) is a key signal for induction of 5-LO activity. Of note, Aspirin acetylation of cyclo-oxygenase (COX),⁵ leads to a change in functionality that can drive synthesis of the “Aspirin-triggered LXA₄” (ATL): 15-epi-LXA₄. ATL has the same activity, but is more metabolically stable than LXA₄.⁵

LXA₄ is thought to bind to two types of receptors⁸: (a) a surface membrane seven-transmembrane G-protein-coupled receptor, FPRL-1 or ALX⁹; and (b) the nuclear ligand-activated transcription factor, the Aryl hydrocarbon receptor (AhR).¹⁰ The binding of ligands (i.e., LX) to AhR results in the formation of an active transcription factor that binds to DNA domains—dioxin-responsive elements (DRE)—that activate the expression of a specific panel of genes.¹¹ In the absence of ligand, AhR is located in the cytosol in association with several molecules, Hsp90, the immunophilin-like protein XAP2 and the cochaperone p23. Ligand binding allows for release of the AhR, its translocation to the nucleus and heterodimerization with the AhR nuclear translocator (ARNT; HIF-1β). The AhR/ARNT heterodimer binds to specific DNA sequences (aryl hydrocarbon elements [AHREs], also known as DRE or XRE), where, in concert with the co-activators CBP/p300 (ARNT) and R1PI40 (AhR), they lead to target-gene transcription leading to expression of target genes.¹¹ (Fig. 2).

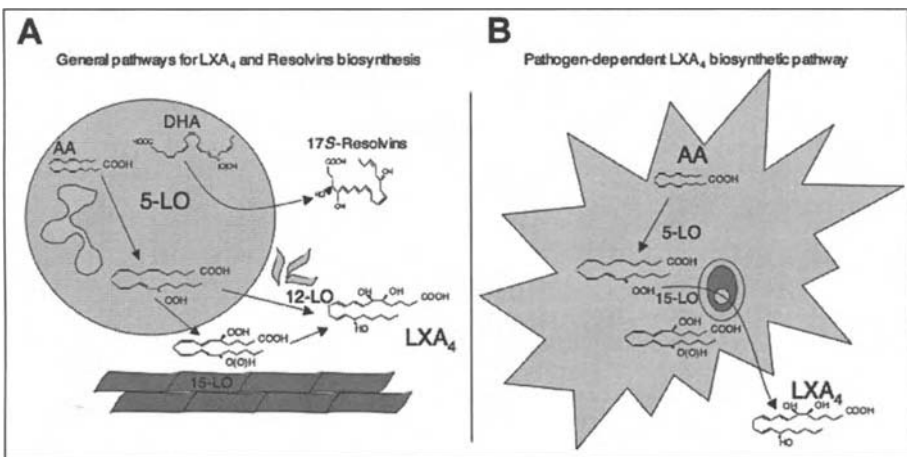


Figure 1. General and pathogen-dependent LXA₄ biosynthetic pathways. A) General pathways for LX synthesis: AA, which is released in response to inflammatory stimuli, is catalyzed by 5-LO to generate LTA₄. This compound, secreted by leukocytes, is captured by neighboring platelets or endothelial cells and, through the actions of 12-LO or 15-LO, respectively, is converted to LXA₄. B) Pathogen-dependent LXA₄ biosynthetic pathway: After the generation of LTA₄ in a 5-LO-dependent manner, it is catalyzed by pathogen-secreted 15-LO into LXA₄, which is then secreted by the infected cell.

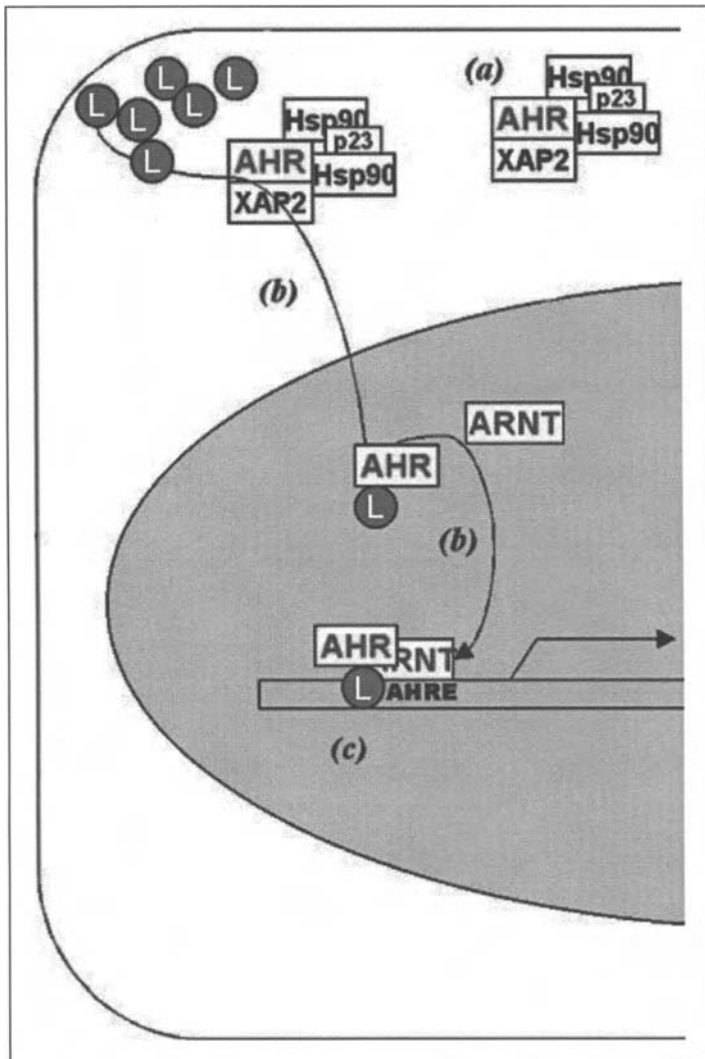


Figure 2. Ligand-induced AhR activation pathway. a) In the absence of ligand, AhR is located in the cytosol in association with 2 molecules of Hsp90, the immunophilin-like protein XAP2 and the cochaperone p23. b) Ligand binding allows for release of the AhR, its translocation to the nucleus and heterodimerization with the AhR nuclear translocator (ARNT; HIF-1b). c) The AhR/ARNT heterodimer binds to specific DNA sequences (aryl hydrocarbon elements [AhREs], also known as DRE or XRE), where, in concert with the co-activators CBP/p300 (ARNT) and RIP140 (AhR), they lead to target-gene transcription leading to expression of target genes.

Lipoxins and Toxoplasma Infection

5-LO/LXA₄ plays an important counter-regulatory role in mouse models of toxoplasmosis. During infection with *T. gondii*, serum levels of LXA₄ increase steadily over the course of the acute phase and remain at high levels during chronic diseases.¹² Such high levels in the serum of chronically infected animals indicated that this mediator might exert relevant biological functions during this stage of the disease. Despite the fact that DCs are one of the main targets for the

immune modulatory actions of LXA₄ during *T. gondii* infection, this cell population does not produce detectable levels of the eicosanoid.¹² Instead, resident splenic macrophages up-regulate 5-LO expression after in vivo stimulation with parasite extract¹² indicating the participation of macrophages in the generation of LXs during experimental toxoplasmosis.

The action of 5-LO on AA results in the formation of LTA₄, which can be rapidly converted to LXA₄ through the actions of a second enzyme, 15-LO (Fig. 1A). Although the 5-LO activity after *T. gondii* infection was known to be associated with splenic macrophages,¹² the 15-LO-expressing cell population was not known. In an effort to identify the sources of 15-LO activity after *T. gondii* infection, Bannenberg and colleagues identified an enzymatic activity in tachyzoite forms exposed to calcium ionophore in the presence of AA in vitro.¹³ Moreover, proteomics analysis of *T. gondii* tachyzoite-derived lysates revealed the presence of peptides homologous to plant-derived Type 15 Los.¹³ It therefore seems probable that the induction of LX biosynthesis by *T. gondii* has been selected through the carrying of a plant-like lipoxygenase gene, which together with the actions of host-derived 5-LO results in the high-level production of LX (Fig. 1B).

The presence of high levels of LX, in turn, dampens ongoing immune responses (i.e., IL-12, IFN- γ and TNF production) so that hosts can control parasite proliferation without succumbing to the damaging consequences of excessive inflammation or tissue destruction. Suggesting that lipoxins-dependent inhibition of pro-inflammatory Type 1 responses could provide a favorable environment for transmission and propagation of the pathogen. Furthermore, it is possible to hypothesize that pathogens may take advantage of this regulatory pathway to promote host survival, or even allow a less toxic environment in which replication can occur. It is possible to conjecture that from the perspective of *T. gondii*, which is a fast-replicating pathogen, the host must be kept alive so that transmission can occur through predation. Thus, the host needs well-balanced immunity against the parasite, the number of which is kept low but not completely eliminated. To accomplish this, lipoxins are induced to keep immunity present, but not intensified.

Experimental Model of Infection with *T. gondii* and Modulation of Immune Response

Mice that receive extract derived from *T. gondii* tachyzoites (STAg) triggered endogenous production of LXA₄ that was found to inhibit microbe-induced DC migration and IL-12 production in vivo and in vitro.¹² Consistent with these findings, 5-LO-deficient animals succumbed to *T. gondii* infection at the early onset of chronic disease. Upon further investigation, it became clear that immunity against the parasite was actually increased in the absence of 5-LO, with significantly less brain cyst formation than in control animals, indicating that this was not the cause of mortality. By contrast, excessive pro-inflammatory cytokine production and massive cerebral infiltration was found, including atypical meningitis. The conclusion was that the excessive pro-inflammatory response in the brain ultimately caused the death of the 5-LO-deficient hosts.¹² *T. gondii* infection in 5-LO-deficient mice resulted in more extensive tissue pathology, mainly due to lack of LXA₄ production, as treatment of 5-LO-deficient mice with LX analogs restored the resistance to tissue pathology with no mortality associated with uncontrolled pro-inflammatory responses, in a similar manner as for wild type animals.¹²

Among the pro-inflammatory mediators, IL-12 production is critical to the development of innate and adaptive immune responses required for the control of intracellular pathogens by governing the development of IFN- γ -dependent host resistance during *T. gondii* infection. These initial steps during the interaction of *T. gondii* with immune system are critical for establishing a balanced host-parasite relationship, such that both host and parasite survive the initial phases of infection, progressing towards chronic disease. In fact, IL-12, IFN- γ and IFN- γ receptor deficient mice are extremely susceptible to *T. gondii* infection; these mice are unable to survive the early stages of acute infection.¹⁴ DCs constitute the major IL-12-producing cell population during *T. gondii* infection in vivo and consequently, the most relevant cell population for the development of a parasite-specific Type 1 immune response.¹⁵

Mouse CD8 α^+ DCs produce IL-12 in response to *T. gondii* in the absence of costimulatory signals,¹⁶ indicating that these cells can activate the immune system by recognition of parasite-derived molecules. In fact, splenic DCs stimulated with a soluble extract derived from *T. gondii* tachyzoites (STAg) produce high levels of IL-12 in vitro and in vivo in a MyD88-independent and -dependent pathways. The MyD88-independent induction of IL-12 production by DCs has been attributed to the CC-chemokine receptor (CCR5), interacting with the secreted, parasite-derived peptide, cyclophilin-18 (C-18), which mimic that signals through CCR5 inducing IL-12 production by DCs in vitro and in vivo.¹⁷⁻¹⁹ CCR5 deficient mice are susceptible to *T. gondii*, with short survival times, high parasite proliferation and low detectable IL-12 and IFN- γ responses, supporting the biological significance of the unusual requirement for a chemokine receptor to participate in microbial recognition by DCs in vivo. However, the IL-12 levels observed after stimulation of DCs with C-18 are much lower than those seen after stimulation with whole parasite lysate or with a pool of tachyzoite-secreted proteins, indicating that pathways other than those initiated by CCR5/C-18 might also be important for IL-12 production by these cells.¹⁸ In fact, studies showed that Toll Like Receptor (TLR) adaptor protein MyD88-deficient cells display severely impaired STAg-induced IL-12 responses in vivo and in vitro. Moreover, upon *T. gondii* infection, MyD88-deficient hosts had high mortality due to a lack of protective IFN- γ -mediated immunity.¹⁹

Recently, it was reported that TLR-11 interaction with *T. gondii*-derived prophyllin is also an important signal for IL-12 induction by DCs.²⁰ Although the molecular basis of IL-12 gene expression is unclear, no doubt remains regarding the critical function of IL-12 in response to *T. gondii* infection. IL-12 produced in vivo triggers NK-cells to release IFN- γ . IFN- γ production is also amplified by tumor necrosis factor and IL-1 β in a positive feedback loop. Together these cytokines drive the generation of Type 1 CD4 $^+$ and CD8 $^+$ T-cells,^{21,22} which produce further IFN- γ , a key requirement for inducing and maintaining control of acute and chronic infection.²³

IFN- γ is a potent microbicidal/microbiostatic factor for upregulating the expression of inducible nitric oxide synthase (iNOS) expression and the production of nitric oxide (NO) by *T. gondii*-infected macrophages. During the chronic phase, some parasites escape immune control and survive within the host for long periods, even though the immune system is continuing to survey the tissues for parasite proliferation. Some *T. gondii* virulent strains escape immune responses and eventually kill the host, despite stimulating vigorous IL-12 production and Type 1 T-cell induction. It is possible that such parasite strains represent the result of random abnormal virulence not based on evolutionary selection pressure but based on the naturally occurring host-parasite relationships. It is known that a growing list of pathogens escape immune responses through the actions of membrane receptors or cytoplasmic enzymes that inactivate or neutralize effector molecules, such a complement factors,²⁴ superoxide or nitric oxide.²⁵ Alternatively, the induction of modulatory or anti-inflammatory factors by the pathogen could inhibit the accumulation of effector cells or their activation in situ, favoring the escape of the pathogen from immune responses and the development of chronic disease.

Intracellular Mechanisms of Anti-Inflammatory Actions of LXs: SOCS Proteins

It was recently shown in an experimental ischemia model that LXA $_4$ -analog administration prevented renal failure. Notably, such protection was associated with increased in vivo expression of several suppressors of cytokine signaling (SOCS) genes, including SOCS-1 and SOCS-2,²⁶ providing clues to the intracellular mechanics of LXA $_4$ -dependent anti-inflammatory responses. SOCS are a family of intracellular proteins, typically characterized by the presence of an SH2 domain and a so-called, "SOCS Box".²⁷ This family of proteins was initially identified by several groups after precipitation of signaling elements of diverse cytokine receptor intracellular cascades, i.e., STATs or JAK2, to which SOCS members were associated via the SH2 domain.²⁸ Since then, extensive research has been reported on the first three members of this family: SOCS-1, -2 and -3.²⁸

SOCS-1-deficient mice are runted and die at 3 weeks of age. At that point, the animals exhibit severe lymphopenia, fatty degeneration of the liver and macrophage infiltration of major organs.²⁹

Apparently, this complex phenotype is due to uncontrolled secretion and signaling of IFN- γ .²⁹ These results indicate that a major role of SOCS-1 is to contain deleterious IFN- γ responses. When investigating the physiological function of SOCS-3, Marine et al, found that this protein is highly expressed in fetal liver, suggesting its participation in the regulation of fetal erythropoiesis. This role was then confirmed in SOCS-3-deficient mice, which have embryonic lethality due to massive expansion of erythroid progenitors.³⁰ These results indicate that the primary physiological regulatory target for SOCS-3 is the erythropoietin receptor-induced signaling cascade.

SOCS-2 has previously mainly been linked to the growth hormone (GH)/insulin-like growth factor-1 (IGF-1) signaling due to the phenotype observed in SOCS-2 deficient mice. SOCS-2-deficient mice are characterized by a 40% increase in body weight,³¹ a phenotype resembling that observed in GH transgenic mice,³² acromegalic patients³³ and high-growth (hg) mice.³⁴

Machado et al found that SOCS-2 is an essential intracellular mediator of the anti-inflammatory actions of LXs in vivo during toxoplasmosis.³⁵ Unlike WT mice, 5-LO- and AhR-deficient mice fail to up-regulate SOCS-2 expression in vivo after STAg injection, indicating that induction of SOCS-2 expression depends on LX-generated enzymes and receptors. Confirming the involvement of SOCS-2 in LXA₄-triggered immune regulatory pathways, SOCS-2-deficient and AhR-deficient mice do not show repression of IL-12 production after in vivo restimulation with STAg (DC paralysis model).³⁵ During infection with *T. gondii*, SOCS-2 deficient mice have significantly higher IL-12, IFN- γ and TNF production, reduced number of brain cysts, higher frequency of TNF+ and iNOS+ cells infiltrating the central nervous system and higher mortality rates—consistent with the results found in *T. gondii*-infected, 5-LO deficient mice. Additionally, *T. gondii*-infected SOCS-2 deficient mice produce significantly higher levels of chemokines and show increased monocyte and neutrophil migration into the peritoneal cavity, compared with WT counterparts.³⁵ Taken together, these studies demonstrate that, during *T. gondii* infection pro-inflammatory cytokine responses, leukocyte infiltration and T-cell activation are all controlled by a LX-regulatory pathway dependent on SOCS-2 in vivo.

Furthermore was also shown that SOCS-2 has a role in the intracellular pathways triggered by ATLs.³⁵ ATLs share the same receptors and mediate the same biological effects, as LXA₄.³⁶ Indeed, we have shown that WT mice, but not BOC₂ (a peptide antagonist for ALX receptor)-treated or AhR-deficient mice, upregulate SOCS-2 expression after treatment with Aspirin. Moreover, Aspirin-treated SOCS-2-deficient mice fail to inhibit neutrophil infiltration into the peritoneal cavity after thioglycollate challenge and SOCS-2 is also essential for in vivo Aspirin-dependent inhibition of lipopolysaccharide (LPS)-induced secretion of TNF, a hallmark of the anti-inflammatory actions of this drug.³⁵ Thus, we have shown that endogenous LXA₄ and ATL, signaling through AhR and LXAR, modulate innate and acquired immune responses through inducing SOCS-2 expression.³⁵

LX-Induced SOCS2 Mediated TRAF2 and TRAF6 Proteosomal Degradation: A Major Pathway for the Anti-Inflammatory Actions of LXA₄ and ATL

SOCS family proteins are thought to mediate their actions by docking to the intracellular domains of cytokine or hormone receptors, thereby preventing binding and activation of downstream signaling elements.²⁸ Some SOCS proteins also facilitate proteasome-dependent degradation of transcription factors through the induction of ubiquitination.^{27,28} Regardless of the target, the basic mode of action of all SOCS proteins studied to date is similar. In general, SOCS proteins associate with their targets via the SH2 domain, however this does not seem to be sufficient for inhibition. In the case of the association of JAK proteins with SOCS-1 and -3, a second domain in the amino terminus of the molecule is responsible for blocking the kinase activity.²⁷ In other cases, i.e., association with STATs, the inhibition occurs merely by steric hindrance of the docking site. Ultimately, the SOCS/target protein complex is subject to proteasome-mediated degradation. This occurs via poly-ubiquitination catalyzed by the complex ElonginBC/Cullin-2 bound to the SOCS-box domain in the carboxy-terminus of SOCS proteins (Fig. 3).

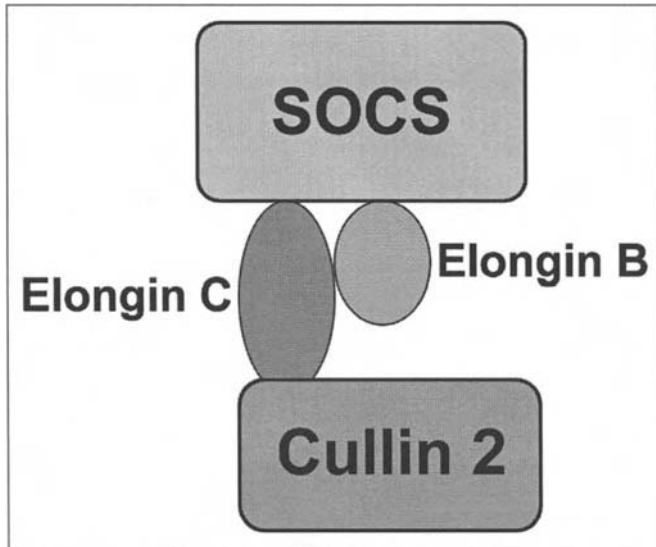


Figure 3. SOCS ubiquitin ligase complex. SOCS1 forms an E3 ubiquitin ligase complex with elongins B and C, Cullin-2. The ubiquitinated substrate is subsequently recognized and degraded by the proteasome.

Recently it was shown that LXAs-induced SOCS-2 associate with TNF receptor-associated factors (TRAFs) 2, 6.³⁷ TRAFs constitute a family of highly conserved adapter proteins among several species, including protozoa, nematodes, insects and mammals. TRAFs are important in diverse biological functions, including innate and adaptive immune response, embryonic development, stress responses and bone metabolism. As adapter proteins, TRAFs elaborate receptor signal transduction as both a convergent and a divergent platform. TRAF proteins are characterized by the presence of a unique TRAF domain at the C-terminus, the TRAF-C domain. This domain plays an important role in defining biological function by mediating self-association as well as upstream interactions with receptors and other signaling molecules. The N-terminus usually contains a RING finger motif as well as several zinc finger motifs, which are important for downstream signaling events.³⁸

TRAF6 is the only TRAF family member that participates in signal transduction from both TNF receptor superfamily members and IL-1/Toll-like receptor superfamily members. It is a critical element in signal transduction during innate microbial recognition (via TLRs), adaptive immune responses (via CD40) as well as TNF-mediated responses (via TNFR). TRAF6 undergoes ubiquitination when activated and can act as a junction, linking the signaling cascades initiated upon triggering of the above receptors to their downstream elements, such as NF- κ B and AP-1 activation pathways.³⁹ It is important to point out that the ubiquitin ligation that mediates TRAF6 activation does not signal for proteasome degradation, which only occurs when ubiquitin chains are bound to its targets. Genetic deficiency of TRAF6 causes a lack of responsiveness to TLR-ligands, IL-1, IL-18 and TNF, thus leading to increased susceptibility to infection with several pathogens.³⁹

Interesting that LX-induced SOCS2 association with TRAF2, 6 was described as a new molecular target and mechanism of action of LX/ATL-induced SOCS. In fact, LX-induced SOCS2 targets TRAF2 and TRAF6 for proteasomal degradation; degradation of TRAF6 renders cells exposed to LX unable to respond to stimulation through TLRs, TNF-R, IL-1R and CD40, resulting in the inhibition of pro-inflammatory cytokine production by DCs; proteasome-mediated degradation of TRAF6 plays a major role in the mechanism of action of ASA in restraining DC production of pro-inflammatory cytokines; and this pathway of targeted proteasomal

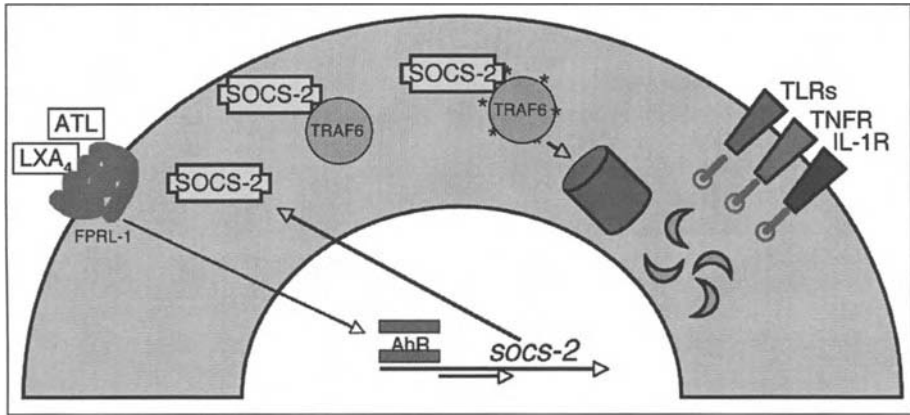


Figure 4. LXA₄-mediated TRAF6 degradation pathway. LXs and ATLs bind to two receptors, the membrane receptor ALX/FPRL-1 and the nuclear receptor, AhR. Upon ligation, AhR translocates to nucleus, dimerizes with ARNT. Transcription of SOCS-2 is induced in a AhR-dependent manner, cytoplasmic SOCS-2 proteins conjugate with TRAF6 leading to a proteasome-mediated degradation and, therefore, inhibiting TLR's, TNFR and IL-1R signaling pathways.

degradation of signaling intermediates is critical for successful modulation of inflammatory responses during infection with *T. gondii*.³⁷ The biological role of LX and ASA-driven TRAF2 degradation remains to be defined.

Concluding Remarks

Researches have traditionally focused on the mechanisms that underlie and control the activation of inflammatory responses. The last decade has seen growing awareness and experimental attention paid to the fact that restraint and resolution of inflammatory responses is also, perforce, under tight, active regulation. In turn, this has led to growing interest in facilitation of resolution as a therapeutic strategy for the dysregulated inflammation seen as central to the pathogenesis and expression of an increasingly wide spectrum of diseases. The mechanism(s) of action of the lipoxins, at the level of cellular signaling, are of interest. Along these lines, LXA₄ and ATL restrain innate and acquired immune responses via stimulating SOCS-2 expression in an AhR-dependent manner. LX-induced SOCS-2 targets TRAF2 and TRAF6 for proteasomal degradation that results in degradation of TRAF2, 6 inhibiting pro-inflammatory cytokine production during *T. gondii* infection and Aspirin treatment (Fig. 4). The biological role of LX and ASA-driven TRAF2 degradation remains to be defined. In addition, the emerging role for lipoxins as immune-modulatory mediators and the potential use of their inhibitory effects for pathogen survival and replication, is still a new and unclear field. The nature of the pathogen-derived signal that contributes to lipoxin generation, or whether the anti-inflammatory effects of LXA₄ have a critical role in the balance between Type 1, Type 2 and regulatory T-cell responses await to be clarified.

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Immunomodulatory Activity and Therapeutic Potential of the Filarial Nematode Secreted Product, ES-62

William Harnett* and Margaret M. Harnett

Abstract

ES-62 is a protein that is actively secreted by filarial nematodes during parasitism of the vertebrate host. The molecule is able to directly interact with a number of cells of the immune system including B-lymphocytes, dendritic cells, macrophages and mast cells. Interaction appears to be dependent on complexing with TLR4 and results in modulation of the activity of a number of signal transduction molecules including MAP kinases, PI-3 kinase and NF- κ B. Immunomodulatory activity of ES-62 appears to be largely due to the presence of phosphorylcholine (PC) moieties covalently attached to N-type glycans. The net effect of ES-62's interaction with the immune system is the generation of an anti-inflammatory immunological phenotype. As a consequence of this, ES-62 demonstrates striking drug-like activity in models of disease associated with aberrant inflammation, in particular those associated with autoimmunity and allergy.

Introduction

There is currently much interest amongst scientists and clinicians in the "Hygiene Hypothesis". This hypothesis claims that the increased incidence of allergic and autoimmune diseases observed in Western countries in recent decades is due to a loss of appropriate priming of the immune system by pathogens such as parasitic worms (also called helminths) during the early years of life.¹ In support of this, it has been suspected for many years that several autoimmune disorders present with reduced incidence and severity in parts of the world with high helminth load (reviewed in ref. 2). As an example, rheumatoid arthritis (RA), a T helper 1 (Th-1)-cell mediated disease associated with high levels of pro-inflammatory cytokines such as TNF- α , is reduced in helminth-endemic areas.^{3,4} In addition, but more surprisingly, given that worms tend to induce strong Th-2-Type (IL-4, IL-5- and IL-13-dependent) immune responses, there also appears to be an inverse correlation between parasite load and atopy showing that helminths also appear to suppress Th-2-biased inflammatory disorders (reviewed in refs. 4, 5). Indeed, there is ever-increasing evidence that a number of helminth species can delay or prevent the onset of a wide range of autoimmune or allergic disorders.^{4,5}

Filarial nematodes represent a group of helminths that can induce particularly severe morbidity with a significant proportion of sufferers presenting with debilitating health problems including severe skin lesions, elephantiasis and several forms of eye damage each of which may lead to blindness.⁶ Infection with these organisms is long-term, with individual worms surviving for up to 10 years.⁷ Parasite longevity is almost certainly dependent on suppression or modulation of the host

*Corresponding Author: William Harnett—Strathclyde Institute of Pharmacy and Biomedical Sciences, University of Strathclyde, Glasgow G4 0NR, UK. Email: w.harnett@strath.ac.uk

immune system (reviewed in refs. 8, 9), which demonstrates impairment of lymphocyte proliferative responses and polarisation of the cytokine and antibody response. With respect to the latter, there is reduced Th-1-associated, interferon-gamma (IFN- γ) and increased Th-2-associated interleukin (IL)-4 and anti-inflammatory IL-10, cytokine production and also greatly elevated levels of IgG₄, an antibody of little value in eliminating pathogens due to an inability to activate complement or bind with high affinity to phagocytic cells (a neutralising antibody). Overall therefore, the picture is of an immune response responding to infection with a somewhat suppressed (impaired lymphocyte proliferation), anti-inflammatory (increased IL-10/IgG₄ production), Th-2- (IL-4) like, phenotype. It has been speculated that in addition to promoting parasite survival, such a phenotype is conducive to host health by limiting development of the pathological lesions referred to earlier that are suspected to result from aggressive, pro-inflammatory immune responses. There is increasing evidence that immunomodulators synthesised and secreted by the nematodes may be responsible for such immune system deviation. ES-62, a secreted protein that we discovered in the rodent filarial nematode *Acanthocheilonema viteae*,¹⁰ and subsequently found to have well conserved orthologues in human filarial nematode parasites including *Brugia malayi* and *Onchocerca volvulus*^{11,12} is one such molecule.

ES-62

ES-62 is produced in cells that subtend the oesophagus by the post-infective lifecycle stages (L4 larvae and adult worms) of *A. viteae* and can be detected in the serum of the jird host, *Meriones libicus*.^{10,11} However, work on both *A. viteae* and *B. pahangi* has shown that the ES-62 gene is transcribed throughout the helminth lifecycle, although mRNA levels are considerably higher in adult worms than L3 larvae (~5% adult levels for *A. viteae*) and microfilariae (<0.2% adult levels for *A. viteae*).¹² ES-62 mRNA is translated into a protein of 62 kDa (including posttranslational modifications) that has phosphorylcholine (PC) moieties attached via an *N*-type glycan, the latter having been trimmed to the tri-mannosyl core and then substituted with between one and four *N*-acetylglucosamine residues during oligosaccharide processing (reviewed in ref. 13). The number of PC-containing glycans present on each ES-62 molecule has not been resolved to date and the number of PC groups per glycan has been shown to be variable.

ES-62 has highest sequence homology with members of the M28 peptidase family (e.g., 38% and 37% identity with mouse and human aminopeptidases) and has been shown to possess some, albeit weak peptidase activity in vitro against synthetic substrates.¹⁴ Interestingly, the biologically active forms of many peptidases are dimeric or tetrameric^{15,16} and consistent with this, gel filtration studies and sedimentation equilibrium data demonstrated that ES-62 is a tightly bound tetramer formed from dimers.^{13,17,18} Furthermore, divalent cations are known to be critical for the function of many peptidases and ES-62 has a putative metal coordination motif in its sequence; indeed, a strong magnesium (Mg²⁺) signal was detected in its atomic emission spectrum.¹⁸ Although a function for the peptidase component of ES-62 has not yet been convincingly demonstrated, the molecule has been shown to display a variety of immunomodulatory properties, many of which have been attributed to the presence of PC. PC is a molecular pattern associated with pathogen products from a diverse range of organisms, including bacteria, fungi and protozoa, as well as filarial and gastrointestinal nematodes (reviewed in ref. 19). It enables detection of pathogens by the host (for example via antibodies or C-reactive protein), but as revealed in detail below can also function to promote pathogen survival via modulation of the host immune response.

Immunomodulatory Properties of ES-62

ES-62 is pleiotropic, being able to exert its effects on a variety of cells of the immune system including B-lymphocytes,²⁰⁻²² antigen presenting cells (APCs) such as dendritic cells (DCs) and macrophages²³⁻²⁷ and mast cells.²⁸ Rather than acting simply in a nonspecific immunosuppressive manner, ES-62 tends to block Th-1 responses and induce anti-inflammatory type immune responses,^{29,30} although it has also been shown to block Th-2 responses in a situation in which they are associated with inflammation.²⁸ This latter finding was only obtained recently and has led us to

conclude that our original hypothesis, namely that ES-62 is a Th-2 polarising agent is incorrect and thus to speculate that the true target of ES-62 may perhaps be a cytokine such as IL-17 (Fig. 1), which appears to act as a master regulator of both Th-1 (e.g., rheumatoid arthritis)- and Th-2 (asthma)-type inflammation.³¹⁻³³ This latter idea is currently under investigation. Specific examples of the effects of ES-62 on the mouse immune system are prevention of FcεRI-mediated mast cell degranulation,²⁸ inhibition of BCR-mediated B-lymphocyte proliferation,²⁰⁻²² the production of IL-10 by B1 cells,²⁵ reduced levels of IL-12, IFN-γ and pro-inflammatory cytokines by APCs in response to TLR ligands (BLP, LPS, CpG)³⁴ and the production of IgG₁ (equivalent of human IgG₄ in some aspects, e.g., inability to activate complement) rather than opsonising/complement fixing IgG_{2a} antibodies.³⁵

Extensive investigation over the last fifteen years has provided a great deal of information on the mechanism of action of ES-62 and four important findings have emerged:

1. (As briefly mentioned earlier) ES-62's activity is largely dependent on its PC moiety. This belief has emerged from experiments in which normal and PC-free ES-62 were compared³⁵ and in which ES-62 was compared with PC-conjugated to proteins such as albumin or ovalbumin.^{23,36} The take-away message is that PC-free ES-62 in general lacks ES-62's immunomodulatory activity whereas PC-conjugated proteins largely mimic it.
2. ES-62's activity is dependent on the presence of TLR4 on the target cell. This conclusion initially arose when macrophages and DCs from TLR4-KO (but not TLR2 or TLR6) mice were found to be nonsusceptible to the action of ES-62.³⁴ Subsequently it was found that ES-62 was largely ineffective against mouse mast cells if TLR4 levels were greatly depleted by the use of an antisense oligonucleotide approach.²⁸ Interestingly the interaction of ES-62 with TLR4 is distinct from that of LPS. For example, unlike LPS, ES-62 is fully active against APC derived from HeJ mice that have a mutation in the TIR domain of TLR4.^{34,37}

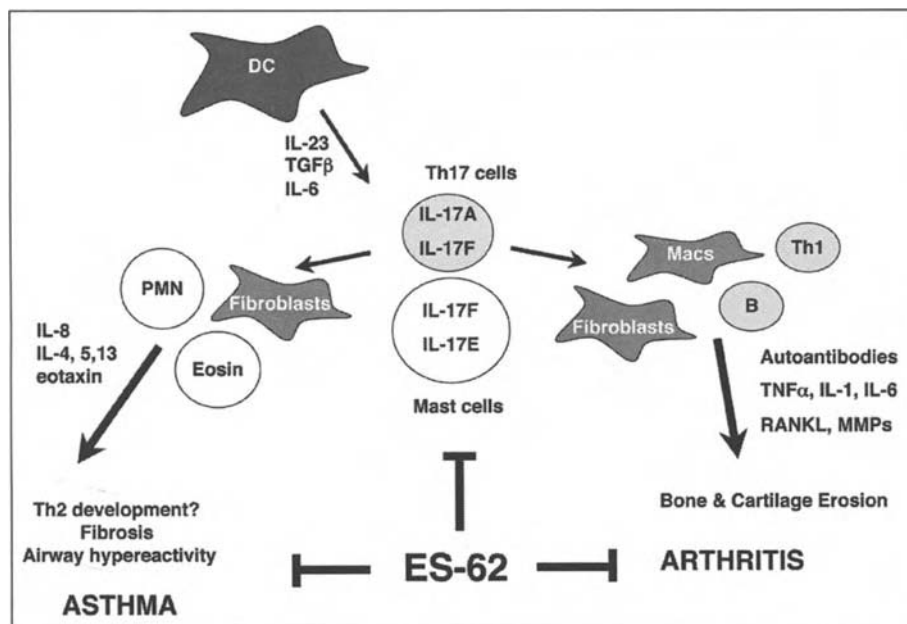


Figure 1. ES-62 may suppress inflammation by targeting IL-17 responses. IL-17 has been implicated in the pathogenesis of diseases resulting from both Th-1- and Th-2-driven inflammation. ES-62 can suppress both types of inflammation and may do this by targeting IL-17 production by mast cells and/or Th-17 cells. Macs = macrophages; MMPs = matrix metalloproteinases.

3. ES-62's activity involves modulation of signal transduction pathways. Extensive investigation has enabled us to show that exposure of cells of the immune system to ES-62 results in modulation of a number of signal transduction pathways including those dependent on PI-3 kinase, MAP kinases and NF- κ B.^{38,39} Modulation of such pathways can clearly explain the immunomodulatory effects of ES-62 and in some cases the mechanism of modulation has been resolved. With respect to B-lymphocytes for example, ES-62 actually activates some signalling molecules such as Erk MAP kinase resulting in the induction of negative feedback signalling such that when subsequent BCR ligation occurs, Erk activity is rapidly uncoupled by activation of the inhibitory phosphatase, PAC-1 and hence activation of the cell is prevented.²²
4. ES-62's activity is dependent on degradation of PKC- α . This was first shown in B-lymphocytes,²⁰ where PKC- α is important for proliferation and hence the effect contributes to inhibition of proliferation. Subsequently it was found that ES-62 caused a similar degradation of PKC- α in mast cells. As PKC- α is necessary for PLD-coupled, sphingosine kinase-mediated, calcium mobilisation in mast cells and this pathway is necessary for degranulation, PKC- α degradation provides the molecular mechanism by which ES-62 blocks such degranulation.²⁸ The mechanism of ES-62-mediated PKC- α degradation has been characterised in mast cells (Fig. 2): complexing of ES-62 and TLR4 at the plasma membrane results in sequestration of PKC- α followed by caveolae/lipid raft-dependent internalisation and nonproteosomal degradation at the peri-nuclear region of the cell.²⁸ Sequestration of PKC- α does not take place when ES-62 is substituted by LPS, again highlighting differences between the two molecules with respect to the consequences of their interaction with TLR4.

Therapeutic Potential of ES-62

Rheumatoid arthritis (RA), as mentioned earlier, has been associated with a dysregulated Th-1 immune response in which there is excess pro-inflammatory cytokine production⁴⁰⁻⁴² within inflamed RA synovial membrane that contributes directly to cartilage/bone erosion through matrix metalloproteinase production and dysregulated chondrocyte/osteoclast function.^{43,44} As our original hypothesis regarding ES-62's mechanism of action was that it inhibited Th-1-associated inflammatory responses, we investigated the prophylactic effect of ES-62 on the development of collagen-induced arthritis (CIA) in mice.⁴⁵ ES-62 significantly suppressed the severity of developing CIA and as predicted, these prophylactic effects correlated with the inhibition of collagen-specific pro-inflammatory/Th-1 cytokine production (TNF- α , IL-6 and IFN- γ) and suppression of collagen-specific IgG_{2a} antibodies. Therapeutic administration of ES-62 after CIA became clinically detectable also showed significant reduction in arthritis progression compared with vehicle-treated controls in terms of the number of subsequently recruited arthritic joints and reduced progression of articular swelling in the initially inflamed joint. Indeed, progressive articular inflammation and destruction was significantly suppressed by the nematode product.^{45,46} Together these data indicate that ES-62 therapeutically and potently suppressed inflammatory CIA even when treatment was commenced after the onset of clinically detectable disease, a key predictor used in developing cytokine-targeting therapies in RA.⁴⁷ Finally, to further investigate the therapeutic potential of ES-62 in humans, we performed parallel studies on primary cultures from RA synovial fluid and membrane and compared their capacity for cytokine release in the presence or absence of ES-62. Significant suppression of LPS-induced TNF- α and IL-6 was observed in the presence of ES-62, clearly indicating that the latter can modify critical pro-inflammatory pathways *ex vivo* in disease relevant tissues.⁴⁵

The idea that ES-62 activity was only directed against Th-1 type-inflammatory diseases was questioned when it was found to be active in a mouse model of allergy—ovalbumin-induced airway hypersensitivity.²⁸ As mentioned earlier, allergy is of course dependent on a Th-2 type of immune response and indeed since these data were obtained we have also witnessed a failure of ES-62 to modulate the activity of some Th1-inducing molecules.⁴⁸ In the airway hypersensitivity model,

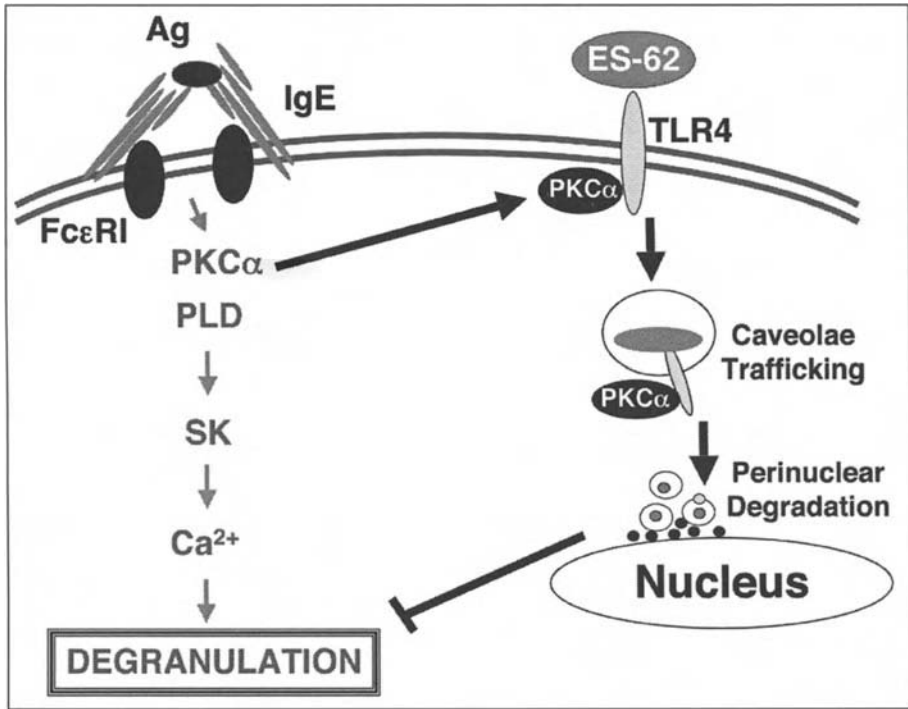


Figure 2. Filarial nematode-derived ES-62 induces hypo-responsiveness of mast cells by disrupting FcεRI signalling. Antigen (Ag)-driven ligation of IgE bound to FcεRI induces mast cell degranulation and generation of pro-inflammatory mediators and cytokines by triggering a signalling cascade involving PKCα-recruitment of the phospholipase D (PLD)-coupled, sphingosine kinase (SK)-mediated calcium mobilisation pathway. ES-62 directly blocks mast cell activation by subverting TLR4 signalling such that it results in sequestration and trafficking of PKCα for perinuclear degradation by a caveolae/lipid raft, proteasomal-independent mechanism. Such sequestration of PKCα uncouples FcεRI-mediated mast cell responses.

ES-62 was found to reduce peri-bronchial inflammation and mucosal hyperplasia, inhibit eosinophils and prevent release of the signature cytokine required for airway inflammation development, IL-4. ES-62 was also found to be active in a second model of Type I hypersensitivity, immediate-type hypersensitivity to oxazolone in the skin.²⁸ In this model, ES-62 targeted inflammation as shown by a reduction in ear swelling and this was correlated with inhibitory effects on mast cell activation. Subsequent *in vitro* analysis revealed that ES-62 directly prevented mast cell degranulation and release of mediators of allergy such as leukotrienes, prostaglandins and pro-inflammatory cytokines induced via ligation of FcεRI. It is our understanding that ES-62 is the first pathogen product described to directly inhibit mast cell effector function in this manner.

The Future

ES-62 is a large and hence immunogenic molecule whose posttranslational addition of PC is dependent on a nematode-specific pathway.⁴⁹ For these reasons, it is not suitable for use as drug. However, small PC-based derivatives offer a viable alternative. Towards this end we have shown that small PC-containing molecules (e.g., PC-glycans) can mimic some of the activities of ES-62 *in vitro*.⁵⁰ The next step will be to demonstrate that the same is true with respect to ES-62's anti-inflammatory activity *in vivo*.

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CHAPTER 8

Helminth-Derived Immunomodulatory Molecules

Poom Adisakwattana, Sean P. Saunders, Hendrik J. Nel
and Padraic G. Fallon*

Abstract

Infection of man with parasitic helminths leads to potent activation and modulation of the host immune response. This modulation of immunity by helminth infections may have bystander effects in altering, either suppressing or exacerbating, unrelated inflammatory processes. Various ongoing clinical trials are testing the therapeutic application of helminth infection of patients with inflammatory diseases, including inflammatory bowel disease and allergic disorders. Rather than the use of live helminth infection, with the potential for side effects, an alternative approach is to identify the immune modulatory molecules (IM) produced by helminths that can alter immune functions. In this review, we will focus on characterized helminth-derived IMs that may have potential to be developed as novel therapeutics for inflammatory diseases.

Introduction

Helminth parasites infect a broad range of species, including man and it is estimated that a third of the global population is infected with parasitic worms. In this chapter, we focus solely on parasitic helminths, which have a medical significance to humans. These species include the Digenean flukes of the Class Trematoda (Flatworms) and the Class Cestoda (Tapeworms), both of the phylum Platyhelminthes and the phylum Nematoda (Roundworms). Some of the major species of helminths of medical importance to humans are outlined in Table 1, presented with an arbitrary ranking based on prevalence of worldwide infections.

Helminth infections of man are often characterized by long-term chronic infections, frequently for decades, without overt morbidity and relatively few deaths. In helminth-infected individuals, the number of worms present in the host determines the levels of morbidity and pathology. Higher worm burdens result in more severe pathology and potential death. This propensity of parasitic helminths to chronically infect the human host without severe morbidity is indicative of co-evolution whereby the parasite has adapted to infect the host without killing all infected individuals. In response to infection with a foreign pathogen, such as helminths, the host will initiate a potent immune response against the parasites. Despite the attrition from the host's immune system, parasitic helminths achieve long-term survival in the host as they have evolved multiple mechanisms to suppress immune responses and promote tolerizing regulatory responses to down-modulate inflammation. Rather than focus on the modulation of host immunity for the benefit of the parasites survival, there is a growing awareness that these processes may protect against the more deleterious aspects of pathology in unrelated inflammatory diseases. Table 2 summarizes a number of human diseases reportedly modulated by natural helminth infections. In the context of human atopy, we have postulated that the immunomodulatory

*Corresponding Author: Padraic G. Fallon—Inflammation and Immunity Group, Institute of Molecular Medicine, Trinity College Dublin, Dublin 8, Ireland. Email: pfallon@tcd.ie

Table 1. Medical important diseases caused by parasitic helminths

Disease	Helminth
	Nematode
Ascariasis	<i>Ascaris lumbricoides</i>
Trichuriasis	<i>Trichuris trichiura</i>
Ancylostomiasis (Hookworm infection)	<i>Ancylostoma duodenale</i> <i>Necator americanus</i>
Lymphatic filariasis (Elephantiasis)	<i>Wuchereria bancrofti</i> <i>Brugia malayi</i>
Enterobiasis	<i>Enterobius vermicularis</i>
Toxocarasis (Ocular/visceral larva migrans)	<i>Toxocara canis</i>
Onchocerciasis (River Blindness)	<i>Onchocerca volvulus</i>
Strongyloidiasis	<i>Strongyloides spp.</i>
Angiostrongyliasis	<i>Angiostrongylus cantonensis</i>
Anisakiasis	<i>Anisakis simplex</i>
Trichinellosis or Trichinosis	<i>Trichinella spiralis</i>
	Trematode
Schistosomiasis (Bilharzia or snail fever)	<i>Schistosoma haematobium</i> <i>Schistosoma mansoni</i> <i>Schistosoma japonicum</i>
Paragonimiasis	<i>Paragonimus westermani</i>
Opisthorchiasis	<i>Opisthorchis viverrini</i>
	Cestode
Taeniasis	<i>Taenia spp.</i>
Cysticercosis (Neurocysticercosis)	<i>Taenia solium</i>
Alveolar Echinococcosis (Hydatid disease)	<i>Echinococcus multilocularis</i>

*The worldwide incidences of medically important helminth-induced diseases vary greatly. From 1.221 billion *Ascaris* infections to <1000 *Trichinosis* cases/year.

mechanisms employed by helminths to alter host immunity may induce regulatory responses that down-modulate inflammatory allergic processes in the infected host.¹ The potent immunosuppressive capabilities of certain parasitic helminth species has promoted research on exploiting helminths as therapeutics to treat unrelated inflammatory disease in patients. Immune modulation by helminth parasites has recently been extensively reviewed.¹⁻⁵ Therefore, the role of helminth infections in modulation of unrelated disease in humans and experimental animal models will not be covered here.⁶ In this chapter we review the known helminth immunomodulatory molecules (IM), which have been shown to have a defined effects on immunity and may have therapeutic potential.

Therapeutic Use of Helminth Infections

The data in support of a protective role for helminth infections in modulating inflammation poses the clinically relevant question, "Will a worm a day keep the doctor away?" To address this question, there are a number of completed and ongoing clinical trials that involve the deliberate infection of patients with live helminth infections (Table 3). In recent clinical trials, patients with

Table 2. Studies showing that infection with helminths may modulate selected human diseases

Diseases	Helminth	Effect of Infection	Ref.
Allergic sensitization	<i>Schistosoma haematobium</i>	Lower skin-prick test positives to different allergen	7
	<i>Schistosoma mansoni</i>	Low skin-prick test responsiveness to inhaled allergen	8
	<i>Ascaris lumbricoides</i> and <i>Necator americanus</i>	Low risk of sensitization to dust mite allergen	9
Multiple sclerosis (MS)	<i>Hymenolepis nana</i>	Improved clinical profile	10,11
	<i>Trichuris trichiura</i>		
	<i>Ascaris lumbricoides</i>		
	<i>Strongyloides stercoralis</i>		
Tuberculosis	<i>Trichuris trichiura</i>	Deleterious effects on immune response to mycobacterial infection	12
	<i>Ascaris lumbricoides</i>	Increased pathological damage following antimycobacterial treatment with a poorer clinical outcome. Decreased immunogenicity of BCG vaccination against mycobacterial infection	
	<i>Strongyloides stercoralis</i>		

Table 3. Clinical trials involving helminth infections of patients with defined human inflammatory diseases

Disease	Helminth	Therapeutic Effect
Inflammatory bowel disease/ Irritable bowel disease		
Crohn's disease	<i>Trichuris suis</i> Ova	Decreased disease symptoms. ^{13,22}
Crohn's disease	<i>Necator americanus</i>	Decreased disease symptoms. ¹⁵
Ulcerative colitis	<i>Trichuris suis</i> Ova	Regresses clinical symptoms. ^{13,22}
Autoimmunity		
Celiac disease	<i>Necator americanus</i>	Ongoing trial; recruiting Phase II ^A
Multiple Sclerosis	<i>Necator americanus</i>	Ongoing trial; not yet recruiting Phase II ^B
Allergic diseases		
Allergic Rhinoconjunctivitis	<i>Necator americanus</i>	Completed trial ^C
Asthma	<i>Necator americanus</i>	Completed trial ^D (16, 17, 23)

^AClinicalTrials.gov Identifier: NCT00671138; ^BClinicalTrials.gov Identifier: NCT00630383;

^CClinicalTrials.gov Identifier: NCT00232518; ^DClinicalTrials.gov Identifier: NCT00469989.

inflammatory bowel disease (IBD) have been infected with two different parasitic helminth species. Repeated administration of *Trichuris suis* ova, the porcine whipworm, has been reported to be a safe and an effective treatment for IBD.^{13,14} In separate studies, infection of IBD patients with the human

hookworm *Necator americanus* has also been shown to have therapeutic potential.¹⁵ Furthermore, *N. americanus* has also been utilized to treat volunteers with allergies.¹⁶

All studies using live helminth infections to-date have been promising, but there is a need for caution when considering such approaches with potentially virulent pathogens beyond the controlled conditions of clinical trials. Indeed, evidence in support of a protective role for helminths in unrelated inflammatory conditions is conflicting and differs between worm species. A recent meta-analysis of humans naturally infected with various helminths showed that certain species had no influence on response associated with unrelated diseases (asthma and wheeze), while infection with *Ascaris* led to potentially deleterious effects and, in contrast, hookworm infections have a strong dose-dependent protective effect.¹⁷ Furthermore, in animal studies there is data reporting that various helminths can exacerbate the severity in models of inflammatory bowel disease^{18,19} and allergic lung inflammation.²⁰ Alarmingly, a recent study reports that a patient with Crohn's disease, treated with *T. suis*, developed an iatrogenic infection despite the parasite being considered a nonhuman pathogen.²¹ Considering the potential side effects posed by such treatments with live worms, we believe a more logical approach is to identify and investigate the IMs expressed by helminths that may be used as anti-inflammatory therapeutics. For the remainder of the chapter, we describe helminth IMs that have been identified to-date.

Helminth IMs

The development of therapeutic IMs from bacteria and viruses is a widely investigated area of research, for reasons previously discussed.⁵ In comparison, though less helminth IMs have been described to-date, there are some aspects of the interplay between helminths and the human host that would suggest they are an IM source of significant promise. A fundamental feature of worm infections is that rather than being typified by transient acute infections, they modulate host immunity via the synthesis and secretion of various parasitic IMs that chronically usurp the immune response for years to decades. It is this capacity for long-term immune suppression that suggest a potential for use of helminth IMs as treatments in chronic inflammatory conditions.

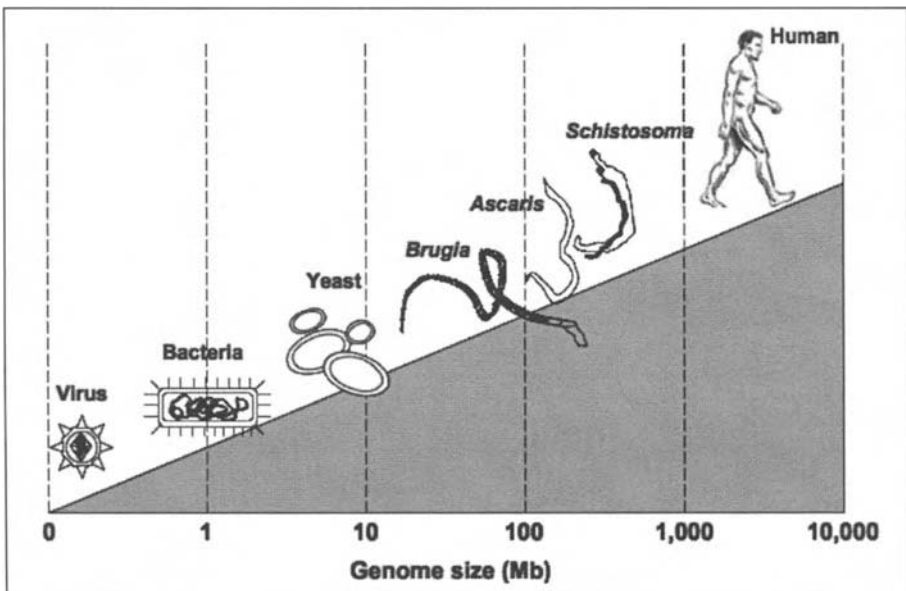


Figure 1. Comparison of genome size in different organisms. The figure illustrates the relatively large genome size (90-270 Mb) of the helminth species shown (*Brugia*, *Ascaris* and *Schistosoma*) relative to other organisms.

Table 4. Comparative biological differences between certain parasitic helminths and viruses

	Parasitic Helminth			Viruses
	<i>Schistosoma</i>	<i>Brugia</i>	<i>Ascaris</i>	
Genome size	270 Mb	85-95 Mb	230 Mb	2-200 kb
Expressed proteins (estimates)	15-20,000	~18,500	15-20,000	Encoding up to 200 proteins
Location	Vasculature	Lymphatics	Intestinal lumen	Obligate intracellular
Infection	Skin penetration	Insect bite	Ingesting eggs	Respiratory, alimentary or urogenital tract, skin or eye
Intermediate host/vector	Snail	Mosquito	None	Generally none, some transmit through insects
Resident	Vasculature	Lymphatics, Vasculature	Gastro-intestinal tract	Systemic

As helminth parasites have large genomes compared with bacteria and viruses (Fig. 1), they represent a potentially greater source of diverse IMs. The large genome of helminths, with 10-20,000 expressed proteins, is possibly a prerequisite for the complexity of their life-cycles, with stage- or tissue-specific expression of genes in the multiple life-cycle stages of sexual maturation that occur in the definitive mammalian host. As many worms have intermediate hosts or vectors, this further necessitates genes that function as IMs to modulate the intermediate host's immunity (Table 4). In the mammalian host, the major interface between the immune system and the adult parasitic worms, their larvae or eggs, are molecules expressed on the surface or those that are secreted or excreted by the parasite. However, despite the known potent immunomodulatory activity of helminth parasite infections or extracts from the parasite, relatively few IMs from parasitic worms have been characterized to-date. In this chapter, we have restricted helminth IMs to only recombinant proteins or characterized native molecules with proven bioactivity (Table 5). We will describe some aspects of the modulatory activity of these helminth IMs.

ES-62

The molecule was first discovered as a 62 kDa factor in excretory/secretory products (ES-62) of *Acanthocheilonema viteae*, a filarial nematode of rodents in 1989 by Harnett et al.⁴⁰ It was also identified in other filarial nematode species that can cause severe human morbidity, such as *Wuchereria bancrofti*, *Brugia malayi* and *Onchocerca volvulus*. ES-62 is a phosphorylcholine (PC) containing glycoprotein that has been widely investigated as an immunomodulatory molecule. The active native molecule of ES-62 contains PC moieties that are modified by posttranslational processes.⁴⁰

ES-62 exhibits immunomodulatory effects that can lead to downregulation of immunity, as well as inducing the maturation of Th2-inducing dendritic cells (DC) with a resulting Th2 cell polarized immune response.⁴⁰ In addition, it is broadly anti-inflammatory and mediates diverse effects on various cells of the immune system.⁴⁰ Functional studies of ES-62 suggested that immunomodulatory activity may rely on the PC moiety.⁴¹ Indeed, in a recent study, PC conjugated with other carrier proteins mimics the function of native ES-62, whereas recombinant ES-62 without PC did not display immunomodulatory activity.⁴² ES-62 modulates macrophages and DCs by first binding with TLR4 on their surface.⁴³ In addition to modulating macrophages and DCs, ES-62 forms a complex with TLR4 on the surface of human mast cells, triggering a

Table 5. Examples of IM from helminths that modulate immune responses

IM	Helminth	Modulatory Activity	Ref.
Cystatin	<i>Onchocerca volvulus</i>	1. Induces cell hyporesponsiveness	24
	<i>Acanthocheilonema viteae</i>	2. Induces IL-10-producing macrophages	25
ES-62	<i>Acanthocheilonema viteae</i>	1. Anti-inflammatory (prevents collagen induced arthritis)	26
		2. Protects against allergic airway hyperresponsiveness and skin hypersensitivity	27
LNFP III	<i>Schistosoma mansoni</i>	Th2-inducing adjuvant	28,29
Lyso-PC	<i>Schistosoma mansoni</i>	Stimulates IL-10 producing regulatory T-cells	30
Sm16	<i>Schistosoma mansoni</i>	Suppresses cutaneous inflammation	31
SmCKBP	<i>Schistosoma mansoni</i>	Chemokine binding protein (suppresses acute inflammation)	32
Thioredoxin peroxidase	<i>Fasciola hepatica</i>	Induces alternatively-activated macrophages	33,34
	<i>Schistosoma mansoni</i>		

downstream signal cascade.²⁷ The downstream product triggered by the ES-62/TLR4 complex directly inhibits the FcεRI-related allergic response of mast cells and blocks mast cell degranulation.²⁷ In terms of therapeutic potential, ES-62 reduces articular inflammation in a model of collagen-induced arthritis in the DBA-1 mouse.²⁶ Moreover, ES-62 efficiently protected against hypersensitivity, mediated by mast cells in the skin and lungs of a hyperresponsive allergic mouse model.²⁷ The data provides compelling evidence in support of further research into the therapeutic potential of ES-62, or small molecule derivatives/peptides, in a variety of immunological diseases and disorders.

Sm16

In countries where *Schistosoma mansoni* is endemic, water can contain the infective stage, the cercariae, which can attach to the skin of a human host. The cercariae penetrate the skin following the secretion of a proteolytic cocktail to gain entry into the epidermis from where they can transform into schistosomula, a larval stage, which migrate to the vasculature. Previous studies suggest that *Schistosoma mansoni* larvae utilize an immunomodulatory mechanism during penetration and migration through the skin of rodent host by suppressing inflammatory responses.^{31,44} ES products from *S. mansoni* cercariae and schistosomula could activate production of IL-1 receptor antagonist from human keratinocytes *in vitro*⁴⁵ and cercariae also stimulate mouse skin and cultured keratinocytes to produce IL-10 that is a crucial regulatory cytokine.⁴⁶ An analysis of the bioactivity in cercariae ES products of the parasite found that a 16.8 kDa protein, designated Sm16, has partial homology with human stathmin and exerts an anti-inflammatory activity.⁴⁷ *In vitro* studies support a role for Sm16 in reducing expression of IL-1α in human keratinocytes, suppressing lymphocyte proliferation and down-regulating expression of ICAM-1.⁴⁸ Furthermore, consistent with a role in suppression of inflammation, intradermal delivery of Sm16 cDNA reduced cutaneous inflammation in mice.⁴⁸ Sm16 efficiently binds to the lipid bilayer presented on the cell membrane of diverse cell types that probably play a crucial role during skin penetration, which may account for its modulatory activity.⁴⁹

***S. mansoni* Chemokine Binding Protein (smCKBP)**

Production and secretion of cytokine and chemokine binding proteins (CKBP) is an immune-modulation strategy widely employed by a range of viruses.⁵⁰ SmCKBP is the first CKBP described in a parasite and is secreted solely from the eggs of *S. mansoni*, *S. haematobium* and *S. japonicum*.³² SmCKBP selectively binds to certain members of the chemokine subfamilies as well as the glycosaminoglycan heparan. Recombinant smCKBP suppresses inflammation induced in a mouse contact hypersensitivity model and blocked CXCL8-induced pulmonary inflammation.³² The smCKBP gene has also been cloned by Hass and colleagues and is reported to be an inducer of degranulation of basophils.⁵¹

Thioredoxin Peroxidase (TPx)

Thioredoxin peroxidase (TPx) was first described in the yeast, *Saccharomyces cerevisiae*.⁵² The enzyme is classified into the peroxiredoxin family which protects cellular components from oxidative damage by reactive oxygen species (ROS; O₂⁻, H₂O₂ and HO[•]).⁵² TPx is a ubiquitous enzyme that can be found in various organisms in the whole animal kingdom. In different organisms, TPx has a conserved amino acid sequence homology and a conserved TPx activity motif.^{53,54} Among helminths, TPx has been identified in both trematode, nematode and cestode species, examples of which include *Fasciola hepatica*, *S. mansoni*, *Onchocerca volvulus* and *Echinococcus granulosus*.^{33,53-55} Consistent with other aerobic organisms, parasites require antioxidant enzymes for protection from cell damage caused by ROS that are produced during cellular metabolic oxidation. In addition, they need antioxidant enzymes, such as TPx, for protection against ROS released from infection-stimulated host phagocytes (neutrophils, eosinophils and macrophages).⁵⁶

Functional characterization of TPx in helminths suggests that it plays a significant role in host-parasite interaction as an antioxidant enzyme against host ROS.⁵⁷ Recombinant *F. hepatica* TPx has shown immunomodulatory activity and was demonstrated to be an inducer of alternatively activated macrophages, including the inducement and recruitment of such macrophages to the peritoneum in a mouse model.³³ Recombinant TPx directly activates a mouse macrophage cell-line to induce a polarized Th2 cell response.³³ A recent study argues that the role of TPx on alternative activation of macrophages, is not only independent of antioxidant activity, but IL-4 and IL-13 independent as well.³⁴ This suggests that helminth TPx activates macrophage as an initial step to developing a Th2 response.³⁴

Onchocystatin

The Cystatins are ubiquitous proteinase inhibitors of cysteine proteases that regulate various biological and pathological processes by inhibition of cysteine protease activity.⁵⁸ Cystatin is secreted by human mononuclear phagocytes and during inflammatory processes its expression is down modulated which, in turn contributes to increased cysteine protease activity.⁵⁸ In addition, the study of cystatin in DC maturation and MHC molecule processing suggested that cystatin plays a role in the intracellular control of invariant chain degradation and antigen presentation.⁵⁸

In parasites, cystatin was first described in the filarial parasite *Onchocerca volvulus*, hence termed onchocystatin, which causes river blindness.⁵⁹ The cellular hyporeactivity induced by secreted onchocystatin when added to human peripheral blood mononuclear cells (PBMC) in vitro, is comparable to some aspects of the state of immune hyporesponsiveness seen in patients infected with *O. volvulus*.²⁴ In addition, onchocystatin stimulated human PBMCs to produce significant levels of IL-10 compared to unstimulated PBMCs and down-regulated the expression of HLA-DR proteins and costimulatory molecules on human monocytes.⁶⁰ Interestingly, an in vivo study with the administration of filarial cystatin (*Acanthocheilonema viteae*) to an allergic airway hyperresponsive mouse model supported the role of cystatin in suppression of allergic hyperreactivity by induction of IL-10-derived macrophages.²⁵ Furthermore, in a chemically-induced colitis mouse model, cystatin regressed the macrophage-mediated inflammatory infiltration and epithelial damage in the colon.²⁵ Immunomodulatory cystatins are present in

other parasitic nematodes, which contrast with the absence of such activity in cystatins from free-living nematode *Caenorhabditis elegans*.^{58,61} Cystatin has been also identified in the blood fluke *S. mansoni*, with a potential function as a cysteine protease inhibitor.⁶² However, the immunomodulatory potential of schistosome cystatin has yet to be fully addressed.

Helminth Glycans and Glycolipids as IMs

Recent studies demonstrate that carbohydrate and lipid determinants within cell surface and secreted glyco- or lipo-conjugates are capable of eliciting an immunomodulatory potential.^{12,63-65} In parasitic helminths, several different carbohydrate and lipid molecules from the parasitic surface or ES product are potent modulators of the host immune response. The most widely investigated helminth carbohydrate is Lacto-N-fucopentaose III (LNFPIII)⁶⁴ and, while with respect to helminth lipid IMs, schistosomal lysophosphatidylserine (lyso-PS) is the best characterized.³⁰

LNFPIII

Previous studies on the role of carbohydrates in schistosome eggs demonstrated that glycans in soluble egg antigen promoted an enhanced Th2 response.²⁸ LNFPIII is one such glycan that is present in schistosome soluble egg antigens with potent modulatory activity. Administration of LNFPIII conjugated with carrier molecule into a mouse model demonstrated strong Th2 responses, thus functioning as a potent Th2-inducing adjuvant.²⁸ In addition, LNFPIII induces in mice expansion of IL-10-producing B-1 cells^{66,67} a peritoneal macrophage population that can suppress CD4⁺ T-cell,^{68,69} evoke alternative activation of macrophages *in vivo*⁷⁰ and also induces DC to develop into a DC2 phenotype.^{29,71} With its potent *in vivo* modulatory activity, it will be of interest to further evaluate the effects of LNFPIII administration in animal models of inflammatory diseases.

Lyso-PS

Lyso-PS was identified in a screen for innate interactions between human DCs and different classes of lipids from schistosome worms or eggs.^{30,72} Human DCs treated with schistosome phosphatidylserine (PS) were modulated such that they primed naive T-cells to suppress proliferation of autologous T-cells, via the production of IL-10 in a TLR2-dependent manner.^{30,72} The specific factor that induced IL-10-producing regulatory cells was shown to be lyso-PS.³⁰

Table 6. Helminth-derived cytokine and chemokine homologues

Cytokine and Chemokine Homologues	Helminth	Modulatory Activity	Ref.
IFN- γ	<i>Trichuris muris</i>	Polarizes Th-1 immune response (inappropriate antihelminthic immune response)	35
HMGB1	<i>Schistosoma mansoni</i>	Probably modulates host immune responses to promote helminth egg dissemination	36
MIF	<i>Trichuris muris</i>	Probably controls migration of immune cells to the infection site	37
TCTP	<i>Brugia malayi</i>	Antioxidant (prevent oxidative damage)	38
TGF- β	<i>Brugia malayi</i>	Binds to human TGF- β receptor (Possibility of immunomodulation)	39

Helminth Cytokine and Chemokine Homologues as IM

The host immune response to helminths is partially controlled by cytokines as immune cell signaling molecules, and chemokines to induce cell-specific chemotaxis, in order to elicit the appropriate antihelminthic response. Prolonged co-existence of helminths and their hosts have resulted in parasite developing homologues to human cytokines and chemokines that manipulates the host immune response in such a way as to prolong parasite infestation. Examples of helminth cytokine and chemokine homologues are shown in Table 6 and described herein.

Interferon (IFN)- γ

The generation of a Type 2 immune response by the hosts expels infections by many helminths, thus it would be advantageous for the helminth to evoke a counter regulating Type 1 response. Research on *Trichuris muris*, a mouse model of human infecting nematode *T. trichiura*, a homologue to a Type 1 immune response driving cytokine, IFN- γ , was uncovered.³⁵ This protein had the capacity to bind to the mouse IFN- γ receptor with similar downstream effects as the host cytokine, including Th1 lymphocyte differentiation and function.³⁵ The secretion of a IFN- γ homologue by a helminth could alter the local cytokine milieu and facilitate its survival in the host.

Macrophage Migration Inhibition Factor (MIF)

Many other helminths, such as *Trichinella spiralis*, *Brugia pahangi*, *Ancylostoma ceylanicum*, have an orthologue MIF.⁷³ MIF was one of the first cytokines discovered and has been shown to play a role in promoting inflammation through macrophage and T-cell activation as well as IgE synthesis.⁷⁴ It has also been shown to play a central role in host protection against some helminths, specifically *Taenia crassiceps*.⁷⁵ Using recombinant helminth MIFs, it has been shown that many of these orthologs have the ability to be chemoattractant to human monocytes in vitro and thus might have the ability to control the influx of immune cells and the egress of primed antigen presenting cells from the infection site.^{37,76} Some helminth MIFs also have the ability to interact with the human MIF receptor (CD74), resulting in monocyte activation and their subsequent cytokine production, capable of affecting appropriate antihelminth immune responses.³⁷

Transforming Growth Factor- β (TGF- β)

TGF- β homologues, or TGF- β -like protein or TGF- β -like ligand, have been observed in all subgroups of helminths. The filarial worm, *B. malayi*, secretes a TGF- β -like protein that is capable of binding to the mammalian TGF- β receptor³⁹ with possible immune modulation. Several in vivo and in vitro studies have demonstrated the interaction of *S. mansoni*-derived TGF- β receptors, SmT β RI and SmT β RII, with the human TGF β 1 ligand that is ultimately capable of resulting in gene specific transcription.^{77,81} This provides evidence for a possible involvement in the regulation of early schistosome development in response to host-derived factors. In the cestode *Echinococcus multilocularis*, 2 Smad protein family members have been identified that are crucially involved in intracellular TGF- β signaling⁸² providing further evidence for a TGF- β -like signaling cascade within helminths as a mechanism of host-parasite interaction.

Translationally Controlled Tumor Protein (TCTP)

TCTP, also known as tumor protein, translational-controlled 1 (TPT1), is expressed in various organisms, including helminths and has broad biological activities.⁸³ TCTP homologues from the human filarial parasites *Wuchereria bancrofti* and *Brugia malayi*, have been shown to possess both histamine-releasing function as well as calcium-binding properties in vitro.⁸⁴ Their precise function in helminths do not seem clear, but in vivo injection of isolated helminth TCTP into mice induced the accumulation of eosinophils implying a role in the induction of host pathology as a possible survival strategy.⁸⁴ A recent study on the Bm-TCTP homologue has implicated a role as an antioxidant protein that could provide protection against oxidative damage brought on by a host antihelminthic immune response.³⁸

High-Mobility Group Box 1 (HMGB1)

HMGB1 is an abundant nuclear protein released from dying mammalian cells, as well as being secreted from activated macrophages, that can induce the production of TNF- α and lead to inflammation and tissue repair (reviewed in ref. 85). Homologues of HMGB1 have been identified among the *Schistosoma* species.³⁶ SmHMGB1 is expressed in large quantities in adult female, or in schistosoma eggs and egg-secreted antigens, prompting speculation that the molecule plays a role in modulating host immune responses to promote helminth egg dissemination.³⁶ Recombinant *S. m* HMGB1 has been shown to induce the release of pro-inflammatory cytokines from macrophages in vivo implying a significant role for this cytokine-like molecule in eliciting host immune responses.³⁶

Conclusions

A rational approach to exploit the immune modulatory activity of helminths is the characterization of the IMs from helminths that can mimic the desirable anti-inflammatory effects of infection. In this article we have outlined various helminth IM described to-date. In the future, the identification of new helminth-derived IM has the potential to lead to the development of novel therapeutic strategies for the treatment of a range of human immunological and nonimmunological disorders.

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CHAPTER 9

Fungal-Derived Immune Modulating Molecules

Tania C. Sorrell* and Sharon C.A. Chen

Abstract

Invasive fungal infections are an increasing clinical problem for which new therapeutic approaches are needed. Understanding the initial interaction between fungi and the host offers potential for development of new drugs or vaccines. It has recently been recognized that like other pathogens, fungi initially interact with the innate immune system via binding between fungus-specific chemical signatures (pattern-associated molecular patterns or PAMPs) and pattern recognition receptors (PRRs) on mononuclear phagocytes. Fungal PAMPs are restricted to complex carbohydrates in the cell wall, including mannoproteins, phospholipomannan, β -glucans and possibly chitin. These PAMPs bind specifically to two classes of PRR in phagocyte membranes, toll-like receptors and C-lectin-like receptors, through which they initiate signaling responses that culminate in release of pro- and anti-inflammatory cytokines, link the innate immune response with the adaptive immune response and initiate phagocytosis and intracellular killing. Isolated PAMPs have been used to dissect phagocyte responses in vitro and have revealed mechanisms by which host cells can tailor innate immune responses to individual pathogens. The interactions are complex and are yet to be translated into a clear understanding of the roles of the respective PAMPs and PRRs in vivo. Recent advances in this area in relation to the pathogenesis of fungal infections are summarized in this chapter.

Introduction

Recent increases in invasive fungal infections, with their attendant high morbidity and mortality, their predilection for immunocompromised hosts and the relatively limited armamentarium of antifungal therapies, have focused attention on research into fungal diseases and their pathogenesis. In fact, humans are relatively resistant to fungal infections since few of the estimated thousands of environmental fungi are pathogenic, even in the presence of immunocompromise. Apart from dimorphic fungi, such as *Histoplasma capsulatum*, and *Coccidioides immitis*, which affect apparently healthy hosts and are limited in geographic distribution, the major life-threatening pathogens affect primarily immunocompromised hosts and have a global distribution. These pathogens include the yeasts *Candida* and *Cryptococcus* and the moulds, *Aspergillus* and, to a lesser extent, Zygomycetes, *Fusarium* and *Scedosporium*.

The outcome of fungus-host interactions is determined by the invasive strategies of the pathogen balanced against the relative efficacy of the host response. Containment relies on distinct, but interlinked immune recognition systems, whereas fungal strategies for invasion include tissue destruction, immune evasion and/or suppression of host responses. The initial recognition of pathogens by the host innate immune system is achieved via cellular pattern-recognition recep-

*Corresponding Author: Professor Tania C. Sorrell—Rm 3114, Level 3, ICPMR Bldg, Westmead Hospital, Darcy Rd., Westmead NSW 2145, Australia. Email: t.sorrell@usyd.edu.au

tors (PRRs) on mononuclear phagocytes and neutrophils and soluble (opsonic) proteins such as complement and the collectins¹ which recognize conserved, pathogen-specific chemical signatures known as PAMPs (pathogen-associated molecular patterns).² Engagement of these PRRs initiates ingestion and killing of the pathogen and activation of inflammatory responses. Of the four major classes of phagocyte-associated PRRs (Toll-like receptors (TLRs), C-type lectin receptors (CLRs), nucleotide-binding oligomerization domain (NOD)-like receptors (NLRs) and retinoic acid-inducible gene I (RIGI) receptors) that initiate cellular signaling responses to pathogens, to date, only TLRs and CLRs have been implicated in binding of fungal PAMPs.

The complex and sometimes redundant phagocyte signaling pathways that lead to production of proinflammatory, regulatory and suppressive cytokines and/or chemokines, have been the subject of several recent reviews.³⁻⁸ In vertebrates, a second line of defense, (adaptive immunity) is initiated and modulated by the innate immune system through the interactions of antigen-presenting cells especially dendritic cells, with specialized B-cells and T-cells, which recognize a wide spectrum of microbial antigens.⁹

This review will focus on the interaction between PAMPs and phagocyte PRRs in the pathogenesis of fungal infections.

Morphotypes of Yeasts, Moulds and Dimorphic Fungi Influence Pathogenicity and Innate Immune Responses

In contrast to bacterial pathogens, morphotype switching is essential for the pathogenicity of yeasts, moulds and dimorphic fungi as exemplified by *Candida albicans*, *Aspergillus fumigatus* and *H. capsulatum*, but the relationship between fungal PAMPs and pathogenicity has been investigated only recently. *C. albicans* morphotypes include yeasts, which are formed by separation of mother and daughter cells during growth and two filamentous forms, pseudohyphae and hyphae. Filamentation results from lack of separation of a growing hyphal form from the yeast cell. The yeast form of *C. albicans* is a normal commensal on certain human mucosal surfaces, where it survives and multiplies without eliciting a host response. The filamentous form is required for tissue invasion and the yeast form, for haematogenous dissemination of infection.¹⁰ The mould, *A. fumigatus*, has three morphotypes, resting conidia, swollen conidia and hyphae. Spores of *A. fumigatus* (resting conidia) are ubiquitous in the environment, are poorly pathogenic and elicit a minimal inflammatory response. Following inhalation, most conidia are phagocytosed and killed by alveolar macrophages. Those which escape phagocytosis lose their hydrophobic coat and swell as they begin to germinate, then form germ tubes and ultimately, mature hyphae, which are the invasive form of the fungus. Swollen conidia initiate rapid recruitment of neutrophils¹¹ and elicit much higher levels of pro-inflammatory cytokines (TNF α , CCL3/MIP-1 α and CXCL2/MIP2) than resting conidia or hyphae.¹² In the case of the dimorphic fungus, *H. capsulatum*, resting conidia do not elicit PRR-mediated acute inflammatory responses. Following inhalation they germinate into the parasitic yeast form at physiological temperature; this conversion is absolutely required for pathogenicity.¹³

Fungal PAMPs—The Fungal Cell Wall

The major distinguishing feature between fungi and mammalian cells, both of which are eukaryotes, is the rigid fungal cell wall, which maintains cellular shape and integrity, is continually remodeled during growth and is the organ by which the mammalian host first distinguishes a potential pathogen as “nonself”. The majority of fungus-specific components (PAMPs) that are recognized by a mammalian host are glucose-containing macromolecules such as mannoproteins, β -glucans and chitin. These make up the bulk of the fungal cell wall and interact with the host when they are exposed at the fungal cell surface. The structure of the fungal cell wall and the pattern of PAMPs exposed at the cell surface is genus-, species- and morphotype-dependent.

Cell walls of *C. albicans* and *A. fumigatus* have been studied in detail and exemplify structural differences between yeasts and moulds. *Cryptococcus neoformans* is unique in that the cell wall is

surrounded by a loosely woven polysaccharide capsule comprised predominantly of glucuronylxylomannan (GXM) (for review, see),¹⁴ which is itself a PAMP.

The *C. albicans* cell wall is comprised predominantly of mannoproteins, β (1,3)-glucans, β (1,6)-glucans and chitin (a β -(1,4)-linked polymer of *N*-acetylglucosamine (GlcNAc), the relative amounts and arrangement of which vary with morphotype.¹⁵ The architecture of the inner cell wall incorporates skeletal and matrix components that provide strength and a degree of plasticity and are arranged in general in three layers, an inner, chitin-rich layer, a central scaffold of β -1,3-linked glucans, linked to the chitin inner layer and a β -1,6-linked glucan outer layer (shown diagrammatically in Fig. 1). The β -1,6-linked glucans are critical for attachment of a series of highly glycosylated proteins, the major class being glycosylphosphatidylinositol (GPI)-anchored mannoproteins, which make up most of the outer cell wall, contribute to its integrity and are displayed at the cell surface. A second group of secretory cell wall proteins (Pir CWPs) reside predominantly in the inner “skeletal” layer rather than outer protein layer and are attached directly to β -1,3-linked glucans.

Recent evidence indicates that in addition to mannoproteins, some β -glucan is exposed on the surface of *C. albicans* yeasts,¹⁶ at sites that presumptively represent bud scars.¹⁷ During cell division, β -glucans and chitin become exposed at the site of separation of the daughter from the mother

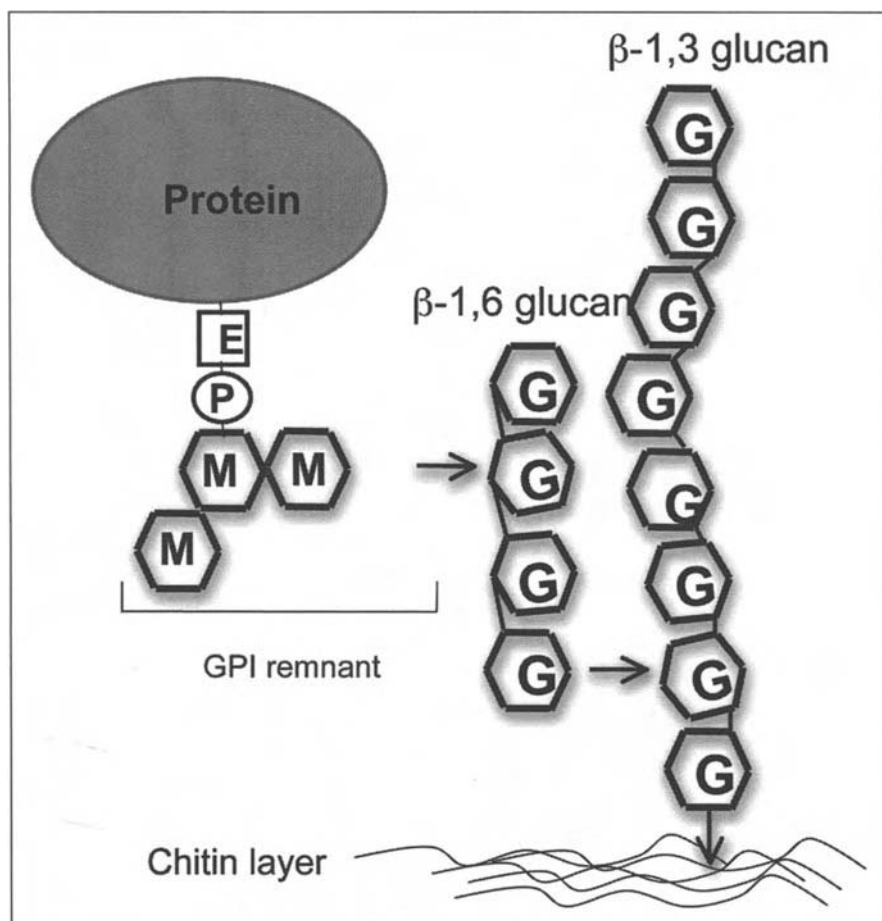


Figure 1. Model structure of the yeast cell wall based on studies in *S. cerevisiae* and *C. albicans*.

cell.¹⁷ Neither β -glucans nor chitin are exposed on the surfaces of pseudohyphae or hyphae.¹⁷ Heat-killing of yeasts results in exposure of high levels of β -glucans.¹⁸

The mycelium of *A. fumigatus* is comprised of an alkali-soluble fraction (mainly α -(1,3)-glucans with some galactomannan¹⁹ and an alkali-insoluble fraction responsible for maintaining rigidity of the cell wall. Its major carbohydrate polymers are galactomannan (consisting of a core chain of α -mannose residues with a short side-chain of β -1,5- galactofuranose residues,²⁰ chitin, branched β -1,3/ β 1,6 glucans and linear β (1,3)/(1,4)-glucans.²¹ These are arranged in a three dimensional structural core comprised of chitin, galactomannan and linear β (1,3)/(1,4)-glucans, linked covalently to the nonreducing end of β -1,3- glucan side chains.¹⁹ The structure of the conidial cell wall differs from that of the mycelium though little is known about the chemical organization of its polysaccharides. The outermost layer is comprised of interwoven rodlet fascicles made up of hydrophobic proteins (hydrophobins) which confer hydrophobic properties to the conidia. During swelling (early germination) the inner layer of the cell wall becomes exposed.²²

Phagocyte-Induced Exposure of PAMPS on the Fungal Cell Surface

Differential exposure of fungal PAMPs also occurs in response to interactions with host cells²³ and other external factors. For example, the hydrophobic coat of *Aspergillus* resting conidia is stripped off as germination commences in macrophage phagolysosomes.²⁴ β -glucans are exposed at the cell surface by treatment with the antifungal drug, caspofungin, which inhibits synthesis of cell wall glucans²⁵ and following death of fungal cells at sites of infection.

In addition, certain PAMPs such as β -glucan, galactomannan or cryptococcal capsular material are released into tissues and biological fluids during infection and exert immunomodulatory effects.^{3,26-28}

PAMP-PRR Interactions and Down-Stream Effects

Fungal PAMPs, their receptors (PRRs) and the fungal species on which they have been found are summarized in Table 1. PAMP-PRR binding initiates characteristic signaling events in phagocytes. These events have generally been identified by stimulation of receptors with specific ligands or zymosan (a cell wall preparation of *S. cerevisiae*, which is comprised of β -glucans and mannan) and confirmed in many, but not all, cases using intact fungal cells (see Fig. 1). The definitive roles of each PAMP in phagocytosis, killing and activation of chemokine and cytokine signaling pathways have not all been established and some are controversial (for review also see).¹

Toll-Like Receptors and Effects of Binding by Fungal PAMPs

TLRs are a family of Type I transmembrane glycoprotein receptors which are characterized by an extracellular leucine-rich repeat domain and a cytoplasmic signaling domain homologous to that of the interleukin-1 receptor (IL-R), called the Toll/IL-1 receptor (TIR) domain.⁶ Using a set of isogenic glycosylation mutants of *C. albicans*, Netea et al showed that mannoproteins of the outer layer of the cell wall bind to TLR4 via the short linear chains of their O-linked mannosyl residues.²⁹ Another PAMP, the glycolipid phospholipomannan, has been identified on the surface of *C. albicans*. Phospholipomannan is composed of a hydroxyl fatty acid linked to phytosphingosine, with a hydrophilic polysaccharide domain consisting of a linear chain of β -1,2-linked mannose residues.³⁰ It is shed by yeasts on contact with macrophages and binds to them, inducing TNF- α secretion and protein tyrosine kinase-dependent signal transduction, similar to that induced by whole yeast cells.³¹

Following ligand binding to TLR2 and TLR4 the cytoplasmic adaptor molecule myeloid differentiation factor 88 (MyD88), associates to the TLR cytoplasmic tail and initiates a signaling cascade that leads to activation of nuclear factor kappa B (NF κ B) and mitogen-activated protein (MAP) kinases.⁶ Another adaptor TIRAP/Mal, is required to recruit MyD88 to the receptor.⁶ Activation of these pathways in general leads to production of proinflammatory chemokines and cytokines (Fig. 2).

Table 1. Fungal PAMPs and their receptors

Pamp	PRR	Fungal Species Studied	References
Mannans			
	TLR4	<i>C. albicans</i> , <i>A. fumigatus</i> , <i>C. neoformans</i> , <i>Pneumocystis carinii</i>	3,8,24,29,55,56
	Dectin2	<i>C. albicans</i>	40
	DC-SIGN	<i>C. albicans</i> , <i>A. fumigatus</i> conidia, <i>Chrysosporium tropicum</i>	55,57,58
	Mannose receptor	<i>C. albicans</i>	29
	MBL (serum protein)	<i>C. albicans</i> , <i>A. fumigatus</i>	1
	SP-A, SP-D (lung surfactant)	<i>C. albicans</i> , <i>A. fumigatus</i> , <i>C. neoformans</i> , <i>P. carinii</i> , <i>H. capsulatum</i> .	1
Phospholipomannan	TLR2	<i>C. albicans</i> , <i>A. fumigatus</i> , <i>Coccidioides posadasii</i>	48,24,59,60
Galactomannan	*PTX3 (pentraxin-3)	<i>A. fumigatus</i>	61
Glucuronoxylomannan	CD14/TLR 4	<i>C. neoformans</i>	56
	CD14 (human cells)	<i>A. fumigatus</i>	
β -glucans	Dectin-1,	<i>C. albicans</i> , <i>A. fumigatus</i> , <i>S. cerevisiae</i> ,	1,3,24,47,62
	CR3 (opsonin)	<i>P. carinii</i> , <i>Coccidioides</i> , <i>Histoplasma capsulatum</i>	1
	SP-D (opsonin)	<i>C. albicans</i> , <i>A. fumigatus</i> <i>C. albicans</i> , <i>A. fumigatus</i> , <i>P. carinii</i>	1
β -1,2 mannosides	Galectin-3	<i>Candida</i> species	45
DNA	TLR9	<i>C. neoformans</i>	63

*PTX3 is a soluble pattern recognition receptor produced by macrophages and other cells in response to inflammatory mediators which binds to *Aspergillus* conidia but not hyphae and facilitates their ingestion by macrophages. PTX3^{-/-} mice (genetic backgrounds 129/Sv- C57Bl/6 mixed and 129/Sv) exhibited markedly increased mortality in a model of IPA (invasive pulmonary aspergillus) and survival was significantly increased by systemic plus local administration of PTX3.⁶¹

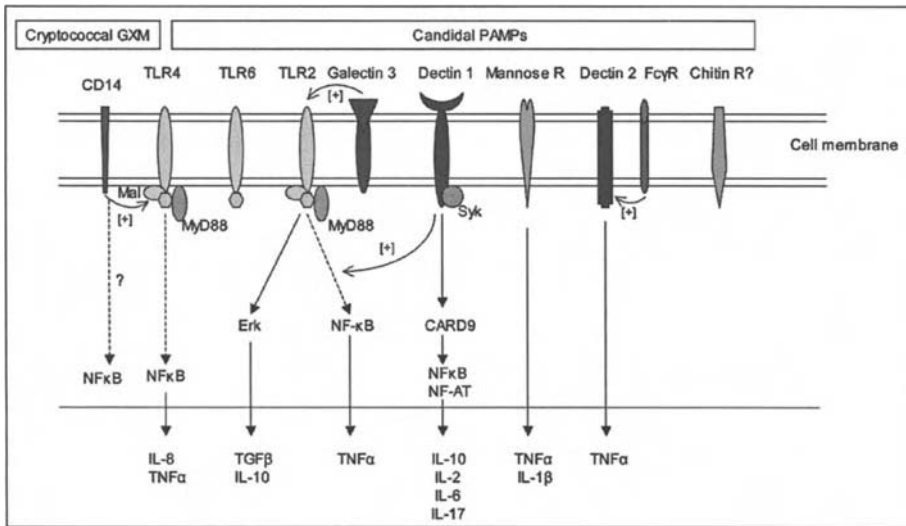


Figure 2. Model of PAMP-PRR interactions of *C. albicans* yeast cells and cryptococcal capsular GXM with monocytes/macrophages based on studies using individual PAMPs, zymosan, GXM and intact *Candida* cells. Adapted in part from reference 8.

C. Neoformans Glucuronoxylomannan (GXM)

Studies in CHO cells transfected with TLR2, TLR4 and/or CD14 revealed that GXM, the major component of the cryptococcal capsule, binds to these PRRs and that activation of NF-κB requires cooperation between TLR4 and CD14. In human PBMCs however, GXM-induced nuclear translocation of NF-κB resulted in neither TNF-α secretion nor activation of MAP kinase pathways.²⁶ Human monocyte-derived macrophages were shown by another group to bind GXM via TLR4, CD14, FcγR11 and CD18 receptors with resultant upregulation of TLR4 and CD14 protein synthesis but no stimulation of NF-κB. As in the previous study, there was no increase in production of TNF-α though the anti-inflammatory cytokine, IL-10 was induced.³² The reason for these discrepancies is unexplained but could be due to differences in experimental conditions.³²

C-Type Lectin-Like Receptors and Effects of Binding by Fungal PAMPs

C-type lectin receptors (CLRs) are a family of proteins containing one or more structurally-related C-type, lectin-like domains. Transmembrane CLRs which mediate fungal binding include the mannose receptor (MR), Dectin 1, Dectin 2 and the dendritic cell receptor, DC-SIGN (reviewed in).¹

Mannose Receptor

Oligosaccharides that terminate in mannose, fucose and GlcNAc³³ are recognized by and bind to, carbohydrate recognition domains (CRDs) 4-8 in the extracellular region of the MR.³⁴ Terminal residues of N-bound mannans from *C. albicans* are preferentially recognized by MR on monocytes and macrophages,³⁵ consistent with recognition of α-linked oligomannoses with branched rather than linear structures.^{29,36} Although MR can induce NFκB activation and production of cytokines such as IL-12, IL-8, IL-1β, IL-6 and granulocyte-macrophage colony-stimulating factor in response to fungi, the mechanism for this is not known as the receptor lacks the classical signaling motifs in its cytoplasmic tail. It appears to mediate binding of fungi to phagocytes.

Dectin 1

By constructing lipid-linked oligosaccharide micro-arrays, Palma et al demonstrated that only 1,3-linked glucose oligomers (at least 10-11 mer in length) of microbial, not mammalian origin, bound to Dectin 1.³⁷ Furthermore, 11-13 mer glucose oligomers displayed on liposomes triggered TNF α secretion by a dectin 1-expressing macrophage cell line, mimicking the effect of macromolecular β -glucan binding.³⁷

The cytoplasmic tail of Dectin 1 contains an immunoreceptor tyrosine-based activation-like (ITAM-like) motif, through which it mediates intracellular signaling (see Fig. 2) either independently or in collaboration with TLRs. Dectin 1-mediated responses include production of TNF α , macrophage inflammatory protein 2, IL-2, IL-10, IL-6 and IL-23, stimulation of the phagocyte respiratory burst, activation of phospholipase A2, and cyclooxygenase 2 and phagocytosis.^{1,8,12,38,39} Some responses, such as the production of IL-10, IL-6 and IL-2 are mediated directly by Dectin 1, whereas the production of proinflammatory chemokines and cytokines requires collaborative signaling with TLR2.⁸ TLR2 ligation appears to produce a weak proinflammatory cytokine response without production of IL-12 or IFN γ release. The complexity of these signaling responses in *C. albicans* is discussed in detail in a recent review by Netea et al.⁸

Dectin 2

Terminal mannose residues on high-mannose structures (Man₉GLCNAc₂) in fungi (*C. albicans*, zymosan), predominantly hyphal forms, bind specifically but with low affinity to the extracellular domain of Dectin 2.^{1,35} The cytoplasmic tail of Dectin 2 appears to associate with the Fc receptor γ chain, a signaling adaptor molecule associated with several other transmembrane receptors. This interaction does not play a significant role in tethering yeasts to the cell surface³⁵ though hyphal forms of *C. albicans* have been reported to upregulate secretion of TNF α and IL-1R antagonist by RAW macrophages.⁴⁰ The role of Dectin 2 in antifungal immunity is not known.

DC-SIGN

In contrast to binding to Dectin 2, an internal sequence of high-mannose oligosaccharides binds with high affinity to the dendritic cell-specific receptor, DC-SIGN,⁴¹ which forms tetramers at the cell surface.⁴² DC-SIGN is proposed to mediate fungal uptake by dendritic cells. Through induction of the Raf-kinase pathway, it may also increase production of the immunosuppressive cytokine IL-10 by modulating TLR-mediated responses.⁴³

S-Type Lectin—Galectin 3

Galectin 3 (gal 3) is an S-lectin with specificity for β -galactose and is expressed on a large number of host cells. Through their unusual β -1,2-linkages, mannoproteins and phospholipomannan bind to gal 3 on macrophages.⁴⁴ Gal 3 binding appears essential for phagocytosis²³ and may directly mediate extracellular killing of *C. albicans*.⁴⁵

Cooperativity between Receptors

The integrated response to a pathogen depends on the mosaic of PAMP-PRR complexes that are engaged. Costimulation via multiple PAMP-PRR interactions can increase both sensitivity and specificity of immune recognition, for example, activation of phagocyte responses via β -glucan-Dectin1/TLR2 receptor complexes.^{29,46} The sequence of molecular events in cooperative responses is not established but it is possible that β -glucan/Dectin 1 cooperativity occurs because β -glucan interacts solely with Dectin 1 and induces an amplification loop on cytokine release which is induced by the interaction of a different PAMP such as phospholipomannan with TLR2.⁴⁶⁻⁴⁸ It has also been reported that CD14 engagement is needed for recognition of mannan by TLR4.⁴⁹

PRR Arrays on Mononuclear Phagocytes

The differential expression of PRRs on different mononuclear phagocytes results in a cell-type specific response and hence further increases the specificity of innate immune responses to

Table 2. Role of PRR deficiencies in susceptibility to fungal infection in mouse models

Receptor Deficiency	Pathogen	Morphotype	Route of Infection	*Susceptibility to Infection	Reference
TLR4	<i>C. albicans</i>	Yeast	Intravenous	Increased	64
TLR4	<i>C. albicans</i>	Yeast	Intravenous	Not increased	4
TLR4	<i>C. albicans</i>	Hyphae	Intravenous	Not increased (survived longer than WT)	4
TLR2	<i>C. albicans</i>	Yeast	Intravenous	More resistant	65
TLR2	<i>C. albicans</i>	Yeast	Intravenous	Increased	66
TLR2	<i>C. albicans</i>	Yeast	Intravenous	Not increased	4
MyD88	<i>C. albicans</i>	Yeast	Intravenous	Increased	4
MyD88	<i>C. albicans</i>	Yeast	Intravenous	Increased	67
TLR6	<i>C. albicans</i>	Yeast	Intravenous	Not increased	68
TLR9	<i>C. albicans</i>	Yeast	Intravenous	Not increased	4
Dectin 1	<i>C. albicans</i>	Yeast	Intravenous	Increased	69
Dectin 1	<i>C. albicans</i>	Yeast	Intravenous	Not increased	70
CARD9	<i>C. albicans</i>	Yeast	Intravenous	Increased	71
IL-17	<i>C. albicans</i>	Yeast	Intravenous	Increased	72
TLR4	<i>A. fumigatus</i>	Conidia	Intranasal	Not increased	73
TLR4	<i>A. fumigatus</i>	Conidia	Intranasal	Increased	4
TLR2	<i>A. fumigatus</i>	Conidia	Intranasal	Not increased	73
TLR2	<i>A. fumigatus</i>	Conidia	Intranasal	More resistant	4
MyD88	<i>A. fumigatus</i>	Conidia	Intranasal	Not increased	73

continued on next page

Table 2. Continued

Receptor Deficiency	Pathogen	Morphotype	Route of Infection	*Susceptibility to Infection	Reference
MyD88	<i>A. fumigatus</i>	Conidia	Inhalation of aerosol	Increased early in infection- (Fungal burden, tissue necrosis increased at <72h)	74
WT plus local instillation of s-Dectin 1-fusion protein (with murine IgG1 Fc or mutant human IgG1 Fc fragment)	<i>A. fumigatus</i>	Conidia	Caudal oropharynx	Increased (lung fungal burden and broncho-alveolar fluid cell infiltrate)	12
TLR4 (C3H/HeJ mice**)	<i>C. neoformans</i>	Yeast	Intranasal	Not increased	75
TLR4 (C3H/HeJ mice)	<i>C. neoformans</i>	Yeast	Intravenous	Not increased	75
TLR2	<i>C. neoformans</i>	Yeast	Intranasal	Increased	75
TLR2	<i>C. neoformans</i>	Yeast	Intravenous	Not increased	75
MyD88	<i>C. neoformans</i>	Yeast	Intranasal	Increased	75
MyD88	<i>C. neoformans</i>	Yeast	Intravenous	Increased	75

*Measured as one or more of survival, organ fungal burdens, histopathology (fungi and host response) **C3H/HeJ mice have a naturally occurring point mutation in the TLR4 gene which renders the receptor unable to signal.⁷⁶

individual pathogens. Monocytes display high levels of TLRs including membrane-associated TLRs 2, 4 and 6 and the cytosolic TLR9 and moderate levels of the CLR Dectin 1 and MR. Macrophages display high levels of TLRs 2, 4, 6 and 9 and the CLR Dectin 1, as well as galectin 3, MR, CR3 and FcγR. Dendritic cells display TLRs 2, 4 and 9, Dectin 1, MR, DC-SIGN, CR3 and FcγR and neutrophils display moderate levels of the TLRs TLR2 and 4, Dectin 1 and high levels of CR3 and FcγR.⁸ Dectin 2 is expressed at low level on dendritic cells and monocytes and at high level on inflammatory monocytes and tissue macrophages.⁵⁰

In vivo Studies

Translating the roles of signaling pathways and innate immune functions subserved by PAMP-PRR interactions in vitro to the pathogenesis of fungal infections in the mammalian host has proven difficult, as studies in transgenic animals deficient in genes for various PRRs have yielded conflicting results (see Table 2). The most consistent findings have been in MyD88-deficient mice, which are more susceptible than wild-type animals to systemic candidiasis and cryptococcosis and to pulmonary aspergillosis, at least in the early stages of *Aspergillus* infection (for references, see Table 2).

Associations between PRR Polymorphisms and Fungal Diseases in Humans

The relationship between polymorphisms in PRRs and susceptibility to fungal infections has been studied infrequently in humans. The TLR4 Asp299Gly polymorphism has been associated with an increased incidence of chronic cavitory aspergillosis and candidemia^{51,52} but not with increased susceptibility to, or severity of, urogenital candidiasis.⁵³ Another TLR4 polymorphism at Thr399Ile was also associated with candidemia.⁵² In a small study of chronic mucocutaneous candidiasis there was no association with TLR2 or TLR4 polymorphisms.⁵⁴ Patients with allergic bronchopulmonary aspergillosis were noted to have an increased incidence of a TLR9 polymorphism (allele G on T 1237c).⁵¹

Conclusions and the Future

Much progress has been made in elucidating the role of fungal PAMPs and PRRs in the pathogenesis of fungal infections, primarily based on studies using individual PAMPs and phagocytic cells in vitro. Inconsistent results from studies using intact fungal cells and in animal models underline the complexity of the process and invite much further investigation before our understanding of the specificity of the innate immune response in vivo can be advanced.

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CHAPTER 10

The Immunosuppressive Tick Salivary Protein, Salp15

Ignacio J. Juncadella and Juan Anguita*

Abstract

The interaction between Ixodid ticks and their mammalian hosts is a complex relationship. While the mammalian host tries to avoid the completion of the feeding process, the tick has devised strategies to counteract these attempts. Tick saliva contains a vast array of pharmacological activities that presumably aid the tick to evade host responses, including anti-complement, oxidative and innate and adaptive immune responses. The characterization of these activities has gained momentum in the last several years. One of the best studied activities present in tick saliva corresponds to the antigen known as Salp15, which binds specifically to the T-cell coreceptor CD4 resulting in the specific repression of CD4⁺ T-cell activation. We discuss here the current state of our knowledge of the mode of action of this salivary protein.

Introduction

Ixodid ticks transmit a diverse array of infectious agents of medical and veterinary importance,¹ including the causative agents of Lyme disease and human granulocytic anaplasmosis.^{2,3} Ticks are considered to be second only to mosquitoes worldwide as vectors of human pathogens. Ticks are obligate blood-feeding ectoparasites that belong to the family *Ixodidae*. The geographical distribution of *Ixodes* spp. ticks is varied: *I. scapularis* is located in eastern US, while *I. pacificus* is found in the Western part of the country. In Europe, *I. ricinus* is the main vector for the bacteria that cause Lyme disease.

The tick life cycle is comprised of three developmental stages: larvae, nymph and adult. Tick larvae can acquire bacteria from infected hosts and subsequently transmit them to mammals once they molt to nymphs.⁴ During the nymph stage, *I. scapularis* preferentially feeds on small wild rodents, where transmission of vector-borne pathogens to the mammalian host is most efficient. The adult tick attaches and feeds on medium to large mammals including humans and can also contribute to pathogen transmission.⁴

Control of tick populations and pathogen transmission are primary research targets both in veterinary and human medicine. Several strategies are currently used to try to achieve this goal, although none has been demonstrated as particularly efficient. The use of acaricides is prevalent and is used in combination with the only approved vaccine formulation that exists: a recombinant Bm86 from the cattle tick *Boophilus microplus*.^{5,6} This vaccine uses an antigen of unknown function in the tick. Anti-Bm86 antibodies are detrimental to adult tick survival. The antibodies target the antigen in the tick midgut cells provoking cell damage and blood leakage, which results

*Corresponding Author: Juan Anguita—Department of Veterinary and Animal Sciences, University of Massachusetts Amherst, Paige Lab, 161 Holdsworth Way, Amherst, MA 01003, USA. Email: janguita@vasci.umass.edu

in tick death or reduced fecundity. Thus, the efficacy of the vaccine is most evident at the next generation of ticks.⁵

Unfortunately, the research aimed at the discovery of novel vaccine candidates in other tick species has not been as successful. Serine protease inhibitors (Serpins) have been shown to promote protection in cattle against *Rhipicephalus appendiculatus* ticks^{7,8} and have been proposed as targets for other ticks, including *B. microplus* and *Haemaphysalis longicornis*.⁹⁻¹¹ Other targets include potential physiologically important proteins, such as those with enzymatic activity required for tick survival (reviewed by Willadsen⁶). A combination vaccine with different antigens has been proposed as a likely candidate to elicit strong antitick immunity. Tick saliva antigens are first exposed to the mammalian host during feeding and thus can be used as vaccine candidates. The presence of several immunomodulators in tick saliva however, can hinder the generation of efficient vaccine targets. Here, we will briefly discuss the interaction between ticks, their mammalian hosts and the pathogens they transmit. We will also discuss progress made in the understanding of the function of the immunomodulator, Salp15, with a brief discussion on its potential applications.

Interaction of Tick Saliva with the Mammalian Host

In contrast to other hematophagous arthropods, hard ticks feed for extended periods of time. *I. scapularis* requires a 5 to 7 day attachment period to the mammalian host in order to feed to repletion.¹² The long period of tick engorgement could allow the host to generate immune responses directed towards secreted components present in saliva. In turn, *I. scapularis* ticks attempt to modulate these responses in order to effectively attach to and feed upon its host.¹²⁻¹⁶ Tick saliva is abundantly secreted into the host during feeding.¹⁷ Feeding involves sucking blood from a hematoma formed by mandible laceration of the host tissue. During tick attachment to its host, a glue-like anchoring substance is secreted from their salivary glands to prevent detachment from the feeding site.¹⁸ The injected saliva contains a cocktail of potent pharmacologically bioactive components able to maintain the liquid state of host blood.¹⁹ Ixolaris, an inhibitor of the factor X-tissue factor VIIa complex²⁰ and Salp14, an inhibitor of factor Xa,²¹ represent two prototypical anticoagulants that have been identified in *I. scapularis* saliva. Bioactive compounds such as these support tick feeding and consequently may also facilitate the transmission of human pathogens.

Immune Response to Tick Feeding and Tick Immunity

Many tick-host relationships are particularly characterized by the acquisition of resistance to tick feeding which develops as a result of repeated tick infestations.²² In many animal species, acquired resistance to ticks is characterized by reduced engorgement, increased duration of feeding, blocked molting and death of engorging ticks.²³ This phenomenon of resistance is known as acquired tick immunity. Acquired resistance to ticks was first described by Trager in 1939, who showed that guinea pigs in which *Dermacentor variabilis* (the common dog tick) had fed became resistant to future tick bites.²⁴ Many authors have extended these findings over the last 70 years. Repeated exposure of rabbits, cattle, dogs and guinea pigs to ticks have been shown to interfere with vector feeding, molting and fecundity.²⁴⁻²⁶ Tick immunity can interfere with the transmission of several pathogens, including *Borrelia burgdorferi* to guinea pigs,²⁷ *Babesia bovis* to cattle²⁸ or *Francisella tularensis* to rabbits.²⁹

Tick rejection is characterized by cutaneous reactions marked by edema with inflammatory infiltrates.²³ Following the entry of the tick's hypostome into the host skin, a localized inflammatory response can be initiated. Activation of the complement system in the vicinity of the tick bite site can also result in the generation of mediators of inflammation, chemotaxis, opsonins followed by the chemoattraction of basophils and other cells linked to host resistance.³⁰ Besides local inflammation, tick feeding can also induce a complex array of host immune responses involving antigen presenting cells, T and B lymphocytes, antibodies and pro-inflammatory cytokines (reviewed in refs. 23 and 31). T-cells are key elements in the generation of an appropriate adaptive immune response including antibody production and cell mediated immunity.

Tick immunity can be partially transferred to guinea pigs never exposed to ticks by using serum from tick-immune animals³²⁻³⁴ and antibodies, complement and cutaneous basophil hypersensitivity have been implicated in resistance.^{22,23,31,35-38} Tick feeding induces the generation of homocytotropic antibodies that bind to Fc receptors on the surface of basophils and mast cells. These antibodies complex with tick saliva components during tick feeding, resulting in the release of biologically active molecules during degranulation.³⁹⁻⁴⁴ A delayed-type hypersensitivity reaction has also been associated with the rejection of ticks by sensitized hosts.⁴⁵ The immunization of guinea pigs with tick salivary gland extracts is sufficient for the development of tick immunity and cutaneous basophil hypersensitivity responses.⁴⁵⁻⁴⁷

Tick Modulation of the Host Immune Response

Ticks have evolved several mechanisms to counteract host responses during feeding, especially in hosts that are most relevant for their life cycle, such as rodents. Thus, tick salivary proteins exert pleiotropic effects that include the inhibition of the complement cascade,¹⁹ the impairment of NK cell function,⁴⁸ the reduction in homocytotropic and circulating antibody titers,¹ the repressed production of cytokines such as IL-2 and IFN γ ⁴⁹⁻⁵¹ and the inhibition of T-lymphocyte proliferation.^{16,49,50}

A role for immunosuppressants as modulators or potential targets of vaccine development has been proposed.⁵ The use of inactive forms of immunomodulators in vaccine formulations could trigger the generation of antibodies with blocking activity with the potential to counteract the immunodulatory action of tick saliva during feeding. Willadsen suggested that antigenic tick immunomodulators could induce an immune response to the suppressors, "thereby allowing the development of resistance to repeated parasite infestation or a more effective defense against vector-borne disease".⁵

Saliva Proteins and the Transmission of Human Pathogens

Activities present in tick saliva may also contribute to the pathogenesis of tick-transmitted micro-organisms by facilitating their transmission from the tick to the host and/or from the host to the tick.^{52,53} As vector-borne pathogens have evolved with the arthropods, they have developed an intimate relationship that facilitates their survival and transmission.⁵⁴ At least two examples exist of co-evolutionary strategies devised by pathogens to persist in the mammalian or the arthropod hosts. Both *B. burgdorferi* and *Anaplasma phagocytophilum* have devised mechanisms to take advantage of the secreted products during tick feeding. *B. burgdorferi* transit through the salivary glands results in the induction of Salp15.⁵⁵ This secreted protein binds to the outer surface protein C of the spirochete providing protection against antibody-mediated killing of the micro-organism.⁵⁵ More recently, Salp16, another salivary antigen produced during tick feeding,⁵⁶ has been demonstrated to provide survival advantages to the causative agent of Human Granulocytic Anaplasmosis, *A. phagocytophilum*.⁵⁴ The acquisition of mammalian viruses may also be facilitated by tick saliva,⁷ although the process has not been assigned to specific components.

The acquisition of pathogens by ticks is also facilitated by salivary proteins. For example, Salp25D, an antioxidant secreted during tick feeding allows *B. burgdorferi* to counteract the production of reactive oxygen species in their translocation from the host to the tick.⁵³ This allows the spirochete to colonize the arthropod during tick feeding and complete their natural infectious cycle.

These examples provide evidence of co-evolutionary mechanisms that result in the maintenance of a beneficial relationship between ticks and pathogens. However, while the presence of tick saliva proteins that are beneficial for the completion of the infectious cycle of these micro-organisms has been described, no information is available that provides evidence of advantages provided to the vector by the presence of the micro-organism. Thus, the relationship between both may be merely of a parasitic nature, although it is possible that unknown mechanisms would result in advantages to the tick due to the presence of these bacteria. Further research should clarify this point.

Identification and Cloning of the Salivary Protein, Salp15

As discussed before, tick saliva contains many activities, which aid the arthropod in the evasion of host defenses and allow the completion of the blood meal. A few species of mammals have been reported to develop immunity to tick bites after repeated exposures, such as guinea pigs and rabbits, while the consensus is that others are not able to mount efficient immune responses to tick antigens. Therefore, the presence of specific antibodies to salivary proteins in species that develop tick immunity may permit the identification of genes that may be critical for vector survival during its interaction with the mammalian host. The specific antigenic and functional components of *I. scapularis* saliva has been characterized and reports have described the proteomic component of tick saliva of different species⁵⁷⁻⁶¹ as well as the antibody response during tick attachment.⁶²

The salivary protein, Salp15 was identified by screening an *I. scapularis* cDNA expression library with sera from rabbits that had been sensitized to ticks by three infestations of 100 nymph ticks per animal.⁵⁶ The screening of 100,000 cDNA plaques using the tick immune sera yielded 47 clones that were reactive. These 47 clones represented 14 genes coding *I. scapularis* saliva proteins in various degrees of repetition.⁵⁶ One of the genes corresponded to a 14.7 kDa antigen that was named Salp15, following the nomenclature Salivary protein (Salp) followed by its molecular weight.⁵⁶ The expression of the 14 identified genes, including Salp15, was confirmed by RT-PCR and showed that in several cases the expression is induced upon tick feeding.⁵⁶ Salp15 could be readily detected in skin biopsies of mice infested with *I. scapularis* two days after tick attachment demonstrating that it is deposited at the site of feeding.⁴⁹

Efforts to discover homologues of Salp15 in different species of *Ixodes* ticks have been recently successful. Hovius and collaborators have recently found three Salp15 homologues in *Ixodes ricinus*.⁶³ The homologues have been named Salp15 Iric-1, 2 and 3 due to their similarity to the *I. scapularis* Salp15. Salp15 Iric-1 was found to have the most similarity to *I. scapularis* Salp15 (80% homology at the amino acid level), while Iric-2 and Iric-3 showed lower degree of homology (62 and 63%, respectively). The homology between the three *I. ricinus* Salp15 is highest at the carboxyl terminus domain of all three homologues, which is responsible for the immunosuppressive activity of the protein (see below) (Fig. 1). This high homology suggests that these proteins may be part of a family in which members are conserved between different tick species.⁶³ Salp15 Iric-1 can be considered an orthologue of *I. scapularis* Salp15 resulting from a speciation event while Iric-2 and Iric-3 may be paralogues of Iric-1 arising from duplication events within a particular lineage. The biological functions of the *I. ricinus* homologues need to be further investigated but one can speculate to be comparable to the function of *I. scapularis* Salp15, given the high homology within the carboxyl terminal domain which mediates *I. scapularis* Salp15 immunosuppressive function.⁶⁴ The activity of these homologues regarding their capacity to help *B. burgdorferi* *sensu lato* (*B. burgdorferi sensu strictu*, *B. afzelii* and *B. garinii*, which together are responsible for Lyme borreliosis in Europe) during their colonization of the mammalian host by interacting with the different OspC variants in these European species is also unknown. Since intuitively, evolutionary pressure to maintain a parasitic micro-organism such as *B. burgdorferi* is not expected, it would be extremely interesting to determine whether this activity is also conserved in *I. ricinus* Salp15 homologues related to the different genospecies. This in turn, would suggest that the presence of *B. burgdorferi* and perhaps other micro-organisms in the tick is beneficial for the latter.

The presence of multi-copy genes in the salivary glands of different species of ticks has been well documented. The presence of several copies of Salp15 homologues has been described in *I. pacificus*.⁵⁸ However, to date, no other homologue of Salp15 has been described in *I. scapularis*.

Salp15 Inhibits IL-2 Production and CD4⁺ T-Cell Proliferation

The initial analysis of Salp15 revealed a weak homology between the saliva protein and active motifs present in inhibin A (motif 4 and 7), a member of the tumor growth factor- β (TGF β) super-family.⁴⁹ TGF β signaling has a nonredundant essential role in the immune system, which includes regulating natural killer (NK)-cell responses, facilitating IgA class-switching, promoting the development of certain lymphocyte subsets and limiting T-cell mediated autoimmunity.⁶⁵

Salp15	MESFVAMKVVCILFLVGVAAANE	SGPTKADASTADKD	TKKNNVQLRFPNY	ISNHQKLALK	60	
Iric-1	MESFVAMKVVCITVLFVIVAVNE	SATSEARTSSAAKE	TKKKNVTLHFPSY	IRNPQKLALE	60	
Iric-2	MESFVAMKVVCIVLLFVIAAEAAS	-TGKNPVG	DAPNGKNN	-ITFNFPYVPNHAFASS	58	
Iric-3	MESFVAMKVVCIIILLFVIAAEAES	-INEKSDVE	PSKGNNSGLQFKFP	PPYVPNHKAFALR	59	
	*****	.*	..*	* : : : : *	*** ** : * : *	
Salp15	LLKICKDSKSSHNSLS	-----SRSSD	VINDKYVDFKNC	TFCLKHGNDVNTLNLPED	TPC 115	
Iric-1	LLLEICKNKS	-RNSLP	-----STNYS	AINDKYVDFKNC	TFCLKHAEDRNVTL	DLPPNTLC 114
Iric-2	LWKLCEE	STPKPEMKIVDSR	TYYTRRINDLQVNF	KDCTFLCKRRFD	-NVTLDL	LPKNTPC 116
Iric-3	LLSLCEQG	-----IYG	TKINDLKVDFKNC	TFLCIRKYE	-NLTLP	LPEDTPC 104
	* . : * : : .		*** * : * : * : * : *	: : * : * : * : *		
Salp15	GPNGQTCAEK	KNKCVGHIPGC			135	
Iric-1	GPNGETCAE	KS KCVGHIPGC			134	
Iric-2	GPKNQTCEN	KDQCVPHIPGC			136	
Iric-3	GPNNQTCHK	KDECVGYIPGC			124	
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Figure 1. Amino acid sequence alignment of Salp15 and the 3 homologues present in *I. ricinus*. Identical amino acid residues is indicated by an asterisk (*) in blue, while conserved substitutions are presented as (.) or (:), in red. The amino acids corresponding to the sequence of P11 in Salp15 are delimited by the box.

TFG β is also important for the generation of regulatory T-cells and the differentiation of T helper 17 cells.⁶⁵ Furthermore, TGF β inhibits the production of cytokines, such as IL-2 and the proliferation of T-cells,⁶⁶ which suggested that Salp15 may have immunomodulatory properties.⁴⁹

As a result of the weak similarities to the TGF β super-family member, the effect of Salp15 on the proliferation of T-cells was examined. The proliferative response to TCR-triggered ligation was impaired by Salp15 treatment. This effect was not the result of a potential cytotoxic effect of the saliva protein.⁴⁹ The levels of interleukin-2 (IL-2) produced by CD4⁺ T-cells stimulated in the presence of Salp15 were lowered in a dose dependent manner.⁴⁹ Furthermore, the effect of Salp15 on IL-2 production was completely abolished by the addition of Salp15 anti-sera suggesting a specific effect of the suppressive protein. Salp15 also diminished the expression levels of CD25 (the high affinity subunit of the IL-2 receptor) as soon as 12 h that persisted throughout the activation period.⁴⁹ The inhibition of T-cell activation by Salp15 was specifically the result of diminished production IL-2 because the addition of exogenous recombinant IL-2 reversed the effect of Salp15 during the activation period. This reversal was evident by increased T-cell proliferation. Furthermore, the expression of CD25 augmented to similar levels even in the presence of Salp15.⁴⁹ Interestingly, a stronger inhibitory effect of Salp15 on IL-2 production was observed at lower concentrations of α CD3 or when the cells are activated in the absence of costimulatory signals,⁴⁹ strongly suggesting that Salp15 may interfere with proximal TCR-mediated activation.

Salp15 Specifically Interacts with the T-Cell Coreceptor CD4

Binding of Salp15 to membrane preparations purified from CD4⁺ T-cells indicated that Salp15 associates with a protein component on the surface of T-cells.⁴⁹ Confocal microscopy showed Salp15 staining on the surface of purified CD4⁺ but not CD8⁺ T-cells, suggesting that it binds to a component specific to CD4⁺ T-cells.⁶⁷ Furthermore, Salp15 colocalized with CD4 on the surface of both unstimulated and α CD3/CD28 stimulated CD4⁺ T-cells.⁶⁷ Since Salp15 may interfere with TCR-mediated signaling, key surface molecules including CD4, CD3, CD28 and components of the TCR complex were immunoprecipitated from lysates prepared from CD4⁺ T-cells that have been pretreated with Salp15. Only the CD4 molecule immunoprecipitated with Salp15 suggesting CD4 as the receptor of Salp15.⁶⁷ This association was confirmed using nonlymphocyte cell lines expressing CD4, such as HeLa-CD4 or 3T3.CD4 cells (Fig. 2). In correlation, the effect of Salp15 on T-cell activation was negligible in CD4-deficient T-cells.⁶⁴ Thus, the immunosuppressive activity of Salp15 is likely to depend on the specific interaction between Salp15 and CD4.

Classical microtiter assays using purified soluble CD4 (sCD4) containing either all four (D1-D4) or the first two (D1-D2) most extracellular domains revealed saturable binding kinetics with Salp15 with a dissociation constant (K_d) in the nanomolar range.⁶⁷ These results also suggested that the salivary protein interacts with domains 1 and 2 of CD4. In correlation, the α CD4 monoclonal antibody MT310, which is D1-specific⁶⁸ competed the binding of Salp15 to sCD4, while the D3D4-specific mAb OKT4⁶⁹ did not.⁶⁷

The identification of the residues of Salp15 responsible for its interaction with CD4 was initially performed with the use of 20 amino acid-long peptides spanning the entire amino acid sequence of Salp15 (P1-P11). These peptides were analyzed for their capacity to interact with CD4. Only P11, the carboxyl terminal region of Salp15 interacted with soluble CD4 (D1D2 or D1D4).⁶⁷ Interestingly, the P11 peptide was found to be sufficient to inhibit IL-2 production and prevent T-cell activation, recapitulating the immunosuppressive activity of the salivary protein.⁶⁴ Furthermore, a mutant version of Salp15 (Salp15 Δ P11) that lacks the carboxyl terminal region corresponding to the peptide P11 failed to bind sCD4 and to recapitulate the inhibitory effect of Salp15 (unpublished observations).

Salp15 Causes Conformational Rearrangements in CD4

In order to investigate the mechanism by which the interaction of Salp15 with CD4 results in such dramatic downstream effects, we performed structural analysis using small angle X-ray scattered (SAXS) assays with proteins in solution. The SAXS-based models of CD4, Salp15 and

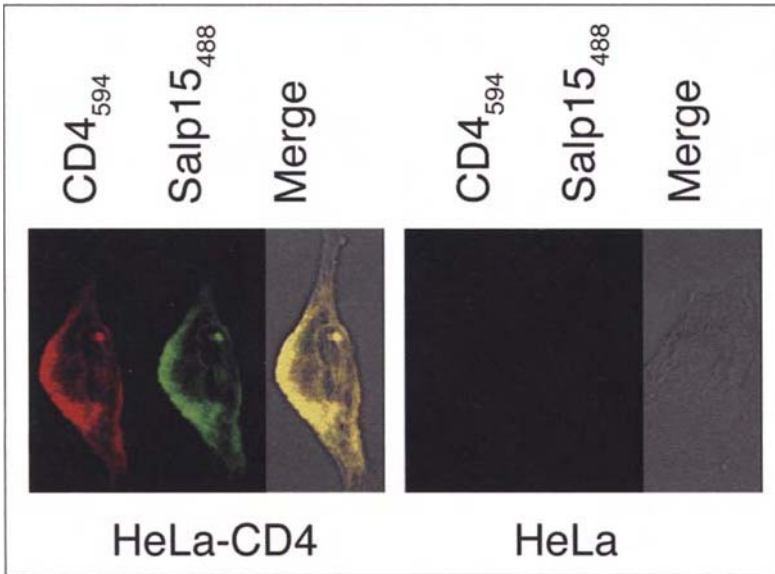


Figure 2. Colocalization of CD4 and Salp15 on the surface of HeLa cells ectopically expressing CD4 (HeLa-CD4). Confocal micrograph corresponding to staining of surface CD4 with a specific antibody labeled with Alexa Fluor₅₉₄ and Salp15 labeled with Alexa Fluor₄₈₈.

CD4-Salp15 complexes revealed differences in their globular structures when comparing the unliganded proteins with their complexes.⁷⁰ Ab initio structure restoration of the measured SAXS data representing the average of five independent solutions for Salp15 resulted in three-dimensional models showing two asymmetrical globular domains with 75 Å and 25 Å in their long axis, with an overall length of ~101 Å.⁷⁰ The analysis of sCD4 (both D1D2 and D1D4 versions) correlated extremely precisely with X-ray crystallographic data, validating the results obtained.⁷⁰

SAXS data obtained from complexes of Salp15 and sCD4 (both D1D2 and D1D4) generated models that when compared to the published structures of unligated sCD4, showed additional volumes that suggested that Salp15 binds to one side of the apex of domain 1.⁷⁰ Furthermore, binding of Salp15 to sCD4 induces a structural rearrangement throughout the long axis of the coreceptor that “straightens” the bent Z-shape of the sCD4 molecule and affects the ability of the src-family tyrosine kinase Lck to interact with CD4.⁷⁰ Lck interacts with cytoplasmic domains of CD4 forming disulfide bridges.⁷¹ The significance of this association is accentuated by the deficient activation seen in Jurkat cells that contain mutations in the cysteines residues involved in this interaction.⁷¹ From this experiments, it was concluded that the immunosuppressive effect of Salp15 on T-cell activation is the product of an inappropriate interaction between CD4 and Lck which could translate into insufficient activation of proximal substrates of the kinase that are required for signal propagation.

T-Cell Signaling Pathways Inhibited by Salp15

T-cells receptor engagement causes the phosphorylation of Lck, which is coupled to the downstream activation of PLCγ1.⁷² During TCR signaling, PLCγ1 hydrolyzes phosphatidylinositol^{4,5}-bisphosphate (PIP₂) to inositol^{1,4,5}-trisphosphate (IP₃) and diacylglycerol (DAG), leading to calcium mobilization and protein kinase-C (PKC) activation, respectively.⁷³ Lck is considered almost the first tyrosine kinase to get activated during TCR signaling.⁷⁴ Furthermore, the Zeta-chain associated protein, Zap-70, is an immediate substrate of Lck and its phosphorylation is a requisite for PLCγ1 hydrolysis.⁷⁵ Since, Salp15 interaction with CD4 affects further Lck association with the coreceptor,

the effect of the salivary protein in early T-cell signals was studied. Indeed, Salp15 induces the defective phosphorylation of Lck, Zap-70, PLC γ 1 and other early signaling intermediate components of T-cell activation.^{64,67} Salp15 treatment also repressed lipid raft organization during CD4⁺ T-cell activation, actin reorganization events and TCR-triggered Ca⁺² fluxes from intracellular stores. Overall, the effect of Salp15 is the diminished activation of the transcription factors NF-AT and NF- κ B,⁴⁹ which are essential regulatory elements of *il-2* gene expression.

The effect of Salp15 is highly dependent on its interaction with CD4, since IL-2-dependent Lck activation is unaffected by the protein. Moreover, the effect of Salp15 is not global: for example, Salp15 does not affect AP-1 DNA binding activation⁴⁹ or other signaling pathways activated for example, by IFN γ (unpublished observations).

In Vivo Function of Salp15

The physiological importance of Salp15 would reside in its ability to modulate the adaptive immune response in vivo to tick proteins that become in contact with the mammalian host during feeding. Previous experiments have shown that T-lymphocytes purified from mice repeatedly exposed to *I. scapularis* nymph ticks do not produce high levels of IFN γ after ex vivo restimulation.⁵¹ It is plausible to speculate that Salp15 may be at least partially responsible for this effect. The initial characterization of the effect of Salp15 in vivo was confirmed by assessing the effect of the immunosuppressive protein on CD4⁺ T-cell activation and T-cell-mediated antibody production. CD4⁺ T-cells from thioredoxin (TR)-immunized animals produced similar levels of IFN γ when restimulated with TR or TR fused to Salp15 (TR-Salp15), confirming that effector T-cells are not affected by Salp15.⁴⁹ In contrast, CD4⁺ T-cells purified from TR-Salp15-immunized animals produced lower IFN γ in response to TR or TR-Salp15 indicating that Salp15 had inhibited the activation of antigen-specific CD4⁺ T-cells.⁴⁹ This also resulted in reduced levels of antigen-specific IgG, while the levels of IgM, which do not require T-cell help were not affected.⁴⁹ Finally, delayed type hypersensitivity reactions (DTH), which have been associated with the tick immunity, were impaired in mice immunized with keyhole limpet hemocyanine (KLH) in the presence of Salp15.⁴⁹

The role of Salp15 in the generation, or lack thereof, of tick immunity remains to be elucidated. It is tempting to speculate that the ability of certain mammalian species but not others to mount tick immune responses reside at least partially in the ability of Salp15 to differentially interact with CD4 from those species in which tick immunity fails to materialize, such as mice. While ticks that are made deficient in salp15 expression by shRNA injection do not show signs of deficient feeding,⁵⁵ this is not a surprising finding, since the development of tick immunity requires several rounds of tick infestation in order to allow the generation of acquired immune responses. Thus, the role of Salp15 in the prevention of the development of tick immunity would be evident in mice only after several rounds of infestations, as it is required for the generation of tick immunity in rabbits and guinea pigs.

Therapies Based on Salp15

Salp15 is one of the best-described salivary proteins. The specificity of the protein for CD4 and the downstream effects that it exerts on CD4⁺ T-cells make it a candidate for potential therapies in conditions in which the repression of T-cell activation is required. For example, the capacity of Salp15 to prevent the development of experimental asthma has been recently described.⁷⁶ When Balb/c mice were sensitized with ovalbumin in aluminum hydroxide in the presence of Salp15, they displayed significantly reduced symptoms of allergic asthma, including airway hyperresponsiveness, eosinophilia, antigen-specific IgG1 and IgE, mucus cell metaplasia and Th2 cytokine secretion, compared to control-treated mice.⁷⁶ These experiments show that there is promise in the potential use of this protein for the inhibition of T-cell activation and potential pathologies. Much work needs to be done, however, to identify targets during ongoing pathologies, since the preventative treatment of conditions such as asthma is not a plausible course of action, with the exception perhaps of transplants.

Concluding Remarks

Much research has been completed regarding the activity that the tick salivary protein, Salp15 exerts on naïve T-cells during their activation and its potential therapeutic uses. Further work needs to be done to refine the uses of this salivary antigen during tick feeding, pathogen transmission and the treatment of conditions that course with the activation of naïve T-cells.

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CHAPTER 11

The Serpin Saga; Development of a New Class of Virus Derived Anti-Inflammatory Protein Immunotherapeutics

Alexandra Lucas,* Liying Liu, Erbin Dai, Ilze Bot, Kasinath Viswanathan, Ganesh Munuswamy-Ramunujam, Jennifer A. Davids, Mee Y. Barteo, Jakob Richardson, Alexander Christov, Hao Wang, Colin Macaulay, Mark Poznansky, Robert Zhong, Leslie Miller, Erik Biessen, Mary Richardson, Collin Sullivan, Richard Moyer, Mark Hatton, David A. Lomas and Grant McFadden

*Wisdom in infinite thought,
Expanding time, endlessly sought,
An ancient star journeying here
So far, so near, bright seer
This unseen dance
A brilliant chance
—Anonymous*

Abstract

Serine proteinase inhibitors, also called serpins, are an ancient grouping of proteins found in primitive organisms from bacteria, protozoa and horseshoe crabs and thus likely present at the time of the dinosaurs, up to all mammals living today. The innate or inflammatory immune system is also an ancient metazoan regulatory system, providing the first line of defense against infection or injury. The innate inflammatory defense response evolved long before acquired, antibody dependent immunity. Viruses have developed highly effective stratagems that undermine and block a wide variety of host inflammatory and immune responses. Some of the most potent of these immune modifying strategies utilize serpins that have also been developed over millions of years, including the hijacking by some viruses for defense against host immune attacks. Serpins represent up to 2-10 percent of circulating plasma proteins, regulating actions as wide ranging as thrombosis, inflammation, blood pressure control and even hormone transport. Targeting serpin-regulated immune or inflammatory pathways makes evolutionary sense for viral defense and many of these virus-derived inhibitory proteins have proven to be highly effective,

*Corresponding Author: Alexandra Lucas—University of Florida, 1600 SW Archer Road, PO Box 100277, Gainesville, FL 32610-0277, USA.
Email: alexandra.lucas@medicine.ufl.edu, alexluc1@ufl.edu

working at very low concentrations—even down to the femtomolar to picomolar range. We are studying these viral anti-inflammatory proteins as a new class of immunomodulatory therapeutic agents derived from their native viral source. One such viral serpin, Serp-1 is now in clinical trial (conducted by VIRON Therapeutics, Inc.) for acute unstable coronary syndromes (unstable angina and small heart attacks), representing a ‘first in class’ therapeutic study. Several other viral serpins are also currently under investigation as anti-inflammatory or anti-immune therapeutics. This chapter describes these original studies and the ongoing analysis of viral serpins as a new class of virus-derived immunotherapeutic.

Innate Immunity

Many investigators have studied the antigen-dependent, antibody-mediated immune response, which is only found in vertebrates. Over a century ago, however, Ilya Ilyich Mechnikov described a more ancient and yet extraordinarily powerful immune response, known as the innate immune system. Mechnikov studied the response of a transparent starfish (bipinaria) to wood splinters and recorded the early massing of cells around these splinters inside this organism. Mechnikov thus provided the first description of the cell-based innate immune response that forms the first defense response to injury or infection.¹ This ‘inflammatory’, cell-based immune system recognizes and then eradicates or blocks pathogen and parasite infection, invasion and dissemination long before antibodies are formed and the acquired, antibody dependent immune response is activated.²⁻⁶ The innate immune response also orchestrates the first stages of tissue repair after other forms of injury produced by physical or chemical insults.

The vascular endothelium, together with the circulating inflammatory blood cells, monocytes/macrophages, T-lymphocytes and polymorphonuclear leukocytes (also called neutrophils), recognize patterns of microbial molecular expression through pattern recognition receptors (PRR) forming the prelude to this innate response. The PRRs now recognized include toll-like receptors (TLRs), nucleotide binding and oligomerization domain-like receptors (NLRs), C-type lectin-like receptors (CLRs), cytoplasmic double stranded RNA (dsRNA) helicase-like receptors and cytoplasmic dsDNA receptors.^{7,8} These receptors comprise an alarm system that alerts inflammatory cells to danger or infection, signaling through MyD88, NFκB and MAPK signal-transduction pathways. The endothelial cell layer is the innermost layer of cells in the arterial tree and is composed of miles of interconnected cells, a living carpet of cells that encompasses the vasculature, the cardiac valves and the inner chambers of the heart. This endothelial cell layer is in constant contact with the circulating blood. Injury or infection of the endothelium causes loss and/or activation of endothelial cells with increasing expression of selectins on the activated cells. These selectins, when expressed, slow down circulating leukocytes that pass by in the blood stream. Once slowed, mononuclear cells (leukocytes composed of neutrophils, monocytes and lymphocytes) can then recognize cell adhesion molecules and adhere to the endothelium and in turn become activated.⁹ Circulating and activated inflammatory cells also can recognize connective tissue and lipids exposed under areas of damaged endothelium. The activated endothelium expresses increased amounts of selectins and adhesion molecules that further stimulate cell adherence and activation. Once activated, inflammatory mononuclear cells, together with endothelial cells, begin to release chemoattractant proteins, particularly chemokines, which bind to surface glycosaminoglycans (GAGs). Also induced are pro-inflammatory immune signaling molecules, cytokines and growth factors that signal cells to migrate through the vessel wall and into the surrounding tissue, become further activated, proliferate and then release more inflammatory cytokines. Damaged cells also can become apoptotic and act as small cytokine release factories, further stimulating this inflammatory response.

Platelets, small clotting cell fragments derived from megakaryocytes, are also activated at sites of damaged or apoptotic endothelium. Platelets carry reserves of proteins in storage granules that are released upon platelet activation. Initially, platelets adhere to areas of arterial or tissue damage, secreting pro-inflammatory proteins from storage granules into this mix of cells and proteins. This activated and inflamed milieu then further stimulates cell invasion and activation. The clot forming

(thrombotic) and clot dissolving (thrombolytic) cascades are made up of sequentially activated serine protease enzymes. These protease cascades are initiated by intrinsic and extrinsic clotting factors (factor VII and tissue factor complex and thrombin) that cleave fibrinogen to form fibrin (Fig. 1). Thrombosis and fibrin formation occurs on the surface of platelets and the fibrin mesh that is deposited on and around the activated platelets, endothelial cells and leukocytes. Clot deposition will then stimulate fibrinolysis, the serine protease cascade that acts to break up the forming clot and maintain a natural homeostasis in the arterial wall, the balance between the clot forming (coagulation) and clot dissolving (fibrinolytic) pathways. When cells become apoptotic and engage in cell suicide, there is again a change in activation and in some cases, such as apoptotic endothelial cells and monocytes/macrophages, there is an increased release of cytokines and clot activating serine proteases into the local tissues which leads to a crescendo in the inflammatory responses.^{10,11} Extrinsic apoptotic pathways can also be driven by serine proteases, particularly granzyme B.

At one further level of complexity, the connective tissue components, specifically collagen, elastin and glycosaminoglycans (GAGs) have many roles only now being uncovered. Connective tissues surrounding cells provide storage sites for release of growth factors and cytokines. Thus breakdown of these cellular-embedding and tensile-building materials can lead to a local increase in released inflammatory factors. The connective tissue layers also form adhesive platforms on which chemokines and cells adhere and through which cells migrate and invade tissues. Additionally GAGs directly regulate serine protease and serpin activation. The serpin antithrombin III is well known to have a 1000 fold or greater increase in activity when exposed to infusions of the GAG heparin, a drug commonly used in vascular patients.^{12,13} When cells lose adhesion to connective tissue or basement membranes, an apoptotic state can be induced further activating these pathways. Serine proteases activate the proteases then that break down collagen, elastin and GAGs, the matrix metalloproteinases (MMPs). The fibrinolytic serine proteases, tissue- and urokinase-type plasminogen activators (tPA and uPA, respectively), plasmin and the thrombotic protease thrombin also directly cleave collagen and elastin. Thus the serine protease pathways in the coagulation and fibrinolytic pathways interact on many levels with the inflammatory and apoptotic responses.^{11,12}

In summary, inflammatory cells and activating factors perform in concert to initiate host innate immune/inflammatory responses that heal sites of infection and injury but can also damage tissues when present in excess. Serine protease pathways are regulated by serpins, and many viruses have acquired and developed their own serpins over many millions of years of evolution that are designed to modulate host immune responses. These viral serpins probably target a variety of innate sensors, particularly PRR signals such as the extracellular TLRs and intracellular NLRs that trigger innate immune responses.

Serine Protease Inhibitors/Serpins

Serpin Structure and Function

The symphony of interactive responses between cells, cytokines, serine proteases, connective tissue and growth factors acts as a composite, and it is unclear if there is a single, controlling conductor for the inflammatory pathways. Several of these pathways, however, are known to be regulated by serpins (serine protease inhibitors), guiding these factors to play in concert. The term serpin was first introduced by Carrell and Travis in 1985¹⁴ and describes a family of proteins with up to 30% sequence identity (ranging up to 70% when limited to hydrophobic sequences) that is believed to have arisen from countless gene duplications of an ancestral gene. Serpins are large complex proteins that exist in strained, latent and cleaved (inactive) conditions (Fig. 1A). The serpin basic protein secondary structure is fairly well conserved consisting of a 350 amino acid core with of 3 β -sheets (A, B and C) and 7 to 9 α -helices, labeled hA-hI.¹⁵ The reactive site loop and the β -sheet A are labile and have key roles in serpin inhibitory function. The reactive site loop (RSL) sits exposed above the serpin folding framework, thus presenting the P1-P1' site as bait. The β -sheet opens to incorporate the RSL loop after cleavage by a target protease.¹⁵ Of course, each of the serpins has a variable inhibitory activity for a range of proteases and thus can

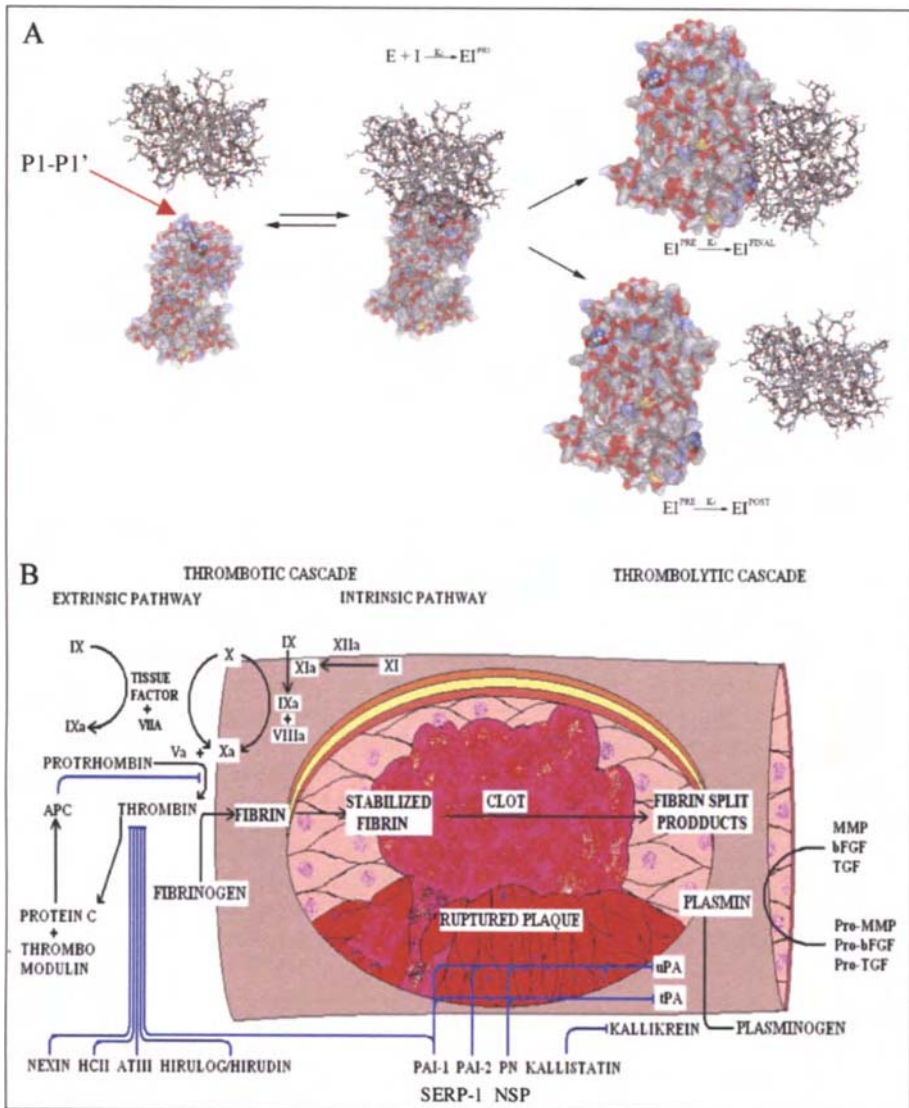


Figure 1. A) Serpin binding to target proteases occurs via interaction of the protease with the RSL P1-P1' scissile bond. This interaction can result in either (1) a form of suicide inhibition wherein the serpin RSL is cleaved, but the protease remains bound to the serpin and is dragged across the face of the serpin to remain stuck to the opposite pole of the serpin (top serpin/protease interactive pathway) or (2) the serpin RSL is cleaved and the serpin rendered inactive (the bottom serpin/protease interactive pathway). B) Diagram of thrombotic and thrombolytic pathways as well as potential targeted pathways for the mammalian, PAI-1 and viral, Serp-1, serpins in an injured arterial wall.

be a true inhibitor for selected proteases or can be a target for protease cleavage (Fig. 1A). The earliest evolved serpins are found in simple arachaea and bacteria. Other serpin-related proteins are found in the more complex horseshoe crab, unchanged since the time of the dinosaurs are still used for clot lysis assays.¹⁶ Serpins represent a large proportion of circulating proteins in the blood

of all vertebrates, with estimates ranging up to 2-10% of human serum proteins. Serpins have been classified into clades A-I based upon structure and function and these complex and highly effective inhibitors are now known to regulate processes ranging from thrombosis (clot formation), thrombolysis (clot dissolution), complement activation, inflammatory responses, sperm development, to hormone transport.^{17,18} There are also serpin family members that, while having classical serpin folding structure, lack functional serpin-like inhibitory activity.¹⁷ These serpins have been found to function in a noninhibitory capacity in diverse roles such as hormone transport, tumor suppression and as molecular chaperones.^{15,17,18} Three notable examples of these serpin family members include chicken ovalbumin, angiotensinogen the angiotensin (blood pressure controlling) precursor and the corticosteroid (SERPIN A6) and thyroxin (SERPIN A7) binding globulin.^{15,18}

The basis for the inhibitory activity of true serpins lies in the fact that the active, inhibitory molecules exist in a metastable intermediate state, instead of their more stable latent conformation.¹⁹ Of the more than 80 crystal structures that have been resolved for serpins to date, there is a clear indication that the inhibitory serpins prefer a metastable native state instead of their latent, lower energy folding.¹⁵ Although it is surprising that the serpins avoid a more thermodynamically favorable conformation, this state is necessary for the inhibitory activity of the serpin. The folding pathway that yields the most stable state for serpins can be triggered by the cleavage of the RSL by the target protease (Fig. 1A). This protease-response mechanism relies on the serpin metastable structure and conformational mobility.²⁰ Within this pathway, there are two possible outcomes, inhibition or noninhibition of the substrate protease.²¹ However, they both begin with the approach of the protease to the RSL and interaction with the residues surrounding the P1-P1' scissile bond, thereby resulting in the formation of a noncovalent Michaelis-like complex in which neither the serpin nor the protease are conformationally changed.^{15,22} Hydrolysis of the scissile bond by the protease results in the cleavage of the RSL and the formation of an acyl intermediate; the serpin thus efficiently traps the targeted protease and drags it across to the face of the serpin following cleavage at its P1' position with the aid of the covalent acyl bond.^{15,20} This changes the native conformation of both the serpin and the protease, causing loss of activity in both of them. It is at this point that the two potential pathways diverge. In the case of the noninhibitory pathway, the protease is able to deacylate the acyl intermediate complex before loop insertion and trapping can occur, resulting in the release of the active protease along with the inactive cleaved serpin.^{15,23} In the case of the inhibitory pathway, both the serpin and the protease remains entrapped in a 1:1 inhibitory complex exhibiting an extremely long half-life that effectively removes both parties from further biological roles.¹⁵

The RSL is also a key factor in serpin function consisting of a region of ~20 residues that projects above the body of the serpin, presenting the P-P1' bond as a protease bait.²⁴ Any conformational change in the overall structure of the RSL will also significantly affect the serpin's activity. This has been observed in the case of antithrombin, which by itself is a poor inhibitor of thrombin, as a result of a poorly exposed RSL.^{18,25} Upon interaction with heparin cofactor, the RSL flips out from its native partially inserted (into beta-sheet) confirmation thus exposing and greatly enhancing its activity.²⁶ Similarly alteration of the amino acids in the P1-P1' position also results in the loss of activity as observed in the case of viral serpin Serp-1 mutant where replacing the P1-P1' R-N sequence with A-A results in a total loss of serpin protease inhibitory activity and anti-inflammatory activity.^{27,28} Interestingly, mutation of the Serp-1 P2-P7 arm of the RSL to a series of Ala (A) residues in the Serp-1 (Ala₆) mutant, leads to a complete reversal of anti-inflammatory actions and instead creates a now highly pro-inflammatory and pro-thrombotic protein.²⁷

As noted above, the structural scaffold of the serpin has some advantages, but the thermodynamically unfavorable state means the active serpin can transition to spontaneous conformational changes as observed for PAI-1.²⁴ The large energetic barrier between the serpin's native state and its latent state prevents the inactive protein from spontaneously attaining this activated state.²⁴ This makes serpins less forgiving to mutations which can cause them to fold into their more stable, noninhibitory or latent state, in which the RSL is inserted into β -sheet A.²⁹ Such mutations can lead to disorders known as serpinopathies that are characterized by the misfolding of the serpins

and formation of serpin oligomers, i.e., polymeric forms of the protein.¹³ The polymers are formed by insertion of the RSL of one serpin into the β -sheet of another serpin, known as the “trans” or foreign insertion.^{24,29} Thus, misfolding of serpins can lead to an inactive conformation of the serpin whose thermodynamic stability is comparable with that of the cleaved serpin.

Thrombotic and Thrombolytic Serpins

At sites of injury to any tissue in the body, damaged blood vessels respond to limit blood loss through vasoconstriction and clot formation (thrombosis). With endothelial cell damage there is a loss of anticoagulant signals and the exposed inner connective tissue layers of arterial and venous walls stimulate platelet activation. These activated platelets form a surface on which serine proteases in the clot thrombotic pathways create a clot. Such clots are driven by factor VII, factor IX and tissue factor complexes in the extrinsic cascade and factors IX and VIII in the intrinsic cascade all of which lead to the activation of factor X and thrombin formation (Fig. 1B). Thrombin in turn activates fibrinogen to form fibrin and factor XIII leads to cross linking to form a fibrin and platelet mesh. This clot forms when there is damage, whether caused by outside physical trauma or internal damage such as high cholesterol, diabetes or smoking. The sudden formation of a thrombus on the damaged inner arterial surface occludes the arterial lumen and blocks blood flow. This causes heart attacks (myocardial infarctions) and strokes (cerebrovascular accidents) and/or gangrene with peripheral vascular occlusions. Antithrombin III (AT III, SERPIN C1) and heparin cofactor II (HC II, SERPIN D1) are the main inhibitors of the thrombotic protease pathway.

The thrombolytic pathway is best known for its role in clot breakdown or dissolution. ‘Clot busting’ or thrombolytic therapies are used to treat acute heart attacks and strokes where thrombosis in coronary and cerebrovascular arteries occludes blood flow to the heart or brain. These thrombolytic agents include streptokinase and tPA or uPA (urokinase). The clot dissolving drugs are mammalian or bacterium derived serine proteases, plasminogen activators that cleave the pro-form of plasminogen to form active plasmin. The clot dissolving thrombolytic cascade also has a central role in acute inflammation. tPA, uPA, plasmin, the uPA receptor (uPAR) and the mammalian serpin that inhibits these serine proteases, plasminogen activator inhibitor-1 (PAI-1) are all up-regulated at sites of tissue injury (Fig. 1B). Thus the thrombolytic pathway is part of an acute phase, inflammatory response to tissue injury. The uPA/uPAR complex also regulates this pathway, as described by Blasi.³⁰ Cellular invasion, whether inflammatory cells responding to tissue damage or invasive tumor cells (Fig. 1B), can be initiated by the uPA/uPAR complex. S Collen and P Carmeliet’s groups have utilized mouse genetic ‘knock out’ models to demonstrate the key roles of uPA, tPA, uPAR and PAI-1 in arterial responses to injury in vascular disease.³¹

tPA and uPA have chemoattractant activities attracting cells into areas of damage. The uPA/uPAR complex sits at the leading edge of invading cells where uPA activates plasminogen to form plasmin, and the plasminogen activators together with plasmin also activate matrix degrading pro-enzymes, the pro form of matrix metalloproteinases (pro-MMPs), to form active MMPs that degrade local connective tissue, collagen and elastin. These actions are believed to allow cells to invade damaged or infected tissues by creating a path in the connective tissue layers through which cells migrate. The plasminogen activators (PAs) also activate growth factors and can release growth factors from connective tissue stores.

Native mammalian serpins regulate these pathways, with PAI-1 (SERPIN E1) functioning as the major serpin regulator for the tPA and uPA pathways. Other serpins that target and regulate this system include PAI-2 (SERPIN B2), PAI-3 (SERPIN A5), α -2 antiplasmin (SERPIN F2), protease nexin-1 (PN-1, SERPIN E2) and neuroserpin (SERPIN I1). These serpins exhibit differing degrees of inhibitory activity where PAI-1 has a 20 fold faster interaction with tPA than neuroserpin, whereas neuroserpin has a 20 fold faster inhibition rate than protease PN-1.^{32,33} PAI-1 can also alter its regulatory patterns in the presence of vitronectin to become a stronger inhibitor of thrombin. PAI-1 is up-regulated increased amounts in inflammatory disorders such as unstable arterial plaque (unstable angina and impending heart attacks). PAI-1 forms a tripartite complex with uPA and uPAR which is then internalized, effectively blocking uPA/uPAR complex activity.

PAI-1 can also act on circulating serine proteases and under varied conditions, as with the presence of the GAG heparan sulfate, PAI-1 can inhibit thrombin. uPAR is a GPI linked nontransmembrane protein and relies upon a large array of associated membrane proteins that exist associated with other proteins in a large and complex lipid raft. Proteins associated with uPAR in the lipid raft complex include integrins, lipoprotein related proteins (LRP or alpha 2 macroglobulin), chemokine receptors, as well as many other proteins that can provide relays for signaling into the cell. The uPA/uPAR complex has been reported to modify cell signals through the intracellular signaling pathways (Fig. 1B).

PAI-1 binding blocks uPA/uPAR mediated actions, and the inhibition or knock out of PAI-1 has been shown to reduce plaque growth in animal models of vascular injury.^{33,34} Similarly, excessive expression of uPA in the rabbit carotid after angioplasty led to increased plaque growth.³⁵ This observation has however been variable, with PAI-1 demonstrating exacerbation of vascular plaque growth in other models.³⁶ Similar variability has been seen in studies assessing PAI-1 up-regulation. For example, one study reported that elevated PAI-1 levels were associated with reduced restenosis after angioplasty³⁷ whereas many other reports have associated elevated PAI-1 with increased inflammation and risk of vascular disease.³⁸ Neuroserpin is up-regulated at sites of cerebral injury and is reported to reduce cerebral ischemic scarring in mouse stroke models. In preliminary work our lab has detected reductions in plaque growth in rodent models after neuroserpin infusions.³⁹ Thus, overall, native mammalian serpins have had varied and sometimes contradictory effects in both animal models and in patient clinical trials on inflammatory responses and vascular disease.

Serine Proteases and Serpins in Apoptotic Pathways

Apoptosis, or cellular suicide, can be activated by proteases. Aspartate specific cysteine proteases, named caspases, are considered the predominate mediators of classical cellular apoptosis (Fig. 2). The proteolytic cleavage and activation of a series of cysteine proteases is considered a key pathway to apoptosis, wherein caspases 8, 9 and 10 are believed to initiate the activities of caspases 3, 6, 9 that execute the apoptotic command through cleavage of a large number of cellular substrate proteins.⁴⁰ Extrinsic apoptotic pathways are mediated via death receptors (e.g., TNF and Fas receptors) and activate caspase 8 and 3, while activators, such as many anti-cancer drugs, trigger intrinsic mitochondrial pathways that induce apoptosis through Apaf-1 and recruitment of caspase 9 (Fig. 2). However, other diverse proteases such as the cysteine proteases calpain and cathepsin B, the threonine protease of the proteasome and other serine proteases have more recently also been linked to cell death. Cellular serine proteases that can initiate apoptotic responses include granule enzymes (granzymes) that interact with intracellular caspase pathways and others that associate with the bcl-2 pathways.⁴¹ Granzyme B is a serine protease secreted by Cytotoxic T Lymphocytes (CTLs) or Natural Killer (NK) cells. Granzyme B is reported to have the strongest apoptotic activity of all the known granzymes. Granzyme B enters cells through pores formed on the cell membrane caused by perforin, which is also secreted by activated CTLs and NKs, and initiates apoptosis through direct cleavage and activation of caspases and also cleavage of the anti-apoptotic Bid. The cleaved form of Bid inserts into the outer mitochondrial membrane to induce the intrinsic apoptotic/death pathway. Granzyme has also been reported to enter cells through pathways separate from perforin pore structures. Another serine protease in the thrombotic pathway, thrombin, also activates apoptosis.⁴² Once inside the cell, granzyme B cleaves the "pro" form of caspase 3 which then activates the apoptotic machinery (Fig. 2). The caspase enzymes are proven activators of the inflammasome complex through activation of the pro-forms of the interleukin-1 β and IL18 by interleukin converting enzyme (ICE, also named caspase 1). Caspase 1 (ICE) drives inflammation through the activity of a cellular structure named the inflammasome.^{43,44} Other researchers have reported direct-protease driven inhibition of poly-ADP-ribose polymerase (PARP) and iCAD that can act to protect cells against DNA damage and apoptosis.

The first apoptotic inhibitor identified was a viral cross-class cysteine and serine protease inhibitor isolated from Cowpox virus, called Cytokine response modifier A (CrmA) or Serine protease inhibitor-2 (Spi-2).⁴⁵ CrmA will be described in greater detail in a later section of this

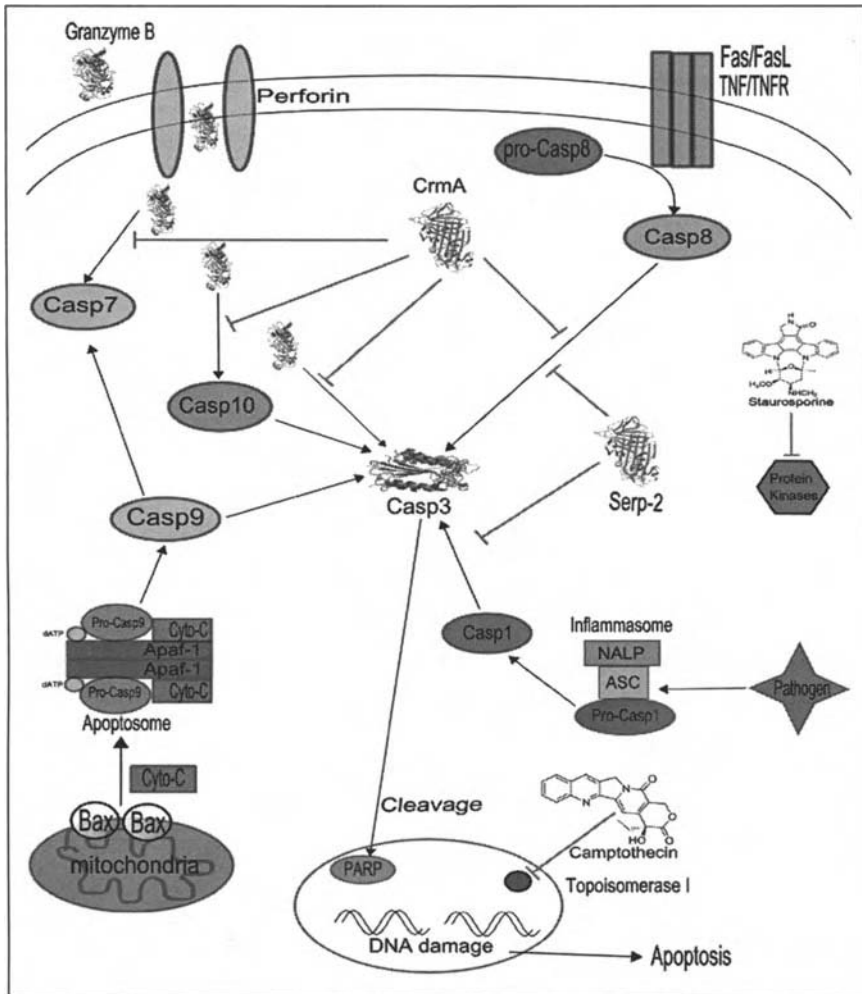


Figure 2. Apoptotic pathways-serine and cysteine proteases. Intrinsic and extrinsic Fas and granzyme B apoptotic pathways that are potentially targeted by Serp-2 and CrmA are illustrated. Staurosporine activates mitochondrial apoptotic pathways, Fas ligand and Granzyme B activate extrinsic apoptotic pathways; Camptothecin inhibits topoisomerase and blocks DNA repair.

chapter. Several mammalian serpins have more recently been identified as playing a part in the regulation of apoptotic responses.⁴⁶ CTLs also synthesize their own serpin inhibitors of granzyme B. Among these serpins are Protease Inhibitor-9 (PI-9, SERPIN B9), Plasminogen Activator inhibitor-2 (PAI-2) and maspin. Each of these cellular serpins has proven unusual, having both known extracellular actions as well as in many cases intracellular and even nuclear localization and function. PI-9 is a mammalian serpin that binds to and inhibits the actions of granzyme B effectively blocking cellular apoptosis mediated by Granzyme B.⁴⁷ PAI-2 preferentially binds uPA but is also localized in intracellular compartments and is poorly secreted. PAI-2 has been shown to alter apoptotic pathways and has been reported to have similarity in structure to Bcl-2 with potential for overlapping functions in this cell suicide pathway.⁴⁸ This potential overlap in function with Bcl-2

has potential impact in that Bcl-2 itself represents a larger family of anti-apoptotic intracellular proteins. Neuroserpin is a mammalian serpin that preferentially binds to and inhibits tPA and has now also been reported to alter apoptotic cell responses.⁴⁹ Maspin (mammary serine protease inhibitor, SERPIN B5) was first discovered as a breast cancer suppressor and also has both intracellular and extracellular functions by binding widely divergent proteins that include uPA, uPAR, HSp79 and 90, glutathione peroxidase, β -1 integrin and collagens I and III, among others.⁵⁰ In addition, the trypsin-like serpin PI-6 (SPI 3, SERPIN B6) in mouse brain can bind granzyme B and block cathepsin and downstream caspase 7 activation in neurons.⁵¹⁻⁵³ PI-6 also incidentally binds thrombotic and thrombolytic proteases, thrombin, uPA and plasmin. Three serpins that are best known as regulators of the thrombotic and thrombolytic pathways, AT-III, PAI-1 and protein C inhibitor (PCI also named PAI-3, SERPIN A5), have also been found to alter apoptosis in human cells.⁵⁴ Nitric oxide nitrosylation of α -1 anti-trypsin (AAT) serpin which targets the trypsin protease modifies AAT such that it becomes an inhibitor of cysteine proteases.⁵⁵ Serpin activity is blocked through specific serine protease cleavage, nonspecific metalloproteinase (MMP) cleavage, oxidation and polymerization. Some of these cleaved serpins have additional activities as has been reported for AT-III. The precise mechanisms through which some of these serpin-driven changes in apoptotic responses are under investigation and are not yet fully defined.

These serine and cysteine protease cellular pathways are both preferentially targeted by virus-engineered serpins which are now known to block protease activity in the thrombotic/thrombolytic and apoptotic pathways and exhibit amazingly potent anti-inflammatory activities.

Viral Serpins and Their Anti-Inflammatory Activities

Viral Serpins That Target the Thrombotic and Thrombolytic Pathways; Serp-1 and Spi3

Myxoma virus is a member of the leporipoxvirus family of poxviruses that infects only rabbits. Myxoma virus induces a lethal infection in European rabbits with over 99% mortality.⁵⁶ The initial observation of the profound pathogenicity of this virus in rabbits was made in the late 1800s by Dr G Sanarelli in South America who had imported the European rabbit to his lab where they became inadvertently infected with myxoma virus.⁵⁶ Infection with myxoma virus in the European rabbit was lethal, causing a rapidly disseminated infection, immune dysfunction and overwhelming sepsis with over 99% mortality in the animals (European rabbits). In the early 1990s the McFadden lab reported that targeted genetic knockout of the myxoma virus Serp-1 gene resulted in a virus that could only cause a benign infection in normally susceptible European rabbits.⁵⁷ This Serp-1 gene knockout of myxoma virus produced only mild local dermal lesions that were eradicated within 1-2 weeks, similar to what is observed in rabbit infections with the closely related Shope fibroma virus, which has a naturally inactivated Serp-1 gene and also produces a benign, self-limiting infection in rabbits. Each of these infections, rabbits infected with wild type myxoma virus, myxoma virus with engineered Serp-1 knockout, or the Shope fibroma variant, differed greatly in lesion pathology. The Serp-1 knockout myxoma virus and the natural variant Shope fibroma virus, exhibit a more effective host inflammatory response to viral infection, while with the wild type myxoma infection, inflammatory cells do not properly migrate to tissue sites of viral infection.

In later studies, McFadden and Lomas demonstrated that the myxoma virus-encoded Serp-1 has sequence similarity to serpins, and the protein inhibits tPA, uPA and plasmin in the thrombolytic pathways as well as factor Xa in the thrombotic pathway.⁵⁸ However, despite the marked effects of Serp-1 on viral pathogenesis, the K_{ass} for Serp-1 is a lower affinity reaction at $7-8.6 \times 10^4 \text{ M}^{-1}\text{s}^{-1}$, whereas the mammalian serpin PAI-1 has higher affinity and activity with K_{ass} on the order of $1.1-2.3 \times 10^7 \text{ M}^{-1}\text{s}^{-1}$.⁵⁹ Serp-1 also binds human thrombin but acts as a protease target and is cleaved by thrombin under physiological conditions.⁶⁰ In the presence of heparin, however, Serp-1 becomes a more potent thrombin inhibitor with potential to become more anti-thrombotic than anti-thrombolytic in character.⁶¹

Spi-3 is a related but distinct viral protein expressed by orthopoxviruses like vaccinia virus and rabbitpox, which unfortunately has a confusing nomenclature with similarity to the unrelated PI6/SPI3 mammalian serpin nomenclature. The poxviral Spi-3 binds to and inhibits uPA and tPA and plasmin.^{62,63} Spi-3 is also capable of forming weaker complexes with thrombin and factor Xa as measured by gel shift assays.⁶³ Spi-3 from vaccinia/rabbitpox and Serp-1 from myxoma virus share only 30% sequence similarity despite targeting similar host protease pathways. The K_i 's for Spi-3 was measured as 0.51, 1.9 and 0.64 nM for uPA, tPa and plasmin, respectively. The K_i 's for Serp-1 were similar at 0.16, 0.14 and 0.44 nM, respectively for uPA, tPa and plasmin.⁶³ However, whereas Serp-1 is secreted into the surrounding environment, Spi-3 remains tethered to the cell surface and exhibits a secondary function in the inhibition of cell fusion that is independent of its serpin-based activities.

Preclinical Analysis of Serp-1

Pilot Studies—Rabbit Model

Our founding hypothesis was that native, virus-derived, immunomodulatory proteins can be developed as a new class of protein therapeutics to treat inflammatory-based diseases. Rather than using a live virus or a viral vector in animal models or in patients and thus risk reactions to the viral construct itself, we followed the lessons of natural evolution and the selection pressures exerted by the immune system. Large DNA viruses, like many parasites, have evolved highly effective defenses against the host and inflammatory systems and thus such viruses have already accomplished the necessary research and development that created these viral immune evasion proteins. In other terms, rather than relying on man's imperfect knowledge and understanding of the inflammatory and immune responses, we utilized viral proteins already discovered, engineered, developed and proven effective as immune modifying agents and used these naturally-derived reagents in the form of the expressed and purified protein. We directed our initial studies to express secreted proteins encoded and engineered by complex poxvirus DNA genomes. Indeed, native viral immunomodulatory proteins that are often highly potent and naturally function at very low concentrations to divert the host immune response away from the invading viral organisms.

For the first preclinical animal studies, the viral serpin, Serp-1 protein, was expressed from a vaccinia virus expression system and the secreted serpin protein was purified on FPLC columns (J Macen, McFadden lab). Using our first generation purification strategy, only submicrogram (picogram to nanogram) quantities of purified protein were isolated, and it is these early preparations of secreted Serp-1 that were used for the first studies in 74 cholesterol fed New Zealand white rabbits. It was reasoned that the intact virus only expressed and secreted very low levels (femtomolar amounts) of Serp-1 protein into the surrounding tissues and thus the viral serpins might be capable of anti-inflammatory action even at very low dosages. Lower concentrations of therapeutic protein would also reduce the risk of inducing antibody and immune responses as well.

As this serpin was derived from a rabbit virus, the first disease model we tested was a rabbit angioplasty injury model, where the timing of initial injury and inflammatory response activation would be known, e.g., initiated with specific angioplasty injury. In the first studies, one bolus of 30-3000 picograms of Serp-1 protein per rabbit was then infused locally at sites of balloon injury in studies performed by LY Liu and E Dai in the Lucas lab.⁶⁴ The Serp-1 protein was infused locally using a Wolinsky catheter, which is an angioplasty balloon catheter with small perforations on the balloon that allowed the protein to be sprayed on the arterial surface while inflating the balloon (Fig. 3A). Later analyses with Evans blue dye delivered with the same device demonstrated that the majority of this presumed local infusion was in fact delivered in both trans-arterial and systemic fashion, with 90% of the delivered dose spilling into the blood stream. Efficacy of intravenous and intra-arterial infusion of 0.3 to 300 ng of Serp-1 protein were then tested, again demonstrating effective inhibition of plaque growth at 4 weeks follow-up after a single bolus injection given at the time of balloon angioplasty.⁶⁴

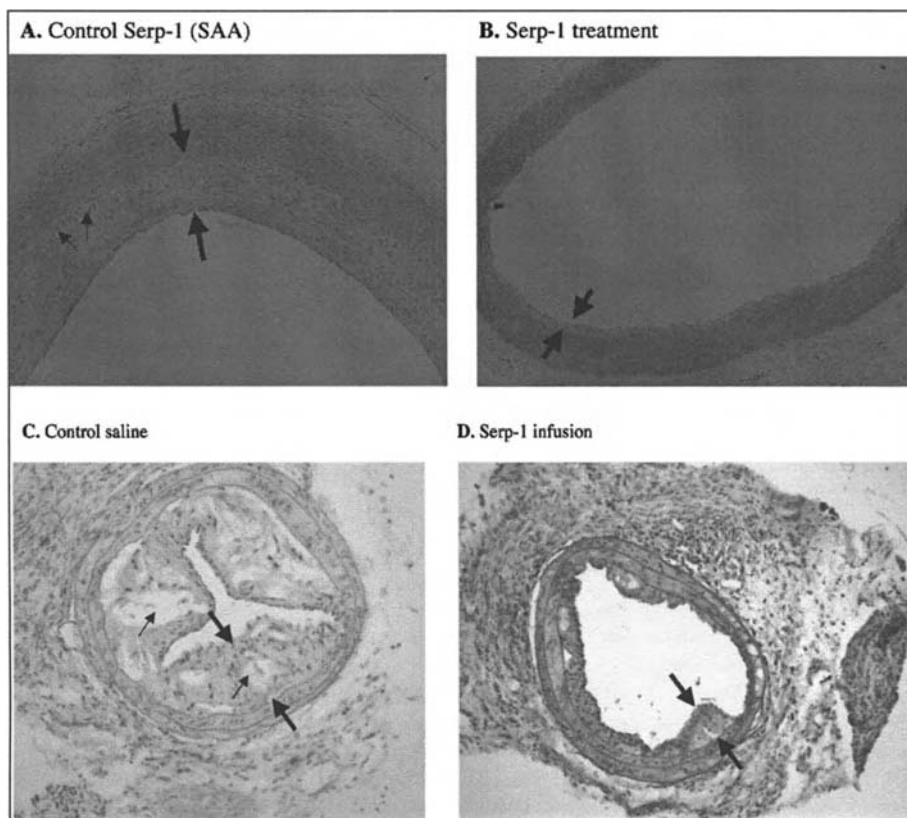


Figure 3E-F. In the first two panels representative histological cross sections of rabbit arteries taken at 4 weeks follow-up after balloon angioplasty injury and treatment with either inactive control Serp-1 (SAA) control (A) or active wild type Serp-1 (B) demonstrate the marked reduction in plaque area after a single bolus injection with Serp-1 (B) when compared to control (A). In this study rabbits were fed a high cholesterol diet and the drug was given as a single embolus injection immediately after balloon angioplasty. In the second two panels cross sections of ApoE null mouse carotid arterial histology sections are shown at follow-up after treatment with control saline treatment (C) or Serp-1 (D) infused by osmotic pump continuously. Again a marked reduction in plaque area was demonstrated with Serp-1 infusions. Large arrows bracket plaque growth areas in the intimal layer. Small arrows indicate areas of inflammatory cell invasion. Figure 3, continued on following page.

In these initial studies, early (i.e., 24 hrs to 7 days after angioplasty injury) inflammatory cell, macrophage and T-cell invasion was effectively blocked. Atherosclerotic plaque growth was significantly reduced at sites of angioplasty injury at 4 weeks follow-up, following injection of a single dose of Serp-1 protein (Fig. 3B). Smooth muscle cell and B cell invasion were not significantly altered in this model. Injection of a mutated Serp-1 protein, bearing a genetic replacement of the normal P1-P1' Arg-Asn (R-N) scissile bond with Ala-Ala (A-A), produced an inactive serpin that was no longer able to block either inflammatory cell invasion or plaque growth.⁶⁴ In this original study there were no adverse effects observed; specifically no increased bleeding or clotting, infection or sepsis, no delay in wound healing, no increased mortality and overall no side effects. Inhibition of inflammation and plaque development was observed even after a single injection of picogram to nanogram doses of Serp-1 up to 4 weeks follow-up with no further bolus injections.⁶⁴ The half

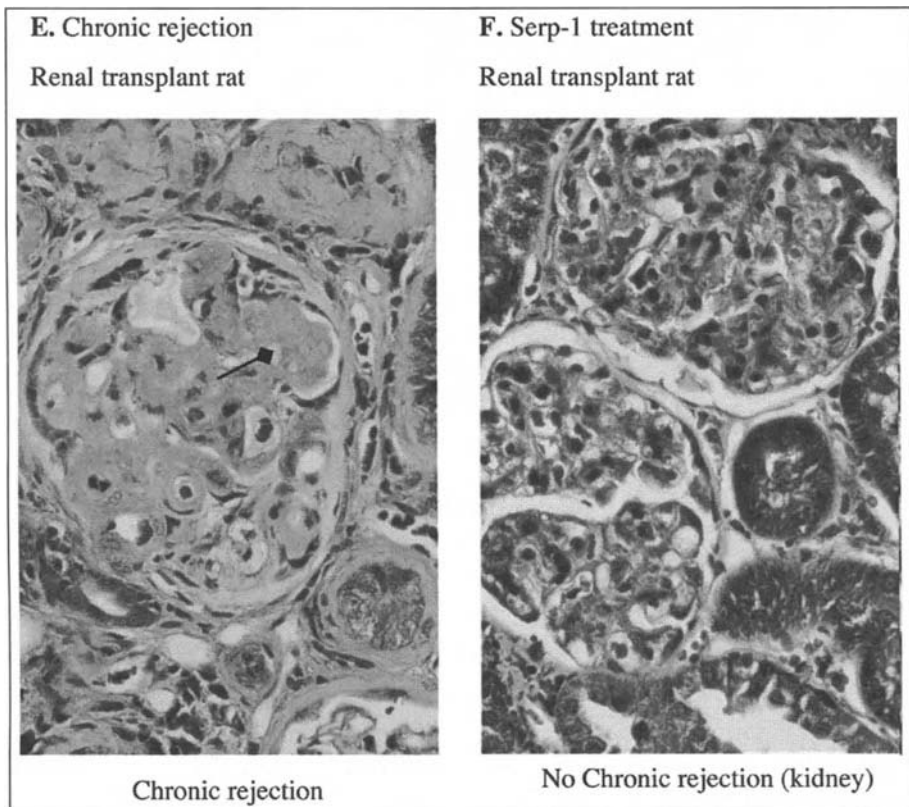


Figure 3, continued. Panels E and F illustrate treatment of rats after allograft renal transplant (F344 to Lewis rat) with either cyclosporine alone (E) or cyclosporine plus Serp-1 (F) at 5 months follow-up. Treatment was given as daily bolus injection i.v. for the first 10 days after renal transplant with no additional treatment. Areas of renal transplant scarring and loss of cellularity are indicated by the marker.

life of Serp-1 in normal rabbits was reported by Dr M Hatton (McMaster U, Hamilton, ON) to be less than 24 hours.⁶⁵

Pre-Clinical Animal Models Studies of Angioplasty Injury

In subsequent work, the efficacy of Serp-1 therapy was tested across species and in differing models to assess the range of action and to confirm the anti-inflammatory and anti-atherogenic actions (blockade of atherosclerotic plaque growth) of Serp-1 in angioplasty injury models (Table 1). Both in the Lucas lab and in a collaborating lab (J Stroney, Case Western Reserve, OH) angioplasty-induced plaque was reduced at 4 weeks follow-up in cholesterol fed Yucatan microswine after peripheral arterial balloon angioplasty injury.⁶⁶ Plaque development was also significantly reduced after balloon angioplasty in rat iliofemoral and rooster aortic models (Lucas lab). In each case, early inflammatory mononuclear cell (macrophage and T-cell) invasion was significantly reduced with Serp-1 treatment at the site of injury. In these studies, doses of Serp-1 protein ranged from picogram/kg up to nanogram/kg body weight. Injections were given by either local Wolinsky perforated balloon injection (microswine) or by intravenous bolus (rabbit, rat and rooster), with Serp-1 infused immediately after angioplasty. No further injections were given until the time of follow-up at 4 weeks after angioplasty. While initial work was limited by

the amount of purified Serp-1 protein available from the original small protein preps produced, for use later studies a CHO cell expression system was developed in collaboration with Biogen (Leona Ling, Boston, MA USA and Viron Therapeutics, Inc, London, ON, Canada). The Serp-1 protein expressed and purified after secretion from CHO cells was produced at much higher quantities and allowed for higher dose infusions. In the most recent work, Serp-1 protein used for preclinical animal models was expressed in CHO cells and secreted Serp-1 protein was purified under good manufacturing practice (GMP) conditions, in a format suitable for clinical use. In all of the initial studies in rabbits and Yucatan microswine models, the perforated Wolinsky balloon was used for Serp-1 delivery at the site of angioplasty injury, based upon the original rabbit studies.⁶⁴ However, later work demonstrated efficacy on an equivalent level with systemic intravenous (i.v.) or intra-arterial (i.a.) systemic blood infusions. Many of these studies assessing the local perforated balloon vs systemic i.v. injection routes proceeded in parallel such that local infusions were still utilized in the swine models while work was ongoing in rabbits demonstrated efficacy when Serp-1 was infused as an i.v. bolus infusion. Once proven effective, animal studies were in general performed using i.v., dosing of Serp-1 protein.

Subsequent to these initial studies, Serp-1 was tested for efficacy in preventing plaque growth after both balloon angioplasty injury and bare metal stent implant.⁶⁶ Plaque was reduced after 2, 3 or 11 daily injections of Serp-1 given i.v. and starting immediately after stent implant in cholesterol fed rabbit aorta.⁶⁶ However, Serp-1 was not effective when given as a single bolus after stent implant in either cholesterol fed New Zealand white rabbit or Yucatan microswine models.⁶⁶ With repeated balloon angioplasty injury in microswine there was a trend toward reduced plaque when Serp-1 was infused after the final angioplasty injury in a series of three angioplasty injuries over 1.5 months, but this trend did not reach significance.⁶⁶ In none of these cases was baseline preformed plaque reduced by subsequent Serp-1 treatment. Specifically, only plaque at the site of balloon angioplasty or stent implantation was reduced, but plaque caused by cholesterol feeding outside the range of the vascular injury was not affected by Serp-1 treatment.⁶⁶ Thus, with ongoing or recurrent injury, as with repeated balloon angioplasty or with indwelling arterial stent implants one observes less effective reductions in plaque with single i.v., bolus injections. Multiple Serp-1 injections, however, did reduce plaque significantly in the rabbit stent implant model.⁶⁶ With repeated Serp-1 dosing (2 up to 11 daily i.v. boluses) starting on the day of aortic stent implant in cholesterol fed New Zealand rabbits, there was effective plaque reduction at 4 weeks follow-up.⁶⁶ In each animal model assessed, when early histological specimens were taken, there was evidence for Serp-1 mediated reductions in monocyte and nonspecific T-cell invasion at sites of vascular injury.⁶⁶

Spi-3 Treatment in Balloon Angioplasty Models

Spi-3 protein from Vaccinia virus binds uPA and tPA, with weaker binding to fXa and plasmin as described in preceding sections. Spi-3 was also tested in parallel with Serp-1 in a limited study after balloon angioplasty injury in rat models. Spi-3, as for Serp-1, reduced plaque growth significantly when a single injection was given i.v. after balloon injury (A. Lucas and R. Moyer, unpublished observations).

Preclinical Animal Models Study of Inflamed Plaque in ApoE^{null}

Mouse Carotid Cuff Injury

The capacity of Serp-1 to reduce plaque inflammation and growth after carotid cuff compression in hyperlipidemic ApoE^{null} mouse models was also assessed. In this study, Serp-1 was infused at 2 µg/kg/day subcutaneously by continuous osmotic pump over 4 weeks. A markedly significant 67.7% reduction in plaque size and a reduction in histological markers for plaque instability (Fig. 3C, D) were both detected following Serp-1 treatment starting one week after carotid cuff placement. Serp-1 plasma levels were measured at approximately ng/mL concentrations.⁶⁷ When Serp-1 treatment was started at 5 weeks post cuff placement, a nonsignificant trend toward a reduction in plaque size (30% reduction) was observed. The plaque development in Serp-1 treated mice at the site of carotid cuff compression displayed reduced numbers of invading macrophage with increased smooth muscle cells and increased collagen deposition suggesting improved stability of

Table 1. Viral anti-inflammatory serpins assessed for anti-inflammatory activity in preclinical animal models

Viral Serpin	RSL/P1-P1' Sequence	Protease Target	Viral Origin	Route/X No Doses	Preclinical Model	Findings
Serp-1	EADERGTTAS SDTAITLIPRN ALTAIVANKP FMFLIYHKP	tPA, uPA, plasmin, FXa	Myxoma virus	Wolinsky local x 1	Balloon angioplasty-mouse, rat, rabbit, rooster, microswine	Inflammation-D, plaque-D
				V. X1, X2, X3 or X11	Balloon angioplasty and stent implant-rabbit, microswine	Inflammation-D, plaque-D plaque-NE
				Wolinsky Local x 1 Wolinsky Local x 1	Repeat balloon angioplasty injury-microswine	Plaque-D plaque-NE
				S.C. pump 30 days	Carotid cuff-mouse	Inflammation-D, plaque-D inflammation-D, plaque-D,
				I.V. X1	Renal artery vein bypass	Inflammation-D, plaque-D, scar-D
				I.V. X10	Aortic transplant-mouse, rat renal transplant-rat	Scar-D plaque-D chronic rejection-D
				I.V. X10	Cardiac transplant-mouse, rat	Prolonged allograft survival-I, acute rejection-D
				I.J. X1 I.V. X10	Antigen induced arthritis-rabbit,-rat	Inflammation-D, erosion-D
				Surface x 1	CAM-chicken	Angiogenesis-D
				Spi-3	DVDEQGTVA EASTIMVAT ARSSPEQLEF NTPFIIRHDI	tPA, uPA, plasmin, throm- bin, fac- tor Xa

continued on next page

Table 1. Continued

Viral Serpin	RSL/P1-P1' Sequence	Protease Target	Viral Origin	Route/X No Doses	Preclinical Model	Findings
Serp-2	... VTDFGG	Gran- zyme B, caspase 1 (ICE)	Myxoma virus	I.V. X1	Balloon angioplasty-rat	Inflammation, plaque-D
			Capri- pox virus, Yatapox virus	S.C. pump × 30 days	Carotid cuff-mouse	Inflammation, plaque-D
			Cowpox virus,	I.V. X1	Aortic trans- plant-rat, mouse	Inflammation, plaque-D
CrmA/ Spi2 Serp B13R	ATCALVAD- CAST	Gran- zyme B, caspase 1 (ICE), caspase 8, 10, 6, 3, 7	Cowpox virus,	I.V. X1	Balloon angio- plasty-mouse, rat	NE
			Vaccinia virus	S.C. pump × 30 days I.V. X1	Carotid cuff-mouse	NE
					Aortic trans- plant-mouse, rat Prostate cancer leukemia	NE Cell growth-I Resistance to chemotherapy

uPA—urokinase type plasminogen activator, uPA receptor—uPAR, D—decrease, I—increase, NE—null or equivocal effects, Rb—rabbit, Rt—rat, Ms—mouse, MSw—microswine.

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4. Richardson M, Liu L, Dunphy L, et al. Viral serpin, Serp-1, inhibits endogenous angiogenesis in the chicken chorioallantoic membrane model. *Cardiovasc Pathol*. 2007; 16: 191-202.

the plaque and reduced risk of plaque rupture.⁶⁷ Unstable atherosclerotic plaque is characterized by a necrotic lipid core with highly active macrophage and T-cell components that release factors that lead to thinning of the surface cap covering the inner plaque core. The core has activated macrophage and T-lymphocytes as well as smooth muscle cells, but can also contain apoptotic cells. The apoptotic macrophage cells in particular can act as small cytokine factories releasing large quantities of inflammatory activators. Proteases, such as the thrombolytic serine proteases (tPA, uPA and plasmin) and the matrix metalloproteinases (MMPs), are also released that breakdown the local connective tissue. In addition to these activated and apoptotic cells, layers of cholesterol deposits and connective tissue also can serve to activate cells and initiate inflammatory reactions.

With erosion, this thinned protective cap can rupture, exposing the underlying connective tissue, inciting thrombus formation and leading to heart attacks and strokes. Mice treated with Serp-1 in this study displayed reduced macrophage content and increased SMC and collagen content suggesting a more stable plaque phenotype.⁶⁷

Preclinical Animal Model Studies of Transplant Rejection— Acute and Chronic Rejection

Aortic Transplant Models

Marked reductions were detected in both inflammatory cell invasion into the arterial wall along with associated reductions in late plaque growth in the balloon angioplasty and stent implant models, and thus the capacity of Serp-1 to reduce chronic inflammation in aortic allograft and renal transplant models was examined in rat models. In later studies mouse aortic transplant models were also utilized in order to analyze the effects of selected genetic 'knock out' on serpin mediated anti-inflammatory activities. Chronic transplant rejection of solid organ transplants is characterized by chronic vascular occlusion and associated end organ ischemic damage. Although inhibition of T-cell mediated rejection is efficacious in reducing chronic transplant vasculopathy and organ damage, it has been estimated that approximately 50% of chronic rejection responses are not adequately blocked and some of this chronic rejection and vasculopathy is now attributed to ongoing smoldering excesses in inflammation and scarring. Two collaborating labs, H Wong with R Zhong at the University of Western Ontario (ON, Canada) and R Morris at Stanford (CA, USA), examined the capacity of Serp-1 treatment to reduce the long term inflammation that contributes to chronic rejection.

The initial rat aortic transplant work was performed by Miller and Dai in the Lucas lab.⁶⁸ With a single i.v., bolus infusions of either ACI rat donor to Lewis rat recipient or Lewis rat donor to Sprague Dawley (SD) recipient rat aortic transplants, a significant reduction in early monocyte/macrophage and nonspecific CD2 positive T-cell invasion as well as a significant reduction in later plaque growth were detected.⁶⁸ Serp-1 was infused via i.v., bolus injection immediately after completion of an end-to-end aortic anastomotic connection once visible blood flow (aortic pulsation) was detectable. No further bolus doses of serpin were infused after this initial dose and no other drugs were given in this aortic transplant model study.

Renal and Heterotopic Cardiac Transplants

E Bedard in the Zhong lab subsequently examined F344 (RT^{lv1}) donor to Lewis (RT^l) recipient rat renal transplants at 5 months follow-up.⁶⁹ In this study Serp-1 i.v., plus cyclosporine A (0.75 mg/kg/day s.c.) treatment reduced both scarring and vascular stenosis at 5 months after giving Serp-1 treatment for only the first 10 days after transplant (Figs. 3E, F). Serp-1 treatment with and without cyclosporine A was compared to cyclosporine A alone.⁶⁹ When given together with cyclosporine A, Serp-1 at the higher 50 µg/kg i.v., dose markedly reduced all the classical histological markers for chronic rejection including tubular and glomerular atrophy, vascular hyalinization and cortical scarring in the renal transplant model at 5 months follow-up.⁶⁹ Additional bolus injections of Serp-1 at 1 month posttransplant did not produce further reductions in scarring and vasculopathy, indicating that the anti-inflammatory and anti-rejection activity of Serp-1 is realized during the first 7-10 days after transplant. Unlike the simple aortic transplant model, Serp-1 given alone, without concomitant cyclosporine injections, did not reduce transplant vasculopathy or scarring.⁶⁸ The work with the renal transplant rejection model provided several important advances in our work. First, treatment with Serp-1 together with cyclosporine for the first 10 days after transplant markedly reduced both scarring and vasculopathy at 5 months follow-up when compared to cyclosporine treatment alone.⁶⁸ Second, Serp-1 treatment could be limited to the first 10 days after transplant and result in continued protection of the allograft renal transplant even as late as 5 months follow-up with no further boluses. Finally, the renal transplant in this model was the only functioning kidney in the recipient rat and there was no evidence for toxicity, adverse events or adverse effects on renal function with Serp-1 treatment.

A similar study was performed by B Hausen in the Morris lab, examining the percentage of coronary vessels with evidence for vasculopathy and narrowing at 3 months follow-up in a rat cardiac transplant model following treatment with Serp-1 and cyclosporine treatment for the first 10 days post transplant.⁷⁰ The number of vessels displaying significant plaque and narrowing was significantly reduced with Serp-1 treatment at 3 months follow-up.

Acute rejection was more recently studied in a Brown Norway rat to Lewis rat heterotopic heart transplant model. In this work (H Wang in the Zhong lab) detected significantly reduced acute rejection following Serp-1 treatment.⁷¹ Improved graft survival was also detected in rats treated with a lower dose of cyclosporin. Indefinite heterotopic heart allograft survival was demonstrated with greater than 100 days follow-up.⁷¹ The Zhong lab also reported reduced xenograft rat to mouse cardiac transplant loss with Serp-1 treatment when given together with two other immunosuppressants. With this work one can propose that Serp-1 treatment together with low dose cyclosporine or other agents should reduce the toxicity of these acute rejection treatments.⁷⁰

Preclinical Animal Model Study of Arthritis

In an early pilot study of 15 rabbits with ovalbumin antigen-induced arthritis, W Maksymovich and A Russell (University of Alberta, Edmonton, Canada) demonstrated reduced joint swelling and inflammation and reduced joint cartilage erosion.⁷² In this study, Serp-1 protein was infused in nanogram doses via intra-articular injection. In a larger subsequent work, E Brahn (UCLA, CA, USA) detected marked reductions in joint swelling, erosions and an associated improvement in motility in a rat treated with Serp-1 prior to antigen challenge.⁷² As this represented a preventative model and thus is an unlikely scenario in the clinic for arthritic patients, the Brahn group also proceeded to examine the effects of Serp-1 given after arthritis was already established in the rat model. In this follow-up study Serp-1 was infused either alone or together with cyclosporine. When given alone Serp-1 was not effective at reducing inflammation in this collagen induced arthritis model, whereas when dosed together with cyclosporine, Serp-1 protein treatment resulted in a synergistic reduction.⁷³

Chicken Chorioallantoic Membrane (CAM) Model of Angiogenesis

In a chicken (*Gallus gallus*) chorioallantoic membrane (CAM) model of angiogenesis, Serp-1 treatment reduced new vessel creation significantly.⁷⁴ An inactive Serp-1 mutant Serp-1 (SAA), with an amino acid replacement of R-N to A-A at the P1-P1' site, was ineffective. Treatment with the mammalian serpin, PAI-1, in the same model also did not reduce new vessel formation.⁷⁴ Treatment with Serp-1 reduced vascular endothelial growth factor and laminin gene expression at 6 hrs and 24 hrs after treatment in this model which has the potential to alter neovascular proliferation. Collagen IV expression was also altered but varied from reduced levels at 6 hours to increased at 14 hours follow-up. The role of Serp-1 in potentially controlling dysregulated angiogenesis merits further investigation.⁷⁴

SERP-1 Mechanism of Action

Initial studies with Serp-1 used immunohistochemical analysis of tissue specimens from animal models of cellular invasion at early times after injury. In the rat and rabbit models there was a consistent early reduction in the invasion of macrophage and nonspecific CD2-positive, nonspecific lymphocyte (specifically NK cell) invasion from 24 hours up to 72 hours after Serp-1 treatment post angioplasty injury or aortic, cardiac and renal transplant. Similar reductions in macrophage cells and CD2-positive lymphocytes were detected at early follow-up after acute cardiac (<48 hours) and chronic renal transplant models. This reduction was greater than the effects of Serp-1 alone or cyclosporine treatment alone in these same models. This reduction in inflammatory cell invasion correlated closely with later reductions in plaque growth and vasculopathy development.

It should be noted that many viral proteins exhibit more than one function, often targeting two or more host response pathways. Serp-1 targets tPA, uPA, plasmin in the thrombolytic cascade and also factor Xa in the thrombotic cascade which represent more than one receptor and signaling pathway. It is certainly possible that this highly potent viral serpin may have acquired other

functions during evolution as a host immunomodulator, functions that are as yet undisclosed. As the uPA/uPAR complex has been clearly demonstrated to play an important role in inflammatory cell responses after vascular injury, other cellular responses and changes in gene expression in human endothelial cells, monocytes and T-cells were examined in Serp-1 treated cultured human cells. These studies performed by K Viswanathan (Lucas lab) demonstrated that Serp-1 treatment was capable of reducing cell activation as measured by calcium content and membrane fluidity in all cell types tested. As one of the earliest cell responders to i.v. Serp-1 infusions, it is possible that endothelial cells mediate the first inhibitory actions of Serp-1 during vascular injury.⁷⁵ Gene expression was also noted to be altered in endothelial cells and monocytes after Serp-1 treatment and this activation pattern differed for Serp-1 and mammalian PAI-1 treatment. Of particular interest, in rat arteries tPA, PAI-1 and uPAR exhibited increased expression following Serp-1 treatments, while for human endothelial cells in culture, the genes for PAI-1 as well as the ITPR2 receptor for calcium underwent altered levels of expression.^{28,75} In human monocytes, Serp-1 treatment, differed from PAI-1 as measured by microarray analysis, thereby causing an increase in an actin binding protein and a reduction in CD18, beta 2 integrin expression (unpublished observation).¹⁰⁸ In the CAM model of angiogenesis, Serp-1 treatment reduced VEGF and laminin expression and increased collagen IV. In the acute rejection model, when Brown Norway rat donor to Lewis rat recipient heterotopic heart transplants were performed, Serp-1 treatment significantly reduced TLR2, TLR 4 and myD88 gene expression.⁷¹ Also, in the early stages after chronic renal allograft transplant with Serp-1 treatment, TGF β gene expression was reduced. Associated with these changes in inflammatory gene expression, there was a reduction in macrophage and dendritic cell invasion. An ApoE^{null} mouse study similarly detected reduced macrophage invasion and associated increases in collagen and SMC in the plaque, all of which suggest increased plaque stabilization.⁶⁷ Serp-1 was also found to bind to the surface of endothelial cells, monocytes and T-cells in vivo using fluorescent microscopy and FACS (fluorescence activated cell sorting) analysis.

In mouse targeted gene knockout models, Serp-1 lost all inhibitory activity in uPAR deficient (uPAR^{-/-}) mouse model of aortic transplant. However, Serp-1 retained its potent anti-inflammatory activity in PAI-1 deficient (PAI-1^{-/-}) mice after aortic transplant, PAI-1 deficient C57Bl/6 background donor to PAI-1 expressing (PAI-1^{+/+}) Balb/C recipient mouse.²⁸ Conversely PAI-1 treatment only reduced plaque in PAI-1 knock out C57Bl/6 isograft transplants, but not in allograft transplants.²⁸ In the PAI-1 deficient allograft transplant model PAI-1 deficient C57Bl/6 background donor to PAI-1 expressing Balb/C recipient mouse, treatment with a single dose of PAI-1 caused a local excessive thrombotic activation and early mortality.²⁸ In stark contrast, a single injection of Serp-1 protein markedly reduced inflammation and plaque growth and caused no alteration in thrombosis or bleeding.²⁸ Treatment with an array of Serp-1/serpin RSL chimeras did not reduce inflammation or plaque growth indicating that Serp-1 requires an intact R-N sequence at the P1-P1' site. Alteration of the adjacent P2-P7 amino acids, by replacing these residues with 6 alanines led to a loss of Serp-1 anti-inflammatory and anti-atherogenic activity.

Viral Serpins That Target Apoptotic Pathways: Preclinical Analysis of CRMA and SERP-2

Cowpox virus's cytokine response modifier A (CrmA) was the first viral serpin to be identified and has been intensely studied. CrmA is a stable intracellular protein synthesized early during cowpox viral infection that mediates the formation of characteristic red hemorrhagic pocks on the chorioallantoic membrane (CAM) of fertile hen eggs. A CrmA-like protein called Spi-2 is also expressed by certain vaccinia virus strains. This 38 kD intracellular protein is expressed early in viral infection and inhibits both caspases 1 (interleukin converting enzyme-1 β or ICE) and 8 as well as Granzyme B, key players in apoptotic pathways. CrmA inhibits caspases-1 (Ki = 4-10 pM) and 8 (Ki = <340 pM) most effectively, but also inhibits caspase s10 (Ki = 4-17 nM), 6, 3 and 7 with decreasing effectiveness.⁴⁰ CrmA blocks apoptosis induced via death receptor signaling (Fas receptor and TNF), but is not effective at preventing cell death induced by stress or genotoxic damage induced through mitochondrial apoptotic (caspase 9) signaling. These inhibitions help the

virus to replicate, as the primary way to eliminate a viral infection is for T-cells to induce apoptosis in infected cells. A chicken chorioallantoic membrane model demonstrated a strong reduction in inflammation when treated with CrmA; however, recent research in animal models of orthopoxvirus infection has failed to confirm CrmA's anti-apoptotic capabilities *in vivo*.

CrmA (cytokine response modifier A) a cowpox viral protein and Serp-2 (an intracellular myxoma viral serpin) are two viral cross class serpins that bind and inhibit both granzyme B, a serine protease and caspase 1 (cysteine proteases). The presence of Asp (D) in the P1 site of the RSL of these two serpins allows these proteins to function both as serine and as cysteine protease inhibitors, which is why they are called cross class serpins (Fig. 2). Of interest, work by Moyer and Turner have demonstrated that while CrmA binds to both caspases 1 and 8 as well as granzyme B with greater affinity (Kass)^{76,77} than Serp-2, whereas, Serp-2 displays greater effects on viral virulence *in vivo* during viral infections.⁷⁸ CrmA inhibits extrinsic apoptosis mediated through the Fas and TNF pathways, but does not block granzyme B mediated cell death after cytotoxic T-lymphocyte release of granules.⁷⁹ Serp-2 cannot block apoptosis in cowpox virus infected cells, but conversely Serp-2 deficiency in myxoma virus infection markedly attenuates virus infection in European rabbits with a reduction in mortality from 100% to 10% with inactivation of the Serp-2 gene.⁷⁶ CrmA cannot replace Serp-2 and results in only 70% mortality in myxoma virus rabbit infections.

Like CrmA, myxoma poxvirus 34kD Serp-2 is able to inhibit ICE. Serp-2 shares 35% similarity with CrmA. However, despite displaying a lower binding affinity *in vitro* for ICE and caspase 8, Serp-2 demonstrates a more robust anti-inflammatory activity *in vivo* during viral infections. Serp-2 is capable of inhibiting apoptosis in CAM models of infection but did not block inflammation in the CAM model.⁷⁹ Although both Serp-2 and CrmA are cross-class serpins and target some of the same proteases, in infected cells the insertion of Serp-2 in place of CrmA does not cause similar effects.⁷⁹ Thus these two cross class serpins are not functionally interchangeable and studies in animal models have confirmed a marked difference in their potential as anti-inflammatory agents.

Spi-1 is a less well described rabbit poxvirus intracellular protein with circumscribed anti-apoptotic activity. This viral serpin binds cathepsin G similar to the reports for the mammalian intracellular PI-6 protein. Spi-1 has been reported to inhibit a caspase independent form of apoptosis in selected cells.⁸⁰

SERP-2 Preclinical Studies

In rat and mouse models of angioplasty injury and aortic transplant, effective reductions in inflammatory cell invasion and in plaque growth were observed following Serp-2 treatment.⁸¹ CrmA conversely had no effect on plaque growth in these models, nor did two Serp-2 RSL mutants (D294A and E) provided by P Turner and R Moyer for these studies. Work by I Bot and E Biessen similarly detected significant reductions in plaque in the ApoE null mouse model with carotid cuff compression injury after Serp-2 treatment but not with CrmA.⁸² While Serp-2 showed a trend toward reducing plaque at the site of carotid cuff compression injury, this trend did not reach significance. But, unlike Serp-1 treatment, Serp-2 was able to reduce the generalized increase in plaque detected at the aortic root of ApoE^{null} mice suggesting that this protein can target and reduce systemic plaque buildup rather than plaque growth only at sites of vascular surgical injury.⁸²

Other Mammalian Serpins

These studies with Serp-1 and other viral serpins provide a guide to the development of agents targeting pathways identified as having potential for high impact in the regulation of inflammatory and apoptotic pathways. Thus, mammalian serpins that inhibit the thrombolytic serine proteases, more specifically the uPA and tPA pathways, were studied to assess how close an analogy one might find for mammalian serpin inhibition of inflammation. PAI-1 and neuroserpin were tested in similar rodent models and compared to Serp-1 for anti-inflammatory and anti-atherogenic activity in the preclinical models. PAI-1 binds and inhibits tPA, uPA and thrombin as well as activated protein C (APC) with a higher K_{ass} than Serp-1 (often with an increase of K_{ass} on the order of two logs (10⁷

versus 10^4)).⁵⁹ Neuroserpin binds and inhibits tPA and uPA, but with a greater predilection for tPA. As noted in prior reports, infusion of PAI-1 demonstrated anti-inflammatory and anti-atherogenic actions in some preclinical models, while other studies found a marked pro-inflammatory activity for PAI-1.³⁵⁻³⁸ The work by Carmeliet demonstrated an increase in plaque after iliac injury in PAI-1 deficient mice,³⁴ while work by Plois demonstrated reduced plaque in FeCl₃ injured arteries in PAI-1 deficient mice.³⁶ In our lab, PAI-1 infusion did reduce cell invasion and even plaque growth in PAI-1 deficient mice in both cell migration assays and in isograft aortic transplants where the donor and recipient mice both lacked PAI-1 expression.²⁸ In aortic allografts, however, with transplant of a PAI-1 deficient C57Bl/6 mouse aorta into a PAI-1 expressing Balb/c mouse there was excess local thrombosis and 100% mortality.²⁷ Thus, adding this natural mammalian serpin to a mouse already expressing background levels of PAI-1 leads to excess clotting and death. This pro-coagulant property is not seen following Serp-1 treatment.

Mammalian neuroserpin preferentially inhibits two chain tPA ($K_i = 6.2 \times 10^5 \text{ M}^{-1}\text{s}^{-1}$), but also inhibits single chain tPA, trypsin, uPA, nerve growth factor- γ , plasmin and thrombin (K_i ranging from 2.1×10^2 to $8.0 \times 10^4 \text{ M}^{-1}\text{s}^{-1}$).³² Neuroserpin has also been implicated in neurological diseases such as dementia with neuroserpin mutation and polymer formation, cerebrovascular diseases, epilepsy, multiple sclerosis and schizophrenia. In mouse cerebral ischemia models neuroserpin appeared to have a protective role as evidenced by the finding that delivery of neuroserpin to the affected area (or overexpression of neuroserpin) decreased the ischemic territory and reduced the numbers of apoptotic cells in the setting of cerebral infarction.³³ Neuroserpin has also been reported to play a central role in development of the visual cortex in axonal growth and in the regulation of synaptic plasticity. We thus tested neuroserpin in a collaborative study with D Lomas (U Cambridge, Cambridge, UK). In our rat model of angioplasty injury and in the mouse aortic transplant models, neuroserpin injection did significantly reduce plaque growth (unpublished observations).

In summary, we have assessed two mammalian serpins that target thrombolytic pathways, plasminogen activators, in preclinical vascular surgery models. One, PAI-1, induced excess thrombosis and mortality but the second, neuroserpin, reduced inflammation. Analysis of these cellular serpin proteins and discovery work on the mechanism of action of these viral serpins has therefore provided insights into new therapeutic targets for drug discovery and treatment of inflammation based disorders. This work in effect adds to the serpin chorus as potential therapeutic agents and new pathways to target in treating inflammation based diseases.

Other Parasite Derived Serpins

Investigation of diverse genomes has yielded a wide range of organisms that encode serpins, from poxviruses to mammals to more recently discovered bacterial and unicellular serpins. The function of the majority of these proteins are unknown, although most are thought to have inhibitory activity based on sequence homology of known serine protease inhibitors. In this section, serpins from various organisms are presented and their importance in possible immunotherapies discussed.

Insects are hosts to a wide variety of disease causing organisms and act as vectors of transmission to man. Serpins in haematophagous (blood-feeding) insects are expressed for two different purposes; immune evasion/invasion for feeding from their host and defense against infection.⁸³ Tsetse flies are responsible for harboring and transmission of African sleeping sickness in humans. These insects express the serpins Tsall and Tsal2 in their saliva, which are important for transmission of Trypanosomes by suppression of B and T-cell activity.⁸⁴

Mosquitoes serve as an intermediary in the transmission of malaria-causing *Plasmodium* parasites into humans. There are mechanisms in the mosquito gut designed to inhibit the invasion of the parasites.⁸³ *Anopheles* mosquitoes express several serpins that have important actions for the killing and/or clearing of parasites from the insect. Thus the mosquito can carry and transmit the infecting parasite to other hosts such as man without succumbing to infection itself. For example, in *A. gambiae*, SRPN10 is expressed as four isotypes, with variations in the RSL.⁸⁵ Invasion of the midgut of *A. gambiae* mosquitoes by *Plasmodium berghei ookinetes* up-regulates the mRNA of a

specific subset of isotypes, KRAL and RCM, possibly regulating the apoptosis of infected cells.⁸⁵ Progression of the timeline of infection can be observed just by the localization and expression of SRPN10. SRPN10 normally resides in the nucleus, but when invaded by *ookinetes*, SRPN 10 translocates to the cytoplasm.⁸⁶ Once a parasite is ready to exit from the gut basolateral membrane, SRPN10 protein expression is then increased.⁸⁶ Down-regulation of another mosquito serpin, SRPN2, by RNAi decreases oocyst formation after infection with *Plasmodium berghei* in the midgut,⁸⁷ however, in field isolates of *Plasmodium falciparum*, this did not reduce oocyst formation.⁸⁸ SRPN6, another *Anopheles* expressed serpin, is expressed in both *Anopheles gambiae* and *stephensi* and has an identical 28 residues for their RSL yet result in slightly different activities in the organisms when faced with Plasmodium infection.⁸⁹ In *A. stephensi*, SRPN6 is thought to have parasite killing activity whereas in *A. gambiae*, *Plasmodium berghei* SRPN6 possibly has a role in the clearance of parasites by delaying the progression of infection.⁹⁰ Interestingly, in *A. gambiae*, SRPN6 is also up-regulated upon exposure of the gut to *Escherichia coli*,⁹⁰ as observed in *Drosophila melanogaster*.⁹¹

Insects are not the only organisms to express serpins that allow these organisms to invade their hosts and evade the host inflammatory responses. Helminthes, such as *Schistosomes*^{92,93} and *Brugia*^{94,95} express serpins that dampen the immune responses of their hosts in not one, but several pathways.⁹⁶⁻¹⁰² In blood feeding, ticks also secrete serpins in their saliva to inhibit the local inflammatory response and blood clotting to prolong their ability to feed without detection.¹⁰³⁻¹⁰⁷

Thus these disease-causing organisms over time have developed an arsenal of proteins to facilitate successful invasion of their host organisms. By understanding the mechanism of action, serpins from parasitic organisms could be refined and utilized in therapeutics as anti-inflammatory and antithrombotic compounds. Serpins have potential uses other than specific protease activity. For example, serpin-coupled peptides, such as ovalbumin (a serpin that lacks classical serpin inhibitory functions) and coupled Tc52 peptides from *T. cruzi*, have been shown to inhibit T-cell activity.¹⁰⁸ By using ovalbumin as a carrier protein to reduce immunogenicity, specific host pathways can be targeted. Beneficial activities from proteins with more than one function can be dissected and isolated for coupling with ovalbumin, to minimize nonspecific activities. Utilizing already known mechanisms of immune modulation can be an efficient method of therapeutic development.

Clinical Study of SERP-1 Treatment in Acute Unstable Coronary Syndromes; Unstable Angina and Non-ST Elevation Myocardial Infarction (NSTEMI)

Like any new discovery in the drug field and clinical testing of a new class of protein therapeutics, this work could not have been done without the efforts of many investigators. A small biotech company was established in 1997 by Drs Lucas and McFadden, called Viron Therapeutics Inc, (London, ON, Canada). Viron was initially established around the Lucas and McFadden research laboratories and founded with the guidance of Dr M Ponansky, the then Director of the Robarts Research Institute, University of Western Ontario, London, ON, Canada. Viron coordinated the expertise and funding necessary to produce viral proteins according to good manufacturing practices (GMP) and to set up the preclinical animal toxicity screening according to good lab practice (GLP) mandates. With this foundation Serp-1 has been successfully taken through a Phase I safety trial in man and is being tested for safety and efficacy in a Phase IIa clinical trial conducted in the US and Canada.

For the Phase I study, single doses of Serp-1 protein were infused in normal volunteers as mandated by the FDA. This was the first trial in man with a new class of virus-derived native protein therapeutic. No changes in cardiac, renal or hepatic function, as well as no changes in clotting parameters were detected in this study. This Phase I safety study demonstrated that Serp-1 infusion was safe with no adverse events detected or reported. A Phase IIa study is currently ongoing in which the effects of a Serp-1 infusion is given for three days starting immediately after balloon angioplasty and stent implant in patients with acute unstable angina and non-ST elevation myocardial infarction (NSTEMI). This trial is still ongoing at 7 sites in Canada and the US. The

results of this study have yet to be reported. This Phase 2a study represents a first in man clinical trial of a native anti-inflammatory viral serpin. Clinical efficacy and safety thus remain to be finally determined for this protein, but, if safe, this study potentially opens the door to testing of other viral anti-inflammatory proteins in inflammation driven diseases.

While taking Serp-1 to clinical trial is a first step in the path toward using viral anti-inflammatory proteins as a new therapeutic, this work really represents only the first step in our symphony and our first passage in the study of serpins as guides to new therapeutic modalities.

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CHAPTER 12

Helminthic Therapy: Using Worms to Treat Immune-Mediated Disease

David E. Elliott* and Joel V. Weinstock

Abstract

There is an epidemic of immune-mediated disease in highly-developed industrialized countries. Such diseases, like inflammatory bowel disease, multiple sclerosis and asthma increase in prevalence as populations adopt modern hygienic practices. These practices prevent exposure to parasitic worms (helminths). Epidemiologic studies suggest that people who carry helminths have less immune-mediated disease. Mice colonized with helminths are protected from disease in models of colitis, encephalitis, Type 1 diabetes and asthma. Clinical trials show that exposure to helminths reduce disease activity in patients with ulcerative colitis or Crohn's disease. This chapter reviews some of the work showing that colonization with helminths alters immune responses, against dysregulated inflammation. These helminth-host immune interactions have potentially important implications for the treatment of immune-mediated diseases.

Epidemiology of Immune-Mediated Disease and Worms

Pathogens and parasites have evolved mechanisms to modulate or evade host immune responses. These adaptations permit survival of the invading organism. Our work extends this concept. We hypothesized that our immune systems are adapted "to expect" confrontations with other organisms and that loss of these confrontations permits immune dysfunction that can cause disease.¹ People living in highly-developed industrialized countries enjoy increasingly hygienic environments, which restricts exposure to infectious agents including helminths. We have focused on loss of helminth exposure as the major environmental change permitting the current epidemic of immune mediated disease.

It is apparent that environmental change underlies the increasing prevalence of immune-mediated disease. Highly regulated immune responses protect us from pathogens and malignancy. However, when dysregulated or mis-targeted, these immune responses produce inflammatory disease. Over the last 70 years, immune-mediated diseases like inflammatory bowel disease (IBD), multiple sclerosis (MS), Type 1 (autoimmune) diabetes (T1D) and asthma have become common in industrialized highly developed countries but remain rare in less-developed countries. Patients with IBD have chronic inflammation of the small and/or large intestine that results in bleeding, ulceration, strictures and perforation. IBD was uncommon prior to the 1940s, but now afflicts more than 3 million people in the United States and Europe.² As countries develop economically, IBD in the population increases.^{2,3} Patients with MS have immune-mediated inflammatory destruction of the central nervous system. MS shares the same geographical and temporal distribution with IBD⁴ and as countries develop improved sanitation, the prevalence of MS increases.⁵ Patients with T1D have immune-mediated destruction of pancreatic β -cells that make insulin. Like MS and IBD,

*Corresponding Author: David E. Elliott—Division of Gastroenterology (4611 JCP), University of Iowa College of Medicine, 200 Hawkins Drive, Iowa City, Iowa 52242-1009, USA.
Email: david-elliott@uiowa.edu

the incidence of T1D has increased dramatically over time.⁶ Patients with asthma have chronic airway inflammation worsened by allergic provocation. Over the last 40 years, asthma increased dramatically in developed countries and is becoming prevalent in cities of developing countries.⁷ Children of Mexican immigrants born in the United States have more asthma symptoms than children born in Mexico and immigrating to the US at older ages.⁸ Again, this suggests that the environment in developed countries promotes or permits asthma.⁹

Prior to the 1930s, most individuals were colonized with helminths.¹ Paleoparasitological specimens of prehistoric human waste from up to 10,000 years ago show that infections with nematodes (e.g., *Trichuris trichiura*, *Ascaris lumbricoides*, *Enterobius vermicularis*, *Trichinella spiralis*), cestodes (e.g., *Taenia* spp., *Diphyllobothrium* spp., *Hymenolepis nana*) and trematodes (e.g., *Fasciola* spp., *Schistosoma* spp.) were common.¹⁰ Infections with these organisms remain prevalent in lesser developed countries.^{11,12} Generational exposure to helminths would select genetic traits in their host that are optimized for the presence of these organisms.¹

In the nineteenth century, highly promoted but ineffectual “patent” medications promised eradication of these unwanted guests (Fig. 1). While these medications failed; modern sewage treatment, cement sidewalks and regulated food industries in developed countries eliminated lifecycle pathways required to maintain helminth colonization. Even helminths with simple “hand to mouth” lifecycles such as *Enterobius vermicularis* (pinworm) have declined in the United States¹³ and Europe.¹⁴

It is possible that eradication of these organism has unforeseen consequences. Multiple sclerosis is exceedingly rare where *T. trichiura* carriage rates are greater than 10%, creating a strong dichotomy ($p < 0.0001$) between areas where *T. trichiura* and multiple sclerosis are prevalent.¹⁵ Furthermore, patients with MS who carry helminths have a milder disease course compared to MS patients without helminths.¹⁶ This is associated with decreased IL12 and IFN γ production and increased FoxP3 expression and IL10 and TGF β production by peripheral blood mononuclear cells in helminth colonized patients.¹⁶ People with *A. lumbricoides* or hookworm (*Necator*) infections report less wheezing (a sign of asthma) than people without these infections.¹⁷ This protection may be due to hookworm rather ascarid exposure.¹⁸ Fewer Gabonese school children have atopic skin reactions to dust-mite allergens if they are infected with *S. hematobium* compared to children without that helminth.¹⁹ Children repeatedly treated for geo-helminths (*T. trichiura* and *A. lumbricoides*) had increased skin responses to allergen tests compared to untreated children.²⁰ People living in areas endemic for *S. mansoni* report less wheezing and use of asthmatic medications than individuals living in nonendemic areas.²¹

Animal Models of Helminth Exposure

Worm-exposure protects animals in experimental models of these diseases, which further implicates the loss of helminths as an important environmental change promoting immune-mediated disease. Helminth exposure can prevent or reverse colitis in animal models of IBD. Mice and rats develop colitis when rectally exposed to trinitrobenzenesulfonic (TNBS) acid in 50% ethanol. Exposure to helminths prevents TNBS-type colitis.^{22,26} Mice exposed to *S. mansoni* eggs, *T. spiralis* or *Hymenolepis diminuta* or *Heligmosomoides polygyrus* make less pro-inflammatory IL12/23p40, IFN γ and IL17 but more IL4 and immunoregulatory IL10 and TGF β ^{22,23,25-27} (Elliott unpublished results). Helminths also induce mucosal CD8+ T-cells that inhibit the proliferation of other T-cells.²⁸ Thus, colonization with helminths induces several immune regulatory circuits in the gut that impede excessive intestinal inflammation. Protection from intestinal inflammation in these models requires intact host IL4 and IL10 signaling.^{22,25,26}

IL10-deficient mice develop chronic colitis driven in part by normal gut flora.²⁹ Colonization with helminths like *Trichuris muris* or *H. polygyrus* exposure inhibits development of colitis and can actually reverse established colitis in IL10^{-/-} mice.^{1,30} Like in wild type mice, helminth colonization of IL10^{-/-} mice inhibits gut-associated immune cell production of IFN γ and IL12/23p40 and augments mesenteric lymph node T-cell FoxP3 mRNA. This shows that helminth exposure can modulate inflammation in the absence of IL10. TGF β appears to be more critical. Helminths failed

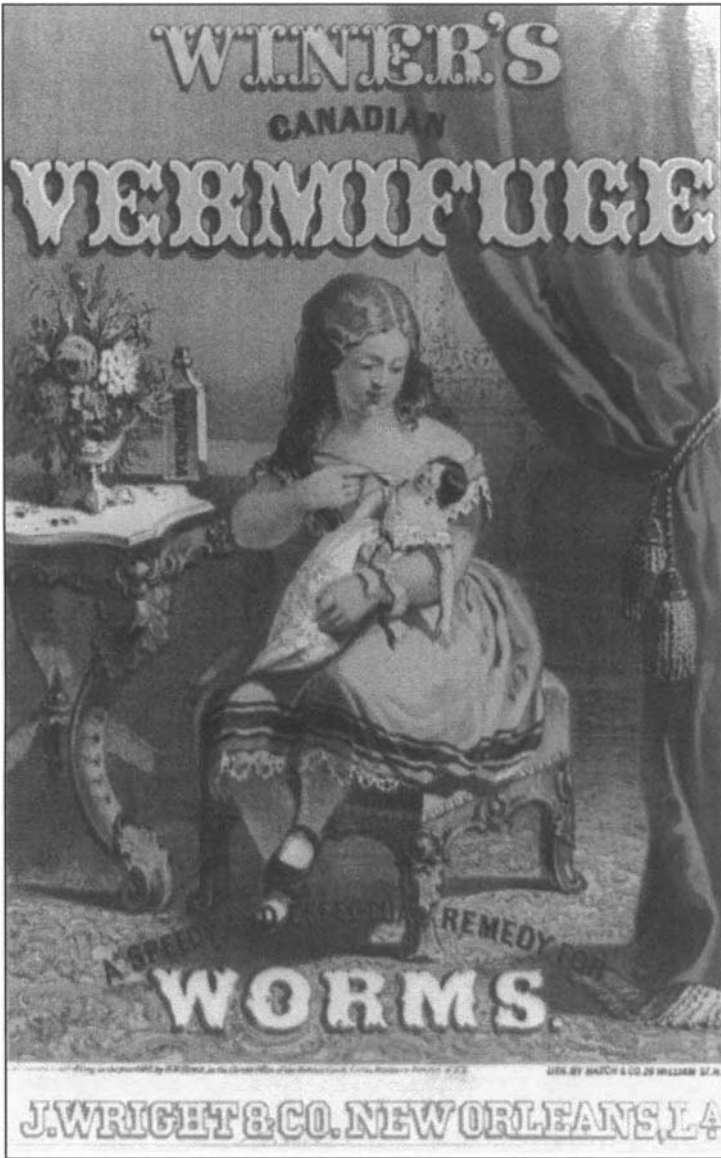


Figure 1. In the not so distant past, our predecessors were universally exposed to helminths. This is an advertisement showing a young girl treating her doll with a widely distributed circa 1850 herbal “de-worming medication” concocted by John Winer who was an enterprising former blacksmith from Hamilton Canada.

to regulate colitis or $IFN\gamma$ production in mice that have a T-cell specific $TGF\beta$ signaling defect (Ince, unpublished results). Worms also induce $CD8^+$ $FoxP3^+$ T-cells in the gut that partially mediate this protection²⁸ (and Weinstock, unpublished results).

Exposing mice to *H. polygyrus* also changes the host’s intestinal innate immune system. Once exposed to worms, the intestinal immune system is less able to support an adaptive immune

response and becomes highly resistant to colitis. The gut T-cells display severely depressed IFN γ and IL17 responses (Elliott, unpublished results). The changes in the innate immunity and adaptive immunity persist even after elimination of the worms suggesting that the gut innate immune system is capable of prolonged 'memory' for this exposure.

IFN γ , IL17 and IL12p40 at least partly drive colitis in IL10^{-/-} mice as demonstrated by antibody neutralization experiments. IL12p40 is the shared component of IL12 and IL23, which drive IFN γ and IL17, respectively. Worm infection blocks expression of all three in the gut. This could underlay the strong protection from colitis seen in worm colonization.

Exposure to helminths inhibits central nervous system inflammation in experimental autoimmune encephalitis (EAE), a rodent model of MS. Exposure of mice to viable *S. mansoni* or dead (freeze-thawed) *S. mansoni* eggs protects from EAE.^{31,32} Schistosome exposure reduces proinflammatory IL12/23p40, IFN γ and TNF α and promotes regulatory TGF β , IL10 and IL4 expression by splenocytes and CNS immune cells. Protection against EAE appears to require intact IL4 signaling.³¹

Colonization with helminths prevent onset of diabetes in the NOD murine model of T1D. NOD mice exposed to *S. mansoni* are protected from developing diabetes.^{33,34} Schistosome egg or antigen exposure induces immune regulatory IL10 and augments NKT cell activity that may help prevent insulinitis. Colonization with *T. spiralis* or *H. polygyrus* also inhibits diabetes in NOD mice.³⁵

Reactive airway disease improves with helminth exposure in murine models of asthma. Colonization of mice with male *S. mansoni* protects against airway hyperreactivity³⁶ but this protective effect is lost with productive (male and female worm) infection. Mice colonized only with male worms developed a modified immune response in the lung with decreased allergen-specific IL5 and increased IL10 production compared to uninfected mice. This decrease in allergen-specific IL5 release is similar to that reported in people with productive *S. mansoni* infections.²¹

Colonization with the intestinal helminth *H. polygyrus* inhibits airway inflammation and reactivity.^{37,38} Helminth exposure is associated with decreased allergen-specific IL5 production. The regulatory cytokines induced by *H. polygyrus* differs by mouse strain showing parasite enhanced IL10 in C57BL/6 and TGF β in BALB/c mice.³⁷ Protection requires intact IL10 signaling in C57BL/6³⁸ but not BALB/c³⁷ mice. *H. polygyrus* exposure increases the percentage of draining lymph node CD4+ T-cells that express regulatory cell markers (CD25 and FoxP3). Transfer of lymphocytes from colonized mice inhibits airway inflammation in recipient worm-free mice demonstrating that helminth exposure induced regulatory T-cell function.^{37,38}

The effect of helminth infections on allergic-type diseases has prompted a search for helminth-derived factors with therapeutic potential. Cystatin is a filarial-derived molecule with antiallergic and anti-inflammatory properties. Treatment with cystatin protects mice from DSS colitis and lung hypersensitivity responses to OVA allergen challenge.³⁹ Cystatin induces macrophages to make IL10, which could mediate this protection.

Filarial nematodes also secrete a glycoprotein call ES-62 with anti-allergy properties. It directly inhibits Fc ϵ R1 induced release of mediators from human and rodent mast cells. It forms a complex with TLR4 resulting in cellular depletion of protein kinase C- α , a molecule important for Fc ϵ R1 signaling, thus preventing mast cell degranulation in response to IgE.⁴⁰

Therapeutic Use of Helminths

These animal models of immune-mediated diseases demonstrate that helminth colonization induces multiple immune regulatory circuits that abrogate pathologic inflammation. Similar immune regulatory circuits have been shown in helminth-infected people.^{19,41} A recent case report described a patient whose ulcerative colitis worsened after eradication of pin worm (*Enterobius vermicularis*). Mucosal biopsies taken while colonized showed enhanced FoxP3, IL10 and TGF β expression in the mucosa compared to after eradication.⁴² This suggests that helminth exposure may prevent or treat immune-mediated illness in patients through induction of regulatory T-cells in the gut.

Thus, helminths could have therapeutic application. If so, which helminths could be used therapeutically? There are many species of helminths that are divided taxonomically into nematodes (roundworms) and platyhelminths (flatworms). Platyhelminths are further divided into trematodes (flukes) and cestodes (tapeworms). Although nematodes and platyhelminths parasitize mammals and induce regulatory T-cell activity they are distant phylogenetically. Moreover, helminths have different mechanisms of transmission, different patterns of infection and dwell in different parts of the body. Some can cause significant disease that negates their therapeutic application. Others, with minimal or no known pathogenicity are being studied clinically.

Hookworm (*Necator americanus*) is being investigated for therapeutic use. Hookworms have pathogenic potential⁴³ but a light infection with *N. americanus* is likely to be safe.⁴⁴ Acute infection, even if light, can cause gastrointestinal symptoms.⁴⁵ People are colonized by applying infective larvae to the skin. The larvae migrate to the lungs, enter the bronchi and migrate up the trachea to the throat and are then swallowed. Once in the intestine they mature. Larvae are cultured from the stool of human volunteer donors that are actively colonized with *N. americanus*. These donors are extensively screened to reduce the risk of cotransmitting other infections. A feature of *N. americanus* that makes it an attractive candidate is that the worm establishes a chronic infection that can last at least 6 years. Therefore, repeat dosing may not be needed. In addition the systemic exposure created by larval migration may activate different immune compartments than a strictly intestinal exposure. However, exposure to strictly intestinal helminths does have systemic impact in mice.⁴⁶

A small open-label trial tested *N. americanus* in 9 patients with Crohn's disease.⁴⁷ Two of these patients had moderately active disease when they received 50 larvae. Both showed improvement in their symptom scores. The other 7 patients in the initial trial had inactive or very mild disease which did not significantly change with helminth exposure. There was also a dose-ranging study of *N. americanus*⁴⁸ in preparation for a clinical trial in asthma.⁴⁹ This study enrolled 12 volunteers who were blinded to the dose of helminths they received. Two persons withdrew (one given 100 and one given 50 larvae) due to symptoms of diarrhea and vomiting or abdominal pain. However, the lowest (10 larvae) dose was well tolerated and resulted in patent colonization. Larger studies using patients with Crohn's disease and asthma are underway.

Most of the therapeutic helminth trials to date have used *Trichuris suis*, the porcine whipworm (Fig. 2). *T. suis* is closely related to *T. trichiura* (human whipworm) and can briefly colonize people.⁵⁰ *Trichuris spp.* have unique features that make them good candidates for clinical use. Ingesting microscopic embryonated parasite eggs initiates the colonization. Once ingested each egg releases one larva that matures into an adult worm. The larvae and adults do not migrate beyond the intestines. They do not multiply within their host. Freshly deposited ova require incubation in moist soil for one to two months to mature and become infective. Thus, normal hygienic practices preclude transmission of this agent from host to host.

Trichuris suis has additional characteristics that make it an attractive candidate. Human whipworms (*T. trichiura*) can be obtained only from colonized primates. *T. suis* is obtained from pigs raised in a specific pathogen-free environment. For the published studies, adult worms were collected from the colon, washed and cultured in vitro to collect freshly deposited ova. These ova were washed extensively and incubated for months to allow embryonation. This removed the risk of contaminating the *T. suis* ova with other infectious agents. Since *T. suis* is present in many pig herds, farmers must be exposed to *T. suis* and this organism has not been documented to cause human disease. This suggests that any risk from using this helminth is likely to be small.

Initially, the effect of *T. suis* colonization was studied in a small trial of 7 patients with IBD (4 Crohn's disease, 3 ulcerative colitis) under conditions where the patients and their care givers knew that they had received helminths ("open-label"). The patients ingested 2500 embryonated ova and were observed. All had improvement in their symptoms.⁵¹ A second study tested repeated dosing of *T. suis* in 29 patients with active Crohn's disease.⁵² The patients received 2500 *T. suis* ova every 3 weeks for 24 weeks. At week 24, 79% had responded with a significant reduction in symptoms. A third study was a double blind placebo-controlled trial of *T. suis* in 54 patients

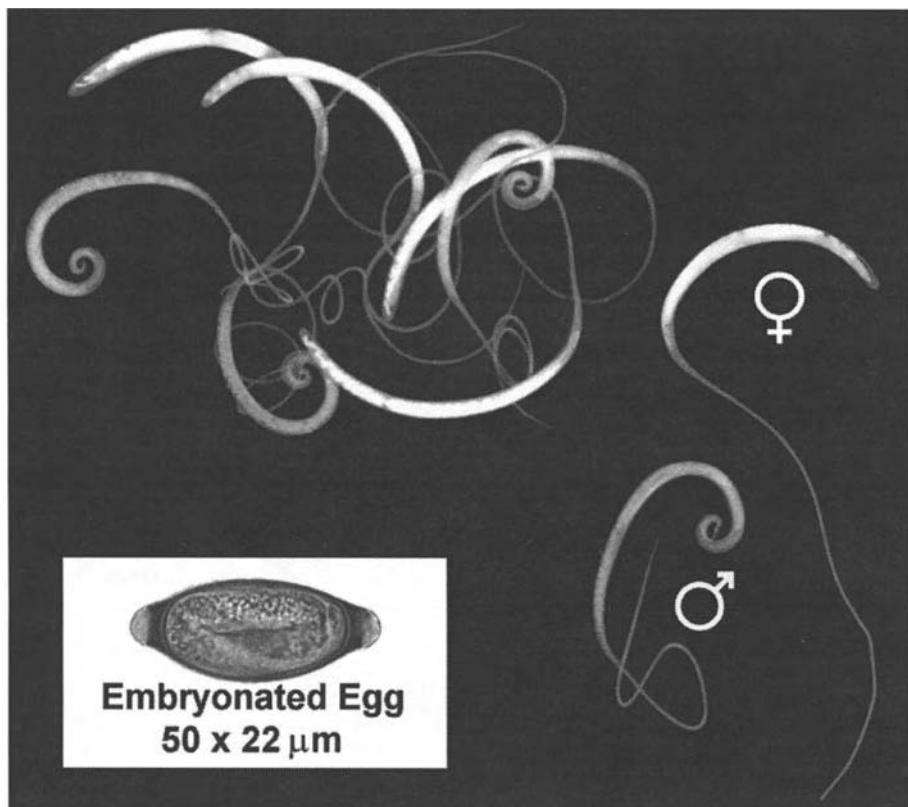


Figure 2. *Trichuris suis* adult male (♂) and female (♀) worms. The insert shows a microscopic embryonated egg which is the form ingested by patients.

with active ulcerative colitis.⁵³ Double-blind placebo-controlled trials remove a source of bias by preventing either the patient or the care givers from knowing if the patient receives the treatment or a placebo. The patients received either a placebo or 2500 *T. suis* ova every 2 weeks for 12 weeks. A significant percentage (43.3%) of the patients given *T. suis* improved compared to those given placebo (16.7%, $p < 0.04$). The study also included a blinded crossover limb where patients originally on placebo were switched to *T. suis* and those on *T. suis* were switched to placebo. In the crossover limb, 56.3% of the patients given *T. suis* improved compared to 13.3% of patients given placebo ($p = 0.02$).⁵⁴ Trials are now underway to investigate the safety and efficacy of *T. suis* in allergic rhinitis and multiple sclerosis. Additional studies in Crohn's disease, ulcerative colitis and other immunological diseases are planned for the near future.

Controversy with Helminthic Therapy

The therapeutic application of helminths is not without controversy. At least a third of the world's population is actively infected with helminths and eradication programs struggle for resources. Some helminths can cause significant disease. This leads people to ask; "Isn't this dangerous?" or "Will patients actually want to have worms?"

We typically use medications with significant toxicities and side-effects to treat immune-mediated diseases. Most of these chronic life-threatening diseases require immune suppression mediated by glucocorticoids (e.g., prednisolone, hydrocortisone), anti-metabolites (e.g., methotrexate,

azathioprine) and/or “biologics” (e.g., anti-TNF α antibodies, anti-integrin antibodies, anti-B-cell antibodies) that globally block or remove immune circuits. Each of these medications has adverse effects that severely limit their application. Each can be dangerous even when closely monitored. A decision analysis model investigating the use of infliximab (an anti-TNF α antibody) in Crohn’s disease indicated that treating 100,000 patients for one year helps maintain remission, reduces the need for surgery and results in 33 fewer deaths from the disease, but results in 200 more lymphomas and 250 deaths from infliximab-related complications.⁵⁵ These medications have narrow “therapeutic windows”, which is the dose range that improves disease without causing serious toxicity. Helminths can be closely monitored and discontinued (with an anti-helminthic if necessary). The therapeutic window for helminths is probably quite wide since most natural infections are asymptomatic.

One area of specific safety concern is whether helminth colonization may worsen certain pathogenic bacterial infections. For example, mice cocolonized with *H. polygyrus* and *Citrobacter rodentium* have increased susceptibility to this well-characterized bacterial pathogen.⁵⁶ This susceptibility requires Th2 signaling and results from induction of IL10-producing dendritic cells⁵⁷ and alternatively activated macrophages.⁵⁸ A similar effect is seen in pigs cocolonized with *T. suis* and *Campylobacter jejuni*.⁵⁹ In both of these examples, helminths increase susceptibility to known pathogens but did not make commensal or nonpathogenic bacteria dangerous. Pathogenic bacteria, by definition, have the ability to evade host immune barricades. The immune suppressive medications presently used to treat immune-mediated disease (glucocorticoids, etc ...) can dramatically increase susceptibility to pathogenic and opportunistic organisms. Unlike immunosuppressive drugs, helminths do not increase susceptibility to opportunistic infections. Patients easily can be tested and treated for infection with pathogens. This is a common procedure (“standard of practice”) when using immune suppressive drugs.

Another area of specific safety concern is whether helminths will become pathogenic in patients with impaired immunity like those treated with immune suppressive medications. For most helminths, host immune responses promote expulsion and retard re-infection. Therefore, patients with impaired immunity may be more easily colonized and may “keep” a helminth for a longer period of time. This would merely affect dosing schedules. Most helminths are biologically unable to multiply in their host. There is no alteration in host immunity that would permit an increase in helminth (e.g., *T. suis*) number without re-exposure to the infective stage. Only two helminths are known to circumvent this rule, *Strongyloides stercoralis* and *Paracappilaria philippinensis*. *S. stercoralis* can multiply and the infection can become fulminant when hosts are treated with glucocorticoids. *P. philippinensis* can multiply and become fulminant in hosts with intact immunity. Neither *S. stercoralis* nor *P. philippinensis* are proposed for therapeutic use. Other helminths neither multiply nor expand their niche in patients with HIV-AIDS.⁶⁰

Patients are not averse to trying helminths. People are familiar with therapeutic virus exposure (vaccines). The concept of taking a helminth to alter immunity is not shocking. Helminth eggs and larvae are microscopic. Their appearance does not elicit a phobic response. Neither hookworm nor whipworm are easily seen in the stool. Whipworm cannot be passed to others in the household. Patients with life-threatening chronic diseases take medications with long lists of dangerous adverse effects. In this context, helminths are welcome. Many medications require daily ingestion or frequent injection. Episodic helminth exposure is far less challenging. If helminths prove to be as efficacious as early experience suggests, patient acceptance is unlikely to be a limiting factor.

Helminths alter immune responses by releasing factors. Many of these specific molecules are discussed in this book. Perhaps treatment with modified helminth-derived components or small molecule mimics could obviate the need for actual helminth exposure. On the other hand, each helminth may exude many immune modulating factors. Helminths are complex organisms with large diverse genomes.⁶¹ They adapt to their environments. It is quite probable that the immune effects of a viable helminth will be greater than that provided by one or more of its molecular components. Moreover, a viable helminth will continuously interact with the host immune system. Administered components would require frequent administration. If viable helminths prove

exceedingly safe for therapeutic application, there may be little benefit to administering only isolated components. On the other hand, different helminths could utilize different circuits. In this case, adding purified factors from different helminths could create an immune regulatory cocktail not achievable with natural infection.

Helminths may not inhibit or modulate all types of inflammation. Mice with oxazolone colitis, another model of intestinal inflammation, develop worse disease if colonized with the tape worm *Hymenolepis diminuta*.⁶² Yet, exposure to this helminth protects from TNBS-like colitis²⁵ and improves some aspects of dextran sulfate sodium (DSS) colitis.⁶³ Similarly, mice with mixed-sex *S. mansoni* infections are more susceptible to DSS-induced colitis relative to uninfected mice, while schistosome male worm-only infections are refractory to DSS colitis.⁶⁴

Conclusions

There is a spectrum of over 40 different immune-mediated diseases which together affect greater than 10% of the population in highly developed countries. Each immune-mediated disease is unique. However, Th1/Th17-type diseases like multiple sclerosis or Crohn's disease and Th2-type diseases like asthma appear to be suppressed by helminths. As we learn more about the specific immune dysregulation that occurs in each disease and the different immune regulatory pathways helminths exploit, we may be able to predict which patients will benefit from helminth exposure.

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Chemokine Binding Proteins Encoded by Pathogens

Antonio Alcami* and Margarida Saraiva

Abstract

Chemokines are chemoattractant cytokines that play an important role in immunity. The role of chemokines against invading pathogens is emphasized by the expression of chemokine inhibitors by many pathogens. A mechanism employed by poxviruses and herpesviruses is the secretion of chemokine binding proteins unrelated to host receptors that bind chemokines with high affinity and block their activity. Soluble chemokine binding proteins have also been identified in the human parasite *Schistosoma mansoni* and in ticks. The binding specificity of these inhibitors of cell migration point at chemokines that contribute to host defense mechanisms against various pathogens. Chemokine binding proteins modulate the immune response and may lead to new therapeutic approaches to treat inflammatory diseases.

Modulation of the Chemokine System by Pathogens

The infection with pathogens triggers signals that initiate the immune response and the recruitment of immune cells to sites of infection. The migration of leukocytes is largely controlled by chemokines, a family of chemoattractant cytokines that play a key role in inflammation and host defence against infectious agents.¹⁻³ Members of the chemokine family share structural similarities and can be divided into four classes: CC, CXC, C and CX3C chemokines. The induction of particular chemokines together with the differential expression of specific seven-transmembrane-domain G-protein-coupled chemokine receptors by leukocyte subsets determines the immune cells that migrate towards sites of replication of pathogens within the animal host.

Chemokines interact with both their specific receptors and with cell surface glycosaminoglycans (GAGs) via distinct binding sites.^{4,5} Receptor binding is the means by which chemokines transduce their biological signals and trigger leukocyte migration. It is believed that under physiological conditions chemokines do not act in solution but are presented to chemokine receptors on leukocytes as ligands immobilized to a solid phase via interaction with GAGs. Disruption of either chemokine-receptor or chemokine-GAG complex formation might therefore inhibit chemokine biological activity.^{6,7}

Infectious agents that replicate in an animal host must actively evade host immune defences that would otherwise eliminate them.⁸⁻¹⁰ The immune evasion strategies encoded by parasites, bacteria and viruses are diverse and illustrate an adaptation to their replication strategy either outside the cell or within cell compartments, ability to mutate, host cell and tissue tropism, transmission mechanism and genome coding capacity. The large DNA genome of poxviruses and herpesviruses allow these viruses, in contrast to the smaller RNA viruses, to encode many genes that control their interaction with the host cell and the immune system. One of the immune

*Corresponding Author: Antonio Alcami—Centro de Biología Molecular Severo Ochoa (Consejo Superior de Investigaciones científicas—Universidad Autónoma de Madrid), Nicolás Cabrera 1, Cantoblanco, 28049 Madrid, Spain. Email: aalcami@cbm.uam.es

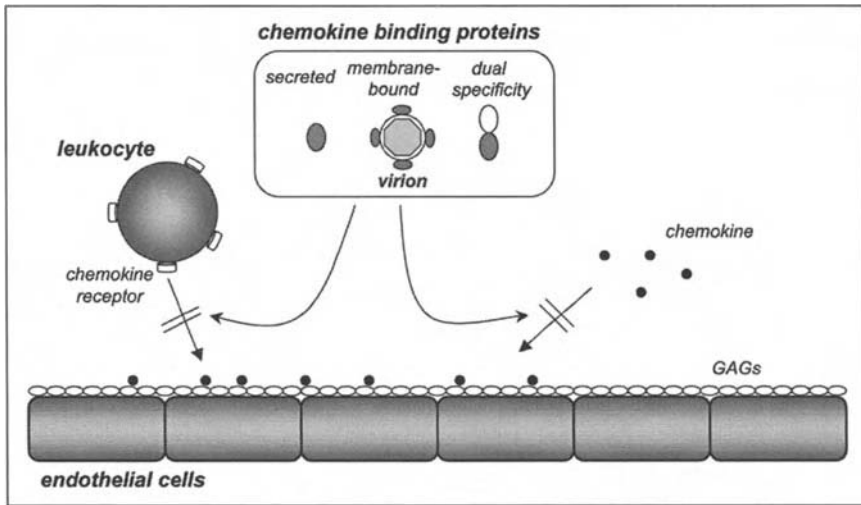


Figure 1. Mechanism of chemokine inhibition by the CKBPs encoded by pathogens. Chemokines are presented to the leukocytes on the surface of endothelial cells where they interact with GAGs. The chemokine receptors expressed at the surface of leukocytes interact with chemokines and intracellular signalling is triggered to induce cell migration. The CKBPs sequester chemokines and inhibit their interaction with either chemokine receptors or GAGs. The secreted CKBPs may be specific for chemokines, such as the gammaherpesvirus M3 or the poxvirus 35-kDa protein, or may be part of a protein with dual cytokine specificity, interacting with chemokines and other cytokines, such as TNF (poxvirus CrmB) or IFN- γ (MYXV M-T7). The CKBP gC encoded by alphaherpesviruses is expressed as a membrane protein at the virion surface that is proteolytically cleaved to produce a secreted protein.

evasion strategies employed by poxviruses and herpesviruses is the molecular mimicry of cytokines and their receptors to intercept the cytokine networks that control the immune response to infection.¹¹ Viral mechanisms that modulate the activity of chemokines include the expression of chemokine homologues, seven-transmembrane-domain chemokine receptor homologues or secreted chemokine binding proteins (CKBPs). More recently, some examples of CKBPs have been found in other pathogens different to viruses.

Here we review our current knowledge of pathogen-encoded CKBPs and describe their unique properties, mechanism of action and, if known, their contribution to pathogenesis.

The M-T7 Protein Encoded by Myxoma Virus (MYXV)

Myxomatosis is a severe disease of European rabbits that is caused by MYXV. Initial work identified the MYXV M-T7 gene encoding a 37-kDa glycoprotein that is abundantly secreted from infected cells.¹² The M-T7 ORF showed significant similarity to the extracellular binding domain of human and mouse interferon- γ receptors (IFN- γ Rs) and was shown to bind to and inhibit the biological activity of rabbit IFN- γ in a species specific manner.^{12,13}

M-T7 was also found to bind a broad range of C, CXC and CC chemokines and studies with CXCL8 mutants suggested that chemokine binding to M-T7 is via the conserved C-terminal GAG binding domain found in a variety of chemokines.¹⁴ This finding was unexpected and is a unique property of the IFN- γ R encoded by MYXV since the IFN- γ R orthologue encoded by VACV does not bind chemokines.^{14,15} The interaction of M-T7 with the chemokine GAG binding domains led to the suggestion that M-T7 might prevent the correct localization of chemokines and the formation of a chemokine gradient, rather than the blockade of chemokine binding to specific receptors.¹⁴

Table 1. CKBPs encoded by pathogens

Pathogen	Protein	Species	Binding Properties and Mechanism of Action	Reference
Poxvirus	M-T7	MYXV	Secreted, binds C, CC and CXC chemokines through GAG binding domain and IFN- γ	14
	35-kDa	VAVC, CPXV, ECTV, MYXV	Secreted, binds CC chemokines, prevents interaction of CC chemokines with specific receptors, inhibits cell migration	15,17,18
	A41	VACV, ECTV	Secreted, binds CC and CXC chemokines, blocks interaction of chemokines with GAGs	34,35
	SECRET domain	VARV, ECTV, CPXV	Secreted, protein domain fused to the TNFRs CrmB and CrmD, or expressed independently as three SCPs encoded by different genes, binds a limited set of CC and CXC chemokines, inhibits cell migration	42
Herpesvirus	M3	MHV-68	Secreted, binds CC, CXC, C and CX3C chemokines, blocks the interaction of chemokines with receptors and GAGs, and cell migration	52,53
	gG	EHV-1, BHV-1, FeHV-1	Membrane-anchored at the surface of virus particles and infected cells, secreted after proteolytic cleavage, binds CC and CXC chemokines, blocks the interaction of chemokines with cellular receptors and GAGs, and cell migration	60,62
	pUL21.5	HCMV	Binds CCL5, mRNA packaged into virions, inhibits binding of CCL5 to cellular receptors	68
Parasites	smCKBP	Schistosoma mansoni	Binds some CC, CXC and CX3C chemokines, expressed by schistosome eggs, block migration induced by chemokines	72
Ticks	Evasins	Rhipicephalus sanguineus	Family of proteins (Evasins 1, 2 and 4) of restricted chemokine binding specificity, block binding of chemokines to cellular receptors and cell migration	73,74

Infection of rabbits with a MYXV mutant with an inactivated *M-T7* gene demonstrated de contribution of *M-T7* to MYXV pathogenesis in European rabbits.¹⁶ Marked differences were seen in the size and progression of skin lesions, the onset and severity of secondary bacterial infections and clearance of the virus. *M-T7* was implicated in the control of migration of inflammatory cells

to sites of infection. However, these results are difficult to interpret because M-T7 targets IFN- γ and chemokines and both have important roles in inflammatory responses.

The 35-kDa CKBP Encoded by Poxviruses

Poxvirus genomes encode a second class of CKBP that inhibits CC chemokines by interfering with the interaction of chemokines with their receptor.^{15,17,18} The major secreted protein of 35 kDa encoded by VACV strains Lister and rabbitpox was identified as a CKBP. This protein is not expressed by the most-commonly used VACV strains Western Reserve (WR) and Copenhagen. In the WR strain the protein is truncated and its promoter, known as the p7.5 early-late promoter, has been widely used in VACV vectors driving the expression of genes of interest. Parallel experiments with the variola virus (VARV) orthologue indicated that the virus which caused smallpox in humans also expressed this activity.¹⁸ The 35-kDa CKBP is also expressed by a wide variety of poxviruses including MYXV (M-T1 protein), cowpox virus (CPXV) and ectromelia virus (ECTV).^{18,19} Orf virus is a parapoxvirus that causes infections in sheep and cattle and sporadic infections in humans. It was shown that orf virus encodes a protein related to the 35-kDa CKBP but with a broader chemokine binding specificity that included the C chemokine lymphotactin in addition to CC chemokines.²⁰ The binding of members of the 35-kDa CKBP family to numerous chemokines has demonstrated that it binds to almost all human and mouse CC chemokines with high affinity.²¹ Low-affinity binding to CXCL1 and CXCL8 has been detected, but it has not been possible to demonstrate binding to a range of other CXC, C or CX3C chemokines.^{15,18,21,22}

The mechanism of action of the 35-kDa CKBP is competitive inhibition of CC-chemokine binding to cellular receptors, inhibiting as a consequence the induction of transient increases in calcium concentrations and the migration of cells along chemotactic gradients.^{15,18,22} The M-T1 protein of MYXV has the unique ability to interact with GAGs via a GAG binding domain at its C-terminus that is not present in other 35-kDa family members.²³ This unique property of M-T1 would retain the protein in the vicinity of infected cells and may enhance its ability to protect the sites of infection from chemokine-mediated anti-viral responses.

The structure of the CPXV 35-kDa CKBP was determined by X-ray crystallography and it was shown to be a compact globular protein composed of two large parallel β -sheets, two short α -helices and several large connecting loops.²⁴ The β sandwich topology of the protein is thought to be unique. By looking for exposed charged residues that have no apparent structural role and which are conserved among different members of the 35-kDa CKBP family, potential chemokine binding sites were suggested on the exposed face of β sheet II and at the edge of β sheet II. Further structural studies in solution by nuclear magnetic resonance demonstrated that the interface of interaction of the 35-kDa protein from VACV strain rabbitpox and human chemokine CCL2 involved the domains predicted from the initial crystallographic structure.²⁵ The N-terminal residues of CCL2, as well as residues in the 20's region and 40's loop of the chemokine are involved in binding to the CKBP and established the structural basis for the ability of this vCKBP to promiscuously recognize CC chemokines. These results confirmed previous binding studies with human CCL2 mutants that identified the amino acid residues required for high affinity interaction with the VACV 35-kDa CKBP which were similar to those involved in CCL2-CCR2b chemokine receptor binding.^{26,27} The 35-kDa protein encoded by ECTV was also crystalized and shown to have a folding nearly identical to that of the CPXV and VACV proteins.²⁸

The expression of an abundantly secreted CKBP would be expected to make a significant contribution to virus virulence *in vivo* by inhibiting CC-chemokine-mediated host inflammatory responses. Surprisingly, the experimental evidence in mouse and rabbit models of infection indicated that the 35-kDa protein encoded by VACV rabbitpox and MYXV inhibit the chemokine-mediated infiltration of immune cells into primary sites of infection but have little influence on the progression of disease.^{17,29,30} The expression of the 35-kDa protein from VACV WR, a strain that does not encode the CKBP, caused a slight attenuation of the virus associated with reduced inflammatory pathology in the lungs, suggesting that this CKBP may attenuate the immune-mediated pathology caused by VACV infection.³¹

The A41 Family of Poxvirus CKBPs

The VACV A41 protein has immunomodulatory activity and sequence similarity to the 35-kDa CKBP from VACV and other poxviruses. Deletion of the *A41L* gene from VACV strain WR enhanced virulence slightly and showed an altered inflammatory response to infection in a dermal model.³² Clark et al³³ showed that a VACV strain modified virus Ankara lacking the *A41L* gene induced better protection than control virus. However, none of these studies identify the ligand(s) for A41. Two different groups carried out an extensive screening of chemokines by Surface Plasmon Resonance (SPR) for their potential binding to recombinant A41 from ECTV and VACV and recently reported the identification of a set of CC and CXC chemokines that interact with the A41 protein.^{34,35} In contrast to the poxvirus 35-kDa CKBP, the interaction of A41 with chemokines is inhibited in the presence of GAGs and the A41 protein does not block migration induced by chemokines. By using mutant forms of the chemokines CXCL10 and CXCL12 α , which have reduced ability to interact with chemokine receptors or GAGs, Ruiz-Argüello et al³⁵ showed that the A41 CKBP interacts with the GAG-binding domain of chemokines, suggesting that the property of this protein to inhibit leukocyte migration in vivo may be related to its ability to block the correct interaction of chemokines with GAGs, which is required for appropriate chemokine function in vivo.³⁵

The crystal structure of the A41 protein from VACV was determined and found to be related to the 35-kDa CKBP, but has notable structural differences particularly in surface loops and electrostatic charge distribution.³⁴ Although the A41-chemokine complex was not crystalized, structural modelling suggested that the interaction of A41 and 35-kDa proteins with chemokines involves the same domains. Based on the structural data, Bahar et al³⁴ suggested a revised model in which the functional distinction between A41 and 35-kDa proteins arises from the differences in binding affinity. The binding site on chemokines for GAGs and chemokine receptors frequently overlap and the high affinity of the 35-kDa protein is sufficient to interfere with receptor binding while the lower affinity of A41 for chemokines can block GAG binding but not receptor binding.

The A41 and 35-kDa CKBPs from poxviruses are structurally related but block the chemokine system in different but complementary ways, probably being advantageous for the virus to control the host anti-viral responses.

A Family of Poxvirus Proteins Containing the Smallpox Virus-Encoded Chemokine Receptor (SECRET) Domain

The finding of the SECRET domain was related to the study of the VARV-encoded Cytokine response modifier B (CrmB). CrmB is one of the four tumor necrosis factor receptor (TNFR) homologues encoded by poxviruses, named CrmB, CrmC, CrmD and CrmE and the only one encoded by VARV, the causative agent of smallpox.³⁶⁻³⁹ A fifth homologue of the TNFR superfamily encoded by the poxviruses ECTV and CPXV is the viral homologue of CD30.^{40,41} Sequence comparison of the poxvirus TNFRs showed that the N-terminal region of each molecule shares amino acid sequence similarity with the cysteine-rich domain of the mammalian counterparts that interact with TNF. However, it was evident that CrmB and CrmD have an additional C-terminal extension that contributes to half of the molecule size and for which no similarity was found. The C-terminal domain is not required for TNF binding and no function was predicted.

To explore the immunomodulatory activity of VARV CrmB, Alejo et al⁴² expressed the protein in the baculovirus system to circumvent the direct manipulation of VARV, which is currently restricted to two high security laboratories.⁴³ The purified recombinant CrmB was tested in a screening with different cytokines by SPR and it was found that CrmB not only bound TNF but also various chemokines. Independent expression of the N-terminal and C-terminal CrmB domains demonstrated that the C-terminal domain interacts with chemokines. An extensive screening of all human and mouse chemokines by SPR showed that CrmB interacted with a restricted set of chemokines with binding affinities similar to that of TNF.⁴² The human chemokines that best bound to VARV CrmB were CCL25, CCL28, CXCL12 β , CXCL13 and CXCL14. Two additional chemokines (CCL27 and CXCL11) also interact with CrmB in the mouse system.

As the other CKBPs identified so far, the SECRET domain has no amino acid sequence similarity to host chemokine receptors or previously described CKBPs. However, the restricted chemokine binding specificity of the SECRET domain differs from the broad binding specificity of the previously identified CKBPs.

Given the sequence similarity between VARV CrmB and ECTV CrmD, this molecule was also tested for chemokine binding activity and shown to interact with the same limited set of human and mouse chemokines.⁴² The number of molecules belonging to the SECRET family increased following the analysis of poxviral genomes and identification of other gene products encoding SECRET domain-containing proteins (SCPs), which were predicted to be secreted and of previously unknown function. The CPXV strain Brighton Red protein V218 and the ECTV strain Naval proteins E12 and E184 were shown to bind chemokines and named SCP-1, 2 and 3, respectively.⁴² The fact that all members of the SECRET family bind the same set of chemokines, despite their relatively low sequence similarity, suggests that the SECRET domain has a specific folding, allowing it to bind chemokines with high affinity, either independently or fused to TNFRs.

The identification of the SECRET domain in five different poxvirus proteins is intriguing. This distribution may explain, in part, the variety of genes encoding TNFR homologues in poxvirus genomes, some of which (CrmB and CrmD) encode this additional chemokine-inhibitory activity. It may also provide the virus the ability to differentially block chemokines involved in controlling distinct antiviral responses, inhibit chemokines at different stages of infection in the animal host or simultaneously inhibit chemokines and TNF. It is likely that as poxviruses with narrow host species specificity adapted to particular hosts (i.e., VARV to humans or ECTV to mice), genes were selected to facilitate viral replication and transmission in each host.

The ability of VARV CrmB, CPXV CrmB, ECTV CrmD and CPXV SCP-1 to inhibit chemokine-induced migration *in vitro* has been demonstrated,⁴² but the immunomodulatory activity of the SECRET domain has yet to be defined *in vivo*. Deletion of the SCP B7 encoded by VACV strain WR had no major effects on virulence.⁴⁴ It is interesting that the SECRET domain binds chemokines that are likely to be relevant in anti-viral defense: (i) chemokines mediating T- and B-cell recruitment that are expressed by epithelial cells in mucosal surfaces (CCL25 and CCL28) or the skin (CCL27),⁴⁵⁻⁴⁷ which constitute the sites of virus entry; (ii) CCL25 and CCL28 recruit IgA-producing B-cells to mucosal sites;^{47,48} (iii) CXCL14 is involved in dendritic cell migration to epidermal tissues;⁴⁹ and (iv) CXCL13 attracts B-cells to the spleen and lymph nodes.⁴⁶

The CPXV CrmB protein, which blocks both TNF and chemokines *in vitro*,⁴² has anti-inflammatory potential *in vivo* and increased approximately 50-fold the LD50 in infected mice.⁵⁰ However, the CPXV strain used still contained other active vTNFRs and the model of intracranial infection used can hardly mimic the natural route of infection. The MYXV M-T2 protein, a secreted vTNFR sharing the same domain organization as CrmB and CrmD, acts as a virulence factor in European rabbits causing a slight reduction of mortality.⁵¹ However, none of these studies have defined the contribution of the anti-TNF vs anti-chemokine domains present in CrmB and CrmD to immune regulation and virulence.

The M3 Protein Encoded by Murine Gammaherpesvirus 68 (MHV-68)

MHV-68 is a pathogen of wild rodents that is related to the primate gammaherpesviruses Epstein-Barr virus, Kaposi's Sarcoma associated herpesvirus and Herpesvirus Saimiri. MHV-68 provides a useful mouse model of gammaherpesvirus pathogenesis. The M3 protein, unique to MHV-68 and not encoded by primate gammaherpesviruses, is a major secreted protein unrelated to host chemokine receptors that was identified as a CKBP.^{52,53} M3 was identified as a CKBP in chemokine binding assays but, unlike the poxvirus 35-kDa CKBP that is specific for CC chemokines, M3 is able to bind to CC, CXC, C and CX3C chemokines.^{52,53} Although M3 binds to chemokines of all four classes in the human and mouse systems, it is not likely to be an effective inhibitor of some CXC chemokines such as murine CXCL1 and CXCL5 or human CXCL12 α .

The mechanism by which M3 inhibits chemokines is similar to that of the poxvirus 35-kDa protein. Both proteins bind free chemokine with high affinity in a manner which prevents their interaction with chemokine receptors and the induction of intracellular signalling events leading to cell migration and activation.^{52,53} M3 has been shown to display a distinct property of inhibiting the interaction of chemokines with GAGs.⁵⁴ Moreover, it is able to disrupt preformed chemokine-GAG interactions *in vitro*, suggesting that M3 has a dual anti-chemokine function: the inhibition of chemokine-receptor and chemokine-GAG interactions.

The crystal structure of M3 complexed to a P8A variant of CCL2 showed that the dimerization of M3 brings in close proximity the N-terminal domain of a monomeric M3 molecule to the C-terminal domain of the second monomer to generate a binding site for chemokines.⁵⁵ The crystal structure was in accordance with binding studies of mutant chemokines showing that M3 interacts with chemokine residues involved in receptor binding, providing the structural basis for the ability of M3 to inhibit chemokine binding to cellular receptors.^{55,56} Recent crystallographic studies have determined the structure of M3 complexed with wild type CCL2 or XCL1, showing that M3 engages the different chemokine classes with the same overall binding geometry.⁵⁷ The M3 C-terminal domain engages conserved residues involved in receptor binding whereas the acidic N-terminal domain exhibits electrostatic complementarity contacting chemokine basic clusters involved in GAG association, providing the structural basis for the interference of chemokine-GAG interactions by M3.⁵⁴

Studies on the role of the M3 protein in MHV-68 pathogenesis have provided conflicting results. After intranasal infection, MHV-68 replicates transiently in respiratory epithelial cells and spreads to lymphoid tissue where latency is established in B-lymphocytes, macrophages and dendritic cells. An initial report showed that targeted disruption of the *M3* gene had surprisingly little effect on lytic virus replication in the respiratory tract or the initial spread of virus to lymphoid tissues after intranasal inoculation.⁵⁸ However, the mutant virus failed to establish normal levels of latency in splenic B-cells. Interestingly, *in vivo* CD8⁺ T-cell depletion largely reversed the phenotype, suggesting that chemokine neutralization by M3 may function to block CD8⁺ T-cell recruitment into lymphoid tissue and to enable the establishment of MHV-68 latency. A second report found no effect of the deletion of the M3 gene in the intranasal model, but demonstrated a role for M3 in controlling brain inflammation in an intracranial model of infection.⁵⁹

The Glycoprotein G (gG) Encoded by Alphaherpesviruses

The screening of supernatants from cultures infected with various alphaherpesviruses identified chemokine binding activity encoded by equine herpesvirus-1 (EHV-1), bovine herpesvirus 1 (BHV-1) and other alphaherpesviruses.⁶⁰ The activity was mapped to gG, which is expressed as a membrane-anchored protein at the surface of the enveloped virus particles of alphaherpesviruses. The chemokine binding activity identified in the supernatants was a secreted version of gG generated after proteolytic cleavage of the membrane form. Chemokine binding activity was also observed at the surface of insect cells infected with a recombinant baculovirus expressing the full-length gG. This is the first CKBP known to be expressed both as a membrane-anchored protein and as a secreted polypeptide.

gG encoded by EHV-1, BHV-1 and BHV-5 were characterized in more detail and found to bind a variety of CC and CXC chemokines.⁶⁰ These proteins block chemokine activity by interfering with chemokine interaction with cellular receptors and the subsequent activation of cell migration. EHV-1 gG was shown to inhibit chemotaxis of equine neutrophils by equine CXCL8.⁶¹ As described for the MHV-68 M3 protein, it also prevents the interaction of chemokines with GAGs, suggesting that gG blocks chemokine activity at two different levels.⁶⁰

Felid herpesvirus 1 (FeHV-1) gG was also found to bind a variety of chemokines with high affinity and to inhibit chemokine activity.⁶² Studies on FeHV-1 demonstrated that the gG present at the surface of the virion binds chemokines.⁶³

The contribution of gG to virus virulence has been addressed in several models of alphaherpesvirus infection. The infection of mice with low doses of an EHV-1 gG mutant led to an exacerbation

of respiratory disease, with higher virus titers and a more pronounced inflammatory response in the lungs, compared to wild type infections.⁶¹ EHV-1 gG was also found to reduce the infiltration of mouse neutrophils and macrophages into the lungs of infected mice and the chemotactic function of CCL3 in mice.⁶⁴ Infectious laryngotracheitis virus (ILTV) is an alphaherpesvirus that causes acute respiratory disease in chicken. A gG-deficient mutant of ILTV was attenuated compared to wild type virus and caused an increased tracheal mucosal thickness that reflects increased inflammatory cell infiltration at the site of infection.⁶⁵ These results suggest immunomodulatory activity of gG in this virus system, but the interaction of ILTV gG with chemokines has not been formally demonstrated yet.

Chemokine binding activity has not been reported in the gG encoded by the human pathogens herpes simplex virus 1 (HSV-1) and HSV-2.⁶⁰ Interestingly, in contrast to gG encoded by HSV-2, HSV-1 gG is not secreted and suggests different functions of these proteins. A mutant HSV-1 lacking gG expression was tested in a mouse ear model but marginal attenuation and no effect on the ability of the virus to establish latency in neurons was observed.⁶⁶ Varicella zoster virus is an important human pathogen that causes a systemic disease but the gene encoding gG is not present in the varicella zoster virus genome.⁶⁷ The ability of gG encoded by HSV-1 and HSV-2 to bind chemokines and to contribute to virus-mediated pathology remains to be elucidated.

The Secreted CKBP from Human Cytomegalovirus (HCMV)

The pUL21.5 protein encoded by HCMV is a small secreted glycoprotein that has been demonstrated to bind CCL5 with high affinity and to block the interaction of CCL5 with specific cellular receptors.⁶⁸ This CKBP was reported to be highly specific for one chemokine, in contrast to the broad binding specificity of previously described virus-encoded CKBPs, but only three chemokines were tested in this study and the possibility that pUL21.5 binds some other chemokines of this complex family of chemoattractant cytokines cannot be ruled out. The mRNA encoding the pUL21.5 protein is packaged into virions and it was proposed that pUL21.5 may be expressed and secreted to modulate the host anti-viral response even before the newly infecting viral genome becomes transcriptionally active.⁶⁸

A *Schistosoma mansoni*-Encoded Secreted Chemokine Inhibitor

S. mansoni is a trematode parasite that infects humans, causing schistosomiasis, a disease that is common in the developing world and that cause severe disease in 10% of the infected individuals.⁶⁹ In most cases, however, schistosomes are able to achieve chronic infections that cause high morbidity. Schistosomes are particularly adept at manipulating the host's immune system to the benefit of the parasite. For example, the granulomatous inflammation around parasite eggs trapped in various organs, which, though a major cause of pathology, is evoked by the parasite to facilitate the expulsion of its eggs from the host. In situations where the formation of the granuloma is compromised, such as in immuno-suppressed individuals, the excretion of eggs is diminished.^{70,71}

A CKBP secreted by *S. mansoni* was identified in a cross-linking assay with radiolabelled chemokines and named smCKBP.⁷² This protein was found to be expressed only in schistosome egg secretions but not in the other life cycle stages (cercariae, schistosomular, worms) of *S. mansoni*. Moreover, the smCKBP was also produced by eggs from the two other major schistosome species that infect man, *S. haematobium* and *S. japonicum*. smCKBP was the first CKBP identified in a human pathogen and is the only one identified in a parasite to date.

The gene encoding smCKBP was identified following a proteomic approach.⁷² Despite having chemokine binding activity, smCKBP shares no amino acid sequence similarity to known viral CKBPs or mammalian proteins. Characterization of the binding properties showed that smCKBP interacts with several chemokines including CCL2, CCL3, CCL5, CXCL8 and CX3CL1. Furthermore, smCKBP was shown to prevent the interaction of chemokines with their specific cellular receptors and therefore chemokine-mediated cell activation and migration.

The study of the in vivo role of smCKBP might prove important to the understanding of the schistosomiasis pathology. It will be of interest to determine, in the murine model, the course

of infection of a *S. mansoni* mutant lacking smCKBP. This, however, awaits the development of methodologies for the construction of deletion mutants in this parasite. It has been shown that, in an experimental granulomatous inflammation model, secretion of smCKBP by live eggs profoundly modulated the differential recruitment of cells and the size of the egg granuloma,⁷² suggesting that smCKBP may ultimately facilitate granuloma formation and the propagation of the *S. mansoni* eggs.

Evasins, a Family of CKBPs in Ticks

A novel family of CKBPs, termed Evasins, has recently been described in ticks.^{73,74} The Evasin family comprises four members and are small proteins of 7-12 kDa that are produced in the tick saliva. Ticks are blood-sucking parasites that feed on their hosts for several days but cause no inflammatory response. It has been suggested that Evasins may help ticks to inhibit chemokine-mediated innate responses that protect from parasites. In contrast to the broad binding specificity of the first CKBPs identified in viruses (M-T7, 35-kDa or M3 proteins), evasins show a restricted chemokine binding specificity. Evasin-1 binds CCL3, CCL4 and CCL18, Evasin-3 binds CXCL8 and CXCL1 and Evasin-4 binds CCL5 and CCL11.^{73,74} Interestingly, it appears that ticks have evolved a family of CKBPs with narrow binding specificity as an alternative to a single CKBP with broad binding activity. Evasins block the interaction of chemokines with their cellular receptors and inhibit in this way chemokine-induced recruitment of leukocytes *in vitro* and *in vivo*.

The crystal structure of Evasin-1 and Evasin-3 has been determined and reveal novel protein folds.⁷³ Both proteins are unrelated in amino acid sequence and in their secondary and tertiary structure and interact with host chemokines presumably in different ways. The determination of the structure of Evasins complexed with chemokines will define the structural basis for the Evasin selectivity of chemokines.

The Evolutionary Origin of CKBPs and Their Potential Therapeutic Applications

The production of secreted versions of cytokine receptors to control the activity of cytokines is a mechanism employed by large DNA viruses and other pathogens. The same strategy is used by the immune system to limit the activity of cytokines in order to avoid immune pathology. For example, it is well documented the proteolytic cleavage of TNFRs to release the ectodomain that retains TNF binding activity, the secretion of Type II IL-1 receptors to neutralize the activity of IL-1 or the production of secreted IL-18 binding proteins of structure different to that of the membrane-bound IL-18 receptor.^{75,76}

Due to the structural nature of the seven-transmembrane-domain chemokine receptors, the production of secreted versions of these receptors is not feasible and alternative mechanisms have evolved to limit chemokine activity. The host immune system uses decoy receptors, such as the Duffy antigen receptor for chemokines (DARC), D6 or CCX-CKR, that bind chemokines but do not transduce signals and function as chemokine scavengers.^{77,78} Alternatively, the anti-inflammatory cytokine IL-10 decouples chemokine receptors from intracellular signaling processes, thereby allowing them to sequester chemokines without inducing biological responses.⁷⁸ In contrast, viruses and other pathogens encode secreted proteins of unique structure not found in host proteins that are able to bind chemokines with high affinity and to block their activity.^{9,11}

The chemokine family is complex, with more than 40 chemokine ligands³ and the binding properties of the CKBPs reflects the targeting of specific immune functions mediated by particular sets of chemokines. Some CKBPs, such as the gammaherpesvirus M3 or the poxvirus 35-kDa proteins,^{21,52,53} bind a broad range of chemokines, whereas a narrow binding specificity has been recently described for the poxvirus SECRET domain and the Evasins encoded by ticks.^{42,73} Future *in vivo* studies in animal models of infection will be highly relevant to understand the immune modulatory activity of the different CKBPs and will shed light into the physiological role of the target chemokines in immunity.

Another interesting property of some virus-encoded CKBPs is that they may inhibit the interaction of chemokines with specific receptors, blocking chemokine-induced signalling, or may interfere with chemokine-GAG interactions. It is likely that the ability of gammaherpesvirus M3 and alphaherpesvirus gG to inhibit the binding of chemokines to both receptors and GAGs may enhance the anti-chemokine activity of these CKBPs *in vivo*.^{54,57,60}

The chemokine network is a major target for the development of drugs useful in the control of inflammatory diseases.^{6,7,79} The identification of CKBPs with no amino acid sequence similarity among themselves or to host receptors is providing us with novel protein structures capable of neutralizing chemokines and have great potential as new medicaments.^{80,81} Many studies have demonstrated the therapeutic value of CKBPs in different animal models of human diseases. For example, treatment with the poxvirus 35-kDa CKBP significantly reduced inflammation of the airway and lung parenchyma and improved the physiological function of the lungs during airway hyperreactivity in a mouse model of allergen-induced asthma.⁸² In another study, a single injection of MYXV M-T7 given to rats or rabbits caused a significant attenuation of restenosis, a response to vascular injury that leads to recurrent atherosclerotic plaque growth.⁸³ The generation of transgenic mice expressing the gammaherpesvirus M3 protein has been an important tool to demonstrate the efficient blockade of chemokine-mediated cell migration *in vivo* by M3 and its ability to block intimal hyperplasia in response to arterial injury and the development of diabetes.⁸⁴⁻⁸⁷ The anti-inflammatory activity of the CKBPs encoded by *S. mansoni* and ticks has been shown in models of contact hypersensitivity model, pulmonary inflammation or arthritis.^{72,73} Future studies will no doubt further assess the utility of pathogen-encoded chemokine inhibitors in other models of inflammation. It is interesting that products derived from pathogenic organisms hold substantial promise for the treatment of human inflammatory diseases.⁸¹

The vCKBPs identified in the genome of pathogens were not predicted from sequence analysis to bind chemokines and it is possible that the secretion of CKBPs of unrelated structure is a strategy used by other pathogens. Further studies on chemokine inhibitors from pathogens will provide interesting information on the contribution of these immune evasion proteins to pathogenesis and the function of chemokines in immunity.

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