# 52. High-Temperature Superconductors

The discovery by J. G. Bednorz and K. A. Müller in 1986 that the superconducting state can exist in oxides at temperatures above 30 K stimulated research in the field of superconductivity and opened a new field of research. Within a few years a large number of cuprate superconductors with transition temperatures well above the boiling point of liquid nitrogen have been found. In this chapter an overview of the major families of high-temperature superconductors and their physical properties is presented.

Starting from the well-known characteristics of conventional superconductors, described in Sect. 52.1, the new phenomena observed in hightemperature superconductors are considered. The complexity of the physical properties of the cuprate superconductors is closely related to the fact that these materials are close to a metalinsulator transition. In Sects. 52.2 and 52.3 the crystal structures, the general trends for the critical temperatures, the anisotropy of the physical properties and the factors limiting the transport critical current density are discussed. Because of their importance in the field of electronics some features of thin films are presented in Sect. 52.4.

The binary compound MgB<sub>2</sub> is strictly speaking not a high-temperature superconductor. Nevertheless, an overview of the physical properties of this interesting metallic superconductor, charac-

After the successful development of helium liquefaction techniques in the laboratory of Heike Kammerlingh Onnes at the University of Leiden, temperatures down to about 1 K became accessible for further research [52.1]. One of the first aspects to be studied was the electrical resistivity of pure metals at very low temperatures. The nearly temperature-independent residual resistivity of platinum and gold was found to decrease with increasing purity of the metals. In 1911 Kammerlingh Onnes found that, in contrast to the behaviour of platinum and gold, the electrical resistivity of mercury drops to an unmeasurably small value at

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terised by a transition temperature as high as 39 K, has been included in the present chapter (Sect. 52.5).

a temperature of 4.2 K, as shown in Fig. 52.1. The remarkable phenomenon of superconductivity had been discovered [52.1].

In addition to zero resistance, the superconducting state is characterised by perfect diamagnetism. *W. Meissner* and *R. Ochsenfeld* found in 1933 that, as soon as the superconducting state is reached, a magnetic field is expelled from the interior of a superconductor cooled in the presence of a static magnetic field [52.2]. In contrast to the shielding of a magnetic field applied to a material in the superconducting state, field expulsion cannot be explained by perfect conductivity. This Meissner effect

1911	H. Kammerlingh Onnes discovers superconductivity in Hg [52.1]
1933	W. Meissner and R. Ochsenfeld find perfect diamagnetism for the superconducting state [52.2]
1935	London theory of the superconductor electrodynamics [52.3]
1957	J. Bardeen, L. N. Cooper and J. R. Schrieffer develop a quantum theory of superconductivity
	(BCS theory) [52.4,5]
1960	I. Giaever measures the energy gap by electron tunnelling [52.6,7]
1961	Experimental confirmation of flux quantisation indicating the existence of Cooper pairs [52.8,9]
1962	B. D. Josephson predicts Cooper pair tunnelling between two superconductors separated
	by a thin insulating oxide layer [52.10]
1965	Observation of superconducting quantum interference between Josephson junctions
	in multiply connected superconducting circuits [52.11]
1986	J. G. Bednorz and K. A. Müller discover high-temperature superconductivity
	in the La-Ba-Cu-O system [52.12]
1993	Critical temperature of 135 K in HgBa <sub>2</sub> Ca <sub>2</sub> Cu <sub>3</sub> O <sub>8+<math>\delta</math></sub> [52.13, 14]
2001	I A kimitsu et al. discover superconductivity at 39 K in MgB <sub>2</sub> [52,15]

 Table 52.1 Milestones in the history of superconductivity



Fig. 52.1 Resistance–temperature plot for mercury obtained by Heike Kammerlingh Onnes

is therefore indeed an additional characteristic property of superconductors.

The discoveries listed in Table 52.1 provide an overview of the history of superconductivity. The development of the highest known critical temperatures in metals and oxides is shown in Fig. 52.2. Between 1911 and 1974, the critical temperatures  $T_c$  of metallic superconductors steadily increased from 4.2 K in mercury up to 23.2 K in sputtered Nb<sub>3</sub>Ge films [52.16, 17]. Nb<sub>3</sub>Ge held the record for the critical temperature in metallic



Fig. 52.2 The highest known critical temperatures of metallic and oxide superconductors

superconductors until the unexpected discovery of superconductivity at 39 K in the intermetallic compound MgB<sub>2</sub> [52.15].

The first superconducting oxide SrTiO<sub>3</sub> characterised by a transition temperature as low as 0.25 K was discovered in 1964 [52.18]. A remarkably higher critical temperature of 13 K was found for the perovskite BaPb<sub>1-x</sub>Bi<sub>x</sub>O<sub>3</sub> in 1975 [52.19]. These results

Part E 52.1



**Fig. 52.3** The efficiency of real refrigerators normalised to the Carnot efficiency is typically in the hatched area. The ratio of  $\eta_r/\eta_c$  increases with increasing refrigeration capacity. (After [52.21])

stimulated J. G. Bednorz and K. A. Müller to search for higher critical temperatures in oxides. Their discovery of high-temperature superconductivity in the cuprate (La, Ba)<sub>2</sub>CuO<sub>4</sub> ( $T_c \approx 30$  K) opened up a new field of research [52.12]. Within less than a year a critical temperature well above the boiling point of liquid nitrogen could be achieved in YBa<sub>2</sub>Cu<sub>3</sub>O<sub>7- $\delta$ </sub> [52.20]. So far, the highest transition temperature of 135 K at ambient pressure has been found in HgBa<sub>2</sub>Ca<sub>2</sub>Cu<sub>3</sub>O<sub>8+ $\delta$ </sub> [52.13, 14].

Finally, it should be mentioned that the phenomenon of superconductivity has also been observed in organic materials [52.22–24] and fullerenes [52.25–27]. Remarkably high transition temperatures of 19, 33 and 40 K

# 52.1 The Superconducting State

### 52.1.1 Characteristic Properties of Superconductors

The discussion of the physical properties of hightemperature superconductors has to be based on the knowledge of the behaviour of conventional, metallic superconductors. In this section the superconducting state is therefore described in more detail starting, from the properties of simple, metallic superconductors.

Below a certain temperature  $T_c$  the electrical resistance of a superconducting material vanishes. The critical temperature  $T_c$  is characteristic of the superconductor in question. In pure metals the zero resistance

have been found in the fullerenes  $K_3C_{60}$ ,  $RbCs_2C_{60}$  and  $Cs_3C_{60}$  respectively.

An important advantage of high-temperature superconductors is the possibility of operating devices at temperatures well above 20 K, leading to a considerable reduction in the required refrigerator input power. In contrast to power applications, the use of liquid nitrogen as a coolant is of less importance for superconducting electronics, which are typically cooled by closed cycle coolers. The economics of closed cycle coolers depends strongly on the operating temperature. Considering an ideal, reversible cooling cycle, the input power necessary to remove a heat load dQ/dt is

$$P_{\rm in} = \frac{1}{\eta_{\rm C}} \frac{\mathrm{d}Q}{\mathrm{d}t} , \qquad (52.1)$$

where

$$\eta_{\rm C} = \frac{T_{\rm op}}{300\,{\rm K} - T_{\rm op}} \tag{52.2}$$

is the Carnot efficiency. To remove a heat input of 1 W an ideal, reversible refrigerator consumes at room temperature a power of 70 W for  $T_{op} = 4.2$  K, whereas this power is only 9 and 2.9 W for operation at 30 and 77 K, respectively. The efficiency  $\eta_r$  of real refrigerators is much smaller than the Carnot efficiency and depends strongly on the refrigerator size, as illustrated in Fig. 52.3. For small refrigerators able to remove a power of 1 W, the refrigerator efficiency is only a few percent of the Carnot efficiency. On the other hand, large refrigerators with a cooling capacity well above 10 kW may reach 30% of the Carnot efficiency. The ratio  $\eta_r/\eta_C$  has been found to depend only weakly on the operating temperature.

state can be reached within a few mK (Fig. 52.1). In the chemically complex cuprate, high- $T_c$  superconductors the transition to the superconducting state is less sharp than in metallic low- $T_c$  superconductors. The transition width  $\Delta T_c$  for single-phase cuprate superconductors is typically 1 K. Thus the critical temperature depends slightly on the criterion used to define  $T_c$ . Several criteria are illustrated in Fig. 52.4.

At the transition temperature a resistance drop of several orders of magnitude is typically observed. However, it is in principle not possible to prove experimentally that the resistance in the superconducting state is in fact zero. The most efficient way to determine



**Fig. 52.4** Resistance versus temperature for a Bi<sub>2</sub>Sr<sub>2</sub>CaCu<sub>2</sub> O<sub>8</sub>/Ag multi-core wire. The transition temperatures resulting from different definitions of  $T_c$  are indicated. The width of the transition  $\Delta T_c = T_c(90\%) - T_c(10\%)$  is  $\approx 1.2$  K

an upper limit of the resistance is to detect the decay of the magnetic fields generated by currents induced in a superconducting loop. Upper resistivity limits between  $2 \times 10^{-18}$  [52.28] and  $7 \times 10^{-23} \Omega$  cm [52.29] have been reported for the high- $T_c$  superconductor YBa<sub>2</sub>Cu<sub>3</sub>O<sub>7</sub>, while a value of  $3.6 \times 10^{-23} \Omega$  cm has been found for type I low- $T_c$  superconductors [52.30]. These upper resistivity limits are orders of magnitude smaller than the resistivity of  $10^{-10} \Omega$  cm at 4.2 K achievable in annealed, very pure metals. It is therefore justified to assume zero resistance below  $T_c$  for all practical purposes.

The second outstanding feature of the superconducting state is perfect diamagnetism. To understand the magnetic behaviour of a superconductor, the two different situations illustrated in Fig. 52.5 have to be considered. In the first case the superconductor is cooled below  $T_c$  without an applied magnetic field (zero fieldcooled). In the second case the superconductor is cooled below  $T_c$  while a magnetic field is applied (field-cooled). In both cases the magnetic flux is excluded from the interior of the superconductor. Screening currents flowing in the surface layer of the superconductor generate a flux opposite to that of the applied magnetic field. The magnetic flux density is therefore zero everywhere inside the superconductor. On the other hand, outside the superconducting sphere the magnetic field is enhanced because of the superposition of the flux of the



**Fig. 52.5** The magnetic flux is excluded from the interior of a superconductor without field-cooling (*left*) as well as with it (*centre*). In contrast to this behaviour, a magnetic flux would exist in the interior of a field-cooled perfect conductor (*right*) ([52.31] p. 20)

applied magnetic field and that of the screening currents. In both situations the superconductor is unmagnetised when the magnetic field is removed. The behaviour of a zero field-cooled superconductor may be considered simply as a screening effect caused by perfect conductivity. In contrast to screening, the expulsion of the magnetic flux from a field-cooled superconductor (the Meissner effect) cannot be explained by perfect conductivity. The different behaviour of a field-cooled perfect conductor is also shown in Fig. 52.5. The values of the relative magnetic permeability for non-ferromagnetic metals is close to unity. Thus the magnetic flux inside the metal is nearly the same as that of the applied magnetic field. Because dB/dt = 0 no screening currents are induced, and hence the magnetic flux is not removed from the interior of the perfect conductor at low temperatures. Finally, the removing of the magnetic field  $(dB/dt \neq 0)$  leads to magnetisation of the perfect conductor.

The superconducting state can be destroyed by a sufficiently large applied magnetic field. The transition from the superconducting to the normal state occurs at a certain critical magnetic field  $B_c$ , which is a characteristic property of the superconductor considered. The dependence of the critical field on the temperature is



**Fig. 52.6** Critical field versus temperature curves for selected metallic superconductors. The  $B_{c0}$  values vary from  $\approx 10 \text{ to} \approx 80 \text{ mT}$  ([52.31] p. 24)

typically well described by

$$\boldsymbol{B}_{c}(T) = \boldsymbol{B}_{c0} \left[ 1 - \left(\frac{T}{T_{c}}\right)^{2} \right]$$
(52.3)

where  $T_c$  is the critical temperature and  $B_{c0}$  the critical field at zero temperature. The critical field as a function of temperature is shown in Fig. 52.6 for selected metallic superconductors. The  $B_c(T)$  curves separate the superconducting from the normal state. The Meissner effect ensures that the properties at any point in the superconducting state are independent of the order in which the final conditions of applied magnetic field and temperature are reached.

### 52.1.2 Superconductor Electrodynamics

The electrodynamics of superconductors can be described by the two London equations. As a consequence of the infinite conductivity in the superconducting state, Ohm's law must be replaced by the first London equation

$$\frac{\mathrm{d}j}{\mathrm{d}t} = \frac{1}{\mu_0 \lambda_\mathrm{I}^2} E \,, \tag{52.4}$$

where *j* is the current density, *E* the electric field,  $\mu_0$  is the permeability of free space and  $\lambda_L$  a constant. The electric field in the superconductor is zero for constant current density. The current density and the magnetic field are related by the second London equation.

$$\operatorname{curl} \boldsymbol{j} = -\frac{1}{\mu_0 \lambda_{\mathrm{L}}^2} \boldsymbol{B} \,. \tag{52.5}$$



**Fig. 52.7** Exponential decay of an applied magnetic field in the surface layer of a semi-infinite superconductor. The normalised penetration depth  $\lambda_L/\lambda_{L0}$  versus reduced temperature is shown in the *inset* 

Using the Maxwell equation curl  $B = \mu_0 j$  the following differential equation results for the magnetic field

$$\nabla^2 \boldsymbol{B} = \frac{1}{\lambda_{\rm I}^2} \boldsymbol{B} \,. \tag{52.6}$$

For a semi-infinite superconductor with the magnetic field applied along the *z*-direction, an exponential decay of the magnetic field  $B(x) = B_0 \exp(-x/\lambda_L)$  results, as illustrated in Fig. 52.7. The London penetration depth  $\lambda_L$  is therefore the characteristic length for the decay of the magnetic field in the surface layer of the superconductor. Typical values of the penetration depth are  $10^{-5}-10^{-6}$  cm at T = 0. The penetration depth is closely related to the number density of the Cooper pairs (Sect. 52.1.3)

$$\lambda_{\rm L} = \sqrt{\frac{m_{\rm C}}{\mu_0 n_{\rm C} q_{\rm C}^2}},\tag{52.7}$$

where  $n_C$ ,  $q_C$  and  $m_C$  are the number density, the charge and the mass of the Cooper pairs. Because of the decreasing Cooper pair density, the penetration depth increases rapidly for temperatures close to  $T_c$  (see inset of Fig. 52.7). The temperature dependence is given by

$$\lambda_{\rm L}(T) = \lambda_{\rm L0} \left[ 1 - \left( \frac{T}{T_{\rm c}} \right)^4 \right]^{-1/2} ,$$
 (52.8)

where  $\lambda_{L0}$  is the penetration depth at T = 0.

### 52.1.3 Superconductivity: A Macroscopic Quantum Phenomenon

#### Bardeen–Cooper–Schrieffer (BCS) Theory

Next, the microscopic causes of superconductivity in conventional superconductors are briefly described. *J. Bardeen, L. N. Cooper*, and *J. R. Schrieffer* proposed a microscopic theory of superconductivity [52.4, 5], which is now well known as the BCS theory. At the moment it seems to be unlikely that the mechanism of superconductivity in the cuprates, which is still not yet understood, can be explained by the BCS theory. In this chapter only some fundamental ideas and results of the BCS theory are presented.

Independently of each other, two researchers [52.32, 33] found theoretically that lattice vibrations (phonons) can provide an attractive interaction between two electrons. This can be understood as a consequence of the polarisation of the lattice by the electrons. The concentration of positive charges produced by the first electron reduces the potential energy of the second electron. Because of this attractive interaction, electrons with opposite momenta and spins form electron (Cooper) pairs. The Cooper pairs, characterised by zero spin and momentum, have to be considered as new particles. Because their spin is zero, they do not obey Pauli's exclusion principle. The probability that a state is occupied increases with the number of particles populating the same quantum state. Therefore, all Cooper pairs occupy the same quantum state with a single value of the momentum in the presence of an applied electric field. A change of momentum results only for scattering processes that break up some Cooper pairs. Resistance occurs as soon as the energy taken from the electric field exceeds the binding energy of the Cooper pairs. This behaviour explains the existence of a critical current.

The electron-phonon interaction leads to an energy gap  $2\Delta$  in the density of states for the single electrons. The width of the energy gap at zero temperature is

$$2\Delta(0) = 3.5k_{\rm B}T_{\rm c}$$
, (52.9)

where  $k_{\rm B}$  is the Boltzmann constant and  $T_{\rm c}$  the critical temperature. For superconducting elements the measured ratios of  $2\Delta(0)/k_{\rm B}T_{\rm c}$  are typically 3.2–4.6 [52.34], which is in reasonably good agreement with the value of 3.5 predicted by the BCS theory. The single-electron density of states close to the Fermi energy is

$$D_{\rm s}(E-E_{\rm F}) = D_{\rm n}(E_{\rm F}) \frac{|E-E_{\rm F}|}{\sqrt{(E-E_{\rm F})^2 - \Delta^2}}$$
, (52.10)



**Fig. 52.8** Energy gap and density of states for unpaired electrons in the superconducting state in the vicinity of the Fermi energy  $E_{\rm F}$ . The temperature dependence of the energy gap predicted by the BCS theory is shown in the *inset* 

where  $\Delta$  is the half-width of the energy gap and  $|E - E_{\rm F}| > \Delta$ . The variation of the normal state density of states  $D_{\rm n}(E)$  can be neglected because  $E_{\rm F} \gg 2\Delta$ . The single-electron density of states shown in Fig. 52.8 reflects that the energy states present in the normal state within the energy gap are shifted to the edges. The inset of Fig. 52.8 shows the temperature dependence of the energy gap predicted by the BCS theory. The critical temperature is [52.5]

$$T_{\rm c} = 1.14 \frac{\hbar\omega_{\rm D}}{k_{\rm B}} \exp\left(-\frac{1}{D_{\rm n}(E_{\rm F})V_{\rm p}}\right) \,, \tag{52.11}$$

where the electron-phonon interaction is described by the constant  $V_p$ . The Debye frequency  $\omega_D$  is the cut-off frequency of the phonon spectrum in a solid. Considering the atoms in a solid as harmonic oscillators of frequency  $\omega = (k_e/M)^{1/2}$ , where  $k_e$  is the elastic constant resulting from the binding forces, both  $\omega_D$  and  $T_c$  are expected to be proportional to  $M^{-1/2}$ for different isotopes of the same element. In many metallic superconductors this isotope effect has been observed [52.35–38].

All Cooper pairs populate the same quantum state, resulting in a collective wave function

$$\psi = n_{\rm C}^{1/2} \exp[i\varphi(\mathbf{r})] ,$$
 (52.12)

where  $n_{\rm C} = \psi^* \psi$  ( $\psi^*$ : conjugate complex of  $\psi$ ) is their concentration. Because the phase coherence of the Cooper pairs is effective at large distances, the phase  $\varphi(\mathbf{r})$  is a macroscopically observable quantity. As a consequence, the magnetic flux in a superconducting hollow cylinder of cross-sectional area *F* is quantised

$$\phi = \int_{F} B \,\mathrm{d}F = \frac{h}{2e} N_{\phi} = \phi_0 N_{\phi} \,. \tag{52.13}$$

In the above equation  $N_{\phi}$  is an integer, *e* the electron charge and  $\phi_0 = 2.068 \times 10^{-15}$  V s the flux quantum. The magnetic flux generated by the screening currents flowing in the surface layer of the superconductor is typically small, and has therefore been neglected.

### **Tunnelling Effects**

First, single-electron tunnelling in a normal-insulatorsuperconductor (N-I-S) junction will be considered. The two conductors are separated by an insulating oxide layer typically less than 2 nm thick. Tunnelling can take place only when empty target energy states are available, otherwise the process is forbidden by the Pauli principle (Fig. 52.9). For a voltage of  $U = \Delta/e(-e > 0)$  the empty energy states of the superconductor above the energy gap reach the same level as the Fermi energy of the normal conductor, and strong tunnelling sets in. On the other hand for a voltage  $U = -\Delta/e$ , pair breaking becomes possible. One of the electrons tunnels into the normal conductor and gains an energy  $\Delta$  available for the exci-



Fig. 52.9 Bose condensation representation of N-I-S tunnelling (*top*) and the corresponding current voltage characteristic at T = 0

tation of the second electron to an empty state above the energy gap. The resulting N-I-S current–voltage characteristic and the Bose condensation representation of the tunnelling process are shown in Fig. 52.9.

In the case of two identical superconductors (S-I-S junction), strong single-electron tunnelling sets in at an applied voltage  $|U| \ge |2\Delta/e|$ . This voltage is high enough to allow the empty energy states above the gap of the first superconductor, and the filled energy states below the gap of the second superconductor, to overlap; pair breaking becomes possible. Figure 52.10 shows the semiconductor representation of the tunnelling process and the resulting S-I-S current–voltage characteristic. The weak tunnelling currents for  $|U| < |2\Delta/e|$  are a consequence of a few quasi-particles being thermally excited above the energy gap for T > 0 (Fig. 52.10).

So far, only single-electron tunnelling has been considered. In 1962 *B. D. Josephson* predicted that Cooper pair tunnelling through a very thin insulating layer is possible [52.10]. Even for zero voltage across the insulating layer of an S-I-S junction, Cooper pairs can tunnel through the barrier. This process has to be considered as



**Fig. 52.10** Current voltage characteristic of an S-I-S tunnel junction (*bottom*). Strong tunnelling sets in for  $U = |2\Delta/e|$ . The semiconductor representation of the process (*top*) shows that a few electrons (quasi particles) are thermally excited into states above the gap at T > 0. These electrons are responsible for the weak tunnelling current at low voltages ([52.31] p. 42)

the tunnelling of the wave function collectively describing the Cooper pairs. The DC current through the contact is

$$I = I_{\rm c} \sin \delta \,, \tag{52.14}$$

where  $I_c$  is the critical current of the Josephson junction. The Josephson DC current through the contact is between  $-I_c$  and  $I_c$ , depending on the phase difference  $\delta$ of the two Cooper pair wave functions. This phase difference is time independent for zero voltage across the insulating layer. Applying a DC voltage U across the insulating layer of the Josephson contact causes a timedependent phase difference between the two Cooper pair wave functions. As a consequence there flows an AC current

$$I_{\rm AC} = I_{\rm c} \sin \left[ 2\pi \nu_J t + \delta(0) \right] ,$$
 (52.15)

where

$$\nu_J = \frac{2|e|U}{h} = \frac{U}{\phi_0} \,. \tag{52.16}$$

The AC Josephson current  $I_{AC}$  oscillates with a frequency of 483.6 MHz/ $\mu$ V. The tunnelling of the Cooper pair through the barrier is connected to the emission or absorption of a photon with energy  $E = hv_J$ . The AC Josephson current flows in addition to the current resulting from single-electron tunnelling. A more detailed description of the AC Josephson effect can be found in [52.34].

Finally, macroscopic quantum interference effects in Josephson junctions will be briefly considered. These interference effects are closely connected to the phase shifts of the Cooper pair wave functions caused by a magnetic field applied transversely to the current direction. The applied magnetic field gives rise to a spatial variation of the phase difference of the two Cooper wave functions within the contact area. The resulting Josephson DC current for a rectangular barrier is

$$I = I_{\rm c} \sin \delta_0 \frac{\sin \pi \phi / \phi_0}{\pi \phi / \phi_0} , \qquad (52.17)$$

where  $I_c$  is the critical current of the Josephson junction,  $\phi$  the total flux enclosed by the barrier, and  $\phi_0$  the flux quantum. The phase shift  $\delta_0$  is unknown. The maximum Josephson DC current

$$I_{\text{max}} = I_{\text{c}} \left| \frac{\sin \pi \phi / \phi_0}{\pi \phi / \phi_0} \right|$$
(52.18)



**Fig. 52.11** Josephson–Fraunhofer diffraction pattern for the normalised maximum Josephson DC current  $I_{\text{max}}/I_c$ . Minima of the current occur for  $\Phi/\Phi_0$  values of 1, 2, 3 etc.. (After [52.31] p. 49)

is reached for  $\sin \varphi_0 = 1$ , corresponding to a phase difference of  $\pi/2$ . In Fig. 52.11, the resulting interference pattern for the Josephson DC current is shown. The Josephson–Fraunhofer interference of the two Cooper pair wave functions indicates that superconductivity is a macroscopic quantum phenomenon (for more details see [52.31]).

### 52.1.4 Type II Superconductors

A quantitative description of the behaviour of type II superconductors is provided by the Ginzburg-Landau theory (see for example [52.34]). In the London theory the Cooper pair density is assumed to be constant. The only characteristic length scale is the penetration depth  $\lambda_{\rm L}$  describing the decay of a magnetic field inside a superconductor. Taking into consideration a variation of the Cooper pair density leads to a second characteristic length scale, namely the coherence length  $\xi$ , the shortest distance within which a considerable change of the Cooper pair density can occur. At the interface of a normal and a superconductor the Cooper pair density increases continuously from zero to the value  $n_{\rm C}$  deep inside the superconductor. The ratio of the coherence length and the penetration depth is the Ginzburg-Landau parameter  $\kappa$ 

$$\kappa = \frac{\lambda_{\rm L}}{\xi} \,. \tag{52.19}$$



Fig. 52.12 Comparison of the phase diagrams for type I and II superconductors. (After [52.31] p. 74)

Depending on whether the parameter  $\kappa$  is smaller or larger than  $1/\sqrt{2}$ , the superconductor in question is of type I or II, respectively. Values of the coherence length and the Ginzburg–Landau parameter of selected metallic superconductors are listed in Table 52.2. The cuprate high- $T_c$  superconductors are extreme type II superconductors with  $\kappa$  values of  $\approx 100$ .

In Fig. 52.12, the phase diagrams of both types of superconductors are compared. In type I superconductors there exist two different phases, namely the superconducting and the normal, which cannot coexist. On the other hand, three different phases can exist in type II superconductors. Below the lower critical field  $B_{c1}(T)$  the type II superconductor is in the superconducting phase characterised by perfect diamagnetism, where it behaves like a type I superconductor. Above the upper critical field  $B_{c2}(T)$  the material is in the normal state. In the mixed state between the lower and upper critical fields superconducting and normal regions can coexist in a type II superconductor. The mixed state shown in Fig. 52.13 is characterised by an array of normal cores within the superconductor, which allow magnetic flux to enter into the superconductor. The supercurrents encircling the normal cores (vortices) cause a repulsive vortex-vortex interaction.

In the mixed state of a type II superconductor the transport currents can flow throughout the conductor. In the presence of a magnetic field perpendicular to the current direction a Lorentz force  $F_{\rm L} = j\phi_0$  acts



**Fig. 52.13** Type II superconductor in the mixed state. Each vortex contains just a single fluxoid  $\Phi_0 = h/2|e|$ . (After [52.31] p. 74)

on the vortices, which leads to a motion of the vortices perpendicular to the current and the field direction. The variation of the magnetic flux leads to a flux flow resistance, and hence the critical current would be zero. However, in a real type II superconductor defects are always present, which act as pinning centres. As long as the Lorentz force is smaller than the pinning force, flux motion is suppressed, resulting in zero resistance.

# 52.2 Cuprate High-T<sub>c</sub> Superconductors: An Overview

### 52.2.1 Major Families of Cuprate Superconductors

At present around 100 cuprate superconductors are known. The major families of cuprate superconductors are listed in Table 52.3. Common features of the cuprate superconductors are layered crystal structures. In the cuprates, conducting copper oxide blocks and insulating charge-carrier reservoirs alternate with each other along the crystallographic c direction. As a consequence of the layered structures the physical properties of the cuprates are highly anisotropic. The electrical resistivity along the crystallographic a- and b-directions within the conductive CuO<sub>2</sub> planes is much lower than along the *c*-direction, where the current has to cross the insulating charge-carrier reservoirs. Figure 52.14 shows as an example the layering scheme of TI-22(n-1)n superconductors. The three thallium-based compounds are distinguished by the different number n of CuO<sub>2</sub> planes in the copper oxide blocks. In the compounds with n > 2, adjacent CuO<sub>2</sub> planes are separated by Ca layers. In the Bi-22(n-1)n counterparts Tl and Ba are replaced by Bi and Sr, respectively. In both families of cuprate superconductors the copper oxide blocks are embedded between TIO or BiO double layers. An analogous layering scheme results for the Tl-12(n-1)n compounds. However, the copper oxide blocks of these compounds are separated only by single TIO layers. The highest critical temperature is typically reached in the compounds with three  $CuO_2$  layers (n = 3) in the copper oxide blocks.

**Table 52.2** Coherence length  $\xi_c$  and Ginzburg–Landau parameter  $\kappa$  for selected superconductors at T = 0 ([52.31] p. 70)

$T_{\rm c}({\rm K})$	<b>ξ</b> (nm)	$\kappa = \lambda_{\rm L} / \xi$	Reference
1.18	550	0.03	[52.34]
3.41	360	0.11	[52.34]
0.52	760	0.14	[52.34]
3.72	180	0.23	[52.34]
4.5	93	0.38	[52.39]
7.2	82	0.48	[52.34]
9.25	39	1.28	[52.34]
9.5	4	75	[52.40]
18	3	21.7	[52.40]
23.2	3	30	[52.40]
29.6	2	123.5	[52.41]
93	2	95	[52.34]
	T <sub>c</sub> (K)           1.18           3.41           0.52           3.72           4.5           7.2           9.25           9.5           18           23.2           29.6           93	$T_c(K)$ $\xi(nm)$ 1.185503.413600.527603.721804.5937.2829.25399.5418323.2329.62932	$T_c(K)$ $\xi(nm)$ $\kappa = \lambda_L/\xi$ 1.185500.033.413600.110.527600.143.721800.234.5930.387.2820.489.25391.289.547518321.723.233029.62123.593295



**Fig. 52.14** Layering scheme of  $Tl_2Ba_2Ca_{n-1}Cu_nO_{2n+4}$  superconductors (After [52.31] p. 81)

The physical properties of the cuprate superconductors depend strongly on their chemical composition and the resulting carrier concentration. As in semiconductors, the carrier concentration in the cuprates can be changed by doping. For example let us consider the superconductor  $La_{2-x}Sr_xCuO_4$ . The possible oxidation states of copper are +1, +2 and +3. In the insulating parent compound  $La_2CuO_4$  the oxidation state of copper is +2. The valence of La is +3 and that of Sr +2. Substitution of Sr<sup>2+</sup> for La<sup>3+</sup> leads to the formation of Cu<sup>3+</sup> or O<sup>-</sup> defects (holes), which are expected to be mobile. Thus, an increasing number of holes is created in the CuO<sub>2</sub> planes with increasing Sr concentration *x*.

The cuprates are complex non-stoichiometric oxides. Heat treatments in reducing or oxidising atmosphere therefore lead to considerable changes in the oxygen content. Incorporation of excess oxygen is a further way to enhance the hole concentration in the  $CuO_2$ planes of the cuprates.

Finally, it should be noted that, in addition to the major families of cuprate superconductors listed in Table 52.3, there exists a large number of hightemperature superconductors which can be synthesised only under high pressure (see for example [52.31]).

## 52.2.2 Generic Phase Diagram of Cuprate Superconductors

It is beyond the scope of this article to discuss all the new physical phenomena related to cuprate superconductors. To give an impression of the complexity of



**Fig. 52.15** Generic phase diagram for cuprate superconductors indicating that these materials are close to a metal–insulator transition. The physical properties of the cuprates vary considerably with increasing hole concentration

these materials the generic phase diagram is briefly described. The one shown in Fig. 52.15 indicates that the cuprate superconductors are close to a metal-insulator transition. Their parent compounds are antiferromagnetic insulators, of which La<sub>2</sub>CuO<sub>4</sub> and YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6</sub> are examples. The Néel temperature  $T_N$ , at which magnetic ordering sets in, reaches values of 250-400 K in the parent compounds. The Néel temperature decreases rapidly with increasing hole concentration. A transition from an insulating to a superconducting phase is observed for further enhanced doping levels. The critical temperature increases with increasing number of holes and reaches a maximum at  $\approx 0.18$  holes per CuO<sub>2</sub> unit before decreasing again. At doping levels well above 0.3 holes/CuO2 the material behaves as a non-superconducting metal.

In the last few years it could be clarified that the energy gap in cuprate superconductors is of d-wave symmetry, whereas it is of s-wave symmetry in conventional superconductors. The two different symmetries are illustrated in Fig. 52.16. For s-wave symmetry the superconducting energy gap is constant irrespective of the direction of the wave vector k. In the case of d-wave symmetry there exist positions on the Fermi surface for which the energy gap is zero. At these positions the sign of the order parameter changes from + to - or vice versa. As a consequence a finite tunnel conductivity [ $dI/dV \propto D(E)$ ] is observed within the energy gap (see Fig. 52.16).

Next, let us consider the temperature dependence of the energy gap. The tunnelling conductance of underdoped Bi-2212 shown in Fig. 52.17 indicates that



**Fig. 52.16** The two different symmetries of the energy gap and the corresponding density of states. For s-wave symmetry (*left*) the width of the energy gap is independent of the wave vector  $\mathbf{k}$ . In the case of the d-wave symmetry (*right*) the energy gap depends on the wave vector. At four positions on the Fermi surface the energy gap is zero (After [52.42])



**Fig. 52.17** Schematic illustration of the tunnelling conductance of underdoped Bi-2212 providing the density of states in the vicinity of the energy gap. For clarity the spectra are offset vertically. A gap-like feature (pseudogap) can be observed even at a temperature as high as 175 K, well above  $T_c$  (After [52.43])

the energy gap of cuprate superconductors is independent of temperature. This behaviour is in contrast to the predictions of the BCS theory. In underdoped cuprate superconductors the energy gap has been found to increase for decreasing number of charge carriers in spite of a reduced critical temperature. On the other hand, both the energy gap and the critical temperature of overdoped cuprates decrease with increasing carrier concentration. An outstanding feature of cuprate superconductors is the existence of an energy gap even at temperatures well above  $T_c$ . This pseudogap is found in the region of the phase diagram where a transition from an antiferromagnetic insulator to a superconductor occurs.

New theories have predicted that in underdoped cuprate superconductors, spin and charge are separated, resulting in antiferromagnetic domains and charge stripes located at the anti-phase boundaries [52.44]. Experimental evidence for this scenario has been found from neutron scattering [52.45]. A potential explanation for the existence of the pseudogap is the formation of Cooper pairs at temperatures well above  $T_c$ . However, because of the small Cooper pair density, they are not macroscopically correlated. As a consequence the material is not able to carry a supercurrent above  $T_c$ . At present it is not clear if the interplay of anti-

ferromagnetism and charge stripes is responsible for high-temperature superconductivity.

### 52.2.3 Crystal Structures

Next, let us consider the crystal structures of the cuprates in more detail. For  $YBa_2Cu_3O_{7-\delta}$  (Y-123) an orthorhombic structure with lattice parameters a = 0.38 nm, b = 0.39 nm and c = 1.17 nm has been deduced from X-ray powder diffraction data [52.46, 47]. Figure 52.18 shows the orthorhombic unit cell of Y-123. The unit cell of  $YBa_2Cu_3O_{7-\delta}$  contains double  $CuO_2$  layers with embedded yttrium ions (Fig. 52.18). Slightly different z positions of the Cu and O atoms lead to buckling in the CuO<sub>2</sub> planes. The oxygen atoms occupy perovskite-like anion positions halfway between the copper atoms. These square-coordinated CuO<sub>2</sub> layers are a characteristic feature of all cuprate superconductors. Because metallic conductivity has been found in these layers, they are also called conduction planes. Along the crystallographic c-axis perpendicular to these planes, insulating or semiconducting behaviour



**Fig. 52.18** Orthorhombic unit cell of  $YBa_2Cu_3O_{7-\delta}$ . A special feature of this cuprate are CuO chains in the *b*-direction (After [52.31] p. 100)



**Fig. 52.19** Primitive tetragonal unit cell of TlBa<sub>2</sub>Ca<sub>2</sub>Cu<sub>3</sub>O<sub>9</sub> (After [52.31] p. 108)

is frequently observed. Neighbouring  $CuO_2$  blocks are separated by two BaO layers. The CuO chains between the two BaO layers in the *b*-direction are a special feature of the Y-123 compound.

The crystal structures of TI-12(n-1)n and the analogous Hg-12(n-1)n superconductors are primitive tetragonal. Because of the common CuO<sub>2</sub> planes, the *a* and *b* lattice parameters of cuprate superconductors are generally close to 0.39 nm. In both cuprate superconductor families the *c* lattice parameter is given approximately by  $c \cong (0.63 + 0.32n)$ nm. As an example of these crystal structures the primitive tetragonal unit cell of TlBa<sub>2</sub>Ca<sub>2</sub>Cu<sub>3</sub>O<sub>9</sub> is shown in Fig. 52.19. The copper oxide blocks of the Tl-1223 compound are formed of three CuO<sub>2</sub> layers. The CuO<sub>2</sub> layers within the copper oxide blocks are separated by Ca ions. In contrast to the Tl-22(n-1)n compounds (see Fig. 52.14) adjacent CuO<sub>2</sub> blocks are separated by only

 Table 52.3 Major families of superconducting cuprates
 ([52.31] p. 79)

Family	Symbol	Maximum T <sub>c</sub> (K)		
$(La_{1-x}M_x)_2CuO_4^a$	La-214	39		
YBa <sub>2</sub> Cu <sub>3</sub> O <sub>7</sub> <sup>b</sup>	Y-123	92		
YBa <sub>2</sub> Cu <sub>4</sub> O <sub>8</sub>	Y-124	80		
$Bi_2Sr_2Ca_{n-1}Cu_nO_{2n+4}$	Bi-22 $(n - 1)n$	122		
$Tl_2Ba_2Ca_{n-1}Cu_nO_{2n+4}$	T1-22(n-1)n	128		
$TlM_2Ca_{n-1}Cu_nO_{2n+3}^a$	Tl-12(n-1)n	122		
$HgBa_2Ca_{n-1}Cu_nO_{2n+2}$	Hg-12(n-1)n	135		
$^{a}M = Sr$ or Ba $^{b}Y$ can be replaced by rare-earth elements				

a single TIO layer. Like the TIO double layers of the 22(n-1)n compounds, the single TIO layers of the 12(n-1)n compounds are embedded between two BaO layers. The reduced distance of neighbouring copper oxide blocks leads to an enhanced coupling of the conduction planes. This improved coupling is reflected in less-anisotropic superconducting properties (Sect. 52.3). Defects in the BaO/TIO/BaO layers forming the charge-carrier reservoirs provide holes for the doping of the CuO<sub>2</sub> planes. In the Tl-12(n-1)n compounds the BaO layers can be replaced by SrO layers. The substitution of Tl by Hg leads to the structurally analogous Hg-12(n-1)n counterparts. The lattice parameters of selected cuprate superconductors are listed in Table 52.4.

### 52.2.4 Critical Temperatures

Next, some of the factors determining the critical temperature of cuprate superconductors will be discussed. As shown in the generic phase diagram for cuprate superconductors (Fig. 52.15), the critical temperatures of these materials are closely connected to the hole concentration p in the CuO<sub>2</sub> planes, which is determined by the formal valence 2 + p of the copper atoms in the conduction planes. Generally, an inverse parabolic dependence of the critical temperature on the number of holes per CuO<sub>2</sub> has been found. The maximum  $T_{\rm c}$  is typically reached for a hole concentration p of 0.15-0.2 holes per CuO<sub>2</sub>. For hole concentrations above 0.2 holes per CuO<sub>2</sub> the critical temperature decreases with increasing carrier concentration, leading to a nonsuperconducting metal for p > 0.3 holes per CuO<sub>2</sub>. As an example of this behaviour the critical temperature of HgBa<sub>2</sub>CuO<sub>4+ $\delta$ </sub> as function of the hole concentration is shown in Fig. 52.20.

Table 52.4 Lattice parameters of selected cup	brate superconductors (After [52.31] p. 118)
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Compound	Symmetry	<i>a</i> (nm)	<i>c</i> (nm)
		<i>b</i> (nm)	
La <sub>1.85</sub> Sr <sub>0.15</sub> CuO <sub>4</sub>	tetragonal	0.3779	1.3200
YBa <sub>2</sub> Cu <sub>3</sub> O <sub>6.9</sub>	orthorhombic	0.3822	1.1677
		0.3891	
$Bi_{2.2}Sr_2Ca_{0.8}Cu_2O_{8+\delta}{}^a$	orthorhombic	0.5414	3.089
		0.5418	
$(Bi,Pb)_2Sr_{1.72}Ca_2Cu_3O_{10+\delta}{}^a$	orthorhombic	0.5392	3.6985
		0.5395	
$Tl_{1.7}Ba_2Ca_{1.06}Cu_{2.32}O_{8+\delta}$	tetragonal	0.3857	2.939
$Tl_{1.64}Ba_2Ca_{1.87}Cu_{3.11}O_{10+\delta}$	tetragonal	0.3822	3.626
$Tl_2Ba_2Ca_3Cu_4O_{12-\delta}$	tetragonal	0.3850	4.1984
Tl <sub>1.1</sub> Ba <sub>2</sub> Ca <sub>0.9</sub> Cu <sub>2.1</sub> O <sub>7.1</sub>	tetragonal	0.3851	1.2728
Tl <sub>1.1</sub> Ba <sub>2</sub> Ca <sub>1.8</sub> Cu <sub>3.0</sub> O <sub>9.7</sub>	tetragonal	0.3843	1.5871
TlBa <sub>2</sub> Ca <sub>3</sub> Cu <sub>4</sub> O <sub>11</sub>	tetragonal	0.3848	1.9001
$HgBa_2CuO_{4+\delta}$	tetragonal	0.380	0.9509
$HgBa_2CaCu_2O_{6+\delta}$	tetragonal	0.3859	1.2657
$HgBa_{2}Ca_{2}Cu_{3}O_{8+\delta}$	tetragonal	0.3853	1.5818
$HgBa_2Ca_3Cu_4O_{10+\delta}$	tetragonal	0.3854	1.9006

 $T_{\rm c}({\rm K})$ 

<sup>a</sup> Using a pseudo-tetragonal unit cell a lattice parameter  $a(\text{tetragonal}) \cong (a+b)/2\sqrt{2} \approx 0.38 \text{ nm}$  would result



**Fig. 52.20** Critical temperature of  $HgBa_2CuO_{4+\delta}$  versus hole concentration. The maximum critical temperature is reached for a hole concentration of 0.18 holes/CuO<sub>2</sub> (After [52.31] p. 123)

150 140 130 120 110 100 90 80 70 5 6 7 1 2 3 4 8 0 Numbers of CuO2 Layers

**Fig. 52.21** Critical temperature of Hg-12(n-1)n superconductors versus the number *n* of CuO<sub>2</sub> planes in the perovskite (copper oxide) blocks (After [52.48])

In addition to the carrier concentration, the number of  $CuO_2$  planes in the perovskite blocks is of importance for the critical temperature. The maximum critical temperatures of selected cuprate superconductors listed in Table 52.5 clearly indicate that the critical temperature increases with increasing number n of CuO<sub>2</sub> planes in the copper oxide blocks, at least up to the compound with n = 3. For compounds with four or more CuO<sub>2</sub> planes in the perovskite blocks,

the critical temperature decreases with increasing *n*. In Fig. 52.21, this behaviour is illustrated for the Hg-12(n-1)n compounds. A possible explanation for the observed behaviour is the fact that in cuprates with  $n \ge 3$  the hole concentrations in the central and outer CuO<sub>2</sub> layers may be considerably different. It may therefore be impossible to reach simultaneously the optimum carrier concentration in all the CuO<sub>2</sub> layers for compounds with  $n \ge 4$ .

Finally, it should be mentioned that the critical temperature of cuprate superconductors has been found to be connected with the lattice parameters. Consequently, the critical temperature depends on applied pressure. Application of a hydrostatic pressure typically enhances the critical temperature of cuprate superconductors. In Hg-1223, superconductivity was observed at temperatures as high as 164 K at a pressure of 31 GPa [52.49]. A more complex situation results for the application of uniaxial pressure. In the case of pressure along the crystallographic *a*- or *b*-axis the critical temperature is enhanced, whereas for uniaxial pressure along the *c*-direction  $T_c$  is reduced [52.50, 51].

# 52.3 Physical Properties of Cuprate Superconductors

# 52.3.1 Anisotropic Superconductors

As a consequence of the layered crystal structures of the cuprates, the penetration depth depends on the direction of the screening currents. Assuming that the screening currents in the *a*- and *b*-direction are equal, two different penetration depths  $\lambda_{ab}$  and  $\lambda_c$  result. The indices give the direction of the screening currents. Thus, two different Ginzburg–Landau parameters  $\kappa_{ab}(B||ab)$  and  $\kappa_c(B||c)$  can be defined

$$\kappa_{ab} = \left(\frac{\lambda_{ab}\lambda_c}{\xi_{ab}\xi_c}\right)^{1/2} , \qquad (52.20)$$

$$\kappa_c = \frac{\lambda_{ab}}{\xi_{ab}} ,$$

where  $\xi_{ab}$  and  $\xi_c$  are the coherence lengths in the *ab*-plane and along the *c*-direction respectively. The

 Table 52.5 Critical temperatures of optimally doped cuprate superconductors

Compound	n	$T_{\rm c}({\rm K})$	Reference
Y-123	2	92	[52.20]
Bi-2212	2	96	[52.52]
Bi-2223	3	122	[52.53]
Tl-2201	1	92	[52.54]
Tl-2212	2	118	[52.54]
Tl-2223	3	128	[52.55]
Tl-2234	4	119	[52.53]
Tl-1212	2	90	[52.53]
Tl-1223	3	122	[52.53]
Tl-1234	4	122	[52.53]
Tl-1245	5	110	[52.53]
Hg-1223	3	135	[52.14]

dimensionless anisotropy parameter is given by [52.56]

$$\gamma_a = \left(\frac{m_c}{m_{ab}}\right)^{1/2} = \frac{\lambda_c}{\lambda_{ab}} = \frac{\xi_{ab}}{\xi_c} = \frac{\boldsymbol{B}_{c2,ab}}{\boldsymbol{B}_{c2,c}} = \frac{\boldsymbol{B}_{c1,c}}{\boldsymbol{B}_{c1,ab}}$$
(52.21)

where  $m_{ab}$  and  $m_c$  are the effective masses of the charge carriers for in-plane and out-of-plane currents, respectively. The expressions for the upper critical fields are [52.40, 56]

$$B_{c2,c} = \frac{\phi_0}{2\pi\xi_{ab}^2}$$
$$B_{c2,ab} = \frac{\phi_0}{2\pi\xi_c\xi_{ab}}$$
(52.22)

The upper and lower critical fields of various cuprate superconductors are listed in Table 52.6. The lower critical fields are typically between 10 and 500 mT for magnetic fields applied along the *c*-direction. The upper critical fields of cuprate superconductors can exceed 100 T even for the unfavourable field direction B||c. The data for Tl-2201, Hg-1201 and Hg, Cu-1201 given in Table 52.6 clearly indicate that the critical fields, like the critical temperatures, depend on the actual doping level.

For the characteristic length scales in cuprate superconductors the following relation has been found

$$\xi_{\rm c} < \xi_{ab} \ll \lambda_{ab} < \lambda_{\rm c} . \tag{52.23}$$

Table 52.7 lists for various cuprate superconductors the penetration depths and the coherence lengths. The inplane coherence length  $\xi_{ab}$  is typically 1–4 nm. The considerably smaller values found for the out-of-plane coherence length  $\xi_c$  are comparable to interatomic distances. The small values of  $\xi_c$  are responsible for the insulating character of the charge-carrier reservoirs (Fig. 52.14) separating adjacent CuO<sub>2</sub> blocks. The

Compound	$B_{c1,c}(mT)$	$B_{c2,c}(\mathbf{T})^{\mathrm{a}}$	Comments	Reference
La, Sr-214		53		[52.57]
La-214		36/50	Ba/Sr doped	[52.58]
Y-123		122	$B_{c2,ab} = 674 \mathrm{T}$	[52.59]
Y-123	500	29	$B_{c2,ab} = 140 \mathrm{T}$	[52.60]
Y-123	150		$B_{c1,ab} = 24 \text{ mT}$	[52.61]
Y-123	85		$B_{c1,ab} = 25 \text{ mT}$	[52.62]
Bi-2201		20.2		[52.63]
Bi-2212		107		[52.64]
Bi-2212		31	$B_{c2,ab} = 476 \mathrm{T}$	[52.65]
Bi-2212		71.5	$B_{c2,ab} = 542 \mathrm{T}$	[52.66]
Bi, Pb-2212		83/95		[52.67]
Bi-2223		39	$B_{c2,ab} = 1210 \mathrm{T}$	[52.68]
Bi, Pb-2223		184		[52.69]
Bi, Pb-2223	13.5			[52.70]
Tl-2201		0.85/1.9	$T_{\rm c} = 9.7/15.7 {\rm K}$	[52.71]
TI-2212		99		[52.64]
Tl-2212	30		$B_{c1,ab} = 0.8 \mathrm{mT}$	[52.61]
T1-2223		75		[52.64]
TI-1223	37	75		[52.72]
Hg-1201		100		[52.73]
Hg-1201	12.4/8.1		$T_{\rm c} = 82/87  {\rm K}$	[52.74]
Hg, Cu-1201		67/160	$T_{\rm c} = 85/96  {\rm K}$	[52.75]
Hg-1223		190		[52.34]

 Table 52.6
 Lower and upper critical fields of various cuprate superconductors

<sup>a</sup> Including the upper critical field data of polycrystalline samples

cuprates are therefore nearly two-dimensional superconductors with intrinsic Josephson junctions along the *c*-direction. Moreover, the cuprates are extreme type II superconductors with  $\kappa_c$  values around 100.

### 52.3.2 Irreversibility Line

The magnetic phase diagram of cuprate superconductors is much more complicated than that of metallic superconductors. It is beyond the scope of this chapter to describe the details of the magnetic phase diagram of cuprate superconductors. A simplified magnetic phase diagram is shown in Fig. 52.22. An outstanding feature of high-temperature superconductors is the existence of an irreversibility line well below the upper critical field. Above this line the vortices are movable, and hence the critical current density is zero. The irreversibility line can be described by the expression

$$\boldsymbol{B}_{\rm irr} = \boldsymbol{B}_0 \left( 1 - \frac{T_{\rm irr}}{T_{\rm c}} \right)^{\alpha}$$
(52.24)



**Fig. 52.22** Simplified magnetic phase diagram of cuprate superconductors. Above the irreversibility line the vortices are movable, and hence the critical current density is zero (After [52.31] p. 138)

where  $B_{irr}$  is the irreversibility field,  $T_{irr}$  the irreversibility temperature,  $B_0$  a fitting parameter and the exponent

Compound	$\lambda_{ab}(nm)$	$\xi_{ab}(nm)$	$\xi_c(nm)$	
La, Sr-214	250-410	2.1		
Y-123	130–240	1.3–1.6	0.2–0.3	
Bi-2201	438	4.0		
Bi-2212		2.7-3.8	0.16-0.18	
Bi-2223		2.9	0.093	
Bi, Pb-2212	178	2.0		
Bi, Pb-2223	88–245	1.35-2.9		
Tl-2201		5.2	0.3	
Tl-2212		2.0-3.1	0.03-0.68	
T1-2223	117–163	1.1–1.36		
T1-2234		4.5	1	
Tl-1223	137	2.1		
Hg-1201	117-216*	1.7-3.4*		
Hg, Cu-1201	162-283*	1.43-2.2*		
Hg-1223	130-150	1.3		
* Different doping levels				

**Table 52.7** Characteristic lengths for selected cuprate superconductors (data from [52.31, 34, 73, 75, 76])

 $\alpha$  is characteristic of the superconductor in question. In the case of Y-123 the exponent  $\alpha$  is close to 1.5 for magnetic fields perpendicular to the crystallographic *c*-direction, while values around 1.3 have been found for B||c [52.61].

The existence of the irreversibility line is reflected in the broadening of the resistive transition in the presence of an applied magnetic field. Figure 52.23 shows the resistive transition of a Bi-2212 single crystal for magnetic



**Fig. 52.23** Resistivity versus temperature curves of a Bi-2212 single crystal for magnetic fields parallel to the *c*-direction ([52.31] p. 139)

fields of 2, 5 and 12 T parallel to the *c*-direction. The data for zero applied field are also shown in Fig. 52.23. The end point of the resistive transition corresponds to the irreversibility temperature for the magnetic field applied. On the other hand, the onset of the resistivity drop may be used to determine the upper critical field.

The existence of an irreversibility line can be explained in two different ways. In the more conventional picture the occurrence of resistance above the irreversibility line is caused by thermally activated depinning. Within this picture it can be easily understood that the irreversibility line of cuprate superconductors is shifted to higher fields and temperatures by heavy-ion irradiation [52.77–79]. The irradiation generates amorphous tracks, which are efficient pinning centres. An alternative scenario is the melting of the flux-line lattice. Evidence for this possibility is the latent heat measured at the phase transition [52.80, 81].

The maximum operating field of cuprate superconductors is limited by the irreversibility line for the unfavourable field direction B||c. In any comparison of the behaviour of different cuprates the irreversibility field as a function of the reduced temperature  $T/T_{\rm c}$  should be considered. The highest irreversibility fields result for Y-123, while the lowest values of  $B_{irr}$ have been found for Bi- and TI-22(n-1)n compounds. Intermediate irreversibility fields result for TI- and Hg-12(n-1)n compounds [52.31, 82]. The observed order in the irreversibility fields indicates that flux pinning is more efficient in cuprates with less anisotropic physical properties. The largest anisotropy of the physical properties has been observed in Bi- and TI-22(n-1)ncompounds with the largest distance of adjacent CuO<sub>2</sub> blocks. The relatively small anisotropy of Y-123 seems to be the consequence of the short-circuiting of the insulating charge-carrier reservoirs by the CuO chains (Fig. 52.18).

## 52.3.3 Limitations of the Transport Critical Current

The electric field generated in a superconductor at the transition to the normal conducting state is typically well described by a power law  $E = E_c (I/I_c)^n$ , where *I* is the current, *n* is the index of resistive transition and  $E_c$  the electric field used to define the critical current  $I_c$ . Typically  $E_c$  values of 0.1 or 1  $\mu$ V/cm are used to determine the critical current.

It should be recognised that, unlike the critical temperature and the upper critical field, the critical current density is not an intrinsic material property. The



**Fig. 52.24** Critical current densities in epitaxial Bi-2212 films as a function of applied magnetic field for *B* along the *ab*-planes and parallel to the crystallographic *c*-direction (After [52.83])

achievable  $j_c$  values are determined mainly by the microstructure of the superconductor in question. Grain boundaries can act as weak links, leading to small critical current densities in polycrystalline cuprate superconductors. In the absence of grain-boundary weak links, the transport  $j_c$  is mainly determined by flux pinning. Before these effects are discussed the anisotropy of  $j_c$  in individual grains and epitaxial thin films is considered.

#### Anisotropy of the Critical Current Density

In cuprate superconductors, conductive copper oxide blocks and insulating charge-carrier reservoirs alternate along the crystallographic *c*-direction. The currents can easily flow in the conductive CuO<sub>2</sub> planes, whereas currents along the *c*-direction have to cross the insulating or semiconducting charge carrier reservoirs (tunnelling). In spite of  $j_c$  values as high as  $10^8$  A/cm<sup>2</sup> in the CuO<sub>2</sub> planes of Hg-1212 films, the estimated  $j_c$  along the *c*-direction is as low as 5000 A/cm<sup>2</sup> [52.84].

A further anisotropy of the critical current density is related to the direction of the applied magnetic field. Figure 52.24 shows the critical current density of an epitaxial Bi-2212 film as a function of the applied magnetic field for selected temperatures. The critical current density declines rapidly with increasing applied field for B||c, while the field dependence of  $j_c$  is much less pronounced for B||ab. This behaviour reflects the considerably different upper critical fields for these two field directions. The angle dependence of  $j_c$  in epitaxial Bi-2212 and Bi-2223 films can be described by the expression

$$\mathbf{j}_{c}(B,\theta) = \mathbf{j}_{c,c}(B\sin\theta) , \qquad (52.25)$$

where  $\theta$  is the angle between the *ab*-planes and the field direction, and  $j_{c,c}$  is the critical current density for B||c. The above expression states that the reduction of the critical current by an applied magnetic field is determined exclusively by the field component parallel to the *c*-direction.

#### Grain-Boundary Weak Links

Soon after the discovery of superconductivity above 77 K in Y-123, it was noticed that the transport  $j_c$  in sintered samples is typically well below 1000 A/cm<sup>2</sup>. In addition, magnetic fields of a few mT are sufficient to reduce the  $j_c$  by an order of magnitude. On the other hand, critical current densities well above  $10^6$  A/cm<sup>2</sup> could be achieved in epitaxial Y-123 films. These experimental results suggest that the grain boundaries present in polycrystalline material can act as barriers for the transport current. Due to extremely short coherence lengths  $\xi_c < 1$  nm the Cooper pair density can be considerably reduced within the width of a grain boundary. Two grains separated by a grain boundary can therefore be considered as a Josephson junction.

The high  $j_c$  values achieved in highly textured films suggest that low-angle grain boundaries are strongly coupled. To clarify this aspect, the transport properties of artificial grain boundaries have been studied [52.85,86]. In epitaxial growth the crystallography prescribed by the substrate can be transmitted to the Y-123 film. Using SrTiO<sub>3</sub> bicrystals, two Y-123 grains connected by an artificial grain boundary with a well defined misorientation angle  $\theta$  can be prepared. The critical current density across the grain boundaries has been found to decrease rapidly with increasing misalignment angle. For [001]-tilt boundaries (rotation of the second grain around the common *c*-axis) in Y-123 films, the grain boundary  $j_c$  depends exponentially on the misalignment angle  $\theta$ 

$$j_{\rm c} = j_{\rm c0} \exp\left(-\frac{\theta}{\theta_0}\right)$$
, (52.26)

where  $\theta_0 \cong 6.3^\circ$  and  $j_{c0} \cong 2 \times 10^7 \text{ A/cm}^2$  at 4.2 K [52.87]. The corresponding values for Bi-2223 [001]-tilt grain boundaries are  $j_{c0} = 6 \times 10^6 \text{ A/cm}^2$  and  $\theta_0 = 5^\circ$  at 26 K [52.88].

The weak-link behaviour is closely related to the strong reduction of the Cooper pair density in the grain



**Fig. 52.25** Space charge effects and bend bending at a semiconductor–metal interface (*top*) and at a grain boundary in a cuprate superconductor (*bottom*) (After [52.90])

boundaries caused by the extremely short coherence lengths and the low carrier concentrations in cuprate superconductors. The carrier concentration in optimally doped cuprate superconductors is around  $5 \times 10^{21}$  cm<sup>-3</sup>, whereas the carrier concentration in copper is as high as  $85 \times 10^{21}$  cm<sup>-3</sup>. Furthermore, the behaviour of grain boundaries in cuprate superconductors is similar to that of semiconductor-metal interfaces [52.89, 90]. In the case of a p-type semiconductor in contact with a metal characterised by a smaller work function, electrons flow from the metal into the semiconductor. As a consequence, both the valence and the conduction band are bent downwards (see Fig. 52.25 top). There results an excess of negatively charged acceptor ions and a depletion of holes in the valence band. Currents from the metal to the semiconductor would further enlarge the space-charge region and are therefore negligible (Schottky contact). Because of low carrier concentrations and



**Fig. 52.26** Critical temperature ( $\triangle$ ) and current density ( $\bigcirc$ ) of a symmetric 24° [001]-tilt boundary as a function of the hole concentration. The charge-carrier concentration in the bicrystalline Y<sub>0.7</sub>Ca<sub>0.3</sub>Ba<sub>2</sub>Cu<sub>3</sub>O<sub>7- $\delta$ </sub> films has been modified by annealing at different oxygen pressures (After [52.87])

large dielectric constants ( $\varepsilon \approx 10$ ), band-bending effects can occur in cuprate superconductors. The grain boundaries of cuprate superconductors may be considered as double Schottky barriers (see Fig. 52.25 *bottom*). The resulting Thomas–Fermi screening length is in the range of 0.5-1 nm, which is comparable to the coherence length. The width of the insulating layer at the grain boundary is  $t = d + 2l_d$ , where d is the structural width of the boundary and  $l_d$  the thickness of the depletion layer. The structural width of typical grain boundaries is  $\approx 0.4$  nm. On the other hand, the thickness of the depletion layer is 0.16 nm for  $\varepsilon = 10$ , an in-built voltage of 0.1 V and a carrier concentration of  $4.5 \times 10^{21}$  cm<sup>3</sup> [52.90]. Consequently, the total thickness of the insulating layer is considerably enhanced by the space-charge region.

In such a scenario it should be possible to modify the electronic properties of the interface by increasing the carrier concentration. In Y-123 this can be done by Ca doping. Figure 52.26 shows for symmetric 24° [001]-tilt boundaries the critical temperature and current density as a function of the hole concentration. As expected the  $j_c$  values at 4.2 K increase with increasing hole concentration even in the overdoped region, where  $T_c$  decreases.

### Flux Pinning

Transport currents without losses can flow in a type II superconductor only when the flux lines are effectively

pinned. The screening currents circulating around each flux line are responsible for a repulsive vortex–vortex interaction, which prevents flux motion as soon as a sufficiently large fraction of the flux lines is pinned. The effectiveness of this collective pinning depends on the stiffness of the flux-line lattice.

The energy required to create a flux line can be estimated from the lower critical field  $B_{c1}$  [52.39]. At the lower critical field, flux lines start to enter the superconductor. The formation energy for each flux line is therefore

$$E_{\rm fl} = \int_{0}^{B_{\rm cl}} m \,\mathrm{d}\boldsymbol{B}$$
 with  $m = \frac{\phi_0}{\mu_0} l_{\rm fl}$ , (52.27)

where *m* is the magnetic moment of a flux line of length  $l_{\rm fl}$  containing a single flux quantum. The required energy per unit length is

$$\frac{E_{\rm fl}}{l_{\rm fl}} = \frac{\phi_0}{\mu_0} B_{\rm c1} \,. \tag{52.28}$$

Using  $\kappa = \lambda_L / \xi$  the lower critical field can be written as [52.34]

$$\boldsymbol{B}_{c1} = \frac{\phi_0 \ln \kappa}{4\pi \lambda_1^2} \,. \tag{52.29}$$

When the flux line is in a normal region, no energy is required to form the normal vortex core, and the resulting

# 52.4 Superconducting Films

Because of the weakly coupled large-angle grain boundaries present in polycrystalline bulk material, the transport critical current density is much smaller than the  $j_c$  of the individual grains. In contrast to polycrystalline bulk material, adjacent grains of epitaxial films are connected by low-angle grain boundaries. As a consequence the critical current densities measured in epitaxial films are close to the  $j_c$  values of individual grains. At low temperatures and zero applied field, the critical current densities in epitaxial Y-123, Bi-2212, Bi-2223 and Hg-1223 films exceed 10<sup>7</sup> A/cm<sup>2</sup> [52.83,91–93]. Even at 77 K  $j_c$ -values well above 10<sup>7</sup> A/cm<sup>2</sup> have been measured in Y-123 films [52.94].

The physical properties of ultrathin films can be considerably different from those of bulk single crystals. pinning energy per unit length is

$$\frac{E_{\rm fl}}{l_{\rm fl}} = \frac{1}{4\pi\mu_0} \left(\frac{\phi_0}{\lambda_{\rm L}}\right)^2 \ln\left(\frac{\lambda_{\rm L}}{\xi}\right) \,. \tag{52.30}$$

Generally regions with reduced Cooper pair densities due to defects can act as pinning centres.

The pinning properties of the cuprate superconductors are highly anisotropic. Relatively strong pinning has been found for magnetic fields transverse to the current direction applied in the ab plane. For the resulting Lorentz force along the *c*-direction, strong intrinsic pinning may be provided by the insulating charge carrier reservoirs of the layered structure along the c-direction. On the other hand, the pinning is weak for magnetic fields transverse to the current direction applied along the *c*-direction, leading to a Lorentz force parallel to the ab-planes. Flux lines along the *c*-direction experience strong pinning only within the CuO<sub>2</sub> blocks. As a consequence of the layered structure, the vortices may be cut into vortex segments or even pancake vortices which exist only within the conductive CuO2 blocks. These pancake vortices could easily move in the direction of the Lorentz force.

The positions of the irreversibility lines in the different cuprate superconductors reflect the anisotropy of the pinning properties. Typically the pinning efficiency for magnetic fields along the *c*-direction decreases as one progresses from Y-123 via the Hg- and Tl-12(n-1)n compounds to the highly anisotropic Bi- and Tl-22(n-1)n cuprates.

First, let us consider the effect of the film thickness on the critical temperature. For the growth of epitaxial ultrathin films a substrate with good lattice matching is required. YBa<sub>2</sub>Cu<sub>3</sub>O<sub>7</sub> films of thickness equal to the height of a single unit cell in the *c*-direction can be grown onto SrTiO<sub>3</sub> single crystals covered by a six-unit-cellthick non-superconducting PrBa<sub>2</sub>Cu<sub>3</sub>O<sub>7</sub> (Pr-123) buffer layer [52.95]. The lattice mismatch of Y-123 and Pr-123 is less than 1.5%. The layer growth can be checked by the observation of the strong intensity oscillations of reflection high-energy electron diffraction. The period of these oscillations has been found to correspond to the height of a single unit cell. Y-123 films with a thickness of 1–10 unit cell heights were grown by coevaporation of the metals under oxygen atmosphere.



**Fig. 52.27** Offset critical temperature of ultra-thin Y-123 films as a function of the layer thickness normalised to the *c* lattice parameter (After [52.95])

To provide hole doping the ultrathin Y-123 films are covered by a six-unit-cell-thick Pr-123 layer. The offset critical temperature of these Y-123 films is considerably lower than that of a 100-nm-thick film (Fig. 52.27). On the other hand, the onset critical temperature has been found to be much less affected. The reduction of the offset critical temperature has been attributed to the more pronounced critical fluctuations in the thinner films. Critical fluctuations are variations of the superconducting order parameter  $\psi = n_{\rm C}^{1/2} \exp(i\varphi)$  ( $n_{\rm C}$  is the Cooper pair density) around the thermal equilibrium values.

The critical temperature of ultrathin Y-123 layers in Y-123/Pr-123 multilayers has been found to depend on the thicknesses of the insulating Pr-123 layers [52.96]. The thickness of 1.2 nm of the embedded Y-123 layers corresponds to the height of a single unit cell in the *c*-direction. The critical temperature of the Y-123/Pr-123 multilayer decreases from  $\approx 50 \text{ K}$  (10% of normal-state resistance) to  $\approx$  13 K for Pr-123 interlayer thicknesses of 1.2 and 7.2 nm, respectively. Because of the Josephson coupling of adjacent Y-123 layers in the multilayer the critical fluctuations are expected to be less pronounced than in a single Y-123 film of the same thickness. Thus, the  $T_c$  of the Y-123 layers in the Y-123/Pr-123 multilayer with a Pr-123 thickness of 1.2 nm is higher than that of a single Y-123 layer of the same thickness (Fig. 52.27).



**Fig. 52.28** Resistivity versus temperature for La-214 films on SrTiO<sub>3</sub> and SrLaAlO<sub>4</sub> substrates. The different epitaxial strains lead to considerably different critical temperatures of 10 and 49 K. For comparison the resistivity of La-214 bulk material ( $T_c = 25$  K) is also shown (After [52.51])

Finally, let us consider the effects of epitaxial strain on the critical temperature of (La, Sr)<sub>2</sub>CuO<sub>4</sub> films [52.51,97,98]. In epitaxial growth the crystallography prescribed by the substrate is transmitted to the film. For a sufficiently small film thickness the lattice constant of the film is equal to that of the substrate. As a consequence of the lattice mismatch a considerable epitaxial strain can be induced in the film. Under epitaxial growth conditions a compressive strain in the CuO<sub>2</sub> planes of (La, Sr)<sub>2</sub>CuO<sub>4</sub> is accompanied by a tensile strain in the c-direction. Typically, in-plane compressive and out-of-plane tensile strains enhance the  $T_{\rm c}$ of cuprate superconductors. Thus, the critical temperature of cuprate superconductors should be much more sensitive to epitaxial strain effects than to hydrostatic pressure, where the opposite in-plane and out-of-plane effects partly cancel each other. Because of film deposition at temperatures above 750 °C, differences in the thermal expansion coefficients provide an additional contribution to the strain in the films. In thick films the epitaxial strain is released by the appearance of misfit dislocations. The expected change in the critical temperature of the films is given by

$$T_{\rm c} = T_{\rm c}(0) + 2 \frac{\mathrm{d}T_{\rm c}}{\mathrm{d}\varepsilon_{ab}} \varepsilon_{ab} + \frac{\mathrm{d}T_{\rm c}}{\mathrm{d}\varepsilon_c} \varepsilon_c , \qquad (52.31)$$

where  $\varepsilon = (d_{\text{bulk}} - d_{\text{strained}})/d_{\text{bulk}}$ , with d a lattice parameter.

To study the epitaxial strain effects, Locquet et al. deposited La<sub>1.9</sub>Sr<sub>0.1</sub>CuO<sub>4</sub> films (a = 0.3784 nm,  $\alpha = 8.5 \times 10^{-6}$  K<sup>-1</sup>) of 10–15 nm thickness on SrTiO<sub>3</sub> (a = 0.3905 nm,  $\alpha = 9 \times 10^{-6}$  K<sup>-1</sup>) and SrLaAlO<sub>4</sub> (a = 0.3754 nm,  $\alpha = 10.5 \times 10^{-6}$  K<sup>-1</sup>) substrates. Using X-ray diffraction, strain values of  $\varepsilon_{ab} = 0.63\%$  and  $\varepsilon_c = -0.76\%$  have been determined for the La-214 film on SrTiO<sub>3</sub>. The corresponding strain values for the La-214 film deposited onto SrLaAlO<sub>4</sub> are  $\varepsilon_{ab} = -0.54\%$ and  $\varepsilon_c = 0.35\%$ . The results of Locquet et al. are shown in Fig. 52.28. The critical temperatures of the La-214 films of 10 (SrTiO<sub>3</sub>) and 49 K (SrLaAlO<sub>4</sub>) are, as expected, considerably different from the bulk value of 25 K. In addition, the temperature dependencies of the normal state resistivities of the two films are remarkably different. The film experiencing a tensile in-plane strain shows an upturn of the normal state resistance at temperatures below 100 K, which is an effect also found in underdoped cuprate superconductors.

# 52.5 The Special Case of MgB<sub>2</sub>

In spite of the fact that MgB<sub>2</sub> has been known since the early 1950s, it was unknown until 2001 that it is a superconductor with a remarkably high transition temperature. Figure 52.29 shows the hexagonal crystal structure of MgB<sub>2</sub>, consisting of alternating layers of boron and magnesium. As a consequence of the layered structure, anisotropic physical properties result. The arrangement of the boron atoms is the same as that of the carbon atoms in graphite. Each of the boron atoms is surrounded by three other boron atoms at the same distance.

To study the role of phonons in the superconductivity of MgB<sub>2</sub>, the critical temperatures of isotopically pure Mg<sup>10</sup>B<sub>2</sub> and Mg<sup>11</sup>B<sub>2</sub> specimens have been studied [52.99]. The transition temperatures of Mg<sup>11</sup>B<sub>2</sub> and Mg<sup>10</sup>B<sub>2</sub> defined by a 2% onset criterion are 39.2 and



Fig. 52.29 Hexagonal crystal structure of  $MgB_2$  consisting of alternating layers of magnesium and boron (After [52.15])

40.2 K, respectively. Defining a partial boron isotopeeffect exponent  $\beta_B = \Delta \ln T_c / \Delta \ln M_B$ , where  $M_B$  is the atomic mass of boron, a value of  $\beta_B = 0.26 \pm 0.03$  results from the experimental data. On the other hand, the isotope effect for <sup>26</sup>MgB<sub>2</sub> and <sup>24</sup>MgB<sub>2</sub> is much less pronounced [52.100]. The shift of 0.1 K in  $T_c$  corresponds to a partial Mg isotope-effect exponent  $\beta_{Mg}$  of 0.02. The strong boron isotope effect suggests that the pairing of the electrons is caused by the boron phonons. Thus, MgB<sub>2</sub> seems to be a conventional metallic superconductor with an extremely high transition temperature. The high transition temperature seems to be caused by the small atomic mass of boron, leading to high phonon frequencies.

The currently known physical properties of MgB<sub>2</sub> are summarised in Table 52.8. As in cuprate superconductors the operation range of MgB<sub>2</sub> is limited by the irreversibility field. In polycrystalline bulk samples the irreversibility field typically reaches 50% of  $B_{c2}$ . In nanocrystalline MgB<sub>2</sub> bulk material the irreversibility field is shifted to 80% of  $B_{c2}$ . The enhancement of the irreversibility field in the nanocrystalline material has been attributed to the improved pinning provided by the large number of grain boundaries [52.101]. Maximum irreversibility fields of more than 14 T at 4.2 K have been reported for MgB<sub>2</sub> bulk material annealed in Mg vapour [52.102]. MgB<sub>2</sub> is an extreme type II superconductor with a Ginzburg–Landau parameter  $\kappa$  of 38. The coherence length of  $\xi_c \approx 2.5 \text{ nm}$  is considerably larger than the values of  $\approx 0.2 \,\mathrm{nm}$  measured for cuprate superconductors (Table 52.7). The anisotropy of the upper critical fields reported for *c*-axis-oriented films [52.103] and single crystals [52.104] is typically close to  $B_{c2,ab}/B_{c2,c} \approx 3$ .

Immediately after the discovery of superconductivity in  $MgB_2$  the current transport across grain boundaries

Parameter	Value	Comments	Reference
$T_{\rm c}({\rm K})$	40.2	$Mg^{10}B_2$	[52.99]
Lattice parameters		Crystal structure	[52.15]
a (nm)	0.3086	Hexagonal	
<i>c</i> (nm)	0.3524	Space group P6/mmm	
$\rho(\text{mWcm})$	1–18	at 40 K	[52.102]
$ ho(300{\rm K})/ ho(40{\rm K})$	15–3		[52.102]
<b>B</b> <sub>c1</sub> (mT)	18–26.5	T = 0	[52.107, 108]
$\boldsymbol{B}_{c2,ab}(T)$	19.6/26.4	Films $T_c$ : 37.5/31.4 K, $T = 0$	[52.109]
$\boldsymbol{B}_{c2,c}(T)$	13.8/14.6	Films $T_c$ : 37.5/31.4 K, $T = 0$	[52.109]
$\boldsymbol{B}_{\mathrm{irr}}(\mathrm{T})$	$> 14/ \approx 6$	$T = 4.2/20 \mathrm{K}$	[52.102]
$\chi_a b(nm)$	6.8-8.1	T = 0	[52.108,110]
$\chi_{c}(nm)$	2.3–2.7	T = 0	[52.108,110]
$\lambda(nm)$	110-180	T = 0	[52.107,111]
$\kappa = \lambda / \chi$	38	polycrystalline, $T = 0$	[52.107]
$2\Delta(\text{meV})$	17–19*	T = 4.2  K	[52.112]

**Table 52.8** Physical properties of MgB<sub>2</sub>

\* MgB<sub>2</sub> seems to be a double gap superconductor (see for example [52.113])

was studied [52.105,106]. The results of these investigations indicate that the grain boundaries in  $MgB_2$  do not act as weak links. This behaviour reflects the fact that the coherence length of  $MgB_2$  is considerably larger than that of cuprate superconductors.

To give an impression of the current-carrying capacity of MgB<sub>2</sub> the critical current density of *c*-axis-oriented films as a function of temperature is shown in Fig. 52.30 for selected applied magnetic fields (B||c). The critical current density at 15 K and zero applied field is as high as 16 MA/cm<sup>2</sup> [52.114, 115], which is comparable to the  $j_c$  values of cuprate superconductors.

Finally, let us briefly consider the synthesis of MgB<sub>2</sub>. Polycrystalline MgB<sub>2</sub> can be synthesised by the reaction of boron powder with magnesium vapour. Stoichiometric quantities of boron and magnesium are sealed into a tantalum tube under an argon atmosphere. In addition, the tantalum tube containing the B/Mg mixture is sealed into a quartz ampoule. To form the desired MgB<sub>2</sub> intermetallic compound the mixture is heat treated at 950 °C for 2 h [52.116]. In a similar way boron precursor films can be converted to MgB<sub>2</sub> in the presence of Mg vapour. The annealing temperature for the formation of MgB<sub>2</sub> films is typically in the 850 °C range. Very recently *c*-axis-oriented epitaxial MgB<sub>2</sub> films have been grown onto Al<sub>2</sub>O<sub>3</sub> substrates [52.103]. For applications in electronics it is



**Fig. 52.30** Critical current density of a *c*-axis-oriented  $MgB_2$  film as a function of temperature for magnetic fields up to 4 T (After [52.114])

desirable to grow the MgB<sub>2</sub> films in situ in a single step on a suitable substrate. The substrate and electronic materials  $ZrO_2$ , MgO, TiN, TaN, AlN and SiC show no reaction with MgB<sub>2</sub> up to an annealing temperature of 800 °C [52.117].

# 52.6 Summary

The cuprate high-temperature superconductors are characterised by layered crystal structures. Conductive  $CuO_2$ layers in the crystallographic *ab*-planes are a common feature of all cuprate superconductors. Along the *c*-direction, conducting copper oxide blocks and insulating charge-carrier reservoirs alternate. As a consequence the physical properties of cuprate superconductors are strongly anisotropic.

The critical temperature as well as the other physical properties depend considerably on the charge-carrier concentration. The maximum critical temperature is typically reached for a carrier concentration of 0.18 holes per CuO<sub>2</sub>. Furthermore, the highest  $T_c$  of optimally doped cuprate superconductors is typically reached in compounds with three or four CuO<sub>2</sub> layers in the CuO<sub>2</sub> blocks. The highest known critical temperature of 135 K at ambient pressure has been achieved in Hg-1223.

The cuprate superconductors are close to a metalinsulator transition. The d-wave symmetry of the energy gap and the existence of a pseudogap above  $T_c$ , especially in underdoped cuprate superconductors, suggest that the pairing mechanism of the electrons is different from that in metallic superconductors. Furthermore, only a weak isotope effect has been observed in the cuprates, which again suggests that the electron pairing is not phonon-mediated.

Extremely short coherence lengths and low carrier concentrations seem to be responsible for the weak-link behaviour of large-angle grain boundaries in the cuprate superconductors. To achieve transport critical current densities comparable to the intra-grain  $j_c$  a biaxial texture is required.

The recently discovered metallic superconductor MgB<sub>2</sub> may be interesting for applications in spite of the moderate critical temperature of 39 K because of a simpler chemistry and the fact that grain boundaries do not act as weak links. The observation of a pronounced boron isotope effect suggests that MgB<sub>2</sub> is a conventional BCS superconductor. The anisotropy of the physical properties of MgB<sub>2</sub> is less pronounced than that of the cuprate superconductors.

The maximum operation fields of both the cuprate superconductors and MgB<sub>2</sub> are limited by the irreversibility line, which is well below the upper critical field. The existence of an irreversibility line may be caused in both types of materials by thermally activated depinning or flux-line lattice melting.

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