Chapter 4 The Role of Incretins in Insulin Secretion

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Overview of Glucose Regulation and Insulin Secretion

The maintenance of the plasma glucose concentration is a critical bodily function. Hyperglycemia is associated with long-term micro- and macrovascular complications, while hypoglycemia can lead to serious injury to the brain, which is dependent on plasma glucose as a fuel source. At any given time the body's plasma glucose concentration is a balance between the relative rates of glucose appearance and disappearance. These rates are regulated by several key organs through the actions of multiple hormonal signals. A brief introduction follows; however, for more detailed information, see Chapter 2.

During fasting and before meals, glucose appearance is regulated largely by glucagon-induced hepatic glucose output. Binding of glucagon to receptors in the liver leads to both glycogenolysis and gluconeogenesis. Glucose disappearance is regulated by peripheral glucose uptake – primarily by the brain, muscle, and splanchnic organs. Together, these processes normally keep plasma glucose regulated between approximately 70 and 100 mg/dl during the fasting state.

During a meal and in the postprandial period, meal-derived glucose is the major determinant of glucose appearance. Glucose absorption in the gut leads to a rise in plasma glucose. This increase in plasma glucose stimulates insulin secretion from β-cells in the pancreas. Meal-induced increases in plasma insulin – 3 to 4-fold within 30–60 min of a meal – stimulate glucose uptake by peripheral tissues, keeping 2-h postprandial plasma glucose concentrations below approximately 140 mg/dl in healthy individuals.

The mechanisms underlying glucose-stimulated insulin secretion from β-cells are complex and involve the integration of signals from multiple internal and external stimuli. Under normal circumstances, glucose ele-vation induces a biphasic pattern of insulin release.^{1,[2](#page-10-1)} Within a few minutes of plasma glucose increases, first-phase insulin release occurs. This phase, which lasts for approximately 10 min, is thought to reflect a "readily releasable" pool of insulin stored within β-cell secretory vesicles. A longer-lasting second-phase of insulin release follows – reflecting release of both stored insulin as well as newly produced insulin – and lasts as long as plasma glucose remains elevated.

These processes describe a general framework of glucose-induced insulin secretion; however, our current understanding of the mechanisms underlying insulin secretion, as mentioned above, involves an integrated and complex regulatory system. A key to this understanding has been the identification of the "incretin" hormones and elucidation of the role they play in the regulation of glucose-dependent insulin release.

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Incretin Hormones: Introduction and History

In the 1960s, several groups first described what has become known as the "incretin effect," based upon observations that glucose administered orally elicits an augmented insulin secretory response compared to an equivalent glucose load administered intravenously (V) .^{[3,](#page-10-2)[4](#page-10-3)} Elrick and colleagues⁴ first described an experiment in subjects without diabetes in which the mean increase in plasma insulin during the first hour after glucose administration was 37% greater following oral glucose than following IV glucose. This increase occurred despite higher mean blood glucose concentrations in the IV administration group. During the second hour following glucose administration, the elevated plasma insulin concentrations were maintained in the oral glucose group (in fact, plasma insulin increased ∼55% compared to the first hour), while plasma insulin returned toward fasting concentrations in the IV administration group.

Perley and Kipnis³ confirmed these findings, demonstrating that oral glucose administration elicited an approximately 60–70% greater insulin secretory response than an equivalent IV glucose load (see Fig. [4.1a, b\)](#page-1-0).

Fig. 4.1 (**a**, **b**) Plasma insulin responses to oral or infused glucose in healthy individuals (**a**) and patients with type 2 diabetes (**b**). Data from Perley and Kipnis[3.](#page-10-2) (**c**) Insulinotropic effects of GLP-1, but not GIP, infusion in patients with type 2 diabetes under hyperglycemic clamp conditions. *Arrows* indicate start of low, then high-dose administrations of GLP-1 or GIP, followed by end of administration. Data from Nauck et al.⁴¹. All data points, Mean \pm SE

In addition, they noted that the timing of insulin secretion was also different between the two groups: maximal plasma insulin concentrations were reached earlier (∼30–60 min) following oral glucose administration than following IV administration (∼90–120 min). Perley and Kipnis also demonstrated for the first time that patients with type 2 diabetes (T2DM) exhibit the incretin effect; however, they noted that patients with diabetes exhibited a decreased insulin response to oral glucose, a concept which will be explored in much greater detail below.

The findings described above indicate that insulin secretion following meals is not accounted for solely by changes in blood glucose concentration. In fact, it has been estimated that approximately 60% of insulin secreted in response to a meal is due to the incretin effect.^{[5](#page-10-4)} The discovery of the incretin effect led to a search for mechanisms triggered by oral glucose administration which might play a role in mediating insulin secretion. While a number of factors were initially proposed, 3 currently the incretin effect is attributed largely to two hormones secreted by specialized endocrine cells in the gut: glucose-dependent insulinotropic peptide (GIP, also termed gastric inhibitory polypeptide) and glucagon-like peptide-1 (GLP-1).

Glucose-Dependent Insulinotropic Peptide (GIP)

GIP is a peptide hormone, 42-amino acids in length, processed from a 153-amino acid precursor. It is secreted by the endocrine K-cells of the gut,^{[6](#page-10-5)} which are located in highest density in the duodenum and upper intestinal tract. Secretion of GIP increases by approximately 10-fold in response to meal ingestion.^{7,[8](#page-11-1)} The insulinotropic effects of GIP are stimulated via activation of specific G protein-coupled receptors on pancreatic β-cells[.9](#page-11-2) Following secretion, GIP is rapidly metabolized by the ubiquitous enzyme dipeptidyl peptidase-4 (DPP-4),^{[10,](#page-11-3)[11](#page-11-4)} and has a half-life of approximately 7 min.^{[11](#page-11-4)}

GIP Function Overview

The insulinotropic properties of GIP were identified first in 1973 ,¹² and since have been characterized in islet cells, isolated pancreas, and in vivo in healthy humans.^{13[–17](#page-11-7)} The insulinotropic effect of GIP is glucosedependent, and is absent at glucose concentrations under 140 mg/dl.¹⁸ Physiologically, it has been estimated that GIP-dependent insulin secretion accounts for approximately 20–50% of the incretin effect.^{[19,](#page-11-9)[20](#page-11-10)} Multiple groups have shown that inhibiting GIP function causes reduced insulin secretion and impaired glucose regu-lation in animals models.^{20[,21](#page-11-11)} Furthermore, mice with genetic deletions of the GIP receptor develop glucose intolerance.[22](#page-11-12)

In addition to its incretin effects, a number of other effects of GIP have been identified. These include the following:

- (1) GIP has both proliferative and anti-apoptotic effects on β -cells.^{23–[26](#page-11-14)} The physiological importance of these findings and potential effects in humans are not known at present.
- (2) Evidence for a role of GIP signaling in obesity has come from a variety of studies. GIP receptors are expressed on adipocytes^{[27](#page-11-15)} and GIP has been implicated in lipid metabolism in a variety of studies.^{28–[30](#page-11-17)} Mice with genetic disruption of the GIP receptor are resistant to diet-induced obesity and have reduced adiposity following high-fat feeding.^{22,[31](#page-11-18)} Furthermore, when GIP receptors were disrupted in ob/ob mice (a mouse model of obesity), these mice experienced less weight gain, decreased fat, and increased energy expenditure.[31](#page-11-18) While in theory antagonism of GIP signaling may have beneficial effects on obesity, the benefits are likely outweighed by the negative effects on glucose tolerance.
- (3) GIP receptors are also expressed on bone and stimulation of this pathway elicits new bone formation[.32](#page-11-19) Conversely, young mice lacking GIP receptors have reduced bone size and mass.^{[33](#page-11-20)} The potential for clinical application of GIP effects on bone is unknown at present.

Glucagon-Like Peptide-1 (GLP-1)

While early studies conclusively demonstrated that GIP elicited insulin secretion, Ebert and colleagues³⁴ showed that removal of GIP from the gut did not eliminate the incretin effect. This finding provided strong evidence for the existence of additional gut-derived factors with insulinotropic properties. The second incretin hormone identified is GLP-1, a product of the proglucagon gene (the same precursor gene which codes for glucagon when expressed in the pancreas). GLP-1 is rapidly secreted from the L-cells of the lower gut following meal ingestion.³⁵ A truncated version of GLP-1 (amino acids $7-36$; GLP-1 $7-36$) has been shown to be the predominant form of bioactive GLP-1 in circulation following meals.^{[36–](#page-12-3)[38](#page-12-4)} Like GIP, GLP-1 is rapidly metabolized by the enzyme DPP-4 following release (resulting in the inactive fragment GLP-1_{9–36}),^{[10,](#page-11-3)[11,](#page-11-4)[39,](#page-12-5)[40](#page-12-6)} and has a half-life of only 2 min in circulation.^{[11](#page-11-4)}

Following a meal, the concentration of GLP-1 rises by about 3-fold.³⁸ This increase is notably less than that of GIP following a meal; however, GLP-1 has been shown to be the more potent insulinotropic compound.^{[41](#page-12-0)} In fact, GLP-1 is one of the most potent insulin-releasing substances known.^{[42](#page-12-7)} GLP-1 exerts its activity via interaction with specific GLP-1 receptors on β-cells (despite its name, GLP-1 does not bind to the glucagon receptor). GLP-1 receptors are G protein-coupled receptors which belong to the same family as the GIP receptor^{9,[43](#page-12-8)} and, as described below, the intracellular signaling cascade, which follows incretin binding, elicits insulin release.

GLP-1 Function Overview

The insulinotropic effects of GLP-1 have been identified by several groups in both humans^{[16,](#page-11-21)[44](#page-12-9)[–46](#page-12-10)} and animal models.^{37,[47,](#page-12-12)[48](#page-12-13)} Similar to GIP, GLP-1-induced insulin release is glucose-dependent,^{46,[49](#page-12-14)} such that increased insulin secretion only occurs in the presence of elevated glucose concentrations. This characteristic has been important in the development of incretin-based therapeutics, as the glucose dependence greatly reduces the risk of treatment-induced hypoglycemia. In animal experiments, treatment with a GLP-1 receptor-specific antagonist (exendin 9–39) increased both fasting and postprandial glucose concentrations and lowered insulin concentra-tions following an oral glucose load.^{[50–](#page-12-15)[52](#page-12-16)} These studies also showed that GLP-1 signaling is responsible for a considerable proportion (as much as 60%) of the insulin response to an oral glucose load. Lending further support to the physiological role of GLP-1, mice with a genetic deletion of the GLP-1 receptor have diminished circulating insulin and increased plasma glucose following an oral glucose challenge.^{[53](#page-12-17)} In humans, administration of the GLP-1-receptor antagonist exendin 9–39 caused an approximately 35% increase in postprandial glucose[,54](#page-12-18) suggesting that GLP-1 is essential for normal glucose tolerance. Lastly, GLP-1 has been shown to contribute to first-phase insulin secretion⁵⁵ – the robust insulin secretion that occurs during the first 10 min following glucose administration. Because first-phase insulin secretion is characteristically absent in patients with T2DM, the ability of GLP-1 to affect first-phase insulin release is an important therapeutic consideration.

In addition to glucose-dependent insulinotropic effects, GLP-1 is known to have several other important functions which affect glucoregulation. These include the following:

- (1) GLP-1 suppresses the secretion of glucagon by pancreatic α -cells in a glucose-dependent manner,^{41,[56,](#page-12-20)[57](#page-12-21)} which leads to a reduction in hepatic glucose production. This effect reinforces the insulin-induced suppression of glucagon release that occurs during the fed state, helping to regulate postprandial glucose control.
- (2) GLP-1 delays gastric emptying.^{58–[60](#page-12-23)} Slowing nutrient entry into the gut moderates plasma glucose increases in the post-meal period. The delay in gastric emptying is thought to be mediated via GLP-1 receptors in the brain, which lead to stimulation of the parasympathetic vagus nerve[.61](#page-12-24) In addition, GLP-1 reduces the production of gastric acid, helping to regulate digestion of stomach contents.^{59,[62](#page-13-0)}
- (3) A number of lines of evidence suggest that GLP-1 plays a role in the central nervous system control of food intake. First, GLP-1 receptors are present in a number of brain regions implicated in the control of food intake including the hypothalamus and area postrema. $63,64$ $63,64$ These regions lack a blood–brain barrier, permitting

GLP-1 to access the brain directly from the circulation. In rodents, direct intracerebroventricular injection of GLP-1 produced a dose-dependent reduction in food intake,^{53,63-[66](#page-13-3)} while repeated intracerebroventricular administration resulted in long-term reductions in food intake and body weight.⁶⁷ Conversely, administration of a GLP-1 antagonist increased food intake and resulted in weight gain.^{[68](#page-13-5)} GLP-1 has also been shown to reduce appetite and caloric intake in studies of healthy humans^{[69](#page-13-6)} and in patients with T2DM.⁷⁰ Moreover, chronic GLP-1 administration is associated with weight loss, 71 an effect which was attributed to reduced appetite in this study.

(4) Lastly, GLP-1 has been shown to have trophic effects on β-cells[.72](#page-13-9) In animal studies, GLP-1 administration resulted in islet neogenesis, β-cell proliferation, and an increase in β-cell mass[.73–](#page-13-10)[77](#page-13-11) GLP-1 has also been shown to enhance the proliferation of new β -cells from pancreatic progenitor cells.^{78–[80](#page-13-13)} Finally, GLP-1 has been reported to inhibit apoptosis of β-cells.^{81,[82](#page-13-15)} These results suggest that GLP-1 may be beneficial in patients with T2DM by protecting existing β-cells and/or influencing proliferation of new β-cells; however, effects in humans have not been established.

Incretin-Induced Insulin Secretion: Mechanism of Action

Before considering the mechanisms of action underlying incretin-induced insulin secretion, it is important to understand the basic cellular physiology underlying glucose-induced insulin secretion in β-cells. The details of the regulation of insulin secretion by glucose are reviewed in Chapters 2 and 3 as well by other authors (for example, see review by Henquin⁸³). Briefly, glucose enters β-cells via facilitated transport (Glut2 transporter[s84\)](#page-13-17), where it is metabolized, and adenosine triphosphate (ATP) is generated. The ensuing increase in the intracellular ATP/adenosine diphosphate (ADP) ratio causes inhibition of ATP-sensitive potassium channels (K_{ATP}). Potassium efflux through K_{ATP} channels normally keeps the β-cell membrane polarized (negative resting voltage); thus, when K_{ATP} channels are inhibited, the cell membrane is depolarized (moves toward a neutral or positive resting voltage) in the immediate vicinity of the K_{ATP} channels. This depolarization activates voltage-dependent calcium channels (VDCCs), allowing calcium to enter the cell. Calcium entry leads to insulin secretory vesicle exocytosis and insulin release.^{[85](#page-13-18)} Under normal circumstances, delayed rectifier voltagedependent potassium channels (K_v) then open, allowing potassium to leave the cell. This efflux repolarizes the cell membrane and halts the insulin release.

The cellular and molecular mechanisms by which GLP-1 and GIP elicit insulin secretion overlap considerably and include (see Fig. [4.2\)](#page-5-0) the following:

(1) KATP Channel Modulation. Both GLP-1 and GIP bind to G protein-coupled receptors and activate adenylate cyclase, which catalyzes the conversion of ATP to the cellular second messenger $3'-5'$ -cyclic adenosine monophosphate (cAMP). These initial steps begin a series of cellular actions by which GLP-1 and GIP are thought to exert insulinotropic effects. The first downstream mechanism involves modulation of K_{ATP} channels. A number of groups have shown that both GLP-1 and GIP cause closure of K_{ATP} channels.^{86–[90](#page-13-20)} As described above, inhibition of K_{ATP} channels facilitates membrane depolarization which induces downstream insulin release. The mechanism underlying the effect on K_{ATP} channels is thought to involve cAMP-dependent protein kinase (PKA); inhibition of PKA reverses the effects of both incretin hormones on K_{ATP} channels^{[86,](#page-13-19)[91](#page-14-0)} (but see also Suga and colleagues⁸⁸). Furthermore, in mouse models with a genetic mutation causing an absence of K_{ATP} channels, GLP-1- and GIP-induced insulin secretion is diminished.^{92,[93](#page-14-2)} These results provide further evidence that K_{ATP} channel modulation represents an important component of incretin-induced insulin secretion.

Interestingly, GLP-1 action at the KATP channel may play an important role in the glucose-dependence of GLP-1-dependent insulin secretion. In the absence of elevated glucose concentrations, GLP-1 cannot inhibit KATP channels enough to affect exocytosis. However, when GLP-1 is administered with a sulfonylurea, which directly inhibits K_{ATP} channels in a glucose-independent manner, GLP-1 dependent insulin secretion is augmented.^{94,[95](#page-14-4)} This effect – uncoupling the glucose dependence of GLP-1 – has consequences in GLP-1-based therapy which are detailed later in this chapter.

Fig. 4.2 Schematic of intracellular mechanisms of action underlying incretin-induced insulin secretion. GLP-1 receptor activation leads cAMP generation and PKA activation, leading to (1) inhibition of K_{ATP} channels, which depolarizes β-cells leading to increased excitability and downstream insulin release; (2) increased VDCC activity, leading to an increase in intracellular calcium; (3) inhibition of K_v channels, delaying repolarization and extending β-cell excitability. (4) Additional calcium is released from intracellular stores via PKA, EPAC2, and calcium entry through VDCCs. (5) Intracellular calcium increases stimulate mitochondrial ATP production, increasing the ATP/ADP ratio, and leading to additional effects on K_{ATP} channels. (6) Multiple intracellular steps involving PKA, EPAC2, calcium, and cAMP lead to the priming and mobilization of insulin granules for release. (7) Receptor activation leads to new insulin synthesis as well as increases in the transcription of genes involved in insulin synthesis

- (2) Calcium Efflux Through VDCCs. Gromada and colleagues^{[89,](#page-13-22)[90](#page-13-20)} have demonstrated that GLP-1 and GIP administration also increase VDCC activity, leading to increased calcium entry into β-cells and insulin exocytosis. As with KATP channel effects, PKA activation appears to underlie the effects on VDCC current changes.[89,](#page-13-22)[90,](#page-13-20)[96](#page-14-5)
- (3) K_v Channel Modulation. As described above, K_v channels are integral in restoring cell membrane potential following depolarization and thereby limiting calcium entry and further exocytosis of insulin-containing granules. GLP-1 receptor activation has been shown to inhibit K_v channel currents by approximately 40% in rat pancreatic β-cells.⁹⁷ GIP has been reported to have similar effects on K_v channel currents.⁷ Thus, inhibiting K_v channel currents may lead to prolonged exocytosis. The effects on K_v channels appear to be dependent on PKA signaling as well as the phosphatidylinositol-3 kinase pathway.⁹⁸ In addition to effects on K_v channel currents, GIP has also been reported to affect cell surface expression and modulation of K_v channels[.99](#page-14-8)
- (4) Intracellular Calcium Stores. In addition to the direct and indirect effects that GLP-1 and GIP have on calcium entry into the cell through VDCCs, additional calcium is released from intracellular stores such as the endoplasmic reticulum (ER). This process is thought to be dependent on converging intracellular signals. For example, GLP-1-stimulated PKA^{[100](#page-14-9)} and cAMP-regulated guanine nucleotide exchange factor-II (Epac2, also termed $cAMP-GEFI$ ^{[101](#page-14-10)} sensitize calcium channels in the ER. Intracellular calcium release is then initiated by the transient increase in calcium entering the cell through VDCCs, $101-105$ $101-105$ the net result being a further increase in intracellular calcium as well as a wider spatial distribution of intracellular calcium. GIP has been reported to have similar effects.⁷ The entire process, termed "calcium-induced calcium release," is thought to contribute to exocytosis of insulin granules located in subcellular regions not located in the immediate vicinity of the VDCCs.^{[7,](#page-11-0)[106,](#page-14-12)[107](#page-14-13)} Thus, calcium-induced calcium release may play a prominent role in the postprandial state, allowing for an even greater incretin-induced insulin secretory response.
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- (5) Mitochondrial ATP synthesis. In addition to stimulating exocytosis, the increase in calcium-induced intra-cellular calcium release described above has also been shown to affect mitochondrial ATP production.^{[108](#page-14-14)} Amplified ATP production may lead to further effects on K_{ATP} channels in a feed-forward manner.¹⁰⁷
- (6) cAMP-associated Insulin Granule Mobilization. The insulinotropic activity of GLP-1 results in part from calcium influx through VDCCs (described above). However, only a small fraction of insulin-containing granules (less than $1\%^{109}$) belong to what is termed the "readily releasable pool,"^{90,[110](#page-14-16)} meaning that they are located close enough to VDCCs that they undergo exocytosis soon after VDCC opening. The remaining insulin-containing granules must be "primed" by series of cellular steps involving cAMP, calcium, and both PKA and Epac2.^{[90,](#page-13-20)[111,](#page-14-17)[112](#page-14-18)} These steps involve granule mobilization (via PKA) and increases in the size of granules (via Epac2), both processes which are influenced by GLP-1 and GIP signaling. The increased availability of insulin-containing granules for exocytosis has been estimated to account for as much as 70% of the insulinotropic activity of GLP-1 and GIP.^{89,[113](#page-14-19)}
- (7) Insulin Biosynthesis. In addition to effecting acute changes in insulin release, both GLP-1 and GIP simulate insulin synthesis and gene transcription in β-cells.^{114–[116](#page-14-21)} This process ensures that adequate insulin remains available for secretion. Moreover, GLP-1 has been shown to upregulate the transcription of genes involved in insulin secretion. 117

Incretins and Type 2 Diabetes Mellitus

It is generally accepted that two key pathophysiological defects contribute to the metabolic irregularities observed in T2DM: first, progressive β-cell dysfunction with associated insulin secretory deficits; and second, peripheral insulin resistance. Both defects play a fundamental role in the chronic progression of hyperglycemia and both are targets of therapeutic intervention. While β -cell loss – in excess of 50% on average at the time of T2DM diagnosis 118 – certainly influences insulin secretion deficits, the discovery and continued research into incretin hormones and the incretin effect has shed light on new pathways that may play a role in the progression of T2DM as well as new therapeutic options. Patients with T2DM have been shown to have a significantly reduced incretin effect.[119](#page-15-2) Theoretically, this deficit could be caused by impaired secretion of GIP or GLP-1, accelerated metabolism of the hormones, or defective responsiveness to either.

GIP in Type 2 Diabetes

In contrast to its effects in healthy humans, the role of GIP in patients with T2DM is unclear. Decreased GIP secretion in T2DM has been reported by one group;¹²⁰ however, the majority of published studies have reported normal or even increased GIP secretion in T2DM.^{[121,](#page-15-4)[122](#page-15-5)} Importantly, a number of groups have reported that the insulinotropic effects of GIP are lost or nearly lost in $T2DM^{41,123-126}$ $T2DM^{41,123-126}$ $T2DM^{41,123-126}$ (see Fig. [4.1c\)](#page-1-0), even when GIP is administered at supraphysiological concentrations.^{[41](#page-12-0)} These results indicate that patients with T2DM have a defective responsiveness to GIP. Genetic factors may underlie this effect, as first-degree relatives of patients with T2DM have diminished GIP-induced insulin secretion compared to normal patients.¹²⁷ While a conclusive explanation regarding the loss of the insulinotropic activity of GIP in T2DM has not been determined, some evidence indicates that GIP receptor downregulation and desensitization may be responsible.^{[128,](#page-15-9)[129](#page-15-10)}

GLP-1 in Type 2 Diabetes

Unlike GIP, GLP-1 secretion has been demonstrated to be deficient in patients with T2DM.^{121,[130](#page-15-11)} Whether this defect is a primary causative factor in the pathogenesis of diabetes or a secondary effect has not been conclusively determined; however, studies of identical twins in which only one twin has T2DM have demonstrated that GLP-1

secretion is impaired only in the sibling with diabetes.^{[131](#page-15-12)} This result suggests that GLP-1 secretion deficits are secondary to the development of T2DM.

While GLP-1 secretion is abnormal in patients with T2DM, cellular responsiveness to GLP-1 is not diminished⁴¹ (see Fig. [4.1c\)](#page-1-0). Thus, unlike with GIP, therapeutic replacement of GLP-1 holds promise for pharmacologic development. A number of proof-of-concept studies demonstrated the therapeutic potential of GLP-1 in patients with T2DM. First, GLP-1 infusion consistently has been shown to induce insulin release.^{[41,](#page-12-0)[46](#page-12-10)} Second, GLP-1 maintained its effects on gastric emptying and glucagon release in patients with T2DM.^{41,[59,](#page-12-25)[132](#page-15-13)} The glucoregulatory outcomes of GLP-1 infusion have also been investigated. Acute infusion studies (leading to pharmacological plasma concentrations of GLP-1) have demonstrated beneficial effects on both fasting and post-prandial blood glucose concentrations.^{[45,](#page-12-26)[46,](#page-12-10)[132](#page-15-13)} Longer-term experiments have shown normalized blood glucose, improved hemoglobin A1C (A1C), and body weight loss.[71,](#page-13-8)[133–](#page-15-14)[136](#page-15-15)

While early GLP-1 infusion studies conclusively demonstrated the potential for GLP-1-based therapy for T2DM, the pharmacotherapeutic value of GLP-1 is significantly limited by its rapid degradation by the enzyme DPP-4. As described earlier, the half-life of GLP-1 in circulation is approximately 2 min. As a result, the benefits of GLP-1 therapy would only be possible with continuous infusion.

Leveraging the Glucoregulatory Effects of GLP-1

In response to this important clinical challenge, the GLP-1 signaling pathway has been leveraged by two distinct pharmacologic approaches. The first approach involves utilizing peptides that have glucoregulatory effects similar to GLP-1 itself, but are resistant to degradation by DPP-4. These peptides have been termed "incretin mimetics." The second approach involves utilizing a variety of small molecules to inhibit the enzymatic activity of DPP-4, thereby increasing endogenous concentrations of GLP-1. These small molecules have been termed "DPP-4 inhibitors."

Incretin Mimetics

Exenatide

At present, exenatide is the only incretin mimetic which has been approved by the US Food and Drug Administration [FDA] and European Medicines Agency [EMEA]. Liraglutide has been approved by the EMEA, but was under review by the FDA at the time of publication of this book. The vast majority of published clinical data on incretin mimetics have focused on exenatide; consequently, the bulk of the description of incretin mimetics presented here will focus on exenatide.

Exenatide is a synthetic version of exendin-4 (not to be confused with exendin 9-39, a GLP-1 antagonist), a peptide first identified and isolated from the salivary secretions of the Gila Monster (*Heloderma suspectum*)[.137](#page-15-16) Exenatide shares approximately 50% sequence identity with human GLP-1 and binds to the mammalian GLP-1 receptor; $137-139$ $137-139$ however, the unique amino acid sequence renders exenatide resistant to degradation from DPP-4, resulting in detectable concentrations persisting for more than 10 h in the circulation after a single subcutaneous $dose.¹⁴⁰$ $dose.¹⁴⁰$ $dose.¹⁴⁰$

Exenatide shares many of the same glucoregulatory actions as GLP-1. In both human and animal studies, exenatide enhanced glucose-dependent insulin secretion, suppressed the inappropriate glucagon secretion seen in T2DM in a glucose-dependent manner, and slowed gastric emptying.[141–](#page-15-19)[145](#page-16-0) These effects contribute to a lowering of both fasting and postprandial glucose.^{[140,](#page-15-18)[143](#page-16-1)} Importantly, though inappropriate glucagon secretion during hyperglycemia is suppressed by exenatide, hypoglycemia-induced glucagon secretion is unimpaired.¹⁴⁶ Like GLP-1, intravenous infusion of exenatide also has been shown to acutely improve β-cell function, as measured by the restoration of first- and second-phase insulin secretion in patients with T2DM following intravenous glucose administration.^{[147](#page-16-3)} In this study, exenatide rapidly restored normal glucose-stimulated insulin secretion in patients with T2DM. Both in vivo animal models and human clinical trials have demonstrated that exenatide

reduces food intake and body weight, reproducing the effects of GLP-1 infusion in clinical studies.^{[145,](#page-16-0)[148–](#page-16-4)[150](#page-16-5)} Lastly, exenatide has been shown to promote β-cell proliferation and neogenesis in animal models[.75,](#page-13-23)[80,](#page-13-13)[151,](#page-16-6)[152](#page-16-7)

The safety and efficacy of exenatide have been investigated in long-term pivotal clinical trials. Patients with T2DM who were inadequately controlled with metformin and/or a sulfonylurea were treated with placebo, 5 or 10 μg exenatide twice daily (BID).^{[153–](#page-16-8)[156](#page-16-9)} After 30 weeks of exposure to exenatide, significant changes from baseline in mean A1C and body weight were reported. Exenatide 10 μg was associated with A1C changes from baseline of approximately -1% , with average body weight changes from baseline of -2 to -3 kg.^{[153–](#page-16-8)[156](#page-16-9)} In open-label extensions of these placebo-controlled trials, patients received 10 μ g exenatide BID for up to 3 years. In the 3-year completer population, mean A1C change from baseline of -1.0% was reported,¹⁵⁷ demonstrating sustained glycemic control. Body weight loss was progressive, with an average change of –5.3 kg in the completer population after 3 years (see Fig. [4.3\)](#page-8-0). In these open-label extension studies, improvements in several cardiovascular (CV) risk factors also were reported after 82 weeks of exenatide treatment. Plasma triglycerides (–39 mg/dl), diastolic blood pressure (–2.7 mmHg), and C-reactive protein (–44%) were all decreased, while plasma HDL cholesterol $(+4.6 \text{ mg/dl})$ was increased.¹⁵⁸

Fig. 4.3 Independent clinical trials of incretin-based therapies. (**a**, **b**) In a 3-year open-label extension of placebo-controlled clinical trials, exenatide treatment led to sustained improvements in A1C (**a**) and progressive weight loss (**b**). Data from Klonoff et al[.157.](#page-16-10) (**c**) In an independent trial with a distinct study design, sitagliptin therapy led to improvements in A1C over 24 weeks. Data from Charbonnel et al.¹⁷⁸. All data points, Mean \pm SE, Baselines, Mean \pm SD

Exenatide therapy is associated with gastrointestinal side effects. In the three large placebo-controlled trials, nausea, mostly transient, was reported by 41–45% of patients treated with exenatide, compared to approximately 18% in patients receiving placebo.^{[154](#page-16-12)[–156,](#page-16-9)[159](#page-16-13)} Most nausea were mild to moderate and declined over the duration of the trial, while severe nausea was uncommon (occurring in less than 5% of subjects).^{154–[156](#page-16-9)[,159](#page-16-13)} Importantly, exenatide-associated reductions in body weight have been shown to be independent of nausea.¹⁵⁸

Because the insulinotropic effects of the GLP-1 pathway are glucose-dependent, exenatide should not have an intrinsic risk for hypoglycemia. Indeed, the risk of hypoglycemia was not increased when exenatide was administered on a background of metformin in patients with T2DM.¹⁵⁵ Moreover, compared to insulin glargine and metformin, the risks of both overall and nocturnal hypoglycemia in patients with T2DM treated with exenatide and metformin were reduced despite similar improvements in A1C.¹⁶⁰ When exenatide was administered to patients also taking a sulfonylurea, however, the risk of mild-to-moderate hypoglycemia was increased.^{154,[156](#page-16-9)} This effect is not unexpected, given the aforementioned ability of sulfonylureas to uncouple the glucosedependence of GLP-1 agonism. Hypoglycemia risk can be mitigated by decreasing the dose of sulfonylurea at the time of exenatide treatment initiation[.156](#page-16-9)

A once weekly formulation of exenatide is currently in late-phase development. In a 15-week placebocontrolled study in patients with T2DM, a 2.0 mg/week dose (*n* = 15) exerted a potent effect on hemoglobin A1C $(-1.7%)$ and a robust effect on weight (-3.8 kg) .¹⁶¹ This study suggests that once weekly exenatide may provide 24-h glycemic control with reduction in body weight. Larger long-term Phase 3 clinical trials are currently underway.

Liraglutide

Liraglutide is an acylated analog of GLP-1 currently in Phase 3 of clinical development. By binding to serum albumin, the half-life of liraglutide is increased to approximately 13 h in circulation, allowing for once-daily

injections in patients with T2DM.¹⁶² In trials published to date, liraglutide has been shown to induce glucosedependent insulin secretion, reduce glucagon secretion, improve fasting and postprandial plasma glucose, and slow gastric emptying in patients with T2DM.^{163[–168](#page-17-1)} In multi-dose studies lasting 12–14 weeks, liraglutide has been reported to reduce A1C (–1.5% at highest dose) and lower body weight (–3 kg at highest dose) in patients with T2DM.^{[169](#page-17-2)} Adverse events have been reported to be primarily gastrointestinal in nature.^{[165,](#page-16-19)[167,](#page-16-20)[168,](#page-17-1)[170](#page-17-3)}

DPP-4 Inhibitors

As described above, GLP-1 undergoes rapid degradation in the circulation by DPP-4, limiting the therapeutic potential of exogenously administered GLP-1. However, the half-life of endogenous GLP-1 (∼2 min) can be increased by pharmacologically inhibiting the DPP-4 enzyme.^{[171](#page-17-4)} Several DPP-4 inhibitors have been developed for the treatment of patients with T2DM.^{[172,](#page-17-5)[173](#page-17-6)} These small molecule agents inhibit the proteolytic cleavage of GLP-1 as well as a number of other peptides that are natural substrates for DPP-4 cleavage. These include GIP as well as a wide range of other peptides including chemokines, glucagon secretin family hormones, pancreatic polypeptide proteins, and neuropeptides. A membrane-bound form of DPP-4, also known as CD26, plays a role in cell signaling and is involved in immune function, ion transport, the regulation of extracellular matrix binding, and cell–cell signaling.¹⁷⁴ The functional effect of inhibiting cleavage of these other peptides in unclear at this time. Two DPP-4 inhibitors, sitagliptin and vildagliptin, have a substantial amount of published clinical data available, and are discussed here.

Sitagliptin

Sitagliptin is the first DPP-4 inhibitor to be approved by regulatory authorities for the treatment of T2DM. Sitagliptin treatment results in an approximately 80% inhibition of DPP-4 activity in the circulation, leading to a 2-fold increase in the plasma concentration of postprandial GLP-1 in healthy human subjects.¹⁷⁵ Following an oral glucose tolerance test in patients with T2DM, sitagliptin increased the active form of GLP-1, as well as insulin and C-peptide, while reducing plasma glucose and glucagon concentrations.^{[176](#page-17-9)} Sitagliptin has not been shown to affect gastric emptying or food intake. To date, there are no published data assessing phasic insulin secretion during treatment with DPP-4 inhibitors.

In 24-week clinical trials, patients with T2DM who were unable to achieve adequate glycemic control with metformin, glimepiride, pioglitazone, or diet and exercise experienced significant improvements in A1C (–0.7 to -0.8% , placebo corrected) and fasting plasma glucose with sitagliptin treatment^{[177–](#page-17-10)[181](#page-17-11)} (see Fig. [4.3\)](#page-8-0). Body weight was unchanged in these trials. Unlike therapy with incretin mimetics, such as exenatide, which leads to weight loss, administration of DPP-4 inhibitors are not associated with weight reductions. This difference may be explained by the relatively modest increases in postprandial GLP-1 concentrations induced by DPP-4 inhibitors compared with larger pharmacological increases in GLP-1-receptor agonism induced by incretin mimetics. Thus, the relative effect at the GLP-1 receptor may be higher following treatment with incretin mimetics. In a longterm comparator trial, sitagliptin demonstrated non-inferiority versus the sulfonylurea glipizide over 52 weeks in patients with T2DM unable to achieve adequate glycemic control with metformin alone. Sitagliptin treatment was associated with neutral effects on body weight and a lower incidence of hypoglycemia compared to glipizide treatment[.182](#page-17-12) In the clinical development of sitagliptin, the most commonly reported adverse events were nasopharyngitis, upper respiratory tract infection, and headache.^{[178–](#page-17-0)[180](#page-17-13)} As expected, when sitagliptin is coadministered with a sulfonylurea, the incidence of hypoglycemia is increased.^{[181](#page-17-11)}

Vildagliptin

Vildagliptin is a DPP-4 inhibitor in Phase 3 of clinical development. In a 12-week clinical trial in patients with T2DM who were not undergoing treatment with oral antidiabetic agents, patients treated with vildagliptin experienced improvements in hemoglobin A1C, fasting plasma glucose, 4-h postprandial plasma glucose, and insulin concentrations[.173](#page-17-6) No significant changes in patient body weight were reported. In a 52-week clinical trial, patients with T2DM who were not achieving glycemic control with metformin alone reported improvements in glycemic control with vildagliptin treatment.¹⁸³ In a similar 24-week clinical study, vildagliptin improved hemoglobin A1C and fasting plasma glucose, in association with neutral effects on body weight.¹⁸⁴ When examined in a monotherapy setting, 24 weeks of vildagliptin treatment was reported to improve A1C and fasting plasma glucose, with neutral effects on body weight;¹⁸⁵ however, vildagliptin failed to demonstrate non-inferiority compared to metformin in this study. The most frequently reported adverse events in vildagliptin clinical studies were headache, upper respiratory tract infection, nasopharyngitis, and symptomatic mild hypoglycemia[.173,](#page-17-6)[185](#page-17-16)

Conclusion

The discovery of the incretin effect in the 1960s has led to an enhanced understanding of the importance of gut hormones in normal glucose homeostasis. Notably, the finding that the incretin effect is diminished or absent in T2DM has led to the development of several novel therapeutic options for patients with T2DM. Two distinct classes of medications – incretin mimetics and DPP-4 inhibitors – leverage the incretin pathway to improve blood glucose control. Both classes of compounds have been shown to increase insulin secretion and reduce the paradoxically elevated glucagon concentrations in patients with diabetes. Additional effects demonstrated with incretin mimetics such as exenatide include restoration of first-phase insulin response to IV glucose, slowing of gastric emptying, and reduction of food intake, often resulting in weight loss (Table [4.1\)](#page-10-6). Diabetes treatments based on the multiple pharmacologic effects of incretin hormones can address the multihormonal and multifaceted nature of T2DM and help overcome the clinical barriers present with many traditional therapies.

	Incretin mimetics	DPP-4 inhibitors
Mechanism of action		
Increase meal-stimulated insulin secretion		
Restore first-phase insulin response		
Suppression of inappropriate postprandial glucagon secretion		
Slow gastric emptying		
Reduce food intake		
Clinical results		
Improved glycemic control (A1C)		
Improved postprandial glucose control		
Body weight reduction		

Table 4.1 Mechanisms of action and clinical results of incretin mimetics and DPP-4 inhibitors

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