

# Immunogenicity and Immunodominance in Antibody Responses



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**Abstract** A large number of vaccines exist that control many of the most important infectious diseases. Despite these successes, there remain many pathogens without effective prophylactic vaccines. Notwithstanding strong difference in the biology of these infectious agents, there exist common problems in vaccine design. Many infectious agents have highly variable surface antigens and/or unusually high antibody levels are required for protection. Such high variability may be addressed by using conserved epitopes and these are, however, usually difficult to display with the right conformation in an immunogenic fashion. Exceptionally high antibody titers may be achieved using life vectors or virus-like display of the epitopes. Hence, an important goal in modern vaccinology is to induce high antibody responses against fragile antigens.

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# 1 Introduction

The most successful prophylactic vaccines are based on the induction of neutralizing antibodies. Indeed, almost all currently used vaccines have neutralizing antibodies as their primary protective mechanism. These vaccines profit from two important features of the pathogens they are designed to protect against: (1) low amounts of specific antibodies are usually sufficient for protection and (2) the epitopes recognized by neutralizing antibodies are well exposed and stable. Hence, the prototype antiviral vaccine consists of chemically inactivated viral particles and the prototype antibacterial vaccine is either a chemically inactivated toxin or bacterial carbohydrates coupled to a protein carrier. In many instances, such vaccines induce low levels of specific antibodies, which, however, suffice to protect against infection. Influenza virus may be a point in case, where an antiviral titer of 1:64 (measured by hemagglutination inhibition) is considered to be protective.

The most searched for vaccines are directed against two major types of pathogens, namely, the recently emerging ones and those where the induction of protective antibody levels is difficult (Table 1). The first class has prominent members such as Ebola, MERS, SARS, and emerging influenza strains. The difficulty in making vaccines against these pathogens are not because it would be technically challenging but rather because of the stringent timelines and the often low attack rates, which renders registration studies a difficult task. It is obvious that for a vaccine that usually needs >10 years of development, a virus-like SARS, which caused a dangerous outbreak but disappeared thereafter, is a formidably difficult target. Nevertheless, the recent success with the successful registration of an Ebola vaccine has shown that such problems can be solved in face of an infectious outbreak threatening large parts of the world.

This review will focus on vaccines against pathogens with demanding immunological properties and discuss some of the challenges and possible strategies to cope with them.

**Table 1** The two main groups of pathogens with remaining difficulties for development of protective vaccines

	Two types of pathogens difficult to target	
	Complex Biology	Emerging Diseases
Characteristics of antibodies	Generation of neutralizing Ab difficult High amounts of Ab needed Ab may not be protective	Generation of neutralizing Ab simple Low amounts of Ab needed Ab alone protective
Timelines	Not critical	Critical
Examples	Tuberculosis, malaria, HIV	Ebola, MERS, Zika, SARS

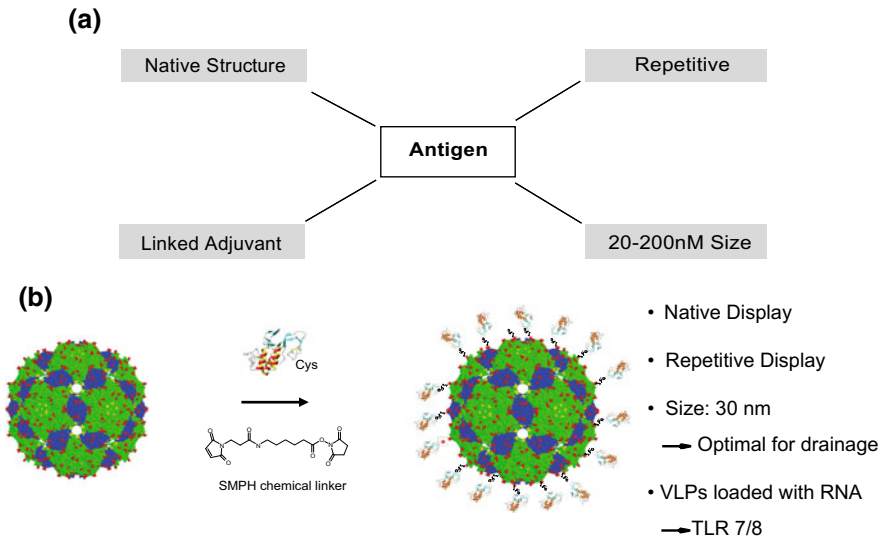
## 2 Optimizing Antibody Responses

### 2.1 General Considerations

Two important factors have to be taken into consideration for antigen display. Namely, display of native, conformational epitopes with an ability to induce functionally relevant, protective antibodies as well as presenting epitopes in a maximally immunogenic fashion.

Viruses are well known for their ability to induce strong and long-lasting antibody response. The reasons and mechanism causing the strong immunogenicity of viruses have been elucidated in recent years and three key parameters have been identified (Bachmann and Jennings 2010; Jennings and Bachmann 2007): (1) the surface of most viruses is highly repetitive and exhibits an ordered and organized surface structure; (2) viruses are nanoparticles and exhibit the right size to freely reach B cell follicles via lymph in absence of cellular transport for interaction with B cells in a native form; and (3) they are able to trigger toll-like and other innate receptors and activate the complement cascade. This will enhance magnitude and duration of IgG responses as well as cause isotype switching to more protective subclasses.

Thus, viruses and viral immunology may serve as a paradigm for vaccine design and formulation. In general terms, novel vaccines against pathogens with complex immunology should display native epitopes in a highly repetitive fashion on a particulate scaffold, which should be linked to potent adjuvants such as TLR ligands. This will allow induction of immune responses of appropriate magnitude, quality, and specificity (Fig. 1a).



**Fig. 1** Four critical parameters for designing vaccines against complex antigens. **a** general outline of the four critical features. **b** outline of one specific solution for vaccine design. Antigens are displayed on RNA-loaded VLPs by means of chemical conjugation

Virus-like particles (VLPs) represent an interesting vaccine design platform that is able to combine many of the elements above into a single system making them extremely potent inducers of neutralizing antibody responses. Indeed, during the last 30 years, vaccines based on VLPs have been the subject of extensive preclinical and clinical research. Several highly efficacious VLP-based vaccines for prevention of infection with hepatitis B or human papillomaviruses are today marketed for human use and a large number of VLP-based vaccines are under development, targeting diseases as different as influenza virus (Lopez-Macias et al. 2011), malaria (Aponte et al. 2007), or Alzheimer's disease (Winblad et al. 2012). VLPs may be used to induce antibodies against the VLP itself or antigens displayed on them (Fig. 1b).

## 2.2 *Strength of the Responses*

Soluble antigens are usually very poorly immunogenic unless formulated in appropriate adjuvants and even then immunogenicity may remain too low for efficacy. It is therefore of interest to display epitopes in an inherently more immunogenic fashion. One possibility is to directly link the antigen to a molecular adjuvant, such as bacterial DNA, an option that will be discussed in the next section. Multimeric display is an additional powerful way to enhance antibody responses. Viral particles usually exhibit highly repetitive and quasi-crystalline surfaces and are known for their ability to induce rapid and strong antibody responses (Bachmann and Zinkernagel 1997). The explanation for the high immunogenicity of repetitive surfaces is as follows. Most viral genomes, in particular RNA viruses, are small and encode relatively few gene products which limit the number of available structural proteins. Therefore, viruses are forced to use multiple copies of a few proteins to assemble their envelopes and cores. As a consequence, viral surfaces consist of ordered and repeated subunits forming a densely packed quasi-crystalline and organized surface. Coevolution of viruses and hosts has resulted in an adaptive immune system rapidly detecting, discriminating, and responding to these repeated and ordered structures found on viral surfaces (Bachmann and Zinkernagel 1996). Hence, antigen organization and repetitiveness is a geometric pathogen-associated molecular pattern. At the cellular level, organized epitopes on the viral surface cross-link specific B cell receptors (BCR) on the surface of B cells. Cross-linking of the BCR resulting in stabilizing BCR-signaling micro-domains constitutes a strong activation signal for B cells and can cause prompt T-independent IgM response (Bachmann et al. 1995; Thyagarajan et al. 2003). Viral proteins expressed in an ordered and repetitive fashion are considerably more immunogenic than in soluble form and can even overcome B cell tolerance (Bachmann et al. 1993; Justewicz et al. 1995; Schodel et al. 1993). The optimal spacing of epitopes for activation of B cells has been analyzed using haptenated polymers and the immunon was defined as 20–25 epitopes spaced by 5–10 nm leading to optimal geometric characteristics for B cell activation (Dintzis et al. 1982). Immunogenic epitopes displayed on viruses and VLPs have similar numbers and distance (Jegerlehner et al. 2002).

There are multiple ways to render antigens highly organized. Some viral proteins spontaneously assemble into VLPs upon expression, constituting a simple way to render the proteins highly repetitive. Indeed, the successful vaccines against hepatitis B and human papillomavirus (HPV) are examples of proteins spontaneously assembling into VLPs. VLPs may be used as scaffolds for antigen displays and genetic fusion of epitopes to the surface of VLPs is an elegant way to give the displayed antigens a “viral fingerprint” by rendering them highly organized (Rhee and Barouch 2009). Although often successful, the genetic fusion approach whereby an antigen is genetically linked to a VLP may limit the size and nature of the epitopes display as complex epitopes often are not compatible with correct assembly of the VLPs. As an alternative, we have developed a method of chemical conjugation, whereby VLPs and antigens are expressed separately and brought together by means of chemical cross-linking. Clinical proof of concept has been achieved for a number of vaccine candidates, including a vaccine against hypertension (Tissot et al. 2008), smoking (Cornuz et al. 2008), allergy (Kundig et al. 2006), and influenza virus (Low et al. 2014). A vaccine against Alzheimer’s disease based on a peptide displayed on VLPs is currently undergoing late-stage clinical development (Winblad et al. 2012). An additional method is the SpyCatcher system, whereby autocatalytic peptides are used that bind to each other and undergo covalent linkage (Zakeri et al. 2012; Thrane et al. 2016; Brune et al. 2016). This technology has proven highly efficient at least at lab scale.

There are a number of additional platforms that allow rendering antigens of choice multivalent. Linking antigens to transferrin has been used to render the hemagglutinin of influenza virus highly immunogenic (Santiago et al. 2012). Fusion of antigens to the coiled structure of complement component 3d (C3d) is another way to render antigens that are least pentavalent and to enhance the immune response to various foreign and self-antigens (Toapanta and Ross 2006).

Size may also be important for induction of potent B cell responses. Small particles with the dimensions of viruses and VLPs efficiently drain or diffuse to lymph nodes from the site of injection as they can directly enter lymph vessels without the need of cellular transport. Thus, VLPs can enter secondary lymphoid organs and interact directly with B cells to trigger antibody responses. In contrast, larger particles with size >200–500 nm cannot enter the lymphatic system and need to be transported by dendritic cells (Manolova et al. 2008). The fact that active cellular transport by dendritic cells is not required to deliver particles with viral size to B cells means that conformation-dependent epitopes can be presented in a non-processed form and optimal B cell responses may be induced.

### ***2.3 Native Epitope Display***

As discussed above, most currently used vaccines are against pathogens for which induction of neutralizing and protective antibodies is relatively simple. As an example, poliovirus displays neutralizing epitopes in a rigid and stable form and

attenuated as well as inactivated viruses therefore readily induce neutralizing antibodies. As discussed previously (Bachmann and Zinkernagel 1996), this probably reflects the situation that most viruses, in particular cytolitic viruses, cannot establish a stable long-term relationship with the human host in the absence of rapid induction of neutralizing antibodies protecting the host from lethal infection. This is different for viruses such as HIV, where epitopes with the ability to induce antibodies neutralizing a large number of different viral strains are very unstable and only occur transiently during cellular infection (Karlsson Hedestam et al. 2008). Furthermore, other protective epitopes may be shielded away by, e.g., carbohydrates (e.g., HIV) or protein domains as, e.g., the malaria MSP-1 protein (Guevara Patino et al. 1997) or the influenza HA stem (Justewicz et al. 1995). Display of such fragile or naturally not exposed epitopes requires careful design going much further than simply using linear peptides as epitope mimic [see, e.g., (Timmerman et al. 2009)]. In addition, testing of large numbers of differently truncated proteins or protein domains may be required to find a version exposing naturally hidden epitopes in a conformationally appropriate way. In both cases, trial and error remains the dominant path forward. Even for a small molecule like nicotine, a large number of different molecules may need to be tested for induction of optimal antibodies (Pryde et al. 2013). In addition, one should keep in mind that binding to a synthetic epitope by a neutralizing antibody does not imply that the same epitope is able to induce such neutralizing antibodies. Specifically, a specific neutralizing antibody may induce a subtle conformational change in the synthetic peptide mimic, which allows optimal binding. Using this same epitope for vaccination may therefore result in a wide range of different antibody specificities with only a small fraction exhibiting the desired neutralizing activity. For this reason, not many vaccine candidates based on such epitopes have successfully entered clinical development.

An additional parameter to consider is the adjuvants used. Alum has, e.g., the tendency to denature fragile antigens resulting in enhanced ELISA titers which not necessarily correlate with enhanced neutralizing antibody responses (Skibinski et al. 2013). We have recently compared Alum to microcrystalline tyrosine (MCT) and found that antibody responses were higher with Alum if assessed by ELISA but the protective capacity of the induced antibodies was higher if MCT was used as the adjuvant (Cabral-Miranda et al. 2017). Native display of antigens on immunogenic carriers, which do not need an adjuvant, may also be an attractive technology to induce potent cellular and humoral responses.

## 2.4 *Duration of the Responses*

The innate immune system has been known for a long time to enhance adaptive immunity. Indeed, both B and T cell responses profit from co-engagement of the innate immune system. B cell responses may be influenced directly via B-cell-intrinsic TLR signaling or indirectly via induction of  $T_{FH}$  cells by activated

dendritic cells (Hou et al. 2011; Jegerlehner et al. 2007). Even Alum, an adjuvant not necessarily associated with specific activation of the innate immune system, has been shown to activate the inflammasome linking Alum to the production of IL-1 (Eisenbarth et al. 2008). The importance of this activation for the adjuvants effect remains, however, controversial (Spreafico et al. 2010). Direct stimulation of B cells by specific delivery of TLR ligands is probably the most potent way to employ the adjuvants. Indeed, conjugation of CpGs to proteins has been shown to be more potent than simple mixing (Bourquin et al. 2008; Eckl-Dorna and Batista 2009; Storni et al. 2004). Furthermore, packaging of RNA or CpGs into viral particles induces potent IgG2a as well as systemic IgA responses in the mouse (Bessa et al. 2008; Jegerlehner et al. 2007). Such particles also induce potent mucosal responses if applied intranasally. Hence, conjugation or packaging of immunostimulatory sequences seems the most potent way to employing them as adjuvants.

Repetitive and organized structures harness the innate immune system in a second way (Bachmann and Jennings 2010). This is due to the fact that repetitive structures are preferentially recognized by components of the complement system and natural antibodies. This results in enhanced phagocytosis and antigen processing, increasing induction of T cell help (Fanger et al. 1996; Matsushita and Fujita 2001). As induction of GC reactions, memory B cells and long-lived plasma cells are Th cell dependent, and this may result in overall increased antibody responses (Bachmann and Zinkernagel 1997). An additional and equally important consequence of binding natural IgM antibodies and complement has enhanced deposition of repetitive structures such as viral particles on FDCs in germinal centers. Indeed, B cells have been shown to bind viral particles to their surface complement receptors CD21 and transport them to FDCs within B cell follicles, driving enhanced GC reactions (Link et al. 2012; Phan et al. 2007). Repetitive antigens may also be transported bound to low-affinity BCRs on B cells for deposition on FDCs (Bessa et al. 2012). In addition, CD21 engagement by complement components bound to repetitive surfaces enhances expression of the plasma cell-specific transcription factors blimp and XBP-1, enabling generation of long-lived plasma cells and prolonging IgG responses (Gatto et al. 2005). Furthermore, complement bound to VLPs reduces the threshold required for B cell activation (Jegerlehner et al. 2002).

### 3 A Case Study: Influenza Virus

Influenza viruses are RNA viruses and are divided into three different types, namely, influenza A, B, and C viruses. Types B and C are almost exclusively found in humans, whereas influenza A virus circulates in a large variety of warm-blooded animals including birds, pigs, and humans. Influenza A virus is further classified by the antigenic characterization of two major surface glycoproteins, hemagglutinin (HA), and neuraminidase (NA) (Krammer et al. 2015). HA is a lectin responsible for the binding of the virus to target cells via sialic acids, whereas NA is involved in

the delivery of the virus from the cells by cleaving sialic acid from the infected cells to allow release of infectious particles. These two proteins are used to classify influenza virus into different subtypes. Up to now 18 different HA and 9 different NA have been identified and the first three HA, H1, H2, H3 are commonly found in humans. HA is a homotrimer consisting of a globular head, HA1 that contains the major antigenic sites, and a stalk/stem region, HA2, that anchors the protein in the virus membrane and contains the viral fusion machinery. While HA1 is highly variable, HA2 is very well conserved. Since HA is the protein involved in the entry process, it is also the primary target for neutralizing antibodies elicited by conventional influenza vaccines. Most of these HA-specific neutralizing antibodies are, however, strain specific because they bind to HA1 whose antigenic sites are subjected to antigenic drift in circulating strains. This antigenic drift makes it necessary to generate new vaccines almost every year and the duration of protection is short. Furthermore, these vaccines do not protect against novel pandemic strains as once a novel pandemic virus is identified it takes several months to develop the new vaccine and some more weeks are required for induction of protective immune responses. Induction of long-term protective immunity not affected by antigenic drift is therefore a major goal in vaccine development. Displaying HA1 on VLPs has proven successful to induce protective antibody responses in mice (Jegerlehner et al. 2013) and humans (Low et al. 2014). This type of vaccine, however, suffers from the same susceptibility to antigenic drift as the classical vaccines. A vaccine targeting either the stalk domain (HA2) or the extracellular domain of the M2 ion channel protein, which is also highly conserved, would be promising targets to develop a universal influenza vaccine and overcome the conserved drift of seasonal influenza virus vaccines. Recent studies have demonstrated the feasibility of using stalk domain-based vaccines. Antibodies against stalk domain have been shown to bind to either group 1 or group 2 hemagglutinins (Sui et al. 2009), both (Corti et al. 2011; Ekiert et al. 2009; Wyrzucki et al. 2015), or even viruses for both the A and B genera (Dreyfus et al. 2012). Using recombinant DNA technology, HA constructs devoid of the highly immunogenic head domain were expressed on the cell surfaces. Co-expression of an HIV Gag-based construct with headless HA constructs lead to the production of chimeric headless HLA virus-like particles (VLPs) (Smith et al. 2013). Immunization of mice with these headless HA provided protection with moderate body weight loss against a lethal homologous viral challenge. Moreover, HA headless VLP immune sera showed reactivity to heterologous strains suggesting that vaccination with headless HA might induce protection against different influenza strains. Another study using chimeric HA constructs showed that by repeated immunization of mice, a broad protection against a variety of influenza virus strains could be elicited. However, up to now no vaccines have been developed that are able to induce protective anti-stalk antibodies regardless of HA subtypes. The extracellular domain of M2 is a poorly immunogenic and different approaches were tested to link M2 to carrier molecules or/and to use potent adjuvants to increase immunogenicity (Kang et al. 2012; Song et al. 2011). In particular, a virus-like particle (VLP)-based vaccine targeting M2 has been described to protect mice from lethal influenza infection (Jegerlehner et al.



2004). Further studies analyzing M2-specific antibodies showed that in contrast to conventional neutralizing antiviral antibodies, the M2 antibodies rely on their Fc part that binds to Fc receptor and to complement to be protective. Induction of potent IgG subclasses, such as IgG2a in the mouse, is therefore critical for this type of vaccine (Schmitz et al. 2012). Further studies investigating the protective potential of M2-specific antibodies in humans are, however, needed to evaluate the potential efficacy of this candidate antigen as universal vaccine.

## 4 A Case Study: HIV

The trimeric envelope glycoprotein of HIV is a complex protein that is highly glycosylated. The protein is cleaved from a 160 kD precursor protein into gp41 and gp120 which exists as a trimer. This trimer is, however, rather unstable if expressed recombinantly. Furthermore, the trimeric form of gp120/gp41 can adopt different conformational states (conformers), which may induce different levels of neutralizing antibodies. Chemical stabilization of individual conformers may allow focusing of the response to broadly neutralizing antibodies (Schiffner et al. 2016).

There are three types of gp120-specific antibodies, namely, binding but not neutralizing antibodies, strain-specific neutralizing antibodies, and broadly neutralizing antibodies. Non-neutralizing antibodies recognize epitopes primarily exposed on non-native gp120. This class of antibodies is very abundant, as the gp120 trimer is inherently unstable. The strain-specific antibodies recognize epitopes on the native trimer (Burton and Mascola 2015), and these epitopes are, however, very variable and show great sequence variability. As these epitopes are usually well exposed, strain-specific neutralizing antibodies are also abundant. The broadly neutralizing antibodies also recognize epitopes on the native trimer (Burton and Mascola 2015), which are usually buried within a sea of variability and often not well accessible. The epitopes recognized by these most potent antibodies may be categorized into four classes: the CD4-binding site, two additional sites on the gp120, and a single site on gp41 (Sattentau 2014). It is the goal of all HIV vaccine strategies to induce such broadly neutralizing antibodies.

These features of HIV-GP pose a whole array of problems. First, the carbohydrates shield the protein effectively from the induction of neutralizing antibodies and serve as “dummy” antigens, distracting the immune system and preventing induction of more protective antibodies. Removal of glycosylation sites on proteins designed for vaccination may overcome this problem at least in part. Cleavage of the mature GP160 into GP41 and GP120 results in an unstable protein which readily falls apart. It has recently been shown that the complex can be stabilized by linking the gp41 and gp120 proteins by a disulphide bond (Sanders et al. 2013), resulting in the induction of more broadly neutralizing antibodies upon immunization with these modified trimers. The most difficult feature of the glycoprotein is the various conformational states the trimer may adopt. Attempts to stabilize the closed structure using chemical cross-linkers look promising but still does not

easily allow induction of broadly neutralizing antibodies (Schiffner et al. 2016). An additional hurdle for induction of strong neutralizing antibody responses is the similarity of two important neutralizing epitopes with self-molecules, which renders induction of antibody responses difficult due to B cell tolerance (Yang et al. 2013).

Display of functional gp41/gp120 spikes displaying broadly neutralizing epitopes in an immunogenic manner is therefore the goal of current vaccine strategies. Display of separately expressed and stabilized trimers on VLPs by means of, e.g., chemical conjugation may be one way to achieve this goal. As highly repetitive arrays of antigens may overcome B cell tolerance (Bachmann et al. 1993), and this may additionally solve the issue with B cell repertoire tolerized by the cross-reactive self-antigens (Schiller and Chackerian 2014). Alternatively, the stabilized spikes may be formulated in an adjuvant that enhances immune responses without impairing the structure of the fragile epitopes.

Hence, designing an immunogen that displays epitopes of HIV-GP that allow induction of broadly neutralizing antibodies remains a formidable task but appears more feasible now than a few years ago.

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