# SOCS1: Regulator of T Cells in Autoimmunity and Cancer

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Abstract SOCS1 is a negative feedback regulator of cytokine and growth factor receptor signaling, and plays an indispensable role in attenuating interferon gamma signaling. Studies on SOCS1-deficient mice have established a crucial role for SOCS1 in regulating CD8<sup>+</sup> T cell homeostasis. In the thymus, SOCS1 prevents thymocytes that had failed positive selection from surviving and expanding, ensures negative selection and prevents inappropriate developmental skewing toward the CD8 lineage. In the periphery, SOCS1 not only controls production of T cell stimulatory cytokines but also attenuates the sensitivity of  $CD8<sup>+</sup>$  T cells to synergistic cytokine stimulation and antigen non-specific activation. As cytokine stimulation of  $CD8<sup>+</sup>$  T lymphocytes increases their sensitivity to low affinity TCR ligands, SOCS1 likely contributes to peripheral T cell tolerance by putting brakes on aberrant T cell activation driven by inflammatory cytokines. In addition, SOCS1 is critical to maintain the stability of T regulatory cells and control their plasticity to become pathogenic Th17 and Th1 cells under the harmful influence of inflammatory cytokines. SOCS1 also regulates T cell activation by dendritic cells via modulating their generation, maturation, antigen presentation, costimulatory signaling, and cytokine production. The above control mechanisms of SOCS1 on T cells, T regulatory cells and dendritic cells collectively contribute to immunological tolerance and prevent autoimmune manifestation. On other hand, silencing SOCS1 in dendritic cells or  $CD8<sup>+</sup>$  T cells stimulates efficient antitumor immunity. Thus, even though SOCS1 is not a cell surface checkpoint inhibitor, its regulatory functions on T cell responses qualify SOCS1as a "non-classical" checkpoint blocker. SOCS1 also functions as a tumor suppressor in cancer cells by regulating oncogenic signal transduction pathways. The loss of SOCS1 expression observed in many tumors may have an impact on classical checkpoint pathways. The potential to exploit SOCS1 to treat inflammatory/autoimmune diseases and elicit antitumor immunity is discussed.

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### 1 Introduction

T lymphocytes play a central role in immune protection against pathogens and provide immune surveillance against neoplastic cells from growing into life-threatening tumors. They also play a key role in the pathogenesis of autoimmune diseases. Physiologic and pathogenic activations of T cells require two essential signals. Signal 1 is delivered via the T cell antigen receptor (TCR) following its engagement by antigenic peptides presented by the MHC:peptide complex. However, concomitant signaling via costimulatory receptors (mainly CD28 and many others such as ICOS, CD27, 4-1BB/CD137, OX40/CD134, etc.) is also required to achieve full activation, elicit cell proliferation, and develop effector functions (Baxter and Hodgkin [2002](#page-22-0); Chen and Flies [2013](#page-23-0)). In the absence of the second signal, TCR-stimulated T cells undergo anergy, which can be prevented by IL-2, an important product of costimulatory signaling (Acuto and Michel [2003;](#page-21-0) Schwartz [2003](#page-28-0); Wells et al. [2001\)](#page-29-0). Besides these two essential signals, activated T cells invariably get additional help from inflammatory cytokines (mainly type-I interferons, IL-12 and IL-27 for CD8 T cells, and IL-1 for CD4 T cells), which provide the third signal to amplify T cell responses (Ben-Sasson et al. [2009;](#page-22-0) Curtsinger and Mescher [2010;](#page-23-0) Curtsinger et al. [2005b](#page-23-0); Haring et al. [2006;](#page-24-0) Kolumam et al. [2005](#page-25-0)). Indeed, loss of the cytokine-mediated signal could lead to impaired effector functions and induction of tolerance (Curtsinger et al. [2003,](#page-23-0) [2005a;](#page-23-0) Mescher et al. [2007](#page-26-0)). Several other cytokines including IL-2, IL-4, IL-6, IL-15, IL-17, and IL-21 also contribute to cell proliferation, differentiation, acquisition of distinct effector functions, prevention of exhaustion and generation of memory cells. Thus, cytokine signals profoundly modulate the quality, type, and magnitude of the T cell response toward pathogen-derived antigens, which also hold good for autoantigens and tumor antigens (Cox et al. [2011](#page-23-0)).

Regulating the amplitude and duration of the T cell response is essential to minimize potential collateral damage to normal tissues while eliminating harmful pathogens. This balance is achieved through several mechanisms acting at each of the three nodes of the T cell activation cascade namely, the TCR, costimulatory receptors and the cytokine receptors. These regulatory mechanisms may operate <span id="page-2-0"></span>either in a cell-autonomous manner or be modulated following interaction of activated T cells with ligands expressed on other cells. The signal 1 is negatively regulated by downmodulation of the TCR $\zeta$  chain via CBL-mediated ubiquitination as well as through protein tyrosine phosphatases and many other negative feedback regulators that impact on the various components of the TCR signaling machinery (Acuto et al. [2008](#page-21-0); Baniyash [2004;](#page-22-0) Naramura et al. [2002](#page-27-0); Stanford et al. [2012;](#page-28-0) Wang et al. [2001\)](#page-29-0). Induction of co-inhibitory receptors such as CTLA-4, PD-1, LAG3, TIM3, etc., not only attenuate costimulatory signals by competing for stimulatory ligands (B7-1/CD80, B7-2/CD86) but also inhibit various signaling pathways downstream of TCR by engaging newly induced co-inhibitory ligands such as PD-L1/CD274 that are expressed on antigen presenting cells (APC) and cancer cells (Chen and Flies [2013;](#page-23-0) Paterson et al. [2009](#page-27-0)). The third signal provided by cytokines could be regulated by several feedback negative regulatory mechanisms; for example, the one involving suppressor of cytokine signaling (SOCS) family proteins, of which CISH (CIS-1; cytokine-inducible SH2-containing protein 1) has also been recently implicated in modulating TCR signaling (Palmer et al. [2015;](#page-27-0) Palmer and Restifo [2009;](#page-27-0) Yasukawa et al. [2000](#page-29-0); Yoshimura [2013;](#page-30-0) Yoshimura et al. [2007](#page-30-0)).

The checks and balances imposed by the above control mechanisms tightly regulate the temporal sequence, amplitude, and duration of T cell activation thereby modulating the overall T cell response to ultimately benefit the host. Whereas the loss of these control mechanisms invariably leads to autoimmune pathologies, the tumor microenvironment exploits them to dampen antitumor T cell responses. Indeed, the signal 2 of T cell activation influenced by costimulatory and co-inhibitory signaling receptors, particularly CTLA4 and PD-1, is profoundly modulated in autoimmunity and in cancer, earning these molecules the label "checkpoint inhibitors", even though the other regulatory nodes in the T cell activation cascade can also function as important checkpoints to ensure appropriate and adequate T cell response. Many of the "classical" checkpoint inhibitors that impinge on the signal 2 of T cell activation cascade are reviewed elsewhere in this volume. In this review, we will focus on the role of SOCS1 as an important "non-classical" checkpoint regulator of T cell activation at multiple levels and its implications to autoimmunity and cancer.

## 2 SOCS1-Dependent Regulation of Cytokine Signaling

Three laboratories independently discovered SOCS1 in 1997 as a negative regulator of cytokine receptor signaling that inhibited the Janus kinase (JAK)-signal transducer and activator of transcription (STAT) pathway (Endo et al. [1997;](#page-23-0) Naka et al. [1997;](#page-27-0) Starr et al. [1997](#page-29-0)). SOCS1 shares structural similarity with the previously known CISH and several other newly identified proteins designated SOCS2 to SOCS7, which together constitute the SOCS family proteins (Alexander [2002;](#page-22-0) Yoshimura et al. [1995\)](#page-30-0). SOCS proteins contain a central SRC homology (SH2)



Fig. 1 Structure and functions of SOCS1. SOCS1 contains a central SH2 domain, which mediates interaction with JAK kinases, RTKs and receptor chains. The extended SH2 subdomain (ESS) and the kinase inhibitory region (KIR) are also required to block JAK kinase activity. The N-terminus of SOCS1 contains serine and proline-rich sequences, and the latter may bind SH3 domain-containing proteins. The C-terminal SOCS box includes the BC box and the Cul box, which interact with Elongins B and C, and Cullin 5, respectively. The SOCS box facilitates the assembly of the E3 ubiquitin ligase  $CRL^{SOCS1}$ , which promotes ubiquitination of many SOCS1-interacting proteins. SOCS1 harbors a nuclear localization signal (NLS) between the SH2 domain and the SOCS box that is likely involved in its nuclear functions, which are not yet well characterized. The boundaries of the various structural domains and motifs of SOCS1, and their molecular functions are indicated

domain and a conserved structural motif at the C-terminus called the SOCS box (Fig. 1), which is also shared by several proteins outside the SOCS family (Alexander [2002](#page-22-0); Kile et al. [2002](#page-25-0)). The N-terminal region of SOCS proteins is highly variable in length and largely accounts for the difference in their size that range from 198 to 579 amino acids (Fujimoto and Naka [2003](#page-24-0)). The N-terminal segment may harbor additional structural motifs such as the kinase inhibitory region (KIR) adjacent to the SH2 domain of SOCS1 and SOCS3 (Nicholson et al. [1999;](#page-27-0) Sasaki et al. [1999;](#page-28-0) Yasukawa et al. [1999](#page-29-0)), the N-terminal conserved motif (NTCR) in SOCS4 and SOCS5 (Feng et al. [2012\)](#page-24-0) or a nuclear localization signal (NLS) in SOCS6 and SOCS7 (Hwang et al. [2007;](#page-25-0) Kremer et al. [2007](#page-26-0); Martens et al. [2004\)](#page-26-0). SOCS1 also harbors a functional NLS between the SH2 domain and the SOCS box (Baetz et al. [2008](#page-22-0); Strebovsky et al. [2011](#page-29-0)).

Most SOCS proteins are induced following cytokine stimulation and inhibit further signaling in a negative feedback manner that involves the SH2 domain and

the SOCS box (Alexander [2002](#page-22-0); Trengove and Ward [2013](#page-29-0)). The SH2 domain facilitates binding to phosphorylated tyrosine residues on JAK kinases, receptor chains and signaling proteins. The SOCS box, by virtue of its ability to interact with a Cullin5, Elongin B and C, assembles an E3 ubiquitin ligase that facilitates ubiquitination of the SOCS-interacting proteins and their degradation by proteasomes (Babon et al. [2009](#page-22-0); Ilangumaran et al. [2004;](#page-25-0) Okumura et al. [2012](#page-27-0)). While most SOCS proteins attenuate cytokine signaling by promoting ubiquitination-dependent turnover of receptor chains, and presumably the associated signaling molecules, SOCS1 and SOCS3 mediate their functions mainly by inhibiting JAK kinase activity (Boyle et al. [2007;](#page-22-0) Yoshimura and Yasukawa [2012;](#page-30-0) Zhang et al. [2001\)](#page-30-0). At least a part of this selective mode of action might result from reduced affinity of SOCS1 and SOCS3 toward Cullin5 compared to other SOCS family members (Babon et al. [2009](#page-22-0)).

Structure–function studies on SOCS1 and SOCS3 have revealed the requirement of the SH2 domain, adjacent N-terminal sequence (called extended SH2 domain or ESS) and the KIR to inhibit JAK activity (Nicholson et al. [1999;](#page-27-0) Sasaki et al. [1999;](#page-28-0) Yasukawa et al. [1999](#page-29-0)). It was initially proposed that while the SH2 domain binds the phospho-Tyr residue within the activation loop of JAKs, the KIR sequence occupies the substrate-binding pocket as a pseudosubstrate, thereby inhibiting enzyme activity (Yasukawa et al. [1999\)](#page-29-0). Structural analysis by nuclear magnetic imaging of the tripartite complex formed by SOCS3, cytoplasmic domains of the IL-6 receptor subunit gp130 and the catalytic JH1 domain of JAK2 indicated that the SH2 domain interacts with gp130 while the KIR domain binds JH1 outside the substrate-binding pocket of JAK1, JAK2 and TYK2 (but not JAK3, which lacks the conserved GQM motif) and inhibit the kinase activity in a non-competitive manner (Babon et al. [2012\)](#page-22-0). However, subsequent studies on the crystal structure of  $SOCS3-JAK2-gp130$  ternary complex  $(SOCS3<sub>22-185</sub>-JAK2<sub>HH</sub>-gp130<sub>750-764</sub>)$ showed that the KIR of SOCS3 occupies the substrate-binding groove of JAK, supporting the original model proposed by Yasukawa and colleagues, without affecting ATP binding to the catalytic site (Babon et al. [2014b;](#page-22-0) Kershaw et al. [2013;](#page-25-0) Yasukawa et al. [1999\)](#page-29-0). Like SOCS3, SOCS1 binding to cytokine receptors could subsequently lead to inhibition of the JAKs bound to the receptor chains and signal attenuation. Differences in the amino acid sequence surrounding the phosphor-Tyr-binding groove of the SH2 domain may determine the specificity and potency of SOCS1 and SOCS3 to inhibit different cytokines; for example, IFN $\gamma$  and IL-2 family cytokines by SOCS1 and IL-6 by SOCS3 (Alexander et al. [1999;](#page-22-0) Babon et al. [2014a;](#page-22-0) Croker et al. [2003](#page-23-0); Marine et al. [1999;](#page-26-0) Sporri et al. [2001;](#page-28-0) Wormald et al. [2006\)](#page-29-0). Similarly, the ability of SOCS3 to inhibit STAT3 activation downstream of IL-6 but not IL-10 receptor, and hence attenuate pro-inflammatory but not anti-inflammatory STAT3 signaling, is determined by the ability of SOCS3 to bind IL-6 receptor but not the IL-10 receptor (Yasukawa et al. [2003](#page-29-0)). SOCS1 binding via SH2 domain to phosphorylated cytokine receptor chains will also result in competition for other signal transducers such as STAT molecules from being recruited to the receptor and become activated (Trengove and Ward [2013](#page-29-0)).

<span id="page-5-0"></span>Even though SOCS molecules were originally discovered as JAK-binding proteins, SOCS1 was also shown to bind several receptor tyrosine kinases (RTK) including c-KIT, FLT3, CSF-1R, and MET, and modulate downstream signaling (Bourette et al. [2001](#page-22-0); De Sepulveda et al. [1999](#page-23-0); Gui et al. [2015;](#page-24-0) Kazi et al. [2014\)](#page-25-0). Indeed, it has now become clear that the SOCS proteins can be grouped by their ability to inhibit predominantly the JAK-STAT pathway (CISH, SOCS2) or RTK signaling (SOCS6, SOCS7), or both (SOCS1, SOCS3, SOCS4, and SOCS5) (Kazi et al. [2014](#page-25-0); Trengove and Ward [2013\)](#page-29-0). Non-receptor tyrosine kinases other than the JAK family kinases such as TEC, SYK, and FAK have also been reported to interact with and inhibited by SOCS1 (Liu et al. [2003;](#page-26-0) Matsuda et al. [2000;](#page-26-0) Ohya et al. [1997](#page-27-0)).

While SOCS1-dependent regulation of the JAK-STAT pathway in vivo has been well documented using mice and cells lacking SOCS1 (discussed below), most studies on SOCS1-mediated inhibition of RTKs and NRTKS have been carried out in cell line models. The potential implications of the latter in the immune system also remain to be explored. For instance, HGF-induced MET signaling has been implicated in several immune cell functions including T cell recruitment to the heart during transplant rejection (Ilangumaran et al. [2016](#page-25-0); Komarowska et al. [2015\)](#page-25-0). As SOCS1 is an indispensable regulator of MET signaling in hepatocytes and several other RTKs, it will be worthwhile to investigate the role of SOCS1 (and other SOCS proteins) in regulating RTK signaling in T cells and other immune cells.

# 3 Regulation of T Cell Activation and Homeostasis by SOCS1

Early studies on SOCS1-deficient mice reported neonatal fatality from severe liver damage and systemic inflammation that was accompanied by profound thymic atrophy and marked depletion of T lymphocytes in spleen (Naka et al. [1998;](#page-27-0) Starr et al. [1998\)](#page-28-0). Subsequent studies that demonstrated SOCS1 as an indispensable regulator of IFN $\gamma$  signaling also suggested a role for SOCS1 in regulating T cell activation (Alexander et al. [1999](#page-22-0); Marine et al. [1999\)](#page-26-0). Perinatal death of Socs1-null mice can be reversed not only by simultaneous ablation of the Ifng gene or neutralization of IFN $\gamma$  but also by concomitant RAG deficiency that blocks T cell development and causes peripheral T lymphopenia (Alexander et al. [1999](#page-22-0); Marine et al. [1999\)](#page-26-0). Selective loss of TCR $\alpha\beta^+$  T cells due to TCR $\alpha$  deficiency also prolonged viability of SOCS1-deficient mice, even though they eventually succumbed to severe colitis (Chinen et al. [2006\)](#page-23-0). Analysis of the T cell compartment in  $Socs1^{-/-}$  *Ifng<sup>-/-</sup>* mice revealed accumulation of CD8<sup>+</sup> T cells and reduced CD4/CD8 ratio (Cornish et al. [2003b;](#page-23-0) Ilangumaran et al. [2003a\)](#page-25-0). SOCS1-deficient CD8<sup>+</sup> T cells display a CD44<sup>hi</sup> CD62L<sup>lo</sup> CD122<sup>hi</sup> Ly6c<sup>hi</sup> phenotype, a characteristic of memory CD8<sup>+</sup> T cells and "memory-like" cells that arise from cytokine-driven homeostatic expansion under conditions of T lymphopenia

(Jameson [2002;](#page-25-0) Murali-Krishna and Ahmed [2000\)](#page-26-0). CD44 is also upregulated following T cell activation; however, SOCS1-deficient CD8<sup>+</sup> T cells do not display other activation markers such as CD25 or CD69 (Ilangumaran et al. [2003a\)](#page-25-0). Socs1<sup>fl/−</sup>Lck-Cre mice lacking SOCS1 specifically in T cells did not develop lethal inflammatory disease, but displayed all changes in the peripheral T cell compartment caused by global SOCS1 deficiency, including enlarged lymph nodes, increased frequency of CD8<sup>+</sup> T cells and altered CD4/CD8 ratio, and elevated CD44 expression on CD8 T cells, indicating that SOCS1 is a cell-intrinsic regulator of CD8<sup>+</sup> T cell activation and homeostasis (Chong et al. [2003](#page-23-0)).

In peripheral T cells, SOCS1 deficiency does not affect TCR-induced calcium flux (Cornish et al. [2003a\)](#page-23-0) or the proximal signaling events (our unpublished data). On the other hand, SOCS1-deficient CD8<sup>+</sup> T cells showed increased STAT5 phosphorylation, expression of the anti-apoptotic protein Bcl-xL and cell proliferation in response to IL-15, which promotes homeostatic expansion of memory  $CD8<sup>+</sup>$  T cells, or the autocrine T cell growth factor IL-2 (Cornish et al. [2003a;](#page-23-0) Ilangumaran et al. [2003a\)](#page-25-0). IL-7, which is critical for homeostatic expansion of naïve T cells, induced strong STAT5 phosphorylation in SOCS1-deficient T cells but not cell proliferation (Cornish et al. [2003a](#page-23-0); Ilangumaran et al. [2003a\)](#page-25-0). Following adoptive transfer to  $Raq1^{-/-}$  hosts, SOCS1-deficient CD8<sup>+</sup> T cells underwent robust homeostatic expansion that was markedly diminished in the absence of IL-7 or IL-15 in the recipient mice (Cornish et al. [2003b;](#page-23-0) Davey et al. [2005;](#page-23-0) Ilangumaran et al. [2003a](#page-25-0); Ramanathan et al. [2006](#page-28-0); Rodriguez et al. [2013](#page-28-0)). However, only the loss of IL-15 prevented CD8<sup>+</sup> T cell accumulation in SOCS1-deficient mice indicating that SOCS1 is an important regulator of IL-15 signaling in these cells (Ramanathan et al. [2006](#page-28-0)).

IL-15 deficiency in SOCS1-deficient mice also reversed the high CD44 expression on  $CD8^+$  T cells without affecting the  $CD122<sup>hi</sup>$  Ly6C<sup>hi</sup> phenotype, suggesting that SOCS1 controls not only IL-15 but also other factors that contribute to the activation of CD8<sup>+</sup> T cells (Ramanathan et al. [2006\)](#page-28-0). The CD8<sup>+</sup> T cell compartment in SOCS1-deficient mice still harbors a significant proportion of CD44<sup>lo</sup> CD62L<sup>hi</sup> CD122<sup>lo</sup> Ly6c<sup>lo</sup> naïve cells (Ilangumaran et al. [2003a\)](#page-25-0), indicating that deregulated IL-15 signaling alone is not sufficient for the accumulation of memory phenotype  $CD8<sup>+</sup>$  T cells in SOCS1 knockout mice. Analysis of the phenotype of SOCS1-deficient  $CD8<sup>+</sup>$  T cells expressing the male antigen-specific transgenic TCR H-Y, which is one of the least reactive transgenic TCRs toward environmental antigens, in non-lymphopenic and lymphatic setting shed light on antigen non-specific activation of SOCS1-deficient T cells (Ramanathan et al. [2006\)](#page-28-0). This study revealed that acquisition of the memory phenotype by SOCS1-deficient CD8<sup>+</sup> T lymphocytes did not require stimulation by cognate antigen, but required the presence of other activated  $CDS^+$  T cells. As  $CDS^+$  T cells from  $Socs1^{-/-}$ *Ifng<sup>-/-</sup>* mice that proliferate in response to IL-15 in vitro also produce abundant TNFa, it is possible that the effector molecules of activated SOCS1-deficient CD8<sup>+</sup> T cells may contribute, directly and indirectly (through activation of other cell types), to stimulate other naïve CD8<sup>+</sup> T cells. In support of this possibility,  $Socs1^{f^{f/\sim}}$ LysM-Cre mice that seem to lack SOCS1 in myeloid cells

as well as in T cells developed lethal inflammatory disease after four months of age (Chong et al. [2005](#page-23-0)).  $CD8^+$  T cells from  $Socs1^{fU-1}LysM-Cre$ , but not Socs1<sup>ft/−</sup>Lck-Cre, mice were also reported to display CD44<sup>hi</sup> CD69<sup>hi</sup> CD25<sup>hi</sup>  $CD62L^{10}$  activated cell phenotype, accompanied by elevated serum levels of IL-12, suggesting that macrophage and dendritic cell-derived IL-12 could contribute to antigen non-specific activation of SOCS1-deficient T cells. However, these findings still do not adequately explain how the initial activation of naïve  $CD8<sup>+</sup>$  T cells occurs in SOCS1-deficient mice.

Further investigations on SOCS1-deficient  $CD8<sup>+</sup>$  T cells led to the finding that they can be activated in an antigen non-specific manner by combinations of cytokines, particularly to IL-15 and IL-21, and that the availability of IL-21 markedly diminished the requirement of IL-15 needed for activation (Gagnon et al.  $2007$ ). Strikingly, wildtype  $CD8<sup>+</sup>$  T cells also proliferated in response to synergistic stimulation by IL-15 and IL-21, albeit to a significantly lower extent compared to SOCS1-deficient cells (Gagnon et al. [2007;](#page-24-0) Zeng et al. [2005\)](#page-30-0). In fact, naïve  $CD44^{10}$  CD8<sup>+</sup> T cells expressing polyclonal TCR or transgenic TCR such as H-Y or P14 (specific to the glycoprotein antigen of lymphocytic choriomeningitis virus) showed robust antigen-independent proliferation in the presence of IL-7 and IL-21, and to a lesser extent to IL-15 and IL-21 (Gagnon et al. [2007\)](#page-24-0). SOCS1 deficiency did not augment the naïve  $CD8<sup>+</sup>$  T cell response to IL-7 and IL-21, but increased responsiveness to the IL-15 and IL-21 combination. Follow-up studies on wild type  $CD8<sup>+</sup>$  T cells revealed that IL-6, which activates STAT3 similarly to IL-21, could substitute for IL-21 in inducing antigen-independent proliferation of naïve  $CD8<sup>+</sup> T$ cells (Gagnon et al. [2008\)](#page-24-0). SOCS1 deficiency prolonged the IL-21-induced STAT3 activation in  $CD8<sup>+</sup>$  T cells. Concomitant stimulation by IL-6 or IL-21 augmented phosphorylation and DNA-binding activity of STAT5 induced by IL-7 or IL-15, although mechanisms underlying this cytokine synergy in activating naïve CD8<sup>+</sup> T cells remain to be fully elucidated (Gagnon et al. [2008](#page-24-0)). These cytokine-stimulated CD8<sup>+</sup> T cells show increased TCR responsiveness to limiting concentrations of antigenic peptides and, more importantly, toward altered peptide ligands with low reactivity toward the TCR, in terms of cell proliferation, IFN $\gamma$  secretion, granzyme B expression, and cytolytic functions (Gagnon et al. [2008](#page-24-0); Ramanathan et al. [2011;](#page-28-0) Rodriguez et al. [2013](#page-28-0)). Given that IL-6 and IL-15 are expressed by antigen presenting cells and several other cell types following innate immune stimulation, it is likely that SOCS1 may serve to restrain antigen non-specific activation of CD8<sup>+</sup> T cells by cytokines and, in that process, also induce their ability to respond to weakly agonistic TCR ligands such as those derived from autoantigens (Fig. [2\)](#page-8-0). In fact, SOCS1-deficient CD8<sup>+</sup> T cells fail to undergo homeostatic expansion in TAP1-deficient hosts that express very low levels of MHC-I molecules, indicating that, besides cytokine stimulation, TCR engagement is needed to stimulate SOCS1-deficient CD8<sup>+</sup> T cells (Davey et al. [2005\)](#page-23-0).

The checkpoint function of SOCS1 in modulating TCR reactivity (via controlling cytokine responses) may serve two complementary purposes. First, SOCS1 expression may shape the  $CD8<sup>+</sup>$  T cell response during infections by focusing the response toward dominant antigens through reducing reactivity toward weak

<span id="page-8-0"></span>

Fig. 2 Possible role of SOCS1 in preventing autoreactive CD8<sup>+</sup> T cell activation. SOCS1 regulates homeostasis of CD8<sup>+</sup> T cells by regulating STAT5 activation by IL-15. Antigen non-specific activation of naïve CD8<sup>+</sup> T cells by inflammatory cytokines leads to increase in antigen sensitivity. By regulating this 'cytokine priming' of  $CD8^+$  T lymphocytes, SOCS1 may limit activation of potentially autoreactive cells

antigens. Second, SOCS1 may serve to prevent accidental stimulation of potentially autoreactive cells, whose TCR sensitivity toward autoantigens might lie close to the threshold needed for negative selection in the thymus. While the first possibility has not been yet investigated in detail, there are several indirect and direct evidence that support the second function. Indeed, SOCS1-null mice that express different MHC-I or MHC-II restricted transgenic TCRs survive considerably longer than those bearing a polyclonal TCR: OT-I (specific to ovalbumin, 6 weeks), P14 (4 weeks), Pmel-1 (specific to the melanocyte antigen gp100, 6 weeks) and H-Y (females 8 weeks) and OT-II (5 weeks) (Cornish et al. [2003b;](#page-23-0) Ramanathan et al. [2006;](#page-28-0) Rodriguez et al. [2013](#page-28-0)). OT-I TCR transgenic mice survive a little longer in RAG1 deficient background and die within 10 weeks even under germ-free conditions (Cornish et al. [2003b;](#page-23-0) Ramanathan et al. [2006](#page-28-0); Rodriguez et al. [2013](#page-28-0)). The in vivo activation of SOCS1-deficient  $CD8<sup>+</sup>$  T cells was studied following adoptive transfer of SOCS1-deficient TCR transgenic CD8<sup>+</sup> T cells into recipients expressing the cognate antigen (Davey et al. [2005](#page-23-0); Ramanathan et al. [2010;](#page-28-0) Rodriguez et al. [2013\)](#page-28-0). This approach was necessary because the transgenic T cells are either deleted by thymic negative selection in mice bearing the cognate antigen (OT-I cells (Davey et al. [2005](#page-23-0))), or systemic inflammation caused by SOCS1 deficiency precluded their analysis within the antigen-bearing host (P14 and Pmel-1 cells (Ramanathan et al. [2010;](#page-28-0) Rodriguez et al. [2013\)](#page-28-0)). These studies showed that  $SOCS1$ -deficient TCR transgenic  $CD8<sup>+</sup>$  T cells displayed potent antigen-specific effector functions, recognized the tissues expressing the cognate antigen more efficiently and caused severe autoimmune manifestation (autoimmune type 1 diabetes by OT-I and P14 cells, and cutaneous inflammation by Pmel-1 cells), indicating that SOCS1 is necessary to control the pathogenicity of potentially autoreactive CD8 T cells (Davey et al. [2005](#page-23-0); Ramanathan et al. [2010](#page-28-0); Rodriguez et al. [2013](#page-28-0)).

<span id="page-9-0"></span>Intriguingly,  $SOCS1$ -deficient  $CD8<sup>+</sup>$  T cells expressing the transgenic TCR such as P14 and Pmel-1 cells, and  $CD8^{10}$  H-Y transgenic  $TCR<sup>+</sup>$  cells (that arise in male H-Y mice in Rag-sufficient background) proliferate poorly to stimulation by cognate antigens compared to SOCS1 sufficient cells but develop potent effector functions (Ramanathan et al. [2006,](#page-28-0) [2010](#page-28-0); Rodriguez et al. [2013\)](#page-28-0). This propensity for effector differentiation of SOCS1-deficient cells is not due to the loss of their proliferation potential per se, as they undergo massive cell division following cytokine stimulation. The molecular mechanisms underlying the decreased antigen-induced proliferation of SOCS1-deficient  $CD8<sup>+</sup>$  T cells remains to be elucidated.

# 4 Role of SOCS1 in T Cell Development

The critical role of SOCS1 in regulating the  $CD8<sup>+</sup>$  T cell compartment already manifests during T cell development and maturation in the thymus, whereas  $SocsI^{-/-}$ mice show severe thymic atrophy and reduction in thymic cell numbers, these abnormalities are not observed in  $Socs1^{-/-}$  *Ifng*<sup>-/−</sup> mice (Alexander et al. [1999;](#page-22-0) Naka et al. [1998\)](#page-27-0). As IFN $\gamma$  is known to cause thymic atrophy, the macroscopic abnormalities observed in SOCS1-deficient thymus are caused mainly by uncontrolled IFN $\gamma$  signaling (Alexander et al. [1999](#page-26-0); Marine et al. 1999). SOCS1 is highly expressed in thymocytes from wild type as well as IL-7R, JAK3, STAT5, or RAG2 deficient mice, indicating that Socs1 expression occurs early during T cell development, even prior to the expression of rearranged  $TCR\beta$  chain and independently of the IL-7-mediated signals (Marine et al. [1999](#page-26-0)). Hence, SOCS1 expression in thymocytes is considered to be constitutive, although stem cell factor (Kit ligand), which is critical for T lymphocyte development and induces the Socs1 gene in bone marrow-derived mast cells (De Sepulveda et al. [1999](#page-23-0); Waskow et al. [2002\)](#page-29-0), could contribute to Socs1 gene expression in T cell progenitors. Over expression of SOCS1 prevented fetal liver-derived hematopoietic progenitor cells from progressing beyond the stage-I CD4<sup>−</sup> CD8<sup>−</sup> double negative cells (DN1; CD44<sup>+</sup> CD25<sup>−</sup> ) in fetal thymic organ cultures (FTOC) (Trop et al. [2001](#page-29-0)). As DN1 thymocytes express c-KIT and undergo IL-7-mediated expansion, this developmental arrest could result from inhibition of both Kit and IL-7 receptor signaling by SOCS1 (De Sepulveda et al. [1999;](#page-23-0) Trop et al.  $2001$ ). DN cells rearrange the genes coding for TCR $\beta$ , which complexes with pre-TCRa to form the pre-TCR complex that delivers the signal for progression through the DN4 (CD44<sup>-</sup> CD25<sup>-</sup>) stage and gives rise to CD4<sup>+</sup>CD8<sup>+</sup> double positive (DP) cells. Intriguingly, engagement of the pre-TCR in DN3 (CD44<sup>−</sup> CD25<sup>+</sup>) thymocytes profoundly diminishes Socs1 gene expression (Trop et al. [2001\)](#page-29-0), although the underlying mechanism remains unknown. Forced expression of SOCS1 in DN3 cells did not affect differentiation toward DP cells but markedly reduced their number in FTOC (Trop et al. [2001\)](#page-29-0). Consistent with the regulatory role for SOCS1 in early T cell development, SOCS1 transgenic mice show a developmental block from DN2 to DN3 stage and a marked reduction in cellularity (Fujimoto et al. [2000\)](#page-24-0).

Hence, it has been proposed that (i) SOCS1 expression in DN cells serves to limit their expansion by IL-7 and Kit ligand and (ii) downmodulation of SOCS1 by pre-TCR signaling would facilitate the selective expansion of DN3 cells that have completed the  $\beta$  selection process.

Analyses of T cell developmental stages in  $Socs1^{-/-}$  and  $Socs1^{/}/\_$  Lck-Cre mice did not reveal any obvious abnormality within the DN developmental stages (Chong et al. [2003;](#page-23-0) Ilangumaran et al. [2003a\)](#page-25-0), indicating that either SOCS1 is dispensable for regulating the early DN developmental stages, or that the SOCS1-deficient cells proceed rapidly through these stages in vivo without allowing accumulation of the expanded DN cells. In agreement with the latter possibility, the SOCS1-deficient thymi generate a greater number of CD8<sup>+</sup>CD4<sup>-</sup> single positive (SP) thymocytes. Intrathymic transfer of SOCS1-deficient DN thymocytes into the thymus of SOCS1 sufficient  $Ragl^{-/-}$  mice or in FTOC cultures results in an increased generation of CD8<sup>+</sup> SP T cells, indicating that SOCS1 exerts a cell-intrinsic regulatory role in developing thymocytes (Cornish et al. [2003b;](#page-23-0) Ilangumaran et al. [2003b](#page-25-0)). Several lines of evidence indicate a critical regulatory role for SOCS1 at the DP and CD8<sup>+</sup> SP stages. Whereas DN and CD4<sup>+</sup> SP cells express the Socs1 gene only after exposure to  $\gamma_c$  cytokines, DP and CD8<sup>+</sup> SP cells show constitutive Socs1 gene expression (Ilangumaran et al. [2003b](#page-25-0)). Based on the expression of a Cre-induced human CD4 as a reporter downstream of the Socs1 promoter, Chong et al. reported high SOCS1 expression in DP cells compared to all other thymocyte subsets (Chong et al. [2003\)](#page-23-0). SOCS1-deficient DP cells displayed high sensitivity to IL-7 in terms of STAT5 phosphorylation, and purified DP cells cultured in the presence of IL-7 gave rise to abundant  $CD8<sup>+</sup>$  SP cells even in the absence of TCR stimulation, implicating IL-7 in DP to SP differentiation (Chong et al. [2003](#page-23-0)). Singer and colleagues observed that constitutive SOCS1 expression in pre-selection DP cells (prior to positive selection), which express IL-7R $\alpha$ , IL-4R $\alpha$ and  $\gamma_c$ , prevents them from responding to IL-7 or IL-4, thus contributing to the smaller size of DP thymocytes (Yu et al. [2006](#page-30-0)). This study also showed that  $TCR\alpha\beta$ signaling, which delivers the positive selection signal, downmodulates  $SocsI$ expression in DP thymocytes via unknown mechanisms and restores their responsiveness to IL-7 and IL-4, which induce the pro-survival gene Bcl2. It has been proposed that SOCS1 may serve to actively suppress cytokine signaling to prevent the rescue of CD4<sup>+</sup>CD8<sup>+</sup> double positive (DP) thymocytes that are destined to die by neglect through the induction of pro-survival factors by cytokines (Yu et al. [2006](#page-30-0)). Increased IL-7 signaling in positively selected, SOCS1-downregulated DP cells lead to induction of the transcription factor Runx3, which promotes CD8 lineage specification (Park et al. [2010\)](#page-27-0).

Whereas purified SOCS1-deficient DP cells fail to proliferate in response to IL-7, IL-2 or IL-15 in vitro, CD8<sup>+</sup> SP cells undergo robust proliferation following incubation with IL-15 or IL-2 but not IL-7, despite showing prolonged IL-7-induced STAT5 phosphorylation (Ilangumaran et al. [2003b\)](#page-25-0). In agreement with the role of SOCS1 in regulating cytokine-mediated  $CD8<sup>+</sup>$  SP cell expansion, only the CD8<sup>+</sup> SP subset shows increased in vivo proliferation in SOCS1-deficient thymus, which displays an enlarged medulla wherein the SP cells undergo maturation before emigration to the periphery (Chong et al. [2003](#page-23-0); Ilangumaran et al. [2010\)](#page-25-0). Analysis of SOCS1-deficient thymus also lacking IL-7, IL-15 or both implicated these two cytokines in increasing the number of  $CD8<sup>+</sup>$  SP cells and skewing the CD4:CD8 ratio (Ramanathan et al. [2006\)](#page-28-0). Collectively these studies suggest that SOCS1 regulates cytokine-mediated survival and/or differentiation of the DP cell pool and expansion of  $CD8<sup>+</sup>$  SP cells (Chong et al.  $2003$ ; Ilangumaran et al. [2003b](#page-25-0)).

Intriguingly, restoration of SOCS1 expression specifically in T cells of mice in which the Socs1 gene is ablated in all cells (Socs1<sup>-/-</sup>Tg) prevents lethality, but thymocytes from these mice show accelerated maturation of DP toward  $CD4^+$  and  $CD8<sup>+</sup>$  SP cells (Hanada et al. [2003](#page-24-0)). Peripheral T cells in these mice also display CD44hi activated phenotype. These observations suggest that the T cell developmental abnormalities observed in SOCS1-deficient mice do not arise exclusively from T cell-intrinsic defects. Thymus tissues from these mice contained increased frequency of CD11c<sup>+</sup>CD11b<sup>+</sup> dendritic cells (DC) that displayed MHC-II. As DCs can produce the T cell stimulatory cytokine IL-15 in response to myriad stimuli (Mattei et al. [2001\)](#page-26-0), it is possible that SOCS1 may regulate T cell development also in a T cell-extrinsic manner by controlling IL-15 availability within the thymic microenvironment. This prediction remains to be tested.

Even though most studies on SOCS1 functions in T cells focused on  $CD8<sup>+</sup> T$ cells, SOCS1 might also regulate the CD4<sup>+</sup> T cell compartment, which is more apparent in the thymus than in the periphery. Thymic cellularity of  $Socs1^{-/-}$  Ifng<sup>-/−</sup> mice is comparable to that of  $I f n g^{-1/2}$  controls, yet the former harbored nearly twice the number of CD4<sup>+</sup> SP cells and six times more CD8<sup>+</sup> SP cells (Ramanathan et al. [2006\)](#page-28-0). Analysis of recent thymic emigrants revealed that increased numbers of CD4<sup>+</sup> T cells are exported from SOCS1-deficient thymi compared to CD8<sup>+</sup> T cells (Ilangumaran et al. [2010\)](#page-25-0). As CD4<sup>+</sup> SP cells in SOCS1-deficient thymi do not undergo increased cycling, their increased generation likely results from accelerated maturation from DP cells (Ilangumaran et al. [2010](#page-25-0)). In fact, IL-21 (another  $\gamma_c$ cytokine) has been shown to expand the DP cell pool undergoing positive selection in an in vitro T cell development model, and increase the number of  $DP$ ,  $CD4^+$  SP, and CD8<sup>+</sup> SP cells in vivo following systemic administration (Rafei et al. [2013\)](#page-27-0). IL-21 has also been reported to promote T cell survival (Ostiguy et al. [2007](#page-27-0)). As SOCS1 attenuates IL-21-induced STAT5 activation in CD8<sup>+</sup> T cells (Gagnon et al. [2007\)](#page-24-0), it is not unlikely that SOCS1 may regulate IL-21-mediated DP cell expansion, and hence the loss of SOCS1 would increase CD4<sup>+</sup> SP cell generation and export. Notwithstanding the increased generation and export of CD4<sup>+</sup> SP cells in SOCS1-deficient mice, pronounced cytokine-driven expansion of CD8<sup>+</sup> T cells outnumber CD4<sup>+</sup> T cells in the periphery.

Investigations into the role of SOCS1 in thymic selection have reported varying findings. Using the staphylococcal enterotoxin B (SEB) model of negative selection that specifically deletes  $TCRV\beta8^+$  thymocytes in FTOC, Chong et al. reported that SOCS1 deficiency did not affect deletion of either  $CD4^+$  or  $CD8^+$  T cells bearing TCRV $\beta$ 8 (Chong et al. [2003](#page-23-0)). Similarly, negative selection of CD8<sup>+</sup> SP cells expressing the male antigen-specific H-Y transgenic TCR in male mice was not

<span id="page-12-0"></span>affected by SOCS1 deficiency (Ramanathan et al. [2010\)](#page-28-0). On the other hand, SOCS1 was reported to regulate both positive and negative selection of CD4<sup>+</sup> T cells expressing the MHC-II -restricted transgenic TCR AND, which is specific to the pigeon cytochrome C (PCC) and the I- $E^k$  molecule (Catlett and Hedrick [2005\)](#page-22-0). Loss of SOCS1 in  $RagI^{-/-}$ TcrAND mice resulted in the generation of CD8 SP cells bearing the  $V\alpha 11^+$  transgenic TCR, accompanied by a reduction in the number of CD4 SP cells. SOCS1 deficiency enabled the generation of these aberrant CD8<sup>+</sup> SP cells even in the absence of MHC-I molecules, but not in the absence of the non-selecting  $H2<sup>d</sup>$ , suggesting that these cells were selected by MHC-II but diverted toward the inappropriate lineage in the absence of SOCS1 (Fig. 3). Upon adoptive transfer to mice bearing  $H2^{b/s}$ , in which TcrAND T cells are positively selected by



Fig. 3 SOCS1-dependent regulation of thymopoiesis. SOCS1 regulates multiple checkpoints of T cell development. SOCS1 controls cytokine-driven expansion of (i) double negative (DN) cells, (ii) double positive (DP) cells and (iii)  $CD8<sup>+</sup>$  single positive (SP) cells. SOCS1 is also implicated in preventing the cytokine-mediated rescue of DP cells that had failed positive selection (iv), and in promoting negative selection of CD4<sup>+</sup> SP cells by preventing their aberrant skewing toward CD8<sup>+</sup> SP cells (v). SOCS1 also controls generation of natural T regulatory cells (vi). Intriguingly, signaling via pre-TCR at the DN3 stage and  $TCR\alpha\beta$  at the DP stage downmodulate SOCS1 expression (vii, viii), presumably to allow selective expansion of signaled cells at these critical developmental stages

<span id="page-13-0"></span> $H2<sup>b</sup>$  and then negatively selected by H-2<sup>s</sup>, SOCS1-deficient TcrAND CD4<sup>+</sup> and  $CD8<sup>+</sup>$  SP cells failed to undergo negative selection, suggesting that SOCS1 may serve to control generation of potentially autoreactive T cells. The defective positive and negative selection of TcrAND T cells caused by the lack of SOCS1 was reversed by IFN $\gamma$  deficiency, demonstrating that SOCS1 shields developing thymocytes from the deleterious effects of systemic inflammation (Catlett and Hedrick [2005\)](#page-22-0).

Collectively, SOCS1 impacts on four T cell developmental checkpoints (Fig. [3\)](#page-12-0): (i) at the DN1-DN2 stage, to control signals delivered by SCF and IL-7; (ii) at the DN3 stage, to block the expansion of thymocytes that have not succeeded the  $\beta$ selection process; (iii) in DP thymocytes, to prevent cells that had failed the positive selection from undergoing cytokine-driven survival and expansion; (iv) in post-selection DP thymocytes, to ensure negative selection and prevent inappropriate developmental skewing toward the CD8 SP lineage. Even though SOCS1 deficiency would deregulate all these developmental stages, only the final outcome —accumulation CD8<sup>+</sup> SP cells—is discernible in SOCS1-deficient mice, presumably due to dynamic transition of the immature developmental stages.

# 5 Regulation of Treg Plasticity and Function by SOCS1

Despite thymic negative selection, the mature T cell pool contains potentially autoreactive T cells that can get activated in the periphery and become pathogenic. These cells are regulated by peripheral tolerance mechanisms, in which regulatory T cells (Treg) play a key role. Tregs inhibit activated T cells via direct contact and through secreted cytokines such as  $TGF\beta$  and IL-10. The development of a multi-organ inflammatory disease in SOCS1-deficient mice, characterized by abundant mononuclear cell infiltration, could result not only from aberrant generation of potentially autoreactive T cells in the thymus and their activation by cytokines in the periphery, but also from the loss of SOCS1-dependent control of Treg stability and functions (Takahashi and Yoshimura [2014\)](#page-29-0) (Fig. [4\)](#page-14-0).

Most Tregs develop in the thymus from  $CD4<sup>+</sup>$  SP cells that display high avidity TCR interaction with autoantigens, and are called natural or thymus-derived Tregs (nTreg or tTreg) (Hsieh et al. [2012](#page-24-0); Ohkura et al. [2013\)](#page-27-0). Under certain conditions, naïve  $CD4^+$  T cells in the periphery can also give rise to Tregs, which are designated as induced or peripheral Tregs (iTreg or pTreg) (Schmitt and Williams [2013\)](#page-28-0). Both nTregs and iTregs are required to maintain tolerance and immune homeostasis. The Treg-specific transcription factor Foxp3 is an important regulator of Treg development and functions. However, Foxp3 expression is not static even in terminally differentiated Tregs. Following adoptive transfer to lymphopenic hosts or under inflammatory conditions, Tregs may lose Foxp3 expression and regulatory functions, become the so-called "exFoxp3" cells or "lapsed Tregs", acquire Th1 or Th17 effector-memory phenotype and exacerbate an inflammatory response (Sakaguchi et al. [2013\)](#page-28-0).

<span id="page-14-0"></span>

Fig. 4 Regulation of T regulatory cells by SOCS1. SOCS1 controls homeostasis of nTregs by regulating IL-2-mediated STAT5 signaling. SOCS1 also plays a crucial role in regulating Treg plasticity by controlling their responsiveness to inflammatory cytokines that leads to loss of Foxp3 expression (exFoxp3 cells) and differentiation toward Th1 and Th17 cells. SOCS1 also controls the Th1 differentiation pathway by inhibiting IFN $\gamma$  signaling. By regulating SOCS1 expression, miR-155 exerts control over Treg homeostasis

The phenotypic and functional changes associated with such "Treg plasticity" arise from modulation of the Foxp3 promoter activity in uncommitted  $F\alpha p3^+$  cells, which constitute a small proportion of  $F\alpha p3^+$  cells, and their selective expansion (Hori [2014](#page-24-0); Komatsu et al. [2009;](#page-26-0) Takahashi and Yoshimura [2014\)](#page-29-0). In addition to the TCR signal-responsive Foxp3 promoter, three highly conserved non-coding DNA sequences (CNS 1-3) regulate Foxp3 expression and Treg plasticity. The TGF $\beta$ -responsive CNS1 has Smad $2/3$  binding sites for induced by TGF $\beta$ , while CNS2 binds TCR-induced CREB and IL-2-induced STAT5, and CNS3 has binding sites for c-Rel activated by TCR/CD28 co-stimulation. The CNS2 also harbors CpG islands that are hypomethylated in committed Tregs, which is further enhanced by IL-2 signaling (Chen et al. [2011](#page-23-0)). These Treg-specific demethylated regions (TSDR) become strongly methylated in exFoxp3 cells (reviewed in Takahashi and Yoshimura [2014](#page-29-0)). The stability of nTreg is regulated by stable expression of Foxp3, and sustained by Smad2/3, STAT5, and  $NF$ - $\kappa$ B signaling pathways. Takahashi and colleagues have shown that  $IFN\gamma$ -induced STAT1 can destabilize Foxp3 expression, leading to conversion of nTregs into Th1 cells, and that SOCS1 plays an essential role in preserving Treg functions by regulating the IFN $\gamma$  signaling pathway (Takahashi et al. [2011](#page-29-0)).

Investigations into the Foxp3-regulated genes in Tregs led Rudensky and colleagues to identify miR155 and its target SOCS1 as key regulators of Treg homeostasis (Lu et al. [2017a\)](#page-26-0). Loss of miR155 resulted in fewer Treg cells in the thymus and affected their homeostasis in the periphery. Mechanistically, loss of miR155 causes marked increase in SOCS1 expression in Tregs, leading to

decreased sensitivity to their principal growth factor IL-2 and thus reducing their homeostatic fit. In agreement, SOCS1 transgenic mice harbor fewer nTregs in the thymus, whereas T cell-specific SOCS1-deficient (Socs1<sup>fl/fl</sup> Lck-Cre) mice harbor significantly more of these cells in the thymus and spleen, and this increase occurs independently of IFN $\gamma$  (Lu et al. [2017a](#page-26-0); Zhan et al. [2009\)](#page-30-0). Treg-specific SOCS1 knockout (Socs1<sup>fl/fl</sup> Foxp3-Cre) mice also harbors increased numbers of Foxp3<sup>+</sup> CD4 T cells in thymus and spleen, indicating that SOCS1 exerts a cell-intrinsic regulation of Treg development (Lu et al. [2010\)](#page-26-0).

Despite increased generation of nTregs, SOCS1-deficient mice develop IFNγ-dependent Th1 type immune pathology (Alexander et al. [1999](#page-22-0); Lu et al. [2010;](#page-26-0) Marine et al. [1999;](#page-26-0) Takahashi et al. [2011](#page-29-0)), suggesting defective functioning of SOCS1-deficient Tregs in the periphery.  $Socs1^{+/-}$  mice also develop severe dextran sulfate sodium (DSS)-induced colitis compared to control mice, with fewer Foxp3<sup>+</sup> and more numerous IFN $\gamma$ <sup>+</sup> CD4<sup>+</sup> T cells in the intestinal lamina propria (Horino et al. [2008\)](#page-24-0). In addition, SOCS1-deficient CD4<sup>+</sup> T cells display higher sensitivity to IFN $\gamma$ -mediated blockade of Treg differentiation induced by TCR/CD28 stimulation in the presence of TGFB (Horino et al. [2008\)](#page-24-0). On the other hand, T cell-specific SOCS1 knockout mice showed resistance to experimental autoimmune encephalomyelitis (EAE), a Th17-mediated disease, due to the requirement of SOCS1 to preserve Th17 cell differentiation by preventing Th1 skewing (Tanaka et al. [2008](#page-29-0)). All these observations suggested a complex regulation of Th cell differentiation and Treg functions by SOCS1 in the periphery. Yoshimura and colleagues elucidated the underlying mechanisms by addressing the role of SOCS1 in regulating nTreg plasticity (Takahashi et al. [2011](#page-29-0)). In the classical in vivo functional assay, Tregs from  $SocsI<sup>f</sup>/fI$  Lck-Cre mice failed to suppress the induction of colitis by wildtype CD4<sup>+</sup> T cells in  $Rag1^{-/-}$  mice, accompanied by faster loss of Foxp3 and other markers of suppressive activity (CD25 and CTLA4) compared to wildtype Tregs (Takahashi et al. [2011](#page-29-0)). In this setting, both the Foxp3-maintaining and the Foxp3-losing fractions of SOCS1-deficient Tregs produced higher amounts of IFN $\gamma$ , despite maintaining IL-10 production. SOCS1-deficient Tregs showed rapid methylation of the CNS2 region of the Foxp3 locus in vivo and in vitro, which was prevented by ablation of the Ifng gene, indicating that SOCS1 is essential to thwart conversion of Tregs to IFN $\gamma$  producing exFoxp3 cells. However, Socs1<sup>-/−</sup>Ifng<sup>-/−</sup> Tregs, which did not become exFoxp3 cells in lymphopenic mice, also failed to inhibit colitis induction. The inability of  $SocsI^{-/-}$ *Ifng<sup>-/-</sup>* Tregs to maintain their regulatory function was explained by their propensity of differentiating toward pathogenic Th17 cells in the absence of IFN $\gamma$ . In agreement with the role of SOCS1 modulating both Treg and Th17 differentiation, transfection of naïve  $CD4^+$  T cells with pre-miR155 promoted, whereas anti-miR155 inhibited, the development of Tregs and Th17 cells under the respective polarizing conditions, accompanied by modulation of SOCS1 and its targets STAT5 and STAT3 (Yao et al. [2012\)](#page-29-0). Increased activation of STAT1 and STAT3 in SOCS1-deficient Tregs, the former by  $IFN\gamma$  and the latter presumably by inflammatory cytokines, is believed to underlie their defective immune regulatory function (Takahashi and Yoshimura [2014\)](#page-29-0). The molecular mechanisms by which <span id="page-16-0"></span>STAT1 and STAT3 destabilize Foxp3 expression and disrupt Treg stability are unclear. Although cytokine-induced STAT molecules are the principal inducers of Socs1, they synergize with TCR-stimulated NF- $\kappa$ B to upregulate SOCS1 expression in Tregs, as the deficiency of Ubc13, a ubiquitin conjugating enzyme involved in activating IKK upstream of NF- $\kappa$ B, resulted in a similar loss of Treg function as caused by SOCS1 deficiency (Chang et al. [2012](#page-22-0)). Hence, SOCS1 plays a crucial role in protecting Tregs from converting to effector T cells under the harmful influence of inflammatory cytokines (Fig. [4](#page-14-0)). In addition to becoming pathogenic Th1 and Th17 cells themselves under inflammatory conditions, exFoxp3 cells can provide help to activate naïve CD8 T cells (Bailey-Bucktrout et al. [2013](#page-22-0); Komatsu et al. [2014](#page-26-0); Sharma et al. [2010\)](#page-28-0), raising such a possibility in the activation of potentially autoreactive CD8<sup>+</sup> T cells in SOCS1-deficient mice.

# 6 Influence of SOCS1 on Antigen Presenting Cell Functions

Early investigations into the lethal phenotype of SOCS1-deficient mice revealed that SOCS1 is an important regulator of macrophage activation by innate immune stimuli such as LPS and CpG DNA, and by IFN $\gamma$ . In response to these stimuli, SOCS1-deficient macrophages produce abundant quantities of inflammatory cytokines such as TNF $\alpha$  and IL-6, as well as IL-12, a key cytokine in promoting the Th1 immune response (Kinjyo et al. [2002](#page-25-0); Nakagawa et al. [2002](#page-27-0)). SOCS1-deficient macrophages show increased ability to control M. tuberculosis via increased IFN $\gamma$ production, which in turn relies on strong MyD88-dependent TLR2 activation and enhanced IL-12 production (Carow et al. [2011](#page-22-0)). The heightened activation of SOCS1-deficient macrophages by LPS via the toll like receptor 4 (TLR4), results from increased activation of NF- $\kappa$ B and STAT1 (Kinjyo et al. [2002;](#page-25-0) Nakagawa et al. [2002](#page-27-0)). SOCS1 blocks LPS-induced macrophage activation by promoting ubiquitination and proteasomal degradation of the key signaling adaptor MAL/TIRAP in the My88-dependent pathway, and possibly by interfering with the key TLR4 signaling adaptor TRAF6 further downstream, thereby blocking  $NF$ - $\kappa$ B activation (Kinjyo et al. [2002;](#page-25-0) Mansell et al. [2006](#page-26-0)). SOCS1 may also directly inhibit  $NF- $\kappa$ B$  signaling by promoting ubiquitination and degradation of the p65RelA component as shown in other cell types (Maine et al. [2007](#page-26-0); Ryo et al. [2003\)](#page-28-0). The same mechanisms likely underlie SOCS1-dependent regulation LPS signaling in dendritic cells (DC), which also produce copious amount of IL-12 and IFN $\gamma$  in the absence of SOCS1 (see below).

SOCS1 exerts control over differentiation of DCs and their functions in stimulating T cell responses. Splenic DCs from SOCS1-deficient mice show an increased frequency of  $CD8\alpha^+$  conventional DCs, which are critical for cross-priming  $CD8^+$ T cells in vivo (Hanada et al. [2005;](#page-24-0) Heath and Carbone [2009](#page-24-0); Tsukada et al. [2005\)](#page-29-0). This increment could arise from increased generation of these cells due to enhanced Flt3 and GM-CSF signaling caused by SOCS1 deficiency, as FLT3 is required for the development of  $CD8\alpha^+$  DCs and GM-CSF skews DC development away from plasmacytoid DCs (Wu and Liu [2007](#page-29-0)). SOCS1 inhibits Flt3-induced mitogenic responses and blocks GM-CSF signaling by promoting ubiquitination and proteasomal degradation of the  $\beta_c$  chain of the GM-CSF receptor (Bunda et al. [2013](#page-22-0); De Sepulveda et al. [1999](#page-23-0)). Expression of the *Socs1* gene increases during culture with GM-CSF and IL-4, and is further augmented by LPS (Jackson et al. [2004](#page-25-0); Shen et al. [2004\)](#page-28-0). On the other hand, LPS-induced SOCS1 has been shown to block GM-CSF-mediated DC differentiation from human CD14<sup>+</sup> monocytes and murine bone marrow precursors (Bartz et al. [2006\)](#page-22-0). In addition to modulating DC generation, SOCS1 may control IL-15-dependent maturation and survival of DCs (Dubois et al. [2005](#page-23-0); Hanada et al. [2005\)](#page-24-0). Intriguingly,  $CD8\alpha^+$  DCs enriched from spleen show much lower levels of SOCS1 expression than CD4<sup>+</sup> or CD4<sup>-</sup>CD8<sup>-</sup>DC subsets, which might underlie the intrinsically stronger ability of  $CD8\alpha+DCs$  to stimulate Th1 responses, and its further augmentation by SOCS1 deficiency (Hanada et al. [2005\)](#page-24-0).

In response to TLR stimulation by LPS or CpG DNA, SOCS1-deficient DCs produce abundant quantities of IL-12 as well as IFN $\gamma$ , which could contribute to the Th1-type immunopathology observed in SOCS1-deficient mice (Hanada et al. [2005;](#page-24-0) Tsukada et al. [2005](#page-29-0)). In addition to the Th1 cytokines, SOCS1-deficient DCs produce abundant quantities of the TNF family growth factors for B cells BAFF/BLyS and APRIL, which likely contribute to the elevated levels of autoantibodies in SOCS1-deficient mice (Hanada et al. [2003](#page-24-0)). Even though SOCS1-deficient DCs do not efficiently support CD4<sup>+</sup> T cell activation in vitro due to increased induction of indoleamine-2,3-dioxygenase (IDO) by autocrine IFN $\gamma$ , they may efficiently promote T activation in vivo wherein the use of IFN $\gamma$  by other cells would diminish its availability to T cells (Hanada et al. [2005;](#page-24-0) Tsukada et al. [2005\)](#page-29-0). This notion is supported by strong proliferation of allogeneic T cells cultured with bone marrow-derived DCs (BMDC) from  $Socs1^{-/-}$  *Ifng<sup>-/−</sup>* mice, and the heightened ability of SOCS1-deficient and Socs1-silenced DCs to stimulate IFN $\gamma$ -producing Th1 cells and CD8<sup>+</sup> T cells in vivo (Evel-Kabler et al. [2006;](#page-24-0) Hanada et al. [2003](#page-24-0), [2005;](#page-24-0) Shen et al. [2004\)](#page-28-0). In mice bearing a polyclonal TCR repertoire, immunization with Socs1-silenced or SOCS1-deficient BMDCs that were pulsed with antigenic peptides led to efficient activation antigen-specific  $CD8<sup>+</sup>$ T cells, which displayed increased proliferation, IFN $\gamma$  and IL-2 production and effector functions, and caused regression of tumors expressing the cognate antigen (Evel-Kabler et al. [2006;](#page-24-0) Hanada et al. [2005;](#page-24-0) Shen et al. [2004](#page-28-0)). The ability of Socs1-silenced DCs to activate antigen-specific  $CD8<sup>+</sup>$  T cells in vivo was further augmented by innate immune stimuli such as LPS that stimulate DC maturation (Evel-Kabler et al. [2006;](#page-24-0) Shen et al. [2004](#page-28-0)).

The ability of SOCS1 to control T cell activation at the level of DCs may operate via limiting and modulating their generation, maturation, antigen presentation, expression of costimulatory signals, and production of cytokines. Of these, increased production of IL-12 and IFN $\gamma$  by SOCS1-deficient DCs has been well documented. The propensity for SOCS1-deficient DCs to produce copious amounts <span id="page-18-0"></span>of IFN $\gamma$  is attributed to increased STAT1 signaling and expression of the Eomes transcription factor (Hanada et al. [2005](#page-24-0)). Using DCs derived from mice lacking the IL-12 subunit p35, or the IL-12R $\beta$ , Chen and colleagues have shown that SOCS1 regulates the quantity and duration of LPS-induced IL-12 production in DCs by controlling autocrine IL-12 signaling (Evel-Kabler et al. [2006\)](#page-24-0). Splenic DCs isolated from SOCS1-deficient mice express elevated levels of costimulatory molecules CD80, CD86 and CD40, and MHC class-II, although their expression levels were not altered in Socs1-silenced BMDCs at steady state (Evel-Kabler et al. [2006;](#page-24-0) Hanada et al. [2003](#page-24-0)). However, Socs1-silenced BMDCs exposed to Candida albicans show increased expression of costimulatory molecules and MHC-II (Shi et al. [2015\)](#page-28-0). A recent study has shown that constitutive SOCS1 expression in DCs downmodulates MHC and costimulatory molecules, and that these DCs elicit T cell hyporesponsiveness and facilitate the survival of allogeneic pancreatic islets (Lu et al. [2017b](#page-26-0)). SOCS1 likely regulates the expression of MHC and costimulatory molecules by regulating both TLR and autocrine IFN $\gamma$  signaling. Few studies have addressed the influence of SOCS1 on antigen processing and presentation. As SOCS1-deficient BMDCs show increased expression of several IFN $\gamma$ -responsive genes at the basal level (Hanada et al.  $2005$ ), and IFN $\gamma$  is a key modulator of MHC-I antigen processing pathway, it is quite likely that SOCS1 may regulate antigen processing and presentation functions of DCs.

### 7 SOCS1 as an Immune Checkpoint Molecule

SOCS1 is critical to control aberrant activation of potentially autoreactive T cells and preserve self-tolerance. This has been clearly demonstrated using SOCS1-deficient mice harboring TCR transgenic CD8<sup>+</sup> T cells (Davey et al. [2005;](#page-23-0) Ramanathan et al. [2010\)](#page-28-0). In mice expressing the cognate transgenic antigen in pancreatic islets, SOCS1-deficient TCR transgenic CD8+ T cells cause autoimmune type-1 diabetes whereas SOCS1-sufficient cells do not. Similarly, SOCS1-deficient mice harboring Pmel-1 TCR transgenic CD8<sup>+</sup> T cells develop reactivity toward the endogenous melanocyte antigen gp100 expressed in normal keratinocytes of  $RagI^{-/-}$  mice, resulting in widespread cutaneous inflammatory lesions (Rodriguez et al. [2013](#page-28-0)). Hence, even though the activated phenotype of polyclonal CD8+ T cells in SOCS1-deficient cells might arise from antigen non-specific activation by cytokines (Ilangumaran et al. [2003a](#page-25-0); Metcalf et al. [2002;](#page-26-0) Starr et al. [1998\)](#page-28-0), this activated T cell pool may contain potentially autoreactive T cells. In a mouse model of acute inflammatory arthritis induced by methylated bovine serum albumin (mBSA) BSA, SOCS1-deficient mice develop severe disease accompanied by not only increased myeloid cell infiltration but also an accumulation of activated, mBSA-specific  $CD4^+$  T cells in the draining lymph nodes (Egan et al. [2003](#page-23-0)). The systemic lupus erythematosus (SLE)-like syndrome that develops in SOCS1-deficient mice does not occur in the absence of  $CD4<sup>+</sup>$  T cells, suggesting that the general inflammatory conditions caused by SOCS1 deficiency stimulate autoreactive  $CD4^+$  T cells that provide help to B lymphocytes (Fujimoto et al.  $2004$ ; Hanada et al. [2003](#page-24-0)). Similarly, decreased activation of Th1 and Th17 cells in mice lacking miR155 (and thus expressing more SOCS1), following immunization with the myelin oligodendrocyte glycoprotein (MOG) peptide  $MOG<sub>35-55</sub>$ , could arise not only from impaired Th cell differentiation, but also from impaired antigen-specific T cell activation (Murugaiyan et al. [2011;](#page-27-0) O'Connell et al. [2010](#page-27-0)). Moreover, adoptively transferred wild type  $CD4^+$  T cells undergo efficient activation in  $Mir155^{-/-}$  mice and cause disease, suggesting that the cytokine products of SOCS1-deficient APC are sufficient to activate autoreactive CD4<sup>+</sup> T cells (O'Connell et al. [2010\)](#page-27-0). Thus, SOCS1 deficiency either in T cells or loss of SOCS1-dependent control of APCs could promote activation of autoreactive T cells.

As discussed in the previous section, loss of SOCS1 expression in DCs or antitumor T cells would boost antitumor CTL response. However, tumor cells often lose SOCS1 expression and this may dampen antitumor immunity. In fact, SOCS1 has been extensively studied for its role as tumor suppressor in primary human cancers and mouse models. Following the seminal finding that the SOCS1 gene promoter is repressed by CpG methylation in hepatocellular carcinoma specimens (Yoshikawa et al. [2001\)](#page-29-0), numerous reported have shown similar findings in other cancers including acute and chronic myeloid leukemia, breast cancer, glioblastoma, ovarian cancer, etc., (reviewed in (Inagaki-Ohara et al. [2013;](#page-25-0) Sasi et al. [2014;](#page-28-0) Trengove and Ward, [2013\)](#page-29-0)). SOCS1 expression is also downmodulated by microRNAs including miR155, miR19a, and miR30d in breast cancer, multiple myeloma and prostate cancer (Jiang et al. [2010](#page-25-0); Kobayashi et al. [2012;](#page-25-0) Pichiorri et al. [2008\)](#page-27-0). In melanoma and prostate cancer, SOCS1 protein expression correlates with disease severity and metastasis (Chevrier et al. [2017](#page-23-0); Huang et al. [2008\)](#page-24-0). Observations in SOCS1-deficient mice also support the tumor suppressor function of SOCS1: Socs1<sup>-/-</sup>Ifng<sup>-/-</sup> mice that harbor activated T cells are more susceptible to radiation-induced T cell leukemia (Metcalf et al. [2002](#page-26-0)). On the other hand, mice lacking SOCS1 in all tissues except T cells spontaneously develop colorectal carcinoma, which is dependent on IFN $\gamma$  signaling in the colonic epithelium (Hanada et al. [2006](#page-24-0)). Both  $SocsI^{-/-}$  *Ifng<sup>-/-</sup>* mice and hepatocyte-specific SOCS1-deficient mice show high susceptibility to chemically induced hepatocellular carcinoma (Yeganeh et al. [2016](#page-29-0); Yoshida et al. [2004](#page-29-0)). While hematological malignancies are thwarted by SOCS1 mainly by putting brakes on JAK kinases, in epithelial cancers SOCS1 blocks oncogenic receptor tyrosine kinase signaling and diverse signaling molecules that are beyond the scope of this discussion. Clearly, increased tissue inflammation in SOCS1-deficient tissues contributes to neoplastic transformation and cancer growth (Inagaki-Ohara et al. [2013](#page-25-0)). However, it is possible that SOCS1 deficiency in cancer cells may also indirectly hinder antitumor immune response through "adaptive immune resistance", a process by which cancer cells inhibit tumor antigen-specific T cells by exploiting IFN $\gamma$  produced by immune cells (Ribas [2015\)](#page-28-0). Hence, even though loss of SOCS1-dependent control of IFN $\gamma$  signaling would theoretically enhance antigen presentation in cancer cells, their increased IFN $\gamma$  sensitivity can boost the expression of PD-L1 and IDO to hamper antitumor T

<span id="page-20-0"></span>cell response (McGray et al. [2014;](#page-26-0) Spranger et al. [2013\)](#page-28-0). Thus, loss of SOCS1 in cancer cells might exert an indirect checkpoint blockade on antitumor immunity. It will be worth investigating how SOCS1 deficiency in tumor cells impacts on antitumor immune responses.

#### 8 Targeting SOCS1 in Autoimmunity and Cancer

Relieving the checkpoint functions of SOCS1 to boost antitumor immunity would require its repression in APCs or antitumor T cells, whereas its functional reconstitution in inflammatory cells would be needed to promote its checkpoint functions in case of auto-inflammatory diseases. As an intracellular signal regulator, SOCS1 differs from the cell surface receptor–ligand pairs of classical immune checkpoint regulators (Mahoney et al. [2015\)](#page-26-0). SOCS1 also lacks enzymatic activity, and thus is not an ideal "druggable" target. Hence, approaches other than antibodies or small molecule modulators are needed to modulate SOCS1 expression in target cells.

Johnson and colleagues developed a cell-penetrating derivative of the KIR region of SOCS1 that functions as SOCS1 mimetic in inhibiting cytokine responses (Ahmed et al. [2015\)](#page-21-0). This SOCS1 mimetic has been shown to inhibit EAE by suppressing MOG peptide-specific T cell activation and IL-17A production (Jager et al. [2011](#page-25-0)). The SOCS1 KIR peptide also partially rescues the functional defects in Ubc13 deficient Tregs, which fail to upregulate SOCS1 due to impaired NF-KB signaling, in vitro and in vivo (Chang et al. [2012](#page-22-0)). Similar SOCS1 mimetic peptides have been developed with the idea of using them in topical applications, especially for cutaneous inflammatory conditions such as psoriasis (Madonna et al. [2013\)](#page-26-0). A cell-penetrating version of the full-length SOCS1 molecule (CP-SOCS1) has also been reported that inhibits pro-inflammatory cytokine production in cell lines (DiGiandomenico et al. [2009](#page-23-0)). Delivery through liposomes may be another option, as SOCS1 released from alveolar macrophages has been shown to inhibit IFN $\gamma$ signaling in airway epithelial cells (Bourdonnay et al. [2015\)](#page-22-0). These SOCS1 delivery approaches may be particularly useful in controlling inflammatory manifestations of unknown etiology and hinder activation of potentially autoreactive cells.

SOCS1 can be exploited to boost antitumor T cell responses at least in two ways (Fig. [5](#page-21-0)). As documented in animal models, silencing SOCS1 in DCs could be exploited to stimulate antitumor T cell response in the setting of DC-based cancer vaccines to improve their immunogenic potential (Anguille et al. [2014](#page-22-0); Evel-Kabler et al. [2006;](#page-24-0) Palucka and Banchereau [2012](#page-27-0); Shen et al. [2004\)](#page-28-0). An alternative approach could use SOCS1 gene silencing in T cells in the setting of adoptive cell therapy to select for poly-specific antitumor  $CD8<sup>+</sup>$  T cells from tumor-infiltrating lymphocytes (TIL) (Ji et al. [2015;](#page-25-0) Palmer and Restifo [2009;](#page-27-0) Rosenberg and Restifo [2015\)](#page-28-0). As SOCS1-deficient CD8<sup>+</sup> T cells show increased cytokine responsiveness and cytokine pre-stimulation increases antigen sensitivity (Ramanathan et al. [2010](#page-28-0), [2011\)](#page-28-0), lowering SOCS1 expression in TILs would facilitate the cytokine-mediated expansion of  $CD8<sup>+</sup>$  T cells and also would allow selection of those bearing low

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Fig. 5 Targeting SOCS1 for cancer immunotherapy. SOCS1 silencing in CD8<sup>+</sup> T cells that are expanded from tumor-infiltrating lymphocytes (TILs) could be useful to generate tumor antigen reactive CTLs for adoptive cell therapy. Alternatively, SOCS1 silencing in dendritic cells (DC) would improve their antigen presenting potential that can be exploited for antitumor DC vaccines or for ex vivo selection and expansion of antitumor CTLS for adoptive cell therapy

avidity TCR toward unknown tumor neoantigens. On the other hand, tackling the possible adaptive immune resistance in SOCS1-deficient tumors would require restoration of SOCS1 function. While the SOCS1 mimetic KIR peptide inhibits cancer cells in vitro, SOCS1 gene therapy has shown promise in suppressing tumor growth (Flowers et al. [2005;](#page-24-0) Liu et al. [2013;](#page-26-0) Natatsuka et al. [2015;](#page-27-0) Souma et al. [2012\)](#page-28-0). However, as the feasibility of delivering SOCS1 to all cells of primary cancer could be uncertain, combinatorial checkpoint therapy using anti-PD-1 or anti-PDL1 antibody and IDO inhibitors (Mahoney et al. [2015](#page-26-0)) would be a more practical approach to deal with the adaptive immune resistance in SOCS1-deficient tumors.

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