

Psilocybin for Trauma-Related Disorders



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Abstract Posttraumatic stress disorder (PTSD) is a debilitating, chronic disorder and efficacy rates of current PTSD treatments are underwhelming. There is a critical need for innovative approaches. We provide an overview of trauma and PTSD and cite literature providing converging evidence of the therapeutic potential of psilocybin for PTSD. No study to date has investigated psilocybin or psilocybin-assisted psychotherapy (PAP) as treatments for PTSD. An open-label study in traumatized AIDS survivors found that PAP reduced PTSD symptoms, attachment anxiety, and demoralization. Several PAP trials show preliminary efficacy in facilitating confronting traumatic memories, decreasing emotional avoidance, depression, anxiety, pessimism, and disconnection from others, and increasing acceptance, self-compassion, and forgiveness of abusers, all of which are relevant to PTSD recovery. There is also early evidence that other classic psychedelics may produce large reductions in PTSD symptoms in combat veterans. However, this body of literature is small, mechanisms are not yet well understood, and the risks of using psychedelic

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compounds for trauma-related disorders need further study. In sum, evidence supports further investigation of PAP as a radically new approach for treating PTSD.

Keywords Psilocybin · Posttraumatic Stress Disorder · Psychedelics · Trauma · Treatment

1 Introduction

The recent resurgence of interest in using psychedelics in mainstream healthcare has led to numerous studies investigating their therapeutic potential for multiple psychiatric disorders (e.g., Galvão-Coelho et al. 2021; Mithoefer et al. 2018). Trauma-related disorders such as posttraumatic stress disorder (PTSD) have notoriously chronic or treatment-resistant trajectories and there is an urgent need for novel interventions (Steenkamp et al. 2020). The majority of psychedelic-assisted clinical trials for PTSD have focused on using MDMA (3,4-Methylenedioxymethamphetamine), an entactogen now designated as a Breakthrough Therapy for PTSD by the U.S. Food and Drug Administration (FDA) (Mithoefer et al. 2018). However, a different group of psychoactive compounds, “classic psychedelics,” which includes psilocybin, lysergic acid diethylamide (LSD), and N,N-dimethyltryptamine (DMT), may provide important and unique therapeutic benefit for PTSD. In this chapter, we examine the theoretical framework for using psilocybin and other classic psychedelics to treat PTSD and trauma-related disorders and review relevant findings.

2 PTSD and Trauma-Related Disorders

Over 70% of the population will experience a traumatic event in their lifetime (e.g., Benjet et al. 2016). This number is likely even higher given that the current psychiatric diagnostic framework of trauma (American Psychiatric Association 2013) fails to capture experiences of racial trauma, emotional abuse and neglect, perpetration-based traumas (e.g., killing in combat), and institutional betrayal (e.g., Litz et al. 2009; Williams et al. 2018). Both the cumulative amount and type of trauma are important predictors of subsequent mental and physical health sequelae (Cloitre et al. 2009; Karam et al. 2014). Trauma exposure, particularly in childhood, can cause neurobiological, physiological, psychological, immunological, and epigenetic changes (e.g., Nemeroff 2004; Nöthling et al. 2020). The sequelae of trauma are numerous and include PTSD, depression, anxiety, suicidal thoughts and behaviors, personality disorders, somatic complaints, eating disorders, moral injury, substance use disorders, and psychotic disorder, cardiovascular, and autoimmune disorders (e.g., Chen et al. 2010; Cloitre et al. 2009; Litz et al. 2009; O’Donovan

et al. 2015; Shalev et al. 1998). In this chapter, we primarily focus on the specific psychological sequelae of PTSD, although trauma very likely plays a role in the development and maintenance of other disorders.

PTSD is a debilitating psychiatric disorder that involves re-experiencing, avoidance, negative alterations in cognition and mood, and hyperarousal (American Psychiatric Association 2013). Stemming from exposure to traumatic event(s), PTSD is characterized by emotion dysregulation and can cause long-lasting impairments in social and occupational functioning (Ehring and Quack 2010; Rodriguez et al. 2012). Lifetime prevalence rates range widely (7–50%) depending on the population, with higher rates in veterans than civilians, and amongst those with interpersonal trauma (Fulton et al. 2015; Kessler et al. 2017). PTSD is associated with chronic symptom trajectories, increased psychiatric and medical morbidity, and decreased quality of life (Sareen et al. 2007).

Prevailing theoretical models of factors involved in the etiology and maintenance of PTSD are largely rooted in principles of (1) fear conditioning, with a focus on increased fear acquisition and impaired extinction, and (2) cognitive theory, emphasizing negative appraisals of the trauma and its meaning (Ehlers and Clark 2008; Foa and Kozak 1986). Beyond these models, individuals with PTSD are more likely to have insecure attachment styles, use avoidance-related emotion regulation strategies like suppression, and have strongly negative self- and other-concepts (e.g., Seligowski et al. 2015; Woodhouse et al. 2015). In addition, the extent to which a person perceives the trauma(s) as central to their identity and life story is a robust predictor of risk for and severity of PTSD (for review, see Gehrt et al. 2018). Indeed, how a person organizes their knowledge of self and consequently, others in relation to self appears central to PTSD. These self-other concepts can subsequently serve as reference points for interpreting everyday occurrences, which then contribute to the developing and/or strengthening of stable, global beliefs (Berntsen and Rubin 2006). Overall, these models highlight the breadth of important treatment targets robustly involved in PTSD.

Currently available evidence-based treatments (EBTs) for PTSD are inadequate (Steenkamp et al. 2020). Existing pharmacotherapies (e.g., selective serotonin reuptake inhibitors (SSRIs)) provide limited symptom relief and likely lack the specificity to address the unique neurobiology of PTSD (see Ostacher and Cifu 2019). Critically, up to 60% of patients do not respond adequately to medications (Watts et al. 2013), and latest clinical guidelines even advise against most available pharmacotherapies (Ostacher and Cifu 2019). Rooted in fear conditioning and cognitive models of PTSD, front-line psychotherapies include Prolonged Exposure (PE) and Cognitive Processing Therapy (CPT). Both treatments show moderate efficacy in reducing PTSD symptoms compared to waitlist and treatment as usual (e.g., Merz et al. 2019). However, as many as 60–72% of patients retain their PTSD diagnoses (see Steenkamp et al. 2015; Watts et al. 2013) and dropout rates reach up to 40% (e.g., Goetter et al. 2015). Some have theorized that fear conditioning models, while effective, may be too narrow to explain the breadth of symptoms associated with PTSD (e.g., Krystal et al. 2017; Markowitz et al. 2015). Indeed, trauma exposure and PTSD are both linked to structural, functional, and connectivity

alterations in brain networks responsible for not only fear conditioning, but also self-concept, emotion regulation, and memory (see review Liberzon and Abelson 2016; Akiki et al. 2017). In sum, development of alternatives to current pharmacologic and psychotherapeutic EBTs is essential for improving outcomes for this patient population.

3 Brief Review of Psilocybin

Psilocybin is the naturally occurring prodrug of psilocin (4-hydroxy-dimethyltryptamine) and has been used by Indigenous peoples of Central and South America for centuries (Wasson 1980). Psilocin is a serotonergic (5-hydroxytryptamine, 5-HT) agonist, primarily exerting its psychedelic effects through the 5HT2A receptors but also binding to 5HT2C, 5HT1A, and 5HT1B receptors (Halberstadt and Geyer 2011). Acutely, psilocybin can cause profound, dose-dependent changes in sensory perception and cognition including auditory and visual hallucinations and derealization (Barrett et al. 2015; Kometer and Vollenweider 2018) that last from 3 to 6 h after oral ingestion. Studies examining the clinical safety of oral dosages ranging from 0.29 to 0.43 mg/kg indicate psilocybin is generally well tolerated (Bogenschutz and Ross 2016), has low physiological toxicity, and is not associated with compulsive drug seeking (e.g., Tylš et al. 2014; van Amsterdam et al. 2011). Evidence from preclinical models, neuroimaging work, and clinical trials (Baumeister et al. 2014; Carhart-Harris et al. 2012; Catlow et al. 2013; Herzog et al. 2020; Kraehenmann et al. 2015; Kringelbach et al. 2020; Ly et al. 2018; Petri et al. 2014; Raval et al. 2021; Schindler et al. 2018) suggest that psilocybin and related compounds have the potential to alleviate PTSD symptoms via multiple mechanisms (see review by Vollenweider and Preller 2020). Further investigation is critical to understanding the effects of classic psychedelics for trauma-related disorders.

4 Review of the Empirical Literature of Psilocybin for Treating PTSD

To date, no studies have examined the efficacy of psilocybin as a PTSD treatment (see review Krediet et al. 2020) or have reported quantitative information on trauma exposure rates in participants. One study examined PTSD symptoms as a secondary outcome in a single-arm, open-label, trial of psilocybin-assisted group psychotherapy (Anderson et al. 2020). In a sample of 18 older, gay-identified, long-term AIDS survivors, PTSD severity declined from baseline to the end of treatment with gains maintained at 3-month follow-up with moderate effect sizes. However, only 3 of the 18 participants had a baseline PTSD severity score above clinical cut-off, limiting the conclusions that can be drawn. Attachment anxiety also significantly decreased

from baseline to 3-month follow-up in the same study (Stauffer et al. 2020), which is in line with research in healthy volunteers showing psilocybin acutely reduces rejection sensitivity (Preller et al. 2015).

Studies focused on depression and anxiety also point to psilocybin's ability to improve negative cognitions, avoidance, anxiety, and disconnectedness, overlapping symptoms between PTSD and other disorders. Four studies reported that psilocybin-assisted therapy reduces depression symptoms in patients with treatment-resistant depression (TRD) and in cancer patients (see meta-analysis Galvão-Coelho et al. 2021). Across all four studies, depression remission rates remained high (60–80%) at 3- or 6-month follow-up and patients reported being less pessimistic about their future (Watts et al. 2017). In follow-up qualitative interviews from one study (Watts et al. 2017), participants reported post-treatment shifts from avoiding traumatic memories and painful emotions to confronting and accepting them, increased understanding and compassion for past abusers, access to a fuller range of autobiographical material, and a sense of reconnection with self, others, and the world. In the three studies in cancer patients, psilocybin reduced trait anxiety related to having a life-threatening illness and some participants discussed unearthing and processing childhood traumas that were realized to be unhealed (Malone et al. 2018). Additionally, a prospective self-report study found psilocybin (and related compounds) reduced experiential avoidance, which in turn correlated with decreases in depression and suicide ideation (SI) (Zeifman et al. 2020). Finally, a cross-sectional self-report study in Black, Indigenous, and People of Color (BIPOC) who experienced racial trauma reported that increases in psychological flexibility following ingestion of psilocybin (and related compounds) were associated with decreases in posttraumatic stress symptoms (Davis et al. 2021; Williams et al. 2021). Although additional research focused on PTSD specifically is clearly needed, these findings provide some insight into how psilocybin may help trauma-related disorders across a range of trauma types.

5 Review of the Empirical Literature of Other Classic Psychedelics for Treating PTSD

To the best of our knowledge, no studies have investigated the potential of LSD to treat PTSD (see review Krediet et al. 2020). Given that it is also a 5HT_{2A} agonist and facilitates associative learning (Romano et al. 2010), it may have similar therapeutic effects for PTSD as those theorized for psilocybin, but clinical evidence is needed. Ayahuasca (which includes the psychedelic tryptamine, DMT) has also been proposed as a candidate treatment for PTSD (e.g., Nielson and Megler 2014). Studies in rodents and healthy volunteers show that ayahuasca increases serotonin and induces alterations in activity in regions involved in episodic memory, contextual associations of emotional events, and reactivity (de Castro-Neto et al. 2013; Riba et al. 2006). Another study found DMT with pharmahuasca (Harmaline)

normalized trauma-induced reactive oxygen species production and PTSD associated gene expression that overlapped with human PTSD (Kelley et al. 2022). A recent open-label study examined the effectiveness of using 5-MeO-DMT (another psychedelic tryptamine found in several plants and certain desert toads) and ibogaine to treat PTSD in combat veterans ($N = 65$) (Davis et al. 2020). PTSD symptoms, suicidal ideation (SI), depression, anxiety, and psychological flexibility all showed significant reductions from 1 month pre- to 1 month post-treatment, with large effect sizes. Notably, the dual action on serotonergic (5-MeO-DMT) and Kappa opioid and NMDA (ibogaine) receptors may have provided unique, additive benefits; it is unclear whether using only one psychedelic agent would have yielded the same results. No study has empirically investigated 5-MeO-DMT or ibogaine as monotherapies for PTSD. In one large survey study assessing 5-MeO-DMT use and effects, 21% reported having PTSD and of those, 79% reported symptom improvement (18% no change, 3% worsening) (Davis et al. 2018). These findings suggest the potential of 5-MeO-DMT and ayahuasca for PTSD, but intervention studies evaluating each of these compounds are essential. Additionally, in an online survey study, participants reported improvements in PTSD symptoms following use of mescaline, another primarily serotonergic psychoactive alkaloid (Agin-Liebess et al. 2021).

LSD and ayahuasca also have demonstrated anxiolytic and antidepressant effects, facilitated engagement with salient memories, and improved positive self-other concepts that may be relevant for treating PTSD. In a placebo-controlled pilot study, LSD-assisted psychotherapy significantly reduced anxiety related to having a life-threatening illness (Gasser et al. 2014). Of the eight participants in the LSD condition, one did have PTSD, but no data regarding PTSD symptom changes were reported. In qualitative interviews a year later, participants reported treatment helped them confront memories and access emotion, which they viewed as helpful in restructuring their beliefs about trust and the world (Gasser et al. 2015). There is also evidence a single dose of LSD produces lasting reductions in anxiety in healthy volunteers (Schmid and Liechti 2018) and increases feelings of trust and closeness (Dolder et al. 2016), effects likely to benefit individuals with PTSD. In open-label and placebo-controlled trials in depressed participants, ayahuasca significantly reduced depression severity (Osório et al. 2015; Palhano-Fontes et al. 2019). These findings provide preliminary evidence that classic psychedelics can reduce anxiety, improve mood, and facilitate trauma processing.

6 Considerations for Other Trauma-Related Disorders

6.1 *Complicated Grief*

Complicated Grief (CG) is a distinct syndrome characterized by protracted and impairing grief in response to losing someone, causing more impairment and significant distress than traditional grief trajectories (American Psychiatric Association 2013). Core symptoms include pervasive yearning for the deceased, persistent

preoccupation with the deceased, and avoiding grief-related stimuli. There is preliminary evidence that psilocybin and ayahuasca reduce grief. Although no study has investigated psilocybin to treat CG, the psilocybin group therapy for demoralization trial did find significant decreases in CG symptoms, with improvement maintained at 3-month follow-up (Anderson et al. 2020). Two additional studies examined the effectiveness of ayahuasca for bereavement. In a small survey study, ayahuasca reduced grief and preoccupations and improved self-other forgiveness significantly more than peer-support grief groups (González et al. 2017). In a small sample of 50 bereaved individuals attending ayahuasca ceremonies, symptoms of grief significantly decreased from baseline to end of treatment and up to 12 months after (González et al. 2020). Acceptance and defusion mediated the improvement in grief symptoms. These findings are encouraging and future research should prioritize investigating the therapeutic potential of psilocybin and ayahuasca for CG.

6.2 *Borderline Personality Disorder*

Borderline personality disorder (BPD) is theorized as a trauma-related disorder with high rates of comorbidity with PTSD and notable symptom overlap (e.g., Cloitre et al. 2013). To date, no study has investigated the efficacy of psilocybin or classic psychedelics for treating BPD. Notably, three participants in the psilocybin group therapy trial in AIDS survivors met criteria for BPD (Anderson et al. 2020), but no data on BPD symptom changes were reported. Similarly, in a clinical trial of ayahuasca for TRD, 76% of participants had a cluster B personality disorder, but no data on personality disorder changes were reported (Palhano-Fontes et al. 2019). Of most relevance to BPD, two studies show psilocybin reduces anxious attachment and rejection sensitivity (Stauffer et al. 2020; Preller et al. 2015) and another study found psilocybin helped people re-connect with close others who had wronged them (Watts et al. 2017). Psilocybin also increases feelings of empathy, and trait openness while decreasing trait neuroticism (Carhart et al. 2018; Roseman et al. 2018). In conjunction with results showing psilocybin induces decreased amygdala reactivity to negative faces (Roseman et al. 2018), these findings raise the possibility that psilocybin could influence mechanisms central to the relational symptoms of BPD such as fear of abandonment, which might have downstream effects on behavioral efforts to avoid this outcome. Finally, psilocybin and related compounds have been shown to actually decrease suicide-related behaviors (see review Zeifman et al. 2021; Ross et al. 2021), hinting at a therapeutic potential for this common BPD symptom. Given the prevalence of self-directed violence in BPD, a critical future consideration is whether psilocybin and related compounds should be integrated with existing EBTs like Dialectical Behavior Therapy (Zeifman and Wagner 2020).

7 Precautions and Possible Contraindications

Given the absence of psilocybin therapy trials for PTSD, the safety and tolerability of this treatment for this population remains unknown. Three of the most obvious potential adverse effects and contraindications include dissociative episodes, concomitant medications, and self-directed violence. Dissociative features are a subtype of PTSD symptoms and are either common or are central to other trauma-related disorders (e.g., BPD, dissociative identity disorder). In the scant studies examining classic psychedelics, no data on baseline or subsequent dissociative episodes has been reported. In the small psilocybin trial by Anderson et al. (2020), one person experienced a flashback a few days after the dosing session. Interestingly, participants in some other psilocybin trials (e.g., Watts et al. 2017) have reported *more* embodiment, not less, possibly suggesting psilocybin could help trauma-related dissociation. Critical lines of future research include the general safety profile of psilocybin-assisted therapy for dissociative subtypes of PTSD and related phenomenology (i.e., flashbacks), BPD, and dissociative disorders. We also need to determine whether people with dissociative features are more likely to experience dissociation during psilocybin sessions, whether that is associated with adverse or *positive* acute and long-term outcomes (e.g., Roseman et al. 2018), and whether psilocybin increases subsequent dissociation frequency.

An alternative approach may be to consider using psycholytic doses, or low doses that provide that serve to lubricate the therapeutic process and socialize users to the effects (Garcia-Romeu and Richards 2018). In theory, psycholytic doses may provide an opportunity for mild relaxation of ego defenses, which could be leveraged to deepen psychotherapeutic processes (Majic et al. 2015). Those with a high propensity for dissociation or re-experiencing symptoms, or who are more apprehensive about psychedelics may also particularly benefit from such a graded approach. Similar to starting any medication, however, using the “start low, go slow” approach will likely be safest. Research comparing the efficacy of macrodosing (i.e., full dose), psycholytic, and microdosing will greatly improve our understanding of how best to use these compounds to treat PTSD. The basic components of preparation sessions for PTSD patients need not necessarily be altered from modern psychedelic protocols (i.e., review safety procedures, what ifs, agreed upon touch, rescue procedures), but clinical judgment should always be used. Regarding concerns about potentially intense exposure experiences, further study is needed to understand tolerability of psilocybin in PTSD. Thus far, psychedelic therapies for PTSD are associated with high ratings of acceptability, satisfaction, and openness to further therapy and low dropout (e.g., Barone et al. 2019; Davis et al. 2020; Feder et al. 2021). However, it is critical to recognize the potential for bias in these findings particularly given that participants in early studies may have unusually positive expectations for treatment.

Another concern is that little is known about possible interactions between psilocybin and psychotropics typically used to treat PTSD. Anecdotal evidence suggests that chronic use of SSRIs, serotonin-norepinephrine reuptake inhibitors

(SNRIs), and antipsychotics all attenuate the psychedelic effects of psilocybin and related compounds (e.g., Bonson et al. 1996). There is also theoretical risk of inducing serotonin syndrome by combining classic psychedelics with other serotonergic agents, which can be life-threatening. However, high quality evidence is lacking. Because these risks are not well-understood, all modern clinical trials have required patients to abstain from most psychotropics and other medications that may influence psilocybin metabolism and/or precipitate adverse effects. Future trials should explore which whether SSRIs, the most common PTSD pharmacotherapy, and other concomitant medications are safe and appropriate to continue during psilocybin-therapy. Regarding risk of self-directed violence, two large population studies reported psilocybin use is associated with *reduced* SI, planning, and attempt (Johansen and Krebs 2015; Krebs and Johansen 2013). This same pattern of findings was also found in a longitudinal study of women sex workers with high trauma exposure (Argento et al. 2017). Psilocybin and ayahuasca have also been associated with reduced SI in clinical trials for depression (e.g., Carhart-Harris et al. 2018; Zeifman et al. 2021). Though this early evidence suggests psilocybin may confer a protective effect (for review Zeifman et al. 2021), research examining changes in self-directed violence in people with trauma-related disorders specifically is essential.

8 Conclusions

Trauma exposure is ubiquitous and the downstream effects on mental and physical health, overall functioning, and quality of life can be devastating. Psilocybin and other classic psychedelics may offer unique value for healing trauma-related disorders through dynamic neuronal and neuromodulation changes across large-scale networks throughout the whole brain. Although there is preliminary support, there is a clear need for rigorous clinical studies that specifically test the efficacy of these compounds in trauma-related disorder samples. Regardless of clinical population, future psychedelic-assisted therapy studies would greatly benefit from measuring trauma exposure, including those falling outside the DSM Criterion A (e.g., racial trauma), PTSD symptoms, and pre- and post-treatment SI and related behaviors. Progress in this field will also require careful investigation of potential adverse effects and contraindications. Future studies that compare the efficacy of different psychedelic-assisted therapy approaches, evaluate dose and sequencing options, determine personalized medicine guidelines, and assess scalability are needed. Notwithstanding the early stage of this work, evidence to date supports further investigation of PAP as a radically new approach for treating PTSD.

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