

MicroRNAs in Post-traumatic Stress Disorder



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Abstract Post-traumatic stress disorder (PTSD) is a psychiatric disorder that can develop following exposure to or witnessing of a (potentially) threatening event. A critical issue is to pinpoint the (neuro)biological mechanisms underlying the susceptibility to stress-related disorder such as PTSD, which develops in the minority of ~15% of individuals exposed to trauma. Over the last few years, a first wave of epigenetic studies has been performed in an attempt to identify the molecular underpinnings of the long-lasting behavioral and mental effects of trauma exposure. The potential roles of non-coding RNAs (ncRNAs) such as microRNAs (miRNAs) in moderating or mediating the impact of severe stress and trauma are increasingly gaining attention. To date, most studies focusing on the roles of miRNAs in PTSD have, however, been completed in animals, using cross-sectional study designs and focusing almost exclusively on subjects with susceptible phenotypes. Therefore, there is a strong need for new research comprising translational and cross-species approaches that use longitudinal designs for studying trajectories of change contrasting susceptible and resilient subjects. The present review offers a comprehensive overview of available studies of miRNAs in PTSD and discusses the current challenges, pitfalls, and future perspectives of this field.

Keywords Brain • Epigenetics • microRNA • Post-traumatic stress disorder • Review

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1 Introduction

Over the last few decades, epigenetic mechanisms have been proposed to be key mediators of the lasting behavioral and molecular effects of traumatic stress exposure (Schmidt et al. 2011). While a first wave of epigenetic studies in this area focused mostly on DNA methylation, epigenetic studies in more recent years have expanded this approach by analyzing the expression of non-coding RNA (ncRNA) species and their impact on gene expression. These RNA molecules of

different sizes and forms include non-coding stretches of 20–25 nucleotides named microRNAs (miRNAs). These are increasingly being investigated for their pathophysiological connection to psychiatric disorders including post-traumatic stress disorder (PTSD). More recently, studies have started to focus on the potential use of miRNAs as biomarkers of PTSD.

The present review provides an overview of the current status of the literature on miRNAs in relation to exposure to traumatic stress and its impact on mental health in humans and other mammals. To do so, we briefly describe PTSD-related neurobiological alterations along with the basic concepts of epigenetic mechanisms. Next, an overview of the current scientific evidence on miRNAs in relation to PTSD in humans and PTSD-related symptoms in animals is provided. Finally, current challenges, pitfalls, and future perspectives in studying the potential role of miRNAs in PTSD are discussed.

2 Post-traumatic Stress Disorder

As we know, PTSD is a psychiatric disorder that is triggered by a (potentially) life-threatening traumatic event, i.e., an event capable of producing intense feelings of fear, helplessness, and horror (American Psychiatric Association 2013). Characteristic symptoms include re-experiencing of the traumatic event through intrusive imagery or recurrent nightmares, constant avoidance of reminders of the event, negative mood, and hyperarousal reflected by insomnia and/or hypervigilance. Although these symptoms are often of limited intensity and duration, in a small, susceptible minority of the population they persist longer than 1 month following trauma exposure and create significant distress. Long-term persistence of symptoms is characteristic of PTSD, while the ability to withstand trauma without developing any stress symptoms or rapid recovery from an acute stress reaction without progression to PTSD is referred to as resiliency.

Over the past few decades, PTSD has repeatedly been associated with several neurobiological alterations including decreased hippocampal volume (Smith 2005; Karl et al. 2006; Shin et al. 2006), hyperactivity of the amygdala and hypoactivity of the dorsal and rostral anterior cingulate (AC) cortices and ventromedial prefrontal cortex (vmPFC) (Shin et al. 2006; Etkin and Wager 2007; El Khoury-Malhame et al. 2011). In an attempt to further elucidate the (neuro)biological processes underlying the observed differential susceptibility to traumatic stress, a large number of studies have focused on alterations in the hypothalamus-pituitary-adrenal (HPA) axis. Since the HPA axis is a core component of the mammalian stress response, its (dys)function has been extensively studied in the context of PTSD. In healthy individuals, stressful events trigger neurons of the hypothalamic paraventricular nucleus (PVN) to secrete corticotropin-releasing hormone (CRH) and vasopressin, which causes the release of adrenocorticotropin (ACTH) from the anterior pituitary and finally glucocorticoids from the adrenal cortex (Chrousos and Gold 1992). The activity of the HPA axis is modulated via several brain regions; for example, CRH neurons in the

PVN are inhibited by the hippocampus and PFC and stimulated by areas such as the amygdala (Sherin and Nemeroff 2011). Finally, in order to regulate their own synthesis, glucocorticoids inhibit excessive synthesis and release of CRH and ACTH by controlling hippocampal and PVN neurons, and downregulating CRH₁ receptors and corticotrope function in the anterior pituitary, thereby creating a negative feedback mechanism (Sherin and Charles 2011).

Several studies have found that subjects with PTSD show increased levels of CRH in cerebrospinal fluid (CSF) (Baker et al. 1999), as well as a blunted ACTH response to CRH (Yehuda 2006), a disturbed negative feedback loop (Geraciotti et al. 2008), and increased sensitivity of glucocorticoid receptors (GRs) and chronically lowered cortisol levels (Yehuda 2001; Yehuda et al. 2000). Although dysregulation of the HPA axis is well-documented in the context of stress-related disorders and PTSD has repeatedly been associated with reduced cortisol levels, variability in response between individuals remains. The current hypothesis is that cortisol levels depend upon gender and the type of trauma exposure among other factors (Meewisse et al. 2007; Young and Breslau 2004; Lemieux and Coe 1995). To further unravel the molecular regulation of biological mechanisms underlying the onset and course of PTSD, more recent research has also focused on the involvement of epigenetic mechanisms.

3 Epigenetics: The Role of miRNAs

The term epigenetics refers to a variety of heritable but reversible processes involved in the regulation of gene expression under influence of environmental factors without the original genetic code being altered (Peschansky and Wahlestedt 2014). These epigenetic modifications are numerous and include (hydroxy)methylation of DNA cytosine residues, post-translational modifications (PTMs) of histone proteins and ncRNAs (Kouzarides 2007; Venkatesh and Workman 2015). ncRNAs refer to a class of small RNA molecules that are transcribed from genomic DNA without being translated into proteins (Peschansky and Wahlestedt 2014). Instead, these RNAs are directly involved in cellular function and gene regulation. Next to ribosomal and transfer RNAs, ncRNAs include the most commonly studied small interfering RNAs (Zamore 2002), circular RNAs (Memczak et al. 2013), piwi-interacting RNAs (Aravin et al. 2007), and miRNAs.

3.1 Biogenesis and Mode of Action of miRNAs

miRNAs are small (~22 nt in length) ncRNA molecules found in most eukaryotes (Fabian and Sonenberg 2012). Hundreds of different miRNAs are expressed within an organism and are involved in post-transcriptional regulation of gene expression (Pritchard et al. 2012). miRNAs are commonly classified as “intergenic” or

“intronic.” Intergenic miRNA are transcribed from genomic DNA by RNA polymerase II and/or III (Borchert et al. 2006) and intronic miRNA are processed from intronic regions of heterogeneous nuclear RNA (hnRNA) (Ramalingam et al. 2014). In both cases, a primary miRNA (pri-miRNA) is formed and further cleaved and stabilized by the protein complex microprocessor that includes the ribonuclease III Drosha and its co-factor, DiGeorge syndrome critical region 8 (DGCR8) (Borchert et al. 2006). This process takes place within the nucleus and results in a precursor miRNA (pre-miRNA) of 70–100 nt in length forming a hairpin structure (Issler and Chen 2015; Lee et al. 2003). Following transport to the cytoplasm by the nuclear transport factor Exportin-5, a complex including the RNase III Dicer further processes the pre-miRNA to yield a miRNA duplex containing the final mature miRNA strand and a so-called passenger strand (Fig. 1) (Davis-Dusenbery and Hata 2010).

Binding of the 5' end of the mature miRNA (i.e., the “seed” sequence) to an almost complementary 6–8 nt seed match sequence in the 3' UTR of mRNA induces mRNA degradation or translational inhibition (Pritchard et al. 2012;

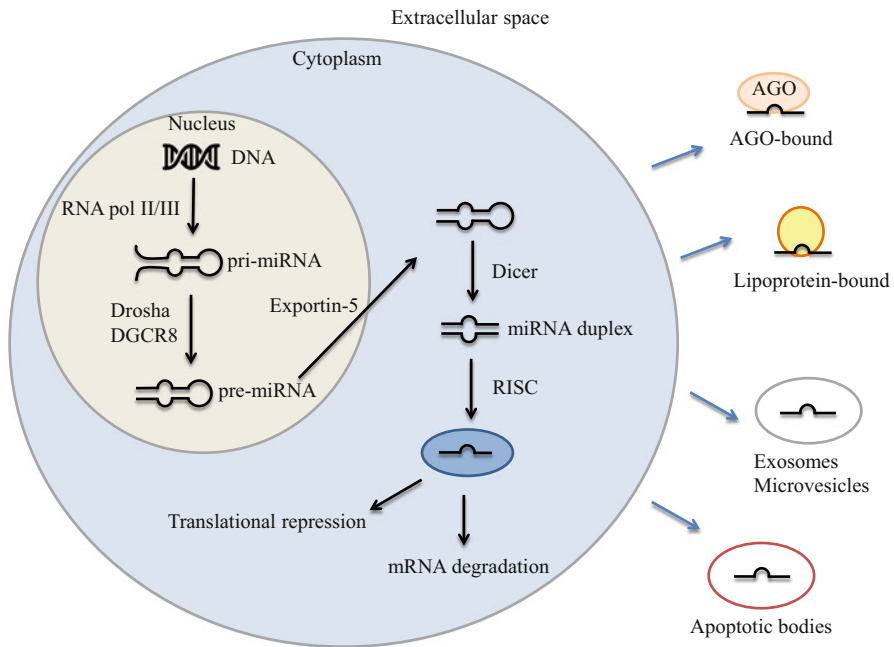


Fig. 1 miRNA biogenesis and cellular locations. miRNAs are transcribed into pri-miRNA by RNA polymerase II and/or III before being further processed by Drosha and DGCR8 to form a cleaved pre-miRNA. After transportation to the cytoplasm by Exportin-5, this pre-miRNA is further digested by a complex including the RNase III Dicer. The mature miRNA is then involved in translational repression and/or mRNA degradation through interaction with the RISC. In the extracellular space, miRNAs are protected from degradation by RNases through binding to RNA-binding proteins (e.g., Ago 1 or 2) or (high-density) lipoproteins, or packaging into exosomes or microvesicles

Davis-Dusenbery and Hata 2010). Thus, miRNAs hold the potential to post-transcriptionally regulate gene expression. Specifically, the mature miRNA triggers the activation of the RNA-induced silencing complex (RISC), a large protein complex containing an Argonaute protein (Ago2) needed for gene silencing, and the mature single-stranded miRNA that leads the complex towards the appropriate mRNA target (Fig. 1) (Fabian and Sonenberg 2012). It was commonly believed that, at this point, only the functional guide strand of the double-stranded miRNA product was incorporated into the RISC and the passenger strand was being degraded (Issler and Chen 2015). However, increasing evidence shows that the passenger strand also has biological functions and target mRNAs (Yang et al. 2013). In either case, depending on the type of Ago protein, the target will be cleaved directly or additional proteins may be needed to achieve silencing. However, exactly how this complex interacts with mRNA strands and which additional proteins are recruited remains unclear.

Currently, it is believed that miRNAs regulate 30–60% of human protein-coding genes (Friedman et al. 2009; Lewis et al. 2005). Several studies have investigated genetic variations such as single nucleotide polymorphisms (SNPs) in the 3' UTRs of mRNAs (Hanin et al. 2014; Jin and Lee 2013). Since base-pair matching between miRNAs and mRNAs relies on imprecise complementarity, one single miRNA can target many different mRNAs. Therefore, genetic variations in one miRNA target can cause a wide variety of molecular and behavioral effects due to the potential of one miRNA to bind multiple targets.

3.2 *miRNAs in the Nervous System*

miRNAs are widely expressed within the central nervous system (CNS) and are suggested to be crucially involved in its development (Smith et al. 2010). Studies have demonstrated that several miRNAs are implicated in the proliferation and differentiation of neural stem cells (NSCs) (Bian et al. 2013), dendritic development (Magill et al. 2010), axon outgrowth and branching (Dajas-Bailador et al. 2012), and synaptic plasticity (Aksoy-Aksel et al. 2014; Hu and Li 2017). Given their central involvement in neural development and function, CNS miRNA dysregulations have been identified in several neuropsychiatric and neurodegenerative disorders such as major depressive disorder (MDD) (Smalheiser et al. 2012; Bai et al. 2012), Alzheimer's disease (AD) (Absalon et al. 2013; Hu et al. 2013), and Parkinson's disease (PD) (Wang et al. 2008; Doxakis 2010). Identifying exactly which and how miRNAs within the CNS interact to exert their regulatory effects will be crucial for our understanding of their precise involvement in these and other neurological disorders.

3.3 Circulating miRNAs

While most miRNAs are found inside the cells, a significant number of miRNAs have been observed in extracellular compartments such as biofluids, including blood plasma, serum, saliva, urine, tears, and CSF (Park et al. 2009; Taylor and Gercel-Taylor 2013; Hanke et al. 2010; Weber et al. 2010). These extracellular miRNAs are relatively stable since they are commonly bound to proteins such as Ago1 or 2 and (mostly high density) lipoproteins or packed into vesicles and thus protected from degradation by RNases (Fig. 1) (Taylor and Gercel-Taylor 2013; Turchinovich et al. 2013; Camussi et al. 2011; Valadi et al. 2007; Mitchell et al. 2008; Vickers et al. 2011; Wagner et al. 2013).

Packaging of miRNAs is the most common mechanism used to protect circulating miRNAs. miRNAs can be packaged into apoptotic bodies, shedding vesicles called microvesicles, or exosomes resulting from multivesicular bodies (MVBs) fusing with the plasma membrane (Taylor and Gercel-Taylor 2013; Turchinovich et al. 2013). miRNAs encapsulated within MVBs are believed to arise from the disassembled RISC and are packed along with several RISC-associated components (Gibbings et al. 2009). Once secreted, exosomes translocate easily across cell membranes, thus allowing miRNAs to be taken up by other cells where they hold the potential to actively alter gene expression, among other functions (Wang et al. 2010). Although packaged miRNAs are thought to be specifically involved in RNA-mediated cell-to-cell communication, Ago-bound miRNAs appear to be non-specific residues of cellular activity or cell death (Turchinovich et al. 2013). Indeed, Ago-miRNA complexes have not been found to be actively released or taken up by recipient cells, unlike exosomal miRNAs (Turchinovich et al. 2013). Although several theories have been postulated with regard to extracellular miRNA origin, stability and precise function in recipient cells, many questions remain to be answered. However, circulating miRNAs have several properties that make them interesting relevant candidates to be investigated as biomarkers; they are stable in various biofluids, their sequences are conserved among different species, the expression of some miRNAs is specific to tissues or biological stages, and the level of miRNAs can be easily assessed by various methods, such as small-RNA sequencing, microarrays and quantitative polymerase chain reaction (PCR) (Etheridge et al. 2011). As such, circulating miRNAs in biofluids may reflect miRNA expression and/or dysfunction in the brain.

3.4 Mechanism of miRNA Regulation

In the past few years it has become clear that miRNA expression is regulated by DNA methylation and histone modifications and vice versa (Satrom et al. 2007). Several proteins of the methyl-CpG-binding domain (MBDs) family, i.e. proteins binding to methylated DNA cytosine residues, directly influence miRNA expression (Liu et al.

2010; Chen et al. 2012). Moreover, disturbed methylation patterns arising in promoter regions of miRNA genes have been linked to several human diseases, including neurodegenerative disorders (reviewed in (Van den Hove et al. 2014) for AD). Similarly, histone modifiers have not only been shown to interact with DNA methyltransferases (Dnmts), enzymes involved in maintaining or establishing de novo DNA methylation patterns (Rose and Klose 2014; Raabe and Spengler 2013), but are also suggested to affect miRNA expression levels (Scott et al. 2006). Interestingly, miRNAs themselves have been shown to target histone modifier molecules involved in histone PTM and Dnmt1, 3a, and 3b (Fabbri et al. 2007) through a process termed RNA-directed DNA methylation (Sato et al. 2011). For instance, Dicer-null mouse embryonic stem cells have been shown to express significantly lower levels of Dnmt1, Dnmt3a, and Dnmt3b, further resulting in altered DNA methylation patterns (Sinkkonen et al. 2008). The presence of such epigenetic feedback loops highlights the complex interaction between miRNAs and other epigenetic mechanisms (Schouten et al. 2013).

4 miRNAs, Stress and PTSD

The results of studies examining miRNAs in the context of stress and PTSD in humans or PTSD-related symptoms in animals are described below and summarized in Tables 1 and 2, respectively.

4.1 Evidence from Animal Studies

4.1.1 miRNAs and Fear Conditioning

Patients with PTSD are known to show enhanced fear conditioning and to benefit from exposure-based therapy (Blechert et al. 2007). This therapy is very similar to the fear extinction training used in animals (Norberg et al. 2008). Therefore, the first study to indirectly examine the role of miRNAs in PTSD focused on their involvement in fear extinction (Lin et al. 2011). In this study, the level of miR-128b was increased in the infra-limbic PFC (ILPFC) of mice following fear extinction training, implicating its involvement in fear conditioning (Lin et al. 2011). Previously, proteins involved in miRNA biogenesis had already been shown to play a role in memory formation. Indeed, the deletion of Dicer1 in the forebrain of mice caused a decrease in several miRNAs and enhanced learning and memory strength (Konopka et al. 2010). Several recent animal studies have confirmed that specific miRNAs in several brain regions are involved in fear memory consolidation (Dias et al. 2014; Griggs et al. 2013), contextual fear memory (Vetere et al. 2014), state-dependent fear (Jovasevic et al. 2015), and memory acquisition of trace fear conditioning (Wang et al. 2013).

Table 1 Animal studies examining the role of miRNAs in PTSD

Sex (if mentioned) and species	Model	Tissue	miRNA analyses	Primary finding
Male rats	Surgical traumatic stress Cultured neurons	Frontal cortex	miRNA analyses TaqMan miRNA assay, qRT-PCR	↑ miR-222 in the frontal cortex 3d following traumatic stress (Zhao et al. 2011)
Male rats	Auditory FC	Amygdala	qRT-PCR, miRNA microarray, TaqMan miRNA assay, miRNA overexpression	↓ miR-182 1 h following auditory FC. Overexpression in lateral amygdala disrupted long-term memory formation (Griggs et al. 2013)
Male rats	3d of immobilization and tail shock sessions	Serum, amygdala	qRT-PCR, TaqMan miRNA assay	↑ miR-142-5p, miR-19b, miR-1928, miR-223-3p, miR-322*, miR-324, miR-421-3p, miR-463*, miR-674* in serum & amygdala (Balakathiresan et al. 2014)
Male rats	7d CSDS	mPFC, BLA, circulation	miRNA microarray, qPCR	Vulnerability to stress is associated with: Circulation: ↓ miR-24-2-5p, miR-27a-3p, miR-30e-5p, miR-3590-3p, miR-362-3p, miR-532-5p mPFC: ↑ miR-126a-3p, miR-708-5p BLA: 77 dysregulated miRNAs, none associated with vulnerability to stress (Chen et al. 2015)
Male rats	6d of electric FS	Hypothalamus	RT-PCR	Traumatic stress was related to ↑ miR-34c in the hypothalamus (Li et al. 2016)
Mice	Fear extinction training	ILPFC	Lentiviral vector (miR KD/overexpression)	miR-128b is involved in formation of fear extinction memory (Lin et al. 2011)
Male mice	42d of chronic variable stress	Sperm	TaqMan miRNA assay	↑ miR-193*, miR-204, miR-29c, miR-30a, miR-30c, miR-32, miR-375, miR-532-3p, miR-698 in parental sperm (Rodgers et al. 2013)
Male mice	Single electric FS	PFC	Microarray, RT-qPCR	FXT administration in shocked mice causes ↓ immu-miR-1971 expression (Schmidt et al. 2013)
Male mice	FC	Hippocampus	Lentiviral vector (miR KD), TaqMan miRNA assay	↑ miR-132 30 min after trace FC. Overexpression in hippocampus impairs FC acquisition (Wang et al. 2013)
Male mice	Social defeat stress	Heart	miRNA array	Heart injury following social stress was associated with decreased miR-29b, miR-302a and let-7d levels in one strain (Cho et al. 2014)
Male mice	Auditory FC	BLA	miRNA microarray, luciferase assay	miR-34a is involved in fear memory consolidation (Dias et al. 2014)
Male mice	MSUS	Sperm, serum, brain	Deep sequencing, qRT-PCR	↑ miR-375-3p and -5p, miR-200b-3p, miR-672-5p, miR-466-5p in F1 sperm, serum, hippocampus, and hypothalamus and in F2 serum and hippocampus (Gapp et al. 2014)
Mice	FC	Hippocampus	Lentiviral vector (miR KD), TaqMan miRNA assay	Inhibition of miR-92 in hippocampus impairs contextual fear conditioning (Vetere et al. 2014)
Male mice	10d CSDS	Amygdala	miRNA microarray, qRT-PCR	miR-19b associates with Ago2, regulates Adrb1, and is significantly elevated in amygdala of stressed mice (Volk et al. 2014)
Male mice	Contextual FC	Hippocampus	miRNA microarray	miR-33 regulates GABA-related proteins (Jovasevic et al. 2015)
Male and female mice	Cell cultures	Cortex	qPCR, Luciferase assay, miRNA pull-down assay	miR-511 targets and suppresses FKBP5 mRNA and protein levels (Zheng et al. 2016)

(continued)

Table 1 (continued)

Sex (if mentioned) and species	Model	Tissue	miRNA analyses	Primary finding
Male mice	10d CSDS	Amygdala	miRNA microarray	miR-15a associates with Ago2, increases following chronic stress, and downregulates FKBP51 levels (Volk et al. 2016)

Studies are grouped according to species and listed in chronological order within groups. If the sex of the animals is missing, it was not mentioned in the original study. *FC* fear conditioning, *d* days, *ctrl* non-stressed controls, *qRT-PCR* quantitative reverse transcription polymerase chain reaction, *miR* microRNA, *CSDS* chronic social defeat stress, *mPCF* medial prefrontal cortex, *BLA* basolateral amygdala, *qPCR* quantitative polymerase chain reaction, *ILPFC* infra-limbic prefrontal cortex, *KD* knockdown, *FS* foot shock, *FXT* fluoxetine, *MSUS* unpredictable maternal separation combined with unpredictable maternal stress, *mRNA* messenger RNA

4.1.2 Circulating miRNAs as Biomarkers of PTSD

Over the past few years, fluctuations of miRNA levels in body fluids have been shown to correlate with psychiatric disorders, including MDD (Bocchio-Chiavetto et al. 2013), schizophrenia (Lai et al. 2011), and bipolar disorder (Rong et al., n.d.). These studies suggest potential for the use of circulating miRNAs as diagnostic biomarkers of mental disorders. The first study investigating circulating miRNAs as biomarkers of PTSD-related symptoms found that the expression of nine miRNAs was increased both in the amygdala and serum of rats exposed to 3 days of immobilization and tail shock sessions (Balakathiresan et al. 2014). One of the increased stress-responsive miRNAs, miR-19b, was also found to be involved in the regulation of fear-associated genes. A third lead for miR-19b involvement comes from a study using mice undergoing chronic social defeat stress (CSDS) that reported significant increases in the basolateral amygdala (BLA) following CSDS as compared to non-stressed controls (Volk et al. 2014). Finally, miR-19b was also found associated with Ago2 and to target the amygdalar Adrenergic Receptor Beta 1 (Adrb1).

More recently, the potential of miRNAs to be used as biomarkers of both vulnerability and resilience to stress was examined. In one study, circulating miRNA profiles were examined 3 days before and 24 h following CSDS in rats (Chen et al. 2015). Prior to the stressful event, four miRNAs (miR-4-2-5p, miR-27a-3p, miR-30e-5p, miR-362-3p) were significantly decreased only in those rats that later became vulnerable to stress. Following stress exposure, four different miRNAs (miR-139-5p, miR-28-3p, miR-326-3p, miR-99b-5p) were decreased in resilient animals. These results show that different miRNAs potentially confer vulnerability to future stress or promote sustained resilience. Taken together, these studies show promise for using miRNAs as biomarkers of vulnerability and resiliency to stress.

4.1.3 miRNAs in Transgenerational Inheritance of Early Stress

Several animal studies have shown that ncRNAs are abundantly present in sperm and may be involved in non-Mendelian inheritance of behavioral phenotypes (Rassoulzadegan et al. 2006; Liu et al. 2012). Therefore, to assess the potential role of miRNAs in the transgenerational inheritance of parental stress, Gapp et al. (2014) examined sperm samples of a mouse model of unpredictable maternal separation with unpredictable maternal stress (MSUS). Several miRNAs (among other ncRNAs) were upregulated in F1 MSUS sperm (but not F2 sperm) as compared to the sperm of non-stressed control mice. Several miRNA levels were further altered in serum, hippocampus and hypothalamus of F1 MSUS mice, and in serum and hippocampus of F2 MSUS mice. Interestingly, following injection of RNAs purified from MSUS male sperm into wild-type fertilized mouse oocytes,

similar behavioral, metabolic, and molecular effects were obtained as compared to direct exposure to MSUS. Additionally, the offspring of these mice showed depressive-like behaviors. These and other results (Rodgers et al. 2013) provide support for the involvement of RNAs, including miRNAs, in the transgenerational transmission of behavioral phenotypes.

4.1.4 miRNAs Targets the FK506 Binding Protein 5 (FKBP5) Gene

The only stress-related gene that has been suggested to be regulated by miRNAs is *FKBP5*. Genetic variations in *FKBP5* have been extensively studied in the context of gene x environment (GxE) interactions and the influence of early life adversity with regard to PTSD (Binder et al. 2004, 2008; Mehta et al. 2011). The immunophilin FKBP5 is a HSP90 co-chaperone that strongly controls glucocorticoid receptor (GR) sensitivity and signaling by binding to GRs in the cytosol thereby decreasing GR ligand affinity and nuclear translocation (Zannas et al. 2015). Several studies have shown that homozygous genotypes for SNPs in *FKBP5* interact with early life (but not adult) adversity, increasing the risk for later development of PTSD (Binder et al. 2008; Zimmermann et al. 2011). Epigenetic mechanisms have repeatedly been found to contribute to the regulation of *FKBP5* expression (Klengel et al. 2013; Yehuda et al. 2016). Moreover, FKBP51, one of the proteins encoded by *FKBP5*, presents an interesting target for the treatment of stress-related disorders. Increased levels of FKBP51 have been suggested to increase the risk of MDD and PTSD and the deletion of *FKBP5* has been shown to prevent age-related depression-like phenotypes (Sabbagh et al. 2014). However, pharmacologically targeting FKBP51 has proven to be challenging due to the strong sequence similarity between this and other FKBP proteins (Schmidt et al. 2012). Recently, two independent studies have shown that miR-15a and miR-511 affect FKBP51 levels by targeting FKBP5 mRNAs (Zheng et al. 2016; Volk et al. 2016). In the first study, FKBP51 levels were found to be decreased and miR-15a levels significantly increased in the amygdala of mice subjected to CSDS as compared to non-stressed controls (Volk et al. 2016). This same pattern was found in peripheral blood of healthy humans following dexamethasone treatment and in individuals exposed to early life trauma (Volk et al. 2016). In the second study, FKBP5 mRNA and protein levels were found to be decreased by miR-511, which was further shown to be involved in neuronal differentiation (Zheng et al. 2016). These findings indicate that both miRNAs are interesting potential candidates for the treatment of stress-related disorders and set the foundations for further studies to examine the exact roles of both miRNAs in *FKBP5* regulation.

4.2 Evidence from Clinical Studies

Apart from Volk et al. (2016) examining miR-15a profiles in blood samples from patients exposed to childhood trauma and healthy individuals administered with dexamethasone, most human studies researching the link between miRNAs and PTSD so far have focused on miRNAs in relation to immunological dysregulations.

Immune dysfunctions are well documented in PTSD and have been reviewed recently (Neigh and Ali 2016; Michopoulos et al. 2017). PTSD has repeatedly been linked to an excessive inflammatory state, possibly resulting from insufficient counter regulation of PTSD-induced immune activation due to cortisol hyposecretion (Gill et al. 2009; Daskalakis et al. 2016). The first study examining peripheral blood mononuclear cells (PBMCs) of combat veterans diagnosed with PTSD found that alterations in specific miRNAs correlated with immunological changes (Zhou et al. 2014). Specifically, miR-125a and miR-181c were significantly decreased in PTSD patients as compared to healthy controls. Further

Table 2 Human studies examining the role of miRNAs in childhood trauma and PTSD

Population	Sample size (if mentioned)	Tissue	miRNA analyses	Primary finding
Male and female combat veterans with PTSD	52 (30 PTSD, 22 ctrl)	PBMCs	miRNA microarray, RT-PCR	↓ miR-125a, miR-181c (Zhou et al. 2014)
Male and female patients with PTSD and comorbid depression	78 (51 PTSD&dep, 27 ctrl)	Whole blood	qPCR, RNA-seq	↓ miR-3130-5p, ↓ <i>DICER1</i> mRNA levels (Wingo et al. 2015)
Male individuals		Whole blood	miRNA microarray	↑ miR-15a following DEX administration or childhood trauma exposure (Volk et al. 2016)
Male combat veterans with PTSD	33 (16 PTSD, 17 ctrl)	PBMCs	qRT-PCR	↓ miR-193a-5p (Bam et al. 2016a)
Male combat veterans with PTSD	48 (24 PTSD, 24 ctrl)	PBMCs	RNA-seq, miRNA microarray, qRT-PCR	190 differentially expressed miRNAs among which 183 downregulated (Bam et al. 2016b)
Male combat veterans with PTSD	24 (15 PTSD, 9 ctrl)	Whole blood	miRNA-seq	8 differentially expressed miRNAs; 4 upregulated, 4 downregulated (Martin et al. 2017)

Studies are listed in chronological order. *DEX* dexamethasone, *PBMCs* peripheral blood mononuclear cells, *PTSD&dep* PTSD with comorbid depression, *(mi)RNA-seq* (mi)RNA-sequencing

analyses revealed that miR-125a targeted *IFN- γ* and downregulated the production of the pro-inflammatory cytokine *IFN- γ* . Therefore, the observed increase in *IFN- γ* in PBMCs of PTSD patients appears to be, at least in part, epigenetically regulated. Intriguingly, miR-27a-3p, which was downregulated in the circulation of rats vulnerable to future stress (Chen et al. 2015), and miR-19b (Balakathiresan et al. 2014; Volk et al. 2014) and miR-223 (Balakathiresan et al. 2014), which were increased in the serum and the amygdala of stressed rodents, were also dysregulated in the present cohort of combat veterans with PTSD (Zhou et al. 2014). However, it is worth mentioning that, while two independent animal studies found miR-19b levels to be increased in several tissues following stress exposure (Balakathiresan et al. 2014; Volk et al. 2014), one study reported increased levels of miR-223 (Balakathiresan et al. 2014), the same miRNAs were significantly decreased in PBMCs of the human cohort (Zhou et al. 2014).

Following this initial study linking miRNAs and immune dysfunctions in PTSD, two recent studies by the same research group provide further evidence for the epigenetic regulation of inflammation in PTSD (Bam et al. 2016a, b). In addition to *IFN- γ* , the pro-inflammatory cytokine IL-12 was increased in the same cohort of combat veterans, and miR-193a-5p, which was suggested to target IL-12B, was downregulated (Bam et al. 2016a). These results further suggest that pro-inflammatory gene expression is regulated by miRNAs.

Recently, one study found 8 miRNAs to be differentially expressed (4 upregulated and 4 downregulated) in peripheral blood samples of returning combat veterans as compared to controls (Martin et al. 2017). Pathway analyses revealed that these miRNAs target genes involved in Wnt signaling and axon guidance. However, being limited by a small sample size, this study encourages larger studies to further unravel the involvement of miRNAs in PTSD vulnerability.

5 Current Challenges, Pitfalls, and Future Perspectives

As reflected by the present overview, most studies to date that examine the role of miRNAs in PTSD have used (almost exclusively male) animals. Human studies of this subject are now beginning to emerge and have so far only examined peripheral blood samples. Moreover, most studies have included animals or humans, rarely both, and have focused on susceptible phenotypes only, i.e., those animals and individuals suffering the consequences of trauma exposure. To the best of our knowledge, only one study has examined the potential of miRNAs as biomarkers of both vulnerability and resiliency (Chen et al. 2015). Furthermore, a major limitation of current epigenetic research is the lack of longitudinal studies that would enable identification of dynamic epigenetic changes over time (Fig. 2). For these reasons, future research is critically needed to overcome a few pressing issues.

First, given the tissue specificity of epigenetic alterations and the evident inability to study the brains of living human beings, there is a strong need for researchers to incorporate human postmortem brain analyses in their study design. This approach

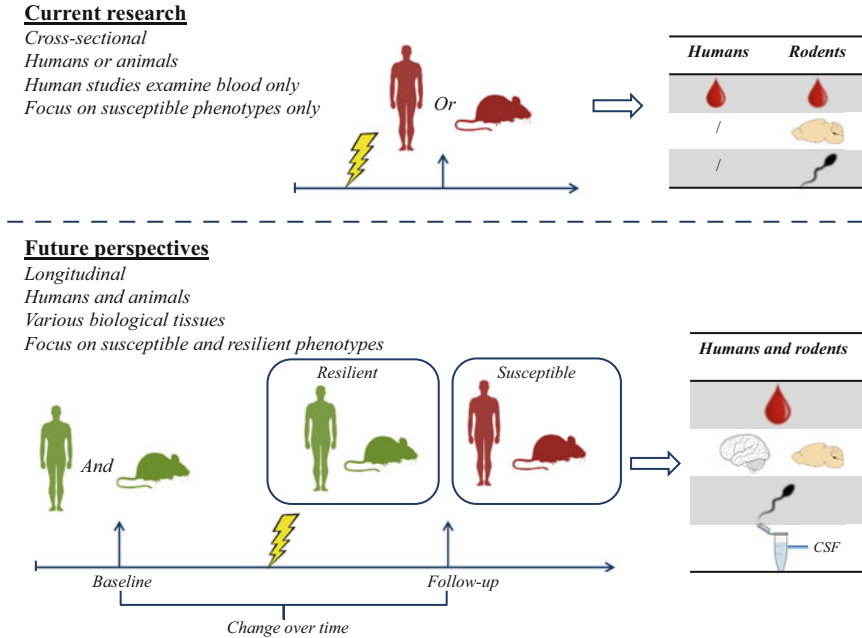


Fig. 2 Current research and future perspectives with regard to miRNA analyses in relation to PTSD. Green and red silhouettes represent mental health or illness, respectively. The lightning bolt represents a stressful event (e.g., CSDS for rodents, combat trauma in humans) and the blood drop represents PBMCs as well as serum and plasma analyses. The Eppendorf tube represents a CSF sample

could not only yield additional information with regard to location and quantity of miRNAs but also shed light on the extent to which blood-based miRNA results are informative for the CNS. In this context, it is becoming clear that focusing on exosome-associated biomarkers provides interesting insights into the brain. Exosomes are secreted membrane vesicles, derived from intracellular endosomes that are generated by the endocytic pathway. The exosomal process traffics damaged or excess proteins and miRNAs as cargo from the cytosol of neurons to the extracellular space where the exosomes can be transported from the CNS to the peripheral circulation. Since exosomes are capable of crossing the blood-brain barrier, when secreted from neural cells, they can be accessed through the bloodstream and further isolated and enriched for neural origin using neural-specific membrane markers (Kapogiannis et al. 2015; Goetzl et al. 2015). Recent studies have shown that $A\beta_{42}$ levels in blood exosomes, presumably derived from neurons, were abnormally higher in subjects with mild cognitive impairment (MCI), MCI that progressed to dementia, and AD (Winston et al. 2016). In another study, blood exosomal levels of $A\beta_{42}$ and tau phosphorylated at Thr¹⁸¹ and Ser³⁹⁶ predicted development of AD 10 years before clinical onset (Fiandaca et al. 2015). Exosomal plasma $A\beta_{42}$ also correlated with CSF levels of phosphorylated tau (Winston et al. 2016). Therefore

CNS-derived blood-based exosomes are extremely interesting biomarker candidates. Following on from this suggestion, one could imagine the use of CSF to reflect the neural environment more directly. Although more invasive, the collection and analyses of CSF-associated exosomes, which are currently understudied, could provide additional and valuable insights into the brain's pathological processes. Similarly, examining several body fluids jointly, including plasma, serum, PBMCs, sperm and CSF, could further deepen our understanding of miRNA distribution and overlap. Finally, the use of longitudinal designs could yield valuable information regarding dynamic changes over time and how these changes potentially relate to differential susceptibility to traumatic stress.

It is worth noting that guidelines such as the prospective-specimen-collection, retrospective-blinded-evaluation (PRoBE) design (Pepe et al. 2008) or the Strengthening the Reporting of Observational studies in Epidemiology for Molecular Epidemiology (STROBE-ME) (Gallo et al. 2011) offer valuable overviews to help researchers in the design, execution, and reporting of biomarker studies. With respect to analyzing miRNAs in particular, Nair et al. (2014) recently provided a comprehensive overview of helpful study requirements for researchers involved in studying miRNAs in human diseases. Importantly, both human and animal studies have shown that differences in genetic backgrounds between subjects can have a considerable effect on the resolution of biomarker studies (Ahanda et al. 2014; Zhao et al. 2010). Therefore, it is critical for future research to take variations in genetic backgrounds into account and correct for additional factors such as current or previous smoking habits, alcohol abuse or medication use of patients. Finally, when comparing the obtained results, one should keep in mind the heterogeneity of miRNA expression in different tissues. Indeed, PBMC or whole blood-derived miRNA profiles will most likely differ from those obtained through serum or plasma.

Taken together, current preclinical and preliminary clinical evidence show great potential for the use of miRNAs as biomarkers of PTSD, which would enable us to detect at-risk individuals and provide specific preventive strategies and early interventions on an individual basis. This approach is especially relevant because currently no true treatment exists for PTSD. Therefore, the presented findings build an emerging foundation for future research to further examine the exact roles of miRNAs in PTSD using appropriate study designs.

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