

# ALL LANGUAGES IN NP HAVE DIVERTIBLE ZERO-KNOWLEDGE PROOFS AND ARGUMENTS UNDER CRYPTOGRAPHIC ASSUMPTIONS\*

(Extended Abstract)

Mike V. D. Burmester †  
Dept. of Mathematics  
RHBNC - University of London  
Egham, Surrey TW20 OEX  
U.K.

Yvo Desmedt ‡  
Dept. EE & CS  
Univ. of Wisconsin - Milwaukee  
P.O. Box 784  
WI 53201 Milwaukee  
U.S.A.

## Abstract

We present a divertible zero-knowledge proof (argument) for SAT under the assumption that probabilistic encryption homomorphisms exist. Our protocol uses a simple 'swapping' technique which can be applied to many zero knowledge proofs (arguments). In particular we obtain a divertible zero-knowledge proof for graph isomorphism. The consequences for abuse-free zero-knowledge proofs are also considered.

## I. Introduction

Okamoto-Ohta defined divertible zero-knowledge proofs in [OO89] and showed that commutative random self-reducible relations have such proofs, provided certain conditions are satisfied. The first divertible zero-knowledge proof was given in [DGB88, pp. 37-38] in the context of an abuse-free zero-knowledge proof.

In this paper we generalize this result to *all* problems in NP under cryptographic assumptions and consider the consequences for abuse-free proofs. We also remark that most divertible zero-knowledge proofs of membership presented here will not convince unconditionally two (independent) verifiers simultaneously. So the framework of divertible zero-knowledge has to be modified if it is to be used for this purpose.

This paper is organized as follows. We first state our results. Then we present the protocol and finally we sketch the proofs.

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## II. Main results

### II.1. Notation and Definitions

$(A, B, C)$  is a divertible interactive triple of Turing machines [OO89]. For the definition of divertible proofs and abuse-free systems see [OO89,Des90]; for the SAT proof (argument) see [BCC88,BC89]. A probabilistic encryption function  $f(\cdot)$  satisfies the properties that  $f_r(b)$  can be computed in polynomial time when  $r, b$  are given, and that  $f_r(b) = f_{r'}(b') \Rightarrow b = b'$ . Here  $r, r'$  are any random bit strings and  $b, b'$  are bits.  $f$  is a probabilistic homomorphism if  $f_r(b) \cdot f_{r'}(b') = f_{r''}(b \oplus b')$ , where  $r''$  can be computed from  $r, r', b$  and  $b'$  in polynomial time, and  $\oplus$  is exclusive-or. A well-known example of an encryption homomorphism [GM84] is given by  $f_r(b) \equiv s^{br^2} \pmod{n}$ , where  $n$  is a Blum integer and  $s$  is an appropriate quadratic non-residue. (It is instructive to compute  $r''$  in this case, given  $s, n$ , and  $r, r', b = b' = 1$ .) The modulus  $n$  and  $s$  parameterize  $f$ . We shall assume that all the probabilistic encryption functions considered in this paper are parameterized, but for simplicity we ignore this in our notation.

We denote by  $\{z\}$  a string which is a concatenation of strings of type  $z$  with delimiters.

### II.2. Theorems and implications for abuse-free proofs

**Theorem 1** *If probabilistic encryption homomorphisms exist and are provided by an oracle, then all languages in NP have divertible zero-knowledge proofs.*

**Corollary 1** *If probabilistic encryption homomorphisms exist then all languages in NP have conditional abuse-free zero-knowledge proofs.*

**Theorem 2** *If probabilistic encryption functions exist then all languages in NP have unconditional abuse-free zero-knowledge proofs.*

**Theorem 3** *Given an oracle similar to the one in Theorem 1: If factoring is hard then all languages in NP have divertible statistical zero-knowledge arguments.*

**Corollary 2** *If probabilistic blob functions exist then all languages in NP have abuse-free zero-knowledge arguments.*

**Theorem 4** *There exists an 'unconditional'<sup>1</sup> divertible zero-knowledge proof for graph isomorphism.*

**Remarks:** We will describe a protocol which can be used for many zero-knowledge proofs with slight modifications. This protocol does not require that the structures involved are commutative. Furthermore it can easily be adapted to make the authentication system [Des88] unconditionally divertible (so that two or more independent wardens can be used).

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<sup>1</sup>The quotation marks are due to the unnatural condition (iii) of Definition 1 in [OO89], which implies that the protocol is only divertible when graph isomorphism is not decidable in probabilistic polynomial time. In the final paper we will restate this definition but without this property.

### III. Main approach

Many interactive zero-knowledge proofs, as, [Blu87,CEvdG88,GMW86,GMR89,BCC88] (and arguments [BCC88,BC89]) have protocols with a loop in which:

- Step 1 the prover sends a 'commitment' (blob),
- Step 2 the verifier asks a one bit question,
- Step 3 the prover replies to this,
- Step 4 the verifier checks the reply.

These steps are repeated  $t$  times independently. In this paper we are only interested in such protocols.

To prove the theorems in Section II. we will first adapt such a protocol and show that the resulting protocol is also a zero-knowledge proof (argument). We then apply this procedure to the SAT protocol(s). Finally we transform the adapted SAT protocol(s) and obtain a divertible zero-knowledge proof (argument). This transformation uses a 'swapping' technique.

#### III.1. Adapting a zero-knowledge protocol

In this section  $A$  is the prover and  $B$  the verifier. Consider a general protocol  $P$  of the type described above.

**Protocol P:** input  $x$ .

$B$  checks that  $x$  has the appropriate form. Then the following steps are repeated  $t$  times independently:

- Step 1  $A$  sends  $B: Z \in \mathcal{H}$ ,
- Step 2  $B$  sends  $A: q \in_R \{0, 1\}$ ,
- Step 3  $A$  sends  $B: Y \in \mathcal{G}$ ,
- Step 4  $B$  verifies that  $p(x, Z, q, Y) = 1$ , where  $p$  is an appropriate polynomial time predicate.

(Here ' $\in_R$ ' means 'selected randomly with uniform distribution'). This protocol is adapted as follows:

**Protocol P':** input  $x$ .

$B$  checks that  $x$  has the appropriate form. Then the following steps are repeated  $t$  times independently:

- Step 1  $A$  sends  $B: (Z_0, Z_1) \in \mathcal{H} \times \mathcal{H}$ ,
- Step 2  $B$  sends  $A: q \in_R \{0, 1\}$ ,
- Step 3  $A$  sends  $B: (Y_0, Y_1) \in \mathcal{G} \times \mathcal{G}$ ,

**Step 4**  $B$  verifies that  $p(x, Z_0, q, Y_0) = 1$  and that  $p(x, Z_1, \bar{q}, Y_1) = 1$ .

We assume that the honest prover chooses the  $Z_0 \in \mathcal{H}$  with the same distribution as the  $Z$  in the protocol  $P$ , and similarly for  $Z_1$ . Let us study the relation between the protocols  $P$  and  $P'$ . Hereto let us consider the query of  $B$  in  $P'$  as a pair of queries  $(q, \bar{q})$ . It is then easy to verify that  $Y_0$  corresponds with an answer which would have been given in protocol  $P$  when  $Z_0$  would have been the cover and  $q$  the query. A similar observation is valid for  $Y_1, Z_1, \bar{q}$ .

**Theorem 5** *If for appropriate conditions  $P$  is a zero-knowledge proof (argument) then for the same conditions  $P'$  is also a zero-knowledge proof (argument).*

**Proof.** The completeness and soundness conditions are obvious. To prove that  $P'$  is zero-knowledge we describe a simulator  $M'_B$ , for any (possibly cheating) verifier  $B'$ .  $M'_B$  uses the simulator  $M_B$  of  $P$ , where  $B$  is the honest verifier (of  $P$ ), as an oracle to obtain valid conversations  $T = (Z, q, Y)$ . Clearly  $P$  and  $P'$  define the same language. When  $x \in L$ ,  $M_B$  outputs valid conversations  $T$  with a distribution which is identical to (indistinguishable from) the actual distribution. Suppose that  $M'_B$  receives from  $M_B$  the valid conversations  $T_0 = (Z_0, q_0, Y_0)$  and  $T_1 = (Z_1, q_1, Y_1)$ .  $M'_B$  checks until it gets  $q_0 \neq q_1$ . When this is so,  $M'_B$  'submits'  $T' = ((Z_0, Z_1), q, (Y_0, Y_1))$ ,  $q = q_0$ , to the verifier  $B'$ . If the query of  $B'$  is  $q$  then  $M'_B$  outputs  $T'$ . Otherwise it resets  $B'$  and tries again with another pair  $T_0, T_1$ . Because the prover and the verifier of  $P$  are honest and because the distribution of  $M_B$  is equal to (indistinguishable from) the actual distribution, the conversations  $(Z, q, Y)$  are independent and have the appropriate distribution.  $\square$

## IV. The divertible zero-knowledge protocols

To show how the adaptation and swapping technique is used we will first apply it to the graph isomorphism protocol, making it divertible. Then we extend this and obtain a divertible protocol for SAT. A sketch of the proofs is given in the following section.

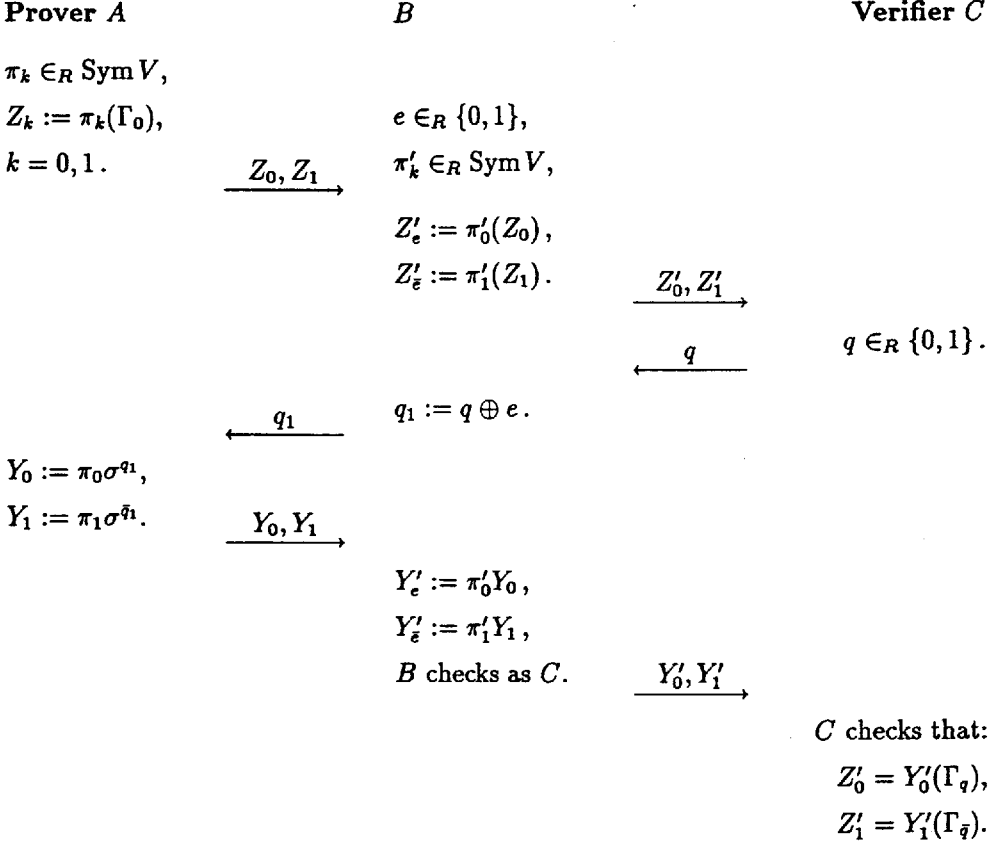
### IV.1. Graph isomorphism

**An introduction: The [GMW86] protocol**

Let  $\Gamma_0$  and  $\Gamma_1$  be graphs with vertex set  $V$  and  $\sigma : \Gamma_1 \rightarrow \Gamma_0$  be an isomorphism ( $\sigma$  is a permutation of the vertex set  $V$ ). In the [GMW86] graph isomorphism protocol the verifier  $B$  first checks that the input  $(\Gamma_0, \Gamma_1)$  is a proper description of two graphs. Then, in Step 1, the prover  $A$  chooses a random permutation  $\pi$  and sends  $B$  the graph  $Z = \pi(\Gamma_0)$ . In Step 2,  $B$  asks the random bit-question  $q$ . In Step 3,  $A$  sends the permutation  $Y = \pi\sigma^q$ . In Step 4,  $B$  checks that  $Z = Y(\Gamma_q)$ . These steps are repeated  $t$  times ( $t$  is the length of the input).

## A divertible protocol for graph isomorphism

First  $B$  checks that the input  $(\Gamma_0, \Gamma_1)$  is a proper description of two graphs. Repeat  $t$  times, where  $t$  is the length of the input:



Observe that when  $e = 1$ ,  $B$  'swaps' the  $Z_k$  and the  $Y_k$  to obtain the  $Z'_k$  and the  $Y'_k$ .

## IV.2. SAT

### An introduction: The [BCC88] protocol for SAT

The [BCC88] protocol is a zero-knowledge proof (argument) for a satisfying assignment of a Boolean circuit. This circuit consists of  $h$  logic gates with truth tables  $T_m$ ,  $1 \leq m \leq h$ , and the connecting lines (wires). A satisfying assignment can be regarded as a collection of pointers, one for each truth table, which point to the computation rows of the  $T_m$ . In Step 1 of the [BCC88] protocol, the prover, for *each*  $m$ :

- complements some of the columns of  $T_m = (b_{i,j})_m$  using bits  $c_j$  (one for each line),
- permutes the rows  $i$  of  $T'_m = (b_{i,j} \oplus c_j)_m$  using a permutation  $\pi$  (one for each truth table),

- ‘commits’ to each bit of  $T_m'' = (b_{\pi(i),j} \oplus c_j)_m$  using a probabilistic encryption function.

In Step 2 the verifier asks the bit-question  $q$ . In Step 3 the prover reveals to the verifier  $Y = Y(q)$  which, when  $q = 0$  consists of opening all the commitments, and when  $q = 1$  consists of opening the commitments of the computation rows with the corresponding row pointers. In Step 4 the verifier checks if the corresponding commitments are appropriate (results of encryptions and content of tables). Therefore in Step 1 the prover sends to the verifier  $Z = \{f_{r_{\pi(i),j}}(b_{\pi(i),j} \oplus c_j)\}$ . Observe that if  $f$  is a probabilistic encryption homomorphism then

$$\{f_{r'}(c'_j)\} \cdot \{f_r(b_{\pi(i),j} \oplus c_j)\} = \{f_{r''}(b_{\pi(i),j} \oplus c_j \oplus c'_j)\}, \quad (1)$$

and  $r''$  can be computed given  $r$ ,  $r'$ ,  $b_{\pi(i),j} \oplus c_j$ , and  $c'_j$ .

We denote by  $X = \{(c_j, r_{i,j}, \pi)\}$  the strings which contain the complementation bits  $c_j$ , the random strings  $r_{i,j}$  and the permutations  $\pi$ . These form a direct product group  $\mathcal{G}$ .

## A divertible protocol for SAT

The protocol is described in Figure 1. In this protocol

$$u_{l,j} \cdot z_{\pi'(l),j} = f_{r''}(b_{\pi'\pi(i),j} \oplus c_j \oplus c'_j)$$

by (1), since  $u_{l,j} = f_{r'_{l,j}}(c'_j)$ ,  $z_{\pi'(l),j} = z_{\pi'\pi(i),j} = f_{r_{\pi'\pi(i),j}}(b_{\pi'\pi(i),j} \oplus c_j)$ , and since we are assuming that  $f$  is a probabilistic encryption homomorphism. Furthermore the  $Y_k$  consist of all, or part of the

$$(r_{\pi(i),j}, b_{\pi(i),j} \oplus c_j), \quad (2)$$

and the  $Y'_k$  consist of all, or part of the

$$(r'', b_{\pi'\pi(i),j} \oplus c_j \oplus c'_j). \quad (3)$$

Therefore the encryptions of  $Y'_k$  produce all, or part of the  $Z'_k$ . The product  $\{(c', r', \pi')\}_k \circ Y_k$  is obtained by applying the operator  $(c', r', \pi')$  to the parts (2) of  $Y_k$  to give strings of type (3).

## V. Sketch of proofs

**Proof of Theorem 1:** In the final paper we shall show that the above protocol satisfies the conditions of Theorem 1.  $\square$

First  $B$  checks that  $x$  (the input) is a proper description of a Boolean circuit. Then the protocol starts. Repeat  $t = \Theta(|x|)$  times:

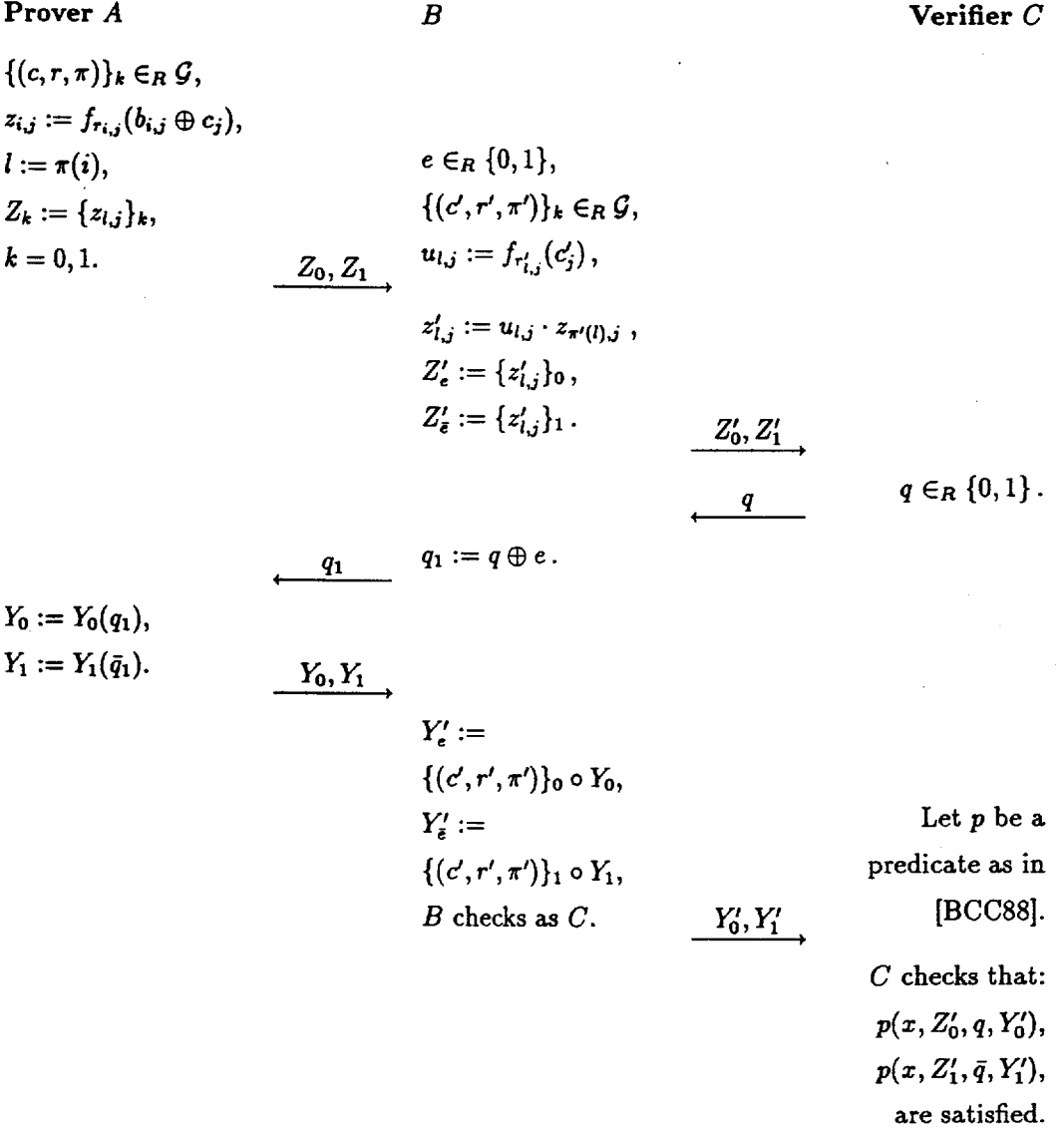


Figure 1: A divertible protocol for SAT

Observe that Theorem 1 does not imply Theorem 2 since our protocol is only conditionally abuse-free. Indeed suppose that only once during the execution of the protocol  $A$  decides to replace one row (e.g. the row (111) by (000)). The probability that  $B$  will detect this is only  $1/2$ . If the encryption is insecure then the verifier  $C$  will find out that this has happened.

**Proof of Theorem 2:** The prover  $A$  first commits to  $X_0, X_1$  by sending  $Z_0, Z_1$ .  $B$  then sends  $A$ :  $X'_0, X'_1$ . After having combined  $X_0$  with  $X'_0$  and  $X_1$  with  $X'_1$ ,  $A$  commits to those two combinations. So  $B$  obtains from  $A$ :  $Z'_0, Z'_1$ . Then  $A$  proves *only* to  $B$  (using another proof) that  $X'_0, X'_1$  have been used in  $Z'_0, Z'_1$  appropriately.  $B$  checks this proof. The prover  $A$  does not reveal  $X_0, X_1$  to (the warden)  $B$ , and  $B$  does not reveal  $e$  to  $A$ . Then the protocol continues as previously. So when  $e=1$ ,  $B$  switches components to get the  $Z''_k$  in Step 1, etc. Observe that the verifier  $C$  does *not* have to commit to his question. So the proof is unconditionally sound.  $\square$

**Proof of Theorem 3:** The proof is identical to that of Theorem 1 with the only difference that the encryption function is replaced by a blob function.  $\square$

## VI. Conclusion and remarks

For all so far proposed divertible zero-knowledge proofs, the question that  $B$  asks  $A$  is the exclusive-or of the question that  $C$  asks  $B$  and  $B$ 's random bit. This may give one the impression that  $A$  can convince independently two verifiers simultaneously ( $B$  and  $C$ ). However after careful analysis it is clear that when  $A$  and  $C$  collaborate the soundness related to  $B$  is conditional for many proofs of membership.

To illustrate let us consider the graph isomorphism case. Let us assume that dishonest  $\tilde{A}$  and dishonest  $\tilde{C}$  have infinite computer power and that the graphs  $\Gamma_0, \Gamma_1$  are *not* isomorphic.  $\tilde{A}$  now sends  $Z_0$  isomorphic to  $\Gamma_0$  and  $Z_1$  isomorphic to  $\Gamma_1$ .  $\tilde{C}$  is now able to calculate  $e$  (using exponential computer power). Then  $\tilde{C}$  can manipulate  $q_1$ .

The same remark is valid for some of the schemes presented earlier [DGB88, OO89]. For some it is sufficient that  $C$  knows some trapdoor information to perform above fraud. This problem implies that the [OO89] formal definition of divertible zero-knowledge has to be revised in this context.

By analyzing Theorem 1 and Theorem 2 we see that even though divertibility and abuse-freeness have common aspects they are essentially different concepts.

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