# DO<sub>2</sub>/VO<sub>2</sub> relationships

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#### Introduction

Most cellular activities require oxygen, primarily obtained from the degradation of adenosine triphosphate (ATP) and other high-energy compounds. Oxygen must, therefore, be present in the mitochondria in sufficient amounts to maintain effective concentrations of ATP by the electron transport system. Cells must perform various activities in order to survive, including membrane transport, growth, cellular repair, and maintenance processes. They often also have facultative functions, such as contractility, electrolyte or protein transport, motility, or various biosynthetic activities. If oxygen availability is limited, cellular oxygen consumption may fall, and become supply-dependent. Facultative functions are the first to be affected, leading to cellular and, ultimately, organ dysfunction. If the situation becomes more serious, obligatory functions can no longer be maintained, and irreversible alterations may occur resulting in cell death. Maintaining sufficient oxygen availability to the cell is thus fundamental for cell survival: the hypoxic cell is doomed to become malfunctional and to die.

# Oxygen delivery vs oxygen availability

The amount of oxygen available in the cell is determined by a number of central and peripheral factors. The central factors depend on the adequacy of cardiorespiratory function (cardiac index and PaO<sub>2</sub>) and the hemoglobin concentration, according to the formulas given in Table 1. Peripheral factors depend on the distribution of cardiac output to the various organs, and the regulation of the microcirculation, which is determined by the autonomic control of vascular tone, local microvascular responses, and the degree of affinity of the hemoglobin molecule for oxygen.

Among the central factors, cardiac output is a more important determinant of oxygen delivery (DO<sub>2</sub>) than the arterial oxygen content (Table 1), as a fall in hemoglobin or SaO<sub>2</sub> can be compensated by an increase in cardiac output, whereas the opposite is not true. If cardiac output falls, SaO<sub>2</sub> cannot rise above 100% and hemoglobin concentration cannot increase acutely. Furthermore, an increase in red blood cell mass does not efficiently increase DO<sub>2</sub>, because cardiac output usually decreases as a result of the associated increase in blood viscosity. Hence,

Table 1. The determinants of oxygen delivery, oxygen consumption, and oxygen extraction

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Oxygen delivery (DO<sub>2</sub>) = CO x Hb x SaO<sub>2</sub> x C x 10

Oxygen consumption (VO<sub>2</sub>) = CO x (CaO<sub>2</sub> CvO<sub>2</sub>) x 10

(Neglecting the dissolved oxygen) = CO x Hb x (SaO<sub>2</sub>-SvO<sub>2</sub>) x C

Oxygen extraction (O<sub>2</sub>ER) = VO<sub>2</sub>/DO<sub>2</sub> = (CaO<sub>2</sub>-CvO<sub>2</sub>)/CaO<sub>2</sub>

or neglecting the dissolved oxygen = (SaO<sub>2</sub>-SvO<sub>2</sub>)/SaO<sub>2</sub>
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where CO represents the cardiac output, Hb the hemoglobin concentration, SaO<sub>2</sub> and SvO<sub>2</sub> the arterial and the mixed venous oxygen saturations, respectively, and C the constant value representing the amount of oxygen bound to 1 g of Hb (this value is usually 1.34 or 1.39).

cardiac output is the most important factor in the constant adaptation of the body's oxygen needs in physiological conditions.

The peripheral factors can change substantially in inflammatory conditions (including sepsis), when local control of the vascular tone may be altered, the formation of microthrombi may shut down some capillaries, and edema may develop. Changes in hemoglobin oxygen affinity can also influence the peripheral delivery of oxygen.

# Basic concepts: The Relationship between VO<sub>2</sub> and DO<sub>2</sub> and the concept of VO<sub>2</sub>/DO<sub>2</sub> Dependency

A number of animal experiments using different models [1–4] have shown that oxygen uptake (VO<sub>2</sub>) remains independent of DO<sub>2</sub> over a wide range of values, because oxygen extraction (O<sub>2</sub>ER, which is the ratio of VO<sub>2</sub> over DO<sub>2</sub>) can readily adapt to the changes in DO<sub>2</sub>. When cardiac output is acutely reduced by acute blood withdrawal, tamponade, anemia, or hypoxemia, O<sub>2</sub>ER increases (SvO<sub>2</sub> decreases) and VO<sub>2</sub> remains quite stable, until DO<sub>2</sub> falls below a critically low threshold (DO<sub>2</sub>crit), when VO<sub>2</sub> starts to fall. An abrupt increase in blood lactate concentrations then occurs, indicating the development of anaerobic metabolism (Fig. 1). In the presence of sepsis mediators, as after the administration of endotoxin or live bacteria [5, 6], oxygen extraction capabilities are altered so that the DO<sub>2</sub>crit is higher and the critical O<sub>2</sub>ER is typically lower than in control conditions. In these conditions, VO<sub>2</sub> can become dependent on DO<sub>2</sub> even when DO<sub>2</sub> is normal or elevated. Altogether, these observations help to characterize the four principal types of circulatory shock (Fig. 2).

Although such studies performed in anesthetized animals can hardly be reproduced in humans, an acute reduction in DO<sub>2</sub> can be observed in the intensive care unit (ICU) during withdrawal of life support [7]. In these dying patients, VO<sub>2</sub> remained relatively constant until DO<sub>2</sub> fell below very low values.

A number of studies have correlated the VO<sub>2</sub>/DO<sub>2</sub> dependency phenomenon to profound circulatory alterations. Bihari et al. [8] showed that an increase in VO<sub>2</sub> during a prostacyclin infusion was a characteristic of non-survivors. A number of

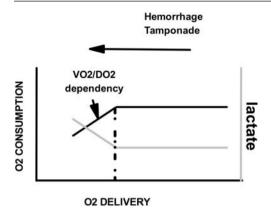
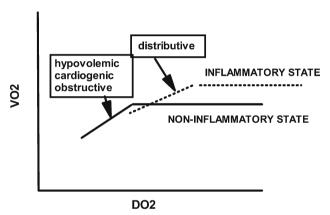


Fig. 1. Relationship between oxygen uptake (VO<sub>2</sub>) and oxygen delivery (DO<sub>2</sub>) when DO<sub>2</sub> is acutely reduced by tamponade or hemorrhage in anesthetized animals. Note that blood lactate levels increase as soon as DO<sub>2</sub> falls below DO<sub>2</sub>crit.



**Fig. 2.** The four types of acute circulatory failure.

investigators have also reported that patients with acute circulatory failure with increased blood lactate concentrations demonstrate an increase in  $VO_2$  when  $DO_2$  is acutely increased by fluid infusion [9], blood transfusions or dobutamine administration [10]. Such a phenomenon has not been observed in stable patients with normal lactate concentrations [9–12].

Others have challenged these observations, arguing that the VO<sub>2</sub> was usually determined from the Fick principle rather than determined independently from expired gas analysis. Hence, VO<sub>2</sub> and DO<sub>2</sub> were calculated from the same variables, i.e., cardiac output, hemoglobin concentrations, and SaO<sub>2</sub>, resulting in mathematical coupling of data.

Indirect calorimetry also has its limitations and sources of error, and becomes very imprecise when high FiO<sub>2</sub> are delivered. Incidentally, many authors have argued that VO<sub>2</sub> is *calculated* using the Fick equation, but *measured* when obtained by indirect calorimetry. This is clearly wrong: With both techniques, VO<sub>2</sub> results from a calculation of the product of flow (blood flow or gas flow) and oxygen content differences (between arterial and venous blood or between inspired and

Table 2. Calculation of oxygen uptake by indirect calorimetry

$$VO2 = \frac{FiO_2 \times (1 - FeO_2 - FeCO_2)}{(1 - FiO_2 - FeO_2)} \times VE$$

where FeCO2 is the expired CO2 fraction, FiO2 and FeO2 the inspired and expired oxygen fraction, respectively, and VE the expiratory flow rate

expired gases). In fact, the formula used to calculate VO<sub>2</sub> by indirect calorimetry is quite complex (Table 2).

In addition, this reasoning can itself be criticized. First, the effect of mathematical coupling of data does not seem to be major if the changes in DO<sub>2</sub> are of sufficient magntitude [13]. Second, this limitation cannot explain how the changes in VO<sub>2</sub> can be observed in some individuals and not in others. It is important to note that all studies using indirect calorimetry to determine VO2 included only stabilized patients: this is largely due to the time needed to install the material used for VO<sub>2</sub> determinations. The same applies to the studies arguing that changes in VO<sub>2</sub> can be observed only in patients with high lactate concentrations: these studies included stabilized patients in whom signs of shock had already resolved. Admittedly, the interpretation of elevated blood lactate concentrations is not always straightforward, as hyperlactatemia can be influenced by decreased lactate clearance. Also, in sepsis, hyperlactatemia does not necessarily reflect anaerobic metabolism secondary to cellular hypoxia, but other mechanisms, like increased glycolysis or abnormal pyruvate metabolism [14]. Hence, hyperlactatemia should complement the clinical evaluation of circulatory shock, including arterial hypotension and signs of altered tissue perfusion like altered sensorium, altered cutaneous perfusion, and decreased urine output.

Altogether, these studies indicate that the VO<sub>2</sub>/DO<sub>2</sub> dependency phenomenon can be observed but only in patients who are clearly unstable, during shock resuscitation; it is a hallmark of acute circulatory failure (shock) [15].

A more important limitation is that the global VO<sub>2</sub>/DO<sub>2</sub> assessment is not precise enough to be useful clinically and, more specifically, to guide therapy. Furthermore, VO<sub>2</sub>/DO<sub>2</sub> dependency may occur regionally, especially in the hepatosplanchnic region [16] (Fig. 3). Comparisons of VO<sub>2</sub> and DO<sub>2</sub> are useless, because obtaining these derived variables is hard to interpret and the plot of VO<sub>2</sub> vs DO<sub>2</sub> is limited by the problem of mathematical coupling of data. However, evaluation of the relationship between cardiac output and oxygen extraction may be very useful to evaluate the adequacy of the cardiac output response [17]. Such a CI/O<sub>2</sub>ER relationship has no problem of mathematical coupling of data (Fig. 4). Increased lactate concentrations remain a reliable prognostic indicator, actually superior to DO<sub>2</sub> and VO<sub>2</sub> values [18]; increasing DO<sub>2</sub> to higher values when blood lactate levels are normal has not been shown to be beneficial.

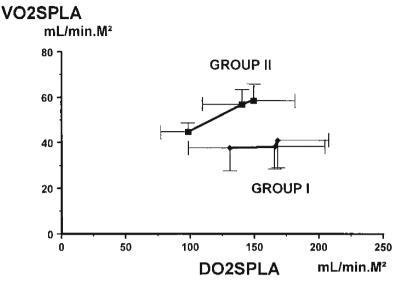


Fig. 3. Regional  $VO_2/DO_2$  relationship in the splanchnic circulation in patients with severe sepsis. Group I: patients with gradient between mixed venous and hepatic venous oxygen saturation lower than or equal to 10%. Group II: patients with gradient between mixed venous and hepatic venous oxygen saturation higher than 10%. Data are presented as mean  $\pm$  SEM. (From [16] with permission)

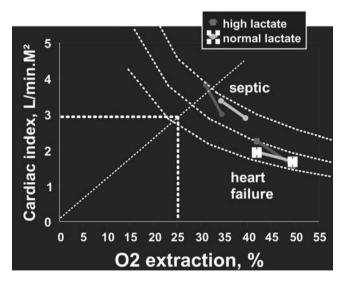


Fig. 4. Cardiac index/O<sub>2</sub>ER diagram during a short term dobutamine infusion indicating VO<sub>2</sub>/DO<sub>2</sub> dependency in patients with increased lactate levels but not in those with normal lactate levels (data from [10]).

## Clinical implications

## The Supranormal DO<sub>2</sub> Approach

William Shoemaker and his colleagues proposed that DO<sub>2</sub> should be maintained at supranormal values (at least 600 ml/min.M²) in all patients at risk of complications, to ensure sufficient oxygen availability to the cells [19]. This proposal was based on the observation that survivors from sepsis or trauma usually generate higher DO<sub>2</sub> than non-survivors [20]. Although this approach may have merits in some populations [21, 22], it is limited by two important aspects. One is that patients with higher DO<sub>2</sub> are more likely to survive, simply because they have a better physiological reserve, allowing them to generate a higher cardiac output. The second is that increasing DO<sub>2</sub> to supranormal values in all patients 'at risk' may be beneficial to some, still underresuscitated, but harmful to others, already well resuscitated, who would thus receive too much fluid and adrenergic agents like dobutamine.

This concept is an oversimplification of a complex phenomenon. When applied to a mixed group of critically ill patients, such strategies have been shown to be ineffective [23] and may even be harmful, especially if high doses of dobutamine are administered [24].

# The Titrated Approach

It is more meaningful to have a titrated approach, individualized according to results of a careful clinical evaluation and some paraclinical tests including measurements of cardiac index, SvO<sub>2</sub>, blood lactate concentrations, and perhaps regional PCO<sub>2</sub>. This requires a complete understanding of the pathophysiologic alterations

As mentioned above, the relationship between CI and SvO<sub>2</sub> does not have the problem of mathematical coupling of data associated with the evaluation of the relationship between VO<sub>2</sub> and DO<sub>2</sub> when both are obtained from the same values of cardiac output, hemoglobin concentrations, SaO2, and SvO2. The study of such variables also avoids cumbersome calculations, as cardiac index is a primary variable and O<sub>2</sub>ER is very simply calculated (Table 1). In most cases, the relationship between CI and SvO<sub>2</sub> or even central venous oxygen saturation (ScvO<sub>2</sub>) alone may suffice. There are, however, two reasons why the relationship between CI and O<sub>2</sub>ER would be better (Fig. 4.). One is that the relationship between CI and SvO<sub>2</sub> is curvilinear, rendering the data interpretation more difficult. The second, is that even when hypoxemia is avoided, SaO2 can still vary between about 90 and 99% in the acutely ill patient, i.e., a 10% variation in the variable. Nevertheless, SvO<sub>2</sub>, or maybe even ScvO2 alone, may be used in an algorithm for resuscitation. Rivers et al. [25] showed that monitoring ScvO<sub>2</sub> could result in a significantly lower mortality rate in patients with severe sepsis and septic shock. Likewise, Polonen et al. [26] found, in cardiac surgery patients, that maintaining SvO<sub>2</sub> at normal or high levels shortens hospital stay and lowers the degree of organ dysfunction at time of discharge from hospital. Nevertheless, lactate concentrations remain valuable in shock states. Although one may argue that lactate concentrations reflect other cellular abnormalities than anerobic metabolism secondary to hypoxia, persistently raised lactate levels should represent an alarm signal. Hence, in addition to clinical evaluation, repeated measurements of  $SvO_2$  and blood lactate may be helpful.

## Conclusion

Maintenance of adequate DO<sub>2</sub> is essential to preserve organ function, as a low DO<sub>2</sub> is a straightforward path to organ failure and death, and treatment must be titrated to the individual based on the integration of several factors including clinical examination and available oxygenation and hemodynamic parameters. The relationship between VO<sub>2</sub>/DO<sub>2</sub> remains an important concept, even though its simple application to guide therapy may be too simplistic. The relationship between cardiac index and O<sub>2</sub>ER (or its simplification SvO<sub>2</sub>) can be helpful.

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