# **Efficient Allgather for Regular SMP-Clusters**

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**Abstract.** We show how to adapt and extend a well-known allgather (all-to-all broadcast) algorithm to parallel systems with a hierarchical communication system such as clusters of SMP nodes. For small problem sizes, the new algorithm requires a logarithmic number of communication rounds in the number of SMP nodes, and gracefully degrades towards a linear algorithm as problem size increases. The algorithm has been used to implement the MPI Allgather collective operation of MPI in the MPI/SX library. Performance measurements on a 72 node SX-8 system shows that graceful degradation provides a smooth transition from logarithmic to linear behavior, and significantly outperforms a standard, linear algorithm. The performance of the latter is furthermore highly sensitive to the distribution of MPI processes over the physical processors.

# **1 Introduction**

An important and well-studied collective communication primitive for messagepassing systems is the allgather or all-to-all broadcast operation [\[6\]](#page-7-0), in which each processor has data which have to be distributed (i.e. broadcast) to all other processors. This primitive has been extensively studied in a variety of settings and, correspondingly, is known also as (for instance) total exchange [\[5](#page-7-1)[,4\]](#page-7-2), catenation [\[3\]](#page-7-3), and gossip [\[9\]](#page-7-4). We will use the term allgather here.

The allgather primitive is incorporated as a collective communication operation in the Message-Passing Interface (MPI) standard [\[11\]](#page-7-5) in two flavors. The MPI Allgather collective is regular in the sense that the size of the data to be broadcast by each MPI process must be the same for all processes. The more general, irregular MPI Allgatherv collective does not have this restriction, and each process may contribute data of different size. A peculiarity of both MPI primitives, however, is that the size of the data contributed by each process is known by all processes in advance.

There has recently been much interest in improving the collective operations in various MPI libraries, see for instance [\[1,](#page-6-0)[10](#page-7-6)[,12\]](#page-7-7) (and the references therein). Various allgather algorithms for MPI were discussed and evaluated in [\[2\]](#page-6-1). However, the collective operations in many MPI libraries are not adapted to systems with hybrid, hierarchical communication systems such as clusters of SMP nodes (see [\[7,](#page-7-8)[8](#page-7-9)[,10\]](#page-7-6) for exceptions).

B. Mohr et al. (Eds.): PVM/MPI 2006, LNCS 4192, pp. 58[–65,](#page-6-0) 2006.

<sup>-</sup>c Springer-Verlag Berlin Heidelberg 2006

In this paper we give an improvement to a well-known allgather algorithm which makes it suitable to the SMP case. In this context an SMP cluster is simply a collection of multi-processor nodes interconnected by a communication network. Communication between processors on the same node (typically via shared memory) is assumed to be faster (lower latency, higher bandwidth) than between processors on different nodes. Most importantly, the number of processors per node that can simultaneously communicate with processors on different nodes is restricted, typically to only one processor, although some modern highperformance interconnects offer multiple communication ports. We assume that communication within nodes is homogeneous, and likewise that the interconnect over the nodes is homogeneous, that is the cost of communication between any two processors on two different nodes is independent of the location of the two processors.

MPI is a process based model. Sets of processes are represented by so-called communicators. The semantics of the MPI collectives is defined in terms of the numbering of the processes in the given communicator. Since new communicators can be defined arbitrarily from existing ones, no assumptions about the numbering of MPI processes residing on an SMP node can be made. In particular, it cannot be assumed that the processes on a node form a consecutively numbered subset. Since allgather is a symmetric operation, it is desirable that the performance of MPI Allgather be independent of the numbering of the processes.

In this paper we are concerned with regular SMP clusters, where the SMP nodes have the same number of processors. Additionally, the performance bounds for the allgather algorithm requires each node to run the same number of MPI processes. Again, since MPI allows arbitrary creation of new communicators, also for regular clusters it is possible to create communicators that do not fulfill this assumption. The algorithm can be used for the general case also, but can incur load imbalance. Better performance could possibly be achieved by a dedicated, non-regular algorithm. The algorithm can also be used for the irregular MPI Allgatherv collective, but for very irregular problems better performance could possibly be achieved by a dedicated, irregular allgather algorithm.

#### <span id="page-1-0"></span>**2 An Allgather Algorithm with Graceful Degradation**

We first present the regular allgather algorithm independent of MPI for systems with a homogeneous communication system (non-SMP case). The new feature which makes the algorithm better suited to clusters of SMP nodes is a smooth transition from logarithmic to linear behavior as the problem size grows. We term this feature *graceful degradation*.

We let  $p$  denote the number of processors, which are numbered from 0 to  $p-1$ . Each processor r has a block of data block[r] of size b. For the regular allgather problem,  $b$  is the same for all processors. The *total size* of the allgather problem at hand is  $m = pb$ . The task of the allgather operation is to collect all blocks block[0], block[1],..., block[p – 1] on all processors (in that order). By convention, for  $i \leq j$ , we let **block**[i, j] denote the consecutive sequence of blocks block[i], block[i + 1],..., block[j], and for  $j < i$ , we let block[i, j] denote the "wrapped" consecutive sequence of blocks block[i], block[i + 1],..., block[ $p 1$ , block $[0], \ldots$ , block $[j].$ 

The algorithm consists of a *logarithmic phase*, a *linear phase*, and a *last round*, either of which can be empty. In the logarithmic phase, each processor in each round doubles the number of blocks that it has collected. The algorithm used in this phase is the catenation algorithm of [\[3\]](#page-7-3) (whose communication pattern is a regular, so-called circulant graph). The number of rounds of the logarithmic phase is determined by K, which can be any integer less than or equal to  $|\log p|$ . In the linear phase, larger, consecutive chunks consisting of  $2^K$  input blocks are pipelined through rings of processors, until in the last round a last chunk of size strictly less than  $2<sup>K</sup>$  blocks is sent and received by each processor. In each round each processor sends and receives the same number of blocks. Below follows a more precise description of the combined algorithm.



<span id="page-2-0"></span>**Fig. 1.** The three phases of the combined allgather algorithm illustrated from a single processors point of view. In the *logarithmic phase* processor  $r$  receives blocks of size 1, 2, 4 from processors  $r + 1$ ,  $r + 2$ ,  $r + 4$  respectively. In the *linear phase* processor r receives two blocks of size 8 from processor  $s = r + 8$ . In the *last round* the remaining smaller block of size 5 is finally received from processor s.

- 1. In round k of the *logarithmic phase*, for  $k = 0, \ldots K 1$ , processor r receives blocks block[s,  $(s + 2<sup>k</sup> - 1)$  mod p] from processor  $s = (r + 2<sup>k</sup>)$  mod p and sends blocks block $[r,(r+2^k-1) \bmod p]$  to processor  $(r-2^k) \bmod p$ .
- 2. Let  $s = (r + 2^{K}) \mod p$ , and  $t = (r 2^{K}) \mod p$ , where K is the number of rounds of the logarithmic phase. In round k of the *linear phase*,  $k =$  $0,\ldots, |p/2^K|-2$ , processor r receives blocks block $[(s+k2^K) \mod p, (s+k2^K)]$  $(k+1)2\overline{K}-1$  mod p from processor s and sends blocks block $((r+k)2\overline{K})$  mod  $p,(r+(k+1)2<sup>K</sup>-1)$  mod p to processor t.
- 3. In the case that  $\lceil p/2^{K} \rceil 2^{K} > p$ , in the *last round* processor r receives blocks block $[(s + (p/2<sup>K</sup>) - 1)2<sup>K</sup>)$  mod  $p, (s + p - 2<sup>K</sup> - 1)$  mod  $p$ ] from processor s and sends blocks block $[(r + (p/2<sup>K</sup>)-1)2<sup>K</sup>) \mod p, (r + p-2<sup>K</sup> -1) \mod p]$ to processor t.

The three phases of the combined algorithm are illustrated in Figure [1.](#page-2-0) Correctness follows, since in each round, each processor receives a consecutive segment of new blocks, and sends a consecutive segment of blocks received in the previous round.

The number of rounds required is  $K + \lceil p/2^K \rceil - 1$ , and the total number of blocks sent and received per processor is  $p-1$  for a total communication volume per processor of  $(p-1)b = m - b$ . Each round entails either two communication steps (a send and a receive) for uni-directional interconnects, or one communication step (a combined send-receive) for interconnects supporting full bi-directional communication.

For  $K = |\log p|$  the algorithm coincides with the algorithm in [\[3\]](#page-7-3), and for  $K = 0$  with a trivial, linear time ring algorithm. By choosing  $K = |\log(B/b)|$ for some fixed intermediate buffer size the algorithm switches from logarithmic to linear behavior before the size of the consecutive segments received and sent in a round exceeds  $B$ . Thus, with increasing block size  $b$  the algorithm gracefully changes from purely logarithmic to linear behavior.

# **3 Implementation on SMP Clusters**

The allgather operation allows a simple hierarchical decomposition to exploit the faster communication between processors on the same SMP node. The hierarchical allgather algorithm looks as follows.

- 1. Choose a local root on each SMP node
- 2. On all nodes gather input blocks to local root
- 3. Perform allgather over local roots
- 4. On all nodes broadcast result from local root

A straightforward implementation of this scheme would be inefficient for medium and large problems, since non-root processes would sit idle throughout the allgather step. For the implementation of MPI Allgather an additional complication is caused by the fact that MPI processes are not necessarily consecutively numbered within the SMP nodes. Thus the blocks gathered at the local roots in the second step will either be nonconsecutive at the local root, or will have to be stored consecutively in an intermediate buffer. Both solutions have undesirable drawbacks.

For the broadcast (and the gather) operation, an SMP implementation would presumably use shared memory. In many cases, shared memory used for communication between MPI processes has to be specially allocated outside of process memory, and cannot be arbitrarily large.

By using the allgather algorithm of Section [2](#page-1-0) each of these problems can be effectively addressed for allgather problems up to a certain size. For now we consider regular SMP systems with the same number of MPI processes per node. We let  $N$  denote number of nodes, and  $n$  the number of processes per node such that  $p = nN$ .

A shared memory buffer is used for the gather and broadcast operations, and is chosen to be of a fixed, maximum size  $B$ . The number of logarithmic rounds of the allgather algorithm is chosen as  $K = \min(|\log N|, |B/nb|)$ , where nb is the total size of the input blocks on each SMP node.

The hierarchical allgather algorithm is implemented as follows. A local root process  $r$  is chosen for each SMP node, and allocates a shared memory communication buffer of size B. For each node the blocks  $block[i]$  for the processes on the node are packed consecutively into the shared memory buffer using a node local consecutive numbering of the processes. The local roots execute the allgather algorithm of Section [2](#page-1-0) with the modification that after each round of the linear phase, the blocks sent to processor  $t$  are unpacked into the result buffer of all processes on the SMP node. After the last round, the broadcast is completed by unpacking the last segment of blocks. This implementation effectively pipelines the allgather and the broadcast step of the hierarchical algorithm. We note that for communication systems that support concurrent communication and computation, unpacking of the blocks being sent to  $t$  into the result buffer of the local root can be performed concurrently with sending these blocks and receiving the next blocks from process s.

This algorithm can be used for allgather problems for which  $nb \leq B$ , i.e. for problems where the blocks of the processes on each SMP node can fit into the shared memory buffer. For larger problems a *linear ring algorithm* can be used. This should be implemented as follows. The MPI processes are sorted according to their SMP node id. The index of each process in this sorted sequence is used as virtual rank. In  $p-1$  rounds, each process with virtual rank r receives a block from virtual process  $(r + 1)$  mod p and sends a block to virtual process  $(r-1) \bmod p$ .

For systems with large SMP nodes (say, more than 8 processors per node) the transition from SMP algorithm to linear ring (which occurs when  $nb > B$ ) may be too coarse. This can be avoided by introducing a linear algorithm similar to the linear phase for "medium sized" problems. The number of input blocks that can be kept in the shared memory buffer is  $|B/b|$ , so the p processors are divided into  $p/|B/b|$  *virtual nodes* each of size  $|B/b|$ . A local root is chosen for each virtual node, and the linear phase of the allgather algorithm is executed over the virtual roots.

### **4 Performance Evaluation**

The SMP allgather algorithm has been incorporated into the MPI/SX implementation for NEC's SX series of parallel vector computers [\[10\]](#page-7-6). In this section we evaluate the implementation using the 72 node SX-8 system at HLRS (Hochleistungsrechenzentrum, Stuttgart).

The basic performance of the combined algorithm for  $N = 36$  nodes and  $n = 1, 4, 8$  processes per node is illustrated in Figure [2](#page-5-0) by comparing it to a linear ring algorithm. Running time is given as a function of the block size per process b. For small blocks up to a few KBytes the improvement over the linear algorithm is more than a factor of 3 for  $n = 1$  process per node, and more than 13 for  $n = 8$  processes per node. As block size increases the performance of the combined algorithm converges towards that of the linear algorithm. For  $n = 8$ processes per node the switch to linear ring occurs after 64 KBytes (per process; the maximum shared memory buffer size is set to  $B = 1$  MByte), and incurs a performance decrease by a factor of two (thus, the additional linear algorithm over virtual nodes described above would be worth considering).

The effect of graceful degradation towards the linear performance is further illustrated in Figure [3.](#page-5-1) This compares the combined algorithm to an SMP implementation of the logarithmic algorithm of [\[3\]](#page-7-3), which switches to a linear ring



<span id="page-5-0"></span>**Fig. 2.** The combined allgather algorithm with graceful degradation over ordered (MPI\_COMM\_WORLD) communicator compared to the linear ring algorithm for  $N = 36$ nodes and 1, 4, 8 processes per node



<span id="page-5-1"></span>**Fig. 3.** The combined allgather algorithm over MPI COMM WORLD compared to an algorithm with without graceful degradation for  $N = 36$  nodes and  $n = 4, 8$  processes per node

as soon as the gathered result cannot fit into the shared memory buffer. This hybrid algorithm exhibits a very sharp jump in running time, which for  $n = 8$ processes per node occurs at 8 KBytes, and is about a factor 7.

The potential sensitivity of a non-SMP algorithm to the numbering of of the MPI processes over the SMP nodes is illustrated in Figure [4.](#page-6-2) The combined algorithm (shown left) is compared to a linear ring algorithm over the MPI ranks (shown right) for the ordered MPI COMM WORLD communicator and a communicator in which the processes have been randomly permuted. In the latter case, the successor and predecessor of process r (namely  $(r-1) \mod p$ , and  $(r+1) \mod p$ ) are almost always on a different SMP node, so in each communication round, almost all  $n$  processes on each node attempt to communicate with a process on another node, leading to serialization at the nodes. As expected, for  $n = 4$  the random communicator performance is from a factor 2 for small block sizes up to almost a factor 4 for large block sizes worse than the ordered communicator. For  $n = 8$  the performance degradation ranges from a factor of 3 up to a factor of 6. For somewhat larger block sizes, the combined algorithm is insensitive to the



<span id="page-6-2"></span>**Fig. 4.** The combined allgather algorithm (left) compared to non-SMP aware linear algorithm (right) for ordered MPI COMM WORLD and random communicator for  $N = 36$ nodes and  $n = 1, 4, 8$  processes per node

MPI process distribution. The difference for  $n = 8$  for small block sizes is due to the fact that for the random communicator the gathered result does not form a consecutive segment of blocks, and must be unpacked as p individual blocks. On a vector machine like the NEC SX-8, copying of small blocks is penalized.

### **5 Concluding Remarks**

We presented an allgather algorithm which combines well-known logarithmic and linear round allgather algorithms, and efficiently makes use of potentially limited intermediate communication buffer space. This makes the new algorithm suitable for use in *regular* SMP clusters, in which the number of processors (and MPI processes) per SMP node is the same for all nodes. The algorithm can be implemented also for non-regular SMP clusters, and has been used for the implementation of both MPI Allgather and MPI Allgatherv collectives. However, for very irregular problem instances, dedicated irregular algorithms might give better performance.

The combined algorithm was developed assuming single-port communication of the SMP nodes. It is worth pointing out that both the logarithmic and the linear phase can easily be generalized to the case where the SMP nodes have  $k > 1$  communication ports.

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