DNF Are Teachable in the Average Case

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Abstract. We study the average number of well-chosen labeled examples that are required for a helpful teacher to uniquely specify a target function within a concept class. This "average teaching dimension" has been studied in learning theory and combinatorics and is an attractive alternative to the "worst-case" teaching dimension of Goldman and Kearns [7] which is exponential for many interesting concept classes. Recently Balbach [3] showed that the classes of 1-decision lists and 2-term DNF each have linear average teaching dimension.

As our main result, we extend Balbach's teaching result for 2-term DNF by showing that for any $1 \leq s \leq 2^{\Theta(n)}$, the well-studied concept classes of at-most-s-term DNF and at-most-s-term monotone DNF each have average teaching dimension O(ns). The proofs use detailed analyses of the combinatorial structure of "most" DNF formulas and monotone DNF formulas. We also establish asymptotic separations between the worst-case and average teaching dimension for various other interesting Boolean concept classes such as juntas and sparse GF_2 polynomials.

1 Introduction

Many results in computational learning theory consider learners that have some form of access to an oracle that provides labeled examples. Viewed as teachers, these oracles tend to be unhelpful as they typically either provide random examples selected according to some distribution, or they put the onus on the learner to select the examples herself. In noisy learning models, oracles are even allowed to lie from time to time.

In this paper we study a learning model in which the oracle acts as a helpful teacher [7,8]. Given a target concept c (this is simply a Boolean function over some domain X) that belongs to a concept class \mathcal{C} , the teacher provides the learner with a carefully chosen set of examples that are labeled according to c. This set of labeled examples is called a teaching set and must have the property that no other concept $c' \neq c$ in \mathcal{C} is consistent with the teaching set; thus every learner that outputs a consistent hypothesis will correctly identify c as the target concept. The minimum number of examples in any teaching set for c is called the teaching dimension of c with respect to \mathcal{C} , and the maximum value of the teaching dimension over all concepts in \mathcal{C} is the teaching dimension of \mathcal{C} .

Some concept classes that are easy to learn can be very difficult to teach in the worst case in this framework. As one example, let the concept class \mathcal{C} over

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finite domain X contain |X| + 1 concepts which are the |X| singletons and the empty set. Any teaching set for the empty set must contain every example in X, since if $x \in X$ is missing from the set then the singleton concept $\{x\}$ is not ruled out by the set. Thus the teaching dimension for this concept class is |X|.

Many interesting concept classes include the empty set and all singletons, and thus have teaching dimension |X|. Consequently for many concept classes the (worst-case) teaching dimension is not a very interesting measure. With this motivation, researchers have considered the *average teaching dimension*, namely the average value of the teaching dimension of c as c ranges over all of c.

Anthony et al. [2] showed that the average teaching dimension of the class of linearly separable Boolean functions over $\{0,1\}^n$ is $O(n^2)$. Kuhlmann [9] showed that concept classes with VC dimension 1 over finite domains have constant average teaching dimension and also gave a bound on the average teaching dimension of concept classes $\mathcal{B}^d(c)$ (balls of center c and size $\leq d$). Kushilevitz et al. [10] constructed a concept class \mathcal{C} that has an average teaching dimension of $\Omega(\sqrt{|\mathcal{C}|})$ (this lower bound was also proved in [6]) and also showed that every concept class has average teaching dimension at most $O(\sqrt{|\mathcal{C}|})$. More recently, Balbach [3] showed that the classes of 2-term DNF and 1-decision lists each have average teaching dimension linear in n.

Our Results. Our main results are the following theorems, proved in Sections 3 and 4, which show that the well-studied concept classes of monotone DNF formulas and DNF formulas are efficiently teachable in the average case:

Theorem 1. Fix any $1 \le s \le 2^{\Theta(n)}$ and let C be the concept class of all Boolean functions over $\{0,1\}^n$ representable as a monotone DNF with at most s terms. Then the average teaching dimension of C is O(ns).

Theorem 2. Fix any $1 \le s \le 2^{\Theta(n)}$ and let C be the concept class of all Boolean functions over $\{0,1\}^n$ representable as a DNF with at most s terms. Then the average teaching dimension of C is O(ns).

Theorem 2 is a broad generalization of Balbach's result on the average teaching dimension of the concept class of DNF with at most two terms. It is easy to see that even the class of at-most-2-term DNFs has exponential worst-case teaching dimension; as we show in Section 3, the worst-case teaching dimension of at-most-s-term monotone DNFs is exponential as well. Thus our results show that there is a dramatic difference between the worst-case and average teaching dimensions for these concept classes.

We also consider some other well-studied concept classes, namely juntas and sparse GF_2 polynomials. For the class of k-juntas, we show in Section 5 that while the worst-case teaching dimension has a logarithmic dependence on n (the number of irrelevant variables), the average teaching dimension has no dependence on n. For a certain class of sparse GF_2 polynomials (roughly, the class of GF_2 polynomials with fewer than $\log n$ terms; see Section 6), we show that while the worst-case teaching dimension is $n^{\Theta(\log \log n)}$, the average teaching dimension is $O(n \log n)$. Thus in each case we establish an asymptotic separation

between the worst-case teaching dimension and the average teaching dimension. Our results suggest that rich and interesting concept classes that are difficult to learn in many models may in fact be easy to teach in the average case.

Due to space constraints some proofs are omitted; see [11] for these proofs.

2 Preliminaries

Our domain is $X = \{0,1\}^n$, and we refer to Boolean functions $c : \{0,1\}^n \to \{0,1\}$ as concepts. A collection of concepts $\mathcal{C} \subseteq 2^{\{0,1\}^n}$ is a concept class. For a given instance $x \in X$, the value of c(x) is referred to as a label, and for $y \in \{0,1\}$, the pair (x,y), is referred to as a labeled example. If y = 0 (y = 1) then the pair is called a negative (positive) example. A concept class \mathcal{C} is consistent with a set of labeled examples if c(x) = y for all the examples in the set.

A set S of labeled examples is a teaching set for c with respect to $\mathcal C$ if c is the only concept in $\mathcal C$ that is consistent with S; thus every learner that outputs a consistent hypothesis from $\mathcal C$ will correctly identify c as the target concept. The minimum number of examples in any teaching set for c is called the teaching dimension of c with respect to $\mathcal C$ (sometimes written TD(c) when $\mathcal C$ is understood), and the maximum value of the teaching dimension over all concepts in $\mathcal C$ is the (worst-case) teaching dimension of $\mathcal C$. The average teaching dimension of $\mathcal C$ is the average value of the teaching dimension of c with respect to $\mathcal C$ for all c, i.e., $\frac{1}{|\mathcal C|}\sum_{c\in\mathcal C}TD(c)$.

We use Boolean variables x_1, \ldots, x_n and write \bar{x}_i to denote the negated literal on variable x_i . We will often refer to a logical assignment of the variables as a string and vice-versa; thus, a string $y \in \{0,1\}^n$ corresponds to a truth-value assignment to the variables x_1, \ldots, x_n . Given a set S of variables, we write $\mathbf{0}|_{S=1}$ to denote the truth assignment that sets each variable in S to 1 and sets all other variables to 0. The truth assignment $\mathbf{1}|_{S=0}$ is defined similarly.

Two strings $y, z \in \{0,1\}^n$ are neighbors if they differ in exactly one bit position. Given $x, y \in \{0,1\}^n$ we write $x \leq y$ if $x_i \leq y_i$ for all $i = 1, \ldots, n$, and we write x < y if we have $x \leq y$ and $x \neq y$.

DNF Formulas. A term is a conjunction of Boolean literals. A term over n variables is represented by a string $T \in \{0, 1, *\}^n$, where the k-th character of T is denoted T[k]. The value of T[k] is 0, 1, or * depending on whether x_k occurs negated, unnegated, or not at all in the term. If $x \in \{0, 1\}^n$ is an assignment that satisfies T, we sometimes say that T covers x. Note that the satisfying assignments of a term T form a subcube of dimension n - |T| within $\{0, 1\}^n$, where |T| denotes the number of non-* entries in T.

An s-term DNF formula ϕ is an OR of s terms $\phi = T_1 \vee \cdots \vee T_s$. A satisfying assignment to the DNF is sometimes referred to as a positive point and an unsatisfying assignment as a negative point.

A term T_i is said to be *compatible* with a set of labeled examples S if T_i does not cover any negative example in S. A term T_i is said to *imply* another term T_j if every positive point of T_i is also a positive point of T_j . We similarly say that a term T implies a DNF formula ϕ , or that a DNF formula ϕ_1 implies another DNF formula ϕ_2 . Two different DNF formulas ϕ_1 and ϕ_2 are said to be *logically equivalent* if

each implies the other, *i.e.*, if they are different syntactic representations of the same Boolean function. Throughout the paper we will use Greek letters ϕ, φ, \ldots to denote formulas (which are syntactic objects) and Roman letters f, g, \ldots to denote Boolean functions (which are abstract mappings from $\{0,1\}^n$ to $\{0,1\}$).

We write \mathcal{D}_s to denote the class of "exactly-s-term" DNFs; this is the class of all Boolean functions $f: \{0,1\}^n \to \{0,1\}$ that have some s-term DNF representation and have no s'-term DNF representation for any s' < s. Similarly, we write $\mathcal{D}_{\leq s}$ to denote the class of "at-most-s-term" DNFs, which is $\mathcal{D}_{\leq s} = \cup_{s' \leq s} \mathcal{D}_{s'}$. Note that the elements of \mathcal{D}_s and $\mathcal{D}_{\leq s}$ are "semantic" functions, not syntactic formulas. The class $\mathcal{D}_{\leq s}$ corresponds to the standard notion of "s-term DNF" which is a well studied concept class in computational learning theory.

A monotone DNF formula, or mDNF, is a DNF formula that contains no negated literals. The classes of exactly-s-term mDNFs and at-most-s-term mDNFs are denoted \mathcal{M}_s and $\mathcal{M}_{\leq s}$ and are defined in analogy with \mathcal{D}_s and $\mathcal{D}_{\leq s}$ above. The following fact is well known:

Fact 1. If $f \in \mathcal{M}_s$ then there is a unique (up to ordering of the terms) s-term mDNF representation $\phi = T_1 \vee \cdots \vee T_s$ for f.

3 Monotone DNFs

Worst-case teaching dimension of at-most-s-term mDNFs. Here we state upper and lower bounds on the worst-case teaching dimension of $\mathcal{M}_{\leq s}$. See [11] for proofs of these statements.

Theorem 3. The teaching dimension of $\mathcal{M}_{\leq s}$ is at most $n^s + s$.

Theorem 4. Given s, let $s' \leq s$ be any value such that (s'-1) divides n. Then the teaching dimension of $\mathcal{M}_{\leq s}$ is at least $(\frac{n}{s'-1})^{s'-1}$.

Average-case teaching dimension of at-most-s-term mDNFs. We now prove Theorem 1. The idea is to show that almost every at-most-s-term monotone DNF in fact has exactly s terms; as we will see, these exactly-s-term monotone DNFs can be taught very efficiently with O(ns) examples. The remaining concepts are so few that they can be handled with a brute-force approach and the overall average teaching dimension will still be O(ns).

We start with a simple lemma from [7]:

Lemma 1 ([7]). Let c be any concept in \mathcal{M}_s . Then the teaching dimension of c with respect to $\mathcal{M}_{\leq s}$ is at most (n+1)s.

Lemma 2. For
$$1 \le i < \frac{1}{4}e^{\frac{n}{72}}$$
, we have $\frac{2^{ni-1}}{i!} \le |\mathcal{M}_i| \le \frac{2^{ni}}{i!}$.

Proof. The upper bound is easy: the number of *i*-term mDNFs is at most the number of ways to choose *i* terms from the set of all 2^n many monotone terms over variables x_1, \ldots, x_n . The latter quantity is $\binom{2^n}{i} \leq \frac{2^{ni}}{i!}$.

For the lower bound we consider all 2^{ni} ways to select a sequence of i terms (with replacement) from the set of all 2^n possible monotone terms. We show

that at least half of these 2^{ni} ways result in a sequence T_1, \ldots, T_i of terms which are pairwise incomparable, *i.e.*, no T_i implies any other T_j . Each such sequence yields an *i*-term mDNF, and each such mDNF occurs *i*! times because of different orderings of the terms in a sequence. This gives the lower bound.

Note that a collection of i monotone terms T_1, \ldots, T_i will be pairwise incomparable if the following two conditions hold: (1) Each of the i terms contains between 5n/12 and 7n/12 many variables, and (2) Viewing each term T_i as a set of variables, for any $j \neq k$ the symmetric difference $|T_j \Delta T_k|$ is of size at least n/4. (This is because if $|T_j|, |T_k| \in [5n/12, 7n/12]$ and $T_j \subseteq T_k$, then the symmetric difference must be of size at most n/6.)

For condition (1), Hoeffding's bound implies that a uniformly selected monotone term T will contain fewer than 5n/12 or more than 7n/12 many variables with probability at most $2e^{-n/72}$, so a union bound gives that condition (1) fails with probability at most $2ie^{-n/72}$. For condition 2, observe that given two uniform random terms T_j, T_k , each variable x_ℓ is independently in their symmetric difference with probability 1/2. Thus Hoeffding's bound implies that $|T_j\Delta T_k| < n/4$ with probability at most $e^{-n/8}$. By a union bound, the probability that condition (2) fails is at most $\binom{i}{2}e^{-n/8}$. Thus for $i < \frac{1}{4}e^{\frac{n}{12}}$, the probability that conditions (1) and (2) both hold is at least 1/2.

Fix $1 \le s \le \frac{1}{4}e^{\frac{n}{72}}$. It is easy to check that by Lemma 2, for any k < s we have $|\mathcal{M}_k| < \frac{1}{2}|\mathcal{M}_{k+1}|$. Thus (again by Lemma 2) we have $|\mathcal{M}_{\le s-1}| \le \frac{2^{ns-n+1}}{(s-1)!}$ while $|\mathcal{M}_s| \ge \frac{2^{ns-1}}{s!}$. Combining these bounds gives that $\frac{|\mathcal{M}_s|}{|\mathcal{M}_{\le s-1}|} \ge \frac{2^n}{4s}$. By Lemma 1, each concept $c \in \mathcal{M}_{\le s}$ which is in \mathcal{M}_s can be taught using n(s+1) examples. Each of the remaining concepts can surely be taught using at most 2^n examples. We thus have that the average teaching dimension of $\mathcal{M}_{\le s}$ is at most

$$\frac{(n+1)s|\mathcal{M}_s| + 2^n|\mathcal{M}_{\leq s-1}|}{|\mathcal{M}_s| + |\mathcal{M}_{\leq s-1}|} \le (n+1)s + \frac{2^n}{1 + 2^n/4s} \le (n+1)s + 4s,$$

giving us the following result which is a slightly sharper version of Theorem 1:

Theorem 5. Let s be any value $1 \le s \le \frac{1}{4}e^{\frac{n}{72}}$. The class $\mathcal{M}_{\le s}$ of at-most-s-term monotone DNF has average teaching dimension at most s(n+5).

Note that if $s > \frac{1}{4}e^{\frac{n}{72}}$, then 2^n is bounded by some fixed polynomial in s, and thus the worst-case teaching number 2^n is actually poly(n, s) for such a large s. This gives the following corollary which says that the class of at-most-s-term monotone DNF is efficiently teachable on average for all possible values of s:

Corollary 1. Let s be any value $1 \le s \le 2^n$. The class $\mathcal{M}_{\le s}$ of at-most-s-term monotone DNF has average teaching dimension poly(n, s).

4 DNFs

Now we will tackle the teaching dimension of the unrestricted class of size-atmost-s DNFs. The high-level approach is similar to the monotone case, but the details are more complicated. The idea is to identify a subset S of $\mathcal{D}_{\leq s}$ and show that (i) any function $f \in S$ can be uniquely specified within all of $\mathcal{D}_{\leq s}$ using only O(ns) examples; and (ii) at most a $\frac{O(s)}{2^n}$ fraction of all functions in $\mathcal{D}_{\leq s}$ do not belong to S. Given (i) and (ii) it is easy to conclude that the average teaching number of $\mathcal{D}_{\leq s}$ is O(ns).

The challenge is to devise a set S that satisfies both conditions (i) and (ii). In the monotone case using Fact 1 it was easy to show that \mathcal{M}_s is an easy-to-teach subset, but non-monotone DNF are much more complicated (no analogue of Fact 1 holds for non-monotone DNF) and it is not at all clear that all functions in \mathcal{D}_s are easy to teach. Thus we must use a more complicated set S of easy-to-teach functions; we define this set and prove that it is indeed easy to teach in Section 4.2. (This argument uses Balbach's results for exactly-2-term DNFs.) The argument that (ii) holds for S is correspondingly more complex than the counting argument for mDNFs because of S's more involved structure; we give this in Section 4.3.

4.1 Preliminaries

We will borrow some terminology from Balbach [3]. Two terms T_i and T_j have a strong difference at k if $T_i[k], T_j[k] \in \{0,1\}$ and $T_i[k] \neq T_j[k]$ (e.g., $x_1\bar{x}_5x_6$ and $\bar{x}_5\bar{x}_6x_{12}x_{23}$ have a strong difference at position 6). Two terms have a weak difference at k if $T_i[k] \in \{0,1\}$ and $T_j[k] = *$ or vice-versa. Two weak differences at positions k and ℓ are of the same kind if $T_i[k], T_i[\ell] \in \{0,1\}$ and $T_j[k] = T_j[\ell] = *$ or vice-versa, that is both *'s occur in the same term (e.g., \bar{x}_5x_6 and $\bar{x}_5\bar{x}_6x_{12}x_{23}$ have two weak differences of the same kind at positions 12 and 23). Two weak differences at positions k and ℓ are of different kinds if $T_i[k], T_j[\ell] \in \{0,1\}$ and $T_j[k] = T_i[\ell] = *$ or vice-versa (e.g., \bar{x}_5x_6 and \bar{x}_5x_{12} have two weak differences of different kinds at positions 6 and 12).

Now we introduce some new terminology. Given $y \in \{0,1\}^n$ which satisfies a term T, we denote by $N_T(y)$ the set consisting of y and all its neighbors that do not satisfy T. A satisfying assignment $y \in \{0,1\}^n$ of a term T in ϕ is called a cogent corner point of T if all the neighbors of y that satisfy ϕ satisfy T, and all the neighbors that do not satisfy T do not satisfy T. Note that if T is a cogent corner point of T, then each of the neighbors of T in T are said to be antipodal around T if T if T if all T if they are both cogent corner points of T and antipodal around T. This leads us to our first preliminary lemma:

Lemma 3. Let $\phi = T_1 \vee \cdots \vee T_s$ be any DNF. Let y be a cogent corner point of T_i . Any \widehat{T} that covers y and is compatible with $N_{T_i}(y)$ must imply T_i .

Proof. Let \widehat{T} be any term that covers y. Observe that for each literal ℓ in T_i , if \widehat{T} did not contain ℓ then \widehat{T} would not be compatible with $N_{T_i}(y)$ since the corresponding negative neighbor of y is contained in $N_{T_i}(y)$ but would be covered by \widehat{T} . It follows that every literal in T_i is also present in \widehat{T} , and consequently \widehat{T} implies T_i .

Two terms are said to be *close* if they have at most one strong difference. Note that there is no strong difference between two terms if and only if they have some satisfying assignment in common, and there is one strong difference between two terms if and only if they have neighboring satisfying assignments.

Given a Boolean function $f: \{0,1\}^n \to \{0,1\}$, we let G_f denote the undirected graph whose vertices are the satisfying assignments of f and whose edges are pairs of neighboring satisfying assignments. A cluster C of f is a set of satisfying assignments that form a connected component in G_f . We sometimes abuse notation and write C to refer to the Boolean function whose satisfying assignments are precisely the points in C. We say that a DNF ϕ computes cluster C if the set of satisfying assignments for ϕ is precisely C. The DNF-size of a cluster C is the minimum number of terms in any DNF that computes C. For intuition, we can view a cluster as being a connected set of positive points that have a "buffer" of negative points separating them from all other positive points. The following lemma is immediate:

Lemma 4. Let f be an element of \mathcal{D}_s , i.e. f is an exactly-s-term DNF. Let C_1, \ldots, C_r be the clusters of f. Then DNF-size(C_1) + \cdots + DNF-size(C_r) = s.

4.2 Teaching S

We are now ready to define our "nice" (easy to teach) subset $S \subseteq \mathcal{D}_{\leq s}$ of size-at-most-s DNFs. (We emphasize that S is a set of functions, not of DNF expressions.) S consists of those exactly-s-term DNFs (so in fact $S \subseteq \mathcal{D}_s$) all of whose clusters either: (1) have DNF-size 1; (2) have DNF-size 2; or (3) have DNF-size k, for some k, and are computed by a DNF $\phi = T_1 \vee \cdots \vee T_k$ in which each T_i has a pair of cogent antipodal points around it.

Note that if a cluster has DNF-size 1, then it clearly satisfies condition (3) above (in fact every pair of antipodal points for the term is cogent). Thus we can simplify the description of S: it is the set of all exactly s-term DNFs all of whose clusters either: (i) have DNF-size k and are computed by a DNF $\phi = T_1 \vee \cdots \vee T_k$ in which each T_i has a pair of cogent antipodal points around it, or (ii) have DNF-size exactly 2. (Note that there do in fact exist Boolean functions of DNF-size 2 for which any two-term representation $T_1 \vee T_2$ has some term T_i with no pair of cogent antipodal points around it, e.g., $\overline{x}_1\overline{x}_3 \vee x_2x_3$, and thus condition (ii) is non-redundant.)

The teaching set for functions in S. We will use the following theorem due to Balbach [3]:

Theorem 6. Let c be any element of \mathcal{D}_2 (i.e., an exactly-2-term DNF). The teaching dimension of c with respect to $\mathcal{D}_{\leq 2}$ is at most 2n + 4.

The teaching set specified in [3] to prove Theorem 6 consists of at most 5 positive points along with some negative points. Given $f \in \mathcal{D}_2$, we define BTS(f) to be the union of the teaching set specified in [3] together with all negative neighbors of the (at most five) positive points described above (the set specified in [3] already contains some of these points). With this definition a straightforward consequence of the analysis of [3] is the following:

Lemma 5. Let $\phi = T_1 \lor \cdots \lor T_s$ be a DNF that has a cluster C with DNF-size 2. Let BTS(C) be as described above. Let y be a satisfying assignment for ϕ that is contained in C. Then any term \widehat{T} that covers y and is consistent with BTS(C) must imply C.

Given any function $f \in \mathcal{S}$, our teaching set TS(f) for f will be as follows. For each cluster C of f, if C:

- satisfies condition (i): then for each term T_i described in condition (i), the set TS(f) contains a pair y, z of cogent antipodal points for T_i (these are positive examples) and contains all negative neighbors of these two positive examples (i.e., TS(f) contains $N_{T_i}(y)$ and $N_{T_i}(z)$). Thus TS(f) includes at most k(2+2n) many points from such a cluster.
- does not satisfy condition (i) but satisfies (ii): then we will give the set BTS(C) described above. By Theorem 6 and the definition of BTS(C), we have that BTS(C) contains at most 7n + 4 points.

Lemma 4 now implies that TS(f) contains at most O(ns) points.

Correctness of the teaching set construction. We now prove that the set TS(f) is indeed a teaching set that uniquely specifies f within all of $\mathcal{D}_{\leq s}$.

We first observe that any term compatible with TS(f) can only cover positive examples from one cluster of ϕ .

Lemma 6. Let y be any positive example in TS(f) and let T be any term that covers y and is compatible with TS(f). Let C be the cluster of ϕ that covers y. Then if z is any positive example in TS(f) that is not covered by C, T does not cover z.

Proof. If C satisfies condition (i) then y must be a cogent corner point and Lemma 3 gives the desired conclusion. If C does not satisfy (i) but satisfies (ii), then the conclusion follows from Lemma 5.

The next two lemmas show that any set of terms that covers the positive examples of a given cluster must precisely compute the entire cluster and only the cluster of the original function:

Lemma 7. Let C be any case (i) cluster of DNF-size k. Let P_C be the intersection of the positive examples in TS(f) with C. Let $\widehat{T}_1, \ldots, \widehat{T}_j$ be any set of $j \leq k$ terms such that the DNF $\widehat{T}_1 \vee \cdots \vee \widehat{T}_j$ both: (a) is compatible with TS(f), and (b) covers every point in P_C . Then it must be the case that j = k and $\widehat{T}_1 \vee \cdots \vee \widehat{T}_j$ exactly computes C (in fact each term \widehat{T}_i is equivalent to T_i up to reordering).

Proof. By Lemma 3, a term \widehat{T} that covers a cogent antipodal point from term T_i cannot cover any of the other 2k-2 cogent antipodal points from other terms, and thus we must have j=k since fewer than k terms cannot cover all of P_C . Moreover, any term \widehat{T}_i must cover a pair of antipodal points corresponding to a single term (which wlog we call T_i). For each antipodal pair corresponding to

a term T_i , the covering term \widehat{T}_i must be of size at least $|T_i|$, and since they are cogent antipodal points, the covering term cannot be any longer than $|T_i|$, so in fact we have that \widehat{T}_i and T_i are identical. This proves the lemma.

Lemma 8. Let C be any case (ii) cluster. Let P_C be the intersection of the positive examples in TS(f) with C. Let $\widehat{T}_1, \ldots, \widehat{T}_j$ be any set of $j \leq 2$ terms such that the DNF $\widehat{T}_1 \vee \cdots \vee \widehat{T}_j$ both: (a) is compatible with TS(f), and (b) covers every point in P_C . Then it must be the case that j=2 and $\widehat{T}_1 \vee \widehat{T}_2$ exactly computes C.

Proof. The fact that BTS(C) is a teaching set (for the exactly-2-term DNF corresponding to C, relative to $\mathcal{D}_{\leq 2}$) implies the desired result, since no single term or 2-term DNF not equivalent to C can be consistent with BTS(C), and any DNF $\widehat{T}_1 \vee \cdots \vee \widehat{T}_j$ as in the lemma must be consistent with BTS(C).

The pieces are in place for us to prove our theorem:

Theorem 7. For any $f \in \mathcal{S}$, the set TS(f) uniquely specifies f within $\mathcal{D}_{\leq s}$.

Proof. By Lemma 6, positive points from each cluster can only be covered by terms that do not include any positive points from other clusters. By Lemmas 7 and 8, for each cluster C, the minimum number of terms required to cover all positive points in the cluster (and still be compatible with TS(f)) is precisely the DNF-size of C. Since f is an exactly-s-term DNF, Lemma 4 implies that using more than DNF-size(C) many terms to cover all the positive points in any cluster C will "short-change" some other cluster and cause some positive point to be uncovered. Thus any at-most-s-term DNF ϕ that is consistent with TS(f) must have the property that for each cluster C, at most DNF-size(C) of its terms cover the points in P_C ; so by Lemmas 7 and 8, these terms exactly compute C, and thus ϕ must exactly compute f.

4.3 Average-Case Teaching Dimension of DNFs

Now we will show that all but at most a $\frac{O(s)}{2^n}$ fraction of functions in $\mathcal{D}_{\leq s}$ are in fact in \mathcal{S} . We do this by showing that at least a $1 - \frac{O(s)}{2^n}$ fraction of functions in $\mathcal{D}_{\leq s}$ are in the easy-to-teach set \mathcal{S} , i.e. they belong to \mathcal{D}_s and are such that each cluster satisfies either condition (i) or (ii) from Section 4.2. Since we have shown that each $f \in \mathcal{S}$ can be uniquely specified within $\mathcal{D}_{\leq s}$ using O(ns) examples, this will easily yield that the average teaching number over all of $\mathcal{D}_{\leq s}$ is O(ns).

First we show that most functions in $\mathcal{D}_{\leq s}$ are in fact in \mathcal{D}_s . We can bound $|\mathcal{D}_i|$ using the same approach as we did for monotone DNFs.

Lemma 9. For
$$i < (9/7)^{n/3}$$
, we have $\frac{1}{2} \cdot \frac{3^{ni}}{i!} \leq |\mathcal{D}_i| \leq \frac{3^{ni}}{i!}$.

Proof. As in Lemma 2, the upper bound is easy; we may bound the number of functions in \mathcal{D}_i by the number of ways to choose i terms from the set of all 3^n possible terms over variables x_1, \ldots, x_n . This is $\binom{3^n}{i} \leq \frac{3^{ni}}{i!}$.

For the lower bound, we first note that a DNF formula consisting of i terms that are all pairwise far from each other cannot be logically equivalent to any other DNF over a different set of i terms. We will show that at least half of all 3^{ni} possible sequences of i terms have the property that all i terms in the sequence are pairwise far from each other; this gives the lower bound (since each such set of i terms can be ordered in i! different ways).

So consider a uniform random draw of i terms T_1, \ldots, T_i from the set of all 3^n possible terms. The probability that T_1 and T_2 are close is the probability that they have no strong differences plus the probability that they have exactly one strong difference. This is $(7/9)^n + n(7/9)^{n-1}(2/9) < (n+1)(7/9)^n$. By a union bound over all pairs of terms, the probability that any pair of terms is close at most $\binom{i}{2}(n+1)(7/9)^n$ which is less than 1/2 for $i < (9/7)^{n/3}$.

As in Section 3, as a corollary we have that $\frac{|\mathcal{D}_s|}{|\mathcal{D}_{\leq s-1}|} \geq \frac{3^n}{4s}$ for $s \leq (9/7)^{n/3}$. We now bound the number of DNFs in \mathcal{D}_s that are not in \mathcal{S} . To do this, we

consider choosing s terms at random with replacement from all 3^n terms:

Lemma 10. Fix any $s \leq (9/8)^{n/25}$. Let $f = T_1, \ldots, T_s$ be a sequence of exactly s terms selected by independently choosing each T_i uniformly from the set of all 3^n possible terms. Let $A(T_i)$ denote the event that term T_i in f has no cogent antipodal pairs, and $B(T_i)$ denote the event that there is more than one other term close to T_i in f. Then $\Pr[\exists T_i \in f : A(T_i) \& B(T_i)] \leq \frac{O(s)}{2n}$, where the probability is taken over the choice of f.

Using Lemma 10 we can bound the number of functions $f \in \mathcal{D}_s$ that are not in \mathcal{S} . If $f \in \mathcal{D}_s \setminus \mathcal{S}$, then f must have a DNF formula representation $\phi = T_1 \vee \cdots \vee T_s$ in which some term T_i (1) has no cogent antipodal pairs, and (2) has at least two other terms T_j, T_k that are close to it. (If there were no such term, then for any representation $\phi = T_1 \vee \cdots \vee T_s$ for the function f, every T_i is contained in either a cluster of DNF-size 1 or 2, or a cluster of DNF-size k with a pair of good antipodal points around it. But then ϕ would be in \mathcal{S} .) We will call such a syntactic DNF formula "bad." Lemma 10 tells us that the number of bad syntactic formulas is at most $\frac{3^{ns}O(s)}{2^n}$, since there are 3^{ns} syntactic formulas. Notice that any bad formula ϕ must have s distinct terms (since the function it computes belongs to \mathcal{D}_s), and since these terms can be ordered in s! different ways, there are at least s! bad formulas that compute the same function as ϕ . Consequently the number of bad functions in \mathcal{D}_s , $|\mathcal{D}_s \setminus \mathcal{S}|$, is at most $\frac{\mathcal{O}(s)}{2^n} \frac{3^{ns}}{s!}$. By Lemma 9, $|\mathcal{D}_s|$ is at at least $\frac{3^{ns}}{2s!}$. This gives the following:

Corollary 2. $\frac{|\mathcal{D}_s \setminus \mathcal{S}|}{|\mathcal{D}_s|} \leq \frac{O(s)}{2^n}$.

We now proceed to prove Lemma 10.

Proof. The bulk of the argument is in showing that $Pr[A(T_1) \& B(T_1)]$ is at most $O(1) \cdot 2^{-n}$; once this is shown a union bound gives the final result.

We condition on the outcome of T_1 . Using the fact that each variable occurs independently in T_1 (either positive or negated) with probability 2/3, a Chernoff bound gives that $\Pr[|T_1| < .08n] \le 2^{-n}$, so we have that

$$\Pr[A(T_1) \& B(T_1)] \le 2^{-n} + \sum_{T: |T| \ge .08n} \Pr[A(T_1) \& B(T_1) \mid (T_1 = T)] \cdot \Pr[T_1 = T].$$

Next we show that $\Pr[A(T_1) \& B(T_1) \mid (T_1 = \mathcal{T})] \leq O(1) \cdot 2^{-n}$ for every \mathcal{T} satisfying $|\mathcal{T}| \geq .08n$; this implies an $O(1) \cdot 2^{-n}$ bound on $\Pr[A(T_1) \& B(T_1)]$. To do this we consider a third event which we denote by $C(T_1)$; this is the event that T_1 is close to at most 25 of the terms T_2, \ldots, T_s . Clearly we have that

$$\Pr[A(T_1) \& B(T_1) \mid (T_1 = \mathcal{T})] = \Pr[A(T_1) \& B(T_1) \& \neg C(T_1) \mid (T_1 = \mathcal{T})] + \Pr[A(T_1) \& B(T_1) \& C(T_1) \mid (T_1 = \mathcal{T})]$$
(1)

and we proceed by bounding each of the terms in (1).

The first term is at most $\Pr[\neg C(T_1) \mid (T_1 = \mathcal{T})]$. Fix any $\alpha \in [.08, 1]$ and any term \mathcal{T} of length αn , and fix $T_1 = \mathcal{T}$. Then the probability (over a random draw of T_2 as in the statement of the lemma) that T_2 is close to T_1 is the probability that T_1 and T_2 have one strong difference plus the probability that T_1 and T_2 have no strong difference, which is exactly $\alpha n \frac{1}{3} \left(\frac{2}{3}\right)^{\alpha n-1} + \left(\frac{2}{3}\right)^{\alpha n} \leq 2\alpha n \left(\frac{2}{3}\right)^{\alpha n}$. Using the independence of the terms T_2, \ldots, T_s and a union bound, it follows that the probability that there exists any set of K terms in f which are all close to T_1 is at most $\binom{s}{K}(2\alpha n)^K \left(\frac{2}{3}\right)^{K\alpha n}$. It is not hard to verify that for any $1 \leq s \leq (9/8)^{n/25}$, any $K \geq 26$, and any $\alpha \in [.08, 1]$, this quantity is asymptotically less than 2^{-n} .

It remains to bound the second term of (1) by $O(1) \cdot 2^{-n}$. We do this using the following observation:

Proposition 1. Let $f = T_1, ..., T_s$ be any sequence of s terms. If T_1 has no cogent antipodal pairs with respect to f and is close to at most K of the terms $T_2, ..., T_s$, then there must be some term among $T_2, ..., T_s$ that is close to T_1 and contains at most $k = \lceil \log K \rceil + 1$ variables not already in T_1 .

Proof. We show that if every term in f close to T_1 contains more than k variables, there must remain some cogent antipodal pair for T_1 . Let r be the number of variables in T_1 and let $\ell = n - r$. For any $z \in \{0, 1\}^{\ell}$ let $Q_{T_1}(z)$ denote the set of points in $\{0, 1\}^n$ consisting of the antipodal pair induced by z on T_1 (these two points each satisfy T_1) and the 2r neighbors of these points that do not satisfy T_1 . Thus $Q_{T_1}(z) = Q_{T_1}(\overline{z})$, and there are $2^{\ell-1}$ distinct $Q_{T_1}(z)$, each representing a possible cogent antipodal pair.

Consider a term T_i that is close to T_1 , and partition its satisfying assignments according to the 2^{ℓ} assignments on the ℓ variables not contained in T_1 . Since T_i will only eliminate the cogent antipodal pair represented by the neighborhood $Q_{T_1}(z)$ if it covers some point in $Q_{T_1}(z)$, T_i can only eliminate as many cogent antipodal pairs as it has partitions. But if T_i contains more than k of the ℓ variables not already in T_1 , then there are fewer than $2^{\ell-k}$ different ways to set the ℓ bits outside of T_1 to construct a satisfying assignment for T_i , and T_i has fewer than $2^{\ell-k}$ different partitions. Since by assumption there are at most $K \leq 2^{k-1}$ terms close to T_1 , there are fewer than $2^{k-1} \cdot 2^{\ell-k} = 2^{\ell-1}$ different $Q_T(z)$ eliminated, and T must have a cogent antipodal pair left.

By Proposition 1, we know that if $A(T_1)$ occurs $(T_1$ has no cogent antipodal pairs) and $C(T_1)$ occurs $(T_1$ is close to no more than K=25 other terms), then there must be some term close to T_1 that has at most k=6 variables not in T_1 . Thus we have that $\Pr[A(T_1) \& B(T_1) \& C(T_1) \mid (T_1=T)]$ is at most the probability there exist two terms close to T_1 , one of which contains at most k=6 variables not in T_1 . We saw earlier that the probability that a randomly chosen term is close to T_1 is at most $2\alpha n(2/3)^{\alpha n}$. However, the probability that a randomly chosen term is close to T_1 and contains at most 6 variables not in T_1 is much lower (because almost all of the $(1-\alpha)n$ variables not in T_1 are constrained to be absent from the term); more precisely this probability is at most $2\alpha n\binom{(1-\alpha)n}{6}\binom{2}{3}^{\alpha n}\binom{1}{3}^{(1-\alpha)n-6}$. A union bound over all possible pairs of terms gives us that the second term of (1) is at most $2\alpha n\binom{s}{2}\binom{(1-\alpha)n}{6}3^6\binom{2}{3}^{2\alpha n}\binom{1}{3}^{(1-\alpha)n}$. It is straightforward to check that this is at most $O(1) \cdot 2^{-n}$ for all $1 \le s \le (9/8)^{n/25}$ and all $\alpha \in [0,1]$.

Thus, we have bounded $\Pr[A(T_1) \& B(T_1)]$ by $O(1) \cdot 2^{-n}$. A union bound over the s terms gives that $\Pr[\exists T_i \in f : A(T_i) \& B(T_i)]$ is at most $O(s)2^{-n}$, and the lemma is proved.

Theorem 8. Let $s \leq (9/8)^{n/25}$. The average teaching dimension of $\mathcal{D}_{\leq s}$, the class of DNFs over n variables with at most s terms, is O(ns).

Proof. Theorem 7 gives us that the teaching number of any concept in $\mathcal{S} \subset \mathcal{D}_s$ is O(ns). By Lemma 9, we have that $|\mathcal{D}_{\leq s-1}| \leq \frac{4s}{3^n} |\mathcal{D}_s|$. This leaves us with $\mathcal{D}_s \setminus \mathcal{S}$, whose size we bounded by $\frac{O(s)}{2^n} |\mathcal{D}_s|$ in Corollary 2. Combining these bounds, we are ready to bound the average teaching number of $|\mathcal{D}_{\leq s}|$. Since we can teach any bad concept with at most 2^n examples, the average teaching number is at most

$$\frac{O(ns)|\mathcal{S}| + 2^n(|\mathcal{D}_{\leq s-1}| + |\mathcal{D}_s \setminus \mathcal{S}|)}{|\mathcal{D}_s| + |\mathcal{D}_{\leq s-1}|} \le \frac{O(ns)|\mathcal{D}_s| + 2^n(\frac{4s}{3^n}|\mathcal{D}_s| + \frac{O(s)}{2^n}|\mathcal{D}_s|)}{|\mathcal{D}_s| + |\mathcal{D}_{\leq s-1}|} \le O(ns) + (2/3)^n \cdot 4s + O(s) = O(ns)$$

and the theorem is proved.

As in Corollary 1, we have $2^n \leq \text{poly}(s)$ if $s > (9/8)^{n/25}$, and thus the worst-case teaching number 2^n is actually poly(n,s) for such large s. This gives the following corollary:

Corollary 3. Let s be any value $1 \le s \le 2^n$. The class $\mathcal{D}_{\le s}$ of at-most-s-term DNF has average teaching number poly(n, s).

5 Teaching Dimension of k-Juntas

A Boolean function f over n variables depends on its i-th variable if there are two inputs $x, x' \in \{0,1\}^n$ that differ only in the i-th coordinate and that have $f(x) \neq f(x')$. A k-junta is a Boolean function which depends on at most k of its n input variables. The class of k-juntas (or equivalently NC_k^0 functions) is well

studied in computational learning theory, see e.g., [4, 12, 1]. We write \mathcal{J}_k to denote the class of Boolean functions $f: \{0,1\}^n \to \{0,1\}$ that depend on exactly k variables, and we write $\mathcal{J}_{\leq k}$ to denote the class $\mathcal{J}_{\leq k} = \bigcup_{k' \leq k} \mathcal{J}_{k'}$ of Boolean functions over $\{0,1\}^n$ that depend on at most k variables, i.e., $\mathcal{J}_{\leq k}$ is the class of all k-juntas.

We analyze the worst-case and average-case teaching dimensions of the class of k-juntas, and show that while the worst-case teaching dimension has a logarithmic dependence on n, the average-case dimension has no dependence on n. Thus k-juntas are another natural concept class where there is a substantial asymptotic difference between the worst-case and average teaching dimensions.

Worst-Case teaching dimension of k-juntas. We recall the following:

Definition 1. Let $k \le n$. A set $S \subseteq \{0,1\}^n$ is said to be an (n,k)-universal set if for any $1 \le i_1 < i_2 \ldots < i_k \le n$, it holds that $\forall y \in \{0,1\}^k, \exists x \in S \text{ satisfying } (x_{i_1}, \ldots, x_{i_k}) = (y_1, \ldots, y_k)$

Nearly matching upper and lower bounds are known for the size of (n, k)-universal sets:

Theorem 9 ([15]). Let $k \le n$. Any (n, k)-universal set is of size $\Omega(2^k \log n)$, and there exists an (n, k)-universal set of size $O(k2^k \log n)$.

This straightforwardly yields the following theorem (see [11] for proof):

Theorem 10. The teaching dimension of $\mathcal{J}_{\leq k}$ is at least $\Omega(2^k \log n)$ and at most $O(k2^k \log n)$.

Average-case teaching dimension of k-juntas. The idea is similar to the case of monotone DNF: we show that k-juntas with exactly k relevant variables can be taught with 2^k examples (independent of n), and then use the fact that the overwhelming majority of k-juntas have exactly k relevant variables. (See [11] for full proofs.) Using this approach it is possible to prove:

Theorem 11. The average teaching dimension of the class $\mathcal{J}_{\leq k}$ of k-juntas is at most $2^k + o(1)$.

6 Sparse GF_2 Polynomials

A GF_2 polynomial is a multilinear polynomial with 0/1 coefficients that maps $\{0,1\}^n$ to $\{0,1\}$ where all arithmetic is done modulo 2. Since addition mod 2 corresponds to parity and multiplication corresponds to AND, a GF_2 polynomial can be viewed as a parity of monotone conjunctions. It is well known, and not hard to show, that every Boolean function $f: \{0,1\}^n \rightarrow \{0,1\}$ has a unique GF_2 polynomial representation.

A natural measure of the size of a GF_2 polynomial is the number of monomials that it contains. In keeping with our usual notation, let \mathcal{G}_s denote the class of all Boolean functions $f: \{0,1\}^n \to \{0,1\}$ that have GF_2 polynomial representations with exactly s monomials and let $\mathcal{G}_{\leq s}$ denote $\bigcup_{s' \leq s} \mathcal{G}_{s'}$. We sometimes refer

to functions in $\mathcal{G}_{\leq s}$ as being s-sparse GF_2 polynomials. The class of s-sparse GF_2 polynomials has been studied by several researchers in learning theory and complexity theory, see e.g., [13, 5, 14].

Roth and Benedek [13] showed that any $f \in \mathcal{G}_{\leq s}$ is uniquely determined by the values it assumes on those $x \in \{0,1\}^n$ that contain at least $n-(1+\lfloor \log_2 s \rfloor)$ many 1s. They also showed that it is in fact necessary to specify the value of f on every such point even in order to uniquely determine the parity (even or odd) of $|f^{-1}(1)|$ where f ranges over all of $\mathcal{G}_{\leq s}$. We thus have:

Theorem 12 ([13]). Fix any $1 \le s \le 2^n$. The (worst-case) teaching dimension of $\mathcal{G}_{\le s}$ is $\sum_{i=0}^{1+\lfloor \log_2 s \rfloor} \binom{n}{i}$ (which is $n^{\Theta(\log s)}$ for s subexponential in n).

In contrast, we show in the next subsection that if s is sufficiently small, the average-case teaching dimension of $\mathcal{G}_{\leq s}$ is O(ns):

Theorem 13. Fix $1 \le s \le (1 - \epsilon) \log_2 n$, where $\epsilon > 0$ is any constant. Then the average-case teaching dimension of $\mathcal{G}_{\le s}$ is at most ns + 2s.

For $s = \omega(1)$, $s < (1 - \epsilon) \log_2 n$, this gives a superpolynomial separation between worst-case and average-case teaching dimension of s-sparse GF_2 polynomials.

Proof of Theorem 13. We now define the "nice" (easy-to-teach) subset of $\mathcal{G}_{\leq s}$, in analogy with \mathcal{S} in Section 4. We say that a function $f = M_1 \oplus \cdots \oplus M_s \in \mathcal{G}_s$ is *individuated* if for each $i = 1, \ldots, s$ there is some $j \in \{1, \ldots, n\}$ such that the variable x_j occurs in monomial M_i and does not occur in any of the other s-1 monomials. Let $\mathcal{I} \subseteq \mathcal{G}_s$ denote the set of all functions in \mathcal{G}_s that are individuated.

Any function in \mathcal{I} can be specified using few examples (see [11] for proof):

Lemma 11. For any $f \in \mathcal{I}$, the teaching dimension of f with respect to $\mathcal{G}_{\leq s}$ is at most ns + 2s - 1.

Now observe that $|\mathcal{G}_s| = {2n \choose s} < \frac{2^{ns}}{s!}$, and thus $(\frac{2^n}{s})^s \leq |\mathcal{G}_{\leq s}| = |\mathcal{G}_s| + |\mathcal{G}_{\leq s-1}| < \frac{2^{ns}}{s!} + (s-1)\frac{2^{ns-n}}{(s-1)!} = \frac{2^{ns}}{s!} + \frac{2^{ns-n}}{(s-2)!}$. Our next lemma shows that almost every function in \mathcal{G}_s (and thus almost every function in $\mathcal{G}_{\leq s}$) is in fact individuated (see [11] for proof):

Lemma 12. We have $|\mathcal{I}| \geq \frac{2^{ns}}{s!} (1 - s \cdot e^{-n^{\epsilon}})$, and thus there are at most $s \cdot e^{-n^{\epsilon}} \cdot \frac{2^{ns}}{s!} + \frac{2^{ns-n}}{(s-2)!}$ many functions in $\mathcal{G}_{\leq s} \setminus \mathcal{I}$.

By Lemma 11 we can specify any function in \mathcal{I} with at most N examples, and by Theorem 12 we can specify any of the other functions in $\mathcal{G}_{\leq s}$ with at most $n^{O(\log s)}$ many examples. It follows from Lemma 12 that the average teaching dimension of $\mathcal{G}_{\leq s}$ is at most

$$\frac{N|\mathcal{I}| + n^{O(\log s)} \cdot |\mathcal{G}_{\leq s} \setminus \mathcal{I}|}{|\mathcal{G}_{\leq s}|} \leq N + \frac{n^{O(\log s)} \cdot \left(s \cdot e^{-n^{\epsilon}} \cdot \frac{2^{ns}}{s!} + \frac{2^{ns-n}}{(s-2)!}\right)}{\left(\frac{2^n}{s}\right)^s}.$$

The second term on the right simplifies to $s^s \cdot n^{O(\log s)} \cdot (s \cdot e^{-n^{\epsilon}}/s! + 2^{-n}/(s-2)!)$, which is easily seen to be o(1) since ϵ is a constant greater than 0 and $s \le (1-\epsilon)\log n$. This proves Theorem 13.

While our proof technique does not extend to s that are larger than $\log n$, it is possible that different methods could establish a $\operatorname{poly}(n,s)$ upper bound on average teaching dimension for the class $\mathcal{G}_{\leq s}$ of s-sparse GF_2 polynomials for a much larger range of values of s. This is an interesting goal for future work.

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