

# A Relational Abstraction for Functions

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**Abstract.** This paper concerns the abstraction of sets of functions for use in abstract interpretation. The paper gives an overview of existing methods, which are illustrated with applications to shape analysis, and formalizes a new family of *relational* abstract domains that allows sets of functions to be abstracted more precisely than with known approaches, while being still machine-representable.

## 1 Introduction

A major strength of abstract interpretation is the ability create complex abstract domains from simpler ones [2]. In particular, (i) Galois connections can be composed, which allows a complex abstraction to be described as the composition of simpler ones, offering the ability to identify clearly the different kind of approximations that take place; (ii) given two abstractions for sets of elements,  $\wp(D_i)$ ,  $i = 1, 2$ , there exist techniques for abstracting functions of signature  $D_1 \rightarrow D_2$  [4].

The starting point for the paper is the abstraction method defined in [8], which presents a family of abstract domains that are useful when it is desired to connect storage elements (e.g., elements of arrays and lists) with numeric quantities. This paper reformulates that abstraction in a more general way— as a general method of abstracting a set of functions—which allows the basic idea from [8] to be applied more widely. Moreover, when the new formulation is compared with previously known ways of abstracting a set of functions, it yields more precise abstractions. We are just beginning to explore instantiations of the method that go beyond the ones used in [8].

We formalize a generic abstract-interpretation combinator, which abstract sets of functions of signature  $D_1 \rightarrow D_2$  in a relational way, assuming the existence of abstractions  $A_1$  and  $A_2[n]$  for  $\wp(D_1)$  and  $\wp((D_2)^n)$ , respectively (where  $A_1$  is of finite cardinality  $n$ ). The obtained abstract domain is precisely  $A_2[n]$ . In contrast to  $A_1$ ,  $A_2[n]$  may be a complex lattice (relational, infinite, and of infinite height), like the lattice of octagons [11] or convex polyhedra [5]. This *relational function-abstraction* is more precise than the classical approach described in the literature [4], because of its ability to represent relationships between the images of different elements mapped by a set of functions. For instance, consider a set of functions  $F \subseteq U \rightarrow \mathbb{R}$  (that may represent a set of possible values for an array

of reals). If all  $f \in F$  satisfy  $f(u_1) = f(u_2)$ , our abstraction is able to preserve this information in the abstract domain; in that precise sense it may be qualified as *relational* (which differs from the usual definition of [10]).

In terms of precision, the relational function-abstraction  $A_2[n]$  lies in-between the classical function-abstraction  $A_1 \rightarrow A_2$  and its disjunctive completion [3]. The important point is that  $A_2[n]$  is still finitely representable in the same circumstances as  $A_1 \rightarrow A_2$  (i.e., when  $A_1 \rightarrow A_2$  is finitely representable, assuming a tabulated representation).

The contribution of the paper are as follows:

- we give an overview of the existing approaches to abstracting functions and relations and analyze the loss of information induced by them;
- we state our new approach to abstracting functions and compare it to existing ones in terms of expressiveness and implementability;
- we illustrate these different abstractions by considering their use in shape analysis; as a side-effect, we show how canonical abstraction can be partially recast in terms of a powerful combination of elementary abstractions, without resorting to the logical framework of [14].

In contrast to the domain construction and refinement approach (e.g., [12,6,7]), which operates on general lattices, our approach explicitly exploits the functional structure of concrete states.

The remainder of the paper is organized into four sections: Section 2 introduces some terminology and notation. Section 3 reviews the classical abstractions of functions of signature  $D_1 \rightarrow D_2$  and relations between elements of  $D_1$  and  $D_2$  that were described in [4]. Section 4 describes relational function-abstraction. Section 5 presents related work and draws some conclusions.

## 2 Preliminaries

### 2.1 Lattices and Galois Connections

We denote by  $L(\sqsubseteq, \perp, \top, \sqcup, \sqcap)$  a *lattice* defined by the set  $L$  and the partial order  $\sqsubseteq$ , where  $\perp$ ,  $\top$ ,  $\sqcup$ , and  $\sqcap$  denote the smallest element, the greatest element, the least upper bound, and the greatest lower bound, respectively. Given any set  $D$ , the powerset  $\wp(D)$  is a lattice ordered by set inclusion, and the set of functions  $D \rightarrow L$  is a lattice ordered by the pointwise ordering:  $f \sqsubseteq g \Leftrightarrow \forall d \in D : f(d) \sqsubseteq g(d)$ . Given two lattices  $L_1$  and  $L_2$ ,  $L_1 \times L_2$  is a lattice ordered componentwise, in which a pair  $(x_1, x_2)$  is identified with  $\perp$  if either component is  $\perp$ . A function  $f : L_1 \rightarrow L_2$  is *strict* and *total* if  $f(\perp) = \perp \wedge f(x) = \perp \implies x = \perp$ , *monotonic* if  $x \sqsubseteq y \implies f(x) \sqsubseteq f(y)$ , and *additive* if  $f(x \sqcup y) = f(x) \sqcup f(y)$ . We denote these sets of functions by  $L_1 \xrightarrow{\perp} L_2$ ,  $L_1 \xrightarrow{\sqsubseteq} L_2$ , and  $L_1 \xrightarrow{\sqcup} L_2$ , respectively. A lattice will be called a *flat lattice* if it is formed by a set of unordered elements to which a smallest element and a greatest element are added.

A Galois connection  $C \xrightleftharpoons[\alpha]{\gamma} A$  between two lattices  $C$  and  $A$  is defined by abstraction and concretization functions  $\alpha : C \rightarrow A$  and  $\gamma : A \rightarrow C$  that satisfy

$\forall x \in C, \forall y \in A : x \sqsubseteq_C \gamma(y) \iff \alpha(x) \sqsubseteq_A y$ . In program analysis,  $C$  is most often the powerset of states  $\wp(S)$ . For any Galois connection: (i)  $\gamma \circ \alpha$  is extensive (*i.e.*, greater than the identity function) and represents the information lost by the abstraction; (ii)  $\alpha$  preserves  $\sqcup$ , and  $\gamma$  preserves  $\sqcap$ ; (iii)  $\alpha$  is one-to-one *iff*  $\gamma$  is onto *iff*  $\gamma \circ \alpha$  is the identity; in this case, we use the notation  $C \xleftrightarrow[\alpha]{\gamma} A$ . If  $\gamma \circ \alpha$  is the identity,  $\alpha$  loses no information and we will consider that  $C$  and  $A$  are isomorphic *from the information standpoint*, which is denoted by  $C \simeq A$  (although  $\gamma$  may not be one-to-one).

Any Galois connection  $C \xleftrightarrow[\alpha]{\gamma} A$  can be refined by considering the *disjunctive completion* of  $A$  [2], which corresponds to  $\wp(A)$  equipped with an inclusion order that takes into account the order of  $A$ . This refinement allows the disjunction of abstract properties in  $A$  to be represented exactly, instead of using  $\sqcup_A$ , which usually loses information (*i.e.*, in general, one has  $\gamma(x \sqcup_A y) \sqsupseteq \gamma(x) \sqcup_C \gamma(y)$ ). We denote by  $\wp(A) \xleftrightarrow[\alpha_v]{\gamma_v} A$  the Galois connection between the disjunctive completion of a lattice and itself.  $A$  is said to be *disjunctive* if it is isomorphic to its disjunctive completion.

As mentioned in the introduction, Galois connections can be composed. We use  $[\sigma, \varsigma]$  to denote the composition of a connection  $\sigma$  followed by a connection  $\varsigma$  (so that we have  $\alpha_{[\sigma, \varsigma]} = \alpha_\varsigma \circ \alpha_\sigma$ ).

The existence of a Galois connection between two lattices  $L_1$  and  $L_2$  defines the following pre-order:  $L_1 \succeq L_2 \iff L_1 \xleftrightarrow[\alpha]{\gamma} L_2$ . Given a concrete lattice  $C$ , the set of all (equivalence class of) lattices that abstract it, ordered by  $\succeq$ , is itself a lattice with top element  $C$  (see for instance Fig. 2).

## 2.2 Shape Analysis and Modeling Program States

This section provides background on the semantic domains used in shape analysis; this material will be used later in the paper to illustrate several aspects of the different approaches to abstracting sets of functions.

The aim of *shape analysis* is to analyze the properties of programs that manipulate heap-allocated storage and perform destructive updating of pointer-valued fields [14]. The goal is to recover shape descriptors that provide information about the characteristics of the data structures that a program's pointer variables can point to. Typically, work on shape analysis considers an imperative language that is equipped with an operational semantics defined using a transition relation between program states.

At a given control point, a program state  $s \in S$  is defined by the values of the local variables and the heap. At each control point, the set of possible *concrete* properties on states is thus  $\wp(S)$ . The collecting semantics of programs is defined as a system of equations on the lattice of concrete properties.

We now describe two ways in which a state  $s$  can be modeled (*cf.* Fig. 1).

- The set-theoretic model is perhaps more intuitive. We consider a fixed set  $\text{Cell}$  of memory cells. The value of a pointer variable  $z$  is modeled by an element  $z \in \text{Cell} \cup \{\text{nil}\}$ , where  $\text{nil}$  denotes the null value. If cells have

| Set-theoretic model |   |   |  |
|---------------------|---|---|--|
| Set of cells        | Pointer variable $\mathbf{z}$           | Pointer field $\mathbf{n}$                                    | Real-valued field $\mathbf{x}$           |
| Cell                | $z \in \text{Cell} \cup \{\text{nil}\}$ | $n : \text{Cell} \rightarrow \text{Cell} \cup \{\text{nil}\}$ | $x : \text{Cell} \rightarrow \mathbb{R}$ |
| $U$                 | $z : U \rightarrow \mathbb{B}$          | $n : U^2 \rightarrow \mathbb{B}$                              | $x : U \rightarrow \mathbb{R}$           |
| Universe            | Unary predicate                         | Binary predicate  | Real-valued function                     |

Logical model

**Fig. 1.** Two models of a program state

a pointer-valued field  $\mathbf{n}$ , the values of  $\mathbf{n}$ -fields are modeled by a function  $n : \text{Cell} \rightarrow \text{Cell} \cup \{\text{nil}\}$  that associates with each memory cell the value of the corresponding field.

- [14] models a state using the tools of logic: the set of cells is replaced by a universe  $U$  of individuals; the value of a program variable  $\mathbf{z}$  is defined by a unary predicate on  $U$ ; and the value of a field  $\mathbf{n}$  is defined by a binary predicate on  $U^2$ . Integrity constraints are used to capture the fact that, for instance, a unary predicate  $z$  that represents what program variable  $\mathbf{z}$  points to can have the value “true” for at most one memory cell [14].

A real-valued field  $\mathbf{x}$  can be modeled by a real-valued function on  $U$ .

We use the term “predicate of arity  $n$ ” for a Boolean function  $U^n \rightarrow \mathbb{B}$ . A predicate can also be seen as a relation belonging to  $\wp(U^n)$ .

We use  $\mathcal{P}_n$  to denote the set of predicates symbols of arity  $n$ , and  $\mathcal{R}$  to denote the set of real-valued function symbols. With such notation, the concrete state-space considered is:<sup>1</sup>

$$S = (U \rightarrow \mathbb{B})^{|\mathcal{P}_1|} \times (U^2 \rightarrow \mathbb{B})^{|\mathcal{P}_2|} \times (U \rightarrow \mathbb{R})^{|\mathcal{R}|} \tag{1}$$

A concrete property in  $\wp(S)$  is thus a *relation between functions*.

Because  $U$  is of unbounded size, concrete properties belonging to  $C = \wp(S)$  have to be abstracted. The idea behind canonical abstraction [14] is to partition  $U$  into a finite set of equivalence classes  $U^\sharp$ , and to introduce an *unknown* value  $1/2$  (or top element) to the Boolean set, yielding  $\mathbb{T} = \{0, 1, 1/2\}$ , so that a predicate  $p : U \rightarrow \mathbb{B}$  is abstracted by an object  $p^\sharp : U^\sharp \rightarrow \mathbb{T}$ .

*Example 1.* In [14] and in Eqn. (1), the basic sets in use are the universe  $D_1 = U$ , the set of Booleans  $D_2 = \mathbb{B}$ , and the set of reals  $D_3 = \mathbb{R}$ . The universe  $U$  is partitioned using an equivalence relation  $\simeq$ , resulting in  $U^\sharp = U / \simeq$ . We use  $\pi : U \rightarrow U^\sharp$  to denote the corresponding projection function. We then obtain a Galois connection

$$\wp(U) \xleftarrow{\gamma} (U^\sharp)^\perp$$

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<sup>1</sup> Eqn. (1) is really the concrete state-space that one would have if the techniques of [14] were combined with those of [8]. To simplify Eqn. (1), we have omitted nullary predicates, which would be used to model Boolean-valued variables, and nullary functions, which would be used to model real-valued variables.

where  $(U^\sharp)_\perp^\top$  is the flat domain  $U^\sharp$  completed with top and bottom elements,  $\gamma(\perp) = \emptyset$ ,  $\gamma(\top) = U$ , and  $\gamma(u^\sharp) = \pi^{-1}(u^\sharp)$ . Booleans are not abstracted, *i.e.*, the domain  $\wp(\mathbb{B})$  is abstracted by itself. In most cases, we will not consider the abstractions of reals. In the sequel,  $U^\sharp$  will implicitly denote  $(U^\sharp)_\perp^\top$ .  $\square$

### 3 Classical Abstractions of Functions and Relations

We recall from [4] the classical abstractions of functions of signature  $D_1 \rightarrow D_2$  and relations between elements of  $D_1$  and  $D_2$  that can be built from two Galois connections  $\wp(D_1) \xleftrightarrow[\alpha_1]{\gamma_1} A_1$  and  $\wp(D_2) \xleftrightarrow[\alpha_2]{\gamma_2} A_2$ . Our notation is mainly taken from [4]. We also make some observations in Sec. 3.3 about exploiting the interplay between functions and relations to obtain suitable abstractions.

We first describe two useful isomorphisms used in the sequel.

- For any set  $D$  and lattice  $L$ , we have  $(D \rightarrow L) \xleftrightarrow[\alpha]{\gamma} (\wp(D) \xrightarrow{\perp, \sqsubseteq} L)$ :

$$\alpha(F)(X) = \bigsqcup_{d \in X} F(d) \quad , \quad \gamma(F^\sharp)(d) = F^\sharp(\{d\})$$

This isomorphism allows to use directly the Galois connection  $\wp(D_1) \xleftrightarrow[\alpha_1]{\gamma_1} A_1$  to abstract the domain of functions  $D_1 \rightarrow D_2$ .

- For any sets  $D_1$  and  $D_2$ , we have the isomorphism  $\wp(D_1 \times D_2) \xleftrightarrow[\alpha]{\gamma} \wp_{\emptyset, \sqcup}(\wp(D_1) \times \wp(D_2))$ , which allows to code relations on elements by relations on sets of elements:

$$\alpha(R) = \left\{ (X_1, X_2) \left| \begin{array}{l} \forall d_1 \in X_1, \exists d_2 \in X_2 : (d_1, d_2) \in R \\ \forall d_2 \in X_2, \exists d_1 \in X_1 : (d_1, d_2) \in R \end{array} \right. \right\}$$

$$\gamma(R^\sharp) = \{(x, y) \mid (\{x\}, \{y\}) \in R^\sharp\}$$

$\wp_{\emptyset, \sqcup}(L_1 \times L_2)$  denotes the set of relations  $R \subseteq L_1 \times L_2$  that satisfies

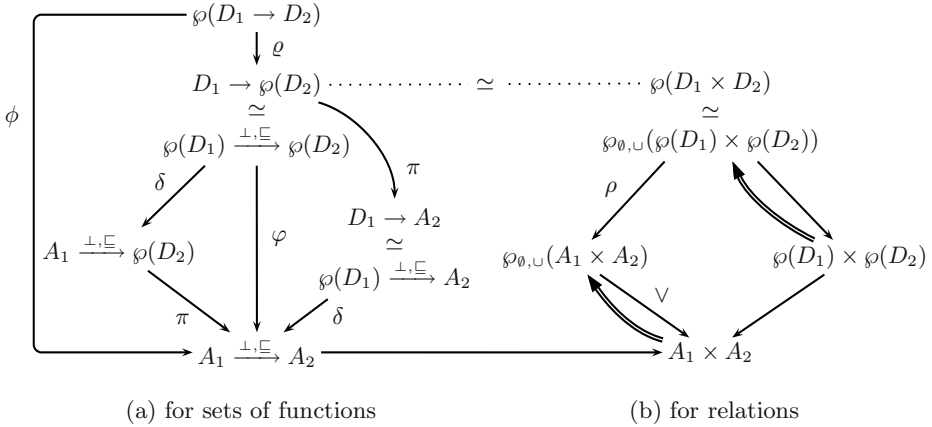
- $(\perp, x_2) \in R \implies x_2 = \perp$ , as well as the converse.
- $(x_1, x_2) \in R \wedge (y_1, y_2) \in R \implies (x_1 \sqcup y_1, x_2 \sqcup y_2) \in R$  (this corresponds to a kind of upward-closure).

#### 3.1 Classical Abstraction of a Functional Space

Fig. 2(a) shows classical abstract domains for sets of functions and their relationships. The first abstraction  $\wp$  consists in abstracting a set of functions  $F \subseteq D_1 \rightarrow D_2$  by a single function  $F^\sharp : D_1 \rightarrow \wp(D_2)$  (or, equivalently, by a relation between  $D_1$  and  $D_2$ ):

$$\alpha_\wp(F)(d_1) = \{f(d_1) \mid f \in F\} \quad , \quad f \in \gamma_\wp(F^\sharp) \iff \forall d_1 : f(d_1) \in F^\sharp(d_1)$$

In words,  $\alpha_\wp(F)$  collects, for each argument in  $D_1$ , the set of its images by the functions in  $F$ . Consequently, this abstraction loses the possible relationship between  $f(d_1)$  and  $f(d'_1)$  that may hold for  $f \in F$ .



$L_1 \longrightarrow L_2$  means that  $L_1 \succeq L_2$   
 $L_1 \longleftarrow L_2$  means that  $L_1$  is the disjunctive completion of  $L_2$ .

**Fig. 2.** Lattice of abstract domains for functions and relations

*Example 2.* A set of real-valued functions of signature  $U \rightarrow \mathbb{R}$  is abstracted with  $\alpha_\varrho$  by an element of  $U \rightarrow \varphi(\mathbb{R})$ . Fig. 4 of Section 4 depicts concrete value  $A1$ , which is abstracted by value  $D = \alpha_\varrho(A1)$ . The relationship  $f(u_2) = f(u_1) + 1$  that holds in  $A1$  is lost in  $D$ .  $\square$

One can then abstract the equivalent transformer  $F : \varphi(D_1) \xrightarrow{\perp, \sqsubseteq} \varphi(D_2)$  with a function  $F^\# : A_1 \xrightarrow{\perp, \sqsubseteq} A_2$  by abstracting both the domain and the codomain:

$$\alpha_\varphi(F) = \alpha_2 \circ F \circ \gamma_1 \quad , \quad \gamma_\varphi(F) = \gamma_2 \circ F^\# \circ \alpha_1$$

One can also abstract separately the domain (abstraction  $\delta$ ) and the codomain (pointwise abstraction  $\pi$ ) to obtain two intermediate abstractions. The full composition of these Galois connections is the Galois connection  $\phi = [\varrho, \varphi] = [\varrho, [\delta, \pi]] = [\varrho, [\pi, \delta]]$  between  $\varphi(D_1 \rightarrow D_2)$  and  $A_1 \xrightarrow{\perp, \sqsubseteq} A_2$ .

Let us take a closer look at the abstractions  $\delta$  and  $\pi$ . Under  $\delta$ , a function  $F : D_1 \rightarrow \varphi(D_2)$  is abstracted by a function  $A_1 \rightarrow \varphi(D_2)$  as follows: the image for an element  $a \in A_1$  is computed by unioning together the images of the elements of  $D_1$  represented by  $a$ :

$$\alpha_\delta(F)(a) = \bigcup_{\alpha_1(d)=a} F(d)$$

An application of this abstraction is illustrated in Fig. 4 ( $E = \alpha_\delta(D)$ ). Under pointwise abstraction  $\pi$ , a function  $F : D_1 \rightarrow \varphi(D_2)$  is abstracted by representing the images of  $F$  by their abstraction in  $A_2$ :  $\alpha_\pi(F) = \alpha_2 \circ F$ .

*Example 3.* If we consider the basic Galois connections described in Example 1, a set of unary predicates of signature  $U \rightarrow \mathbb{B}$  is abstracted with  $\phi$  by an element of

$(U^\#)_\perp \xrightarrow{\perp, \sqsubseteq} \wp(\mathbb{B})$ ). The fact that canonical abstraction [14] can represent exactly both false and true values of predicates (*i.e.*, it is conservative in both values) can be understood if you see unary predicates as ordinary functions abstracted this way. The same holds for binary predicates in  $U^2 \rightarrow \mathbb{B}$ .  $\square$

*Remark 1.* In practice, the abstraction  $A_1$  of the domain  $D_1$  of functions is often a flat lattice induced by a partitioning of  $D_1$ .

*Remark 2.* If  $A_1 \xrightarrow{\perp, \sqsubseteq} A_2$  is to be implemented, each of its elements has to be finitely representable. This implies that  $A_2$  should be finitely representable, and  $A_1$  should be finite, as in Example 3; in this case  $A_1 \xrightarrow{\perp, \sqsubseteq} A_2 \simeq (A_2)^n$ , where  $n$  is the size of the partition used to define  $A_1$ .

It is not necessary to have an  $n+1^{\text{st}}$  dimension to represent the image of  $\perp_{A_1}$ , which is  $\perp_{A_2}$ . When  $A_1$  is built as in Example 3, the image of  $\top_{A_1}$  does not carry any additional information, because  $\gamma_1(\bigsqcup_{a_1 \in A_1} \{\top_{A_1}\}) = \bigcup_{a_1 \in A_1} \{\top_{A_1}\} \gamma_1(a_1) = D_1$ . However, when the latter property does not hold, one should introduce one additional dimension to the abstract domain for the image of  $\top_{A_1}$ .

### 3.2 Classical Abstraction of a Relation

A binary relation between elements belonging to  $D_1$  and  $D_2$ , respectively, is an element of  $\wp(D_1 \times D_2)$ . Fig. 2(b) shows classical abstractions for relations. Roughly speaking, the right-hand side of Fig. 2(b) abstracts a relation between concrete elements as a pair of sets, and then abstracts the pair of sets component-wise.

The left-hand side of Fig. 2(b) abstracts a relation between concrete elements using a relation between abstract elements. The abstraction  $\wp_{\emptyset, \cup}(\wp(D_1) \times \wp(D_2)) \xleftrightarrow[\alpha_\rho]{\gamma_\rho} \wp_{\emptyset, \cup}(A_1 \times A_2)$  is defined by:

$$\alpha_\rho(R) = \{(\alpha_1(X_1), \alpha_2(X_2)) \mid (X_1, X_2) \in R\} \tag{2}$$

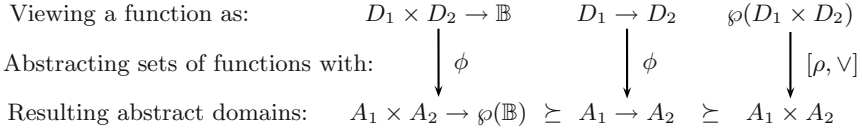
$$\gamma_\rho(R^\#) = \{(X_1, X_2) \mid \exists (a_1, a_2) \in R^\# : X_1 \subseteq \gamma_1(a_1) \wedge X_2 \subseteq \gamma_2(a_2)\} \tag{3}$$

$\wp_{\emptyset, \cup}(A_1 \times A_2)$  can also be obtained by disjunctive completion of  $A_1 \times A_2$ . An observation similar to Remark 1 holds when choosing  $A_1$  and  $A_2$  for building  $\wp_{\emptyset, \cup}(A_1 \times A_2)$ .

Those principles seem natural when  $D_1$  and  $D_2$  are simple sets without structure, but the notation may seem rather heavy. However, the power of these combinators for relations is that they can be used when, for instance,  $D_1$  and  $D_2$  are sets of functions that are in turn abstracted using the principles described in Section 3.1.

*Example 4.* Considering the state-space  $S$  described in Equation (1), if we abstract functions with  $\phi$  and relations over functions with  $\rho$ , we obtain the Galois connection

$$\wp(S) \iff \wp_{\emptyset, \cup} \left( (U^\# \rightarrow \wp(\mathbb{B}))^{|\mathcal{P}_1|} \times ((U^\#)^2 \rightarrow \wp(\mathbb{B}))^{|\mathcal{P}_2|} \times ((U^\# \rightarrow \wp(\mathbb{R}))^{|\mathcal{R}|}) \right)$$



**Fig. 3.** Different ways of coding a set of functions, and the resulting abstractions

(Codomains of functions are not abstracted here, and abstract values are not finitely representable.) □

### 3.3 Exploiting Classical Abstractions

There is an interplay between the abstraction methods for functions and the abstraction methods for relations, because functions can be coded as relations and conversely. For instance, a function  $D_1 \rightarrow D_2$  can be coded as a relation in  $\wp(D_1 \times D_2)$ . A relation in  $\wp(D_1 \times D_2)$  can in turn be viewed as a Boolean function  $D_1 \times D_2 \rightarrow \mathbb{B}$ . Each view induces a different abstract domain using the abstractions of the previous sections, as shown in Fig. 3.

*Example 5.* Coming back to Example 3, if we view a data-structure field as a function  $U \rightarrow U$  rather than a binary relation  $U \times U \rightarrow \mathbb{B}$ , as in [13,1], we will abstract  $\wp(U \rightarrow U)$  by  $U^\sharp \rightarrow \wp(U^\sharp) \simeq U^\sharp \times U^\sharp \rightarrow \mathbb{B}$ .<sup>2</sup>

This abstraction is not conservative with respect to the value “true”. That is, with this abstraction, “false” means “false”, but “true” means “maybe true”. An equivalent abstraction can be obtained when starting from  $\wp(U^2 \rightarrow \mathbb{B})$  by abstracting  $\wp(\mathbb{B})$  by conflating the values true and  $\top$  [14, Section 8.2]. □

Generally speaking, abstraction methods for functions are more precise than abstraction methods for relations, as illustrated by Fig. 3. If we want to abstract relations in  $\wp(D_1 \times D_2)$ , viewing them as Boolean functions  $D_1 \times D_2 \rightarrow \mathbb{B}$  and abstracting them with  $A_1 \times A_2 \rightarrow \wp(\mathbb{B})$  instead of  $\wp(A_1 \times A_2)$  allows to specify that some pairs of elements are definitely related.

Of course, the most suitable coding does not depend only on the induced abstraction, but also on the operations on functions (or relations) that are used for specifying the fixpoint equations to be solved. For instance, both concrete and abstract function application operations are defined in the most straightforward way when viewing a function as an element in  $D_1 \rightarrow D_2$ .

## 4 Relational Function-Abstraction

If we consider a set of functions of type  $f : U \rightarrow \mathbb{R}$ , and if we abstract it with the technique of Section 3.1 using convex polyhedra [5] for abstracting  $\wp(\mathbb{R})$ , we

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<sup>2</sup> Here the domain  $U$  is abstracted by  $U^\sharp$ , and the codomain  $U$  by the more precise disjunctive completion  $\wp(U^\sharp)$ .



would obtain  $U^\sharp \rightarrow \text{Pol}[1] \simeq U^\sharp \rightarrow \text{Interval}$ . That is, we would associate to each abstract individual an interval. This is not what is proposed in [8], where an abstract value is a convex polyhedron, where each dimension corresponds to an abstract individual.

In this section, we formalize such an approach in more general terms; we analyze carefully the different kinds of abstractions that are performed; and we compare the approach to the classical approach described in Section 3.1.

### 4.1 Real-Valued Functions

To provide some intuition, we first instantiate the abstraction scheme for functions  $f : U \rightarrow \mathbb{R}$ . The universe  $U$  is partitioned by a projection function  $\pi : U \rightarrow U^\sharp$ . The cardinality of  $U^\sharp$  is denoted by  $|U^\sharp| = n$ .

Our aim is the following: starting from the lattice  $\wp(U \rightarrow \mathbb{R})$ , we want to abstract it by a lattice of the form  $\wp(U^\sharp \rightarrow \mathbb{R})$ , for which many relational abstractions exist, instead of considering the lattice  $U^\sharp \rightarrow \wp(\mathbb{R})$  obtained by the classical technique, which is not relational at all.

The abstraction proposed in [8] can be decomposed as follows:

$$\wp(U \rightarrow \mathbb{R}) \xrightarrow{\mu} \wp(U^\sharp \rightarrow \wp(\mathbb{R})) \xrightarrow{\eta} \wp(U^\sharp \rightarrow \mathbb{R}) \rightarrow \text{Pol}[n]$$

$$\qquad \qquad \qquad \simeq \qquad \qquad \qquad \simeq$$

$$\qquad \qquad \qquad \wp(\wp(\mathbb{R})^n) \qquad \qquad \qquad \wp(\mathbb{R}^n)$$

The two isomorphisms mentioned in the above equation will be used later to encode functions as vectors: a function  $f \in (U^\sharp \rightarrow D) \simeq D^n$  can be seen as a vector of elements of  $D$  by rewriting it as  $\langle f(u_1^\sharp), \dots, f(u_n^\sharp) \rangle$ .

Fig. 4 illustrates the three abstraction steps, which are defined in detail below.

1.  $\wp(U \rightarrow \mathbb{R}) \xrightleftharpoons[\alpha_\mu]{\gamma_\mu} \wp(U^\sharp \rightarrow \wp(\mathbb{R}))$  is defined by

$$\alpha_\mu(\{f\}) = \{\langle X_1, \dots, X_n \rangle \mid X_i = f \circ \pi^{-1}(u_i^\sharp)\}, \quad \alpha_\mu(F) = \bigcup_{f \in F} \alpha_\mu(\{f\})$$

$$\gamma_\mu(\{f^\sharp\}) = \{f \mid \forall u : f(u) \in f^\sharp(\pi(u))\}, \quad \gamma_\mu(F^\sharp) = \bigcup_{f^\sharp \in F^\sharp} \gamma_\mu(\{f^\sharp\})$$

where  $f$  is lifted to sets in the expression  $f \circ \pi^{-1}$ . This Galois connection can be seen as the composition  $\wp(U \rightarrow \mathbb{R}) \xrightarrow{[\varrho, \delta]} U^\sharp \rightarrow \wp(\mathbb{R})$  depicted in Fig. 2(a) refined by a disjunctive completion. This explains the fact that  $\gamma_\mu$  preserves  $\sqcup$ .

Intuitively, this abstraction does not merge functions together; as illustrated by abstract value B1 in Fig. 4, it only merges the values  $f(u)$  and  $f(u')$  when  $u$  and  $u'$  are projected to the same abstract individual  $u^\sharp$ . Because  $U^\sharp \rightarrow \wp(\mathbb{R})$  is ordered, a value in  $\wp(U^\sharp \rightarrow \wp(\mathbb{R}))$  is completely characterized by its maximal elements with respect to the  $U^\sharp \rightarrow \wp(\mathbb{R})$  ordering. (In Fig. 4, we only show maximal elements.)

2.  $\wp(U^\sharp \rightarrow \wp(\mathbb{R})) \xleftrightarrow[\alpha_\eta]{\gamma_\eta} \wp(U^\sharp \rightarrow \mathbb{R})$  is somewhat subtle:  
 $\wp(\wp(\mathbb{R})^n) \xrightarrow{\simeq} \wp(\mathbb{R}^n)$

$$\alpha_\eta(F) = \bigcup_{\langle X_1, \dots, X_n \rangle \in F} X_1 \times \dots \times X_n \tag{4}$$

$$\gamma_\eta(F^\sharp) = \{ \langle X_1, \dots, X_n \rangle \mid X_1 \times \dots \times X_n \subseteq F^\sharp \} \tag{5}$$

Note that in the right-hand-side lattice,  $\wp(U^\sharp \rightarrow \mathbb{R})$ , each dimension corresponds to a real instead of a set of reals. The subtle point in Eqn. (5) is that the set of vectors  $F^\sharp$  is undercovered by a union of Cartesian products.

3.  $\wp(\mathbb{R}^n) \xrightarrow{\gamma^{\text{Pol}}} \text{Pol}[n]$  is the abstraction of sets of vectors by convex polyhedra (in this particular case, because  $\text{Pol}[n]$  is not a complete lattice, the abstraction must be formalized using a weaker relationship than Galois connection). Other numerical lattices could be used in place of  $\text{Pol}[n]$ .

To focus on the abstraction of the domain  $U$ , in the following discussion and in Fig. 4 we ignore the abstraction of codomain  $\wp(\mathbb{R})$ —*i.e.*, we assume that the codomain is not abstracted. (Using the notation of Fig. 2(a), we are redefining  $\phi$  to be the abstraction  $[\varrho, \delta]$ .)

Intuitively, the composition of abstractions 1. and 2. allows capturing relationships between the images of the different arguments of the functions, when they belong to different equivalence classes. In contrast, with the abstraction  $\phi$  of Fig. 2(a), such relationships are lost (due to the abstraction  $\varrho$ ).

*Example 6.* In Fig. 4, the abstraction of concrete value A1 using relational function-abstraction is abstract value C. C concretizes to concrete value A3. Inspection of A3 reveals that abstract value C preserves from A1 the properties  $f(u_2) = f(u_1) + 1$  and  $\forall u \in \{u_3, u_4\} : f(u_1) + 3 \leq f(u) \leq f(u_1) + 4$ . However, C loses the property of A1 that whenever  $f(u_1) = 1$ ,  $f(u_3) = f(u_4)$ .

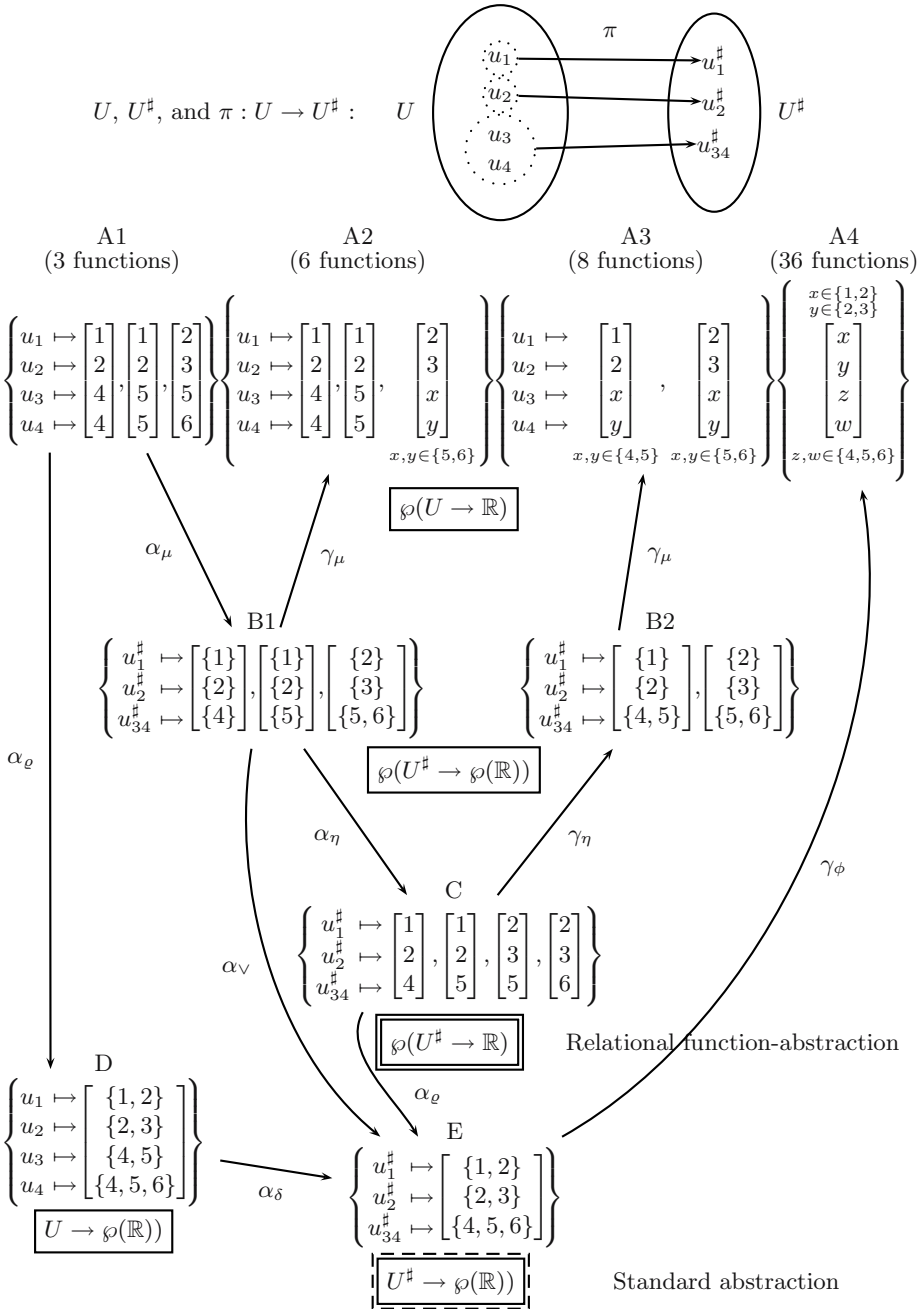
In contrast, by using the abstraction  $\phi$  of Section 3.1, we obtain the abstract (functional) value E, from which one can only deduce weaker properties, as shown by its concretization A4. A3 is of cardinality 8, whereas A4 is of cardinality 36. □

To study the loss of information induced by abstraction  $\eta$  (*i.e.*, abstraction-step 2. above), let us consider the expression  $\gamma_\eta \circ \alpha_\eta$ :

$$\gamma_\eta \circ \alpha_\eta(F) = \left\{ \langle X_1, \dots, X_n \rangle \left| X_1 \times \dots \times X_n \subseteq \bigcup_{\langle Y_1, \dots, Y_n \rangle \in F} Y_1 \times \dots \times Y_n \right. \right\}$$

In words, this means that  $(\gamma_\eta \circ \alpha_\eta)(F)$  adds to  $F$  Cartesian products that underapproximate unions of Cartesian products.

*Example 7.* In Fig. 4, we have  $B2 = (\gamma_\eta \circ \alpha_\eta)(B1)$ . The information that whenever  $f(u_1) = 1$ ,  $f(u_3) = f(u_4)$  is lost. More generally,  $\eta$  will lose relational information about  $f(u)$  and  $f(u')$  when  $u$  and  $u'$  are merged together. □



**Fig. 4.** Different abstractions of the concrete set of functions A1 and the loss of information induced by them (shown by concrete values A2, A3, and A4). Abstract value C (whose concretization is A3) is the abstract value obtained with relational function-abstraction  $\Phi$ , whereas abstract value E (whose concretization is A4) is the abstract value obtained by the abstraction  $\phi$  of Section 3.1.

## 4.2 Generalization

In this section, we generalize the results of Section 4.1 by considering the abstraction of functions of signature  $D_1 \rightarrow D_2$ . We also analyze the effect of the abstracting codomain  $D_2$ .

Our assumptions are as follows: Suppose that we have a Galois connection  $\wp(D_1) \xleftarrow[\alpha_1]{\gamma_1} A_1$ , where  $A_1$  is a finite lattice with  $|A_1| = n$ . Actually, this assumption is only needed for the third abstraction step of Def. 1. In addition, suppose that for any  $k$ , we have a Galois connection  $\wp((D_2)^k) \xleftarrow{\alpha_2} A_2[k]$ , where  $A_2[k]$  is a  $k$ -dimensional abstract domain. We use  $A_2$  to denote  $A_2[1]$ . We also assume that  $\forall k \geq 1 : A_2[k+1] \succeq A_2[k] \times A_2[1]$ . If the inequality is actually an equality,  $A_2[k] = (A_2)^k$  is said to be *not relational* [10] (for instance, intervals on reals). If the inequality is strict,  $A_2[k]$  is *relational* (for instance, convex polyhedra).

**Definition 1 (Relational function-abstraction  $\Phi$ ).** *The relational function-abstraction  $\Phi$  is defined by the composition of the following three abstractions:*

$$\wp(D_1 \rightarrow D_2) \xrightarrow{\mu} \wp(A_1 \xrightarrow[\alpha_1]{\perp, \sqsubseteq} \wp(D_2)) \xrightarrow{\eta} \wp(A_1 \setminus \{\perp\} \rightarrow D_2) \xrightarrow{\alpha_2[|A_1|]} A_2[|A_1|]$$

where

1.  $\wp(D_1 \rightarrow D_2) \xleftarrow[\alpha_\mu]{\gamma_\mu} \wp(A_1 \xrightarrow[\alpha_1]{\perp, \sqsubseteq} \wp(D_2))$  is defined by

$$\alpha_\mu(F) = \bigcup_{f \in F} \{f^\# \mid \forall a_1 : f^\#(a_1) = f(\gamma_1(a_1))\} \quad (6)$$

$$\gamma_\mu(F^\#) = \bigcup_{f^\# \in F^\#} \{f \mid \forall d_1 : f(d_1) \in f^\#(\alpha_1(d_1))\} \quad (7)$$

2.  $\wp(A_1 \xrightarrow[\alpha_1]{\perp, \sqsubseteq} \wp(D_2)) \xleftarrow[\alpha_\eta]{\gamma_\eta} \wp(A_1 \setminus \{\perp\} \rightarrow D_2)$  is defined by<sup>3</sup>

$$\alpha_\eta(F) = \bigcup_{f \in F} \{f^\# \mid \forall a_1 : f^\#(a_1) \in f(a_1)\} \quad (8)$$

$$\gamma_\eta(F^\#) = \{f \mid \forall f^\# \in (A_1 \rightarrow D_2) : (\forall a_1, f^\#(a_1) \in f(a_1)) \Rightarrow f^\# \in F^\#\} \quad (9)$$

3. *The last abstraction is the abstraction of sets of  $D_2$ -valued vectors by  $A_2[n]$ .*

Notice that if we drop the assumption that  $A_2$  is finite, we still provide an original method for abstracting  $\wp(D_1 \rightarrow D_2)$  with  $\wp(A_1 \setminus \{\perp\} \rightarrow D_2)$ . We identify below an important class of properties preserved by this abstraction, generalizing the properties mentioned in Example 6.

<sup>3</sup> We confess that Eqn. (9), which is derived from the definition of  $\alpha_\eta$ , is difficult to understand. However, this formulation is more general than Eqn. (5); *i.e.* it can be used when  $A_1$  is not finite.

**Theorem 1 (Relational properties preserved by the abstraction  $[\mu, \eta]$ ).**  
 Let  $P_1 \subseteq (D_1)^2$  and  $P_2 \subseteq (D_2)^2$  be binary relations on  $D_1$  and  $D_2$ , and let  $\Psi_{[P_1, P_2]} \in \wp(D_1 \rightarrow D_2)$  be a property on functions defined by

$$\Psi_{[P_1, P_2]} = \{f : D_1 \rightarrow D_2 \mid \forall (d_1, d'_1) \in P_1, (f(d_1), f(d'_1)) \in P_2\}.$$

Assume that  $P_1$  is preserved by the abstraction  $\wp(D_1) \xleftrightarrow[\alpha_1]{\gamma_1} A_1$  as follows:

$$(d_1, d'_1) \in P_1 \Rightarrow (\gamma_1 \circ \alpha_1)(d_1) \times (\gamma_1 \circ \alpha_1)(d'_1) \subseteq P_1.$$

Let  $F \subseteq \Psi_{[P_1, P_2]}$ . Then  $(\gamma_{[\mu, \eta]} \circ \alpha_{[\mu, \eta]})(F) \subseteq \Psi_{[P_1, P_2]}$ ; i.e., property  $\Psi_{[P_1, P_2]}$  is preserved by the abstraction  $[\mu, \eta]$ .

*Example 8.* Coming back to Example 6 and Fig. 4, let  $P_1 = \{(u_1, u_3), (u_1, u_4)\}$  and  $P_2 = \{(x, y) \mid x + 3 \leq y \leq x + 4\}$ . Then the property  $\Psi_{[P_1, P_2]}$  is  $\forall u \in \{u_3, u_4\} : f(u_1) + 3 \leq f(u) \leq f(u_1) + 4$ , which is satisfied by the set of functions A1. Because  $P_1$  is preserved by the abstraction  $\wp(U) \xleftrightarrow{\alpha_1}{\gamma_1} U^\sharp$ , and A3 is equal to  $(\gamma_{[\mu, \eta]} \circ \alpha_{[\mu, \eta]})(A1)$ , A3 also satisfies  $\Psi_{[P_1, P_2]}$ .

The above theorem is generalizable to  $k$ -ary relations  $P_1$  and  $P_2$  for  $k \leq |A_1|$ . Also, the theorem implies that if, in addition, the relation  $P_2$  is preserved by the abstraction  $\alpha_2[|A_1|]$ , then the property  $\Psi_{[P_1, P_2]}$  is preserved by the full relational function-abstraction  $\Phi$ . This is the case in the example above if one uses octagons, for instance.

*Proof.* Let  $P_1^\sharp = \{(a_1, a'_1) \in (A_1)^2 \mid \exists d_1 \in \gamma_1(a_1), \exists d'_1 \in \gamma_1(a'_1) : (d_1, d'_1) \in P_1\}$ . Notice that, due to the assumption on  $P_1$ ,  $P_1$  is fully defined by  $P_1^\sharp$ . Then

$$\begin{aligned} \alpha_\mu(\Psi_{[P_1, P_2]}) &= \left\{ f : A_1 \rightarrow \wp(D_2) \mid \forall (a_1, a'_1) \in P_1^\sharp, \forall (d_2, d'_2) \in f(a_1) \times f(a'_1) : \right. \\ &\quad \left. (d_2, d'_2) \in P_2 \right\} \\ \alpha_{[\mu, \eta]}(\Psi_{[P_1, P_2]}) &= \{f : A_1 \rightarrow D_2 \mid \forall (a_1, a'_1) \in P_1^\sharp : (f(a_1), f(a'_1)) \in P_2\} \\ \gamma_\eta \circ \alpha_{[\mu, \eta]}(\Psi_{[P_1, P_2]}) &= \left\{ f : A_1 \rightarrow \wp(D_2) \mid \begin{array}{l} \forall f^\sharp : A_1 \rightarrow D_2 : \\ (\forall a_1, f^\sharp(a_1) \in f(a_1)) \Rightarrow \\ (\forall (a_1, a'_1) \in P_1^\sharp : (f^\sharp(a_1), f^\sharp(a'_1)) \in P_2) \end{array} \right\} \end{aligned}$$

We now show that  $\gamma_\eta \circ \alpha_{[\mu, \eta]}(\Psi_{[P_1, P_2]}) \subseteq \alpha_\mu(\Psi_{[P_1, P_2]})$ . Let  $f \in \gamma_\eta \circ \alpha_{[\mu, \eta]}(\Psi_{[P_1, P_2]})$ , and  $(a_1, a'_1) \in P_1^\sharp$ . We have that for any  $f^\sharp : A_1 \rightarrow D_2$  such that  $\forall a, f^\sharp(a) \in f(a)$ , then  $(f^\sharp(a_1), f^\sharp(a'_1)) \in P_2$ . It follows that  $\forall (d_2, d'_2) \in f(a_1) \times f(a'_1) : (d_2, d'_2) \in P_2$ , which proves that  $f \in \alpha_\mu(\Psi_{[P_1, P_2]})$ . It is easy to show that  $\gamma_\mu \circ \alpha_\mu(\Psi_{[P_1, P_2]}) \subseteq \Psi_{[P_1, P_2]}$ . Now, because  $F \subseteq \Psi_{[P_1, P_2]}$  and  $(\gamma_{[\mu, \eta]} \circ \alpha_{[\mu, \eta]})$  is monotone, the desired relationship holds:

$$(\gamma_{[\mu, \eta]} \circ \alpha_{[\mu, \eta]})(F) \subseteq (\gamma_{[\mu, \eta]} \circ \alpha_{[\mu, \eta]})(\Psi_{[P_1, P_2]}) \subseteq \Psi_{[P_1, P_2]}. \quad \square$$

*Example 9.* It is instructive to illustrate relational function-abstraction  $\Phi$  for the case of Boolean functions in  $U \rightarrow \mathbb{B}$ , assuming that the codomain ( $\mathbb{B}$ ) is not abstracted. We obtain  $\wp(U^\sharp \rightarrow \mathbb{B}) \simeq \wp(\mathbb{B}^n)$ ; i.e., an abstract value is a set of bit-vectors, or, equivalently, a propositional formula. If we use the abstraction

$\phi$ , we obtain instead  $U^\sharp \rightarrow \wp(\mathbb{B}) \simeq \wp(\mathbb{B})^n$ ; *i.e.*, an abstract value is a trivector or a single monomial. This means that in this specific case the abstraction  $\Phi = [\mu, \eta]$  reduces to the disjunctive completion  $\mu$  of the abstraction  $[\varrho, \delta] = \phi$ . In particular, the abstraction  $\eta$  does not lose any information. This property is not true in general, as shown by Example 7.  $\square$

We now compare the relational function-abstraction  $\Phi$  defined above with  $\phi$ , the traditional approach to abstracting sets of functions, and its disjunctive completion.

**Theorem 2.** *We have the following relationships:*

$$\wp(A_1 \xrightarrow{\perp, \sqsubseteq} A_2) \succeq A_2[|A_1|] \succeq (A_1 \xrightarrow{\perp, \sqsubseteq} A_2)$$

*The first inequality reduces to an equality iff  $A_2[|A_1|]$  is disjunctive. The second inequality reduces to an equality iff  $A_2[|A_1|]$  is not relational.*

*Proof.* Let  $n = |A_1|$  be the cardinality of  $A_1$ . We have the isomorphism  $(A_1 \xrightarrow{\perp, \sqsubseteq} A_2) \simeq (A_2)^n$ . By hypothesis,  $\forall k \geq 1 : A_2[k] \succeq (A_2)^k$ .

As a consequence,  $A_2[n] \succeq (A_2)^n$ , which corresponds to the second inequality of the theorem. The equality and strict-inequality cases follow from the definition of a relational lattice.

We denote by  $A_2[n] \xleftarrow{\frac{\gamma}{\alpha}} (A_2)^n$  the Galois connection corresponding to the inequality  $A_2[n] \succeq (A_2)^n$ . We now define the Galois connection  $\wp((A_2)^n) \xleftarrow{\frac{\gamma'}{\alpha'}} A_2[n]$  with

$$\alpha'(X) = \sqcup\{\gamma(a) \mid a \in X\} \quad \text{and} \quad \gamma'(Y) = \{a \in (A_2)^n \mid \gamma(a) \sqsubseteq Y\}$$

One can easily check that this defines a Galois connection. This proves the first inequality of the theorem. The equality and strict-inequality cases follow from the definition of a disjunctive lattice (*cf.* Section 2.1) and the fact that  $\wp(A_1 \xrightarrow{\perp, \sqsubseteq} A_2)$  is trivially disjunctive.  $\square$

**Implementability Issues.** An abstract lattice, even if not implementable, is interesting in so far as it may be used as a semantic domain in an abstraction chain. Here, adopting a pragmatic standpoint, we are interested in knowing when the three abstract domains  $\wp(A_1 \xrightarrow{\perp, \sqsubseteq} A_2)$ ,  $A_2[|A_1|]$ , and  $(A_1 \xrightarrow{\perp, \sqsubseteq} A_2)$  can be used in practice, *i.e.*, when their elements are finitely representable. For the sake of discussion, assume that  $A_1 \xrightarrow{\perp, \sqsubseteq} A_2$  is finitely representable by an argument/value table (*e.g.*,  $A_1$  is finite and  $A_2$  is finitely representable). The domain  $\wp(A_1 \xrightarrow{\perp, \sqsubseteq} A_2)$  is finitely representable only if  $A_2$  is a finite lattice, or an infinite lattice that does not contain infinite subsets of incomparable elements (or anti-chains). In contrast, the relational function-abstraction  $A_2[|A_1|]$  is always finitely representable under our assumptions. In particular,

- $A_2[|A_1|]$  is finitely representable when  $A_2$  is a finite-height lattice. In this case it is also finite-height.
- $A_2[|A_1|]$  is finitely representable even when  $A_2$  is not a finite-height lattice, and can be used in practice, provided that  $A_2$  is equipped with a widening operator.

For instance, if  $D_2 = \mathbb{R}$ ,  $A_2[n]$  can be the relational lattice of octagons [11] or convex polyhedra [5]. If  $D_2 = \Sigma^*$  is a language over an alphabet  $\Sigma$ ,  $A_2[n]$  can be the relational lattice  $\text{Reg}(\Sigma^n)$  of regular languages over vectors of letters equipped with a suitable widening operator. Neither of these lattices are finite-height.

The disussion above assumed that only argument/value tabular representations are available for functions in  $A_1 \rightarrow A_2$ . Of course, in particular cases, more efficient representations may be available that exploit the underlying structure of the domain and/or codomain. For instance, regular transducers are a very effective representation of (a subset of) functions on  $\Sigma^* \rightarrow \Sigma^*$ , which has both infinite domain and codomain.

### 4.3 Abstracting Relations over Functions with Relational Function-Abstraction

The strength of relational lattices is their ability to abstract a powerset of Cartesian products more precisely than the abstractions that were discussed in Section 3.2, as illustrated by the following example:

*Example 10.* Suppose that we want to abstract relations between vectors (*i.e.*, the concrete domain is  $C = \wp(\mathbb{R}^n \times \mathbb{R}^m)$ ), where sets of vectors  $\wp(\mathbb{R}^n)$  and  $\wp(\mathbb{R}^m)$  are abstracted by convex polyhedra. The abstraction  $\rho$  of Fig. 2(b) results in the abstract domain  $\wp(\text{Pol}[n] \times \text{Pol}[m])$ , which is not finitely representable. The abstraction  $[\rho, \vee]$  results in  $\text{Pol}[n] \times \text{Pol}[m]$ , which does not capture relationships between pairs very precisely. It is well-known that the most precise way to abstract  $C$  is to use the relational lattice  $\text{Pol}[n + m]$ .  $\square$

A similar phenomenon arises when abstracting relations over functions with relational function-abstraction. If we want to abstract a relation in  $\wp((D_1 \rightarrow D_2) \times (D_1 \rightarrow D_2))$  (*i.e.*, a relation between functions that share the same domain and codomain), we should use  $A_2[2 \cdot |A_1|]$ . In other words, we can exploit the set-isomorphism  $(D_1 \rightarrow D_2)^2 = D_1 \rightarrow (D_2)^2$ , and then apply relational function-abstraction:

$$\wp((D_1 \rightarrow D_2) \times (D_1 \rightarrow D_2)) = \wp(D_1 \rightarrow (D_2)^2) \iff A_2[2 \cdot |A_1|]$$

*Example 11.* Coming back to Eqn. (1), let us illustrate the principles described in this paper to obtain a finitely representable abstract domain for  $\wp(S)$ :

$$\wp(S) = \wp\left((U \rightarrow \mathbb{B})^{|\mathcal{P}_1|} \times (U^2 \rightarrow \mathbb{B})^{|\mathcal{P}_2|} \times (U \rightarrow \mathbb{R})^{|\mathcal{R}|}\right) \tag{10}$$

$$= \left((U \rightarrow \mathbb{B})^{|\mathcal{P}_1|} \times (U^2 \rightarrow \mathbb{B})^{|\mathcal{P}_2|}\right) \rightarrow \wp\left(U \rightarrow \mathbb{R}^{|\mathcal{R}|}\right) \tag{11}$$

$$\iff \left((U^\sharp \rightarrow \wp(\mathbb{B}))^{|\mathcal{P}_1|} \times ((U^\sharp)^2 \rightarrow \wp(\mathbb{B}))^{|\mathcal{P}_2|}\right) \xrightarrow{\perp, \sqsubseteq} \text{Pol}[|\mathcal{R}| \cdot |U^\sharp|] \tag{12}$$

The function in Eqn. (11) is abstracted using the function-abstraction  $\varphi$  of Fig. 2, the abstraction  $[\rho, \vee]$  being used for the domain and the relational function-abstraction  $\Phi$  being used for the codomain. Intuitively, we associate a convex polyhedra to each vector of abstract Boolean functions.  $\square$

## 5 Related Work and Conclusions

We formalized in this paper a generic abstract-interpretation combinator, which abstracts sets of functions  $D_1 \rightarrow D_2$  in a relational way, assuming the existence of abstractions  $A_1$  and  $A_2[n]$  for  $\wp(D_1)$  and  $\wp((D_2)^n)$ , respectively. Viewed from another angle, we have shown how to give a new semantics—in terms of *sets of functions*—to some previously known abstract lattices, such as octagons and convex polyhedra. This was achieved by developing nonstandard concretization functions for such domains. As an intermediate step, we formalized the abstraction of sets of functions  $D_1 \rightarrow D_2$  by sets of functions  $A_1 \rightarrow D_2$  and identified a class of properties preserved by this abstraction.

In terms of precision, the abstract domains that we obtain from relational function-abstraction lie in-between the classical function-abstraction  $A_1 \rightarrow A_2$  and its disjunctive completion. The important point is that the abstract domains obtained from relational function-abstraction are finitely representable in more general circumstances than the disjunctive completions of classical function-abstractions. In fact, they are finitely representable in the same circumstances as classical function-abstraction (i.e., when  $A_1 \rightarrow A_2$  is finitely representable with a tabulated representation).

We focused in this paper on the compositional construction of abstract domains and ignored algorithmic issues, as well as the choice of basic abstract domains. The relational function-abstraction described in the paper has actually been implemented in [8] in the shape-analysis framework of [14], for abstracting real-valued fields of dynamically allocated data structures. More recently, [9] has addressed the problem of abstracting arrays of reals, viewed as functions of signature  $[0..n] \rightarrow \mathbb{R}$ . Both [8] and [9] address the difficult problem of abstracting the domain  $U$  of a function space in a suitable way. It appears than using a fixed partition of  $U$  is useless; instead, [8] and [9] support dynamic partitioning of  $U$ .

We compared our solution to the classical solutions described in [4] and their refinement with the disjunctive-completion method. We review here other refinement methods. The tensor product of [12] combines in a relational way two different abstract domains  $L_1$  and  $L_2$  that abstract the same concrete domain  $C$ ; it is denoted  $L_1 \otimes L_2$ . The tensor product satisfies the equation  $\wp(S_1) \otimes \wp(S_2) = \wp(S_1 \times S_2)$  for powersets, and extends such an operation to more general lattices. The reduced cardinal power [2] and reduced relative power [6] combine  $L_1$  and  $L_2$  in a different way, by considering lattices of functions  $L_1 \rightarrow L_2$ , which captures *dependencies*, or in a more logical setting, *implications* [7]. In the particular case where  $L_1 = L_2 = L$ , this refinement allows to capture *autodependencies*, which is in general incomparable with the disjunctive completion of  $L$ . We did not fully explore whether the above refinements can



be used to generate relational function-abstraction  $\Phi$  from classical abstractions for functions. However, we believe that even if it were possible, the construction would be more complicated than our approach:

- the functional structure of concrete states would not be exploited;
- the “syntactic” structure of the obtained abstract lattice ( $L_1 \otimes L_2$  or  $L_1 \rightarrow L_2$ ) would be quite different from  $A_2[n]$ , even if an isomorphism exists.

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