### **ORIGINAL RESEARCH**



# **DNN‑Based Knee OA Severity Prediction System: Pathologically Robust Feature Engineering Approach**

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### **Abstract**

Osteoarthritis (OA) is a common chronic health condition of bone joints. It gradually leads severe pain and disability. Over the last 2 decades, the efect of OA is noticed more in the adult population, as well. People sufering from knee OA struggle a lot to perform daytoday activities, such as walking, climbing, and sitting, etc. The best available treatment to date tries to reduce pain but does not help to cure the chronic condition. The only possible solution is early detection and treatment of OA. There is great scope to develop an automated computer-aided diagnosis (CAD) system which will assist experts to predict the exact knee OA severity grade by analyzing X-ray images. The prediction accuracy of the CAD system is majorly dependent upon feature engineering. This means that the extracted features must truly represent the pathological features that are observed and analyzed by the experts during the diagnosis and prognosis. Hence, it is required that DNN models learn the features accurately. However, due to data scarcity and model design issues, DNN models are not able to extract better representative features. In this research attempt, we propose to combine engineered features (having high similarity with pathological features) into the features learned by the DNN model. We then perform classifcation on the combined feature space. The proposed system uses NIH-OAI radiographic dataset for the experimentation. The severity prediction follows K &L grades and yields 92.7% accuracy.

**Keywords** Osteoarthritis · Knee X-ray images · NIH-OAI · K& L grading system · Pathological features · Eigenvalue · Envelope · Deep neural network · Classifcation · CAD

# **Introduction**

Osteoarthritis (OA) is one of the most common incurable bone joint diseases with devastating consequences for afected individuals and public healthcare. Nowadays, in addition to older people, surprisingly younger audiences are predominantly suffering from knee OA [[1\]](#page-9-0). Lack of exercise, busy daily routine, lifestyle, and wrong food habits are the major reason behind this scenario [[2\]](#page-9-1).

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In addition to symptoms and medical history, accurate diagnosis and prognosis depend on the appropriate clinical examination process. The examination process starts with the physical examination which includes, but is not limited to observation of joint swelling, difficulty during knee movement and walking, any signs of injury to knee joints or surrounding muscles, and the pain when weight is placed on the knee [[3\]](#page-9-2). In some cases, experts may ask to perform diagnostic tests such as blood tests and urine tests. These tests will be helpful to identify the level of uric acid and other related contents that cause OA. The increase in uric acid is the primary indication of OA. The physical examination and blood tests are helpful to extract primary information about the disease; however, they will not help to identify the exact severity of pains and loss in articular cartilage and bones.

To understand the severity and to do accurate diagnosis, experts make use of various medical imaging modalities like magnetic resonance imaging (MRI) scan, computed tomography (CT) scan, and X-ray scan. These imaging techniques provide detailed information about



<span id="page-1-2"></span>**Fig. 1** Sample knee X-ray images representing K &L severity grades

internal body structures like bone anatomy and muscular structure without cutting any specific part of the body [[4](#page-9-3)]. MRI and CT scans provide detailed information regarding bones, muscles, and tissues in three dimensions. Even though MRI and CT scans are highly efficient, they are expensive, slow, and uneasy. In addition to this, patients need to suffer from heavy magnetic radiation and ionizing doses, respectively [[5](#page-9-4)].

Contrary to this, the X-ray images are extensively available, low in cost, less time-consuming, and speedy at providing results. Two-dimensional X-ray images provide all necessary information like bone structure, changes in bone morphology, and distance between bones in detail. In addition to this, the X-ray imaging modality is broadly used not only because it is accessible and moderately economical, but also because it functions as an effective approach in OA diagnosis and surgical procedures. The traditional X-ray images continue to be the "gold standard" for the identification of OA [[6\]](#page-9-5). Though the X-ray images provide in-depth information about knee OA, accurate reading and analysis of X-ray images to decide the exact grade of knee OA is a time-consuming and laborious job. Severity grade may vary from person to person. A lot of experience and practice are required for exact grading and accurate diagnosis. To help experts, in this paper, we proposed a DNN-based knee OA severity prediction system. To improve the overall classification accuracy, hand-crafted features are extracted that truly represent the pathological features in the real sense and combined in feature space.

The remainder of the paper is organized as follows. The section ["K &L Grades](#page-1-0)" briefly explains the K &L knee OA severity grades and the real pathological features that are observed by medical experts. The section ["Lit](#page-1-1)[erature Review](#page-1-1)" explores the related work. The section "[Proposed Methodology"](#page-2-0) describes the proposed methodology in detail. Experimental results are demonstrated in the section "[Experimental Results](#page-8-0)". The section "[Conclu](#page-9-6)[sion"](#page-9-6) provides the conclusion.

# <span id="page-1-0"></span>**K &L Grades**

In 1958, Kellgren and Lawrence proposed a Knee OA severity grading scheme based on X-ray images [\[7](#page-9-7)]. Now also, it is widely accepted stranded to diagnose and identify exact knee OA severity from X-ray images. Figure [1](#page-1-2) shows the sample knee X-ray images of each grade.

The severity of knee OA is based on the combination of four pathological features: joint space narrowing (JSN), osteophytes (i.e., bone spur), sclerosis, and bone deformity generally at the bone end. Table [1](#page-1-3) shows the descriptive values of the above-mentioned features for each of the severity grades.

### <span id="page-1-1"></span>**Literature Review**

The design and development of automatic knee OA severity prediction can be considered an image classifcation problem. Patient-specifc data collection, region of interest (ROI) extraction, feature engineering, and severity classifcation are the general steps to be performed to develop a CAD system for automatic knee OA severity prediction.

Well-organized problem-specifc dataset is the primary requirement to build a robust machine, learning-based model. CAD system development for knee OA severity classifcation is also no exception to this analogy. As far as the medical or healthcare domain is concerned hospital and radiology centers are well equipped with patient-specifc

<span id="page-1-3"></span>**Table 1** K&L grading systems for knee OA severity assessment based on X-ray images

Features grades			2	3	4
<b>JSN</b>	No.	Doubtful	Moderate	Definite	Severe
Osteophytes	No.	Small	Definite	Multiple	Large
Sclerosis	No.	No.	No	Definite	Severe
Deformity	No	No	No	Small	Definite

data. However, due to ethical laws like  $HIPPA<sup>1</sup>$  $HIPPA<sup>1</sup>$  $HIPPA<sup>1</sup>$  and patientspecific data sharing protocols like  $IRB<sub>z</sub><sup>2</sup>$  $IRB<sub>z</sub><sup>2</sup>$  $IRB<sub>z</sub><sup>2</sup>$  data cannot be made data publically available [[8\]](#page-9-8). As a result, most of the existing research is conducted on local datasets. The unfortunate thing is that data available for further experiment and result comparison will be limited. To overcome this constraint NIH-NIA<sup>3</sup> developed a public domain dataset named OAI.<sup>[4](#page-2-4)</sup> Nowadays, most researchers are using the same dataset to conduct the experiments.

After data collection, the next crucial step is the RoI extraction. Before RoI localization, some pre-processing techniques may be applied to remove noise and unwanted artifacts from the input X-ray image moreover to enhance the desired portion (knee joint region) signifcantly [[9\]](#page-9-9). Various noise removal and image pre-processing methods are applied to reduce the noise and enhance the image quality. Median filter  $[10]$  $[10]$ , Wiener filter  $[11]$  $[11]$ , and guided filter  $[12]$  $[12]$  are used most of the times to remove noise from input X-ray images. After noise removal, diferent image enhancement methods are applied to further enhance the quality of desired image. Contrast limited adaptive histogram equalization (CLAHE) methods are used by most researchers to enhance input X-ray images [\[12](#page-9-12)].

RoI localization methods aim to extract the knee portion from the input X-ray image. RoI extraction methods can be categorized into three types: manual, semi-automatic, and automatic methods. In manual methods, the ROI localization process is carried out by an expert [\[13](#page-9-13)] and can be used to create templates (ground truth) [[14\]](#page-9-14). Semiautomatic methods expect least user interventions [\[15\]](#page-9-15) either at the beginning to start the segmentation process or at the end to verify output obtained by computerized methods. Generally, these methods expect threshold value, seed point, or initial bounding box from the user to start the segmentation process. Level set-based [[16\]](#page-9-16) and active contour-based [\[17\]](#page-9-17) interactive segmentation methods are used to localize ROI from input X-ray image. Both methods accept an initial bounding box from the user. The third type of ROI localization is automatic methods. These methods do not expect any sort of user intervention to localize ROI. Block-based [\[18](#page-9-18)], region-proposal-based [[19\]](#page-10-0), neural network-based [\[20](#page-10-1)], and fully convolution neural network (CNN)-based methods were largely used in the literature to localize ROI (i.e., knee joint region) automatically.

The overall classifcation accuracy of the classifcation system mostly depends on feature engineering. Most of the research attempts extracted hand-crafted features to classify input X-ray images to correct class according to the severity level. Statistical features [\[18](#page-9-18), [21](#page-10-2)], DWT-based features [\[22](#page-10-3)], edge curvature features [\[17](#page-9-17)], and textural GLCM (gray-level co-occurrence matrix) features [\[11](#page-9-11)] were extracted to predict exact knee OA severity grade. Hand-crafted feature selection and extraction are time-consuming and laborious jobs. To overcome this limitation, nowadays, researchers are using deep features to perform classifcation. Deep feature learning methods themselves learn and extract efective features from row input data. In recent research, used deep features were to improve classifcation accuracy. Mostly these techniques are based on the convolution process. That is, a specifc mask (flter) is convoluted on the entire image to exact features. Initial few layers extract raw (coarse), whereas the last few layers extract fner features which can truly represent the correct class of input image [\[20\]](#page-10-1).

In the last step (i.e., knee OA severity prediction), diferent classifers are applied to identify correct class of input image. Support vector machine (SVM) [[18](#page-9-18)], maximum likelywood approach [[23\]](#page-10-4), feed forward neural network [[17](#page-9-17), [19](#page-10-0)], deep convolution neural networks (CNN) [[24](#page-10-5)], and self-organizing map [\[25](#page-10-6)]-based classifcation methods are adapted to predict severity grade of input X-ray image. The methods proposed in [[18\]](#page-9-18) demonstrated 80% and 86.7% accuracy for the healthy and afected images, respectively. The method proposed in [[21\]](#page-10-2) shows 92% classification accuracy for three-class classifcation, whereas methods discussed in [\[6](#page-9-5)] demonstrated 66.71% accuracy for fve-class classifcation.

By confirming the literature, most of the research attempts to categorize the images into two classes: normal and OA afected. Only a few research attempts are present which results in five-class categorization. However, the classifcation accuracy is not promising. Therefore, in the near future, several successful research attempts must be made to increase the classifcation results. Though DNN-based methods produce promising results, their classification accuracy is limited in knee OA severity prediction. Lack of well-classifed data and data imbalance are the major reasons behind this. In near future, more research must be made to embed hand-crafted features (which will represent real pathological features) with deep features to improve classifcation accuracy.

### <span id="page-2-0"></span>**Proposed Methodology**

This section provides a detailed description of the proposed methodology devised to develop a CAD system for knee OA classifcation from knee X-ray images. The proposed CAD system works in stages. The frst stage is data acquisition. The second stage is RoI extraction. This stage is responsible

<span id="page-2-1"></span><sup>&</sup>lt;sup>1</sup> HIPPA: Health Insurance Portability and Accountability Act.

<span id="page-2-2"></span><sup>2</sup> IRB: Institutional Review Board.

<span id="page-2-3"></span><sup>3</sup> NIH–NIA: National Institute of Health–National Institute on Aging.

<span id="page-2-4"></span><sup>4</sup> OAI: osteoarthritis initiative.



(a) input image (b) binary image (c) histogram of column sum (d) separated images

<span id="page-3-0"></span>

for the accurate segmentation of the knee joint region from the X-ray image. After RoI extraction, the contrast stretching-based pre-processing technique is applied to enhance the desired region nicely. The next step is feature engineering. In this step, hand-crafted features are extracted which will truly represent the desired pathological features. In the last stage, engineered features are integrated into the DNN-based classifer to improve knee OA severity prediction accuracy.

### **Data Preparation**

### **Dataset**

To promote the research in knee OA severity prediction, a knee X-ray image database named Osteoarthritis Initiative (OAI) is made available by NIH–NIA. The baseline cohort of the OAI database contains MRI and X-ray images of 4746 participants. In the proposed method, all 4746 X-ray images are used for experimentation.

#### **Left and Right Knee Separation**

Each X-ray image in the OAI database has both left as well as right knees. Sample X-ray image is shown in Fig. [2a](#page-3-0). Before further processing, the input image must be separated into two sub-images, that is, one image having a left knee and the other having a right knee. To achieve this, initially, the input gray-scale image is converted to a binary image (Fig. [2b](#page-3-0)). The image-specifc threshold value is obtained by applying Otsu's method. Otsu's method chooses the threshold value to minimize the intraclass variance of the threshold black and white pixels [\[26](#page-10-7)]. Later, column sum is calculated for each column. The histogram is plotted for the calculated sum (Fig. [2](#page-3-0)c). By observing the histogram, it is clear that there is a valley near the middle of the image. The lowest point of the valley represents the boundary between the left knee and right knee. The separated images are shown in Fig. [2d](#page-3-0). After the



#### (a) input image (b) image divided into blocks of rows

<span id="page-3-1"></span>**Fig. 3** ROI localization process

left and right knee separation, further, these images are segmented to extract the Knee joint region only.

#### **Knee Joint Extraction**

To segment the knee joint region from an X-ray image, a simple block-based segmentation method is used. In this method, input image (Fig. [3a](#page-3-1)) is divided into three equal rows (Fig. [3b](#page-3-1)) and only middle part is considered for the further experimentation.

Further, these segmented images are segregated into fve classes based on severity level. This segregation is done by consulting expert radiographers and orthopedic physicians. Normal, minor, mild, moderate, and severe are the labels of the fve classes.



<span id="page-4-0"></span>**Fig. 4** Result of contrast stretching pre-processing method

#### **Image Enhancement**

Histogram of input X-ray image is plotted, to gain more insights and contrast-related information. After observing histogram beans, it is observed that the input images are low-contrast images. Maximum pixels fall under small intensity range (100–190) (Fig. [4b](#page-4-0)). To improve the dynamic range, contrast stretching-based image enhancement technique is developed. It aims to uplift the contrast of an image by extending the input intensity range to the desired range [[27,](#page-10-8) [28\]](#page-10-9). Equation [1](#page-4-1) is used to perform contrast stretching

$$
I_{\text{out}} = (b - a)/(d - c) \times (I_{\text{in}} - c) + a,\tag{1}
$$

where  $I_{\text{in}}$  and  $I_{\text{out}}$  are input and resultant images, respectively. The variable *a* and *b* represents the low and high values of output intensity range, respectively, whereas the variable *c* and *d* represent the low and high values of input intensity range, respectively. Equation  $(b - a)/(d - c)$  is the slope of straight line. For 8 bit gray-scale input X-ray image, the values of *a* and *b* are set 0 and 255, respectively, to cover entire dynamic range. The values of *c* and *d* are set 102 and 229, respectively, by conducting experiments empirically. The simplifed Eq. [2](#page-4-2) is obtained after putting the values of  $a, b, c, d$  in Eq. [1](#page-4-1). Equation [2](#page-4-2) linearly scales the intensity values that results less harsh enhancement than histogram equalization. Figure [4a](#page-4-0) and c shows input and enhanced X-ray image, respectively. In the enhanced image, all the pathological feature are highlighted signifcantly

$$
I_{\text{out}} = (I_{\text{in}} - 102) \times 2. \tag{2}
$$

#### <span id="page-4-3"></span>**Hand‑Crafted Feature Engineering**

The primary aim of hand-crafted feature engineering is to extract features having high similarity pathological features that are observed and analyzed by experts. Joint space narrowing, bone spur, sclerosis, and deformation are the main features considered by the expert to predict the severity grade. To represent these feature values, hand-crafted feature engineering is performed. Histogram modeling-based statistical features and eigenvalues of upper and lower envelopes were extracted.

#### <span id="page-4-1"></span>**Histogram Modeling‑Based Statistical Features**

To represent the joint space narrowing (JSN) histogram, modeling-based statistical features, such as quartiles, were calculated. At a frst column-wise number of black pixels in the knee joint region were identifed. Then, histogram of column-wise pixels is plotted (Fig. [5](#page-5-0)) and a lower quartile (*Q*1), median (*Q*2), and upper quartile (*Q*3) are identifed.

To represent the observation from the histogram in the numerical format, the following formula is used:

$$
D = ||(Q2 - Q1) - (Q3 - Q2)||,
$$
\n(3)

<span id="page-4-2"></span>where *Q*1, *Q*2, *Q*3 are the values of a lower quartile, median, and upper quartile. The interesting property of quartiles is if  $(Q2 - Q1) = (Q3 - Q2)$ , then the all the quartiles are equidistant. This means that all the histogram beans have nearly equal values. For the normal knee, the joint value of the *D* is lesser (near to 0) than the value for the knee joint with severe OA. In the normal knee, the joint space between the femur and tibia bone is more. In terms of histogram at each



<span id="page-5-0"></span>**Fig. 5** Histogram modeling-based statistical features

place, there will similar number of bins everywhere, whereas in the knee joint which is afected by OA, the histogram has lesser beans mostly either at the left or right end depending on severity level which represent the reduction in joint space. Figure [5b](#page-5-0) shows the number of black pixels in knee joints ranging from 40 to 50 where, in severely afected in knee, there is signifcant variation in the number of black pixels. Figure [5](#page-5-0)d shows the variation in number of pixels, i.e., less number of pixels are left part and more number of pixels at right part. In addition to this, for most of the region, a number of black pixels are ranging from 0 to 10 which is quite less than the number of pixels in normal knee joint. The values of *Q*1, *Q*2, *Q*3 will be much same in normal one that results lesser value of D where, in severely afected knee, there will much variation in the values that result in greater value of D.

### **Eigen Values of Upper and Lower Envelope**

To represent the bone spur and bone deformation, small and large eigenvalues are computed for the upper and lower envelope.

• Upper and Lower Envelope:

 The upper and lower envelope are curve connecting the uppermost and lowermost pixels of the synovial cavity (gap between femur and tibia bone). To extract the upper and lower envelop, frst bone boundaries are extracted using the canny edge detection operator. To extract the upper envelope, each column of the image is traversed from top to bottom. The location of the frst white pixel in each column came across is marked as a point of the upper envelope. In the same way for a lower envelope, each column of the image is traversed from bottom to top



<span id="page-6-0"></span>Fig. 6 Upper and lower envelop of normal and severely affected knee joint

recording the frst white pixel of the lower envelope [\[29](#page-10-10)]. First row in Fig. [6](#page-6-0) shows (a) the femur and tibia bone boundaries, (b) upper envelope of the femur bone, and (c) lower envelope of tibia bone for normal knee joint. Whereas the second row in Fig. [6](#page-6-0) shows the same things for severely affected knee joint. It is observed that there is less variation in both the envelopes for normal knee joint than the severely affected knee joint.

 Upper and lower envelope images were fused by applying union operation among these images and covariance is computed on the resultant image. From the upper and lower envelopes, high and low points were obtained, and further, their diference and ratio are computed.

• Eigen Values:

 In real-world dynamic problems, Eigenvalues have great importance and are used in many applications [[30\]](#page-10-11). The basic mathematical equation for computation of Eigen Values is expressed as

$$
AX = \lambda X,\tag{4}
$$

where *A* is an  $n \times n$  matrix, *X* is a non-zero  $n \times 1$  vector, and  $\lambda$  is a Scalar value (can be real or complex), which is called Eigen Value of  $n \times n$  matrix *A*. There exists a nontrivial solution *X* of  $AX = \lambda X$ ; such an *X* is called as Eigenvector corresponding to the Eigenvalue  $\lambda$ . The above Eq. [4](#page-6-1) can be rewritten as

$$
A \times X - \lambda \times X = 0,\tag{5}
$$

$$
A \times X - \lambda \times I \times X = 0,\tag{6}
$$

$$
(A - \lambda \times I) \times X = 0. \tag{7}
$$

 Here, *I* is an identity matrix. If *X* is non-zero, then the equation becomes

$$
\det(A - \lambda \times I) = 0. \tag{8}
$$

 This is called the characteristic equation of *A*. For each Eigenvalue, there will be an Eigenvector for which the Eigenvalue equation is true.

<span id="page-6-1"></span> For calculating large and small Eigenvalues of both the envelope, at frst covariance of both envelope were calculated, and then, large and small Eigen values were calculated using the following formulae:

**Table 2** OACnet (osteoarthritis

<span id="page-7-0"></span>

Table 2 OACnet (osteoarthritis network) architecture	Layer		Feature map	Output size	Kernel size	Stride
	Input	Image		$112 \times 112$		
		$2 \times$ Convolution	128	$112 \times 112 \times 128$	$3 \times 3$	
		Max Pooling	128	$56 \times 56 \times 128$	$3 \times 3$	$\overline{c}$
	3	$3 \times$ Convolution	256	$56 \times 56 \times 256$	$3 \times 3$	
		Max Pooling	256	$28 \times 28 \times 256$	$3 \times 3$	2
	5	$3 \times$ Convolution	512	$28 \times 28 \times 512$	$3 \times 3$	
		Max Pooling	512	$14 \times 14 \times 512$	$3 \times 3$	2
	$\mathcal{I}$	$2 \times$ Convolution	512	$14 \times 14 \times 512$	$3 \times 3$	
		Max Pooling	512	$7 \times 7 \times 512$	$3 \times 3$	$\mathfrak{D}$
	9	FC		4096		
	Output	SoftMax		5		

$$
\lambda_L = \frac{1}{2} \left[ C_{11} + C_{22} + \sqrt{(C_{11} - C_{22})^2 + 4C_{12}^2} \right],
$$
 (9)

$$
\lambda_S = \frac{1}{2} \left[ C_{11} + C_{22} - \sqrt{(C_{11} - C_{22})^2 + 4C_{12}^2} \right],\tag{10}
$$

where  $\begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix}$  is the covariance matrix of  $\lambda_L$  and  $\lambda_S$ . Later, we computed union of large and small Eigen val-

ues of upper and lower envelopes.

Figure [6](#page-6-0) shows less variation in an upper and lower envelope for a normal image, whereas high variation for a severe one. The same scenario is refected in feature values in terms of the values of small and large eigenvalues which help the DNN model to learn bone spur and deformation pathological features.

### **Classifcation**

After problem-specifc dataset preparation, the next step is classifcation. The main aim of this work is to develop a DNN-based classifcation system with the integration of hand-crafted feature engineering to improve overall classification accuracy.

#### **DNN‑Based Classifcation System**

In this work, we developed our DNN-based architecture OACnet (Osteoarthritis network), especially for knee OA severity classifcation problems. The OACnet is more lightweight than other DNN-based counterpart architecture available in the literature. The complexity of the proposed architecture is reduced by limiting the number of convolution layers followed by the fully connected layers at the end. There are 11,75,08,864 parameters in OACnet. In addition to this, our architecture expects an input size of  $112 \times 112$  pixels, whereas the rest of the counterpart architectures expect an input size much higher than  $112 \times 112$ . The main reason behind limiting the layers is that the other DNN-based architectures considered here sufer from overftting due to bigger architecture. Such bigger architectures are not needed at least for knee OA severity classifcation problems.

The proposed model comprises four blocks of convolution layers followed by max-pooling layers. The activation maps produced by the seventh convolution layer consist of promising features with fner details that are supplied to a fully connected convolution layer having 4096 neurons. At last output of fully connected layers is fed to the softmax layer for fve-class severity classifcation. Table [2](#page-7-0) describes the architecture of OACnet in detail.

The overall accuracy (average of per-class accuracy) of the OACnet is 83.74%. The classifcation accuracy of OACnet is not promising. The primary reason behind this is data imbalance, i.e., number of images per class is not the same. The dataset has more images of class 0 than the remaining classes. In addition to this, the activation map of some intermediate layers was visualized. It is observed that the minute details, such as the amount of joint space, bone deformation, abnormal bone growth, and smoothness of bone boundaries, which improve the classifcation accuracy were not extracted. To improve the classifcation accuracy, we integrate engineered features into the model.

#### **DNN Model with the Integration of Hand‑Crafted Features**

As discussed in ["Hand-Crafted Feature Engineering](#page-4-3)", histogram modeling-based and eigenvalues of envelope values are extracted, which will truly represent the pathological features. Quartile values and its diference based on the histogram of joint space distance were extracted to represent JSN pathological feature. Small and large eigenvalues of upper and lower envelopes, and diference and ratio of the high and low points of the envelopes were considered. This set of features correctly represents bone spur and deformity in the real scene. The hand-crafted features are integrated



<span id="page-8-1"></span>**Fig. 7** DNN model with integration of hand-crafted features

<span id="page-8-2"></span>**Table 3** Classifcation results of proposed and existing method (on OAI Dataset)

Method	Grade	Accuracy	<b>MSE</b>	Accuracy
DNN (OACnet)	0	83.52	16.48	83.74
	1	84.56	15.44	
	$\overline{c}$	89.12	18.88	
	3	80.25	19.75	
	4	81.25	18.75	
<b>DNN</b> (OACnet)+Hand- crafted features	$\theta$	92.46	18.24	92.7
	1	93.61	17.09	
	$\overline{c}$	98.66	20.90	
	3	88.84	21.86	
	4	89.94	20.76	

<span id="page-8-3"></span>**Table 4** Performance comparison of various DNN model



The result of proposed method is highlighted in bold just to show accuracy is been increased because of proposed method

with the DNN features before the softmax classier. Model and engineered features' combination is shown in Fig. [7](#page-8-1).

# <span id="page-8-0"></span>**Experimental Results**

For both the experimentation (i.e., DNN-based and DNN with integrated hand-crafted features), machine having Windows 10 operating system with i7 processor, 8GB memory, and Nvidia GeForce 940 M graphical processing unit (GPU) is used. Python 3, TensorFlow 1.12, compute unifed data architecture (CUDA) 10.0, and CUDNN 7.4 are used to implement both methods. The DNN training parameters, such as batch size, learning rate, and epoch, are set to 16, 0.001, and 20, respectively.

Among the database, 50% (4746) images were used for training, and 30% (2848) images were used for validation, whereas the rest 20% (1898) were kept for testing in both the approaches. Out of 4746 images, 520 are of grade 0 The result of proposed method is highlighted in bold just to show accuracy is been increased because of proposed method

(normal), 547 are of grade 1 (minor), 726 are of grade 2 (mild), 1255 are of grade 3 (moderate), and 1698 are of grade 4 (severe). By observing the X-ray images belonging to each grade, we can conclude that due to lack of prevalence, patients start examination at a later stage when the severity of pain is intolerable. Therefore, X-ray images having severe grades are more than moderate ones.

# **Comparison of DNN‑Based OACnet with OACnet with Hand‑Crafted Features**

The results of DDN-based OACnet architecture are compared with OACnet integrated with hand-crafted features. The comparative results of both the methods are tabulated in Table [3](#page-8-2). Two evaluation parameters, classifcation accuracy, and mean square error (MSE) for each grade are considered for result comparison. The overall accuracy (average of per-class accuracy) of the OACnet method is 83.74%, whereas for the OACnet with the integration of the hand-crafted feature method is 92.7%. The improvement in overall accuracy is more for OACnet with integrated hand-crafted features as compared to DNNbased OACnet, because more robust features are extracted by hand-crafted feature engineering.

# **Comparison of Proposed Method with Other DNN‑Based Models**

In addition to comparison with DNN-based OACnet, the performance of OACnet integrated with hand-crafted features is compared with other DNN-based architectures, such as densenet121, densenet169, densenet201, inceptionv3, resnet153, and vgg16. The evaluation parameters precision, recall, and F1 score are calculated for the comparison. The detailed comparison is tabulated in Table [4.](#page-8-3) Among all the DNN-based architectures, proposed OACnet integrated with hand-crafted features shows highest precision. Since knee OA classifcation does not require heavy architecture (i.e., architectures with higher number of parameters), the proposed method best fts for the said task.

# <span id="page-9-6"></span>**Conclusion**

The classifcation accuracy of a machine learning-based model is largely dependent on well-organized problem-specifc data and feature engineering. As far as the knee OA severity classifcation system is concerned, the primary aim of feature engineering is to represent pathological parameters (joint space distance, bone spur, and change in bone morphology for instance) precisely. Though the DNN-based model performs better, still the classifcation accuracy is not promising in OA severity prediction. Lack of well-classifed training data and data imbalance are the major reasons for this situation. To improve the classifcation accuracy, we proposed a DNN-based knee OA severity prediction system that integrates engineered features into the model. Two type of hand-crafted features are extracted: (a) histogram modeling-based statistical features such as quartiles and its diference; (b) small and large eigenvalues of the upper and lower envelope. The engineered features exact features that have high similarity with clinical features. The proposed severity prediction system follows K &L grades and yields 92.7% accuracy on NIH-OAI radiographic image dataset. By confrming the literature, this is one of the unique attempts to improve classifcation accuracy based on pathologically similar feature engineering.

# **Declarations**

 **Conflict of Interest** The authors declare that they have no confict of interest.

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