INTEGRATING FORESTRY IN LAND USE PLANNING (P BETTINGER, SECTION EDITOR)



# Incorporating Carbon and Bioenergy Concerns Into Forest Management

Atsushi Yoshimoto<sup>1</sup> **D** · Patrick Asante<sup>2</sup> · Shizu Itaka<sup>3</sup>

Published online: 19 July 2018  $\circled{c}$  Springer Nature Switzerland AG 2018

#### Abstract

Purpose of Review The primary focus of this paper is to review articles that incorporate forest carbon sequestration or bioenergy into an optimization framework for forest management at the stand and forest levels and to highlight the gaps in the literature. Forest management is seen as a cost-effective strategy to reduce carbon emission, and optimization techniques are a powerful tool to assist in developing an optimal strategy.

Recent Findings Our review of literature shows a gap in research on the use of optimal management schemes to investigate the impact of silvicultural techniques such as site preparation, genetic improvement, and fertilization on carbon sequestration. For operational planning, spatial information is helpful in developing an optimal mitigation strategy. However, there is a gap in literature when it comes to the application of exact solution techniques to solve spatially constrained harvest scheduling problems that encourage carbon sequestration and timber production, while taking into account forest management prescriptions. The review further shows that assessing the impacts of using carbon sequestration and bioenergy strategies to mitigate the impact of greenhouse gas-induced climate change is complex due to the interaction between the forest sector, energy, and other industrial product sectors.

Summary We suggest that more research should be directed towards using optimization techniques and an integrated system approach that tracks carbon flow in multiple sectors as a strategy to reduce carbon emissions. This strategy should encourage higher wood utilization and increase use of long-lived harvested wood products as well as bioenergy from waste wood.

Keywords Forest carbon sequestration . Bioenergy . Optimization . Forest management

# Introduction

Traditionally, forests have been utilized to produce marketbased wood products. To achieve this goal, the objective of forest management has been to seek optimal series of treatments (i.e., such as clear-cut, thinning, weeding, pruning,

This article is part of the Topical Collection on Integrating Forestry in Land use Planning

 $\boxtimes$  Atsushi Yoshimoto [yoshimoa@ism.ac.jp](mailto:yoshimoa@ism.ac.jp)

- <sup>1</sup> Department of Statistical Modeling, Institute of Statistical Mathematics, 10-3 Midori-cho, Tachikawa, Tokyo 190-8562, Japan
- <sup>2</sup> Ministry of Forests, Lands, Natural Resource Operations & Rural Development, Government of British Columbia, PO Box 9515 Stn., Victoria, BC V8W 9C2, Canada
- <sup>3</sup> Center for Social Data Structuring, Research Organization of Information and Systems, 10-3 Midori-cho, Tachikawa, Tokyo 190-8562, Japan

regeneration at the forest stand level) and location of harvest blocks and timing at the forest level, which meet the management objectives, e.g., maximization of the net present value induced from all forestry activities. In recent times, this traditional way of managing forest has changed because today's society assigns a great deal of importance to non-market forest-related products. There is a lot more emphasis on managing forests to preserve water quality, wildlife habitats, esthetic values, and carbon sequestration. These non-market products are often regarded as products from "forest ecosystem services" [[1\]](#page-7-0). Methodologies from operations research have been utilized to evaluate such non-market products within the optimization framework of forest management [\[2](#page-8-0)•, [3](#page-8-0), [4](#page-8-0)].

In response to the threat from global climate change, forest carbon sequestration and woody bioenergy have been seen as a cost-effective strategy for mitigating the effects of greenhouse gas (GHG)-induced climate change [\[5](#page-8-0)]. The interest in using this strategy in forest management has been growing among scientists, policymakers, and governments [[6](#page-8-0)–[9](#page-8-0)]. There are principally two viable ways in which forests can be manipulated to mitigate the effects of GHG emissions.

One way is to increase carbon storage in forests and/or longlived harvested wood products. The other is the use of forest biomass for generating bioenergy as direct substitutes for fossil fuels [\[10](#page-8-0)]. In both situations, carbon offset occurs by preventing the emissions from fossil fuels which would otherwise have been used [\[6](#page-8-0), [11\]](#page-8-0).

IPCC [[12](#page-8-0)] defines carbon sequestration as an increase in carbon stocks in any non-atmospheric reservoir. It is widely recognized that forest plays an important role in the global carbon cycle by sequestering and storing carbon. It is this role of forests that influenced participants of the Kyoto Protocol to allow countries to count forest carbon sequestration towards a country's emission reduction commitment [[13\]](#page-8-0). Forest carbon sequestration can be enhanced by employing forest management or silvicultural tools in the "appropriate" way through an optimization framework [\[14](#page-8-0)]. Afforestation, site preparation, genetic improvement, fertilization, thinning, selective harvesting, etc., are viewed as effective management strategies in increasing carbon sequestration and therefore reducing GHG emissions in the atmosphere [\[9,](#page-8-0) [15\]](#page-8-0). However, there are concerns associated with the use of forest carbon sequestration in mitigating the effects of GHG-induced climate change. These concerns are centered on how to properly account for carbon sequestration in forest management and the effectiveness of using carbon incentives to encourage forest managers to increase carbon sequestration [\[2](#page-8-0)•, [16](#page-8-0)].

There is also growing interest in using forest bioenergy as a renewable, environmentally friendly alternative to energy derived from fossil fuels. Through a variety of processes, biomass can be converted to solid, liquid, or gaseous biofuels. Greater use of these wood-based biofuels could help ease society's dependence on fossil fuels and, in the process, reduce net GHG emissions. In addition, policies that encourage bioenergy production also ensure efficient utilization of forest resources, since they encourage the use of harvest residue, waste from salvage operations, and mill processing residue.

The framework for assessing total GHG emissions of forest bioenergy is the life cycle assessment (LCA). This process begins by assessing all emissions associated with silvicultural activities, biomass harvest, through to the transportation of harvested biomass to a bioenergy processing facility and the conversion of biomass into bioenergy [[17\]](#page-8-0). When forest bioenergy displaces energy from a fossil fuel, it eliminates GHG emissions from producing and burning the fossil fuel. The difference in LCA emissions between forest bioenergy and a fossil fuel is what is termed the GHG benefit of displacing this fossil fuel with forest bioenergy [\[8](#page-8-0)]. It is this potential that encouraged scientists, policymakers, and governments to consider the use of forest bioenergy in mitigating the impact of GHG-induced climate change.

In recent years, there has been a debate over the ability of forest bioenergy to mitigate the impact of GHG-induced climate change. There is no clear consensus among scientists on

this issue. Some scientists are of the view that forest bioenergy enhances global warming while others maintain that forest bioenergy can play a key role in climate change mitigation [\[18](#page-8-0)]. Although bioenergy have been promoted as a means to enhance energy independence and reduce GHG emissions, their developments have also been a cause for deep concern. Land conversion is a great concern [[19](#page-8-0)]. For example, some Asian countries have converted thousands of hectares of forest land to palm oil plantations for the purpose of bioenergy production [[20\]](#page-8-0). There are also those who are concerned that increased removal of biomass from the forests for bioenergy production will reduce carbon storage in both the biomass pool and the dead organic matter (DOM) pool [[21\]](#page-8-0).

Although the above concerns are important to policymakers and scientists, the focus of this paper is centered on reviewing the concerns of forest carbon sequestration and bioenergy through the lens of using optimization techniques within a forest management framework. We begin this review paper with a brief overview of literature about carbon sequestration and bioenergy in forestry. Then, we review articles that use optimization techniques to incorporate forest carbon sequestration and bioenergy at the stand as well as forest levels. We then conclude the paper by highlighting gaps in research and pointing out areas that need future research and attention.

# Brief Overview of the Literature

In this section, we give a brief overview of the literature on forest carbon sequestration and the use of forest bioenergy. Forest carbon sequestration and forest bioenergy can play a critical role in mitigating the effect of GHG-induced climate change.

#### Forest Carbon Sequestration

Research articles related to forest carbon sequestration have increased especially in last two decades. Silviculture and forest management practices have the ability to increase forest carbon sinks and reduce emissions from carbon sources  $[22-28]$  $[22-28]$  $[22-28]$ . Helms  $[29]$  defined silviculture as "the art and science of controlling the establishment, growth, composition, health, and quality of forests and woodlands to meet the diverse needs and values of landowners and society on a sustainable basis.^ He also defined forest management as the practical application of principles from a variety of disciplines, including biology, ecology, and economics, to the regeneration, density control, use, and conservation of forests. Silviculture and forest management can be used as a tool to increase carbon storage and therefore reduce the impact of GHG-induced climate change. A growing number of studies have identified silvicultural and forest management methods

that could enhance the mitigation potential of managed forests [\[3](#page-8-0), [4,](#page-8-0) [30](#page-8-0)–[34\]](#page-8-0).

An advantage of using silviculture or forest management to mitigate the impact of climate change is that it is a relatively cost-effective means of offsetting emissions from other sources at least temporarily. Such an approach could provide time while more efficient technologies for emission reduction are developed and implemented [[5,](#page-8-0) [23\]](#page-8-0). There is also a large body of literature on the cost of carbon sequestration that concludes that the marginal costs of carbon sink enhancement can be considerably lower than those of carbon emission reduction [[4,](#page-8-0) [5,](#page-8-0) [34](#page-8-0)–[41](#page-9-0)].

In the forest economics literature, several authors  $[42-51]$  $[42-51]$  $[42-51]$ have shown that payments for carbon sequestration can have substantial impact on the rotation length of even-aged forests. These researchers showed that incentives can be used to increase carbon sequestration and therefore help to mitigate the effect of greenhouse gas-induced climate change.

#### Forest Bioenergy

In December 2015, world leaders finalized a historic global agreement to combat climate change in Paris [\[52\]](#page-9-0). They agreed to achieve GHG neutrality in the second half of this century and to hold global warming well below 2 °C relative to pre-industrial levels. In Paris, the IPCC noted that forest bioenergy can play a critical role in mitigation but face several challenges or concerns. Bioenergy as used in this paper refers to energy derived from biomass, which can be deployed as solid, liquid, and gaseous fuels for a wide range of uses, including transport, heating, electricity production, and cooking. Forest bioenergy production can have both positive and negative impacts on a range of environmental, social, and economic objectives that are not always fully compatible.

Bioenergy opportunities have been promoted as a means to reduce GHG emissions. It has also been championed by many policymakers and scientists because of "carbon neutrality". Searchinger et al. [\[53](#page-9-0)] showed that it is carbon neutral because its use does not result in fossil carbon being released into the atmosphere. They argue that all the carbon contained in the bioenergy was absorbed from the atmosphere by photosynthesis in trees years earlier. This means that when we burn a biomass, we simply release the carbon back into the atmosphere without any overall effect on atmospheric  $CO<sub>2</sub>$  levels. In contrast, they argue that fossil fuels contain carbon that has been locked up underground for thousands of years. However, several researchers have shown that burning of biomass for bioenergy does indeed increase the level of  $CO<sub>2</sub>$  in the atmo-sphere, and it is not balanced out by photosynthesis [\[8](#page-8-0), [54](#page-9-0), [55\]](#page-9-0).

In managing forest for bioenergy, GHG are released more than the carbon captured at the initial stage of forest growth. As time goes on, net GHG emissions in the forest bioenergy become smaller as harvested stands increase in growth and capture more carbon. A point is reached when the change in forest carbon equals the accumulated GHG benefit of using forest bioenergy in place of fossil fuel. This stage of the cycle is known as carbon neutrality [\[8,](#page-8-0) [56](#page-9-0)]. It is only after passing this stage, can we say there is a net benefit or forest bioenergy has reduced atmospheric GHG emission compared with the reference fossil fuel.

Using biomass or bioenergy to reduce carbon emission has been researched since the 1990s [\[57](#page-9-0)–[59](#page-9-0)]. Researchers have shown that manufactured wood products can store carbon for several decades in buildings and are therefore good tools for reducing carbon emissions [[60](#page-9-0)].

# Considering Carbon and Forest Bioenergy for Optimal Forest Management

The key to forest management is the ability to manipulate forest management activities such as planting, fertilization, thinning, or clear-cutting to achieve an optimum outcome. This optimum outcome can be achieved with the help of optimization techniques to seek an optimal management scheme. Optimization techniques and methods have been applied to the solution of forest management planning problems for over more than three decades, and during this time, the nature of the problems have evolved. More recently, these techniques have been applied to problems that consider optimal management of carbon or bioenergy at both the stand and forest levels. This is relevant because decisions in forest management are carried out at either the stand level or the forest level. Traditionally, forest planning is divided into a hierarchy of planning phases. Strategic planning is carried out to make decisions about sustainable harvest levels while taking into account policy and regulatory constraints over relatively long term. The goal of tactical planning on the other hand is to schedule harvest operations to specific areas and timing in the immediate few years and on a finer time scale than in the strategic plan. Forest stand-level and forest-level models on carbon and bioenergy issues can be grouped into strategic and tactical planning models as shown in Table [1](#page-3-0). In the sections that follow, we review papers which have employed optimization techniques to manipulate the forest to increase forest carbon sequestration at the stand and forest levels. We also use standlevel analysis to demonstrate how an optimization technique like dynamic programming can be used to optimize the production of biofuel and carbon sequestration in logs.

# Carbon Storage Through Forest Stand-Level **Optimization**

Stand-level planning is concerned with the specification of development paths, i.e., series of silvicultural activities for a given stand. At the stand level, an optimum forest carbon

Management level	Management perspective		
	Strategic approach	Tactical approach	
Stand-level planning	Englin and Callaway (1995) [61], van Kooten et al. (1995) [43], van Kooten et al. (1997) [5], Couture and Reynaud (2002) [62], Spring et al. (2005) a [63], Spring et al. (2005) b [64], Chladná (2007) [45], Gutrich and Howarth (2007) [44], Daigneault et al. (2010) [50], Asante et al. (2011) [2•], Asante and Armstrong (2012) [16], Asante and Armstrong $(2016)$ [48]	Yoshimoto et al. (2005) [3], Yoshimoto and Marušák $(2007)$ [49]	
Forest-level planning	Díaz-Balteiro and Romero (2003) [65], Neilson et al. [66], Bourque et al. (2007) [14], Hennigar et al. (2008) [4], McCarney et al. (2008) [67], Baskent and Kucuker (2010) [68]	Dong et al. $(2015)$ [69], Wan-Yu et al. (2017) [70]	

<span id="page-3-0"></span>Table 1 Carbon and bioenergy concerns in optimal forest management

management can only be achieved when sufficient and realistic stand-level paths are specified. Various studies have used optimization techniques to show how a forest stand can be manipulated to increase carbon sequestration at the stand level. In most of these studies, the central focus has been to control or delay the harvest decision so as to increase carbon storage or the desired outcome. In the forest economics literature, most of the researchers have investigated the effect of carbon payment on optimal rotation lengths when both timber and carbon values are considered [[2](#page-8-0)•, [16\]](#page-8-0). Much of this work has been built on variations of the model developed by Hartman [\[71\]](#page-9-0), which demonstrated that optimal rotations may be extended beyond timber only management regimes when flows of non-timber value are associated with the standing forest. Most of the studies that use the Hartman framework to examine the economics of forest carbon sequestration at the stand level treat carbon benefits as a function of a change in biomass [\[3](#page-8-0), [5,](#page-8-0) [16](#page-8-0), [43,](#page-9-0) [49](#page-9-0)]. The main objective of these papers is usually to address how incentives to sequester carbon affect the optimal rotation age. When these economic incentives are internalized by forest landowners, the optimal age of timber harvesting is usually increased [\[42](#page-9-0)]. The problem or concern of this approach is that the forest manager is limited in his/her ability to manipulate the control variable at the stand level. The forest manager can only cut the stand or leave the stand uncut. These models are strategic in nature.

Dynamic programming is one of mathematical programming techniques that can be used to seek an optimal solution for stand-level management problems. Details on the development of dynamic programming models can be found in Yoshimoto et al. [[72\]](#page-9-0). Dynamic programming models can be used to produce either strategic-level plans or tactical-level plans. In recent years, dynamic programming has also been used to manipulate a forest stand to increase forest carbon sequestration at the stand level. Spring et al. [[63\]](#page-9-0) formulated and solved a stochastic dynamic program to maximize the expected net present value of returns from timber production and carbon value in a forest stand subject to stochastic fire. They modeled the decision problem using stand age as the state variable: timber production and carbon storage were both treated as functions of stand age. The same authors [[64\]](#page-9-0) also used stochastic dynamic programming to determine the rotation age considering timber production, water yield, and carbon sequestration under stochastic fire occurrence. Other researchers have also used stochastic dynamic programming to investigate the optimal forest management with carbon sequestration credits and fire risk [[50,](#page-9-0) [62\]](#page-9-0). Also, researchers like Chladná [[45\]](#page-9-0) have used stochastic dynamic programming to examine the optimal forest stand harvest decision when timber and carbon prices are stochastic. Chladná [[45](#page-9-0)] used stand volume per hectare, timber price, and carbon price as state variables. Other researchers include the following: Yoshimoto and Marusák [\[49](#page-9-0)], who optimized timber and carbon values in a forest stand using dynamic programming in a framework where both thinning and final harvest were considered, and Asante et al. [\[2](#page-8-0)•] and Asante and Armstrong [\[48\]](#page-9-0), who used dynamic programming to examine how landowners will change the rotation age for clear-cutting when faced with different starting DOM pools under carbon incentives. Unlike the models developed within the Hartman framework, dynamic programming allows forest managers the option to work with more than one control variable so as to increase carbon sequestration. It is important to note that although the aforementioned researchers have shown that controlling the rotation age can lead to an increase in carbon sequestration, there is a concern that holding trees longer (over mature trees) makes them susceptible to risk of natural disasters such as fire.

#### Carbon Storage Through Forest-Level Optimization

Forest-level planning determines the best combination of development paths in all stands, considering constraints and objectives for the forested landscape as a whole. Forest-level plans can be grouped as strategic or tactical (Table 1). To increase carbon sequestration at the forest level or mitigate the risk of losing carbon, forest managers can manipulate forests using silvicultural management practices, such as planting genetically improved stocks.

Many researchers have used mathematical programming techniques or optimization techniques to maximize carbon

<span id="page-4-0"></span>storage at the forest or landscape level. Bourque et al. [\[14\]](#page-8-0) used goal programming to maximize total carbon sequestration in the forest landscape and in wood products generated from harvesting. Goal programming was also used by Díaz-Balteiro and Romero [[65\]](#page-9-0) and Neilson et al. [\[66](#page-9-0)] to optimize forest carbon storage at the forest landscape level. Other researchers have used linear programming as a tool to optimize carbon sequestration [\[4](#page-8-0), [67,](#page-9-0) [68\]](#page-9-0). McCarney et al. [[67\]](#page-9-0) used linear programming to investigate the relationships and tradeoffs between forest carbon management, sustained timber yield, and the production of wildlife habitat. Hennigar et al. [\[4\]](#page-8-0) developed a linear programming model to maximize carbon storage in both forest and wood products at the forest level. A linear programming model was also developed to integrate water, carbon, and timber values into a forest management plan [[68](#page-9-0)]. Although the aforementioned researchers have shown that forest managers can use goal programming and linear programming as a tool to manipulate a forest to increase carbon sequestration at the forest level, the concern is that these approaches are limited to their ability to solve only strategic-level problems. These models are not able to answer questions like where to cut or where to leave uncut in order to achieve the strategic-level goal. Such tactical-level decisions are best addressed using mixed-integer programming or integer programming or heuristics.

Other researchers have addressed forest planning problems that consider carbon sequestration values and spatial concerns at the forest level  $[68, 70]$  $[68, 70]$  $[68, 70]$  $[68, 70]$ . Wan-Yu et al.  $[69\cdot \cdot]$  $[69\cdot \cdot]$  $[69\cdot \cdot]$  used an improved simulated annealing heuristic approach, which iteratively searches for a "near-optimal" solution to solve a spatial forest-thinning planning problem involving carbon sequestration and emissions. Their model determines forest-thinning schedules over a planning period so that the total thinned timber volume over the period and the revenue from carbon sequestration and emissions can be maximized under certain spatial constraints. Dong et al. [\[69](#page-9-0)••] also developed a spatial forest planning process by which one could assess either a carbon stocks objective, a timber production objective, or a spatial objective related to the arrangement of forest management activities. The concern with these heuristic solution approaches is that they lack information on the quality of the solutions. The final or "near-optimal" solution generally does not have optimal attributes, but is guaranteed to be the best among the generated group of solutions. They give us a "good feasible solution" within a reasonable timeframe, but do not guarantee optimality of the solution [[72\]](#page-9-0). An exact solution technique on the other hand can guarantee optimality of the solution or provide optimal attributes on the derived solution even when it is not optimal, such as  $\text{gap}^1$  information.

What is missing in this area of research is the use of exact solution techniques for solving carbon issues within the framework of spatially constrained harvest scheduling under area restrictions, which has gained a great deal of attention in the last two decades. Although there have been advances in computational capabilities, it is still difficult to use the existing exact solution techniques to solve spatially constrained harvest scheduling problems with area restrictions that can consider carbon benefits.

# Carbon Sequestration and Biofuel Production Through Stand-Level Optimization

Seeking an optimal solution using a dynamic programming model for the production of forest bioenergy and logs at the stand level often results in an extreme solution of either bioenergy production only or log production only. This is because an optimal solution is often dependent upon price difference between bioenergy and logs. In this section, we use a standlevel optimization model to illustrate some decisions available to a landowner who chooses to manage his/her forest stand for bioenergy and carbon sequestration services. For illustration purposes, we assume an even-aged sugi (Cryptomeria japonica) forest stand in Fukuoka, Japan. Growth and yield information for this forest is from a stand density management diagram used in Yoshimoto and Marušák [\[49\]](#page-9-0).

We make the assumption that this forest gives two types of benefit: log production and biofuel production. It is also assumed that log production comes with the benefit of carbon sequestration. Using a dynamic programming model with the MSPATH algorithm, it is possible to find the best combination of carbon sequestered in logs and biofuel production. The optimality equation of MSPATH is represented by Eq. (1) (see [\[72](#page-9-0)]),

$$
f_i^* = \max_{\{T_{i-j,i},t_j\}} \{f_{i-j,i}(T_{i-j,i})\}
$$
  

$$
f_{i-j,i}(T_{i-j,i}) = V_i^R(T_{i-j,i}) + V_i^T(T_{i-j,i}) - V_{i-j}^* + f_{i-j}^*
$$
 (1)

in order to search for an optimal amount of thinning and elapse time,  $t_i$ , for thinning or final harvest. Variables are defined as follows:

 $T_{i-j, i}$ , amount of thinning at time  $t_{i-j}$  with elapse time  $t_j$ from time  $t_i$  ( $t_{i-j} = t_i - t_j$ );

 $V_i^R(T_{i-j,i})$ , net present value of return from harvesting a forest stand at time  $t_i$  after thinning  $T_{i-i, i}$  at time  $t_{i-i}$ ;

 $V_i^T(T_{i-j,i})$ , net present value of return from thinning  $T_{i-j,i}$ implemented at time  $t_{i-i}$ ;

 $t_j^*$ , optimal elapse time  $t_j$  targeting the state at time  $t_i$ ;  $T^*_{i-j,i}$ , optimal amount of thinning over an optimal elapse time  $t_j^*$  targeting the state at time  $t_i$ ;

<sup>&</sup>lt;sup>1</sup> The relative difference between the solution reported and an upper bound value of the optimal solution value.

 $V_i^* = V_i^R(T_{i-j,i}^*)$ , net present value of return from harvesting a forest stand at time  $t_i$  after thinning  $T^*_{i-j,i}$  at time  $t_{i-j}$ .

Let us seek the optimal combination of log production and biofuel production by changing the utilization percentage of log from stem as well as the price of oil. The amount of carbon sequestered was estimated by using Eq. (2),

$$
Wc = \rho_0 \times V \times E \times C_0 \tag{2}
$$

where Wc (Ct) is the amount of carbon sequestered,  $\rho_0$  is wood density ( $g/cm<sup>2</sup>$ ), V is stem volume (m<sup>3</sup>), E is an expansion factor, and  $C_0$  is a coefficient of carbon content (g/Ct). Given the parameter value from [[49](#page-9-0)], here, carbon sequestered in wood biomass was calculated using Eq. (3),

$$
Wc = 0.3772 \times V \times 1.7 \times 0.5
$$
 (3)

Conversion coefficient from woody biomass to biofuel amount was assumed to be  $173.3$  l of "A"-type crude oil equivalent per 1  $m<sup>3</sup>$  of woody biomass. Equation (4) was used to estimate the benefit from biofuel sources,

$$
V_{i-j,i}^{bio} = 173.3 \cdot P^{bio} \cdot (1-\alpha) \cdot T_{i-j,i}^{*} \tag{4}
$$

where  $V_{i-j,i}^{bio}$  is the benefit from biofuel use from thinned volume,  $T_{i-j,i}^*$  with the price of "A" crude oil,  $P_{i}^{bio}$  and utilization ratio for log production from standing tree,  $\alpha$ . Thus, the benefit from log production becomes,

$$
V_{i-j,i}^{\log} = P^{\log} \cdot \alpha \cdot T_{i-j,i}^* \tag{5}
$$

Note that  $V_i^R(T_{i-j,i})$  and  $V_i^T(T_{i-j,i})$  in Eq. ([1\)](#page-4-0) take into account the benefits from biofuel and carbon sequestration in logs as in Eqs. (4) and (5) from harvesting a forest stand after thinning.

In order to seek the best combination of carbon sequestered in log production and biofuel production, the utilization ratio was changed from 0 to 65%, where 0% means no timber production and 65%, which is commonly applied in Japanese forestry for log production from a stem. The price of "A" crude oil was also varied from 0 to 100 yen/l. The response surface over time for a number of different utilization ratios is presented in Fig. [1](#page-6-0). For most of the utilization ratios, it is optimal to harvest around 60 and 65 years. The results show that the utilization ratio has little or no impact on the optimal rotation. This was unexpected, as it means that the different utilization ratios have about the same carbon emission reduction effect or the same impact of mitigating the effect of greenhouse gas-induced climate change.

Figure [2](#page-6-0) displays a combination of log utilization ratio (labeled "log usage") and net present value over different "A" crude oil prices. In general, the results presented in Fig. [2](#page-6-0) suggest that net present value declines with increasing ratio of log utilization when the price of "A" crude oil is greater than or equal to 30 yen/l. The results also suggest that net present value increases with increasing ratio of log utilization when the price of "A" crude oil is less than or equal to 20 yen/l. That is, we have two extreme solutions, depending upon the price of "A" crude oil. It may be noteworthy to comment that if price uncertainty of "A" crude oil and log price be taken into account for optimization, portfolio type of research would be needed to seek the best allocation of woody materials, carbon sequestration, and biomass energy use, over rather long-term planning. This is because an optimal allocation of woody materials for these uses would not change so frequently over time as well as infrastructure of bioenergy uses.

## Conclusion and Future Direction

Carbon and bioenergy concerns have been discussed and analyzed in this paper. Most studies simply estimate the amount of carbon sequestration or carbon emissions from woody biomass. From a practical viewpoint, forest management is about evaluating alternative treatments for long-term forest management plans, while taking into account tactical decisions, as opposed to just quantifying carbon stocks. Today's forest manager is driven by the necessity to seek an optimal management plan based not just on maximizing profit from timber production, but maximizing benefits from a wide range of ecosystem services like carbon sequestration. These conflicting objectives are best resolved and evaluated through the use of exact optimization techniques.

The key to forest management is the ability to manipulate forestry activities as control variables, and optimization techniques are a tool that allows forest managers to achieve this objective. Optimization techniques and methods are powerful tools, which have been applied to problems considering carbon and bioenergy at both the stand and forest levels. This review of literature shows two main types of optimization models at the stand level: (1) a variation of the model developed by Hartman and (2) dynamic programing model, which have been used as a tool to manipulate a forest to increase carbon sequestration. Of the two approaches, dynamic programming gives a manager more flexibility to control treatments to increase carbon sequestration over the given forest dynamic models. For forest-level analysis, linear programming and goal programming are limited to their ability to solve only strategic-level problems. To address spatial concerns in forest carbon management at the forest or landscape level, mixed-integer programming technique is appropriate and of necessity, though heuristics can help to generate "feasible solutions" as long as problems are mathematically formulated.

<span id="page-6-0"></span>Fig. 1 Response surface to seek the optimal rotation age (circle is the optimal rotation age)



After reviewing papers on forest carbon sequestration and bioenergy, we are of the opinion that future research needs to be directed towards an integrated system approach through the optimal management framework that encourages higher utilization, increase use of long-lived harvested wood products (HWP),

and increase use of bioenergy from waste wood. Several researchers have affirmed this fact by showing that higher utilization and increased use of HWPs and bioenergy from waste wood are very effective in mitigating climate change [\[10](#page-8-0)•, [73,](#page-9-0) [74\]](#page-9-0). Xu et al. [\[10](#page-8-0)•] showed that the greatest mitigation potential can be

Fig. 2 Change in net present value over log utilization ratio (log usage) with respect to different oil prices



Forest management prescription	With non-optimization technique	With optimization technique
Afforestation	Bashkin and Binkley (1998) [75], Guo and Gifford (2002) [76], Paul et al. (2002) [77], Vesterdal et al. (2002) [78], Byrne and Farrell (2005) [79], Jandl et al. (2007) [80]	Bourque et al. (2007) [14], Hennigar et al. (2008) [4], McCarney et al. $(2008)$ [67], Olschewki and Benitez (2010) [47], Yemshanov et al. (2015) [81], Yemshanov et al. (2005) [82], McKenney et al. (2004) [83]
Site preparations	Johnson (1992) [84], Johansson (1994) [85], Örlander et al. (1996) [86], Schmidt et al. (1996) [87], Mallik and Hu (1997) [88], Jandl et al. $(2007)$ [80], Fonseca et al. $(2014)$ [89], Wang et al. (2016) [90]	
Genetic improvement	Jayawickrama (2001) [91], Nowak and Crane (2002) [92], Millar et al. (2007) [93], Aspinwall et al. (2012) [94]	
Fertilization	Johnson (1992) [84], Mäkipää (1995) [95], Nadelhoffer et al. (1999) [ $96$ ], Canary et al. (2000) [ $97$ ], Johnson and Curtis (2001) [98], Shan et al. (2001) [99], Sampson et al. (2006) [100], Eriksson et at. (2007) [93], Jandl et al. (2007) [80], de Vries et al. (2009) [101], Kilpeläinen et al. (2016) [102]	Shrestha et al. (2015) [51]
Thinning	Wollum and Schubert (1975) [103], Vesterdal et al. (1995) [78], Jandl et al. (2007) [80], Finkral and Evans (2008) [104], Alam et al. (2013) [105], Zubizarreta-Gerendiain et al. $(2016)$ [106]	Bourque et al. (2007) [14], Yoshimoto and Marušák $(2007)$ [49], Wan-Yu et al. $(2017)$ [70]
Selection harvesting or harvesting at different intensities	Olsson et al. (1996) [107], Davis et al. (2009) [108], Kuehl et al. (2013) [109], Kamangadazi et al. (2016) [110]	Neilson et al. (2006) [66], Bourque et al. (2007) [14]. Hennigar et al. $(2008)$ [4], Schwenk et al. $(2012)$ [111], Dong et al. $(2015)$ [69], Mao et al. $(2017)$ $[112]$

<span id="page-7-0"></span>Table 2 Carbon measurement to investigate the impact of forest management prescription on carbon sequestration

achieved by improving the harvest utilization, shifting the commodity mix to HWPs, and using harvest residues for bioenergy. They showed that implementing two or more strategies simultaneously would achieve more mitigation than having only one individual strategy. However, they showed that combined strategies may not necessarily be cost-effective or lead to more socio-economic benefits. Their study also revealed that strategies may or may not affect each other when combined. In particular, they showed that higher utilization strategy and the bioenergy strategy were not "additive" because higher utilization in harvest caused fewer harvest residues left on site, which resulted in a smaller supply for local bioenergy. In contrast, they reported that the HWP strategy and the bioenergy strategy were additive, as shifting the wood product mix for a given harvest volume did not affect the amount of harvest residues. Therefore, the choice of a combined strategy depended on the interaction between mitigation actions and policy goals [[10](#page-8-0)•].

We also reviewed papers which either employed optimization techniques to investigate or simply quantified the impact of forest management prescriptions on carbon sequestration so as to identify the gaps in literature (Table 2). From Table 2, it can be seen that there is a gap in literature when it comes to using optimization techniques to investigate the impact of forest management treatments such as site preparation, genetic improvement, and fertilization on carbon sequestration. Therefore, future research needs should be directed to overcome this gap. Another gap in literature is the use of an exact solution technique to solve a spatially constrained harvest scheduling problems that encourages carbon sequestration and timber production, taking into account the management prescriptions identified in Table 2. We suggest an exact solution technique because, although these spatial constraints do address environmental concerns in forest management plans, they do so at a cost to the forest landowner in terms of lost timber revenue [[113](#page-10-0)]. To guarantee an optimal solution, these types of problems are best solved using an exact optimization technique as opposed to a heuristic solution technique which does not have optimal attributes, but is guaranteed to be the best among the generated group of solutions [\[72\]](#page-9-0).

#### Compliance with Ethical Standards

Conflict of Interest Atsushi Yoshimoto, Patrick Asante, and Shizu Itaka declare that they have no conflict of interest.

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