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Nailfold Capillaroscopy in Systemic Lupus Erythematosus (SLE): a Point-of-Care Tool That Parallels Disease Activity and Predicts Future Complications

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Abstract

Purpose of Review Systemic lupus erythematosus (SLE) is an autoimmune disease characterized by vascular complications, endothelial cell injury with subsequent dysfunction. Nailfold video capillaroscopy (NVC) is a non-invasive and reliable point-of-care method that can be used to directly visualize microscopic digital capillaries and monitor patients. In this review, we will describe NVC's role in assessing SLE disease activity and prognosticating risk for developing vascular complications.

Recent Findings In SLE, qualitative NVC changes like the presence of microhemorrhages and enlarged capillaries correlate with disease activity. These abnormalities are also associated with specific autoantibody profiles (e.g., anti-phospholipid and anti-U1-RNP antibodies). Recent studies show NVC changes may increase risks of digital ulceration, pulmonary arterial hypertension, and accelerated cardiovascular disease.

Summary NVC may be used in personalized medicine as an adjunct in assessing SLE activity which may help prognosticate risk of serious complications, but more studies are needed to further delineate its role in specific SLE disease subtypes.

Introduction

Systemic lupus erythematosus (SLE) is a complex multisystem autoimmune disease associated with many vascular complications [1, 2]. Vascular disease in SLE is characterized by endothelial cell damage and increased inflammatory mediators which further potentiate endothelial cell dysfunction [3–5]. SLE-related vascular complications (highlighted in Table 1) are associated with the highest risk of mortality in these patients. SLE-related vasculopathy includes microvascular abnormalities such as Raynaud's phenomenon (RP), anti-phospholipid (aPL) antibody syndrome resulting in both micro/ macrovascular thrombosis, and blood vessel inflammatory changes such as glomerular nephritis and cutaneous vasculitis.

The relationship between inflammatory and capillary changes was first made in the early nineteenth century by Maurice Raynaud in his assessment of patients who were subsequently found to have diseases compatible with systemic sclerosis (SSc)-spectrum disorders. Raynaud noted that his patients developed painful and reversible changes in blood circulation to their digits in response to cold temperatures, or in some patients, with no clear triggers [6]. These changes stemmed from microvascular alterations which have been directly visualized using different methods of capillaroscopy which have improved in their reliability and reproducibility over time [7].

Nailfold video capillaroscopy (NVC) is a simple point-of-care tool that directly visualizes the microvascular circulation in real time using the finger nailfolds $[8 \bullet \bullet]$. With the more prevalent use of digital capillaroscopy, NVC has become more readily available and utilized in the rheumatology clinic both in diagnosis of systemic autoimmune rheumatic diseases (SARDs) and in prospectively following patients with RP and suspected abnormal microcirculation [9]. Although its importance has been established in the diagnosis and follow-up in patients with similar connective tissue diseases such as systemic sclerosis (SSc) [10, 11], NVC's clinical and prognostic role in stratifying patients with

Organ	Manifestation
Cutaneous	Raynaud's and digital ischemia Palpable purpura Punctate lesions Urticarial vasculitis Panniculitis Plaques Bullous lesions Livedo reticularis
Renal	Glomerulitis with fibrinoid necrosis
Coronary	Coronary vasculitis, reduced left ventricular ejection fraction
Brain	Cerebral vasculitis and stroke
Lung	Necrotic alveolar capillaritis with pulmonary hemorrhage, pulmonary arterial hypertension (PAH)
GI	Mesothelial vasculitis—GI hemorrhage or perforation
Thrombosis	Microvascular and macrovascular thrombosis

SLE has been less utilized. In this review, we aim to discuss the types of common NVC abnormalities present in patients with SLE and the specific subtypes of SLE associated with certain NVC abnormalities. We also aim

to describe how NVC can be used as a tool to predict the development of serious complications in SLE such as pulmonary arterial hypertension (PAH), digital ulcers, and cardiovascular disease.

SLE Is Associated with Endothelial Cell Dysfunction and Damage Driven by Inflammation

One of the earliest recognized steps in the development of both micro- and macrovascular disease is endothelial cell damage with subsequent dysfunction [5]. In SLE patients, there are many components that promote the development of vascular disease early on—although they involve three main mechanisms: immune complex and oxidized lipoprotein deposition, abnormal angiogenesis, and thrombosis (reviewed in [12], Fig. 1). Upon endothelial cell damage, vascular endothelial growth factor (VEGF) is rapidly released, along with other mediators in an attempt to promote vessel healing and angiogenesis (Fig. 1).



Fig. 1. Microvascular mechanisms involved in patients with SLE. Abbreviations: aPL (anti-phospholipid antibodies), EC (endothelial cell), eNOS (endothelial nitric oxide synthetase), ECp (endothelial cell precursor), LDL (low-density lipoprotein), NET (neutrophil extracellular trap), pDC (plasmacytoid dendritic cell), VEGF (vascular endothelial growth factor) (see text for details).

Indeed, circulating VEGF levels are elevated in patients with SLE and correlate with their disease activity—particularly in patients with lupus nephritis [13, 14] and in patients with oral ulceration [15]. VEGF levels correlate with increased circulating angiogenic T cells in patients with lupus nephritis, suggesting that these cells also play a role in endothelial dysfunction—although more studies are needed to determine their role(s) in SLE [16]. Interestingly, elevations in serum VEGF levels in SLE patients correlate with abnormal NVC patterns and with markers of endothelial cell damage in patients with SLE [9, 14, 17•].

Unbalanced vascular remodeling is coupled with poor vessel healing. Endothelial cell precursors have a reduced lifespan [3, 4], and vascular mediators such as endocam [18] are elevated, further promoting vascular remodeling. Aberrant inflammatory signals in these patients in both the innate and adaptive immune responses also further potentiate the microvascular damage. Activated plasmacytoid dendritic cells releasing high levels of type I interferons directly contribute to accelerated vasculopathy [19]. Type I interferons not only promote endothelial cell apoptosis [20], but they also result in reduced levels of endothelial nitrous oxide synthase (eNOS), which is important in the maintenance of a healthy endothelial cell layer in the microvasculature [21]. Endothelial cell damage is also driven by B cell-derived autoantibodies such as antiendothelial cell antibodies (AECA) as suggested by Van Paassen et al., as SLE patients more commonly developed AECA that induced endothelial cell apoptosis in vitro. Moreover, autoantibodies, immune complexes (ICs), and oxidized LDL particles [22] recruit and activate neutrophils to release neutrophil extracellular traps (NETs), which further promote vascular dysfunction [23, 24]. Finally, apoptotic bodies are less readily cleared [25] resulting in an abundance of nuclear antigens and antibodies that promote vascular dysfunction such as aPL antibodies. Indeed, a significant proportion of patients with SLE (approximately 30%) generate T cells recognizing β -2-glycoprotein I [26] which likely contribute to the generation of anti-β-2-glcyoprotein I antibodies which in turn also promote endothelial cell damage. Hence, it is no surprise that patients with aPL antibodies with or without SLE commonly have abnormal NVC findings.

NVC Abnormalities Are Common in Patients with SLE

With NVC performed and recorded for over 25 years in many centers, it became evident that most patients with SLE had detectable capillaroscopic changes (73–94% of patients included), which ranged from patterns present in normal patients, albeit infrequently (e.g., tortuous or elongated capillaries, see Fig. 2) to grossly abnormal ones (see Fig. 2) [28, 29]. From these studies, it was clear that the normal "hairpin" capillary pattern was less common in many patients with active SLE disease [30, 31], although gross abnormalities such as giant capillaries or microhemorrhages were less frequently observed in SLE patients compared with patients with other diseases such as SSc or dermatomyositis [11, 32]. Further to this, some authors have described a specific "SLE pattern." This pattern included elongated capillaries (> 300 μ m in some studies [28, 33] or 500 μ m in others [17, 31]) and was associated with more tortuous capillaries and a prominent subpapillary venous plexus [34] (Fig. 2b, left, middle, and right panels, respectively). Other authors confirmed this observation—namely elongated capillaries (> 300 μ m) with an increased visibility of the subpapillary



Fig. 2. Patients with SLE have nailfold video capillaroscopic abnormalities that are associated with increased disease activity. These images were obtained using a 200X Video Capillaroscope (DS Medica, Italy), as previously described [27•]. **a** Example of a normal capillaroscopy pattern from a healthy patient with Raynaud's phenomenon. **b** A 19-year-old female with active SLE (arthritis, malar rash, and pleuritis). Note the presence of long (> 300 μ m, left panel with solid arrows) and tortuous capillaries (middle panel) associated with a more visible subpapillary venous plexus (right panel, gray arrow). **c** Examples of a patient with SLE with frequent Raynaud's phenomenon (RP) attacks and anti-phospholipid antibodies (left panel), and a patient with SLE, RP and arthritis with capillary enlargement (> 30- μ m apical diameter, > 15- μ m afferent diameter) and microhemorrhages who was subsequently found to be aPL antibody positive (right panel). **d** Patients with SLE and-U1-RNP autoantibodies with a "SSc-spectrum" capillaroscopy pattern have increased risk for complications such as digital gangrene (this patient) or pulmonary hypertension (see text). Note the presence of disorganized and decreased terminal capillary numbers (< 7 capillaries left and right panels) and enlarged (> 30- μ m apical diameter, right panel) capillaries.

plexus—and estimated a 33/95% (sensitivity and specificity respectively) and a PPV and NPV of 71.43% and 80.77%, respectively [35]. Many of these changes are not unique to SLE, however, as diseases with a similar pathogenesis such as Sjogren's syndrome develop comparable NVC changes [36]. Although this "SLE" pattern has been reproducible in different studies which assessed the frequency of this pattern in SLE patients compared with patients with other types of connective tissue diseases, there has only been a single study that has compared healthy patients with patients with SLE. In this study, NVC parameters from 123 healthy patients with RP were compared with 79 SLE patients with or without RP. SLE patients more commonly had tortuous capillaries with an increased capillary length (P = 0.0007 and P = 0.0005, respectively) [31], but many healthy patients with isolated RP also had these NVC findings as well (approximately 22%). Indeed, although tortuous changes were not different between SLE with or without RP and healthy patients, patients with SLE and more active RP more commonly had morphological changes present such as increased capillary apical and arterial diameter associated with capillary microhemorrhages (Fig. 2c) [31]. In a different study of patients with SLE and low disease activity, morphological parameters such as capillary diameter were comparable with healthy patients [37], suggesting that capillary abnormalities dynamically change in SLE and may be useful for determining overall disease activity particularly when the disease is not clinically quiescent. This notion is supported by a recent systematic review by Cutolo et al., which critically reviewed the prevalence of morphological abnormalities such as capillary enlargement and microhemorrhage in patients with SLE and how they relate to SLE-related disease activity [38••]. From these studies, it can be concluded that unlike SSc, there is no specific capillary pattern that can predict the development of SLE in patients with RP [11], although certain capillaroscopy changes are more associated with active disease.

NVC Morphological Abnormalities Parallel the Severity of RP, Lupus Disease Activity and the Presence of aPL Antibodies

RP is a common clinical manifestation (up to 45%) in patients with SLE [39]. In particular, SLE patients with aPL more commonly develop RP than patients without these antibodies. Surprisingly, the presence of frequent RP in patients with SLE was more commonly associated with morphological abnormalities such as capillaries with an enlarged apical diameter [30] (Fig. 2c). In this study, capillary abnormalities in 29 SLE with or without RP were compared with 29 healthy controls [30]. Patients with SLE and RP suffering from more than 1 RP attack every week more commonly had enlarged capillaries compared to healthy controls (P < 0.0005) and patients with SLE without RP (P < 0.05). These findings were supported by a different study by Pavlov-Dolijanovic et al., where the capillaroscopy changes in 44 SLE patients with RP were compared with 36 SLE patients without RP. Patients with SLE and RP more commonly developed enlarged capillaries, capillary microhemorrhages, and avascular areas compared with those without [31]. In a different study, patients with critical digital ischemia/gangrene associated with RP also more commonly had dilated afferent diameter (> 15 μ m) of the digital capillaries (*P* = 0.009) [40]. The presence of capillary microhemorrhage appears to be more associated with more active SLE disease activity [29, 31, 41], (Fig. 2c). Finally, patients with severe vascular abnormalities such as giant capillary loops or avascular areas were more frequently positive for anti-U1-RNP autoantibodies (P < 0.01), had RP (P < 0.001), or both (P < 0.001) [42]—suggesting that this subgroup of patients is more at risk for vascular complications associated with SSc.

Gross capillary morphological changes that are mild, such as the presence of longer capillaries that are more tortuous and/or have a prominent venous plexus, appear to correlate less than the presence of microhemorrhages and enlarged capillaries with SLE disease activity [43]. This observation is supported by several studies which correlated NVC morphological abnormalities with either serum VEGF levels (which parallel SLE disease activity) [17, 44] or with other biomarkers such as elevated anti-dsDNA titers [33]. Interestingly, there are conflicting reports for an association between NVC and lupus nephritis which may be attributable to how the different studies defined SLE disease activity or the specific serological profiles present in these studies [29, 33, 40, 43]. Systemic hypocomplementemia does not appear to be associated with NVC abnormalities [44]—suggesting that in SLE, NVC correlates more with vascular remodeling and inflammatory responses that culminate in endothelial cell damage rather than immune complex formation. Together, this may suggest that NVC abnormalities may be a predictor of cardiovascular complications in SLE, although more studies are needed.

One of the important mediators of endothelial cell damage in patients with SLE is the presence of aPL antibodies (Fig. 1). These pathogenic antibodies are commonly present in these patients with an estimated prevalence of 40–50% of patients with SLE [45]. One of the earliest capillaroscopy observations in patients with SLE was that patients with anti-cardiolipin (aCL) antibodies (a known aPL antibody) more frequently had abnormal capillaroscopy changes than those without them regardless of whether RP was present or not (54.5% and 20.7% (P < 0.05), respectively) [46]. Indeed, other studies subsequently confirmed this [33, 42, 47]. Further to this, patients with SSc with aPL antibodies also more frequently have more severe clinical vascular sequelae [48]—reinforcing the notion that aPL antibodies potentiate endothelial cell dysfunction, and microvascular damage which can be measured is in turn detected by NVC. It also may suggest that NVC may help predict macrovascular complications such as digital ulceration, PAH, and cardiovascular disease.

NVC Is Predictive of Macrovascular Complications in Patients with SLE

It is becoming evident that evolution of microvasculature in patients with SSc relates to visceral complications such as pulmonary arterial hypertension, lung fibrosis, or digital ischemia [10]. Although not as extensively studied as in SSc, NVC may be predictive of severe organ complications in SLE as well. Earlier studies in SLE patients identified patients with anti-U1-RNP autoantibodies at risk for developing NVC compatible with a "SSc-spectrum pattern" as defined by Cutolo et al, $[27\bullet]$ (i.e., the presence of giant capillary loops, frequent capillary hemorrhage, disorganization, and avascular areas (Fig. 2d)), developed visceral involvement such as esophageal dysmotility, and reduced lung diffusion capacity during the follow-up period [49]. In a subsequent longitudinal study involving serial NVC assessments, adult and juvenile SLE patients with anti-U1-RNP autoantibodies with a higher activity index had more abnormal NVC changes [43], which translated into end-organ complications such as lung fibrosis and pulmonary arterial hypertension [43].

Although relatively uncommon in patients with SLE (approximately 6% of patients) [31], recent studies have further supported the important predictive nature of the SSc pattern in patients with SLE. For example, in a recent retrospective study that compared the risk of developing PAH (as measured by right heart catheterization) in patients with SLE, patients with SLE that had a SSc pattern on NVC were at increased risk for develop PAH compared with those that did not (OR 6.393, P = 0.011) [50•]—especially when an anti-U1-RNP antibody is present [51]. Moreover, the "SS-pattern" may be predictive of other complications such as digital ulcers/gangrene (Fig. 2d) [52]. Finally, major NVC abnormalities may also be associated with a reduction in cardiac function, as recently suggested [53], and

cardiovascular disease [54•]—further supporting the notion that NVC should be used prospectively to predict of vascular damage in patients with SLE.

Conclusions

In summary, NVC may be used to as an adjunct point-of-care tool in assessing patients with SLE-related disease activity. It may also be helpful in identifying patients at risk for developing end-organ complications such as pulmonary arterial hypertension, severe digital ischemia, and possibly reduced cardiac ejection fraction—although future prospective studies with both SLE patients and healthy controls are needed. Future studies linking the specific abnormal NVC patterns with the biology of different disease phenotypes may be instrumental in using NVC for personalizing clinical decisions in patients with SLE.

Compliance with Ethical Standards

Conflict of Interest

Shahna Tariq declares that there are no conflicts of interest. Jan Willem Cohen Tervaert declares that there are no conflicts of interest. Mohammed Osman declares that there are no conflicts of interest.

Human and Animal Rights and Informed Consent

This article does not contain any studies with human or animal subjects performed by any of the authors.

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The authors in this recent article link the presence of vascular T cells with abnormalities in patients with SLE.

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