



Functional Landscape of Common Variants Associated with Susceptibility to Epithelial Ovarian Cancer

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Abstract

Purpose of the Review To date, genome-wide association studies (GWASs) have identified 39 genomic loci associated with risk of epithelial ovarian cancer at genome-wide significance level ($p \leq 5 \times 10^{-8}$) and 13 additional loci using less strict thresholds. Follow-up functional dissection of these loci to uncover the underlining mechanisms driving cancer susceptibility has been challenging.

Recent Findings In a manner similar to how post-linkage studies led the characterization of then poorly understood cellular pathways, functional analysis of GWAS loci is revealing new mechanisms of ovarian cancer.

Summary Here, we review recent methodological and conceptual progress relevant to the understanding of how common genetic variation influences the risk of epithelial ovarian cancer.

Keywords GWAS · TWAS · Functional analysis · Ovarian cancer · Common variants · SNPs · Transcription

Abbreviations

CCOC	Clear cell ovarian carcinoma
DDR	DNA damage response
ENOC	Endometrioid ovarian carcinoma
EOC	Epithelial ovarian cancer
eQTL	Expression quantitative trait loci
GWAS	Genome-wide association studies
HGSOC	High-grade serous ovarian carcinoma
LD	Linkage disequilibrium
LGSOC	Low-grade serous ovarian carcinoma
MOC	Mucinous ovarian carcinoma
MAF	Minor allele frequency
OCAC	Ovarian Cancer Association Consortium
PARP	Poly ADP ribosyl polymerase
S/MAR	Substrate/matrix attachment region
SNP	Single nucleotide polymorphism

Introduction

In 2018 alone, over 295,000 new cases and 180,000 deaths were due to ovarian cancer, the eighth leading cause of cancer mortality among women [1]. Epithelial ovarian cancer (EOC), the most prevalent type of ovarian cancer, is detected at later stages in more than 50% of the cases with poor prognosis and low survival rates [2]. Invasive EOC is classified into five major histological types, high-grade serous (HGSOC), low-grade serous (LGSOC), mucinous (MOC), endometrioid (ENOC), and clear cell (CCOC) ovarian carcinoma, and two borderline disease histological types, serous and mucinous [3]. These EOC histological subtypes reflect different cell types, many of them from non-ovarian tissues, from which each tumor originates [4]. For example, most HGSOC, the most common EOC type, likely originates from secretory epithelial cells (or their progenitors) in distal fallopian tube precursor lesions [5, 6].

Analysis of mutations in tumor tissue has largely confirmed the histological subtypes, which can be grouped into types I and II [7]. Less aggressive type I tumors have slow growth and include low-grade serous, low-grade endometrioid, mucinous, and clear cell carcinomas and display mutations in *KRAS*, *BRAF*, and *PIK3CA* but not in *TP53* [8]. Highly aggressive type II tumors are characterized by *TP53* mutations and include high-grade serous, high-grade endometrioid tumors, and

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undifferentiated carcinomas, but *TP53* mutation prevalence may vary with subtype [9–13]. Type II tumors, exemplified by HGSOC, display a high prevalence of defects in double-strand DNA break repair pathways [13–17].

Reproductive history, age, environmental, and lifestyle factors influence ovarian cancer risk, but genetic factors are also important contributors [18–21]. Inherited rare pathogenic variants in several genes conferring either high ($RR > 4$; *BRCA1* and *BRCA2*) or moderate ($2 < RR \leq 4$; *ATM*, *BRIP1*, *MLH1*, *MSH2*, *MSH6*, *PALB2*, *PMS2*, *RAD51C*, and *RAD51D*) lifetime EOC risk have been identified but explain less than a third of the excess familial risk, indicating the existence of additional unidentified genetic factors [22–28].

Because a significant part of inherited susceptibility to cancer is likely to be explained by common alleles with low penetrance [2–5], investigators have expanded their search to identify common alleles (typically minor allele frequency [MAF] $> 1\%$) associated with cancer risk in a significant fraction of the population [29–31]. In ovarian cancer, this effort has been spearheaded by the Ovarian Cancer Association Consortium (OCAC), and has led to the identification of many EOC susceptibility loci. Collectively, loci associated with invasive EOC in women of European ancestry are estimated to explain 6.4% of the polygenic risk [32••].

In this mini-review, we discuss the progress on the functional dissection of common loci identified to date to explore the biology of EOC. In the same manner that dissecting the biological role of *BRCA1* and *BRCA2* led to understanding of their central role in the DNA damage response (DDR) and the discovery of synthetic lethal approaches using inhibitors of poly(ADP-ribose) polymerase 1 (PARP1) [33–38], we expect that identification of mechanisms driving cancer susceptibility will open new prevention and therapeutic options. Recently, Kar et al. published an excellent review of the state of common variation in ovarian cancer, and therefore, we will only briefly summarize those studies covered by Kar et al. and discuss new developments in more detail [39].

Genome-Wide Association Studies in Epithelial Ovarian Cancer

Currently, 38 ovarian cancer risk loci, defined by common single nucleotide polymorphisms (SNPs), have been identified by genome-wide association studies (GWAS) reaching genome-wide significance ($p \leq 5 \times 10^{-8}$) (Fig. 1; dark blue boxes) [32••, 40–52]. Thirty were either uncovered ($n = 12$) or confirmed ($n = 18$) in the Oncoarray meta-analysis in a large GWAS of women of European ancestry [32••]. Two loci were confirmed only in women of Han Chinese ancestry (Fig. 1). A recent ovarian cancer risk GWAS performed with East Asian women identified one new locus at genome-wide significance [52].

The conventional threshold for statistical significance used in GWAS is justified by a simple multiple testing correction based on the number of SNPs interrogated in the genotyping chip, typically $p \leq 5 \times 10^{-8}$ (0.05/1,000,000) [53]. However, the threshold is arbitrary, and additional true risk loci may remain hidden. Although large sample sizes of ovarian cancer cases and controls have been assembled, analyses large enough to satisfy Bonferroni corrections for multiple testing remain challenging. Alternative procedures that incorporate biological or clinical information are needed. An alternative approach was used by a recent GWAS with women of East Asian ancestry that revealed three additional loci using a Bayesian false discovery probability (BFDP) $< 10\%$ (Fig. 1; light blue boxes) [52, 54].

Similarly, using a less strict threshold ($p < 1 \times 10^{-6}$) as suggestive evidence of association with EOC, 10 new loci emerged in a GWAS of women of African ancestry, which also replicated five previously identified in women of European ancestry [55]. An additional new risk locus, 22q13.1, was identified in a GWAS of Japanese women ($p = 1.05 \times 10^{-7}$), bringing the total number of established and suggested EOC risk loci to 52 (Fig. 1).

These SNPs are located in the X chromosome and distributed across 17 autosomal chromosomes. Most EOC risk-associated SNPs have small effects (typically per-allele odds ratio (OR) < 2.0) creating a challenging scenario for their use for risk stratification. Importantly, carriers of multiple common risk alleles may be at risk similar to carriers of *BRCA* pathogenic variants for which increased screening and surveillance are recommended [56, 57]. In addition to contributing to risk stratification, GWAS-identified risk loci are expected to reveal novel biological processes involved in cancer etiology [58].

In order to exploit these discoveries, it is critical to identify which SNP(s), among a set of credible candidates, are mechanistically driving susceptibility at the locus. During the past several years, guiding principles for functional analysis of GWAS susceptibility loci have emerged [58–69]. The early observation that most associated SNPs were not in gene-coding regions led to the overall hypothesis that trait-associated alleles exert their effects by changing the transcriptional output of target genes [58]. This hypothesis is largely being confirmed, and functional analysis has identified several mechanisms by which gene expression can be modified at each locus (Fig. 1).

Post-GWAS Analysis: Establishing the Functional Chain of Causation

One of the guiding principles of post-GWAS functional analysis is an ideal progression from statistical *association* to *functionality* to *causality*. Risk-associated SNPs represent a set of many SNPs in the locus, one (or some) of which is

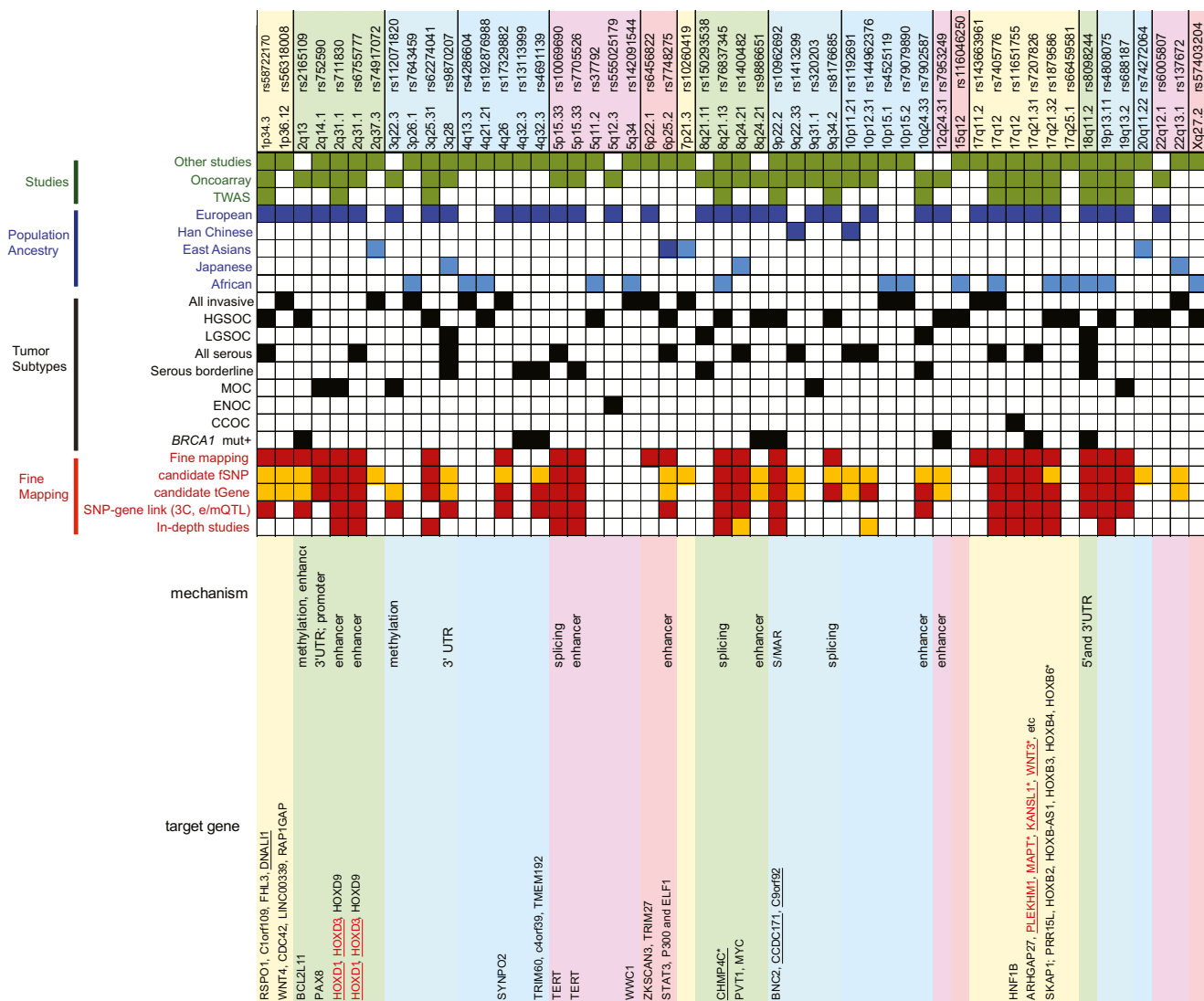


Fig. 1 The functional landscape of common EOC risk loci. Data compilation of all information available for 52 independent common SNP loci (MAF > 1%) associated with EOC risk. Each locus has a lead SNP identified by the different studies (green boxes), the populations in which the association was detected (dark blue boxes [$p < 5 \times 10^{-8}$]; light blue boxes [BFDRP < 10%; or $p < 1 \times 10^{-6}$ for novel and $p < 0.05$ for replicating loci in women of African Ancestry; or $p = 1.05 \times 10^{-7}$ for loci identified in Japanese women]) and in which in tumor subtypes, the

association was detected (black boxes). Post-GWAS functional follow-up information is shown in red boxes when finalized and yellow when in progress. Mechanisms by which gene expression can be modified at each locus are shown as the candidate target genes' driving risk at the locus (underlined—TWAS find; red underlined—TWAS find and GWAS functional; asterisk indicates splicingTWAS). S/MAR scaffold/matrix attachment region, UTR untranslated region

hypothesized to be causally linked to disease. Theoretically, the identification of *causal* SNPs should be the first step to define the molecular mechanisms of risk enhancement. However, the statistical and experimental requirements to determine whether a SNP is causal are still ill defined. Here, we refer to *functional* SNP when it displays an allele-specific functional effect and to *credible causal* SNPs when enough collective evidence has been gathered to support a mechanistic link but recognize that these are evolving operational definitions.

Genotyping chip designs can minimize the number of SNPs tested by choosing tag (or index) SNPs to represent

large regions of high linkage disequilibrium (haplotype blocks). A drawback of this design is that very few SNPs located at a locus are directly interrogated for association, and it is likely that the tag SNP does not represent the functional SNP causally related to cancer risk. Therefore, fine mapping by dense genotyping and imputation is instrumental to ensure that we are capturing most, if not all, causal SNPs.

The first step is to identify the set of candidate SNPs. When fine mapping data is available, this set is obtained by retaining the most highly significant SNPs at the locus. Fine mapping is also critical to perform conditional analysis and determine whether there are multiple independent signals at the locus.

Alternatively, when fine mapping data is not available, the tag SNP at the locus is used to retrieve all other SNPs in high linkage disequilibrium (LD; typically $r^2 > 0.8$). However, the likelihood of missing a true causal variant, in particular if it is rare, is significantly higher in the latter approach.

With the candidate set defined, SNPs are then inspected for their location in the genome in relation to chromosomal features such as promoters, enhancers, coding regions, and splicing acceptor sites. Some features can be directly deduced by the genetic code (e.g., coding regions, splicing acceptor/donor site) while others are inferred using chromatin modifications previously shown to be enriched in the feature (e.g., enhancers) [70]. This can be manually done for individual loci using the Human Genome Browser, but several available pipelines allow for automatic queries of multiple SNPs [71–74]. All SNPs located in any biological feature are retained for further analysis.

The next series of analyses are centered on testing whether the SNP displays allele-specific changes in the biological feature. For example, causal SNPs in coding regions are expected to show allele-specific differences in assays for protein stability or function (e.g., kinase activity). Causal SNPs in enhancers are expected to show allele-specific differences in a transcription reporter assay or electrophoretic mobility shift assay [75, 76].

The set of functional SNPs (those that showed allele specific effects) and their location allow for the generation of specific gene target hypothesis at the locus. SNPs in gene features (e.g., coding region, splicing site, 5' and 3' untranslated region (UTR)) strongly suggest that the gene in which the SNP lies is the target of regulation. However, caution should be exercised as some genic features could also act as distant enhancers to a different target gene [77]. For SNPs in intergenic features (enhancers), two approaches have proven powerful to connect regulatory elements with their target genes. The first, eQTL (expression quantitative trait loci) analysis, allows for testing risk-associated alleles for their association with expression levels and has been instrumental in identifying regulatory region-promoter links in ovarian cancer [76, 78]. The other approach probes the proximity between two linearly distant DNA regions in the 3D chromatin. They include chromosome conformation capture and ChIA-PET (chromatin interaction analysis by paired-end tag sequencing) techniques [79, 80]. Because promoter-enhancer cooperativity is achieved through physical proximity, enhancers are expected to be close to their target gene promoter.

Finally, one must establish how the target gene (or its regulation) impinges on the phenotype. This can be initially established using adequate *in vitro* models that can modulate expression of the candidate gene and be evaluated for oncogenic phenotypes (increased cell growth, decreased apoptosis, multi-nucleated cells, anchorage-independent growth) [47]. Organoids and *in vivo* models provide opportunities to

conduct in-depth experiments on the role of these risk-associated regions [81].

Transcriptome-Wide Association Studies

Transcriptome-wide association studies (TWASs) are a gene-centric approach that uses eQTL to impute gene expression onto genotyped individuals followed by testing the association with disease risk [82–84]. First, eQTL reference panels of samples with associated genotype and gene expression data are used to train a predictive model of expression in the gene's vicinity. Next, using the predictive model, expression is imputed in individuals of known genotype, for example, from GWAS cohorts. Finally, statistical association between predicted gene expression and the trait is tested. From the standpoint of uncovering biology, TWAS has the advantage to directly identify the mechanism (in this case mediator genes) by which genetic variation modifies the phenotype.

The first TWAS for EOC was conducted with high-density genotyping data and RNA sequencing transcriptome data from 53 tissues from GTEx Project (<https://gtexportal.org/home/>) to train predictive models of genetically regulated expression for 17,121 genes [85, 86]. Data from 97,898 women including 29,396 HGSOC cases were analyzed, and a total of 35 genes spanning 14 loci were associated with risk ($p < 2.21 \times 10^{-6}$) [86]. Of those, 34 were within 1 Mb from previously identified EOC susceptibility variants. Importantly, 11 genes across eight loci corroborated data from previous functional annotation, bioinformatic prediction, or *in vitro* cellular models (Fig. 1). High expression of *FZD4* at the 11q14.2 locus, the only gene not located within 1 Mb from GWAS-identified EOC risk locus, was associated with increased risk of HGSOC and could constitute a novel risk locus [86].

Of the 34 genes within 1 Mb of previously identified EOC GWAS SNP, only three (*DNAL11*, *HOXD3*, and *CCDC171*) remained statistically significant after conditioning for the top EOC GWAS SNP. This indicates that expression for the vast majority of genes identified by TWAS is regulated by previously identified GWAS SNPs for EOC. Interestingly, the strength of association was only attenuated, suggesting that the SNP(s) with the largest contribution to the regulation of these genes have not yet been identified. At several loci, multiple genes reaching significant association were found to be co-regulated, and further analysis to determine their individual contribution to the phenotype will be needed [86].

Recently, more than 2000 eQTL samples of primary HGSOC, EOC precursor tissues (ovarian and fallopian epithelial cells) and other hormonal-related cancers (breast and prostate cancer) were applied in a multi-tissue TWAS using the largest ovarian cancer GWAS available with 13,037 HGSOC cases and 40,941 controls from OCAC [87]. To train the prediction model, different panels were constructed (each panel is

defined as a tissue-state-phenotype triplet) from 84 breast normal, 654 breast tumors, 70 ovarian normal FT, 115 ovarian normal OS, 201 ovarian tumor HGSOC, and 376 prostate tumors. After Bonferroni correction for 66,764 total tests (reflecting the number of genes and gene-junction models tested), a total of 32 associations for 18 unique genes were detected. Twenty-one out of 32 associations exhibited strong evidence for a single shared causal variant, and only four genes presented the possibility of joint causal variants [87].

The authors expanded their study by performing a splice-transcriptome-wide association study (spTWAS) by integrating splicing quantitative trait loci (QTLs), thus enabling the detection of 74 junction-level models significantly associated with risk. Nine genes were identified in both TWAS studies providing additional support for their role [86, 87].

What Have We Learned?

Approximately 10 years have elapsed since the identification of the first genome-wide significant risk locus at 9p22.2 for EOC. Data and tools for functional dissection of these loci have been slowly built and developed by the effort of large cancer site-specific consortia (such as OCAC) as well as the GAME-ON (Genetic Associations and Mechanisms in Oncology) umbrella consortium which improved the conceptual and technical cross-fertilization among groups working on different cancers. Although only a few loci have been functionally dissected and important challenges still remain, the following themes have emerged.

The *HOX* Axis

The homeobox (*HOX*) gene family encodes transcription factors that contain homeodomains and function in defining the metazoan body plan, normal vertebrate limb, and organ development [88]. Several *HOX* genes have emerged as strong target gene candidates in ovarian cancer risk. *HOXD9* is the most likely candidate at the 2q31.1, associated with serous and mucinous subtypes [51, 78]. In addition, *HOXD3* emerged in the recent TWAS as independent of previously identified GWAS signals and could constitute a novel risk locus [86].

Interestingly, in the female reproductive organs, several *HOX* genes are expressed along the Mullerian duct tissues. For example, *HOXA9* is expressed in the fallopian tubes while the uterus expresses *HOXA10* during development [89]. Expression of specific *HOX* genes has been implicated in the differentiation of cells in the reproductive tract. Inappropriate ectopic expression of *Hoxa9*, *Hoxa10*, and *Hoxa11* in tumorigenic OSE epithelial cells in mice led to tumors with a papillary serous, endometrioid, and mucinous phenotypes and may constitute an early step in EOC oncogenesis [90].

Using data from only 12 genome-wide significant loci known at the time, a *HOX*-centric network connecting genes

from five of the 12 serous EOC risk emerged after gene set enrichment analysis. Six networks centered at 2q31 and 17q21.32 were significantly enriched in genes implicated in EOC. They were centered on *HOXD1*, *HOXD3*, *HOXB2*, *HOXB5*, *HOXB6*, and *HOXB7* [91]. Remarkably, this network also connected with three other loci through a small number of genes, almost all of which represent the strongest causal gene identified in each locus (*BNC2* at 9p22, *HNF1B* at 17q12, and *ABHD8* at 19p13) [46, 47, 76, 91].

In addition to *HOX*, other transcription factors have emerged as target genes for several loci such as *PAX8*, *MYC*, and *BNC2* (Fig. 1). Many have been implicated in cell differentiation, morphogenesis, and organogenesis of tissues and organs in the reproductive tract, suggesting that changes in developmental program of precursor cell types might underlie EOC susceptibility at some loci. On the other hand, removal of an 8q24 colorectal cancer risk locus containing a *Myc* enhancer led to a mouse with normal intestinal morphology with no major impact on intestinal cell differentiation, despite being resistant to intestinal tumors [81]. Further studies will be necessary to test this hypothesis in ovarian cancer.

Borderline Serous Subtype, Telomerase, and Telomeres

Based on post-GWAS functional follow-up findings, borderline serous EOC etiology is associated with mechanisms regulating telomere length. SNP rs7705526 intronic to *TERT* is associated with borderline serous EOC at the 5p15.33 locus ($p = 1.3 \times 10^{-15}$) and longer telomeres in leukocytes ($p = 2.3 \times 10^{-14}$) [49]. Furthermore, in vitro luciferase reporter assays to identify transcriptional regulatory regions in ovarian show allele-specific activity suggesting that the mechanism underlying risk at this locus is through changes in an enhancer that acts on the *TERT* promoter [49]. *TERT* encodes the catalytic subunit of telomerase, responsible to maintain telomere length after replication. *TERT* activity is not detectable or low in normal somatic tissue, and *TERT* reactivation has long been proposed as an oncogenic process [92].

Along similar lines, a SNP at the locus 10q24.33 is associated with telomere length and with borderline serous EOC [32, 44, 93]. eQTL analysis indicates that *OBFC1* is the target gene (Fig. 1). *OBFC1* encodes an accessory factor that stimulates the activity of DNA polymerase- α -primase that initiates DNA replication [94]. *OBFC1* also participates in telomere-associated complex that binds telomeric single-stranded DNA [95]. The causal relevance of telomere length for risk of cancer was tested through a Mendelian randomization study, which showed strong association for serous low-malignant-potential ovarian cancer with 4.35-fold risk [95% CI; 2.39–7.94] increase (OR [95% CI] per 1-SD change in genetically increased telomere length) [96].

Not All Mechanisms Are Created Equal

At EOC risk loci for which functional analysis has been performed, intragenic and gene proximal SNPs seem to act through changes in promoters, splicing sites, or that affect the stability of the transcript (5' and 3' UTR). For intergenic SNPs, allele-specific changes at enhancer elements seem to be an important underlying mechanism (Fig. 1). The recent functional analysis of the 9p22.2 cancer susceptibility locus results supports the original hypothesis that risk-associated SNPs in intergenic regions act by modifying the regulation of target genes [58, 76•]. Surprisingly, regulation of *BNC2* at the locus is likely mediated by a distinct mechanism of cis-regulation by a scaffold/matrix attachment region (S/MAR) which is likely to modulate the local chromatin environment [76•, 97]. Although the identification of the functional SNP that can modulate chromatin architecture at the S/MAR may need further studies, the finding of credible risk SNPs associated to S/MAR raises the possibility that such regions and elements that modify chromatin 3D architecture may contribute to the underlying mechanism of risk at other cancer susceptibility loci.

Where Do We Go from Here?

Complementary approaches such as GWAS and TWAS, combined with ever-improving haplotype imputation, are likely to continue to drive the discovery of additional genomic loci influencing EOC susceptibility in the near future. In particular, effort should be directed at filling the knowledge gap between populations of European and non-European ancestry. This gap is most significant for populations of Hispanic ancestry and filling it expected to have many benefits beyond Hispanic populations for discovery of loci involved in complex traits using multi-ethnic cohorts [98]. In addition, several case-control studies based on germline genome sequencing are now underway and are also likely to identify additional genetic loci implicated in EOC risk.

To cope with the likely increase in the pace of discovery, functional analysis must overcome three significant challenges. The organizational challenge is to create a network of laboratories performing standardized high-throughput assays in an integrated fashion to scale up basic analysis for hundreds of loci at a time. Although large coordinated efforts have their drawbacks, such as difficulty obtaining sustainable funding and issues with allocation of credit, they are also extremely rewarding as the scientific environment is extremely dynamic and the rate of progress is much higher than what any individual lab could accomplish over many years as exemplified by the GAME-ON consortium (<https://epi.grants.cancer.gov/gameon/>).

The technical challenge is to generate more complex and genetically defined co-cultures, organoid, and in vivo models. It is plausible that some risk loci act in a non-cell autonomous

fashion. For example, the changes in gene expression led by risk alleles may prime the organism for cancer not by disturbing the developmental program of a precursor cell but rather by changing how lymphocytes may suppress a tumor in its early stages or through communication between stroma and tumor cells. Co-cultures, organoids, and in vivo models will be required to tease out these paracrine effects. Furthermore, these models, which will also be instrumental to model gene-environment interactions in the lab, will need to take into account genetic ancestry to correctly model risk effects in multi-ethnic cohorts. Given the present lack of ancestral genetic diversity in cancer cell lines [99], the development of more complex representative models is going to be extremely difficult without a concentrated effort.

Finally, a conceptual challenge is to continually expand the repertoire of biologically plausible testable hypothesis for each locus and develop rigorous experimental standards to ensure that the most credible causal SNPs and genes have been found [58]. In addition to the value of GWAS for risk stratification at the population level, functional dissection will add value to the individual because uncovering new mechanisms will open new avenues for prevention and treatment that could be rapidly translated to the clinic to improve EOC outcomes.

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Compliance with Ethical Standards

Conflict of Interest The authors declare that they have no conflict of interest.

Human and Animal Rights This article does not contain any studies with human or animal subjects performed by any of the authors.

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- Of major importance

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