

# Adult Electronic Nicotine Delivery System Use in the USA: a Scoping Review Through a Health Equity Lens

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#### **Abstract**

**Purpose of Review** The objective of this scoping review is to use a health equity lens to understand the extent and type of evidence that exists about the use of electronic nicotine delivery systems (ENDS) based on socioecological understandings of health influences (i.e., the US National Institute of Minority Health and Health Disparities' (NIMHD) Research Framework). The research question guiding the review was as follows: What is the range and scope of research that exists to help characterize health disparities related to ENDS use?

**Recent Findings** Ninety-eight articles published between 2019 and 2022 often examined racial/ethnic differences in ENDS use; however, other demographic characteristics, domains of influence across the life course, and levels of influence beyond individuals were rarely considered. As most studies were derived from large-scale, cross-sectional US national surveys, few longitudinal studies or intervention trials were published.

Summary The use of the NIMHD framework to analyze recent literature helped identify research patterns and gaps that may be important to recognize for optimizing population health strategies to advance health equity. Future research on non-individual level factors influencing ENDS, interventions to effectively use ENDS for combustible cigarette cessation, and subpopulations susceptible to dual use may enhance existing science. Monitoring research using the NIMHD research framework can help researchers and policy makers to identify and when appropriate, prioritize support for overlooked but important research questions.

 $\textbf{Keywords} \ \ \text{Electronic nicotine delivery systems} \cdot \text{E-cigarettes} \cdot \text{Health equity} \cdot \text{Health disparities} \cdot \text{Social determinants of health}$ 

#### Introduction

Electronic nicotine delivery systems (ENDS) were introduced to the US market in 2007, and the use of ENDS has increased dramatically over the past decade such that

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over 9 million adults in the USA currently use ENDS each month [1]. Though early ENDS research described the use and experimentation among youth and young adults who predominately identified as non-Hispanic white (NHW), more recent evidence suggests that patterns of use are emerging among people who identify with minoritized groups defined by race, ethnicity, gender, sexual orientation, housing stability, and some health conditions [2–8]. This review used a health equity lens to better understand current science and research opportunities focused on ENDS use among adults, ages 30 years and older, as ENDS may reduce harm attributed to combustible tobacco use in this population.

Understanding the types and extent of ENDS-related disparities is imperative for preventing exploitative or disproportionate harm. For the purposes of this paper, health disparities are defined by differences that adversely affect disadvantaged populations. One way to study disparities in



tobacco use is to examine whether rates of use differ among different groups. Differences in prevalence rates could be a signal that there are factors (e.g., targeted marketing) that may lead to disparities in health outcomes [9]. Identifying disparities can demonstrate specific needs for policy change or intervention and promote more equitable access to tobacco-related information, resources, and opportunities for harm reduction. Developing an understanding of how ENDS use or nicotine vaping may vary based on experiences across diverse populations with a specific focus on historically marginalized sub-populations will ensure more equitable distribution of scientific knowledge and research benefits to populations that have traditionally experienced disproportionate health burdens related to tobacco use. While long term health effects of ENDS use remain uncertain, ENDS have been proposed as a feasible method of quitting or reducing combustible cigarette smoking for some people. Since ENDS use may also lead to dual use of ENDS and combustible cigarettes for others, more research is needed to understand the potential health risks and harm reduction benefits of ENDS among adults [10–16]. Understanding the different circumstances and impacts of ENDS use in diverse populations is important to promote public health and inform prevention efforts to reduce tobacco-related health harm.

In 2019, the US National Institute on Minority Health and Health Disparities (NIMHD) released a research framework for understanding health disparities and advancing health equity (Fig. 1) [17]. The framework consolidates various socioecological understandings of health and illness into a model for examining health conditions in context and to inform interventions or disparities amelioration [17–19]. It acknowledges the complex and multifactorial pathways to disease/illness and outlines different domains of influence (biological, behavioral, physical/built environment, sociocultural environment, and healthcare system) over the life course as well as distinct levels of influence (individual, interpersonal, community, and societal). Our research team used this framework to organize a scoping review of ENDS research conducted among adults aged 30 years and older between 2019 and 2022. The objective of this review was to use the NIMHD research framework to understand the extent and types of evidence describing ENDS use. The research question guiding the review was as follows: What is the range and scope of research that exists to help characterize health disparities related to ENDS use? The review also sought to identify gaps for future research on ENDS use and its impact on diverse subgroups. Consistent with the framework, the terms used throughout the review (e.g., health disparity, social determinants of health, ENDS/e-cigarettes, and health equity) are defined in Table 1.

		Levels of Influence*					
		Individual	Interpersonal	Community	Societal		
Domains of Influence (Over the Lifecourse)	Biological	Biological Vulnerability and Mechanisms	Caregiver–Child Interaction Family Microbiome	Community Illness Exposure Herd Immunity	Sanitation Immunization Pathogen Exposure		
	Behavioral	Health Behaviors Coping Strategies	Family Functioning School/Work Functioning	Community Functioning	Policies and Laws		
	Physical/Built Environment	Personal Environment	Household Environment School/Work Environment	Community Environment Community Resources	Societal Structure		
	Sociocultural Environment	Sociodemographics Limited English Cultural Identity Response to Discrimination	Social Networks Family/Peer Norms Interpersonal Discrimination	Community Norms Local Structural Discrimination	Social Norms Societal Structural Discrimination		
	Health Care System	Insurance Coverage Health Literacy Treatment Preferences	Patient-Clinician Relationship Medical Decision-Making	Availability of Services Safety Net Services	Quality of Care Health Care Policies		
Health Outcomes		Individual Health	Family/ Organizational Health	合 Community 合合 Health	Population Health		

National Institute on Minority Health and Health Disparities, 2018

\*Health Disparity Populations: Race/Ethnicity, Low SES, Rural, Sexual and Gender Minority
Other Fundamental Characteristics: Sex and Gender, Disability, Geographic Region

Retrieved from: National Institute on Minority Health and Health Disparities (2017). NIMHD Research Framework. Retrieved from https://nimhd.nih.gov/researchFramework. Accessed on August 15: 2023

Fig. 1 National Institute on Minority Health and Health Disparities Research Framework



Table 1 Definitions of key terms used in the National Institute of Minority Health and Health Disparities (NIMHD) Research Framework

Term	Definition
Health disparity	A particular type of health difference that is closely linked with economic, social, or environmental disadvantage. Health disparities adversely affect groups of people who have systematically experienced greater social or economic obstacles to health based on their racial or ethnic group, religion, socioeconomic status, gender, age, or mental health; cognitive, sensory, or physical disability; sexual orientation or gender identity; geographic location; or other characteristics historically linked to discrimination or exclusion
Social determinants of health	Conditions in the environments in which people are born, live, learn, work, play, worship, and age that affect a wide range of health, functioning, and quality-of-life outcomes and risks
ENDS/e-cigarettes	Vapes, vaporizers, vape pens, hookah pens, electronic cigarettes (e-cigarettes or e-cigs), e-cigars, and e-pipes are some of the many tobacco product terms used to describe electronic nicotine delivery systems (ENDS)
Health equity	The absence of disparities or avoidable differences among socioeconomic and demographic groups or geographical areas in health status and health outcomes such as disease, disability, or mortality

Sources: U.S. Centers for Disease Control and Prevention, CDC's Office of Health Equity, U.S. Food and Drug Administration, World Health Organization

# **Methods**

# **Search Strategy**

To identify relevant studies, a medical librarian (MF) conducted an initial search on MEDLINE to identify index terms. Medical subject heading (MeSH) analysis was then performed on key articles provided by the research team for each database, with search terms iteratively translated and refined. The final set of terms were then used to formally search MEDLINE, Embase, PsycInfo, and Web of Science.

To maximize sensitivity, the formal search used controlled vocabulary terms and synonymous free-text words to capture the concepts of the NIMHD framework and ENDS (See all search terms in the Supplementary Materials). The search strategy was reviewed by an independent librarian, using the Peer Review of Electronic Search Strategies (PRESS) standard to make sure the search strategy in this scoping review is comprehensive and unbiased. Search terms included diverse population subgroups at-risk for harm by tobacco and nicotine products such as people who identify with groups defined by race and ethnicity, gender identity, sexual orientation, rurality, diagnosed health conditions, or socioeconomic status.

# **Inclusion and Exclusion Criteria**

We included peer-reviewed articles published in English among US adult populations from 2007 (the year e-cigarettes entered the US market) to 2022. However, upon uncovering past scoping reviews and to focus on use behaviors synchronic with more recent changes in the ENDS marketplace, we revised the years covered in this scoping review to include literature published between January 2019 and April 2022. We included studies focused on adults

30 years and older. When age groups were not explicitly defined, we only included studies where 50% or more of participants were 30 years or older. We also included studies with a mean age higher than 30 years if our target age group was intermixed with younger adults or youth. Studies conducted outside of the USA, qualitative studies, case reports, commentaries/editorials, articles about models or methods, meta-analyses, and systematic reviews were excluded. We excluded articles outside of the USA because the NIMHD framework was originally developed for the US context and may require adaptation for use in other countries or sociopolitical contexts.

# **Study Selection**

The search results were pooled in EndNote and de-duplicated [http://www.endnote.com]. Then, this set was uploaded to Covidence [http://www.covidence.org] for screening. Two independent reviewers screened the titles and abstracts to exclude any papers that met our exclusion criteria. Potentially relevant sources were retrieved in full, and two reviewers read the text to determine their eligibility for this review. Reasons for exclusion were recorded. At each stage, disagreements between reviewers were resolved through discussion or review by a third reviewer [20].

#### Results

Overall, 98 articles met criteria (see the annotated reference list for review articles). Analyses of the 98 articles are presented, organized by the populations studied and the elements of the NIMHD research framework that were examined. While terms describing demographic and socioeconomic groups evolve, we have used language found within the source articles. Groups classified by race



and/or ethnicity garnered the most attention for analysis and reporting (39.8%), followed by people from lower socioeconomic positions (23.5%), people with physical illnesses/health conditions (17.3%), people with lower educational attainment (12.2%), people with substance use disorder (10.2%), people with mental health conditions (9.2%), sexual and gender minority populations (6.1%),

and women of reproductive age (3.1%) (Table 2). Other populations studied included people in urban areas (5.1%), people in rural areas (3.1%), and active or veteran members of the military (3.1%). Other groups examined in studies included people who reported adverse childhood experiences, immigrants, and people sleeping less than recommended.

**Table 2** Characterization of articles included in the scoping review of ENDS use guided by the NIMHD research framework

Characteristic or factor	N = 98	Percent
Study type		
Observational	93	94.9%
Randomized control trial	5	5.1%
Populations examined in studies		
People from racially and ethnically diverse groups	39	39.8%
People from lower socioeconomic positions	23	23.5%
People with physical illnesses	17	17.3%
People with lower educational attainment	12	12.2%
People with substance use disorder(s)	10	10.2%
People with mental health conditions	9	9.2%
Sexual/gender minoritized populations	6	6.1%
Urban populations	5	5.1%
Focus on women of reproductive age	3	3.1%
Rural populations	3	3.1%
US military or veterans	3	3.1%
Geographic distribution of studies in the US		
National	68	69.4%
Local or state	30	30.6%
HHS Region 1 (CT, ME, MA, NH, RI, VT)	2	2.0%
HHS Region 2 (NJ, NY, Puerto Rico, the Virgin Islands)	1	0.1%
HHS Region 3 (DE, DC, MD, PA, VA, WV)	0	0.0%
HHS Region 4 (AL, FL, GA, KY, MS, NC, SC, TN)	2	2.0%
HHS Region 5 (IL, IN, MI, MN, OH, WI)	5	5.1%
HHS Region 6 (AR, LA, NM, OK, TX)	5	5.1%
HHS Region 7 (IO, KS, MO, NE)	0	0.0%
HHS Region 8 (CO, MT, ND, SD, UT, WY)	0	0.0%
HHS Region 9 (AZ, CA, HA, NV, American Samoa, Northern Mariana Islands, Micronesia, Guam, Marshall Islands, Palau)	4	4.1%
HHS Region 10 (AK, ID, OR, WA)	2	2.0%
More than one HHS region	9	9.2%
NIMHD framework domain of influence examined		
Biological	9	9.2%
Behavioral	84	85.7%
Physical/built environment	12	12.2%
Sociocultural environment	72	73.5%
Health systems	18	18.4%
Health equity level of influence examined		
Individual	95	96.9%
Interpersonal	12	12.2%
Community	20	20.4%
Societal	20	20.4%

The U.S. Department of Health and Human Services (HHS) has defined regions to facilitate and maintain partnerships with state, local, and tribal leaders



# **People from Racially and Ethnically Diverse Groups**

The included studies primarily used race and ethnicity variables for stratified analysis or statistical controls. Studies that examined racial and ethnic differences in ENDS use or risk perceptions frequently compared non-Hispanic white (NHW) populations to non-Hispanic black (NHB) and/or Hispanic/Latinx (Hispanic) populations [21, 21, 22, 22, 23, 23–29]. Moreover, although 40–50% of all combustible cigarette smokers reported using ENDS for smoking cessation, one study found that NHB people who smoke were less likely than NHW and Hispanic people to use ENDS for smoking cessation [21, 30–34, 34, 34, 35, 35, 36, 36, 3635].

# People from Low Socioeconomic Positions or with Low Educational Attainment

Recent studies have documented an increase in ENDS use among populations with lower socioeconomic status such as people experiencing food insecurity [37•], those who had their last routine medical checkup more than 5 years prior to the survey [30•] and those who have completed lower levels of education [38•]. While many studies found that low income people were less likely than those with more income to use ENDS for smoking cessation, one study observed that people of low socioeconomic status were more likely to choose harm reduction behaviors (i.e., switching from combustible cigarettes to ENDS) over harm elimination behaviors (i.e., quitting tobacco use) when compared to people with more household income [39•]. Another study found that among dual users of ENDS and cigarettes, people with lower income were more likely to become exclusive users of combustible cigarettes [22••]. Lower levels of income, education, and employment were associated with lower levels of ENDS use for sustained combustible cigarette cessation [25, 40–4230].

# **Sexual/Gender Minority (SGM) Populations**

Higher rates of ENDS use among people who identified as lesbian, gay, bisexual, transgender, or queer were observed. Pregnant women who identified as lesbian were more likely to report dual use or ENDS use during their third trimester of pregnancy when compared to heterosexual women who were also pregnant [8, 26, 27, 33, 43–4545].

#### **People with Physical Illnesses and Health Conditions**

People affected by cancers, cardiovascular diseases, oral/dental conditions, human immunodeficiency virus (HIV), independent

living disabilities, and asthma were included in ENDS studies that described people with physical illnesses or health conditions [46, 47, 47–56]. Survivors of tobacco-related cancers had a higher prevalence of current ENDS and combustible cigarette use compared to survivors of non-tobacco-related cancers [51•]. People diagnosed with respiratory disease, heart failure, stroke, tobacco-related cancers, oral HPV-16 infection, chronic lung disease, and cancer survivors had a higher likelihood of using ENDS compared to people without such diagnoses [14, 51, 52, 54, 57–5960].

Some studies of vaping found that people using both devices with nicotine only and those with non-tobacco substances such as nicotine-free solutions or marijuana had increased odds of illness. For example, the odds of lung disease among people who reported never using tobacco were higher among daily ENDS users than among people who never used ENDS [61•]. The odds of having asthma, but not chronic obstructive pulmonary disease, were higher among women of childbearing age who currently used ENDS and did not have a history of combustible cigarette use, compared to non-tobacco users [48•]. Finally, significant associations were found between dual use (i.e., ENDS and combustible tobacco use) and asthma, some cancers, gastrointestinal upset, history of stroke, heart failure, lung disease, respiratory conditions, and sleep problems [14, 26, 57, 58, 61–66].

## Geography

Most studies relied on US national data, followed by data collected at the state or local level (Table 2). Nine studies crossed state lines and included more than one US Department of Health and Human Services region [12, 25, 32, 67–7475].

# Dual Use, Smoking Cessation, and Other Substance Use Health Disparity Populations

Dual use of ENDS and combustible cigarette smoking are the most frequently examined behavior in included studies of ENDS use among adults. The studies in this review found that people with physical and mental health comorbidities were more likely to engage in dual use (i.e., use ENDS and combustible cigarettes) [14, 22, 28, 36, 36, 43, 43, 46, 47, 76–84]. In the context of potential menthol bans at the state and national levels, one study found that people who smoke menthol cigarettes may most benefit from the use of ENDS for cessation purposes [85•].

The use of other substances was frequently studied in relation to ENDS use [25, 25, 72, 86, 86, 87, 87–9091].

Patients with mental health conditions or symptoms such as anxiety, depression, serious psychological distress, and other mental health conditions were more likely to try ENDS, be current ENDS users, and be at risk for future ENDS use. [76, 92–9690].



## NIMHD Framework: Domains of Influence

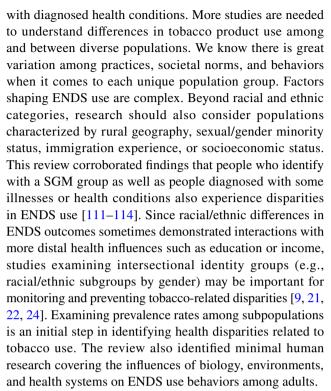
Most studies considered behavioral (85.7%) or sociocultural environmental (73.5%) domains of influence on ENDS use. Biological (9.2%), built/physical environment (12.2%), and health system (18.4%) influences were less likely to be examined as factors influencing ENDS use or perceptions in existing research. The NIMHD framework defines behavioral influences as areas of influence over the life course that include health behaviors, coping strategies, functioning in roles (family) and locations (school/work/community), and policies and laws intending to guide behaviors and actions [17]. Most studies were cross-sectional and examined the use of ENDS as a predictor or outcome using comparison groups of non-users or users of combustible cigarettes [17, 22, 25, 30, 31, 35, 37, 42, 46, 56, 69, 71, 74, 89, 90, 96–102103].

#### NIMHD Framework: Levels of Influence

The studies overwhelmingly focused on individual-level factors (96.9%) influencing ENDS use. Fewer studies examined the influence of interpersonal (12.2%), community (20.4%), or societal (20.4%) level factors on e-cigarette use. Interpersonal levels of influence examined in selected studies included household, peer, familial, and relationship factors such as trusted sources of information [45, 75, 87, 104–107]. Community levels of influence explored were community norms and neighborhood factors [24, 26, 45, 73, 74, 74, 88, 90, 104, 104, 108, 108, 109110].

#### Discussion

This scoping review examined ENDS use across various subgroups and circumstances to understand patterns and gaps in recent research. Published research tends to describe ENDS use based on US national surveys. Research examining relationships between subpopulations and contextual influences was rarely the primary focus of research; such analyses exist in the form of covariates or stratified analysis, suggesting that different research types may advance or extend current insights. For example, research examining the influence of physical environments on dual use or ENDS use for cessation for certain subgroups or understanding how individuals from subgroups access and engage with health information might help develop novel behavioral interventions. We observed that people categorized by racial and ethnic groups were the most examined in the literature, but there is a need for additional research to understand individual and societal-level factors that lead to ENDS use not only among various health disparity populations but also among people who smoke mentholated tobacco products or people



Other research gaps identified by this review include the circumstances and effectiveness of ENDS for quitting combustible cigarettes or harm reduction, longitudinal studies of ENDS and health outcomes, and understanding ENDS prevention and cessation among adults 30 years and older. While many people may turn to ENDS as a cessation aid or to reduce smoking cigarettes, the literature suggests that there is much to learn about whether or under what conditions ENDS use can be effective as a smoking cessation aid, especially for some of the subgroups identified in this review. The current Cochrane review on ENDS for combustible tobacco cessation found high certainty evidence that those randomized to nicotine e-cigarettes were more likely to abstain from combustible tobacco use after 6 months of follow-up than those randomized to nicotine replacement therapy (approximately 4 more quitters per 100 people); however, much of the ENDS for combustible tobacco cessation literature is characterized by low to moderate certainty, high or uncertain risk of bias, and imprecise estimates [16]. Research beyond ENDS efficacy for cessation could examine whether and how ENDS as a combustible tobacco cessation tool affects population subgroups differently. Future research could also focus on developing, testing, and disseminating interventions to support more equitable opportunities to promote health and well-being. Such interventions may include using ENDS for combustible smoking cessation in subpopulations that may benefit most or preventing ENDS use among subpopulations that would otherwise not use tobacco products to minimize harm.



This review examined articles about adults ages 30 years and older which tended to describe and assess use behaviors and health effects. However, studies focused on youth and younger adults may have examined a broader range of articles such as those focused on ENDS marketing and policy implementation. Though fewer in number than articles examining individual-level factors, the articles focused on community and societal-level influences in this review serve as persuasive, evidentiary companions to studies of marketing [115, 116] and policies [117, 118] that demonstrate the layered milieux of influences that foster or deter ENDS initiation, use, or cessation. Among studies seeking to explore differential use, there was significant variation in the comparison groups selected for comparison to ENDS uses. Some studies compared ENDS users to users of tobacco products; other studies relied on never users. Another limitation of this study is the lack of uniform age groupings in research studies. The excluded studies focused on young adults defined them differently (e.g., 18–25 years, 18-29 years, and 18-34 years). We excluded studies where most of the population was under 30 years, which may limit the representativeness of the findings for studies that include all people ages 30 years and older. Moreover, since the NIMHD framework was originally developed to examine minority health and health disparities within the US context, we only included US studies in this review. The framework may be adapted to apply to international or global research; however, such adaptation was not feasible due to the constraints of this review.

### **Conclusions**

Advancing health equity in the arenas of nicotine and tobacco use requires understanding the current state of affairs, documenting disparities in use rates, and recognizing the research knowledge and gaps that inform policy and public health decision-making. Using the NIMHD research framework to assess domains and levels of influence around a phenomenon of interest is one way to monitor the growth and development of scientific knowledge production that may benefit health for all people. Structured monitoring with such a framework could support generative and corrective actions to promote more equitable conditions for health and health research. Though tremendous progress has been made documenting tobacco-related disparities, deliberate attention is required to understand novel and emerging tobacco and other nicotine products. This review used the NIMHD framework to identify abundant opportunities for future research as well as conceptual domains that might help researchers better document and design studies that examine the complex interactions between domains and levels of influence that shape ENDS-related phenomena.

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#### **Declarations**

Human and Animal Rights and Informed Consent N/A.

**Conflict of Interest** The authors declare no competing interests.

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