



Mechanisms of Stent Failure: Lessons from IVUS and OCT

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Abstract

Purpose of Review Despite significant advances in stent design and procedural technique, stent failure remains the “Achilles’ heel” of percutaneous coronary intervention (PCI). It is important to understand the mechanism of stent failure to prevent major adverse events and improve clinical outcomes.

Recent Findings Two-dimensional angiography alone is insufficient for elucidating the etiology of stent failure. Intracoronary imaging modalities, including intravascular ultrasound (IVUS) and optical coherence tomography (OCT) have evolved to guide optimal stent placement during PCI, and have enabled identification of the etiology behind stent failure.

Summary In this review, we discuss the mechanisms of stent failure, use and limitations of intracoronary imaging (IVUS and OCT) to assess its etiology, and future directions for its use in patients undergoing coronary stent implantation.

Keywords Stent failure · Percutaneous coronary intervention · Intravascular ultrasound · Optical coherence tomography · In-stent restenosis · Stent thrombosis

Introduction

Stent failure, comprised of in-stent restenosis (ISR) and stent thrombosis (ST), is a well-known complication of percutaneous stent implantation [1•]. The factors associated with ISR

include neointimal hyperplasia, neoatherosclerosis (NA), stent fracture, and stent underexpansion [2, 3], while risk of ST is associated with suboptimal stent deployment, including inappropriate stent sizing, edge dissection, stent malapposition, NA, and uncovered stent struts [4, 5] (Fig. 1). Traditionally, two-dimensional angiography has been used to assess plaque characteristics, vessel dimensions and adequacy of stent expansion, but its use is limited to visualization of the coronary lumen. Intracoronary imaging with IVUS or OCT has evolved as an effective imaging modality for identifying the mechanism of stent failure and for optimizing acute procedural results and improving clinical outcomes of percutaneous stent implantation [6••].

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Mechanism/Pathophysiology of Stent Failure

Percutaneous coronary intervention (PCI) has evolved over the past 40 years. Bare metal stents (BMS) reduced vessel recoil associated with balloon angioplasty, but these benefits were offset by ST [1•] and the occurrence of neointimal hyperplasia several months after PCI leading to ISR [7]. This led to the development of first-generation drug-eluting stents (DES) with a durable polymer and anti-proliferative drug to reduce ISR [8]. However, there was an increase in late and

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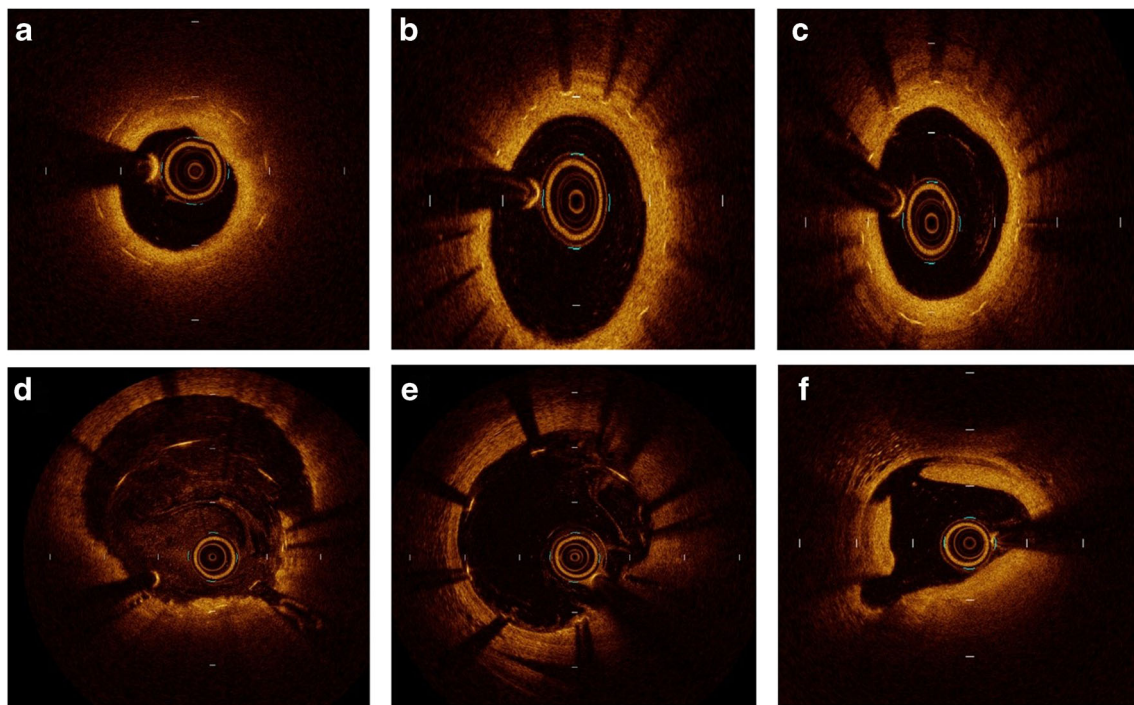


Fig. 1 OCT images showing neointimal hyperplasia (a, b, c), stent malapposition (d, e) and distal edge dissection (f)

very late ST due to delayed re-endothelialization [8] secondary to chronic inflammation and hypersensitivity reactions due to the durable polymer [9]. Thus, second-generation DES with different drugs and release kinetics, more biocompatible and bioresorbable polymers, and thinner stent struts were developed, leading to an incremental decrease in the rates of ISR and ST. [10, 11] To promote recovery of endothelial function and vascular remodeling and thereby reduce the risk of late and very late ST caused by DES, bioresorbable vascular scaffolds were developed [12]. These devices provide transient mechanical support along with antirestenotic drug delivery, but ultimately, are completely resorbed. However, due to late scaffold thrombosis, the first generation of these devices has not been broadly adopted in clinical practice, remains investigational, and is not approved by the US Food and Drug Administration.

In-stent Restenosis

Neointimal hyperplasia and negative vascular remodeling are the pathophysiological processes underlying ISR [13]. There are several predictors for ISR including diabetes, small vessel diameter, longer lesion length, multiple stents, and chronic total occlusion (CTO) [14, 15]. Final luminal diameter and degree of stent expansion are major determinants of ISR as demonstrated by studies involving IVUS and angiography. Factors such as stent fracture and NA are other notable causes [16, 17]. However, these factors are underappreciated with

standard 2-dimensional angiography and typically require IVUS or OCT for detection [18]. OCT has a 10-fold higher image resolution than IVUS (OCT 10 μm and IVUS 100 μm) as it uses light that has a shorter wavelength than sound, thereby increasing its ability to detect differences in tissue composition, cell density, and orientation [19, 20]. OCT can therefore more clearly identify certain causes of late stent failure including NA and uncovered stent struts, which are associated with ST. [3, 21]

Stent and Scaffold Thrombosis

Intracoronary imaging studies using IVUS [22–24] and OCT [4, 25, 26] have identified multiple factors associated with ST (Table 1). Due to the ability to differentiate thrombus from other tissue components, OCT is often preferred over IVUS for evaluation of ST. [6••] Therapy for ST can be tailored towards OCT findings; however, there are no prospective trials to support this strategy [6••]. An important consideration to note is that malapposition, though a common finding after stent implantation, was not found to be clinically significant in a large OCT follow-up study [30] and may not require treatment unless there is associated under expansion [31].

Bioresorbable vascular scaffolds (BVS) with completely resorbable polymers after drug elution for a year were developed with the goal of reducing late events secondary to chronic inflammation and incomplete endothelialization secondary to permanent polymers of DES [32]. However,

Table 1 Selected studies demonstrating the mechanism of stent thrombosis by intracoronary imaging

Study	N	Intracoronary imaging	Type of stent failure	Mechanisms of stent thrombosis
Alfonso, 2004 [22]	50	IVUS	Early ST (<i>n</i> = 12); BMS	Underexpansion, malapposition, edge dissection, inflow-outflow disease
Choi, 2011 [23]	464 (349 DES, 115 BMS)	IVUS	Early ST (<i>n</i> = 12); all DES* Late/VLST ST (<i>n</i> = 4) all DES*	Minimum lumen area (MLA) < 5 mm ² , residual stenosis, edge dissection, or tissue protrusion
Lee, 2010 [24]	30 (23 DES, 7 BMS)	IVUS	VLST (<i>n</i> = 30)	Malapposition and disease progression more common with DES, neointimal rupture more common with BMS
Adriaenssens, 2017 [27]	231 (110 BMS, 121)	OCT	Early ST (<i>n</i> = 62, 28.6%) Late/VLST (<i>n</i> = 155, 71.4%)	Uncovered struts (66.7%) were most common finding for acute ST; uncovered struts (61.7%) and underexpansion (25.5%) in subacute ST; uncovered struts (33.3%), severe restenosis (19.1%) for late ST; neoatherosclerosis (31.3%) and uncovered struts (20.2%) for VLST.
Souteyrand, 2016 [26]	120 (47 BMS, 71 DES)	OCT	Early ST (<i>n</i> = 5) Late ST (<i>n</i> = 7) VLST (<i>n</i> = 90)	Malapposition (31%) and neoatherosclerosis (28%) were more common in late and VLST; malapposition (48%) and underexpansion (26%) were more common in early ST.
Prati, 2015 [4]	63 (48 BMS, 15 DES)	OCT	Subacute ST (<i>n</i> = 21)	Higher proportion of stent edge dissection (52.4% vs. 9.5%), underexpansion (42.8% vs. 16.7%) were more common in subacute ST compared with controls.
Taniwaki, 2016 [25]	64 (all DES)	OCT	VLST (<i>n</i> = 64)	Common causes for VLST included malapposition (35%), neoatherosclerosis (28%), uncovered struts (12%), and stent underexpansion (7%).
Sotomi, 2017 [28]	43 (all BVS)	OCT/IVUS	Early ScT (<i>n</i> = 17) Late ScT (<i>n</i> = 26)	Early ScT was associated with malapposition (24%), device underexpansion (12%), incomplete lesion coverage (18%); late ScT late and VLScT were associated with malapposition (35%), scaffold discontinuity (31%), scaffold underexpansion (15%), peristrut low-intensity area (19%), uncovered struts (15%), restenosis (8%), incomplete lesion coverage (12%), and scaffold recoil (12%).
Yamaji, 2017 [29]	36 (all BVS)	OCT	VLScT	VLScT was associated with scaffold discontinuity (42.1%), malapposition (18.4%), neoatherosclerosis (18.4%), scaffold recoil (10.5%), uncovered struts (5.3%), and edge-related disease progression (2.6%)

*Paclitaxel-eluting stents; VLST, very late ST; ScT, scaffold thrombosis; VLScT, very late scaffold thrombosis

scaffold thrombosis remains a problem [33] with intracoronary imaging trials demonstrating multiple underlying associated factors [[3, 28]] (Table 1). In porcine models, a complete resorption of the poly(L-lactide) (PLLA) Absorb scaffold (Abbott, Chicago, IL) occurred within 3 years [34]. However, very late scaffold thrombosis (ScT) associated with preserved box-shaped appearance was noted to occur as late as 44 months at an advanced stage of scaffold resorption secondary to scaffold discontinuity and restenosis during the resorption process [35]. It is important to note that scaffold discontinuity related to late resorption has no clinical significance if scaffold structures are not protruding into the lumen [30,

35]. Protrusion of scaffold structures into the lumen can occur during implantation due to excessive stretching and fracture of polymer, or late/very late during resorption due to excessive biomechanical stress or iatrogenic mechanical causes such as disruption by catheters, thus making the case for prolonged dual antiplatelet therapy and careful instrumentation of these scaffolds [32, 36]. Since IVUS is less sensitive than OCT in the detection of strut disruption or discontinuity, OCT is the imaging modality of choice for evaluating ScT, particularly when overexpansion or oversizing is suspected [30, 37]. Table 1 demonstrates selected studies evaluating the mechanism of stent failure in bioresorbable vascular scaffolds.

Intra-procedural Predictors of Stent Failure

Stent Sizing OCT and IVUS use can decrease the incidence of long-term stent failure. Current data show that post-PCI minimal stent area (MSA) is the most reliable predictor of both ISR and ST. [38, 39] Small post-procedural MSA (smallest ≤ 5.0 mm² and intermediate 5.0–6.7 mm²), creatinine clearance, prior stroke, CTO, and lesion SYNTAX score were independent predictors of target lesion revascularization (TLR) at 2 years in the SYNTAX II trial [40]. A 5.5-mm² MSA cut-off value for the prediction of angiographic ISR can be used for sirolimus-eluting stents (sensitivity 72.2% and specificity 66.3%), 5.3 mm² for zotarolimus-eluting stents (sensitivity 56.7% and specificity 61.8%), < 5.4 mm² for everolimus-eluting stents (sensitivity 60.0% and specificity 60.0%), and 5.7 mm² for paclitaxel-eluting stents (PES) [(38, 41)].

During IVUS-guided DES implantation, plaque burden 5-mm proximal or distal to the stent edge $< 50\%$, MLA > 5.0 mm², or 90% of the MLA at the distal reference segments, and no edge dissection that involves the media with a length > 3 mm correlate with significantly improved clinical outcome including reduced target vessel failure (TVF) at 1 year in an all-comers population [42]. In a RCT, including 1,448 patients, IVUS guidance for left main PCI also was associated with a significant reduction in ST (RR, 0.48; $P = 0.01$) compared with angiographic guidance [42].

In an OCT registry, OCT-MSA (< 5 mm² for DES and < 5.6 mm² for BMS) was an independent predictor of TLR, cardiac death, ST, and myocardial infarction (MI) [39]. OCT-guided stenting showed improved procedural outcomes, in-hospital events, and long-term survival compared with traditional angiography-guided stent implantation [43]. This can be attributed to the ability of OCT to delineate the neointimal patterns and NA in ISR, which can guide the choice of treatment [44]. OCT imaging revealed that, compared to BMS, DES are more likely to develop NA with ISR [45].

Post-dilation using the distal lumen as a reference appears to be an effective way to prevent stent under expansion. IVUS can detect the external elastic membrane diameter of the vessel wall, while OCT fails to detect the vessel wall accurately. However, both IVUS and OCT can be used to measure the vessel wall using the lumen-based approach. Despite the unique advantages of each modality, the MLA measured by OCT is about 10% smaller than the MLA measured by IVUS. In addition, the reference site lumen measurement appears to be smaller with OCT, which can impact stent sizing. The OPINION study, a prospective randomized study including 829 patients comparing IVUS vs. OCT, demonstrated a significantly higher average stent size when applying lumen-based stent sizing (2.99 ± 0.39 mm vs. 2.92 ± 0.39 mm, $p = 0.0005$) [46]. There was no significant difference in in-stent (1.6% vs. 1.6%, $p = 1.0$) or in-segment restenosis rates (6% vs. 6.2%, $p = 1.0$) between both groups at 8-month follow-up

[46]. The ILUMIEN III trial compared 450 patients between IVUS and OCT and demonstrated that the minimum stent area with OCT was non-inferior to IVUS or angiography (5.79 mm² vs. 5.89 mm² vs. 5.49 mm²) respectively [47]. However, data regarding post-PCI OCT measurement to predict physiological ischemia or clinical outcomes are still limited pending results from the ongoing large-scale randomized ILUMIEN IV study (NCT03507777), which is expected to be completed by July 31, 2022.

Strut apposition refers to the proximity of stent struts to the arterial wall, while stent malapposition refers to stent under expansion and lack of contact with the vessel wall. Stent malapposition can occur immediately following the procedure or chronically due to vascular inflammation and vessel remodeling.

OCT-guided PCI using the ILUMIEN III: OPTIMIZE PCI protocol [47] establishes stent length, diameter, and expansion, resulting in safe and similar MSA to that of IVUS-guided PCI. Early vascular healing post-DES placement contributes to a reduction in both the presence and severity of stent malapposition. However, malapposition that exceeds 400 μ m is associated with a higher chance of long-term persistent malapposition and impaired vascular remodeling [48]. A meta-analysis by Hassan revealed that the risk of late acquired stent malapposition is strongly increased after DES implantation compared with BMS and is associated with late and very late ST. [49]

OCT guidance showed improved strut coverage at 3 months compared with angiography-guided DES implantation with an average 2.8% absolute reduction in the percentage of uncovered struts [48]. The larger the acute incomplete stent apposition, the greater is the likelihood of persistent stent malapposition and delayed healing on follow-up [50]. IVUS and OCT complement each other in the diagnosis and elucidation of incomplete stent apposition mechanisms. OCT-detected uncovered stent struts and positive vessel remodeling detected on IVUS were associated with late ST after PCI [51].

Longitudinal stent deformation leads to increased risk of ST and ISR [52]. The introduction of cobalt-chromium and platinum-chromium alloys in stents, along with a reduced number of fixed links between stent cells, are potential risk for longitudinal stent deformation [52]. IVUS and OCT can delineate protrusion of struts and affirm malapposition of struts caused by longitudinal deformation [53].

Longitudinal geographical miss (GM) is defined as an angioplasty-injured or diseased segment not covered by a stent. GM is associated with an increased risk of TLR and a 3-fold increase in myocardial infarction at 1 year [54]. Intracoronary imaging using IVUS and OCT can precisely identify the landing zone and facilitate appropriate length selection.

Tissue protrusion (TP) defined as extrusion of atherothrombotic material beyond the stent margins is hard to

appreciate on angiography while up to 34% of stented lesions displayed tissue protrusion on IVUS [55]. While presence of TP on IVUS did not show a significant difference in long-term major adverse cardiac events including cardiac death, MI, or target vessel revascularization at 1 year [56] or cardiac death, MI, or ST at 2 years [55] compared to those without, it was an independent predictor of acute and subacute ST. [56, 57] OCT enables more accurate visualization of tissue protrusion when compared with IVUS [47]. Irregular but not smooth or disrupted fibrous tissue protrusion was identified as an independent OCT predictor of 1-year device-oriented clinical endpoints driven by TLR [39]. Irregular protrusion reflective of moderate to severe vessel injury can be associated with thrombus [39] and has a high likelihood of medial disruption which is known to be associated with increased risk of occlusive DES restenosis [58].

Edge dissection involves intimal disruption at the stent margin and can occur in 5–23% of stent implantation. Edge dissections were associated with increased rates of in-hospital (11.9 vs. 5.2%, $P=0.017$) and 1-month MACE (13.4 vs. 6.0%, $P=0.013$), with similar 6-month trends [59]. Following DES implantation, residual edge dissection, particularly with a smaller effective lumen area, was associated with TLR at 1 year [60]. The presence of large, calcified, and/or attenuated plaques; residual plaque eccentricity; greater stent expansion; lumen-to-stent-edge-area ratio; and stent edge symmetry are predictors of edge dissections [61]. Both IVUS and OCT are excellent tools for identification of edge dissection. Edge dissection with a lateral extension of $>60^\circ$ and length of >2 mm identified by IVUS have been correlated with adverse events including early ST. [62] OCT has a higher resolution than IVUS and can identify less extensive edge dissections as demonstrated in the ILUMIEN III trial, which showed a two-fold higher identification of edge dissections compared with IVUS [47].

Intramural hematomas occur due to dissection into the vessel media with blood accumulation due to lack of re-entry and can lead to higher rates of NSTEMI, need for repeat revascularization, and sudden death post PCI [63]. In one study, intramural hematomas were identified by IVUS in up to 6.7% of PCIs and a third of IVUS-identified hematomas were missed on standard angiography [63]. However, OCT due to its higher resolution may better characterize intramural hematomas including assessment of the vessel wall and exclusion of atheroma [64].

Slow flow during PCI is another phenomenon that can occur in up to 16% of PCI and can be transient or permanent. OCT and IVUS can be utilized to decrease the incidence of slow flow by using a ratio of stent diameter to a vessel diameter of 0.71 or more following stent implantation PCI [65].

Current Recommendations, Limitations, and Future Directions for Use of Intracoronary Imaging In-Stent Failure

Both IVUS and OCT can readily identify stent under expansion and stent fracture, while OCT is preferred for identifying NA [3] and ST. [6••] Where thrombus burden is large, IVUS may be preferred since light attenuation may impair OCT evaluation of stent struts and the outer vessel wall [6••]. The 2011 ACCF/AHA/SCAI guidelines for PCI [66] and 2014 ESC guidelines on myocardial revascularization [67] give a class IIa recommendation for intracoronary imaging using IVUS/OCT to determine the mechanism of stent failure. This recommendation is further supported by an expert consensus document of the European Association of Percutaneous Cardiovascular Interventions that also maintains a similar class IIa recommendation for identifying the mechanism of stent failure [6••].

Despite the benefits of IVUS and OCT in establishing the factors predictive of stent failure and in optimizing stent implantation, there remain limitations to their use. First, although IVUS has been around for several decades, the use of OCT has only become prevalent in the past few years. Interventional cardiologists trained prior to the introduction of this technology may not be versatile with its use. The learning curve associated with image acquisition and interpretation can be overcome by attending courses and appropriate mentoring from experts familiar with this technology. Second, the time and costs involved in the use of intracoronary imaging must be weighed against the possible benefits of its use. Currently, there are limited data that stent optimization using intracoronary imaging improves long-term clinical outcomes in all comers. However, there is no doubt that this technology is beneficial in a subset of higher risk patients. Third, it may be challenging to pass the IVUS or OCT catheters in coronaries with excessive tortuosity, calcium, and bifurcation lesions, where their use may be of the most benefit. Evolving technology using high-resolution imaging, low-profile wires and sheaths, micro-OCT catheters, molecular analysis, and integration with coronary angiography can overcome this limitation and facilitate widespread use. Finally, complications with the use of intracoronary imaging can occur, but these are relatively rare and decrease with operator experience. Future studies should aim to create catheters that are more deliverable and cost-effective, and fellowship programs should ensure that all trainees are proficient with these devices.

Conclusions

Intracoronary imaging is an important tool in understanding the factors predictive of stent failure to guide appropriate

therapy and improve clinical outcomes. The operator must understand the advantages and limitations of the two imaging modalities and use them to optimize treatment. Future studies evaluating the role of intracoronary imaging for stent failure are necessary.

Compliance with Ethical Standards

Conflict of Interest All authors declare no conflict of interest.

Human and Animal Rights and Informed Consent This article does not contain any studies with human or animal subjects performed by any of the authors.

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