Dementia (J Pillai, Section Editor)



# **Diagnosis and Management of Autoimmune Dementia**

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#### Abstract

*Purpose of review* To describe the clinical, laboratory, and MRI features that characterize cognitive decline in the setting of central nervous system (CNS) autoimmunity, and provide an overview of current treatment modalities.

*Recent findings* The field of autoimmune neurology is rapidly expanding due to the increasing number of newly discovered autoantibodies directed against specific CNS targets. The clinical syndromes associated with these autoantibodies are heterogeneous but frequently share common, recognizable clinical, and MRI characteristics. While the detection of certain autoantibodies strongly suggest the presence of an underlying malignancy (onconeural autoantibodies), a large proportion of cases remain idiopathic. Cognitive decline and encephalopathy are common manifestations of CNS autoimmunity, and can mimic neurodegenerative disorders. Recent findings suggest that the frequency of autoimmune encephalitis in the population is higher than previously thought, and potentially rivals that of infectious encephalitis. Moreover, emerging clinical scenarios that may predispose to CNS autoimmunity are increasingly been recognized. These include autoimmune dementia/encephalitis post-herpes simplex virus encephalitis, post-transplant and in association with immune checkpoint inhibitor treatment of cancer. Early recognition of autoimmune cognitive impairment is important given the potential for reversibility and disability prevention with appropriate treatment.

*Summary* Autoimmune cognitive impairment is treatable and may arise in a number of different clinical settings, with important treatment implications. Several clinical and para-clinical clues may help to differentiate these disorders from dementia of other etiologies.

#### Introduction

The spectrum of neurological manifestations associated with autoantibodies directed against specific neural (neuronal or glial) targets has rapidly evolved during the last 30 years. Autoimmune dementia refers to a specific subgroup of autoimmune neurological disorders where impaired cognition represents the principal clinical manifestation, thus potentially mimicking neurodegenerative disorders (e.g., fronto-temporal dementia, Creutzfeldt-Jacob disease [CJD]) [1-3]. In this context, cognitive impairment may range from subtle decline in a single cognitive domain (e.g., isolated behavioral changes resembling psychiatric disorders) to impairment in multiple cognitive domains and a frank dementia [1, 4]. The clinical presentation of autoimmune encephalopathies/encephalitis, which by definition implies altered mental status, frequently overlaps with autoimmune dementia since impaired cognition represents one of the cardinal neurological features making a clear distinction difficult. [5]

#### Principles of autoimmune neurology

Autoimmune neurological disorders can be generically classified according to the type of antibodies they are associated with. In terms of disease pathophysiology, it is commonly accepted that antibodies directed against antigens expressed on the neural cell-surface are more likely to be pathogenic, inducing cell-damage/dysfunction or receptor internalization by direct binding to their accessible target. Conversely, antibodies targeting intracellular antigens (not accessible for direct binding in physiological conditions) are unlikely to be pathogenic and rather represent disease biomarkers of a cytotoxic T cell process. With few exceptions, disorders associated with antibodies targeting cell-surface antigens generally respond well to immunotherapy and are frequently idiopathic. On the contrary, antibodies targeting intracellular antigens typically predict poor immunotherapy response and high likelihood of underlying malignancies (onconeural antibodies) [6].

Demographic and clinical features of the main autoantibodies associated with cognitive impairment are summarized in Table 1 (cell-surface targets) and Table 2 (intracellular targets).

In clinical practice, detection of specific autoantibodies is not always possible or rapidly available, and it is likely that many autoantibodies are yet to be discovered. To facilitate early diagnosis and treatment, stringent diagnostic criteria for "seronegative" autoimmune encephalitis have been published [42••].

#### Epidemiology

The exact frequency of autoimmune dementia in the population is unknown. A population-based study conducted in Olmsted County (MN, USA) found the incidence and prevalence of autoimmune encephalitis to be broadly comparable to that of infectious encephalitis: incidence 0.8/100,000; prevalence 13.7/100,000. If antibody-positive cases only are considered, these numbers decrease to 0.4/100,000 and 6.5/100,000, respectively [43•]. Antibodies directed against MOG and GAD65 were the most frequently detected. In a prospective, hospital-based UK study, the autoimmune etiologies accounted for 21% of encephalitis over a 2-year period, while a study from the California encephalitis project found a similar frequency of anti-NMDAR and viral encephalitis in young individuals [44, 45]. Despite these results, it should be recognized that when compared to cognitive impairment of other etiologies (e.g., neurodegenerative, toxic/metabolic, traumatic), autoimmune dementia is much less common and care is needed in the evaluation of such patients to avoid overdiagnosis. Autoimmune dementia typically affects midlate adulthood (50-70 years of age) but may occur at any age (Tables 1 and 2). Female sex seems overall more affected although certain antibodies are associated with male predominance (e.g., anti-DPPX, anti-CASPR2/ LGI1).

#### **Diagnostic approach**

Autoimmune dementia typically presents as a complex clinical syndrome where impaired cognition is accompanied by other neurological manifestations, although isolated cognitive impairment is possible, especially at onset [46, 47]. A recent multicenter study showed that despite 80% of patients with seropositive autoimmune encephalitis having a typical presentation, the disorder was initially suspected in only 32% [48]. Identification of characteristic clinical syndromes associated with autoimmune dementia (see below) is fundamental given the potential reversibility of these diseases, in contrast to neurodegenerative dementias which are generally irreversible.

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Cell-surface target	Female sex (%)	Typical age of onset	Cognitive impairment (%)	Common clinical accompaniments	Cancer association (main cancer types)
AMPAR [7, 8]	65–90	60-70	100	LE and hyponatremia	64% (small-cell lung)
CASPR2 [9, 10]	10–25	60–70	40-80	Encephalopathy, Morvan's/Isaac's syndrome, and ataxia	10–20% (thymoma)
DPPX [11, 12]	10-40	50–60	80–100	GI symptoms (diarrhea, episodic severe weight loss) and sleep disturbances	10% (hematologic malignancies)
GABA <sub>A</sub> R [13]	50	40-50	67	Seizures/status epilepticus and movement disorders	40% (thymoma)
GABA <sub>B</sub> R [14–16]	40-65	60-70	80-100	LE and status epilepticus	50% (small-cell lung)
mGluR5 [17]	45	20-30	90	LE, viral-like prodromes, and seizures	64% (Hodgkin's lymphoma)
GlyRα1 [ <mark>18, 19</mark> ]	45	40-50	30	SPS and PERM	10% (thymoma, seminoma)
IgLON5 [20, 21]	50	60–70	30-40	Sleep disturbances, bulbar symptoms, and ataxia	Rare
LGI1 [ <mark>9, 22</mark> ]	35–40	60-70	90-100	LE, FBDS, and hyponatremia	1–10% (thymoma)
MOG [23-25]	50-70	30–40	Rare	ADEM, ON, and myelitis	Rare
Neurexin 3a [26]	80	40–50	60	Encephalopathy, viral-like prodrome, oro-facial dyskinesia, central hypoventilation, and positive ANA	Unknown
NMDAR [27, 28]	80–90	20–30	90–100	LE, psychosis, viral-like prodrome, dyskinesias, and central hypoventilation	40–60% (teratoma, usually ovarian)

 Table 1. Demographic and clinical characteristics of the main CNS autoantibodies targeting cell-surface antigens associated

 with cognitive impairment/encephalopathy according to the largest series reported

ADEM, acute disseminated encephalomyelitis; AMPAR,  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid receptor; ANA, anti-nuclear antibody; CASPR2, contactin-associated protein 2; DPPX, dipeptidyl aminopeptidase-like protein 6; FBDS, facio-brachial dystonic seizures; GABA<sub>A</sub>R,  $\gamma$ -aminobutyric acid type-A receptor; GABA<sub>B</sub>R,  $\gamma$ -aminobutyric acid type-B receptor; GI, gastrointestinal; mGluR5, metabotropic glutamate receptor 5; GlyRa1, glycine receptor subunit alpha-1; IgLON5, immunoglobulin-like cell adhesion molecule IgLON family member 5; LE, limbic encephalitis; LGI1, leucine-rich glioma inactivated 1; MOG, myelin oligodendrocyte glycoprotein; NMDAR, N-methyl-D-aspartate receptor; ON, optic neuritis; PERM, progressive encephalopathy with rigidity and myoclonus; SPS, stiff-person syndrome

In general, several clinical and para-clinical clues may suggest an autoimmune etiology in patients with new-onset cognitive impairment [49]:

- Acute/subacute onset (days/weeks) with fluctuating or rapidly progressive course.
- Strong personal/family history (first-degree relative) of autoimmunity.
- History of cancer, risk factors for cancer, or recent unexplained weight loss.
- Viral-like prodrome (e.g., fever, nausea, vomiting, fatigue).
- Neurological manifestations which are atypical for neurodegenerative disorders (e.g., new-onset seizures or seizures refractory to anti-epileptic drugs).

Intracellular target	Female sex (%)	Typical age of onset	Cognitive impairment (%)	Common clinical accompaniments	Cancer association (main cancer types)
AK5 [ <mark>29</mark> ]	30	60-70	100	LE	0%
Amphiphysin [30]	60	60–70	30	Encephalopathy, peripheral neuropathy, myelitis, and SPS	80% (breast, small-cell lung)
ANNA-1 (Hu) [31, 32]	55-65	60–70	10–20	LE, sensory neuronopathy, and autoimmune GI dysmotility	85–90% (small-cell lung)
ANNA-2 (Ri) [33]	65	60–70	10–20	Brainstem symptoms, opsoclonus-myoclonus, laryngospasm, jaw opening dystonia, and ataxia	75% (small-cell lung, breast)
CRMP5 (CV2) [34, 35]	30–60	60–70	40	Chorea, optic neuropathy/retinopathy, peripheral neuropathy, and myelitis	90% (small-cell lung, thymoma)
GAD-65 [ <mark>36</mark> , 37]	75–85	50–60	3–5	LE, SPS, ataxia, and seizures	8% (small-cell lung)
GFAP [38]	68	50–60	15–60	Meningo-encephalo-myelitis or limited forms, optic disc edema, tremor, and viral-like prodrome	35% (teratoma)
Ma2 (Ta) [39]	32	60–70	68	LE and diencephalic (narcolepsy/cataplexy) and brainstem syndrome	90% (testicular tumors)
NfL [40]	50	60–70	33	Ataxia, encephalopathy, and myelitis	76% (neuroendocrine [small-cell lung, Merkel cell])
PCA-2/ MAP1B [41]	70	60–70	30	Ataxia, LE, brainstem symptoms, and peripheral neuropathy	90% (small-cell lung)

 
 Table 2. Demographic and clinical characteristics of the main CNS autoantibodies targeting intracellular antigens associated with cognitive impairment/encephalopathy according to the largest series reported

AK5, adenylate kinase 5; ANNA-1/2, anti-neuronal nuclear antibodies type-1/2; CRMP5, collapsin response-mediator protein-5; GAD-65, glutamic acid decarboxylase-65; GFAP, glial fibrillary acidic protein; GI, gastrointestinal; LE, limbic encephalitis; NfL, neurofilament light chain; PCA-2/MAP1B, Purkinje cells antigens-2/microtubule-associated protein 1B; SPS, stiff-person syndrome

- Serologic evidence of systemic autoimmunity.
- Inflammatory cerebrospinal fluid (CSF) findings (pleocytosis, oligoclonal bands/high IgG index) or MRI (gadolinium enhancement).
- Suggestive neuroimaging abnormalities (see below).
- Epileptiform activity on electroencephalogram (EEG).

Objective assessment of cognition (e.g., Kokmen short test of mental status) is helpful to determine the severity of the clinical deficit and serves as a baseline from which to judge immunotherapy response with repeat testing [1].

#### Characteristic clinical syndromes

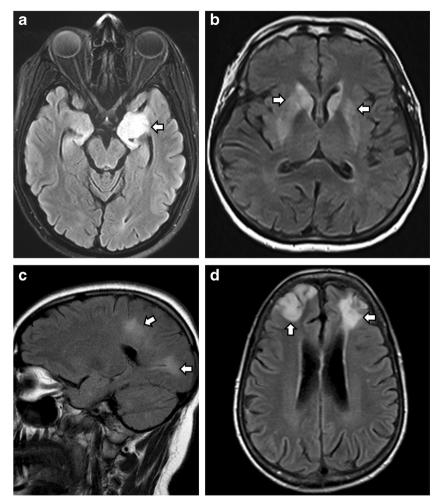
Limbic encephalitis (LE) is a common clinical manifestation of CNS autoimmunity and can be observed in association with a variety of different autoantibodies (Tables 1 and 2), or in seronegative forms [42]. Anti-NMDAR LE typically presents with rapid development of psychiatric symptoms, for which they are often initially seen by psychiatrists, and may have working memory impairment. A preceding, nonspecific viral-like prodrome (headache, fever, gastrointestinal, and upper respiratory tract symptoms) occurs in 70% of patients. The initial phase is generally followed by seizures, altered mental status, and catatonia which may be followed by central hypoventilation often requiring admission in intensive care units. Hyperkinetic movement disorders (e.g., oro-facial-lingual or limb dyskinesias) and dysautonomia are common accompaniments. In contrast to LE associated with other antibodies, anti-NMDAR LE is frequently seen in young women with an underlying tumor in half of cases (usually ovarian teratoma) [27]. Anti-CASPR2/LGI1 antibodies are also both associated with LE (18% and 43% of cases, respectively), and were formerly identified as anti-voltage-gated potassium channel (VGKC) antibodies [9]. It was later recognized that the clinically relevant antibodies actually bound specific proteins (CASPR2, LGI1) associated with the channel rather than the channel itself, while the clinical relevance of antibodies binding the VGKC but not CASPR2/LGI1 is now uncertain [50]. Facio-brachial dystonic seizures (FBDS) are episodes of dystonic posturing of the face, arm, or both lasting seconds at a time and occurring multiple times per day, and are a hallmark feature of anti-LGI1 autoantibodies [51]. They can mimic paroxysmal dyskinesia [52]. Ictal EEG during FBDS of these patients is frequently normal, possibly due to a deep brain origin of the seizures, and can lead to the erroneous presumption of non-epileptic behavioral spells [9, 51]. When occurring at disease presentation, early treatment of FBDS may prevent cognitive impairment from developing [53••]. Seizures that occur multiple times per day or other paroxysmal events (e.g., paroxysmal dizzy spells) are also common with LGI1 autoantibodies [9]. Peripheral nerve hyper-excitability or Isaac's syndrome (muscle cramps, fasciculations, and stiffness, occasionally with neuromyotonia) is commonly seen with anti-CASPR2 antibodies, sometimes in association with hyperhidrosis, insomnia, and encephalitis (Morvan's syndrome) [9]. Importantly, in a large single-center study older age (over 50 years) was able to predict CNS involvement regardless of the antibody subtype (CASPR2 vs LGI1 antibodies) [9]. Diffuse rigidity of central origin is seen with anti-GAD65 and anti-GlyR $\alpha$ 1 antibodies and can be accompanied by encephalopathy (progressive encephalopathy with rigidity and myoclonus or stiff-person-syndrome plus) [18, 36]. Status epilepticus is a common initial presentation of anti-GABA<sub>B</sub>R antibodies, while narcolepsy and cataplexy can be an initial manifestation of anti-Ma2

antibodies. The presence of jaw-opening dystonia, opsoclonus myoclonus, and laryngospasm is suggestive of antibodies to ANNA-2/anti-Ri [54].

An insidious clinical presentation over weeks/ months is characteristic of anti-DPPX and anti-IgLON5 antibodies. Unexplained weight loss with or without diarrhea is common with anti-DPPX antibodies but dysautonomia and sleep disturbances are also frequently encountered [55]. Sleep disturbances (e.g., insomnia, parasomnias, limb movements, and obstructive sleep apnea) are a hallmark feature of anti-IgLON5 disease, often with bulbar dysfunction and gait/postural instability that may mimic progressive supranuclear palsy [56]. A meningo-encephalo-myelitis, often accompanied by tremor and optic disc edema has been described with CSF anti-GFAP antibodies [38]. These patients typically show excellent response to steroids which is unusual as GFAP is intracellular; further studies are needed to better understand this disorder.

#### Neuroimaging

Brain MRI with and without gadolinium administration is fundamental to identify abnormalities suggestive of inflammation/autoimmunity, and to exclude structural causes of cognitive impairment. The typical MRI pattern of LE is characterized by unilateral or bilateral T2/fluidattenuated inversion recovery (FLAIR)-hyperintensity of the mesial temporal lobes, with or without contrast enhancement (Fig. 1a) [42]. This pattern is not specific and may be seen in several other disorders, including epileptic seizures/status epilepticus, gliomas, and herpes simplex virus (HSV) encephalitis [57]. However, a normal MRI is not uncommon in LE [42]. Basal ganglia T2hyperintensity can be seen with anti-CRMP5 antibodies, typically manifesting clinically as chorea (Fig. 1b), or other antibodies (e.g., anti-NMDAR, anti-Ma2), while T2/T1 hyperintensities may occasionally accompany FBDS associated with anti-LGI1 antibodies [39, 58-60]. In contrast to CJD, basal ganglia abnormalities in autoimmune encephalitis typically do not show restricted diffusion on DWI [61]. Diencephalic involvement suggests anti-Ma2 antibodies [39]. Multifocal/extensive white matter involvement and encephalopathy are typically seen with anti-MOG antibodies (Fig. 1c), but may also rarely occur with anti-aquaporin-4 (AQP4) antibodies at disease presentation [62, 63]. Patients with anti-MOG antibody encephalitis may rarely show unilateral or bilateral isolated cortical T2/FLAIRhyperintensity [64]. Asynchronous, multifocal corticalsubcortical T2/FLAIR-hyperintense lesions in the setting



**Fig. 1.** Representative examples of brain MRI abnormalities seen with autoimmune dementia. Axial (**a**, **b**, and **d**) and sagittal (**c**) fluid-attenuated inversion recovery (FLAIR) images: **a** Anti-Ma2 limbic encephalitis with mesial temporal signal abnormality (arrow) associated with seminoma; **b** anti-CRMP5 chorea with caudate and putamen hyperintensity (arrows) associated with small cell lung cancer; **c** anti-MOG antibody associated ADEM in an adult with multifocal white matter abnormality (arrows) (no cancer detected); **d** anti-GABA-A antibody autoimmune encephalitis with multifocal cortical and subcortical T2-signal abnormalities (no cancer detected).

of seizures and cognitive impairment are seen with anti-GABA<sub>A</sub>R antibodies (Fig. 1d). [13] Progressive cerebral atrophy over time without signal abnormality or enhancement would favor a neurodegenerative etiology over autoimmune dementia; however, a normal MRI or isolated atrophic changes are not uncommon with autoimmune encephalitis and should not dissuade one from neural autoantibody testing in the correct clinical scenario. [65•]

Among mimics of autoimmune encephalitis, Wernicke encephalopathy (characterized by T2/FLAIR- hyperintensity of the medial thalami, mammillary bodies, and periaqueductal gray matter) deserves particular attention given the potential for complete reversibility with prompt thiamine administration [66]. Wernickelike abnormalities with additional striatal involvement occurs in the rare inherited variant due to thiamine transporter impairment [67]. Multifocal areas of restricted diffusion should prompt consideration for intravascular lymphoma, primary CNS vasculitis or cardioembolism [68]. The presence of meningeal enhancement is nonspecific and can be seen in several infectious (e.g., tuberculosis) and inflammatory/ autoimmune disorders (e.g., sarcoidosis/CNS vasculitis). Amyloid beta-related angiitis is a recently recognized subtype of CNS vasculitis characterized by micro-hemorrhages, infarcts, and leptomeningeal enhancement on MRI and tends to respond well to immunotherapy. Positive staining for amyloid with vessel wall inflammation confirms the diagnosis and clinicoradiological criteria have also been proposed [69]. Anti-GFAP antibody encephalitis is typically associated with radially oriented, linear perivascular enhancement but a similar pattern can be seen with lymphoma, CNS vasculitis, or neurosarcoidosis [38]. Pachymeningeal enhancement with cranial nerve thickening and orbital pseudotumor are encountered in patients with IgG4-related disease [70].

18F-Fluorodeoxyglucose positron emission tomography (FDG-PET) has a greater sensitivity than MRI for autoimmune encephalitis and may show areas of brain hypometabolism (22%), hypermetabolism (25%), or both (40%) when brain MRI is normal [71]. Abnormal FDG uptake can be regional/syndrome-specific (e.g., mesial temporal lobes, basal ganglia) or diffuse, and generally resolves completely after immunotherapy [71, 72].

#### **CSF** analysis

CSF analysis is particularly important in cases of suspected autoimmune dementia since it may reveal inflammatory findings (pleocytosis, oligoclonal bands/ high IgG-index) in up to 50% of cases, which are typically absent in neurodegenerative dementias [1]. Pleocytosis (> 5 cells) is extremely rare in patient with neurodegenerative disorders, accounting for < 1% of cases [73]. The overall prevalence of oligoclonal bands among neurodegenerative disorders is 7% [74]. A noninflammatory CSF does not exclude an autoimmune cause and when present makes the distinction from a neurodegenerative etiology more difficult. Notably, autoimmune encephalitis lacking inflammatory findings on CSF/MRI is not uncommon in the elderly [65•]. CSF levels and ratio of phospho-tau and amyloid- $\beta$ -42 are useful to diagnose Alzheimer's disease [75]. CSF realtime quaking-induced conversion (RT-QuIC) is a useful test for prion disorders and has largely superseded the less specific 14-3-3 and neuron-specific enolase [76].

#### Electroencephalogram

In autoimmune encephalitis, EEG generally shows nonspecific diffuse or focal slowing or epileptiform activity, the latter helping to distinguish from neurodegenerative etiologies in which such a finding is less frequent. Isolated temporal epileptiform abnormalities are common but cannot be distinguished from those of other etiologies (e.g., HSV encephalitis). A pattern of extreme delta brush (rhythmic delta activity at 1–3 Hz with superimposed rhythmic beta bursts at 20–30 Hz) can be found in one third of patients with anti-NMDAR encephalitis [77]. Although suggestive, this pattern is non-specific of anti-NMDAR encephalitis and can be observed in other conditions, including non-autoimmune mesial temporal lobe epilepsy, hypoxic ischemic encephalopathy, and brain tumors [78].

#### Neural antibody testing

Neural autoantibody detection in the correct clinical setting may confirm the diagnosis of autoimmune dementia. Testing prior to immunotherapy is essential as empiric treatments can impact antibody test results. For example, testing after plasma exchange could result in a false negative, while testing after intravenous immunoglobulins (IVIg) could result in a false positive (due to passive transfer of common autoantibodies [e.g. anti-GAD] with IVIg administration). It is important to recognize that some antibodies are better detected in serum (e.g., anti-LGI1) and others in CSF (e.g., anti-NMDAR) [42]. As many of the clinical syndromes (e.g., LE) can be encountered with a wide variety of antibodies, testing a profile of antibodies is often preferable than individual antibody testing; furthermore, when more than one antibody is positive, the profile can guide cancer search [79]. An initial screening by tissue-based immunofluorescence or immunohistochemistry may show characteristic staining patterns which can guide confirmatory testing, or reveal the presence of unclassified antibodies selectively staining the neural tissue that may help support CNS autoimmunity when testing of known autoantibodies is negative [80]. In certain scenarios (FBDS and anti-LGI1), a single antibody testing may be sufficient although > 1 autoantibodies may sometimes coexist [81]. Refinement in antibody assay techniques and target identification has significantly reduced the risk of false positive results. Older generation techniques (e.g., radioimmunoassays, ELISA) assay have a higher risk of positivity in healthy controls (up to 6%) when compared to newer generation techniques with cell-based assays where the risk of false positives is much less (0.2%) [82]. The titer may be useful in predicting the likelihood of relevance to neurologic disease. For

example, anti-GAD65 antibodies are found in up to 8% of the general population at low level, while with CNS autoimmunity, the titer is usually extremely high and CSF detection is often evident. As such, a positive autoantibody result should not replace clinical judgment and indiscriminate testing outside of the correct clinical context significantly reduces the positive predictive value of the test [83]. Most clinically relevant antibodies in autoimmune dementia detected are of the IgG subtype and the utility of IgM/IgA antibodies as a marker of CNS autoimmunity is unproven.

Systemic autoantibodies (e.g., anti-thyroid peroxidase [TPO] antibodies) have been historically associated with encephalopathies with a variety of terms (e.g., Hashimoto's encephalopathy) and they are frequently detected in patients with CNS autoimmunity [5]. However, care is needed when such antibodies are detected in a patient with cognitive impairment as they are common in the general population (e.g., TPO and thyroglobulin antibodies are found in up to 20–30% of the elderly population). Instead, their presence should be regarded as a marker of general predisposition to autoimmunity and not preclude searching for concomitant CNS-specific autoantibodies or considering alternative non-autoimmune etiologies of cognitive impairment.

#### Additional diagnostic workup

An extensive search for other potential causes of cognitive impairment is mandatory and includes initial serum/CSF laboratory investigations for toxic/ metabolic (complete blood count, kidney, thyroid and liver function, electrolytes, vitamins, drugs/ medications, metals), infectious (markers or cultures for bacterial, fungal, parasitic and viral infections), neoplastic (cytology, flow cytometry), and other systemic immune-mediated disorders [5]. Exclusion of CNS infections must be prioritized since immunosuppression might have devastating consequences in such patients. Clinical/MRI findings, geographic area, personal history (e.g., high-risk sexual behavior, intravenous drug use, recent history of travel to endemic areas), and clinical setting (e.g., patients receiving immunosuppression) should guide testing for specific infectious agents, including HIV and syphilis. Brain biopsy can be considered in selected cases, and is more useful to exclude alternative etiologies (e.g., CNS neoplasms) than confirm an autoimmune etiology, but the presence of inflammation can support ongoing use of immunotherapy.

#### **Cancer search**

The search for cancer can be guided by age, sex, risk factors (history of smoking), antibody detected, and genetics. In anti-LGI1 autoantibodies, the absence of genetic markers of autoimmune predisposition (HLA-DR7 or HLA-DRB4) may predict a higher risk of underlying tumor [84, 85]. Wholebody CT is often the initial test used due to widespread availability and lower cost, but FDG-PET has a greater sensitivity [86]. Sex-specific tests should not be overlooked (e.g., testicular ultrasound in males with anti-Ma2 antibodies) as localized cancers may not be visible with other testing. In those with antibodies strongly associated with cancer (e.g., ANNA-1/anti-Hu) but initially negative cancer screening, periodic surveillance (e.g., every 6-12 months) should be considered as sometimes the cancer may manifest on subsequent evaluations [87].

#### **Treatment and prognosis**

In case of uncertainty, an immunotherapy trial with firstline acute therapies (see below) might support an autoimmune etiology if there is a response [88]. However, other inflammatory (e.g., sarcoidosis, multiple sclerosis) and non-inflammatory (e.g., CNS lymphoma) disorders may respond to corticosteroids and steroid response alone is not sufficient for autoimmune encephalitis/ dementia diagnosis.

The natural clinical course of autoimmune encephalitis is not completely understood and varies according to the underlying antibody. Relapses can occur, while certain antibodies are sometimes associated with a steroid-dependent course (e.g., anti-GFAP, anti-MOG) [62, 89]. Cases of untreated patients with autoimmune encephalitis and spontaneous improvement over months after the acute phase have been reported [90, 91]. However, early initiation of immunotherapy and tumor treatment (in paraneoplastic forms) seems critical to reduce long-term disability and prevent relapses [28, 53]. The overall relapserate in patients with anti-NMDAR encephalitis at 2 years is 12%, with one third of those patients having multiple relapses [28]. Clinical worsening during immunotherapy should be carefully evaluated since it may be related to opportunistic infection or neoplasm occurrence. In autoimmune encephalitis, relapses tend to be milder and similar to the initial attack [28]. Residual cognitive impairment from structural brain damage is one of the greatest contributors to long-term disability [46, 92–95]. Decreased quality of life and school performances (often with need of special assistance) are common following anti-NMDAR encephalitis in children [96].

There are no randomized controlled trials on treatment of CNS autoimmunity and recommendations derive from clinical experience and retrospective/ prospective series. In general, antibody/B cell-depleting agents are preferred with antibodies targeting cellsurface antigens while T cell-depleting drugs are preferred with antibodies targeting intracellular antigens. Two scores (antibody prevalence in epilepsy and encephalopathy [APE<sup>2</sup>], and response to immunotherapy in epilepsy and encephalopathy [RITE<sup>2</sup>]), have recently been shown to be highly accurate in predicting the presence of CNS autoantibodies and immunotherapy response in patients with suspected autoimmune dementia [97].

#### Acute therapy

First-line immunotherapies with commonly used dosages include:

- Intravenous methylprednisone (IVMP), 1 g/day for 5 days and possibly weekly for 6–12 weeks.
- IVIg, 0.4 g/kg/day for 5 days and then weekly for 6–12 weeks.
- Plasma exchange (PLEX), 1 exchange every other day for 5–7 exchanges.

IVIg and PLEX may be considered as an alternative to IVMP (e.g., diabetic patients), or as an add-on therapy in severe cases [28]. Improvement in cognition was documented in 64% of patients with suspected autoimmune dementia seen at Mayo Clinic after first-line treatment, mostly within the first week [1]. The speed of response may depend on the antibody type. For example, with anti-LGI1 antibodies, a rapid response to immunotherapy is typical with FBDS often resolving within days and a return to normal functioning that may occur within few weeks [53]. A prolonged high-dose oral prednisone often helps to prevent relapses [58]. In a study of anti-NMDAR encephalitis patients, improvement at 4 weeks was observed in 53% of patients after first-line immunotherapies (either alone or in combination), but a return to normal functioning generally takes months. For this reason, second-line immunotherapies (e.g., rituximab, cyclophosphamide) are often administered early with antiNMDAR encephalitis to help resolve the acute syndrome and then as maintenance therapy to prevent relapse. In the same study, 57% of non-responders received a second line treatment resulting in a better final outcome [28]. The decision to use a second-line agent should be carefully evaluated based on the type of antibody detected (e.g., cell-surface vs intracellular, existing evidence in the literature for each antibody), timing of therapy administration (i.e., patients not treated acutely are less likely to benefit from a late intervention), and the degree of diagnostic certainty (i.e., failure to respond to steroids should always prompt considering another etiology, especially in seronegative cases).

#### Maintenance therapy

Several drugs might be used based on patient characteristics, disease severity, time taken for drug to become effective, and associated antibody. Azathioprine (2-3 mg/kg/day orally), mycophenolate (500-1000 mg twice/day orally), rituximab (intravenously), and cyclophosphamide (orally or intravenously) are commonly utilized based on our experience in rheumatologic and other autoimmune neurological disorders (e.g., myasthenia gravis) [5]. While azathioprine and mycophenolate require up to 6 months to become effective with slow oral steroids tapering concurrently (e.g., prednisone 20-60 mg/day), rituximab is frequently preferred for the high tolerability and shorter time needed to become effective (4-5 weeks). Thiopurine methyltransferase activity is required prior to azathioprine as reduced or no activity can increase the risk of side effects and may warrant consideration of a lower dose or alternative medication.

Rituximab is an anti CD20 monoclonal antibody that depletes B cells (mostly naive and mature B cells) and is generally administered in one of the following treatment regimens: (1) two 1000 mg infusions separated by 2 weeks; (2) 375 mg/m<sup>2</sup>/week for 4 weeks. These same dosages are then repeated every 6 months. Serum CD19 (a pan B cell lineage marker) count monitoring with a target of zero might be an alternative approach to guide the frequency of reinfusions [98]. Rituximab is potentially indicated in any antibody-mediated form, although one study on autoimmune LE showed improvement regardless of the antibody status (cell-surface, intracellular, or unknown) [99].

Cyclophosphamide depletes both B and T cells and carries significant side effects including alopecia, blood

cytopenias, hemorrhagic cystitis, and infertility. It is generally considered after rituximab failure or for treatment of refractory forms, especially with antibodies targeting intracellular antigens. Administration is generally intravenous with abundant hydration to prevent nephrotoxicity (500–1000 mg/m<sup>2</sup>/month for 6–12 months).

Other potential treatments include tocilizumab, bortezomib, and low-dose IL-2 [100–102]. These immunosuppressants carry an increased risk of infection and rare cases of progressive multifocal leukoencephalopathy have been reported [103]. Prophylaxis against varicella zoster virus (VZV) with oral acyclovir is important with Bortezomib as disseminated infection including VZV vasculopathy has been reported [104]. A full discussion of the precautions/side effects needed with immunotherapy has been reported previously [5].

#### **Emerging clinical settings**

It is now recognized that autoimmune encephalitis can follow HSV encephalitis and recent findings from a prospective study showed 27% of patients with HSV encephalitis will eventually develop an autoimmune encephalitis (mostly anti-NMDAR), and usually within 2 months of the infection [105••]. Since these patients are generally responsive to immunotherapy, CNS autoimmunity must be considered in any case of neurological worsening after initial antiviral treatment of HSV encephalitis.

Immune checkpoint inhibitors (ICI) are a family of monoclonal antibodies targeting immune checkpoint proteins (e.g., PD-1/PDL-1, CTLA-4) that are essential

for immune system regulation. In oncological practice, inhibition of these proteins by ICI induces a massive immune response which is highly effective against tumors, but may sometimes result in autoimmunity. Neurological autoimmunity has been reported in < 5% of patients treated with ICI but given the increasing use of these therapies in different types of cancer, the number of patients impacted is likely to increase [106]. Cognitive impairment and encephalopathy may occur, generally without detectable neural autoantibodies [107]. Treatment options in these patients are based on expert opinion and include steroids, PLEX, and rituximab. The risk/benefit of ICI discontinuation needs to be carefully weighed as these medications may prolong cancer survival.

Autoimmune encephalitis accompanying antibodies to AMPA, LGI1, MOG, and NMDA have been reported in patients undergoing lymphocyte-depleting immunosuppressants for solid-organ or hematopoietic cell transplantation. Some of these patients were CSF Epstein Barr Virus PCR positive suggesting a possible viral trigger. These rarely reported cases suggest such patients may benefit from additional antibody depleting treatments (e.g., PLEX, rituximab) [108–110]. Human Herpes Virus type 6 (HHV6) encephalitis may mimic autoimmune LE in posttransplant patients and evaluation for HHV6 CSF PCR should also be considered in addition to neural autoantibody testing [111]. Future research will help to clarify the optimal treatment strategies for the common and uncommon clinical settings in which CNS autoimmunity may arise.

## **Compliance with Ethical Standards**

#### **Conflict of Interest**

The authors declare that they have no conflicts of interest.

#### Human and Animal Rights and Informed Consent

This article does not contain any studies with human or animal subjects performed by any of the authors.

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