SURGERY AND SURGICAL INNOVATIONS IN COLORECTAL CANCER (S HUERTA, SECTION EDITOR)



Endoscopic Full-Thickness Resection for Colorectal Neoplasm: Current Status and Future Directions

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Abstract

Purpose of Review Endoscopic submucosal dissection (ESD) is recognized as a minimally invasive treatment for colorectal cancer. However, colorectal ESD has not been internationally accepted as a first-line therapeutic option due to technical difficulties and high complication rates. Non-lifting tumors present a further limitation of ESD. Colorectal endoscopic full-thickness resection (eFTR) with over-the-scope clips (OTSCs) shows great potential in patients for whom ESD is not possible and also for those who might have ESD-related complications. The present manuscript aims to discuss the complementary role of eFTR using OTSCs and the future development of eFTR with a novel suturing device.

Recent Findings Colorectal eFTR is feasible and has acceptable en bloc and R0 resection rates and procedure-related adverse events. This technique is primarily used for non-lifting and recurrent adenomas, especially in lesions ≤ 2 cm. This approach offers the potential to decrease the postoperative morbidity and mortality associated with segmental colectomy while enhancing the diagnostic yield compared to that of current endoscopic techniques.

Summary eFTR using OTSCs is a safe and effective procedure for treating colorectal non-lifting and recurrent adenoma. The indications may be expanded to include subepithelial tumors, carcinoid tumors, and neuromuscular GI disorders. eFTR using OTSCs is not recommended for large lesions (> 30 mm), but innovative methods and new devices for eFTR and suturing will overcome the limitations by allowing minimally invasive surgery for colorectal cancer in the near future.

Keywords Endoscopic full-thickness resection \cdot Endoscopic mucosal resection \cdot Endoscopic submucosal resection \cdot Colon \cdot Over-the-scope clip \cdot Colorectal cancer

Abbreviations

EMR	Endoscopic mucosal resection
ESD	Endoscopic submucosal dissection
GI	Gastrointestinal

OTSOver-the-scopeOTSCOver-the-scope clipFTRDFull-thickness resection deviceeFTREndoscopic full-thickness resection

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Introduction

Endoscopic resection, including polypectomy and endoscopic mucosal resection (EMR), is a widely recognized treatment for early gastrointestinal (GI) malignancy. Endoscopic submucosal dissection (ESD) is accepted as a minimally invasive treatment for early GI malignancies, but technical difficulties and an increased rate of complications have limited its applicability in the management of colorectal tumors. Colorectal ESD enables the en bloc resection of a specimen, but procedure-related complications are more frequently associated with ESD than with EMR [1, 2]. However, colorectal ESD has not been internationally accepted as a first-line therapeutic option because of the technical challenges associated with the procedure and the increased risk of adverse events such as perforation and bleeding [3].

Endoscopic full-thickness resection (eFTR) using over-thescope clips (OTSCs) shows great potential for sparing patients from colorectal ESD and surgical resection [4•, 5•, 6••]. eFTR in the colon and rectum with a full-thickness resection device (FTRD; Ovesco Endoscopy AG, Tubingen, Germany) is increasingly gaining acceptance as an established technique in Western countries. The FTRD has been investigated for colon eFTR in preclinical and clinical studies [4•, 5•, 6••]. eFTR of the colon may decrease the postoperative morbidity and mortality associated with segmental colectomy while enhancing the diagnostic yield compared to that of current endoscopic techniques [7]. Recent developments in advanced eFTR using OTSCs will enable surgeons and endoscopists to challenge current clinical practices for colorectal cancer treatment.

Closure is required after eFTR and natural transluminal endoscopic surgery (NOTES). Endoscopic clips are often used for small mucosal defects but are not a suitable choice for large GI gaps. Many endoscopic closure devices have undergone testing and evaluation in the laboratory in animal models for closing large GI defects via minimally invasive surgical treatment and NOTES-associated procedures, including eFTR [7–9]. Given the limitations of OTSCs, suturing systems have been introduced. One of the first devices developed to accomplish this objective was the Overstitch Endoscopic Suturing System (Apollo Endosurgery, Austin, TX). Other endoscopic suturing devices have been tested as novel tools for achieving complete closure post-eFTR.

The present manuscript aims to discuss the complementary role of the eFTR using OTSCs and the future development of eFTR. Additionally, we focus on the possibility of achieving eFTR using novel suturing and closing devices.

Indications for Colorectal eFTR

The indications for colorectal eFTR according to previous reports are summarized in Table 1. The indications for

eFTR are mainly (1) a non-lifting adenoma and (2) a recurrent tumor [6., 10., 11, 12., 13., 14.]. Additional indications include the following: (1) technically difficult ESD with a difficult anatomical location involving the appendix and diverticulum, (2) recurrent adenoma with severe fibrosis after endoscopic treatment, and (3) submucosal tumors including carcinoid tumors. These two aspects are considered to determine the need for eFTR for each lesion. Performing colorectal ESD is challenging in the presence of technically difficult lesions with severe fibrosis; recurrent lesions; lesions located at the bottom of the caecum, near the terminal ileum, and in the appendix; and large pedunculated polyps. Severe fibrosis, poor endoscopic operability, and submucosal deep invasion, which is a muscle-retracting sign of protrusion, are the most common reasons for incomplete resection and procedurerelated perforations during colorectal ESD [15-17]. However, piecemeal resection is an important risk factor for local recurrence regardless of endoscopic resection [18]. eFTR with OTSCs has been described for recurrent adenocarcinoma [19] and rectal adenocarcinoma with SM (submucosal) invasiveness [20, 21].

eFTR may also be used for selected subepithelial lesions (e.g., neuroendocrine tumors) of limited size. Endoscopic treatment is considered curative for small carcinoid tumors with an extremely low risk of metastasis [22, 23]. Recently, ESD was reported to be an effective method for the treatment of rectal carcinoid tumors [19, 22, 23]. Lesions can become indications for eFTR when they are intermediate in size or massively invade the submucosal layer, which may result in tumor-positive margin resection. eFTR with OTSCs has been reported for subepithelial lesions, carcinoid tumors [24, 25], neuroendocrine tumors [26], gastrointestinal stromal tumors (GIST) [27], and B-cell lymphoma [28].

eFTR Using OTSCs

OTSCs (Ovesco Endoscopy AG, Tübingen, Germany) and Padlock clips (Aponos Medical, Kingston, NH, USA) are commercially available. OTSCs (Ovesco Endoscopy AG, Tübingen, Germany) have been effective for the closure of iatrogenic perforation [29] and GI bleeding [30, 31]. Several studies have demonstrated the successful use of OTSCs in the closure of acute GI perforations, anastomotic leaks, and GI fistulae [32.., 33]. We previously reported that OTSCs were useful in closing large defects and perforations after ESD (large defects > 20 mm, small defects \leq 10 mm) [33, 34]. The advantage of OTSCs is that they can easily and rapidly completely close a mural defect compared to other devices. OTSCs may have limited efficacy in the following situations: (1) larger mural defects, (2) chronic inflammation, and (3) repeated attempts at closure. We previously reported successful use of OTSCs in GI

Table 1 Indication for colorectal EFTR

Neoplasm	
Non-lifting adenoma and LST	
Recurrent adenoma	
Adenoma involving the appendix	
Adenoma in diverticulum	
Re-resection after incomplete resection of T1a carcinoma	
Submucosal tumor	
Carcinoid tumor	
Neuroendocrine tumor	
Neuromascular GI disorders	
Hirshspring's disease	
Intestinal neuronal malformation	
Chronic intestinal pseudo-obstruction (CIPO)	

bleeds, perforations, and fistulae. In our experience, large lesion size and tissue fibrosis were the major contributing factors in unsuccessful cases [33].

Schurr et al. and Von Rentlen et al. described several variations of a grasp-and-snare technique. They performed both pre- and post-resection closure using an OTSC (Ovesco Endoscopy, Tubingen, Germany). A tissue anchor (Ovesco, Endoscopy) was inserted through a double-channel gastroscope (2T160, Olympus, Hamburg, Germany) to grasp the bowel wall and create a pseudopolyp. The base of the pseudopolyp was ligated with an Endoloop (HX-400U- 30, Olympus) before snare resection. The advantage of this method is the ability to easily and rapidly resect the main lesion and quickly close the colon wall defect. These methods are also favorable for preventing peritoneal infection and the dissemination of cancer cells into the peritoneal cavity. A disadvantage of the grasp-and-snare technique is that it is difficult to produce a specimen with an adequate horizontal clearance margin.

Endoscopic resection of the target lesion by application of an OTSC followed by snare application has been described as a feasible strategy for tumor resection [4•, 5•, 6••]. The key steps of eFTR using an OTSC are as follows: (1) the lesion is pulled using tissue grasping forceps or a tissue anchor (Ovesco Endoscopy) into the distal cap of the OTSC; (2) the OTSC is then released at the base of the pseudopolyp, thus tightly grasping the base and securing the bowel wall; and (3) the pseudopolyp created by the OTSC is then resected using the snare while the OTSC maintains the integrity of the colonic wall.

The FTRD (Ovesco Endoscopy, Tübingen, Germany) is a modified device mounted on a long cap with a preloaded snare. The snare is placed in the open position, firmly linked to the cap and fixed to the outside of the endoscope. After deployment of the OTSC, the snare is tightened above the OTSC and smooth resection of the pseudopolyp is accomplished. The Padlock clip (Aponos Medical, Kingston, NH, USA) is another commercially available OTSC. The Padlock clip instantly returns to its original flat form when deployed, which might facilitate snare resection of the created pseudopolyp [35–37].

FTRDs are not commercially available in Eastern countries, including Japan. Recently, we were challenged to perform an eFTR using a needle knife (KD-650Q; Olympus, Tokyo, Japan) and OTSC system in animal models [36]. First, a 10-mm pocket was created in the colorectal mucosa using a needle knife until the muscle layer was reached. Next, after the artificial mucosal pocket had been anchored into the application cap with a retraction device (Anchor; Ovesco Endoscopy) that captured the exposed muscle layer, the OTSC was deployed. Finally, a full-thickness resection was completed with the snare (Fig. 1).

A potential indication for the removal of an OTSC could be its misplacement. A removal method for a released OTSC was reported using an Nd-YAG laser [6••] and a bipolar cutting device known as the remOVE System (Ovesco Endoscopy AG, Tübingen, Germany) [38].

Clinical Outcomes of Colonic eFTR with an FTRD

The outcomes following colorectal eFTR according to previous reports from single institutions studies are shown in Table 2. In these studies, the main indication for eFTR was the presence of residual or recurrent neoplasms. The median tumor size was 12-35 mm. The mean diameter of the resection specimen was 12.5-26 mm. The en bloc resection rate, R0 resection rate, and percentage of histologically confirmed full-thickness resections were 63-89.5, 75-100, and 50-100%, respectively. The procedure-related complications were as follows: immediate and delayed bleeding and perforation, appendicitis, and incomplete OTSC deployment. The complication rate was 0-11.5%.

According to a prospective multicentre trial by Schmidt et al., eFTR was a technically successful type of resection and the R0 resection rates were 89.5 and 76.9% [39••]. The median procedure time was 50 min (range 3–190 min), and the mean maximum lesion diameter was 15 mm (range 2– 30 mm). The median duration of the hospital stay was 4 days (range 1–11 days), and prolonged hospital stays occurred due to adverse events. Full-thickness resection was histologically confirmed in 81% of cases, and the resection rate in the rectum was lower than that in the colon (66.7 vs. 83.9%, p = 0.056). Importantly, the R0 resection rate was significantly lower for lesions > 20 mm (58.1%) than for lesions \leq 20 mm (81.2%; p = 0.00038). The adverse event rate was 9.95% with a 2.2% rate of emergency surgery. Regarding complications,



Fig. 1 EFTR using ESD devices. First, 10-mm pocket created in colorectal mucosa using needle knife until muscle layer. Next, after artificial mucosal pocket has been anchored into the application cap

with a retraction device (Anchor; Ovesco Endoscopy) that captured the exposed muscle layer, the OTSC is deployed. Finally, a full-thickness resection is completed with the snare

perforation and postoperative bleeding occurred in 3.3 and 2.2% of cases, respectively. The surgical conversion rate was 2.2%.

Therapeutic Potential and Limitations of eFTR with an FTRD

ESD, which enables en bloc resection of large tumors, is accepted as a standard minimally invasive treatment for early GI malignancies. According to a Japanese multicentre retrospective analysis, the en bloc resection (endoscopic) and R0 resection (complete en bloc resection by histological methods) rates were 88.8 and 83.8%, respectively [7, 40]. Regarding complications, perforation and postoperative bleeding occurred in 4.8–4.9 and 1.5–1.6% of cases, respectively. Complications were strongly dependent on local expertise, lesion location and characteristics [15, 41]. Therefore, the complication rate appears acceptable but must also be considered in light of the respective indication.

Two retrospective studies comparing ESD vs. laparoscopicassisted surgery for early colorectal neoplasm reported en bloc and curative resection rates of 100%, a mean procedure time of 90–106 min, and adverse event rates of 13.69–14.7% in a laparoscopic-assisted surgery group [42–44]. According to these results, eFTR is effective and safe for the treatment of colorectal neoplasm without lymph node metastasis.

The primary advantage of ESD over eFTR is a higher en bloc resection rate for large colonic lesions (>20 mm). The FTRD cap is equipped with a modified 14-mm OTSC and enables the capture of more tissue, as it is longer and wider. In fact, the thickness, rigidity, and mobility of the colonic wall may differ among patients and is significantly dependent on anatomic location and the existence of fibrosis [10...]. Earlier studies evaluating an FTRD using OTSCs for the closure of defects were performed only for defects up to 3 cm in size [6..., 10...]. Outcome studies have demonstrated that eFTR defects up to 2.5-3 cm can be adequately closed using OTSCs in the majority of cases. Therefore, OTSCs allow full-thickness resection of GI tract lesions smaller than approximately 3 cm [10••]. The FTRD is not recommended for large lesions (> 30 mm). In cases of severe scarring due to inflammation, the maximum lesion size should be even smaller (20-25 mm) [10••]. eFTR on scar tissue results in decreased specimen volumes and often in lateral margins positive for scar tissue, especially when used on scars from polyps > 20 mm $[13 \cdot \cdot \cdot]$. Additionally, a large, flat, elevated lesion (e.g., laterally spreading tumor) is difficult to resect when using the FTRD. Furthermore, a lesion located in the lower rectum may be limited due to the fixation of the rectal wall in the perirectal tissue. Hybrid EMR-eFTR methods may be effective solutions for large polyps and laterally spreading tumors [11, 45].

Another limitation of the FTRD is that the lateral margins of the lesions are not easily visible circumferentially during retraction of the lesion into the cap $[10^{\bullet\bullet}]$. The marking probe is not clearly visible during retraction of the lesion into the cap, which leads to the risk of incomplete eFTR. In addition, marking the lesion using argon

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Author	Year	Methods	No. of lesions	Mean resected size (mm)	Median tumor size (mm)	En bloc resection rate (%)	R0 resection rate (%)	Full-thickness resection rate by histologically (%)	Mean procedure time (min)	Complications (%)		Surgical conversion (%)	
Schmidt et al.	2015	FTRD	24	24 (range	Ι	20/24 (83.3)	18/24 (75)	21/24 (87.5)	Median 50	0/24 (0)	Ι	0/24 (0)	
Fähndrich et al. [6••]	2015	EFTR using OTSC system, FTRD	17	(0 1 -71	Mean 22.7 (range 10–25)	I	16/16 (100)	11/16 (69)	I	0/16 (0)	I	0/16 ()	17 cases, including2 cases in stomachand 1 case in
Meier et al. [11]	2017	Hybrid EMR-EFTR	10	I	35.5 (range 30–50)	I	I	10/10 (100)	90 (range 65–140)	0/10 (0)	I	0/10 (0)	duodenum
Andrisani et al. [12•]	2017	FTRD	20	26 (range 10–42)	I	I	I	20/20 (100)	1	0/20 (0)	Ι	0/20 (0)	
Bawardy et al. [24]	2017	EFTR using OTSC or Padlock clip	6		Mean 8		9/9 (100)		53	(0) 6/0	I	(0) 6/0	9 cases, including 4 cases in duodenum and
Backes et al. [13••]	2017	EFTR using Padlock clip	26	I	I	I	I	24/26 (92)	Median 43	3/26 (11.5)	Delayed perforation: 1/26 (3.8) Delayed bleeding: 1/26 (3.8) Microperforation: 1/26 (3.8)	1/26 (3.8)	l case in stomach
Dinelli et al. [37]	2017	EFTR using	9	12.5 (10–16)	1	I	5/6 (83.3)	3/6 (50)	I	0/9/0		0/9 (0)	
Valli et al. [14•]	2017	Faulock cup FTRD	58	I	12	I	46/58 (79.3)	51/58 (87.9)	Median 60 (15–177)	4/58 (6.9)	Appendicitis: 1/58 (1.7)	1/58 (1.7)	58 cases, including 5 cases in
Schmidt et al. [39••]	2017	FTRD	181		15 (2–30)	162/181 (89.5)	139/181 (76.9)		Median 50 (3–190)	18/181 (9.9)	Incomplete OTSC deployment: 1/58 (1.7) Minor bleeding: 2/58 (3.4) Perforation: 6/181 (3.3) Bleeding: 4/181 (2.2)	4/181 (2.2)	SUILIACI

Table 2 Outcome of colorectal endoscopic full-thickness resection by using FTRD in the literature n (%)

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plasma coagulation (APC) may be preferred for increasing visibility during the retraction [12•].

A potential risk of the FTRD procedure may include accidental clipping of extracolonic structures. The cecum near the appendiceal orifice remains a challenging area of endoscopic resection [46]. According to previous studies, closure of the appendiceal orifice with the FTRD carries the potential risk of acute appendicitis and some cases require emergency surgery. Therefore, clinicians should be made aware of the risk of acute appendicitis so that their patients may be monitored to identify any serious conditions after eFTR. In another case, a misplaced OTSC that grasped the small intestine through an iatrogenic colonic perforation was reported [47]. To avoid unintended incorporation of organs adjacent to the colonic wall, it is crucial to exclusively use traction with the forceps or the tissue anchor to pull the target into the cap [10••].

New Endoscopic Suturing System and Future Perspective

Various endoscopic suturing devices have been tested as novel tools to achieve complete closure post-eFTR. The Overstitch Endoscopic Suturing System (Apollo Endosurgery, Austin, TX) is a disposable, single-use suturing device that is mounted onto a double-channel therapeutic endoscope and allows for the placement of either running or interrupted full-thickness sutures. It is currently the only widely available suturing device and the only Food and Drug Administration-approved commercially available device [48]. This suturing device has been used successfully for the closure of GI fistulae, stent anchorage, leaks, and perforations [49]. eFTR with the Overstitch system has been reported for rectal neuroendocrine tumors [50]. Kantsevoy et al. reported that closure of a large post-ESD mucosal defect in the colon using the Overstitch Endoscopic Suturing Device decreased treatment cost by eliminating the need for hospitalization [51]. In their study, primary closures of post-ESD ulcers were achieved after removing specimens larger than 30 mm in diameter. However, large eFTR defects induce a distinct luminal collapse, rendering endoscopic closure very difficult. We have previously reported the exposed eFTR using the ESD devices and suturing a large mucosal defect using a new suturing system and mechanical counter traction device in animal models [52, 53]. In suturing resection wounds > 30 mm, these devices enable the complete suture of a large mural defect. However, nonexposed eFTR is more reliable than exposed eFTR for preventing bacterial infection and cancer cell dissemination [54, 55]. We developed a non-exposed eFTR method using the double-armed bar suturing system (DBSS), which is a novel full-thickness suturing device that can be used with flexible endoscopes to overcome these problems [56, 57]. We aim to improve these devices such that large mural defects can be sutured more simply and rapidly. Finally, it is essential that endoscopists understand the surgical anatomy of the organs located on the outside of the colonic wall to establish colorectal eFTR and related procedures [8].

Conclusions

eFTR using an FTRD is a safe and effective procedure for treating colorectal non-lifting and recurrent adenoma. The indications may be expanded to include subepithelial tumors, carcinoid tumors, and neuromuscular GI disorders. eFTR can also be used for harvesting full-thickness specimens during diagnostic investigations of neuromuscular GI disorders, such as Hirschsprung's disease, intestinal neuronal malformation, and chronic intestinal pseudo-obstruction (CIPO) [35, 36]. This approach allows full-thickness resection of GI tract lesions smaller than approximately 3 cm. The FTRD is not recommended for large lesions (> 30 mm), but innovative methods and new devices for eFTR and suturing will overcome the limitations of the FTRD by allowing minimally invasive surgery for colorectal cancer in the near future.

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Compliance with Ethical Standards

Conflict of Interest The authors declare that they have no conflict of interest.

Human and Animal Rights and Informed Consent This article does not contain any studies with human or animal subjects performed by any of the authors.

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