Cardio-oncology (MG Fradley, Section Editor)



# The Role of Biomarkers to Evaluate Cardiotoxicity

#### Jenica N. Upshaw, MD, MS

#### Address

Division of Cardiology, Tufts Medical Center, 800 Washington St, Box 5931, Boston, MA, 02111, USA Email: jupshaw@tuftsmedicalcenter.org

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#### Opinion statement

Moderate-level evidence suggests that cardiac troponin and natriuretic peptides are useful for risk stratification and early identification of anthracycline cardiotoxicity; however, many of these studies used older chemotherapy regimens, and thus, the applicability to current anthracycline treatment regimens is uncertain. Further research is needed to determine optimal timing and thresholds for troponin and natriuretic peptides in anthracycline-treated patients and evaluate these and other promising biomarkers for anti-HER2 therapies, thoracic radiation, anti-VEGF therapy, and fluoropyrimidine therapy– related cardiotoxicity. Risk tools that combine cardiac risk factors, cancer treatment variables, biomarkers, and imaging parameters are most likely to accurately identify individuals at highest risk for cancer therapy cardiotoxicity. Clinical trials focusing cardioprotective strategies on high-risk individuals are more likely to result in clinically significant results compared with primary prevention cardioprotective approaches.

#### Introduction

Advances in early detection and treatment have reduced cancer-specific mortality for many cancers [[1](#page-6-0)]. In 2016, there were more than 16 million cancer survivors in the USA, and this number is expected to increase to approximately 26 million in 2040 [\[2](#page-6-0)]. Compared with age- and gender-matched controls, cancer survivors are at increased risk for common cardiovascular conditions such heart failure (HF), myocardial infarction, stroke,

arrhythmias, and valvular disease [\[3](#page-6-0)–[5](#page-6-0)]. The cardiovascular toxicity of cancer treatment as well as prevalent comorbid cardiovascular risk factors contributes to excess cardiovascular events in patients with cancer. Biomarkers such as cardiac troponin and natriuretic peptides are used routinely in cardiovascular medicine for diagnosis and risk stratification. Serum biomarkers can assist with early detection and diagnosis of disease and improve understanding of disease pathophysiology. This review summarizes the current evidence regarding

biomarkers for the detection of cardiovascular toxicity during and after cancer therapy.

# Anthracycline cardiotoxicity

Anthracycline chemotherapeutic agents can lead to cardiomyopathy and clinical HF. Anthracyclines interact with topoisomerase 2b causing oxidative and nitrosative stress, double-stranded DNA breaks, mitochondrial dysfunction, and apoptosis  $[6-8]$  $[6-8]$  $[6-8]$  $[6-8]$ . While the use of anthracyclines is declining to some extent in breast cancer, anthracycline-based regimens remain standard of care for many patients with leukemia, lymphoma, sarcoma, and breast cancer [[9](#page-6-0), [10\]](#page-6-0). Studies of primary prevention cardioprotective strategies have shown only modest benefits and thus have not been widely applied in the routine care of anthracycline treated patients. However, early detection of anthracycline cardiotoxicity using biomarkers and imaging followed by prompt initiation of cardioprotective strategies may be more acceptable to patients than primary prevention cardioprotective strategies. The following sections describe the evidence for individual biomarkers of anthracycline cardiotoxicity.

Cardiac troponin

Troponin (Tn) assays are routinely used in cardiovascular medicine to detect myocardial injury and have been applied during and after anthracycline treatment for risk stratification and early detection of cardiotoxicity. In a seminal single center study out of Italy, 703 participants receiving high-dose chemotherapy with autologous stem cell rescue, most with prior anthracycline exposure (mean doxorubicin dose 300 mg/m2), were assessed with serum Tn values 12, 24, 36, and 72 h and 1 month after chemotherapy [[11](#page-6-0)•]. Among the 70% of patients with a TnI <  $0.08$  ng/ml, there was an associated negative predictive value of 99% for a cardiac event, defined as CV death, HF, life-threatening arrhythmia, or asymptomatic LVEF reduction of 25% or more. However, among the 208 individuals with a positive TnI after high-dose chemotherapy, 51% had a cardiac event. Furthermore, among the group with a persistently positive TnI 1 month after chemotherapy, 84% had a cardiac event. Subsequent studies focused on the higher risk individuals with elevated troponin values (TnI  $>0.07$  ng/ml) during chemotherapy demonstrating clinically and statistically significant improvement in cardiac outcomes with randomization to enalapril versus control [\[12](#page-6-0)••]While this remains the largest study to date, it is uncertain if these results are applicable to current anthracycline regimens outside of high-dose chemotherapy with autologous stem cell rescue or which thresholds are predictive using newer high-sensitivity Tn assays. Several smaller studies have also shown a correlation between early elevations in serum troponin and LVEF changes or cardiac events in cohorts of

adults treated with current standard-of-care anthracycline regimens for breast cancer and hematologic malignancies, although with variable predictive performance  $[13\bullet, 14-17]$  $[13\bullet, 14-17]$  $[13\bullet, 14-17]$  $[13\bullet, 14-17]$  $[13\bullet, 14-17]$ . Tn has also been shown to be predictive of cardiotoxicity in pediatric populations treated with anthracyclines [[18](#page-7-0)-[20](#page-7-0)].

#### Natriuretic peptides

Brain natriuretic peptide (BNP) and the N-terminal proBNP (NT-proBNP) are widely used as important diagnostic and prognostic markers in established heart failure. In a single-center study of 111 patients treated with anthracyclines, both baseline BNP and posttreatment BNP were significantly higher in patients who developed a cardiac event (defined as significant asymptomatic LVEF declines, symptomatic HF, significant arrhythmia, sudden death, or acute coronary syndrome) [\[21\]](#page-7-0). In this study, using a threshold of TnI of  $>0.4$  ng/ml, only 2 individuals developed an elevated Tn, both in the setting of acute coronary syndrome. In another prospective cohort study of 53 individuals with breast cancer treated with epirubicin, baseline BNP was associated with posttreatment cardiac events (defined as clinical HF or significant LVEF declines) with BNP values of 55.5±72.3 pg/ ml in those with versus  $26.1\pm21.4$  pg/ml in those without a cardiac event [\[22\]](#page-7-0). Posttreatment BNP or NT-proBNP at various times after anthracycline treatment in pediatric populations is also associated with echocardiographic measures of ventricular remodeling [[20](#page-7-0), [23](#page-7-0), [24](#page-7-0)].

#### Markers of oxidative and nitrosative stress

Anthracycline chemotherapeutic agents can cause heart failure through oxidative and nitrosative stress. Several prospective cohort studies have demonstrated predictive value of myelopyroxidase and arginine-NO metabolites for subsequent cardiac dysfunction [\[8](#page-6-0), [14](#page-6-0), [25](#page-7-0)]. In one multicenter prospective cohort study of HER2-positive breast cancer patients treated with anthracyclines followed by trastuzumab, myelopyroxidase levels in the 90th percentile were associated with an elevated incidence of cardiotoxicity [\[14](#page-6-0)]. Plasma levels of asymmetric dimethylarginine, Nmonomethylarginine, and arginine were associated with cardiotoxicity [[8](#page-6-0)]. Future research is needed to determine the incremental value of these markers and clarify specific assays and cutpoints should these be used for routine screening.

Plasma microRNAs

Circulating plasma microRNAs are short noncoding RNAs that play a role in cardiovascular disease and are being studied as potential biomarkers of anthracycline cardiotoxicity. Mice exhibit alterations in microRNA expression profiles in myocardium following anthracycline treatment, and circulating miRNA alterations have been found in pediatric and adult cancer patients treated with anthracyclines [[26](#page-7-0)–[29\]](#page-7-0). Further research is needed to determine whether microRNAs can be used as early markers of anthracycline cardiotoxicity.

#### Multiple biomarkers

Of particular interest is the possibility of combining multiple serum biomarkers or serum biomarkers with imaging markers of early cardiotoxicity to improve the sensitivity for detecting subclinical cardiotoxicity. For example, among 81 patients with HER2-positive breast cancer treated with anthracyclines followed by trastuzumab, high-sensitivity TnI 930 pg/ml or GLS less than −19% measured after completion of anthracyclines were predictive of significant reductions in LVEF or HF, and the absence of either marker had a 91% negative predictive value for reduced ejection fraction or HF [\[13](#page-6-0)•]. In another analysis of the same multicenter cohort of HER2 positive breast cancer participants receiving sequential anthracyclines and trastuzumab, the combination of troponin and myeloperoxidase measured 3 months after initiation of anthracycline therapy had improved predictive ability than either marker alone [[14\]](#page-6-0). Larger studies combining multiple biomarkers and imaging variables as well as clinical variables and genetic markers of cardiotoxicity risk and assessing long-term follow-up for clinical events are necessary to develop and validate multimarker screening algorithms for anthracycline cardiotoxicity. Validated models that incorporate clinical, genetic, biomarker, and imaging variables would set the stage for randomized trials of tailored cardioprotective strategies to individuals at elevated risk for cardiac events after cancer therapy.

### Trastuzumab cardiotoxicity

In human epidermal growth factor receptor 2 (HER2)–positive breast cancer, the addition of monoclonal antibodies that block HER2 signaling improves survival [\[30](#page-7-0)–[34\]](#page-7-0). Anti-HER2 therapy can disrupt cardiac homeostasis and mitochondrial function, resulting in LVEF reductions and HF [\[35\]](#page-7-0). In clinical trial populations, asymptomatic reductions in LVEF occurred in 10–15% of participants and HF occurred in 1–4% [[36](#page-7-0)–[38\]](#page-7-0). Prospective cohort studies of unselected women with HER2-positive breast cancer undergoing treatment with sequential doxorubicin and trastuzumab show rates of LVEF reductions to less than normal in upwards of 40% of women with only partial recovery of LVEF after treatment [[8](#page-6-0), [39](#page-8-0)]. Individuals older than 65 years of age may be at higher risk for cardiotoxicity with incident clinical HF reported in 29% of trastuzumab users and 19% of trastuzumab nonusers with breast cancer [[40\]](#page-8-0).

### Biomarkers of trastuzumab cardiotoxicity

The majority of biomarker studies of trastuzumab cardiotoxicity included sequential anthracycline and trastuzumab therapy; thus, it is largely unknown whether biomarkers would be predictive in the setting of trastuzumab with nonanthracycline chemotherapy. Troponin, natriuretic peptides, and myelopyroxidase have been shown to predict significant LVEF reductions at various time points after sequential anthracycline and trastuzumab treatment [[13](#page-6-0)•, [14](#page-6-0), [25](#page-7-0),[41](#page-8-0), [42,](#page-8-0) [43](#page-8-0)•]. Other studies of trastuzumab cardiotoxicity have included individuals both with and without prior anthracycline chemotherapy but highly enriched for prior anthracycline therapy finding that high-sensitivity c-reactive protein and troponin were predictive of trastuzumab cardiotoxicity [[44](#page-8-0), [45](#page-8-0)•]. Due to a declining use of anthracyclines in HER2-positive breast cancer, further research is needed in nonanthracycline trastuzumab-treated cohorts to define the predictive value of biomarkers in this population.

### Radiation cardiovascular toxicity

Radiation therapy (RT) that includes the heart or vascular structures in the treatment field can lead to cardiovascular late effects. RT causes microvascular endothelial damage, capillary loss, inflammation, and fibrosis and the late effects of RT include coronary artery disease, HF, valvular disease, constrictive pericarditis, conduction disease, and stroke [\[46](#page-8-0)–[52](#page-8-0)]. Survivors of childhood cancer treated with chest or neck radiation have significantly increased ischemic heart disease, HF, and stroke events. Validated prediction models for childhood cancer survivors incorporate treatment information and allow for personalized risk estimates for HF and stroke risk up to the age of 50; however, these models do not include biomarkers or clinical cardiac risk factor variables [\[53](#page-8-0), [54](#page-8-0)]. The existing biomarker studies of radiation cardiovascular toxicity are small and do not provide sufficient evidence for the utility of biomarkers in the screening and treatment of radiation-induced cardiovascular toxicity. In breast cancer cohorts with sample sizes ranging from 59 to 75 participants, elevations in BNP, NTproBNP, and troponin I correlated with measures of cardiac radiation dose [[55](#page-8-0), [56](#page-8-0)•, [57\]](#page-8-0). In a prospective cohort study of 87 participants with breast cancer, lymphoma or lung cancer receiving thoracic radiation, placental growth factor, and growth differentiation factor 15 were elevated after radiation in lymphoma and lung cancer and associated with mean heart dose [[58\]](#page-8-0). At this point, there is no evidence that early identification of radiation cardiovascular toxicity and treatment with cardioprotective medications prevent cardiovascular events. Future studies are needed to explore whether statins, other medications, or lifestyle interventions can help prevent radiation heart disease and whether early detection with serum biomarkers or imaging is beneficial for screening and early treatment.

# Vascular endothelial growth factor inhibitor cardiovascular toxicity

Vascular endothelial growth factor (VEGF) inhibitor therapy is associated with hypertension in over 50% of patients and cardiomyopathy in up to 10% of treated patients [\[59](#page-8-0)–[62](#page-9-0)]. There are numerous anti-VEGF agents used in clinical practice, ranging from monoclonal antibodies to small molecule tyrosine kinase inhibitors, with variable side effect profiles [[61\]](#page-9-0). Hypertension is induced in part by increased endothelin signaling and decreased nitric oxide production in endothelial cells with detectable elevations in circulating endothelin-1 levels [[63](#page-9-0), [64\]](#page-9-0). Clinically, acute hypertension contributes to acute ischemic or hemorrhagic events including myocardial infarction, stroke, and flash pulmonary edema and long-term increased risk of arterial occlusive events and HF [\[65\]](#page-9-0). In

cohorts of patients treated with VEGF inhibition, up to 25% had elevations in BNP or NT-proBNP and up to 10% developed elevated serum troponin [\[62](#page-9-0), [66](#page-9-0), [67](#page-9-0)]. Randomized trials of blood pressure agents are needed to identify effective, well-tolerated, antihypertensive regimens that do not decrease oncologic efficacy as well as clarify the potential role of biomarkers in the management of VEGF inhibitor treated patients.

# Immunotherapy cardiovascular toxicity

Immune checkpoint inhibitors (ICIs) and chimeric antigen receptor T cell therapy have improved outcomes in many cancers but can cause immunerelated adverse events. While less frequent than gastrointestinal or endocrine immune adverse events, myocarditis occurs in 0.5–2% of individuals treated with ICIs and is associated with major adverse cardiac events in approximately half of cases of suspected cases [\[68](#page-9-0)–[70](#page-9-0)]. The risk appears to be higher with combination checkpoint inhibitor therapy, but further research is needed to determine and validate other risk factors for myocarditis [[68\]](#page-9-0). ICI therapy also appears to increase the risk of pericarditis and vasculitis [\[71](#page-9-0)]. Elevations in cardiac troponin, changes on electrocardiogram, and reductions in global longitudinal strain may be sensitive, although not specific, markers of myocarditis [[68](#page-9-0)]. Given the low incidence of myocarditis, routine screening in asymptomatic patients is not currently the standard of care; however, an high index of suspicion for early detection of myocarditis in symptomatic patients and low threshold for treatment with high-dose steroids is recommended.

### Fluoropyrimidine cardiotoxicity

The fluoropyrimidines, 5-fluorouracil and the oral pro-drug capecitabine, are fundamental components of many chemotherapy regimens; however, they may cause coronary artery vasospasm, vascular endothelial damage, and direct myocardial toxic effects leading to unstable angina, myocardial infarction, prolonged QTc, arrhythmias, cardiomyopathy, and cardiac arrest [\[72](#page-9-0)]. The incidence of acute, symptomatic cardiotoxicity attributed to 5-FU or capecitabine ranges from 0.5 to 19% [\[72](#page-9-0)–[77](#page-9-0)]. There are currently no evidence-based screening for fluoropyrimidine cardiotoxicity; however, in patients with symptoms, serial ECG and troponin assessment followed by invasive or noninvasive evaluation of coronary artery disease are indicated.

### Conclusions

While moderate-level evidence suggests that cardiac troponin and natriuretic peptides can help with risk stratification and early identification of anthracycline cardiotoxicity, further research is needed to determine optimal timing and thresholds for these biomarkers as well as develop and validate risk tools that combine clinical variables, biomarkers, and imaging parameters. Further research is needed to determine whether biomarker screening is useful to detect cardiotoxicity for nonanthracycline regimens including anti-HER2 therapies, thoracic radiation, anti-VEGF therapy, and fluoropyrimidine therapy.

### <span id="page-6-0"></span>Compliance with Ethical Standards

#### Conflict of Interest

Jenica N. Upshaw declares that she has no conflict of interest.

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