

# **Attention defcit hyperactivity disorder subtypes classifcation: a machine learning approach with phenotypic information and brain tissue volume**

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## **Abstract**

Attention defcit hyperactivity disorder (ADHD) is a critical neurodevelopmental disorder that needs to be diagnosed and treated early to lower the risk of related health issues. This research study uses a machine-learning (ML) classifcation model to provide a computeraided diagnosis method for ADHD subtypes namely ADHD-inattentive and ADHD-combined. The brain tissue volume and phenotypic information of the children are used to train the ML classifcation model. The grey matter and white matter brain tissues are segmented from T1-weighted brain MRI of children using a modifed fuzzy c-means clustering algorithm. A novel thresholding and pixel-based volume calculation method generates volume for segmented tissues. The highest accuracy of 92.98% is achieved for classifying ADHD subtypes and typically developing (TD) with Extreme Gradient Boosting (XGBoost) classifer among the other ML classifers. An interpretative approach provides the insight of the classifcation model and it predicts that medication status, intelligence quotient, gender, and grey matter volume that are used in this research are the key factors in distinguishing between ADHD subtypes and TD individuals. In conclusion, T1-weighted MRI brain tissue volume of children can help healthcare providers diagnose ADHD and its subtypes along with the symptom-based diagnosis.

**Keywords** Attention deficit hyperactivity disorder · Brain tissue volume · Segmentation · Machine learning · Magnetic resonance imaging · Shapley additive explanations

# **1 Introduction**

Children are greatly afected by the brain disorder known as Attention Defcit Hyperactivity Disorder (ADHD) and it has signifcantly increased in recent years. The prevalence of ADHD in children of ages 3 to 12 is 7.6% and in teen of ages 12 to 18 is 5.6%

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[[1\]](#page-20-0). This disorder is a consistent pattern of impulsiveness, hyperactivity, and distraction that hinders normal functioning and development in the individual. It is categorized into three subtypes based on the Diagnostic and Statistical Manual of Mental Disorders [[2\]](#page-20-1). Firstly, the predominantly inattentive (ADHD-I) subtype is primarily characterized by symptoms of inattention. Such individuals may appear to be daydreaming or forgetful and often have difficulty completing tasks or activities. Secondly, the predominantly hyperactive-impulsive (ADHD-H) subtype is primarily characterized by symptoms of hyperactivity and impulsivity. This type of child may engage in impulsive behaviors without considering the consequences. Finally, the combined (ADHD-C) subtype is characterized by inattention and hyperactivity/impulsivity symptoms. Individuals with ADHD-C exhibit a combination of the symptoms seen in the predominantly inattentive and predominantly hyperactive-impulsive. Using the ICD-10-CM, the 10th revision of the international classifcation of diseases, the ADHD subtype has two more categories known as unspecifed type and other types along with the three subtypes [[3](#page-20-2)].

ADHD individuals can exhibit varying degrees of symptom severity and impairment. The symptoms appear in a variety of contexts, including at school, work, and home which interferes with functioning to meet the diagnostic criteria for ADHD [\[4](#page-21-0), [5\]](#page-21-1). Diagnosis and treatment of ADHD involve a complete assessment by a healthcare professional, who considers symptoms, history, and other appropriate factors. Individuals with ADHD can control their symptoms and improve their quality of life through a variety of intrusions, such as medication, behavioral therapy, and educational support [[6,](#page-21-2) [7](#page-21-3)]. Symptoms have been the basis for the diagnosis of ADHD presently, which can change over time. Therefore, researchers are motivated to use brain imaging techniques to investigate such neurodevelopmental disorders. Recently, the investigation of brainrelated disorders has made excessive use of non-invasive imaging modalities [\[8](#page-21-4)[–11\]](#page-21-5). The most widely used technique for neuroimaging analyses is magnetic resonance imaging (MRI) as it provides scans with more details compared to other imaging techniques [[12\]](#page-21-6). The important tissues in brain MRI are grey matter (GM) and white matter (WM) which play important roles in brain function [\[13](#page-21-7)]. Both tissues can contribute to the understanding of ADHD. However, GM is often the focus of research for ADHD.

GM consists of neuronal cell bodies and is involved in information processing, cognition, and decision-making [\[14\]](#page-21-8). The primary signs of ADHD, like decreased attention, impulse control, and executive functioning, are associated with the functioning of specifc brain regions predominantly composed of GM. Therefore, studying GM can provide insights into the specifc brain regions involved in ADHD-related difculties. GM undergoes signifcant developmental changes throughout childhood and adolescence. During brain development, there is a process called synaptic pruning, where unnecessary or weak connections are eliminated, leading to a decrease in GM volume [\[15\]](#page-21-9). Research suggests that individuals with ADHD may experience atypical development of GM, potentially contributing to the symptoms observed in the disorder. By studying GM development, researchers can gain insights into the neural underpinnings of ADHD during critical periods of brain maturation. GM regions are rich in neurotransmitter receptors that are crucial for proper neural communication. Neurotransmitters like dopamine, norepinephrine, and serotonin are implicated in neurological disorders [[16\]](#page-21-10), and alterations in their receptors within GM regions may contribute to ADHD symptoms. Studying GM allows researchers to investigate the relationship between neurotransmitter systems and ADHD. GM alterations, such as diferences in volume or density, may be associated with cognitive and behavioral impairments in ADHD. Identifying structural abnormalities in specifc GM regions can provide insights into the neurobiological basis of the disorder and potentially contribute to our understanding of its underlying mechanisms [[17](#page-21-11), [18](#page-21-12)].

WM abnormalities, such as alterations in microstructure or integrity, have been observed in individuals with ADHD  $[19]$  $[19]$  $[19]$ . These abnormalities may affect the efficient communication between diferent brain regions, potentially contributing to ADHD symptoms. However, the analysis of WM abnormalities is more complex and needs imaging modalities like diffusion tensor imaging (DTI) [[20](#page-21-14)]. This inspired the investigation of typically developing (TD) and ADHD subtypes using the volume of both GM and WM tissues.

The main contributions of the study are:

- i. To introduce a computer-assistant diagnosis method based on a machine learning (ML) classifcation model for ADHD subtypes using children's MRI and phenotypic information to help healthcare providers.
- ii. Automatic segmentation of the important brain tissues is implemented based on fuzzy c-means (FCM) clustering and thresholding.
- iii. The volume of the important brain tissues is calculated without the usage of online available tools.
- iv. A novel sampling method is implemented to balance the classes of the dataset known as conditional oversampling.
- v. Finally, an interpretative solution utilizing Shapley additive explanations (SHAP) is performed on the efficient ML-based multiclass classification model to find the most important features responsible for TD and ADHD subtypes (ADHD-I and ADHD-C) classifcation.

This article contains the following sections: Section 2 outlines the existing research works in ML-based ADHD classification. Section 3 discusses the suggested segmentation of brain tissues from brain MRI and the subsequent volume calculation. The ML approaches used to predict or classify ADHD subtypes are detailed in the same section. The study's fndings and discussions are outlined in Sections 4 and 5, respectively. The research study concludes with the future direction and fndings.

## **2 Related work**

Several studies have utilized ML models to classify individuals with ADHD and TD using various data modalities and features. Some of the important existing works in the years 2019 to 2023 are discussed in the following:

The authors introduced a random forest (RF) method in [\[21\]](#page-21-15) that achieved an accuracy of  $0.82(\pm 0.09)$ . Here, genetic and positron emission tomography (PET) imaging are utilized to diferentiate between individuals with ADHD and healthy controls. This study is constrained by the lack of external validation and a small sample size of 38 participants. The authors examined three classifers: Adaptive Boosting (AdaBoost), RF, and Support Vector Machine (SVM) [[22](#page-21-16)]. Here, Electroencephalogram (EEG) signals are recorded for children while they do a cognitive task. The highest accuracy of 84% was achieved with AdaBoost. The research study [\[23\]](#page-21-17) utilizes continuous performance test (CPT) data to classify ADHD, employing RF and neural networks as ML models. The RF method attained an accuracy of 87%. The study is limited to using only standard CPT variable samples from clinically referred children with diagnosed ADHD and little patient information. The deep forest approach introduced [[24](#page-21-18)] is a tree-based ensemble method. One-dimensional functional connectivity and three-dimensional amplitude of low-frequency fuctuations features extracted from functional MRI (fMRI) data are utilized here. The Kennedy Krieger Institute (KKI) imaging site of the ADHD-200 dataset had the best accuracy of 82.73%. The authors in [[25](#page-22-0)] suggested a categorized system for ADHD by the SVM method. The fMRI functional connectivity characteristic is used for classifcation, achieving an average maximum accuracy of 86.43% for the Peking-2 imaging site in the ADHD-200 dataset. Three objective formulations in this scheme are based on the L1-norm. A dual subspace classifcation technique utilizing functional connection is introduced in [\[26\]](#page-22-1). Binary hypothesis testing is conducted on the ADHD\_200 dataset here. This study is limited by the robustness of the parameter setting and the small sample size of children with ADHD. The author suggested classifying ADHD using the Naïve Bayes machine learning algorithm with structural MRI (sMRI) data [\[27\]](#page-22-2). The proposed approach attained an accuracy of 84%. The researchers in [\[28\]](#page-22-3) utilized volumetric characteristics and cortical thickness obtained from sMRI in their research. ADHD had greater GM volume than TD individuals in ffteen brain areas. The decrease in cortical thickness occurred in 27 brain areas. Five classifers were utilized, with radial-based SVM and linear SVM achieving the highest accuracy of 75%.

ADHD and TD classifcation is commonly carried out through the use of EEG, PET, and MRI with ML techniques. EEG provides the highest accuracy than other imaging modalities. But, EEG may induce seizures in children and PET is an invasive technique. Thus, MRI is the ideal imaging technique for children due to its safety and non-invasiveness. This study uses sMRI instead of fMRI because of the limited availability of fMRI in developing countries. Another signifcant limitation of the aforementioned study is the classifcation between ADHD and TD is addressed, but not for ADHD subtypes. Additionally, research on ADHD subtypes is few and mainly involves the use of EEG and fMRI [[29](#page-22-4)[–31\]](#page-22-5). Therefore, the proposed research will use sMRI to classify ADHD subtypes.

# **3 Materials and methods**

This research is mainly to classify ADHD subtypes and TD efficiently using phenotypic information and volume of brain tissues obtained from T1-weighted MRI or sMRI of children. In this section, we have discussed the dataset, brain tissue segmentation, brain tissue volume calculation, important ML algorithms, and the SHAP explanation method. Figure [1](#page-4-0) shows the fow of the study.

# **3.1 Data description**

Brain scans of children with ADHD and TD are gathered from the ADHD-200 dataset. There are 947 T1-weighted MRI and resting-state fMRI in the dataset, among which 585 are TD and 362 are ADHD subjects. In the collection of eight imaging sites, we worked on T1-weighted MRI of children obtained from Peking University (PKU), KKI, New York University Child Study Center (NYU), and Oregon Health Sciences University (OHSU) imaging sites only as they contained both TD and ADHD subtypes along with complete phenotypic information. In the chosen imaging sites, there are 578 subjects of which TD are 318, 165 are ADHD-C, 90 are ADHD-I, and 5 are ADHD-H. The subjects utilized for the study are detailed in Table [1](#page-5-0).



<span id="page-4-0"></span>Fig. 1 Pictorial representation of the proposed study flow

The dataset repository also made preprocessed images available online by three diferent teams such as Athena, Neuroimaging Analysis Kit (NIAK), and Burner [[32\]](#page-22-6). In this study, the Athena pipeline based on AFNI and FSL software packages is used. This preprocessing pipeline

Imaging Site	Gender	Age range	<b>Medication</b> status			TD	<b>ADHD</b>	Total
			Medica- tion Naive	Not Medica- tion Naïve	Not available			
KKI	Female	$8 - 13$	29	8	$\overline{0}$	27	10	37
	Male	$8 - 13$	39	7	$\overline{0}$	34	12	46
<b>NYU</b>	Female	$7 - 18$	61	3	12	51	25	76
	Male	$7 - 18$	70	25	50	48	97	145
<b>OHSU</b>	Female	$7 - 12$	29	$\overline{2}$	5	25	11	36
	Male	$7 - 12$	30	6	7	17	26	43
PKU	Female	$8 - 16$	49	1	$\Omega$	45	5	50
	Male	$8 - 17$	119	25	$\Omega$	71	73	144

<span id="page-5-0"></span>**Table 1** Description of subjects by site obtained from the ADHD-200 dataset

starts with the removal of non-brain tissues [\[33](#page-22-7)]. Then, a non-linear wrap is done between the skull-stripped image and the Montreal Neurological Institute (MNI) space [\[34\]](#page-22-8) using linear transform and a non-linear registration procedure [\[35](#page-22-9), [36\]](#page-22-10). The skull removed brain images and smoothed by a 6 mm full width at half maximum (FWHM) Gaussian shared outputs in the repository as compressed NifTI fles are used as inputs to the brain tissue segmentation.

#### **3.2 Proposed brain tissue segmentation and volume calculation**

In this study, the GM and WM tissues from the T1-weighted MRI of the brain are segmented using a novel method which is the combination of the Modifed fuzzy c-means (MFCM) clustering technique, elbow method, and thresholding. The shape of the T1-weighted MRI is (197, 233, 189) which is in NifTI format and is converted to 189 slices of the two-dimensional image with shape (197, 233) for giving as input to the clustering process. The MFCM technique [[37](#page-22-11)] is a variation of the standard FCM clustering algorithm. FCM is an unsupervised clustering algorithm that assigns membership degrees to each data point, indicating the degree of belongingness to each cluster. Here, additional modifcations are introduced to enhance the clustering process. The optimum number of clusters is set based on the elbow method (see Fig. [2\)](#page-5-1) instead of using the random values which is one of the drawbacks of existing FCM-based segmentation. The elbow method [[38](#page-22-12)] is used to fnd the optimal number of clusters by analyzing the within-cluster sum of squares (WCSS) value, which is calculated using [\(1\)](#page-6-0).



<span id="page-5-1"></span>**Fig. 2** Process to choose the optimal number of cluster value

<span id="page-6-0"></span>
$$
WCSS = \sum_{P_{i \text{ in } Cluster} j} distance(P_i C_j)^2
$$
 (1)

Where  $distance(P_i C_j)^2$  is the sum of the squared distances between each data point and its centroid within cluster *j*. This distance can be calculated using a method known as Euclidean distance.

For segmenting brain tissues, the MFCM method uses spatial and grey-level interactions with the center pixel. To distinguish unreliable and reliable neighbors, the center pixels neighboring pixels perform an adaptive local window fltering which results in a fltered image that is then constructed using newly generated intensity values derived from those reliable neighbors. Then, the intensity histogram of the fltered image will be used to instantly cluster. Finally, thresholding is applied to extract the GM and WM from the clustered output brain image. The total pixels for the extracted GM and WM are calculated and the equivalent values in cubic centimeters are estimated. Similarly, the volume of GM and WM is calculated in the frontal lobe of the subjects by cropping the segmented images. In addition to other phenotypic data that are already provided with the dataset, such as age, gender, full intelligence quotient (full IQ), and medication status for each subject, the following features are added: GM volume on the whole (GMV), GM volume in the frontal lobe (GMV\_F), WM volume on the whole (WMV), and WM volume in the frontal lobe (WMV\_F). The data frame with all these features is further trained with the ML algorithm. The steps involved in obtaining the brain tissue volume are provided in Algorithm 1. The mathematical equations involved in the segmentation and volume calculation of brain tissues are given in the following:

1. Deviation  $\sigma_k$  from the median value within  $N_k$  is given by ([2](#page-6-1)),

<span id="page-6-1"></span>
$$
\sigma_k = \sqrt{\sum_{p \in N_k} (x_p - \overline{x}_k)^2 / n_k}
$$
 (2)

Where  $x_p$  is pixel p intensity level in  $N_k$ , $\bar{x}_k$  is the intensity value of the median,  $n_k$  is the count of pixels in  $N_k$ . When  $x_p - \overline{x}_k$  is larger compared to  $\sigma_k$  then pixel p is unreliable and if lesser *p* is reliable.

2. Window weight coefficients,  $C_{kp}$  is given by ([3](#page-6-2)),

<span id="page-6-2"></span>
$$
C_{kp} = \begin{cases} C_{kp\_s}.C_{kp\_g}, & \text{if } p \in N_p \\ 0, & \text{otherwise} \end{cases}
$$
 (3)

Where  $N_p$  represents the reliable neighboring set.

3. The spatial term  $(C_{kp}$   $_s$ ) and grey level term  $(C_{kp}$   $_e$ ) are defined by [\(4](#page-6-3)) and ([5\)](#page-6-4),

<span id="page-6-4"></span><span id="page-6-3"></span>
$$
C_{kp\_s} = \begin{cases} \exp(-d_{kp\_s}), & \text{if } p \in N_p \\ 0, & \text{otherwise} \end{cases}
$$
 (4)

$$
C_{kp\_g} = \begin{cases} \exp\left(\frac{-\|x_k - x_p\|^2}{\lambda_g \cdot \sigma_{kp\_g}^2}\right), & \text{if } p \in N_p \\ 0, & \text{otherwise} \end{cases}
$$
(5)

Where  $d_{kp}$  s is the spatial Euclidean distance calculated for pixels *k* and *p*,  $x_k$  is the center pixel intensity,  $\lambda_{\varphi}$  is the grey level effect factor, and  $\sigma_{kn}$  <sub>e</sub> is the intensity deviation from the center pixel in  $N_p.C_{kp}$ .

4. The  $\xi_k$  gives the filtered intensity of the center pixel and it is calculated using ([6\)](#page-7-0),

<span id="page-7-1"></span><span id="page-7-0"></span>
$$
\xi_k = \begin{cases} \frac{\sum_{p \in N_k} C_{kp} x_p}{\sum_{p \in N_k} C_{kp}} \end{cases}
$$
\n(6)

5. Enhanced FCM (EnFCM) algorithm [\[39\]](#page-22-13): The objective function  $(J<sub>s</sub>)$  is given by  $(7)$  $(7)$ ,

$$
J_s = \sum_{i=1}^{c} \sum_{k=1}^{q} \gamma_k u_{ik}^w (\xi_k - v_i)^2
$$
 (7)

Where *w* is a weighting exponent,  $v_i$  is the *i*<sup>th</sup> cluster original value,  $u_{ik}$  is the fuzzy membership of the  $k^{th}$  pixel in cluster *I*, and  $\gamma_k$  is the number of voxels from the whole stack of slices.

6. The parameters  $u_{ik}$  and  $v_i$  values are found such that the  $J_s$  is the lowest. Thus, the Lagrange multiplier can be used to rewrite  $(7)$  $(7)$  and it is given by  $(8)$ ,

$$
L_{s} = \sum_{i=1}^{c} \sum_{k=1}^{q} \left[ \gamma_{k} u_{ik}^{w} (\xi_{k} - v_{i})^{2} \right] + \sum_{k=1}^{q} \lambda_{k} \left( 1 - \sum_{i=1}^{c} u_{ik} \right)
$$
(8)

Taking the derivative of  $L_s$  regarding  $u_{ik}$  and then  $v_i$ , and also equating to 0, we get ([9\)](#page-7-2) and ([10](#page-7-3)):

$$
\lambda_k = w\gamma_k \left[ \sum_{j=1}^c \left( \xi_k - v_j \right)^{\frac{-2}{w-1}} \right]^{1-w} \text{ and therefore}
$$
\n
$$
u_{ik} = \left[ \sum_{j=1}^c \left( \frac{\xi_k - v_j}{\xi_k - v_j} \right)^{\frac{-2}{w-1}} \right]^{-1} \tag{9}
$$

<span id="page-7-3"></span><span id="page-7-2"></span>
$$
v_i = \left(\sum_{k=1}^q \gamma_k u_{ik}^w \xi_k\right) \left(\sum_{k=1}^q \gamma_k u_{ik}^w\right)^{-1} \tag{10}
$$

- 7. Using the threshold method, specifc brain tissues can be extracted from T1-weighted MRI scans. Here, we may accurately extract the GM and WM tissues by selecting a threshold value (T) between 30 and 100. When T is taken below 30, we are unable to retrieve GM or WM pixels accurately; whereas, when T is taken above 100, no brain tissue pixels are retrieved.
	- (i) To extract GM, we choose high intensity (i.e.,  $x = 255$ ) when  $x > T$  and  $x < 200$ , and otherwise  $x=0$
- (ii) To extract WM, we choose high intensity (i.e.,  $x = 255$ ) when  $x > T$  and  $x > 150$ , and otherwise  $x=0$
- 8. Brain tissue volume is calculated from the obtained total pixels or voxels of extracted GM or WM. The volume of brain tissues is given by  $(11)$  $(11)$ ,

<span id="page-8-0"></span>
$$
Volume, V = T \times S \tag{11}
$$

Where T is the total pixel of GM or WM and S is the slice thickness  $(S=1.3 \text{ mm})$ . The pixel-to-centimeter (cm) conversion is done with the condition,  $cm = T<sup>*</sup>(2.54/Dots$  Per Inch).

Algorithm 1 Algorithm of proposed brain tissue segmentation and volume calculation.

*Read the preprocessed T1-weighted brain MRI*

#### **Initialize:**

*Number of clusters = 3 (based on the elbow method), Fuzziness degree (m) = 2, Number of iterations=100, The threshold value for convergence (ε)=0.05, Window size* =  $5 \times 5$ . *Neighbor effect = 2.15, and Threshold (T)= 50*

**Ensure**: *Deviation from median value to find reliable and unreliable pixels is calculated using (2)*

*The window weighting coefficient is computed from (3), (4), and (5)*

*Apply the adaptive local window filter and obtain the filtered image*

*Count the filtered image's intensity histogram using (6)*

*Cluster the filtered image based EnFCM algorithm's intensity histogram using (8), (9), and (10)*

Apply the threshold method for the clustered output image to get the grey matter and white matter tissues

Calculate the total number of pixels for the tissues

*For grey matter pixel calculation*

```
start
if x>T and x<200, then
x=255
else
x=0
end
For white matter pixel calculation
start
if x>T and x>150, then
x=255
else
x=0
end
Obtain the brain tissue volume in cubic centimeters using (11)
```
#### **3.3 Machine learning algorithms**

ML algorithms are computational techniques that fnd the desired outputs from the inputs by learning relevant data. Recently, ensemble ML has been the preferred technique as the main goal is to combine a set of state-of-the-art ML models to achieve better performance and reliability [\[40\]](#page-22-14).

Some important ML algorithms utilized in the research are discussed: Gaussian Naïve Bayes (GNB) is a probabilistic classifer that applies Bayes' theorem under the assumption of feature independence. It is suitable for multiclass classifcation but it is constrained by issues like the zero frequency problem and potential errors in estimation under specifc conditions. Bagging is an ensemble learning technique designed to enhance the stability and precision of machine learning systems. The process involves generating various subsets of the initial dataset using sampling with replacement, training individual base models on each subset, and combining their predictions to get a fnal prediction. The decision tree is typically the primary model employed here. Random Forest (RF) is an ensemble learning technique that leverages the power of many decision trees to attain high accuracy and fexibility in a variety of ML tasks. It is commonly utilized and appropriate for both classifcation and regression tasks. Extra Trees is a variation of RF that adds extra unpredictability throughout the tree construction process. It is particularly useful when computational resources are limited, or when reduced variance and faster training times are desired. Gradient Boosting (GB), AdaBoost, and eXtreme Gradient Boosting (XGBoost) are ensemble learning methods that progressively merge weak learners to form a strong learner. Adaboost functions by iteratively training a sequence of weak learners on adaptively adjusted versions of the dataset. The algorithm gives more weight to misclassifed instances, enabling succeeding weak learners to focus more on challenging cases. Each weak learner is trained on a subset of the data, and their predictions are combined using a weighted sum to obtain the fnal prediction. GB builds an ensemble of decision trees sequentially, with each tree learning to correct the mistakes of its predecessors. It optimizes a loss function instead of instance weights like Adaboost. XGBoost is an optimized implementation of GB with several enhancements aimed at improving speed and performance. It employs a more regularized model formalization to control overftting and has advanced features such as tree pruning, handling missing values, and parallel computing. Voting ensembles combine predictions from multiple independent models by taking a majority vote for the classifcation task. The three models used are SVM, logistic regression, and decision tree. Each ML algorithm possesses unique strengths and is applicable in various situations. Therefore, it is crucial to test them out and select the one that aligns best with the particular problem and dataset.

# **3.4 Classifcation of ADHD subtypes using ML algorithms**

In multiclass classifcation, the data preprocessing and balancing of the dataset are very significant for the ML model to work efficiently. The basic data preprocessing of ML such as the removal of null values and outliers is done and then the sampling method is used to balance the dataset. The dataset now includes 489 subjects, of which 306 are for TD, 94 are for ADHD-C, and 89 are for ADHD-I, following data preparation and the removal of the extremely rare ADHD-H subtype subjects. Then, the novel sampling method called conditional oversampling is applied. The oversampling is a data augmentation method used for dealing with imbalanced datasets. Firstly, the count of the samples for each class is calculated. Then, the highest count of the class is fxed as the threshold. Finally, the minority class is doubled or tripled to match the highest count of the sample. Following the data preprocessing and balancing of the dataset, the total number of data has been augmented to 855, which is then given to the ML algorithms. The parameters and hyperparameters of the proposed study are given in Table [2.](#page-11-0) Some of the important hyperparameters of the XGBoost classifer are varied. The learning\_rate is varied between 0.01 to 0.2, colsample bytree and subsample is varied from 0.5 to 1, and max depth varied from 3 to 10. To determine the optimal values for hyperparameters, a grid search tuning method is performed over the specifed ranges.

The efficient ML model is interpreted using Shapley additive explanations (SHAP) [\[41](#page-22-15)]. Shapley values are computed from coalitional game theory using the SHAP approach. It specifes the explanation given by ([12\)](#page-10-0),

<span id="page-10-0"></span>
$$
g(z') = \phi_0 + \sum_{j=1}^{M} \phi_j z'_j
$$
 (12)

Where *g* represents the explanation model,  $z' \in \{0,1\}^M$  represents the coalition vector or simplified features, *M* represents the maximum coalition size, and  $\phi_i \in R$  is the feature attribution for a feature *j*, the Shapley values.

To compute Shaley values, simulate some feature values present and some feature values absent. If all feature values are present, then  $(12)$  can be simplified and given by  $(13)$  $(13)$  $(13)$ ,

<span id="page-10-1"></span>
$$
g(z') = \phi_0 + \sum_{j=1}^{M} \phi_j
$$
\n(13)

By calculating the contribution of each feature to the prediction, SHAP seeks to explain the prediction or classifcation of an instance or a class.

The experimental environment is a PC with 11th Gen Intel(R) Core(TM) i5-1135G7 @ 2.40GHz, 2.42 GHz, 16.0 GB RAM, an operating system of 64-bit, and a processor of ×64. The classifcation models were implemented using Python 3.10 software. The Python libraries used in the study are Scikit Learn, Seaborn, Matplotlib, NumPy, and Pandas. Further, the SHAP version 0.42.1 was used for classifcation interpretation.

### **4 Results**

The volume calculation of GM and WM is made using 189 segmented slices from the preprocessed T1-weighted MRI. Figure [3](#page-12-0) depicts each step in the proposed segmentation for slice number 91. The input image (see Fig.  $3(a)$  $3(a)$ ) is initially filtered using an adaptive local window flter which eliminates noise, outliers, and unnecessary blur to get the fltered image (see Fig.  $3(b)$  $3(b)$ ). Then, the filtered image is divided into three clusters (see Fig.  $3(c)$ ): blue for GM, yellow for WM, and dark blue for the third cluster, which is for the cerebrospinal fuid and background. The needed tissue is then extracted from the clustered image using thresholding. The thresholded GM and WM from the clustered image are shown in Figs.  $3(d)$  $3(d)$  and  $3(e)$ .

#### **4.1 Analysis of the TD and ADHD subtypes in children**

The data analysis of the children in the age group of 7 to18 with ADHD-C, ADHD-I, and TD is performed based on eight features such as medication status, gender, full IQ measured by Wechsler abbreviated scale of intelligence (WASI), GMV, WMV, GMV\_F, and WMV\_F. From Fig. [4](#page-13-0)(a-d), we observe that there are no signifcant variations of brain tissue volume between TD and ADHD but there is a variation among subtypes. On average,

Train size	684		
Test size	171		
Random state	1		
Cross-validation			
Stratified K-Fold	n_split: 20, random_state: 1, shuffle: True		
ML classifiers			
<b>Bagging</b>	max_features: 8, n_estimators: 100, base_estimator: DecisionTreeClassifier() random_state: 42, base_estimator_min_samples_leaf: 1, base_estimator_min_samples_split: 2		
RF	n_estimators: 100, random_state: 42, min_samples_leaf: 1, min_samples_split: 2		
<b>Extra Trees</b>	n_estimators: 100, max_features: 8, random_state: 42, min_samples_leaf: 1, min_ samples_split: 2		
AdaBoost	n_estimators: 100, learning_rate: 1		
GB	n_estimators: 100, random state: 42, max_depth: 3, validation_fraction: 0.1, learn- ing_rate: 0.1 subsample: 1.0, min_samples_leaf: 1, min_samples_split: 2		
<b>XGBoost</b>	objective: 'multi:softprob', booster: 'gbtree', colsample_bytree: 0.8, gamma: 0, learn- ing_rate: 0.15, max_depth: 10, min_child_weight: 1, random_state: 42, subsample: $0.5$ , seed: 1		
Voting	estimators: [('Logistic', LogisticRegression(solver = 'liblinear')), ('Tree', DecisionTreeClassifier()), ('SVM', SVC())], voting: 'hard'		

<span id="page-11-0"></span>**Table 2** Parameters and hyperparameters of the proposed study

we fnd that ADHD-I children's GMV is 1.9% higher compared to ADHD-C children, the ADHD-C children's WMV is 1.7% higher than ADHD-I children, and in the case of GMV F of ADHD-I is 1.4% higher than ADHD-C children. The difference in average WMV $\overline{F}$  is not signification among the subject diagnoses. Figure [4](#page-13-0)(e) shows that the full IQ of ADHD-I subjects is afected highly compared to ADHD-C subjects.

### **4.2 Analysis of the TD and ADHD subtypes with brain tissue volume based on medication status and gender**

In statistics, the standard deviation is an important term. It is defned as a measure of the dispersion from the mean value and is given by ([14](#page-11-1)),

<span id="page-11-1"></span>
$$
\sigma = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (x_i - \mu)^2}
$$
 (14)

Where  $\sigma$  represents the population standard deviation,  $n$  represents the number of samples in the population,  $x_i$  represents  $i<sup>th</sup>$  observation in the population, and  $\mu$  represents the population mean. The values of mean and standard deviation for the subject's volume of brain tissues calculated are given in Tables [3](#page-14-0) and [4.](#page-15-0)

From Table [3,](#page-14-0) the analysis of TD and ADHD subtypes can be done based on medication status. Children of ADHD-C have a greater average GMV compared to ADHD-I and TD for both medicated and non-medicated. However, we fnd that TD with medication has 2.36% higher GMV than not-medicated children, and in the case of ADHD-C and ADHD-I



<span id="page-12-0"></span>**Fig. 3** (**a**) Input T1-weighted MRI (slice-91) (**b**) Filtered image (**c**) Clustered image (**d**) GM thresholded image (**e**) WM thresholded image

medicated children have approximately 2% lower GMV than not-medicated children. The WMV of ADHD-I medicated children on average was 1.7% higher compared to ADHD-C and 4.1% higher compared to TD children. There are no signifcant variations of GMV\_F and WMV\_F seen among the ADHD subtypes children.

From Table [4](#page-15-0), children with ADHD subtypes are analyzed based on gender. The GMV on the whole in male ADHD-I children is 3.43% lower compared to children of female ADHD-I. However, in the case of ADHD-C males have 1.6% GMV lower than female children and TD male has 3% higher GMV than females. In male subjects, the GMV of ADHD-C is 3% higher than TD and 2.3% higher than ADHD-I. The WMV of ADHD-I male children is approximately 2% higher compared to ADHD-C and TD but, in female subjects, the WMV has no signifcant variation. There is no signifcant variation in GMV\_F and WMV\_F for both genders and among the classes or diagnoses.

#### **4.3 Classifcation of TD and ADHD subtypes**

A total of 85 subject records are removed due to null values and outliers. Then, we found that the ADHD-H subtype contained only 3 subjects. Hence, we limited our classifcation model to work on ADHD-C, ADHD-I, and TD. The dataset subjects increased to 918 subjects after augmentation. These subjects with eight features were divided with a split of 20% and 80% for testing and training datasets respectively. Accuracy (A), precision (P), recall  $(R)$ , and  $f1$ -score  $(F)$  are the metrics used for evaluating the classification model  $[42]$  $[42]$  $[42]$ .

$$
A = \frac{TP_{TD} + TN_{TD}}{TP_{TD} + FP_{TD} + TN_{TD} + FN_{TD}}
$$
\n
$$
(15)
$$

<span id="page-12-2"></span><span id="page-12-1"></span>
$$
P = \frac{TP_{TD}}{TP_{TD} + FP_{TD}}
$$
\n<sup>(16)</sup>

$$
R = \frac{TP_{TD}}{TP_{TD} + FN_{TD}}
$$
\n
$$
\tag{17}
$$

<span id="page-12-4"></span><span id="page-12-3"></span>
$$
F = \frac{2 \times (P \times R)}{P + R} \tag{18}
$$

Where,  $TP_{TD}$  – predicted as TD for actual TD subjects,  $TN_{TD}$ – predicted as not TD for not TD subjects i.e. addition of TP<sub>ADHD-C</sub>, E<sub>ADHD-I/ADHD-C</sub>, E<sub>ADHD-C/ADHD-I</sub>, and TP<sub>ADHD-I</sub>,  $FP_{TD}$  – predicted as not TD but TD subjects i.e.  $E_{ADHD-CTD}$  and  $E_{ADHD-ITD}$ ,  $FN_{TD}$  – predicted as TD but not TD subjects i.e.  $E_{ADHD-CTD}$  and  $E_{ADHD-ITD}$ . The dataset has three



<span id="page-13-0"></span>**Fig.** 4 Analysis of subject diagnosis with (**a**) GMV in cm<sup>3</sup>, (**b**) WMV in cm<sup>3</sup>, (**c**) GMV<sub>-F</sub> in cm<sup>3</sup>, (**d**) WMV\_F in cm3 , and (**e**) Full IQ



<span id="page-14-0"></span>

Gender	Diagnosis	$Mean \pm Standard deviation$						
		<b>GMV</b> (in cm <sup>3</sup> )	<b>GMV F</b> (in cm <sup>3</sup> )	<b>WMV</b> (in cm <sup>3</sup> )	WMV F (in cm <sup>3</sup> )			
Female	TD.	$694.5558 \pm 32.77269$	$273.3348 \pm 16.28101$	$433.2136 \pm 38.61925$	$139.9941 \pm 12.54609$			
	$ADHD-C$	$706.0086 + 42.92489$	$274.4694 + 20.17957$	$430.0528 + 35.12515$	$140.1458 \pm 10.19224$			
	ADHD-I	$702.4973 \pm 39.92387$	$279.3382 \pm 17.39747$	$430.6523 \pm 48.49486$	$140.7048 \pm 15.46214$			
Male	TD.	$673.6645 + 47.1141$	$266.258 + 19.21021$	$453.0657 \pm 52.9375$	$145.8911 \pm 16.5636$			
	$ADHD-C$	$694.7299 \pm 43.97543$	$278.2614 + 17.23729$	$452.2528 \pm 54.20819$	$146.0432 \pm 14.77271$			
	ADHD-I	$678.4155 \pm 49.92904$	$272.624 \pm 21.08711$	$463.3309 \pm 52.18926$	$144.3756 \pm 15.77525$			

<span id="page-15-0"></span>**Table 4** Volume of brain tissues based on gender

classes, so the multiclass classifcation approach is used. For TD, the performance metrics can be calculated using  $(15)$ ,  $(16)$  $(16)$  $(16)$ ,  $(17)$  $(17)$  $(17)$ ,  $(18)$  $(18)$  and the confusion matrix is shown in Fig. [5.](#page-16-0) Similarly, the metrics for ADHD-I and ADHD-C subjects are calculated. Table [5](#page-16-1) presents the values of the performance metrics acquired through the implementation of ML methods for classifcation. Here, the XGBoost is classifying the dataset with the highest model accuracy of 92.98%. The dataset used in the study is highly complex and has non-linear relationships between features and the target variable. Therefore, algorithms like GNB, GB, and Voting classifers are not performing well. In Fig. [6](#page-17-0), the confusion matrix obtained by the XGBoost classifer is shown.

Cross-validation is a crucial stage in the ML process that reduces the impact of data variability and provides a more accurate estimate of the models' performance, assisting in the development of strong, generalizable models. When choosing and evaluating models for implementation in the real world, cross-validation is an established procedure. An extension of the common cross-validation method, Stratifed K-Fold (SKF) cross-validation [[43](#page-22-17)] is applied in this study. The cross-validation score of each ML method is shown in Fig. [7](#page-17-1). The highest cross-validation score of 0.9297 is attained by the XGBoost classifer.

By utilizing the SHAP for the efective classifcation model, the important features responsible are identifed. Figure [8](#page-18-0) shows the average impact of each feature on model output magnitude. Here, TD subjects belong to class 0, ADHD-C subjects belong to class 1, and ADHD-I subjects belong to class 2. The age and medication status of the subjects have the highest impact on the classifcation of ADHD-I and TD respectively. The subject's GMV, GMV\_F, WMV\_F, and medication status have major efects on the ADHD-C classifcation. Figure [9](#page-18-1) illustrates the order of features from high to low value having an impact on the classifcation model. It indicates that the top four features by which ADHD subtypes and TD children are classifed are medication status, full IQ, gender, and GMV.

## **5 Discussions**

This work uses a novel method to generate brain tissue volume, and the computed volumes were combined with the subject's phenotypic information. In previous research, toolboxes were used to analyze brain volume to distinguish between TD and ADHD. However, not all operating systems are supported by these toolboxes. Therefore, the volume of brain tissues is calculated in the proposed study using an algorithm. The average GM and WM volumes of the subjects calculated are  $856.0368 \text{cm}^3$  and  $557.9337 \text{cm}^3$  respectively. We find

<span id="page-16-0"></span>

Fig. 5 Confusion matrix for TD,			<b>TRUE CLASS</b>			
ADHD-C, and ADHD-I	SS		<b>TD</b>	ADHD-C	ADHD-I	
	급	TD	TP <sub>TD</sub>	E <sub>ADHD-C/TD</sub>	Eadhd-i/td	
	Ē	ADHD-C	$E_{TD/ADHD-C}$	$TP_{ADHD-C}$	$E_{ADHD-I/ADHD-C}$	
	PREI	<b>ADHD-I</b>	$E_{\text{TD} \mid \text{ADHD-I}}$	$E_{ADHD-C/ADHD-I}$	$TP_{ADHD-I}$	

<span id="page-16-1"></span>**Table 5** Comparison of ML methods for ADHD subtypes and TD classifcation



that these values are similar to the values given in BIC Template Brain [\[44\]](#page-22-18). In most of the existing research [\[45–](#page-22-19)[49](#page-23-0)], voxel-based morphometry (VBM) is used which is a common technique to analyze volumetric diferences across the entire brain. Nevertheless, it is questioned because of potential confounds. In [[50](#page-23-1)], the author has done an analysis of VBM and manual regions of interest. Here, they identifed that VBM was correctly identifying only a few brain regions. Also, suggested that both methods measure the same efects

<span id="page-17-0"></span>

<span id="page-17-1"></span>**Fig. 7** Cross-validation score obtained by diferent ML methods applying SKF cross-validation

concerning subcortical brain structures. This motivated us to make use of FCM-based segmentation and calculate the volume of segmented brain tissues.

A few similar existing work contributions are discussed in the following: In [[51](#page-23-2)], the brain volume was analyzed based on gender. They contributed that ADHD boys have reduced volume compared to TD boys while ADHD girls show higher volume compared to TD girls in the ventral anterior cingulate cortex. Here, the number of subjects used for the study was 60 among which there were 27 TD and 33 ADHD-C children who weren't taking medication. In [[52](#page-23-3)], the authors compared children with ADHD, ASD, and TD based on the development coordination disorder questionnaire (DCDQ). Fifty-fve children in the age groups of 8 to 12 were included in this study. DCDQ scores are calculated using coordination, fne motor skills, handwriting, and movement control. The association between the DCDQ score and six regional volume abnormalities is explored within each group of children. They observed that in the group of people with ASD, the volume of the right medial frontal gyrus was related to



<span id="page-18-0"></span>**Fig. 8** SHAP showing the average impact of each feature on model output magnitude

coordination skills. In children with ADHD, the volume of the right superior frontal gyrus was correlated with the overall DCDQ score. In [\[53\]](#page-23-4), the authors investigated T1 and T2 weighted MRI of 27 healthy controls and 37 drug-free ADHD children. This study used a Pearson correlation analysis. The results show that the GM regions in children with ADHD have diferent brain structures from TD in the cerebellum, the attention and execution control network, and the limbic system. This study analyzed TD and ADHD subtypes of children's brain volume based on medication status and gender.

The various ML methods were investigated and the efficient model was identified as XGBoost. The inference obtained after applying SHAP is that brain GMV, WMV, and GMV\_F are signifcant in the classifcation of ADHD subtypes along with medication status and full IQ. Table [6](#page-19-0) presents a comparison of the proposed approach with the existing research results. The proposed approach with the XGBoost classifer can classify between ADHD and TD with an accuracy of 90.64%. This indicates a 6.64% and 15.64% increase in accuracy when compared to the research performed in references [\[27,](#page-22-2) [28](#page-22-3)] respectively, using sMRI.



<span id="page-18-1"></span>**Fig. 9** SHAP showing the impact of features in the classifcation of ADHD subtypes

<span id="page-19-0"></span>

# **6 Conclusion**

The highest model accuracy of 92.98% is obtained by the proposed approach using the XGBoost classifer for ADHD subtypes and TD. This classifcation model can help identify subtypes of ADHD based on various features such as medication status, gender, full IQ score, age, GMV\_F, GMV, WMV\_F, and WMV. The most common tools such as Freesurfer and FMRIB Software Library (FSL) used in brain tissue segmentation do not support the Windows operating system [[62\]](#page-23-13). Therefore, this study introduced a method that segments the brain tissue and calculates the volume of the important brain tissues without the use of neuroimaging tools available online. This approach had the beneft of being compatible with all operating systems. Furthermore, the most important features in the classifcation of ADHD subtypes and TD were identifed by the SHAP. This can help prioritize further research and inform the clinical assessment. It is important to acknowledge the limitations of the study, including potential biases in the data and the need to validate the fndings in diverse populations.

Future studies can focus on enhancing and optimizing the ML algorithms utilized for classifying ADHD subtypes. A possible approach is to investigate various feature selection techniques, model structures, and hyperparameter optimization methods to enhance classifcation precision and applicability to various populations. Also, Longitudinal data can be used with these machine learning methods to predict treatment response, symptom progression, and functional results. This lets doctors act quickly and make personalized treatment plans. Classifcation systems could also work more efectively if they used data from a variety of sources, such as neuroimaging, genetic markers, cognitive tests, and behavioral observations. Integrating diverse data sources can provide a more comprehensive understanding of the underlying neurobiological mechanisms associated with ADHD subtypes.

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**Data availability** Visit https://fcon\_1000.projects.nitrc.org/indi/adhd200/ to get the ADHD-200 dataset.

#### **Declarations**

**Ethics approval and consent to participate** Not Applicable.

**Confict of interest** There are no disclosed possible conficts of interest for the contributors.

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