INVITED PAPER: FUNCTIONAL COATINGS, THIN FILMS AND MEMBRANES (INCLUDING DEPOSITION TECHNIQUES)



Effects of electrophoretic deposition conditions on the formation of colloidal crystalline/amorphous arrays of SiO₂ particles

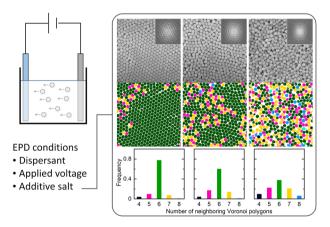
Naoki Tarutani ^{1,2} · Kenta Kawaguchi · Kiyofumi Katagiri · Kei Inumaru · Katagiri · Kei Inumaru

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Abstract

The ordering of monodispersed nano- and micro-scale particles has unique properties, such as selective light scattering, which are controlled by the degree of ordering. In structurally colored materials, particle arrays that have long-range-order (colloidal crystalline arrays) can exhibit vivid and illumination-angle-dependent color. In contrast, short-range-ordered arrays (colloidal amorphous arrays) exhibit matte and illumination-angle-independent color. We prepared SiO₂ particle arrays with controlled ordering via electrophoretic deposition. Dispersant organic solvents determined the formation of uniform arrays, the applied voltage had minor effects on the ordering, and an additive salt solution significantly changed the structures from colloidal crystalline arrays to colloidal amorphous arrays. Controlling these structures will be important for applications of structurally colored materials as novel colorants, such as color-controllable pigments.

Graphical abstract



Keywords Electrophoretic deposition · Structurally colored materials · Colloidal crystalline arrays · Colloidal amorphous arrays

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- ☑ Naoki Tarutani n-tarutani@hiroshima-u.ac.jp
- Graduate School of Advanced Science and Engineering, Hiroshima University, 1–4–1 Kagamiyama, Higashi-Hiroshima 739–8527, Japan
- ² CAT Fellow, Institute for Catalysis, Hokkaido University, N-21, W-10, Sapporo 001-0021, Japan



Highlights

- Chemically stable SiO₂ particles allowed to investigate the effect of various electrophoretic deposition conditions.
- Organic solvent dispersants having moderate dielectric constant enabled uniform particle arrays.
- The applied voltage had minor effects while additive KCl solutions significantly changed array structures.

1 Introduction

Arrays of particles and pores at the nanometer/micrometer scale often yield functional materials. For example, nanoscale metal particle arrays have plasmonic resonances [1, 2], and sub-micrometer and micrometer-scale particles/pores arrays can control light [3, 4] and heat scattering[5, 6]. Arrays of sub-micrometer particles are structurally colored materials and have been used as novel pigments. The physical origin of structurally colored materials is the interaction, such as diffraction, scattering and interfering, between visible light and fine structures of the array [7]. Assemblies of monodispersed spherical particles are versatile and reproducible ways to prepare materials exhibiting desired specific colors [8–11]. Long-range-ordered arrays (colloidal crystalline arrays) have been extensively investigated as structurally colored materials. The color is controlled by tuning the particle diameter, refractive index, and ordering [12, 13]. Characteristically, the color of colloidal crystalline arrays depends on the viewing angle. Therefore, they may be limited to decorative applications, such as jewelry, and not be applicable to others, such as paint for traffic signs. In contrast, short-range-ordered arrays (colloidal amorphous arrays) are structurally colored materials with angleindependent matte colors, non-iridescence color [14]. Hence, controlling the structures between colloidal crystalline and colloidal amorphous arrays enables a wide range of structurally colored materials for many applications.

Colloidal crystalline/amorphous arrays have been prepared via various methods, such as solvent evaporation [15, 16], thermal-assisted processes [17], centrifugation [18, 19], drop-casting [20], and spray-coating [21, 22]. However, these methods make it difficult to coat large surfaces quickly and to treat complex shapes. Alternatively, electrophoretic deposition (EPD) is a powerful, economical, and energy-efficient technique that can be used to deposit fine particles on large and complex surfaces [23, 24]. Our group has developed a EPD method to produce colloidal crystalline/colloidal amorphous array coatings [25-27]. Suspensions of monodisperse, spherical SiO₂ particles are used as starting materials. Films with controlled thicknesses have been prepared and exhibit bright structural colors, depending on the particle diameters [25]. Colloidal crystalline/amorphous arrays depend on the electrophoretic voltage [25] and the pH of the EPD sol [26]. However, those studies focused on specific rather than systematic conditions. Various EPD conditions, such as Zeta potential, solution conductivity, viscosity, particle stability against aggregation and applied voltage, significantly affect deposition kinetics [28]. Therefore, a systematic investigation is essential to control formation of colloidal crystalline/ amorphous arrays. In addition, facing configuration of working and counter substrate electrodes will realize one directional electric field and simplify the kinetics of particles during EPD rather than the configuration of substrate working electrode with spiral counter electrode employed in the previous study for a homogeneous electric field from all directions. Although Uchikoshi et al. have reported a systematic investigation for polystyrene particles, the selection of the organic solvent was limited because polystyrene regrettably dissolves in various types of organic solvents such as tetrahydrofuran [29]. Therefore, a systematic investigation of EPD using chemically stable particles is necessary to control the array structures.

Here, we used EPD of monodispersed SiO_2 particles and investigated the effects of the organic dispersant, the applied voltage, and additives on the formation of colloidal crystal-line/amorphous arrays. SiO_2 is chemically stable in a wide variety of organic dispersants, such as ethanol, tetrahydrofuran, and acetonitrile, which produce significant effects on the EPD kinetics. The applied voltage was a minor effect over the range of 5–30 V cm⁻¹. A KCl aqueous solution was used as an additive that changed the structures from colloidal crystalline arrays to colloidal amorphous arrays. Overall, control of the SiO_2 particle array structures will be important for their use as color-controllable pigments.

2 Experimental

2.1 Chemicals

Monodisperse 200-nm SiO₂ spherical particles were obtained from Fuji Chemical Co., Ltd (Japan). Hydrogen peroxide solution (H₂O₂ aq., 30 wt.%) was purchased from Junsei Chemical Co., Ltd (Japan). Ammonium hydroxide solution (NH₄OH aq., 28 wt.%), ethanol (EtOH, 99.5%), 2-propanol (≥99.7%), ethylene glycol (EG, ≥98%), tetrahydrofuran (THF, 99.5%), dimethyl sulfoxide (DMSO, 99%), and propylene carbonate (PC, ≥98%) were obtained from Nacalai Tesque, Inc (Japan). Acetonitrile (ACN, 99.5%) was purchased from Kishida Chemical Co., Ltd. (Japan). Potassium chloride was purchased from FUJIFILM Wako Pure Chemical Corp. (Japan). All reagents were used



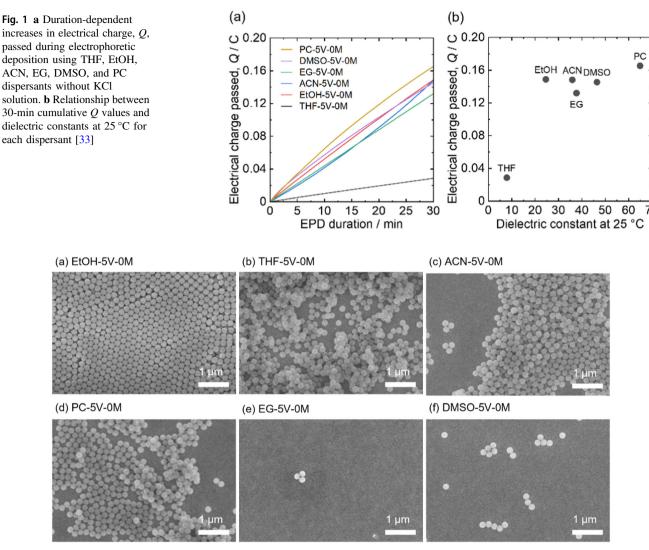


Fig. 2 SEM images of a EtOH-5V-0M, b THF-5V-0M, c ACN-5V-0M, d PC-5V-0M, e EG-5V-0M, and (f) DMSO-5V-0M

as received without further purification. Ultrapure water (resistivity $18.2\,M\Omega\cdot\text{cm}$) from a Millipore Milli-Q system (Merck Millipore, USA) was used in all experiments.

2.2 Preparation of EPD films of SiO₂ arrays under various conditions

SiO₂ particles (1.4 g) were added to a H_2O/NH_4OH (5.4 mL/0.25 mL) solution and ultrasonicated for 1 h. Then, 80.75 mL of an organic solvent dispersant (EtOH, EG, THF, DMSO, PC, or ACN) was added to the suspension and ultrasonicated for 1 h. Subsequently, $10 \,\mu\text{L}$ of an aqueous KCl solution (0, 0.1, 0.25, or 0.35 mol L $^{-1}$) was added and the resultant suspension was used as the EPD sol. Indium tin oxide (ITO)-coated glass substrates (15-mm × 40-mm) were used as working electrodes. Initially, the ITO-coated glass substrates were

immersed in cleaning solution (H2O:H2O2 aq.:NH4OH aq., 5:1:1 by volume) at 60 °C for 30 min in a water bath to remove residual organic materials, followed by rinsing in deionized water. A 15-mm × 40-mm stainless-steel plate (SUS430) was used as the counter electrode. The working and counter electrodes were immersed 10 mm apart in the EPD sol using home-made square shape cell. The EPD films were prepared on the anode ITO-coated glass substrate with a specific voltage (5-30 V cm⁻¹) and duration time (5 V cm⁻¹ for 30 min, 10 V cm⁻¹ for 15 min, 20 V cm^{-1} for 7.5 min, and 30 V cm^{-1} for 5 min), using a potentiostat (SP-300, BioLogic Sciences Instruments). After the electrophoresis, the substrates were withdrawn from the solutions at a rate of 2 mm s⁻¹ and dried at ambient temperature. Each sample was labeled according to the dispersant, applied voltage, and KCl concentration (for example, EtOH-5V-0.1M).



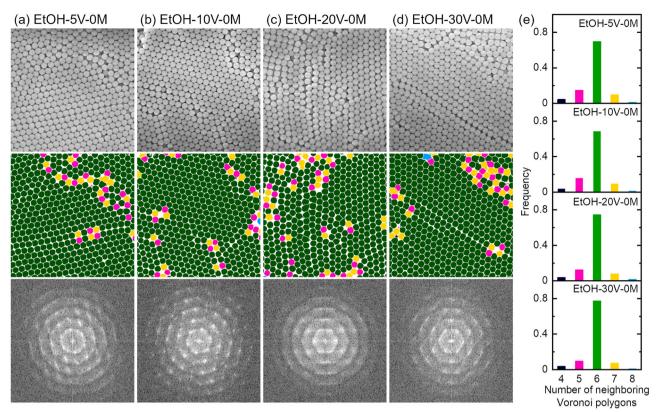


Fig. 3 SEM images (upper panels), Voronoi diagrams (middle panels), and FFT images (lower panels) of (a) EtOH-5V-0M, b EtOH-10V-0M, c EtOH-20V-0M, and (d) EtOH-30V-0M. Four-, five-, six-, seven-, and eight-fold Voronoi polygons are shown in black, pink,

green, yellow, and light-blue, respectively. **e** Distributions of the number of neighboring Voronoi polygons for EtOH-5V-0M, EtOH-10V-0M, EtOH-20V-0M, and EtOH-30V-0M (~3000 polygons counted)

2.3 Characterization

A field-emission scanning electron microscope (SEM; S-4800, Hitachi, Japan) was used to image the ordering of the SiO₂ particles. Fast Fourier transform (FFT) images and Voronoi diagrams were obtained from the SEM images using Fiji ImageJ v1.53p. Reflectance spectra were acquired with an ultraviolet-visible spectrometer (V-670, JASCO, Japan), equipped with an absolute reflectance measurement unit (ARMN-735). The detection angle was varied over the range 20–50°. The amount of electrical charge, Q, passed through the solution was recorded with a potentiostat (SP-300, BioLogic Sciences Instruments, France).

3 Results and discussion

3.1 Effect of dispersant on the EPD behavior of SiO₂ particles

The SiO₂ particles had negatively charged surfaces in the NH₄OH-containing solution because of their low isoelectric point (~2), and were thus electrophoretically deposited onto the ITO-coated glass anode [25]. Water-miscible organic solvents

were selected to investigate the effect of dispersant on EPD film formation. Figure 1a shows the amount of electric charge, Q, passed during the deposition. It increased linearly with EPD duration time for all the samples, which indicated that the SiO₂ particles were deposited on the ITO-coated glass. The final Q value varied with the dispersant and increased with the dielectric constant (Fig. 1b). Considering the electrophoretic mobility of particles given by Helmholtz-Smoluchowski equation [28, 30]; $\mu = \varepsilon \varepsilon_0 \zeta / 3\eta$, where μ , ε , ε_0 , ζ , and η are electrophoretic mobility, dielectric constant of media, dielectric constant of vacuum, zeta potential, and viscosity of media. The μ will increase with increasing the ε , which results increasing number of charged particle reaching the electrode in unit time and large Q value. Figure 2 shows SEM images of SiO₂ particle samples prepared with different organic dispersants. The EtOH-5V-0M sample formed a uniform flat film with a colloidal crystalline array (Fig. 2a). The THF-5V-0M, ACN-5V-0M, and PC-5V-0M samples exhibited inhomogeneous agglomerations with short-range-ordering (colloidal amorphous arrays). SiO₂ particles were scarcely deposited in PC-5V-0M and DMSO-5V-0M samples. Power [31] reported that EPD films of β -alumina were formed when the solvent had a dielectric constant in the range of 12-25. It was discussed that low-dielectric-constant dispersants had insufficient power to



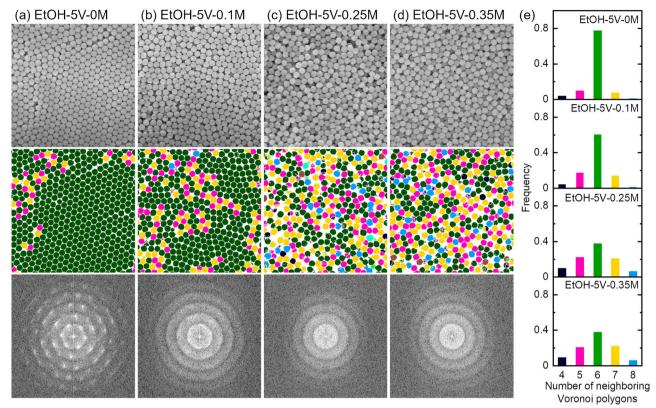


Fig. 4 SEM images (upper panels), Voronoi diagrams (middle panels), and FFT images (lower panels) of (a) EtOH-5V-0M, b EtOH-5V-0.1M, c EtOH-5V-0.25M, and (d) EtOH-5V-0.35M. Four-, five-, six-, seven-, and eight-fold Voronoi polygons are shown as black, pink,

green, yellow, and light-blue, respectively. **e** Distributions of the number of neighboring Voronoi polygons for EtOH-5V-0M, EtOH-5V-0.1M, EtOH-5V-0.25M, and EtOH-5V-0.35M (~3000 polygons counted)

dissociate ions from the solid surface into liquid to give surface charge [31, 32]. In this study, the inhomogeneous short-range-ordered SiO_2 particle array formed as a result of relatively low surface charge. Whereas, high-dielectric-constant dispersants reduced the double layer thickness and, consequently, the electrophoretic mobility, which resulted in poor deposition. Hence, by having a moderate dielectric constant, EtOH was the most suitable solvent for the synthesis of the EPD films composed of SiO_2 particles.

3.2 Effect of applied voltage on the EPD behavior of SiO₂ particles

The applied voltage was varied over 5–30 V cm⁻¹ in EtOH. EPD durations were set as noted above. To obtain comparable films, EPD durations decreased proportionately with increasing applied voltage so that the deposition amount becomes identical between the samples [34, 35]. All these samples formed uniform flat films of well-ordered arrays at 5–30 V cm⁻¹ in EtOH (upper panels in Fig. 3a–d). Corresponding FFT images revealed sharp hexagonal spots (lower panels in Fig. 3a–d), which verified well-ordered colloidal crystalline arrays. A Voronoi diagram is a computational geometry analysis [36, 37], which has been applied to colloidal array materials

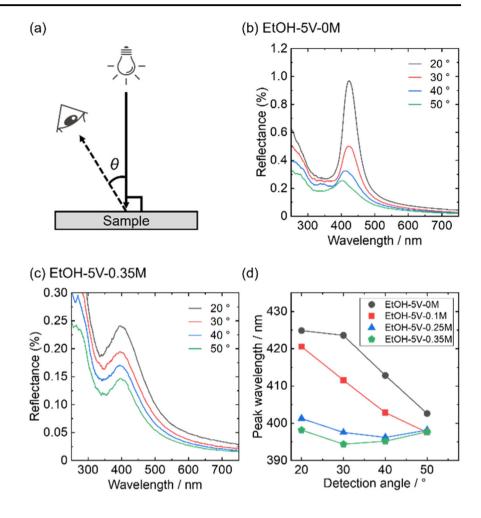
[38, 39]. As shown in the middle panels of Fig. 3a-d, the major array component was six-fold Voronoi polygons. Four-, five-, seven-, and eight-fold polygons were observed at domain boundaries, indicating disordered arrangements. The distributions of the number of neighboring are plotted in Fig. 3e for approximately 3000 polygons. The relative fractions (0.68–0.77) of six-fold polygons were comparable for all these systems, which indicated that the domain size of the wellordered SiO₂ particle array were comparable in all the samples. As reported previously [25], electrophoretic mobility under low applied voltages was low enough to allow particle rearrangements to form close-packed colloidal crystalline arrays before deposition of the next layer. Colloidal amorphous arrays will form under high applied voltages because fast EPD will disrupt particle rearrangement, analogous to the mechanism of colloidal amorphous array formation via spray-coating [40]. Here, however, the effects of applied voltage on the particle arrangements were minor over the range 5–30 V cm⁻¹.

3.3 Effect of KCl additive on the EPD behavior of SiO₂ particles

KCl was used to investigate additive effects on array formation. Figure 4 shows SEM images, Voronoi diagrams,



Fig. 5 a Schematic of incident and detection angles for reflectance measurements.
Reflectance spectra of (b) EtOH-5V-0M and (c) EtOH-5V-0.35M acquired with detection angles over the range 20–50°.
d Detection-angle-dependence of changes in the peak reflectance wavelength of EtOH-5V-0.1M (red square), EtOH-5V-0.1M (red square), EtOH-5V-0.25M (blue triangle), and EtOH-5V-0.35M (green pentagon)



FFT images, and distributions of the number of neighbors. When the concentration of KCl was increased in the additive solution, the particle arrays changed from colloidal crystalline arrays to colloidal amorphous arrays (upper panels in Fig. 4a-d). Corresponding FFT images changed from the sharp hexagonal spots of colloidal crystalline arrays to the blurred ring patterns of colloidal amorphous arrays. In the Voronoi diagrams, the EtOH-5V-0M mainly had six-fold polygons. Whereas, EtOH-5V-0.1M had non-negligible amounts of five- and seven-fold polygons, and the six-fold domains were smaller relative to those in EtOH-5V-0M. Further increases in KCl, such as for EtOH-5V-0.25M and EtOH-5V-0.35M, further decreased the number of six-fold polygons and collapsed their domains. Figure 4e shows that the relative fraction of six-fold polygons decreased from 0.77 (EtOH-5V-0M) to 0.60 (EtOH-5V-0.1M), 0.37 (EtOH-5V-0.25M), and 0.37 (EtOH-5V-0.35M) with increasing KCl concentrations in the additive solution.

Reflection spectra were acquired to characterize the entire areas of the samples. The incident angle was 0° relative to the films and the detection angle was varied over the range 20–50° (Fig. 5a). EtOH-5V-0M exhibited a sharp reflection peak at 420 nm (Fig. 5b), which blue-shifted with increasing

detection angle. This is a feature of colloidal crystalline arrays [41]. EtOH-5V-0.35M exhibited angle-independent reflection spectra (Fig. 5c), which is a feature of colloidal amorphous arrays [42, 43]. Figure 5d shows detection-angle-dependent changes in the reflection peaks. The EtOH-5V-0M and EtOH-5V-0.1M were colloidal crystalline arrays and the EtOH-5V-0.25M and EtOH-5V-0.35M were colloidal amorphous arrays considering the angle dependent change of peak wavelength. The data were consistent with the SEM images. This implies that the observed structures occurred throughout the entire area of each film, considering the reflection detection area on the sample as large as 10 mm square.

In summary, the addition of the KCl solution significantly contributed to the arrangement of the SiO₂ particles. Increasing the ion concentrations reduced the thickness of the electrical double layer of the particles [44], which decreased repulsions between the particles and retarded their rearrangement to form close-packed colloidalline crystal arrays. Salt concentrations have been reported to affect particle arrangements not only during drying but also during wet conditions [45]. As a result, randomly agglomerated SiO₂ particle arrays were electrophoretic deposited and colloidal amorphous arrays were formed.



4 Conclusions

SiO₂ particle arrays were prepared via EPD using various organic solvent dispersants, which significantly affected the depositions. Ethanol was adequate because of its moderate dielectric constant. Applied voltages over the range 5–30 V cm⁻¹ had minor effects on the particle arrangements, where colloidal crystalline arrays formed in all cases without KCl solution. In contrast, additive KCl salt solutions had strong effects on particle arrangements, and essentially controlled the transition between colloidal crystalline and colloidal amorphous arrays. The reflectance spectra indicated that such colloidal crystalline or amorphous arrays formed throughout the entire areas of the deposited films.

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Compliance with ethical standards

Conflict of interest The authors declare no competing interests.

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