Ceramics



Correlation between microstructure and mechanical properties of ZTA–TiO₂–Nb₂O₅ ceramics sintered by SPS

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ABSTRACT

This paper presents the experimental investigation of the effect of Nb₂O₅ content on the phase composition, microstructure, and physical and mechanical performance of the zirconia-toughened alumina (ZTA) ceramics, aimed to optimize the additional amount of Nb₂O₅, refine the ceramic grains, and improve the densification, hardness and fracture toughness. ZTA ceramics with various Nb₂O₅ contents were prepared using the spark plasma sintering method. The addition of trace amounts of Nb₂O₅ did not produce a new Nb-rich phase; the Nb atoms were dissolved in the ZrO₂ grains. The addition of trace amounts of Nb₂O₅ led to grain refinement and an increase in density, but the addition of excessive Nb₂O₅ resulted in grain growth and a decrease in relative density. Furthermore, the addition of Nb₂O₅ had the maximum Vickers hardness and indentation fracture toughness, which were 1228.40 HV and 9.17 MPa \sqrt{m} , respectively. Mechanical properties of the composite mainly depended on the grain size, which was influenced to some extent by the relative density.

Introduction

Zirconia-toughened alumina (ZTA) ceramics are used as structural and functional materials (for example, ceramic sleeves, cutting blades, biomedical implants, and piezoelectric ceramics) because of their outstanding physical and mechanical properties [1–3]. Although ZTA ceramics have better fracture toughness and higher hardness than Al₂O₃ and ZrO₂, respectively, it still below the standardised requirement for the aforementioned applications [4].

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Methods to improve the toughness of ZTA composites include the addition of a stabiliser and a sintering agent to improve the toughness via phase transformation toughening and microstructure refinement and the use of non-traditional processing techniques to refine the microstructure, increase the density, and improve the toughness.

The main toughening mechanism of ZTA composites is the t-m transformation of the ZrO₂ phase. When ZTA ceramics are subjected to stress, the stress-induced metastable t-ZrO2 phase transforms into the m-ZrO₂ phase [5, 6]. TiO₂, MgO, CeO₂, and other stabilisers have been used to improve the stability and enhance the t-m transformation toughening ability of the t-ZrO₂ phase [7–9]. As an excellent stabiliser, the addition of 3 wt.% TiO2 to ZTA composites results in finer grains, higher density, and excellent performance (1616 HV vs. 1516 HV for pure ZTA) [10, 11]. On this basis, RE₂O₃ was added to improve the toughness of ZTA ceramics by affecting the t-ZrO₂ transformation toughening mechanism [12]. Xia et al. [13] reported that Cr_2O_3 and Cr_2O_3 derived from Cr(NO₃)₃·9H₂O can significantly enhance the densification of ZTA ceramics by forming solid solutions with Al_2O_3 and ZrO_2 . Sui et al. [14] reported that the addition of 3 wt.% Ta₂O₅ can refine the ZrO_2 and Al_2O_3 grains, improving the density, physical properties, and fracture toughness of ZTA composites. Naga et al. [15] reported that increasing the Ta₂O₅ concentration can markedly increase the densification and mechanical performance of ZTA ceramics. Arab et al. [16] reported that the formation of the SrAl₁₂O₁₉ phase after the addition of SrCO₃ to ZTA can improve the fracture toughness; however, this was accompanied by increased porosity. Fracture toughness values of the samples were improved, but the dynamic strength was not enhanced because of the increase in porosity.

Spark plasma sintering (SPS) technology has been used to prepare ceramic composites owing to its fast heating, energy efficiency, and high sintering density [17–20]. SPS can significantly enhance the density, inhibit the growth of Al_2O_3 grains, and promote the t–m transformation toughening of ZrO_2 under external pressure [8, 21].

Although research on ZTA toughening has received widespread attention, there are few studies on the influence of the addition of Nb_2O_5 to ZTA–TiO₂ ceramics prepared by using SPS. Thus, the effect of the amount of added Nb_2O_5 on the phase

composition, microstructure, and mechanical performance of $ZTA-3TiO_2$ composites was studied, and the influence mechanism was analysed.

Experimental procedure

Raw materials

The raw materials used in this paper were Al₂O₃ powder of 0.2 µm (99.99% purity, Aladdin), ZrO₂ (YSZ) powder of 0.1–0.2 µm (ZrO₂ \geq 94%, Guangdong Orient Zirconic Ind Sci & Tech Co., Ltd.), Nb₂O₅ powder with an average diameter of 50 nm (99.90% purity, Aladdin), TiO₂ powder of 0.2–0.4 µm (EP, Aladdin). These powders were used to prepare six types of composite mixtures. Chemical compositions of the six ZTA–3TiO₂–*x*Nb₂O₅ (*x* = 0, 0.25, 0.50, 0.75, 1.00, 1.25 wt.%) powder mixtures are shown in Table 1. The ratio of Al₂O₃ to ZrO₂ was 4:1 (wt.%) in all the powder mixtures [22, 23].

Methods of preparation

Each powder mixture was ball-milled in ethanol with a zirconia ball (diameter: 5 mm) at 300 r/min for 6 h to ensure uniform powder doping. The mass ratio of the grinding ball to the mixed powder was 3:1. The ethanol in the composite slurry was evaporated until the mixture was dry; then, the mixture was completely dried in a dry oven for 12 h. The dried powder was ground and passed through a 0.048 mm aperture sieve. The sieved powder was formed into discs with diameters of 13 mm using the SPS method (SPS 632Lx, Japan). The main parameters for SPS preparation were a pressure of 40 MPa, sintering temperature of 1500 °C, and holding time of 20 min.

Table 1 The composition of the six groups of $ZTA-3TiO_2-xNb_2O_5$ in weight percentage (wt.%)

Number	Sample	ZTA	TiO ₂	Nb ₂ O ₅
ZTA-0	ZTA-3TiO2-0Nb2O2	97.00	3	0
ZTA-1	ZTA-3TiO2-0.25Nb2O5	96.75	3	0.25
ZTA-2	ZTA-3TiO2-0.50Nb2O5	96.50	3	0.50
ZTA-3	ZTA-3TiO2-0.75Nb2O5	96.25	3	0.75
ZTA-4	ZTA-3TiO ₂ -1.00Nb ₂ O ₅	96.00	3	1.00
ZTA-5	$ZTA-3TiO_2-1.25Nb_2O_5$	95.75	3	1.25

The sintered green bodies were decarburised and thermally etched at 1300 $^{\circ}\mathrm{C}$ for 2 h.

Samples characterizations

The sintered specimens were then ground and polished. X-ray diffraction (XRD, Mini Flex 600) was used to identify the phases in the composites. The 2θ range was 10° – 90° , and the scan rate was 5° /min. Microstructures of the composites were observed using scanning electron microscopy (SEM, Zeiss, EVO 180). Energy-dispersive x-ray spectroscopy (EDS, Bruker, XFlash Detector 6-30) was used to analyse the elemental distributions and chemical compositions of the phases. Image Pro software was used to measure the average grain size from the SEM images. Bulk densities of the sintered samples were measured using Archimedes' method, and relative density was defined as the ratio of bulk density to theoretical density. The hardness was measured using the Vickers hardness method (HSV-20, Japan) with a load of 5 kgf; the pressure was maintained for 15 s. The indentation fracture toughness of the composite can be calculated using the crack produced by Vickers indentation, and the Eq. (1) [24] is used to obtain the indentation fracture toughness of the composite.

$$3K_{\rm IC} = 0.035 (Ha^{0.5}) (3E/H)^{0.4} (l/a)^{-0.5}$$
(1)

where, K_{IC} is the indentation fracture toughness, H is the Vickers hardness, a is half of the Vickers diagonal indentation, E is Young's modulus, and l is the length of the radial crack.

Results and discussion

Phase composition and Microstructure

Figure 1 shows the XRD patterns of the samples with different Nb₂O₅ contents. Two main diffraction peaks were identified: Al_2O_3 (corundum) and yttria-doped t-ZrO₂ (YSZ). Owing to the addition of TiO₂, weak diffraction peaks of the trace Al_2TiO_5 phase were also present in the patterns. Wang et al. [25] reported that the addition of 3 wt.% TiO₂ to a ZTA composite will result in the formation of Al_2TiO_5 . With the addition of Nb₂O₅, the diffraction peaks of the m-ZrO₂ phase appeared. The percentage of t-ZrO₂ and m-ZrO₂ for each sample were calculated by XRD. With the



Figure 1 XRD patterns of $ZTA-3TiO_2$ composites with different Nb_2O_5 contents.

addition of Nb₂O₅ increasing from 0 to 1.25 wt.%, the mass fractions of m-ZrO₂ are 0.6%, 1.9%, 3.5%, 5.4%, 7.4% and 7.5%, while the mass fractions of t-ZrO₂ are 10.7%, 9.6%, 5.3%, 4.2%, 2.1% and 2.2%.

The appearance of the m-ZrO₂ diffraction peaks in the sample without Nb₂O₅ was due to the t-m transformation during the decarburisation and thermal etching processes. Because of the pressure applied during SPS, the samples had a high internal stress. In the subsequent thermal etching process, stress release at high temperatures caused t-m transformation of the ZrO₂ phase. When the Nb₂O₅ content increased to 0.5 wt.%, the (101) diffraction peak of t-ZrO₂ weakened and shifted to a higher diffraction angle; in addition, intensities of the (-111) and (111) diffraction peaks of m-ZrO₂ increased. When the Nb₂O₅ content is low, Ti atoms partially replace Zr and Y atoms in ZrO₂. Because the radius of Ti atoms is smaller than those of Zr and Y, the lattice parameters are reduced, and the diffraction peaks shift to a higher angle. When the Nb₂O₅ content increased to 1.25 wt.%, the (101) diffraction peak gradually shifted to a lower diffraction angle. The formation of an interstitial solid solution of Nb atoms in the t-ZrO₂ crystal interstices caused the t-ZrO₂ lattice to distort, and the lattice parameters to increase; as a result, the diffraction peak shifted to a lower angle. There were multiple m-ZrO₂ diffraction peaks with different intensities, and the intensities of the (-111) and (111) diffraction peaks of m-ZrO₂ increased significantly. Schmitt-Radloff et al. [26] reported that with increases in the sintering temperature and grain size, proportion of the m-ZrO₂ phase increases during the sintering process; therefore, the t–m transformation occurs more easily during the cooling process.

EDS was used to analyse the elemental distributions and chemical compositions of the phases in the sintered samples. Figure 2 shows an SEM backscattered electron image and EDS analysis of the ZTA-3TiO₂-0.75Nb₂O₅ composite. The grey-black structure mainly contained Al, indicating that it was Al₂O₃; the white structure mainly contained Zr, indicating that it was ZrO₂ (YSZ). In addition, Ti and Nb were significantly enriched in the ZrO₂ grains. Semi-quantitative analysis of the elemental compositions of the phases were conducted using EDS spot scanning. Figure 2b shows the EDS spot scanning results of the white ZrO₂ phase. Combined with the XRD analysis, it can be proved that the white structure was a complex phase comprising a ZrO₂ solid solution containing Ti and Nb atoms and Al₂TiO₅ phases. Phases with special morphologies were not found in the composite microstructure, such as those often found for Al₂TiO₅ and other trace phases. The relative content of the trace phase was small, and the trace phases were attached to the surfaces of the ZrO₂ grains. The external pressure during SPS inhibited the independent growth of the trace phase; therefore, there was no noticeable abnormality in the microstructure.

Figure 3 shows SEM images of the microstructures of the ZTA-3TiO₂ samples with different Nb₂O₅ contents. Al₂O₃ grains in the composite existed in the form of equiaxed particles, but the grain size was non-uniform. Compared with traditional pressureless sintering, ZrO₂ grains existed in two forms: block and layered stacking, which are marked with a red solid line and a green-dotted line, respectively. The morphology of the partial ZrO₂ grains transformed from equiaxed particles to irregular shapes, mostly at the Al₂O₃ grain boundaries. In addition, holes with different numbers and sizes existed on the samples with different Nb₂O₅ contents. As the amount of Nb₂O₅ increased, the number and diameter of the holes first decreased and then increased. The number and size of holes in the sample with the largest amount of Nb₂O₅ (1.25 wt.%) were lower than those in the sample without Nb₂O₅.

The effects of Nb₂O₅ on the Al₂O₃ and ZrO₂ grain sizes were analysed, the average grain sizes of the samples with different Nb₂O₅ contents were measured, and the grain size distributions were calculated (Figs. 4, 5). When a small amount of Nb₂O₅ (0.25 wt.%) was added, the grain size of Al₂O₃ was slightly reduced compared with that of the composite without Nb₂O₅, reaching a minimum value of



Figure 2 EDS results of ZTA-3TiO₂-0.75Nb₂O₅ composite.



Figure 3 Microstructure and typical fracture surface microstructure of ZTA-3TiO₂ composites with different Nb₂O₅ contents (wt.%): **a**, **g** 0 Nb₂O₅; **b**, **h** 0.25 Nb₂O₅; **c**, **i** 0.50 Nb₂O₅; **d**, **j** 0.75 Nb₂O₅; **e**, **k** 1.0 Nb₂O₅; **f**, **l** 1.25 Nb₂O₅.

2.03 μ m. The proportion of grains 1–2 μ m in size increased to 57%, and the grain uniformity increased. When the amount of added Nb₂O₅ was > 0.25 wt.%, the size of the Al₂O₃ grains increased significantly; moreover, the uniformity of the grains gradually decreased, and the number of abnormally coarse grains (4–6 μ m) increased. When Nb₂O₅ content was



Figure 4 Average grains size of Al_2O_3 and ZrO_2 grains for ZTA– 3TiO₂ composites with different Nb₂O₅ contents.

1.25 wt.%, Al₂O₃ grain size reached the maximum value of 2.80 μ m. When Nb₂O₅ content was > 0.5 wt.%, Al₂O₃ grain size distribution showed excellent stability, and the proportions of grains in different size ranges remained relatively constant. Seong et al. [27] reported that the addition of Nb_2O_5 can lead to the growth of Al₂O₃ grains, and the optimal amount to be added was similar to that in this study. Notably, the addition of Nb₂O₅ caused a slight increase in the grain size of ZrO₂; the morphology and grain size distribution of ZrO₂ did not change markedly, and the grain size distribution was relatively stable. When Nb₂O₅ content was low, the ZrO₂ grains agglomerated in a lamellar structure; as Nb₂O₅ content gradually increased, the grains transformed into a clumpy morphology. The ZrO₂ grains mainly existed at the grain boundaries of Al_2O_{3} , and the stress of the SPS method restricted the free flow and diffusion of the high-temperature liquid [28]. This resulted in the rapid and natural nucleation and growth of ZrO₂ grains during the cooling process, and the ZrO₂ grains were deformed under stress. Therefore, the grain size was fine, and there was a lamellar packing morphology.





Figure 5 Grain size distribution of Al₂O₃ and ZrO₂ grains for ZTA-3TiO₂ composites with different Nb₂O₅ contents.

Physical and mechanical properties

Figure 6 shows the bulk densities and relative densities of the samples with different amounts of Nb₂O₅. The measured bulk densities and relative densities of the composites were significantly affected by the Nb₂O₅ content. Theoretical densities of the composites with different Nb₂O₅ contents were approximately equal, and the slope of the theoretical density vs. Nb₂O₅ content curve was close to zero; thus, the bulk density and relative density varied in a similar manner. Overall, the bulk density first increased and then decreased with increasing Nb₂O₅ content. Bulk density improved from a minimum value of 4.07 g/cm³ for the sample without Nb₂O₅ to



Figure 6 Results of bulk density and relative density of ZTA– $3TiO_2$ composites with different Nb₂O₅ contents.

a maximum value of 4.24 g/cm^3 for the sample with 0.75 wt.% Nb₂O₅. Correspondingly, relative density of the composite also increased from 94.19 to 98.17%, which is an increase of 4.23%. It is worth noting that the bulk density of the sample with 1.25 wt.% Nb₂O₅ was 4.18 g/cm³, with relative density decreased by 96.66%; however, it was still higher than that of the sample without Nb₂O₅. The variation in the density matches well the number and size of holes in the microstructure. Interestingly, it does not completely follow the variation in the grain size. In theory, it is easier to obtain a higher density with finer grains. While the sample with 0.25 wt.% Nb₂O₅ had the smallest grain size (2.03 µm), it did not have the maximum density (95.70%). The addition of TiO_2 and trace Nb₂O₅ effectively refined the grains, while excessive Nb₂O₅ increased the Al₂O₃ grain size; however, the grain size was more uniform (Fig. 5). Therefore, grains of uniform size are more conducive to obtaining a dense microstructure.

The Vickers hardness values of the ZTA–3TiO₂ composites with different Nb₂O₅ contents are shown in Fig. 7. The variation in the Vickers hardness with the Nb₂O₅ content can be divided into three stages: it increased first, then decreased slowly, and finally, decreased sharply. The Vickers hardness of the sample with 0.25 wt.% Nb₂O₅ had the maximum value of 1228.40 HV, which was 12.08% higher than that of the sample without Nb₂O₅ (1096.0 HV). When Nb₂O₅ content was > 0.25 wt.%, Vickers hardness gradually decreased. As Nb₂O₅ content increased from 0.25 to 0.75 wt.%, the Vickers hardness



Figure 7 Results of Vickers hardness of $ZTA-3TiO_2$ composites with different Nb_2O_5 contents.

decreased by 3.96% from 1228.40 to 1179.80 HV. When Nb₂O₅ content was > 1.0 wt.%, Vickers hardness was significantly reduced. The sample with 1.25 wt.% Nb₂O₅ had the smallest Vickers hardness (957 HV), which was lower than that of the sample without Nb₂O₅.

The change in Vickers hardness was due to the effects of Nb_2O_5 on the grain sizes and relative densities of the samples. The enhancement in Vickers hardness was due to the synergistic effect of grain refinement and increased relative density [29]. Moreover, the fine grains improved the relative density and reduced the porosity and hole size. The hardness of a ceramic can be used to characterise its compressive strength, and the Ryskewitch equation represents the relationship between the strength and porosity of a material, which is given in Eq. (2) [30]:

$$\sigma = \sigma_0 \exp(-aP) \tag{2}$$

where *a* is the porosity, σ_0 is the strength at which the porosity is zero, and *P* is a constant whose value lies between 4 and 7.

There are two ways in which holes affect the strength of ceramics: by forming stress concentration points and reducing the strength. Cracks tend to form at defects in the internal region or surface of ceramics. Therefore, grain size and porosity have a synergistic effect on the strength of ceramic materials.

Combining the grain size (Fig. 4) and density (Fig. 6) measurements, the variation in the Vickers hardness is closely related to the grain size. The sample with 0.25 wt.% Nb₂O₅ had the largest Vickers hardness and the smallest Al_2O_3 grain size (2.03 μ m); however, its relative density (95.70%) was lower than the maximum value. Therefore, at a high density, the beneficial effect of grain refinement is greater than the adverse effect of the increase in the number and size of the holes on the strength [31]. The samples with 1.0 and 1.25 wt.% Nb₂O₅ had grain sizes of 2.72 and 2.79 µm and the lowest Vickers hardness values of 976.20 and 957.0 HV, respectively, which were lower than those of the sample without Nb₂O₅. Grain growth led to a coarser microstructure of the composite, resulting in reduced Vickers hardness. In particular, in the Vickers hardness test, partial indentation of the samples with 1.0 and 1.25 wt.% Nb₂O₅ caused them to collapse and fracture, as shown in Fig. 8, resulting in indentations without a complete shape and clear outline. The grain sizes of these samples were also the largest, and the coarse



Figure 8 Surface morphology of crack for ZTA–3TiO₂ composite with different Nb₂O₅ content: **a**, **g** 0 Nb₂O₅; **b**, **h** 0.25 Nb₂O₅; **c**, **i** 0.50 Nb₂O₅; **d**, **j** 0.75 Nb₂O₅; **e**, **k** 1.0 Nb₂O₅; **f**, **l** 1.25 Nb₂O₅.



grains had an adverse effect on the density. Therefore, the coarse grains and lower relative densities of the samples with high Nb_2O_5 contents led to a decrease in the strength.

The crack propagation of ZTA-TiO₂-Nb₂O₅ samples with different Nb₂O₅ additives is shown in Fig. 8. Scanning electron microscopy pictures of the indentations display microcracks beginning from the corners of the Vickers indentations. Cracks in all samples were deflected during expansion. Figure 8b shows the crack morphology of the sample with an Nb₂O₅ addition of 0.235 wt.%. Compared with the crack morphology of other samples, the crack has a significant deflection. Moreover, cracks propagate directly through these grains. The crack propagation behaviour indicates that the crack deflection has a toughening effect on the ZTA ceramics, which is also consistent with the fracture toughness results. The reason of the fracture's toughness increasing of the ZTA–Nb₂O₅ ceramics is crack deflection.

Figure 9 shows the indentation fracture toughness of the ZTA-3TiO₂ composites with different amounts of Nb₂O₅. The sample with 0.25 wt.% Nb₂O₅ had the maximum indentation fracture toughness of 9.17 MPa \sqrt{m} . As the Nb₂O₅ content increased, the indentation fracture toughness decreased by varying degrees. The indentation fracture toughness of the sample with 1.25 wt.% Nb₂O₅ had the minimum indentation fracture toughness of 7.05 MPa \sqrt{m} , which was 23.37% lower than the maximum value. The indentation fracture toughness of the samples with trace amounts of Nb₂O₅ improved mainly



Figure 9 Results of indentation fracture toughness of $ZTA-3TiO_2$ composites with different Nb₂O₅ contents.

because the addition of TiO₂ and Nb₂O₅ refined the Al₂O₃ grains and suppressed the t-m transformation of t-ZrO₂. At high densities, the addition of TiO_2 and Nb₂O₅ prevents grain growth, and TiO₂, as an YSZ stabiliser, inhibits the t-m transformation of the ZrO₂ phase. In addition, the stress of SPS also inhibits grain growth and the t-m phase transition by limiting the volume expansion. The reduction in the grain size significantly enhanced the strength and toughness of the composites and increased the load-bearing capacity. The suppression of the t-m transformation can promote stress-induced transformation toughening of the t-ZrO₂ phase at room temperature. Adding excessive Nb₂O₅ led to the growth of Al₂O₃ grains, and the metastable t-ZrO2 underwent t-m transformation, which reduced the phase transformation toughening effect, thereby reducing the indentation fracture toughness.

Conclusions

The effects of Nb_2O_5 content on the microstructure and mechanical performance of ZTA-3TiO₂ composites prepared by SPS were studied. The main research conclusions are as follows:

- The addition of TiO₂ stabilises the metastable t-ZrO₂, inhibits the ZrO₂ transformation, and generates Al₂TiO₅ phase. The addition of trace Nb₂O₅ does not produce a new niobiumrich phase, and Nb atoms are dissolved in ZrO₂ grains. But, the addition of excessive Nb₂O₅ promotes the t-m transformation of the t-ZrO₂ phase producing the m-ZrO₂.
- 2. When the content of Nb₂O₅ is less than 0.25 wt.%, TiO₂ and Nb₂O₅ inhibit the grain growth of Al₂O₃ and ZrO₂. When the contents of Nb₂O₅ exceed 0.25 wt.%, partly TiO₂ stabilizer is replaced by Nb₂O₅, which reduces the ability of TiO₂ on grain refinement. However, the addition of Nb₂O₅ promotes the stability of grains size and improves the uniformity of the microstructure.
- 3. The density of ZTA–3TiO₂ composite gradually improves with the increase of Nb₂O₅ content, the sample with 0.75 wt.% Nb₂O₅ shows the maximum relative density of 98.17%. As the Nb₂O₅ content increases to 1.25 wt.%, the density decreases, but the density higher than the sample

without Nb₂O₅. The grain size of the sample with $0.25 \text{ wt.}\% \text{ Nb}_2\text{O}_5$ is the smaller of $2.03 \mu\text{m}$.

4. When Nb₂O₅ content is 0.25 wt.%, the Vickers hardness and indentation fracture toughness reach the maximum value, which are 1228.40 HV and 9.17 MPa \sqrt{m} , respectively. However, as the addition amount of Nb₂O₅ further increases, the grains growth and the decrease in relative density result in a reduction in Vickers hardness and indentation fracture toughness. Therefore, in the case of high relative density, the grain size plays a decisive role in the mechanical properties of the composite.

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Declarations

Conflict of interest The authors declare that they have no conflict of interest.

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