S.I. : NEURO, FUZZY AND THEIR HYBRIDIZATION



# Knowledge and data-driven hybrid system for modeling fuzzy wastewater treatment process

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#### Abstract

Since wastewater treatment processes (WTP) are generally accompanied with intense coupling and fuzziness, conventional biochemical mechanisms-based methods cannot comprehensively express the WTP due to limited computational ability. In response to the challenge caused by fuzziness, this paper proposes a hybrid control and prediction system for modeling WTP with the fuse of Activated Sludge model, Convolutional neural network and Long short-term memory neural networks (AS-CL) with knowledge and data-driven characteristics. Moreover, the activated sludge model is employed to model the wastewater treatment process based on the perspective of knowledge. Besides, the hybrid neural network that combines convolutional neural network and long short-term memory model is adopted to model the WTP from the perspective of data. Then, a multi-layer perception model is set up to realize collaborative awareness of data and knowledge. Lastly, the proposed AS-CL has been evaluated by a real-world data-set collected from a real sewage treatment plant. The results show that compared with typical existing methods, the proposal improves modeling efficiency. With the collaborative modeling scheme, influence from fuzziness on WTP can be reduced to some extent. Compared with five benchmark methods of the two evaluation indexes, the results of AS-CL show that the average performance of this method exceeds 7% of the baseline.

Keywords Hybrid system · Fuzzy wastewater treatment process · Data model · Knowledge model

# 1 Introduction

Despite the tremendous progress gained in the industrial era in the past few decades, the fuzziness of the industrial process still exists and wastewater treatment processes

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(WTP) are a typical type  $[1-3]$ . In recent years, it has become a hot topic to explore new technologies and management patterns in related fields. The model expression of WTP is able to guide the management of sewage treatment plants to a certain extent while improving treatment efficiency, especially the Internet of Things provides enough data support for modeling [\[4](#page-19-0), [5](#page-19-0)]. The key indicator of WTP management is to control the amount of dissolved oxygen (DO) in reaction processes. The aeration device at the bottom of the aerobic tank supplies oxygen so that to reduce or remove the number of pollutants [[6–8\]](#page-19-0). Therefore, the establishment of a model which can simulate the whole process of wastewater treatment from influent to effluent will extremely help to solve fuzziness in the process of WTP  $[9-11]$ .

In recent years, efficient management mechanism of WTP has become a hot research point [\[12–15](#page-19-0)]. Currently, relevant management mechanisms can be divided into two categories: knowledge-driven methods and data-driven methods [[16](#page-19-0)–[20\]](#page-20-0). The former primary establishes the

<span id="page-1-0"></span>process model via researching the biochemical mechanism characteristics of WTP, such as Activated-Sludge-Models (AMS) series [[21–23\]](#page-20-0). However, the knowledge-driven approaches are highly dependent on a great number of model parameters while the parameter measurement approaches are complicated [[24,](#page-20-0) [25](#page-20-0)]. Because of the limitation of manual computation capability and increasing business volume at the present stage, results of knowledgebased methods are more and more difficult to satisfy the needs of industrial management. In contrast, data-driven methods aim to discover hidden features and patterns within the data from the perspective of statistics to enhance the level of automation and management efficiency [\[26](#page-20-0), [27](#page-20-0)]. However, data-driven approaches to the industrial process of WTP have been highly abstracted, focusing on the statistical learning of data characteristics. Therefore, the impact of biochemical factors in WTP was completely ignored [\[28](#page-20-0)].

To overcome the limitations of knowledge-driven or data-driven methods, this paper attempts to capture efficient management solutions via knowledge and data-hybrid mechanism-driven method. In this paper, a unique hybrid system with the fuse of Activated Sludge model, Convolutional neural network and Long short-term memory neural networks (AS-CL) is proposed. This multi-mode integration [\[29](#page-20-0), [30\]](#page-20-0) will resolve the fuzziness associated with wastewater treatment processes more efficiently. As far as this paper can see, the scheme of combining the two mechanisms in the field of WTP to improve management in this field does not seem to be mentioned. In addition, plenty of existing research has focused on the modeling of processing processes with different time-stamps, which are mutually independent [[31,](#page-20-0) [32\]](#page-20-0). However, a large number of dates have indicated that the changing process of the biochemical reaction will influence the treatment to process the next time-stamp. The proposed AS-CL in this paper is able to capture the global features of WTP more comprehensively, with better expression of the established process model. As far as this paper acknowledged, the proposed AS-CL is the first to implement knowledge-driven and data-hybridly driven management for WTP and consider the effect of multi-source feature fusion under a global perspective. Main contributions of this paper are summarized as follows:

- (1) We reveal the limitations of single knowledge-driven methods and data-driven methods for WTP management.
- (2) We put forward the AS-CL model with knowledgedriven and data-hybridly driven management mechanism for WTP under the internet of things environment.

(3) We carry out extensive experiments on three realsociety data-sets to demonstrate the validity of the proposed AS-CL.

# 2 Related work

#### 2.1 Data-driven modeling

The essence of data-driven modeling is to provide solutions for different industrial application requirements under different data characteristics and process characteristics. Many scholars studied and developed data-driven models to excavate the hidden features and patterns from the perspective of statistics to improve the level of industrial automation and management efficiency. For instance, Yaqub et al. [[33\]](#page-20-0) proposed and developed a neural network based on long short-term memory (LSTM) to predict nitrogen and phosphorus removal efficiency in an anaerobic-anoxic-oxic membrane bioreactor (A-A-O MBR) system. Wang et al. [[34\]](#page-20-0) proposed a dynamic chemical oxygen demand (COD) prediction model for urban sewage based on the hybrid CNN-LSTM deep learning algorithm to reduce unnecessary energy and material consumption. Nasser et al. [\[35](#page-20-0)] proposed a paradigm of the Internet of Things based on micro-services and containers to present a testbed for various scenarios that can be used in water resources management.

# 2.2 Knowledge-driven modeling

As a new research method, the activated sludge mathematical model has been applied widely in sewage treatment. Researchers at home and abroad have done considerable research on the activated sludge mathematical model, which mainly focuses on the utilization of activated sludge mathematical model to guide the design and transformation of the sewage treatment plant, optimization control, and so on. For example, in the study of Spérandio et al. [[36\]](#page-20-0), the submerged membrane bioreactor (SMBR) system model was established by using activated sludge model no.1 (ASM1) and activated sludge model no.3 (ASM3) models for the evaluation of municipal wastewater treatment. Kim et al. [[37\]](#page-20-0) adopted simplified activated sludge model no.2 (ASM2) to describe the dynamics of partial parameters in wastewater treatment plants. Chen et al. [[24\]](#page-20-0) established activated sludge model no.2d (ASM2d) to simulate the sewage treatment process, which was calibrated and validated for some parameters.

### 2.3 CNN-LSTM simulation modeling

The convolutional neural network (CNN) is a model widely used to construct data feature space, and long shortterm memory (LSTM) models are mainly used to extract time-series features of data. The effective combination of the two can improve the accuracy and stability of the model to a certain extent. There are some typical examples. Wang et al. [[34\]](#page-20-0) proposed a prediction model for the dynamic chemical oxygen demand (COD) of urban sewage. The experimental results indicate that this hybrid CNN-LSTM deep learning algorithm has better prediction performance than the independent CNN or LSTM model. Li et al. [[38\]](#page-20-0) established a hybrid CNN-LSTM model to predict PM2.5 concentration in the next day. The experimental results show that the proposed multivariate CNN-LSTM has a prominent prediction effect compared with other models.

# 3 Overview

# 3.1 Problem statement

This research investigated a sewage treatment plant in Chongqing, which is a typical improved anaerobic-anoxicoxic (A-A-O) process. It can be clearly observed from Fig. 1 that chemical oxygen demand (COD) and ammonia nitrogen ( $NH_4-N$ ) are regarded as two primary pollution indexes in need of treatment. The biological treatment

Fig. 1 Kernel process structure of the sewage treatment plant

system has three series of improved A-A-O biological treatment processes in which each series contains two groups of anoxic-anaerobic-anoxic-oxic (A-A-A-O) treatment tanks with basically the same internal structure: a preanoxic tank, anaerobic tank, anoxic tank and oxic tank. Among them, underwater propeller is set in the anaerobic and anoxic tanks; membrane microporous equipment is installed in the oxic tank, and the air source provided via the blower room is used for aeration. Municipal sewage enters into the biological treatment system through a series of pre-treatment processes. The degradation process of the pollution index is achieved by adjusting the aeration rate, referring to the amount of dissolved oxygen (DO). In addition, the reflux condition of the A-A-A-O system is stipulated as follows: the process of the mixture from the oxic tank to the anoxic tank is regarded as internal reflux, and its internal reflux rate is 270%; the process of sludge from the secondary sedimentation tank to the pre-anoxic tank is taken as external reflux, and the external reflux rate is 100%. The experimental data-set employed in this research came from the major indexes in WTP monitored in a sewage treatment plant in 2018. The purpose of this paper is to establish an AS-CL mechanism to generate a mapping model, which predicts the export pollutant indexes according to the inlet pollutant indexes and the DO concentration value in each tank. Figure 1 demonstrates kernel process structure of the sewage treatment plant, and the core terms are defined as follows:

Definition 1 Chemical Oxygen Demand (COD): It refers to the amount of oxidant consumed to oxidize the reducing



<span id="page-3-0"></span>substances in 1-L (liter) water sample under certain conditions, which can be converted into the number of milligrams of oxygen required for each liter of water sample to be fully oxidized. It reflects the degree to which the water is polluted for reducing substances.

Definition 2 Ammonia Nitrogen (NH<sub>4</sub>-N): It refers to nitrogen in the form of free ammonia  $(FNH<sub>3</sub>)$  and ammonium ions  $(NH_4^+)$  in water, that is, combined nitrogen in the form of ammonia or ammonium ions.

Definition 3 Dissolved Oxygen (DO): It refers to the oxygen in the air or aeration device that is dissolved in water to form dissolved oxygen, which is added to the aerobic tank.

### 3.2 Framework

Figure 2 illustrates framework of the proposed AS-CL mechanism, which contains two stages. The first stage contains two parts. In the first part, model simulation software is utilized to put up the ASM model for the actual process of the sewage treatment plant-based A-A-O theory. In the second part, CNN-LSTM hybrid neural network [\[39](#page-20-0), [40\]](#page-20-0) is introduced to construct a data model of wastewater treatment process from the perspective of statistics. In this part, the first learning is performed to train the CNN-LSTM model by inputting real-monitor data-sets to make it possess prediction ability. In the second stage, the prediction results of the ASM model and hybrid neural network model are integrated and re-predicted through a multi-layer perceptron [\[41](#page-20-0), [42\]](#page-20-0). Therefore, the second learning is carried out in this stage, and the prediction result of the previous stage is used as the input data-set of this stage to train the CNN-LSTM model.

The inlet COD and the inlet  $NH_4-N$  at the t-th timestamp are expressed as  $\Re_{in}$  and  $\mathfrak{B}_{in}$ , respectively. The amount of DO added to oxic tanks at the t-th time-stamp is denoted as  $\mathfrak{U}_{ij}^{(t)}$ , where *i* denotes the six groups and *j* denotes the two oxic tanks of each group. The total parameters can be finally aggregated into a feature matrix  $y<sub>(t)</sub>$  which will be input into CNN model to be output into  $h_i$ . Then, the  $h_i$  is regarded as input of each time-stamp in LSTM [\[43](#page-20-0)] model and further output a feature vector *F*.

Besides,  $y_{(t)}$  will be input into the ASM model to obtain the output result  $W_e$ . Finally, F and  $W_e$  are retrained by the multi-layer perceptron to further obtain the prediction result  $o_{t+1}^i$ .

In addition, the main symbols and acronyms used in this study are summarized in Tables [1](#page-4-0) and [2](#page-5-0)

# 4 Methodology

This section illustrates the modeling process of the proposed AS-CL through three main parts. Firstly, the CNN was employed for extracting the data spatial feature; and LSTM was applied to extract the temporal features. Then, the activated sludge model is used to construct the microscopic biological model. Finally, a multi-layer perception model is established to realize collaborative awareness of data and knowledge. The description of the technology roadmap for this section is shown in Fig. [3](#page-6-0).

#### 4.1 Data modeling

In the process of wastewater prediction, water quality index exists two essential attributes: the spatial attribute which is the interrelations within wastewater input; and the time



Fig. 2 Framework of the AS-CL mechanism

<span id="page-4-0"></span>Table 1 The nomenclature section with acronyms

Acronyms Definition			
<b>WTP</b>	Wastewater Treatment Processes		
<b>CNN</b>	Convolutional Neural Network model		
<b>LSTM</b>	Long Short-Term Memory model		
ASM	Activated sludge models		
$AS-CL$	fuse of Activated Sludge model, Convolutional neural network and Long short-term memory neural networks		
DO	Dissolved Oxygen		
COD	Chemical Oxygen Demand		
$NH_4-N$	Ammonia nitrogen		
$A-A-O$	Anaerobic-Anoxic-Oxic biological treatment processes		
$A - A - A - O$	Anoxic-Anaerobic-Anoxic-Oxic biological treatment processes		

attribute which is the correlations between current water quality and past inputs. To meet the spatial and time attribute of the water quality index, the hybrid CNN-LSTM model is adopted for the effluent index forecast. Concretely, the CNN was employed to extract the data spatial feature, while the LSTM was applied to extract the temporal features, because current pollutant concentrations are suffered by the historical pollutant concentration. Figure [4](#page-6-0) presents the architecture of the involved CNN-LSTM model in detail.

1D-CNN is widely used in sequence data processing because of the nature, which includes an input layer, several 1D convolution layers, a pooling layer, and a fully connected layer. LSTM mainly consists of cell state and gating unit which includes forget gate, input gate and output gate. Meanwhile, tanh function and sigmoid function are introduced to overcome gradient disappearance [\[44](#page-20-0)] and control the degree of forgetting or remembering information, respectively. The architecture exploits the CNN as the base structure. Firstly, compressing redundant data aims to utilize its convolutional layer, and then extracting features aims to utilize pooling layer. Subsequently, this paper uses a flat layer to process the data format into the one that conforms to the LSTM requirements. The output of the flat layer is used as input to the LSTM. The data is capable of fully filtered, calculated, and output in LSTM. Finally, the fully connected layer is applied to decode the LSTM output and obtain effluent index concentration value in the next period.

The convolution layer is the core part of the convolution neural network. With the traversal of the convolution kernel in each matrix local position sliding from left to right ''Z'' glyph, the convolution operation is repeated to obtain a complete feature map. The essence of the convolution operation is to extract data features, which refer to the inner product operation between the convolution kernel and local feature matrix, and can be calculated by formula (1).

$$
y_i = P(y_{i-1} \odot z_{ij} + b_i) \tag{1}
$$

where  $y_i$  is the local feature matrix of the *i*-th convolution layer,  $P(\cdot)$  is the activation function, and  $\odot$  is the inner product operation,  $z_{ii}$  represents convolution kernel matrix, and  $b_i$  is the bias vector of the *i*-th layer.

Pooling refers to downward sampling on the width and height dimensions without changing the depth dimension. Pooling operation is capable of reducing the computation and extracting salient features through downward sampling. The max-pooling method is exploited in this paper, which selects the feature points maximum in the neighborhood as the final eigenvalue, and it is expressed as follows:

$$
h_{\rm i} = \max\_pooling(x_{\rm ij})\tag{2}
$$

where  $h_i$  is the output of pooling operation, max\_pooling $(\cdot)$ is the pooling method, and  $x_{ij}$  is the pooling filter matrix. LSTM is a special RNN in which three additional states are introduced to a single cycle structure compared with the RNN. The detailed process of data processing via LSTM is described as follows:

$$
f_{n} = \gamma(\omega_{f} \cdot [g_{n-1}, s_{n}] + b_{f}) \tag{3}
$$

$$
i_{n} = \gamma(\omega_{i} \cdot [g_{n-1}, s_{n}] + b_{i}) \tag{4}
$$

$$
\tilde{C}_n = \tanh(\omega_c \cdot [g_{n-1}, s_n] + b_c) \tag{5}
$$

$$
C_{n} = f_{n} \cdot C_{n-1} + i_{n} \cdot \tilde{C}_{n}
$$
 (6)

$$
o_{n} = \gamma(\omega_{o} \cdot [g_{n-1}, s_{n}] + b_{o}) \tag{7}
$$

$$
h_{n} = o_{n} \cdot \tanh(C_{n}) \tag{8}
$$

where  $f_n$ ,  $i_n$ , and  $o_n$  represent forget gate, input gate, and output gate expression at time n, respectively; the forget gate  $f$ , which characterizes the forget rate of the cell memory given data input; the input gate  $i$ , which determines how much proportion of information input shall merge into the cell memory; and the output gate  $o$ , which controls how the cell memory shall influence the node output.  $\gamma$  is the sigmoid function,  $\gamma = \frac{1}{1 + \exp(-x)}$ ;  $\omega$  indicates the weight matrix;  $g_{n-1}$  indicates the cell state of the previous LSTM;  $s_n$  means the input information of the current time, and b means the bias parameter;  $\tilde{C}_n$  denotes the candidate value vector generated by tanh layer, whose function is expressed as  $\tanh = \frac{\exp(x) - \exp(-x)}{\exp(x) + \exp(-x)}$ ,  $C_n$  is updated cell state based on the output of the forget gate, the input gate, and the unit state; and  $h_n$  is the final output of LSTM.

Each node in the fully connected layer is connected to all nodes in the previous layer to integrate the features

<span id="page-5-0"></span>Table 2 The symbols used in the methodology

Symbols	Definition		
Уi layer	The local feature matrix of the $i$ -th convolution		
Convolution kernel matrix $z_{ij}$			
The bias vector of the $i$ -th convolution layer $b_i$			
The output of pooling operation $h_i$			
The pooling filter matrix $x_{ii}$			
Forget gate, input gate, and output gate $f_n$ , $i_n$ , $o_n$ expression at time n, respectively			
The sigmoid function γ			
The weight matrix $\omega$			
The bias vector b			
The cell state of the previous LSTM $g_{n-1}$			
The input information of the current time $S_{n}$			
$\tilde{C}_n$ The candidate value vector generated by tanh layer			
$C_{n}$ Updated cell state based on the output of the forget gate, the input gate, and the unit state The final output of LSTM $h_{\rm n}$			
F The output matrix of fully connected layer			
The weight matrix of the fully connected layer $\omega_{\text{F}}$			
Iterated function $\theta'$			
$\mathbf{J}(\theta)$ The loss function			
Eigenvalue x			
Target value			
у $h_{\theta}(\cdot)$ Hypothesis function			
The parameter exponent J			
The total amount of material in influent and $W_1, W_2$ effluent			
The number of substances involved in the $W_{\rm r}$ reaction process			
V The reactor volume			
Tate equation of $u$ $\left(\frac{dc_{\rm u}}{dt}\right)$			
Different material components $\boldsymbol{u}$			
$Q_i$ , $Q_e$ The influent and effluent flow rate			
The initial concentration and final concentration $c_{\text{i,u}},\ c_{e,u}$ of $u$ in the reactor			
The anaerobic, anoxic, oxic tank, and secondary $V_{one}$ , $V_{two}$ , $V_{\text{three}}$ , $V_{\text{four}}$ settler volume, respectively			
Returned activated sludge volume $\varrho_{\rm s}$			
Concentration of $u$ in the returned sludge $c_{s,u}$			
$Q_{one}$ , $Q_{two}$ , The effluent flow rate of anaerobic, anoxic, oxic $Q_{\text{three}}$ , $Q_{\text{four}}$ tank, and secondary settler, respectively			
Effluent concentration of anaerobic, anoxic, oxic $c_{one,u}, c_{two,u},$ tank, and secondary settler, respectively $c_{\text{three},u}, c_{\text{four},u}$			
Returned fluid volume $Q_{\rm o}$			
A concentration of u in the returned fluid $c_{o,\mathrm{u}}$			
Sludge discharge volume $Q_{\rm p}$			
$v_{t+1}^i$ The output of the hidden layer			
$\beth_{t+1}^i$ The output of the multi-layer perceptron network			
$\omega$ ת, $b$ Weight and bias of the output layer, respectively			





extracted from the front-end for data prediction. The fully connected layer can be calculated as follows:

$$
F = \beta(\omega_{\rm F} \cdot h_{\rm n} + b_{\rm F}) \tag{9}
$$

where F is the output matrix,  $\beta(\cdot)$  is the activation function;  $\omega_F$  is the weight matrix of the fully connected layer;  $h_n$ denotes the inputs of fully connected layer; and  $b_F$  is the bias parameter.

Overfitting is a common phenomenon in the process of deep neural network training because of proportional imbalance between model parameters and training samples. Stochastic gradient descent is one of the simple and effective techniques for addressing this type of phenomenon where a sample is randomly sampled to update parameters. The eigenvalues are iteratively calculated in the form of random sampling to update the parameters which can be expressed as follows:

$$
\theta_{i}^{'} = \theta_{i} - \alpha \cdot \frac{\partial}{\partial \theta} J(\theta)
$$
\n(10)

$$
\frac{\partial}{\partial \theta} J(\theta) = (y^j - h_{\theta}(x^j)) x_i^j \tag{11}
$$

where  $\theta$  represents a key parameter in the function, whose initial value can be randomly assigned;  $\theta'$  stands for iterated function;  $\alpha$  is the learning rate, that is, the step length along the gradient negative direction in the iteration process;  $J(\theta)$  is the loss function; x and y denote eigenvalue and target value;  $h_{\theta}(\cdot)$  denotes hypothesis function suitable for the algorithm; and  $j$  is the parameter exponent,  $j \in (1, m)$ .

# 4.2 Knowledge modeling

At present, the knowledge model of wastewater treatment is mainly based on the equation, combined with the reactor and microbial theory, the matrix degradation, and microbial growth process parameters are described to obtain the complete model. However, on the one hand, because these models are complicated, the biochemical reactions are required to be simplified in the use process. On the other hand, some specific parameters of biochemical reactions

<span id="page-6-0"></span>

Fig. 4 Flowchart of the CNN-LSTM model

cannot be accurately measured in practice, which hinders the application of the model.

With the research of environmental engineering methods and methods, various methods for the control and treatment of sewage developed with the action of microorganisms as the subject thing have made considerable progress. In particular, activated sludge method, biological membrane method, anaerobic treatment, biological denitrification, and biological phosphorus removal, etc., technologies have been quite mature and increasingly perfect. The activated sludge model (ASM) is a kind of knowledge models which are the most widely used wastewater treatment method at domestic and abroad. The degradation or removal of waste from wastewater is achieved primarily through the breed and maintenance of microbial communities. Taking the Anaerobic-Anoxic-Oxic (A-A-O) of the activated sludge model no.2 (ASM2) model as an example, as shown in Fig. [5](#page-8-0), it mainly consists of the aerobic tank, anoxic tank, oxic tank, and secondary clarifiers. In addition, the system also includes an internal circulation system and an external circulation system.

The original ASM2 system involved various parameters which increased the complexity of the model. This study attempts to simplify the model parameters to a certain

extent without affecting the function of the system. According to the material conservation principle, the equilibrium relationship within ASM2 is expressed as follows.

$$
w_i + w_r = w_e \tag{12}
$$

where  $w_i$  and  $w_e$  represent the total amount of material in influent and effluent;  $w_r$  is the number of substances involved in the reaction process. The specific equation for the change of the concentration of the different substance is explained by following formula:

$$
V\left(\frac{dc_{\mathbf{u}}}{dt}\right) = Q_{\mathbf{i}}c_{\mathbf{i},\mathbf{u}} - Q_{\mathbf{e}}c_{\mathbf{e},\mathbf{u}} + \sum_{R} V\left(\frac{dc_{\mathbf{u}}}{dt}\right)_{R}
$$
(13)

where  $V$  is reactor volume;  $u$  is different material components;  $\left(\frac{dc_u}{dt}\right)$  is rate equation of u;  $Q_i$  and  $Q_e$  denote the influent and effluent flow rate;  $c_{i,u}$  and  $c_{e,u}$  express the initial concentration and final concentration of  $u$  in the reactor; and  $\sum_{R} \left(\frac{dc_{\rm u}}{dt}\right)_{R}$  is the biochemical reaction rate equation of  $u$ . To maintain the microbiological communities in the reactor, partial of the phosphorus-containing sludge is re-circulated into the anaerobic tank through external circulation. According to formula (14), the equilibrium equation of this stage is expressed as follows:

$$
V_{\text{one}}\left(\frac{dc_{\text{u}}}{dt}\right) = Q_{\text{i}}c_{\text{i,u}} + Q_{\text{s}}c_{\text{s,u}} - Q_{\text{one}}c_{\text{one,u}} + \sum_{R} V_{\text{one}}\left(\frac{dc_{\text{u}}}{dt}\right)_{R} \tag{14}
$$

where  $V_{\text{one}}$  represents the anaerobic tank volume;  $Q_{\text{s}}$  is returned activated sludge volume;  $c_{s,u}$  is concentration of u in the returned sludge;  $Q_{one}$  is the effluent flow rate of anaerobic tank;  $c_{one,u}$  is effluent concentration of anaerobic tank.

Anoxic tank and oxic tank constitute the internal circulation system of the process, which refers to the circulation process of the mixture from the oxic tank to the anoxic tank. The mixtures provide the microorganisms in the anoxic tank with sufficient carbon source to maintain stable operation, trying to depict the equilibrium relationship between the anoxic tank and oxic tank through the following two formulas:

$$
V_{\text{two}}\left(\frac{dc_{\text{u}}}{dt}\right) = Q_{\text{one}}c_{\text{one,u}} + Q_{\text{o}}c_{\text{o,u}} - Q_{\text{two}}c_{\text{two,u}}
$$

$$
+ \sum_{R} V_{\text{two}}\left(\frac{dc_{\text{u}}}{dt}\right)_{R} \tag{15}
$$

$$
V_{\text{three}}\left(\frac{dc_{\text{u}}}{dt}\right) = Q_{\text{two}}c_{\text{two},\text{u}} - Q_{\text{o}}c_{\text{o},\text{u}} - Q_{\text{three}}c_{\text{three},\text{u}}
$$

$$
+ \sum_{R} V_{\text{three}}\left(\frac{dc_{\text{u}}}{dt}\right)_{R} \tag{16}
$$

where  $V_{\text{two}}$  and  $V_{\text{three}}$  denote the anoxic and oxic tank volume;  $Q_0$  stands for returned fluid volume;  $c_{0,u}$  is a concentration of u in the returned fluid;  $Q_{two}$  and  $Q_{three}$ represent the effluent flow rate of anoxic tank and oxic tank; and  $c_{\text{two,u}}$  and  $c_{\text{three,u}}$  denote the effluent concentration of anoxic tank and oxic tank.

The secondary settler primarily realizes the separation of mud and water via sedimentation. A small number of the sludge return into the anaerobic tank, while the surplus sludge is then recycled or wasted. And the supernatant is discharged as treated water. The process is represented as follows:

$$
V_{\text{four}}\left(\frac{dc_{\text{u}}}{dt}\right) = Q_{\text{three}}c_{\text{three,u}} - Q_{\text{s}}c_{\text{s,u}} - Q_{\text{p}}c_{\text{p,u}} - Q_{\text{four}}c_{\text{four,u}}
$$

$$
+ \sum_{R} V_{\text{four}}\left(\frac{dc_{\text{u}}}{dt}\right)_{R} \tag{17}
$$

where  $V_{\text{four}}$  is the secondary settler volume;  $Q_p$  is sludge discharge volume;  $c_{p,u}$  equals to  $c_{s,u}$ ;  $Q_{four}$  is the effluent flow rate of secondary settler;  $c_{\text{four}}$  is the effluent concentration of secondary settler.

#### 4.3 Model integration

Traditional machine learning is to select the appropriate single model algorithm to obtain the data model with the highest robustness. However, there are some limitations to the improvement of the single model; hence, the method of model fusion in integrated learning emerges at the right moment. The model integration [[45,](#page-20-0) [46\]](#page-20-0) is a method that comprehensively considers different models and integrates their results. The greater different the sub-models are, the better the integration effect is. ASM2d model and CNN-LSTM model improve respective performance from two different aspects of biochemical mechanism characteristics and statistical learning of data characteristics; therefore, the accuracy of prediction results can be improved theoretically by combining the two models.

Figure [2](#page-3-0) demonstrates framework of the proposed model integration mechanism. It provides support for wastewater treatment processes management systems by predicting water quality. In this paper, the prediction

#### <span id="page-8-0"></span>Fig. 5 A-A-O process flowchart



results of the knowledge model and the data model are connected via the full connection layer. The multi-layer perceptron network is utilized in this part, which consists of one input layer, one output layer and two hidden layers. Among them, the first hidden layer has 200 neurons, and the second hidden layer has 160 neurons. The input layer is used to accommodate the integrated data. Moreover the hidden layers and the nonlinear factors are introduced via the activation function ReLU, which makes the neural network more approximate to the real nonlinear relations. Finally, the output layer applies softmax regression to obtain the final prediction results. The prediction results from  $h_t^i$  and  $w_e^i$  of ASM and CNN-LSTM are integrated, which is represented as follows:

$$
\Lambda_{\rm t}^{\rm i} = h_{\rm t}^{\rm i} \oplus w_{\rm e}^{\rm i} \tag{18}
$$

The reasonable distribution for weight index can be obtained via the analysis of the influence of different models on the practical management effect, so that to get the predicted value closer to the actual management level. We define the hidden layer function as follows:

$$
v_{t+1}^i = \varsigma(\omega_v \cdot \Lambda_t^i + b_v) \tag{19}
$$

where  $v_{t+1}^i$  is the output of the hidden layer;  $\omega_v$  and  $b_v$ denote weight and bias, respectively;  $\varsigma(\cdot)$  is the nonlinear activation function, where  $\varsigma(\cdot) = \text{ReLU}$ . The hidden layer to output layer is similar to a multi-category logistic regression, that is, softmax regression which can be calculated follows:

$$
\beth_{t+1}^i = \text{softmax}\left(\omega_\beth \cdot v_{t+1}^i + b_\beth\right) \tag{20}
$$

where  $\sum_{t=1}^{l}$  denotes the output of the multi-layer perceptron<br>naturally  $\omega$  and  $\frac{1}{2}$  denote weight and bias of the output network;  $\omega_2$  and  $b_2$  denote weight and bias of the output layer, respectively; and softmax $(\cdot)$  is the regression function. To measure the suitability of the parameters in the

prediction function and improve the accuracy of model parameters, the paper introduced the cross-entropy cost function as optimization objective, which can be represented as follows:

$$
\mathcal{T}(\varpi,\tilde{\varpi}) = -\sum_{\delta=1}^{M} \varpi\left(\varrho^{(\delta)}\right) \log \tilde{\varpi}\left(\varrho^{(-\delta)}\right) \tag{21}
$$

where  $\mathcal{T}(\varpi, \tilde{\varpi})$  is used to evaluate the difference between the probability distribution obtained by current training and the real distribution. Reducing cross-entropy loss is to improve the prediction accuracy of the model.  $\varpi(\varrho^{(\delta)})$ denotes the probability of the true distribution;  $\tilde{\varpi}(\tilde{e}^{(-\delta)})$ denotes the probability of the model calculated through data;  $\rho$  stands for all parameters in the model integration; and  $\delta$  denotes a parameter in gradient descent algorithm, which is represented as follows:

$$
\delta_{t} = \delta_{t-1} - \eta \cdot \bar{m}_{t} / (\sqrt{\bar{v}_{t}} + \epsilon)
$$
\n(22)

where  $\delta_t$  and  $\delta_{t-1}$  stand for the parameter of the t th and  $t - 1$ \_th iteration model, respectively;  $\eta$  denotes the learning rate;  $\overline{m}_t$  and  $\overline{v}_t$  are correction value of first-order momentum term and second-order momentum term, respectively; and  $\epsilon$  is an arbitrarily small value to avoid the denominator being 0, which is generally  $1e^{-8}$ .

The purpose of the optimization is to obtain the parameter set which makes the model more approximate to achieve the optimal value by updating the parameters that affect the model. The optimization algorithm be utilized is the Adam Optimizer. The Adam calculates the adaptive learning rate with different parameters from the estimation for the first and second moments of the gradient to update the network weights. In brief, Adam uses momentum and adaptive learning rate to speed up convergence.

#### **Algorithm: Model Encoding**

**INPUT:**  $\Re_{in}$ ,  $\Re_{in}$ ,  $\mathfrak{U}_{ij}^{(t)}, y_{(t)} (t = 1, 2, \cdots, T)$ 

**OUTPUT:**  $\Re_{out}$ ,  $\Re_{out}$ 

- 1: While CNN-LSTM do
- 2: While CNN do
- 3 : the convolution operation  $y_i$  as Eq.(1)
- 4 : the max-pooling method  $h_i$  as Eq.(2)
- 5: End while
- 6: While LSTM do
- 7 : For  $n=1$  to N do
- 8 : forget gate  $f_n$  as Eq.(3)
- 9 : Update vector  $i_n$  and  $\tilde{C}_n$  as Eq.(4) and Eq.(5)
- 10 : input gate  $C_n$  as Eq.(6)
- 11 : Update vector  $o_n$  as Eq.(7)
- 12 : output gate  $h_n$  as Eq.(8)
- $13:$  End for
- 14: End while
- 15 : fully connected layer  $F$  as Eq.(9)
- **16**: Update  $\theta'_i = \theta_i \alpha \cdot \frac{\partial}{\partial \theta} J(\theta)$
- 17: End while
- 18: While ASM do
- 19 : the equilibrium relationship within ASM2 as Eq. $(12)$
- 20 : the change the concentration of the different substance as  $Eq.(13)$
- 21 : Define  $V_{one}\left(\frac{dc_u}{dt}\right)$ ,  $V_{two}\left(\frac{dc_u}{dt}\right)$ ,  $V_{three}\left(\frac{dc_u}{dt}\right)$  from Eq.(14) to Eq.(16)
- 22 : Define  $V_{four} \left(\frac{dc_u}{dt}\right)$  as Eq.(17)
- 23 : End while
- 24 : While AS-CL do
- 25 : For  $t=1$  to T do
- 26 : input layer  $\Lambda_t^i$  as Eq.(18)
- 27 : hidden layer  $v_{t+1}^i$  as Eq.(19)
- 28 : output layer  $2_{t+1}^i$  as Eq.(20)
- 29 : End for
- 30 : For  $\delta$  =1 to M do
- 31 : optimization function  $\mathcal{T}(\varpi, \widetilde{\varpi})$  as Eq.(21)
- 32 : Update  $\delta_t = \delta_{t-1} \eta \cdot \overline{m}_t / (\sqrt{\overline{v}_t} + \epsilon)$
- 33 : End for
- 34 : End while

### 5 Experimental settings

### 5.1 Data pre-processing

During the data monitoring in the sewage treatment plant, abnormal data phenomenon is frequently caused because of the relevant data records incomplete which refers to monitoring equipment malfunction or failure, and data transmission process error or other factors. In order to obtain a real and effective data-set, it is necessary to pre-process the original monitoring data via a series of scientific means. The specific methods are shown as follows:

- *data consolidation:* consolidating sewage treatment data came from various data sources, such as combining data from monitoring systems and manual records into the same table.
- data cleaning: Data loss, data conflict, noise data, and so on are generally found in the data obtained from sewage treatment plants through monitoring systems. Therefore, it is necessary to fill in the missing values, smooth noisy data, identify or remove outliers, as well as to solve inconsistency and others via data cleaning methods.
- data transformation: The data is transformed into data form suitable for the modeling process by unit conversion, data normalization, etc. For instance, the random sampling temporal frequency of the monitoring system is inconsistent, while it is necessary to obtain the best data form by averaging the monitoring data in a certain period. Moreover, the data input time unit of the machine model is frequently based on days, however, that of the water quality monitoring frequency is based on the minute, and therefore, the data unit needs to be transformed to make data more consistent with the model.

Figure 6 depicts the parameters and symbols associated with the data-set.  $DO<sub>A</sub>$  and  $DO<sub>B</sub>$  stand for DO in different



Fig. 6 Symbols of the experimental dataset

tanks; U is the symbolic representation of DO concentration.  $NH_4-N_i$  and  $NH_4-N_o$  represent influent and effluent  $NH_4-N$ , respectively;  $\mathfrak{B}$  is the symbolic of NH<sub>4</sub>-N concentration.  $\text{COD}_i$  and  $\text{COD}_o$  represent influent and effluent COD, respectively; and  $\Re$  is the symbol for COD concentration. Statistical features of the parameters are illustrated in Table 3.

Figure [7](#page-11-0) contains two sub-figures, which visually reveal the fluctuations of inlet  $NH_4$ -N and inlet COD, respectively. Statistical characteristics of DO values in several tanks can be legibly observed from six subfigures of Fig. [8.](#page-12-0) The DO values in each tank are divided into 5 distribution intervals according to the equal interval. The distribution of dissolved oxygen in each tank can be intuitively distinguished from Fig. [8](#page-12-0). Meanwhile, Tank1-A, Tank1-B, Tank2-A, Tank2-B, Tank3-A, Tank3-B separately correspond to variable  $\mathfrak{U}_{1,A}$ ,  $\mathfrak{U}_{1,B}$ ,  $\mathfrak{U}_{2,A}$ ,  $\mathfrak{U}_{2,B}$ ,  $\mathfrak{U}_{3,A}$ ,  $\mathfrak{U}_{3,B}$ .

# 5.2 Experimental settings

To quantitatively analyze the performance of the proposed AS-CL, the mean absolute error (MAE) and root-meansquare error (RMSE) are selected to evaluate in this phase, whose formula are represented as follows:

$$
\text{MAE} = \frac{1}{\mathcal{J}} \sum_{\tau=1}^{\mathcal{J}} \left| \psi_{\tau} - \frac{\vec{\psi}}{\tau} \right| \tag{23}
$$

RMSE = 
$$
\sqrt{\frac{1}{\mathcal{J}} \sum_{\tau=1}^{\mathcal{J}} \left( \psi_{\tau} - \overrightarrow{\psi}_{\tau} \right)^2}
$$
 (24)

where *T* means the test set quantity;  $(\psi_{\tau} - \vec{\psi})$ the prediction error value. For the above two metrics, the indicates result is inversely proportional to the model performance, that is, the larger the result is, the worse the model performs. In order to verify the stability and reliability of the proposed AS-CL, five methods can be exploited as the

Table 3 Statistical features of the experimental dataset

Variable	Min	Max	Mean	S.D
$\mathfrak{u}_{1,\mathrm{A}}$	1.002	9.562	2.810	1.519
$\mathfrak{u}_{1,\text{B}}$	1.000	9.287	3.293	1.861
$\mathfrak{u}_{2,\mathrm{A}}$	1.001	9.413	2.607	1.117
$\mathfrak{U}_{2,B}$	1.000	9.088	2.691	1.109
$\mathfrak{U}_{3,A}$	1.003	9.956	5.571	2.604
$\mathfrak{U}_{3,B}$	1.241	9.973	6.152	3.118
$\mathfrak{B}_{\text{in}}$	15.009	49.501	26.938	5.901
$\mathfrak{B}_{\text{out}}$	0.081	0.582	0.165	0.109
$\Re_{\rm in}$	102.619	979.864	518.699	199.750
$\Re_{out}$	19.653	212.127	51.237	49.998

<span id="page-11-0"></span>

benchmark methods for AS-CL performance evaluation. Comparing the proposed AS-CL with the baseline models during the experiment, the baseline model is introduced as follows and summary is described in Table [4:](#page-12-0)

- *Multi-layer Preceptor (MLP)*: It is a relatively complex artificial neural network (ANN), which has multiple hidden layers in between besides the input and output layer.
- Convolutional neural network (CNN): It is a specially deep neural network model, which is mainly reflected in two aspects. For one thing, the connections between its neurons are not fully connected; for another, the weights of connections between certain neurons in the same layer are shared.
- Long short-term memory (LSTM): It is an improved recurrent neural network, which superinduces unit states based on the RNN to preserve the long-term state.
- CNN-LSTM: It is a hybrid neural network model combining CNN and LSTM, which utilizes CNN to extract spatial features of data and exploits LSTM to extract temporal features.
- Activated sludge model (ASM): It realizes the degradation or removal of waste from wastewater through the breed and maintenance of microbial communities.

Adam is used as the model optimizer in this experiment, and the default learning rate  $(\eta)$  is 0.005. During the experiment, the data set was randomly divided into the training set and testing set, among which the default ratio of the training set to the testing set is 7:3.

# 5.3 Results and analysis

This research conducted a series of experiments to evaluate the performance of the proposed AS-CL from a multi-dimensional perspective. Among, the experimental process

<span id="page-12-0"></span>

Fig. 8 Statistical characteristics of DO density values distribution in six oxic tanks





of the knowledge model part is achieved by WEST software, and the main setting of the process is mentioned in Sect. [2.1.](#page-1-0)

With the learning rate and parameter values setting to default values, the training set values are set to 50, 60, 70, and 80%, successively. Tables [5](#page-13-0) and [6](#page-13-0) exhibit experimental results of AS-CL and baselines under different the training sets, what demands to be clarified is that the bold part in the table represents the minimum average value of each column evaluation index. From the overall trend analysis of experimental data, almost all baseline methods perform better with the increasing proportion of training sets. When the proportion of the training set reaches 70%, the upward trend tends to be saturated. The model performance of two data-sets is intuitively illustrated in Figs. [9](#page-14-0) and [10,](#page-14-0) where X-axis denotes percentage of training set and Y-axis denotes values of evaluation metrics. It can be observed from sub-graphs that the performance of MLP is far behind other methods. It can be also clearly discovered in these sub-graphs that the proposed AS-CL is better than the other five baselines models no matter how the training set value changes. These experimental results can be attributed to three aspects of factors. First of all, ASM model introduces the biochemical reaction mechanism of WTP in this research. Secondly, CNN is adopted to deeply extract the spatial characteristics of WTP monitoring data. Lastly, LSTM is exploited to capture temporal characteristics over a long period.

Subsequently, this paper contrasted and assessed the proposed AS-CL with five baseline methods. In this group of experiments, the training set and parameter values are set to default values, and list the model performance (MAE

<span id="page-13-0"></span>





<span id="page-14-0"></span>

Fig. 9 Results of outlet COD under different values of training set: a MAE, b RMSE



Fig. 10 Results of outlet NH<sub>4</sub>-N under different values of training set: a MAE, b RMSE

and RMSE) of AS-CL and the baseline under the learning rate values set to 0.01, 0.008, 0.005, and 0.003. Tables [7](#page-15-0) and [8](#page-15-0) clearly display the experimental evaluation results for outlet COD and outlet NH<sub>4</sub>-N with different learning rate values, respectively. It can be observed from the two tables that the proposed AS-CL throughout performs better than those of baselines under various learning rates. Figures [11](#page-16-0) and [12](#page-16-0) more intuitively illustrate the model performance of the two data-sets. Each of them has two subfigures, where X-axis denotes learning rates and Y-axis denotes values of evaluation metrics. It is worth mentioning that the curve is closer to the bottom, which means the smaller the MAE and RMSE value is, the better the model performs. The prediction results of the outlet COD and outlet  $NH_4$ -N in the two figures demonstrate that the values of the evaluation indicators MAE and RSME are the minimum while the learning rate is 0.005.

The thirdly set of experiments is implemented to analyze the parameter sensitivity of the proposed AS-CL. In this set of experiments, AS-CL is not compared with any baseline model, nevertheless, prediction results of AS-CL are compared with those of itself after changing the external parameters. Figures [13,](#page-17-0) [14](#page-17-0) and [15,](#page-17-0) respectively, present the MAE results and RMSE results of AS-CL for predicting outlet COD under different parameter situations. The MAE and RMSE results of AS-CL for outlet  $NH_4$ -N under different parameters are, respectively, demonstrated in Figs. [16,](#page-18-0) [17](#page-18-0) and [18](#page-18-0). Each data-set contains three figures, corresponding to three different types of parameter combinations: change of the learning rate and training set

<span id="page-15-0"></span>





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<span id="page-16-0"></span>

Fig. 11 Results of outlet COD under different learning rates: a MAE, b RMSE



Fig. 12 Results of outlet NH<sub>4</sub>-N under different learning rates: a MAE, **b** RMSE

proportion, change of the learning rate proportion and optimize category, and change of the training set proportion and optimizer category. It can be intuitively found from the twelve sub-figures that the experimental results of each set fluctuate slightly and remain relatively stable under the condition of different parameter combinations. From these experimental results, it can be concluded that AS-CL captures the complete characteristics of WTP from different perspectives, which makes itself less affected by parameters change. Therefore, it proves that the proposed AS-CL has reasonable stability.

To sum up, the above multi-sets of experiments verified that the proposed AS-CL has superior reliability and stability.

# 6 Conclusions

WTP is an indispensable part of the urban water circulation system that treats pollutants in wastewater to meet the requirements of different usage. However, traditional sewage treatment technology has become the major hidden trouble that restricts the improvement of sewage treatment efficiency. Currently, WTP modeling is a hot topic

<span id="page-17-0"></span>

Fig. 13 The learning rate and training set proportion results of AS-CL with respect to outlet COD under different evaluation metrics



Fig. 14 The learning rate proportion and optimize category results of AS-CL with respect to outlet COD under different evaluation metrics



Fig. 15 The training set proportion and optimizer category results of AS-CL with respect to outlet COD under different evaluation metrics

<span id="page-18-0"></span>

Fig. 16 The learning rate and training set proportion results of AS-CL with respect to outlet NH4-N under different evaluation metrics



Fig. 17 The learning rate proportion and optimize category results of AS-CL with respect to outlet NH4-N under different evaluation metrics



Fig. 18 The training set proportion and optimizer category results of AS-CL with respect to outlet NH<sub>4</sub>-N under different evaluation metrics

<span id="page-19-0"></span>discussed by many scholars. Although significant progress has been obtained, almost all the existing researches are based on one knowledge model or one data model. The former is eminently dependent on the biochemical mechanism, ignoring the hidden features of numerous monitoring data in the WTP, while the latter merely focuses on mining data features, ignoring the impact of biochemical reaction mechanism on WTP. To handle this challenge, this research introduces an AS-CL hybrid system for WTP.

The research carries out three sets of experiments to demonstrate the performance of the proposed AS-CL. To begin with, this paper adjusted the values of training set under the condition of giving the default learning rate and optimizer. Secondly, the learning rate under the condition of giving the default values of training set and optimizer were also adjusted. It should be explained that the first two sets of experiments require to compare AS-CL with the baseline model. The experimental results indicate that the proposed AS-CL performs better than baselines under different parameter settings. Finally, the parameter sensitivity analysis is implemented independently under the combination of different parameters. The experimental results express that the results of each set hardly change under different parameter combinations, proving the stability of the proposed AS-CL.

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# **Declarations**

Conflict of interest There are no conflicts of interest to state.

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