

Integrated Capecitabine–Temozolomide with Radioembolization for Liver-Dominant G2 NETs: Long-Term Outcomes of a Single-Institution Retrospective Study

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Received: 4 August 2023 / Accepted: 31 October 2023 / Published online: 6 December 2023 - Springer Science+Business Media, LLC, part of Springer Nature and the Cardiovascular and Interventional Radiological Society of Europe (CIRSE) 2023

Abstract

Purpose Capecitabine–Temozolomide (CapTem) is an oral chemotherapy regimen for NETs. Both drugs are radiosensitizers. Integrating CapTem and Y90 transarterial radioembolization (TARE) in patients with grade 2 neuroendocrine tumor (NET) liver metastases achieved an encouraging objective response rate (ORR) and progression-free survival (PFS) in a feasibility study. This study expands that report to a larger cohort with longer followup.

Methods Therapy consisted of monthly cycles of capecitabine 600 mg/m^2 twice daily for 14 days and

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temozolomide 150–200 mg/m2 on day 10–14. Simulation angiography was performed during the initial cycle. The dominant lobe was treated with $90Y$ -resin microspheres using BSA dosimetry on day 7 of the second cycle of CapTem. Patients with bilobar disease had the other lobe treated on day 7 of the third or fourth cycle. CapTem was continued until progression or intolerance. Clinical and laboratory assessment was done monthly and imaging every 3 months.

Results 35/37 patients completed the prescribed regimen. Primary sites of disease were pancreas (16), lung (10), gut (7) and unknown (4). Mean duration of CapTem was 12 months (range, 4–32 months). ORR in the liver was 72% with a disease control rate of 100%. Median PFS was 36 months (95% CI, 25–45 months). Median overall survival was 41 months (95% CI, 24–87 months) from initiation of CapTemY90 therapy and 130 months (95% CI, 56–172 months) from initial diagnosis.

Conclusion Chemoradiation with CapTem and TARE provided durable control of G2 NET liver metastases for substantially longer than expectations for embolotherapy or chemotherapy alone.

Graphical Abstract

Integrated capecitabine-temozolomide with radioembolization for liver-dominant G2 NETs: long-term outcomes of a single-institution retrospective study

The combination of radiosensitizing chemotherapy with CapTem and ⁹⁰Y-TARE provided durable control of G2 NET liver metastases for substantially longer than expectations for embolotherapy or chemotherapy alone.

Keywords Neuroendocrine tumor · Radioembolization · Chemotherapy

Introduction

Guidelines from the National Comprehensive Cancer Network, North American Neuroendocrine Tumor Society, and European Neuroendocrine Tumor Society endorse embolotherapy for symptomatic or progressive liver-dominant metastases $[1-3]$. Embolic techniques include bland embolization, chemoembolization, and radioembolization which have reported similar oncologic outcomes [\[4](#page-7-0)]. Transarterial radioembolization (TARE) is appealing because of its low acute toxicity compared to bland or chemoembolization [[5\]](#page-7-0). Postembolization syndrome is minimal and almost all patients are treated on an outpatient basis [[5\]](#page-7-0).

A multicenter analysis of 155 patients from 7 US cancer centers reported hepatic progression free survival of 86% at 1 year and 69% at 2 years for Grade 1 NETs and 57% and 19% for Grade 2 NETs, with a median PFS of 13 months for Grade 2 [[6\]](#page-7-0). PFS was the same irrespective of primary tumor site (pancreas vs. intestinal vs. lung) for both chemoembolization and radioembolization. These data suggest that patients with Grade 2 NETs might benefit from a more aggressive approach than liver-directed therapy alone.

The regimen of capecitabine for 14 days with temozolomide on days 10 to 14 of a 2-week cycle was first described by Fine et al. [[7\]](#page-7-0). A retrospective series of 143 patients had 54% partial response (PR) and 35% stable disease (SD) by Response Evaluation Criteria for Solid Tumors (RECIST) 1.1 [\[8](#page-7-0)]. Median PFS was 14.5 months (95% CI, 10–14.5) for G2 tumors. The ECOG-ACRIN 2211 trial randomized patients with pancreatic NET to CapTem versus temozolomide alone [[9\]](#page-7-0). CapTem was statistically superior with an ORR of 30% and median PFS of 23 months.

Capecitabine and temozolomide are both radiosensitizers. In hopes of improving disease control over systemic or liver-directed therapy alone with no more than additive toxicities, an integrated treatment protocol combining capecitabine–temozolomide with yttrium-90 radioembolization (CapTemY90) for liver-dominant G2 metastases was inaugurated. In the feasibility and safety cohort of this integrated regimen, 19/21 patients completed the prescribed regimen [[10](#page-7-0)]. Toxicities were as expected from each therapy alone, ORR 74%, and median PFS 31 months. This report details long-term clinical follow-up of an expanded cohort including the original 21 patients.

Methods

Institutional Review Board approval was obtained for this Health Insurance Portability and Accountability Act-compliant retrospective analysis. Patient selection and procedural methodology were as previously reported [\[10](#page-7-0)]. In brief, patients with metastatic NETs were evaluated by the Neuroendocrine Tumor Board. Patients with unresectable G2 NET liver-dominant metastases without contraindications to radioembolization or to CapTem were offered therapy with the CapTemY90 regimen. Liver dominance was defined as more than 50% of the total body tumor burden confined to the liver by consensus imaging review. Relevant criteria for this regimen included a liver tumor burden of less than 75%, patent portal vein, preserved organ function (total bilirubin level, $\lt 2$ mg/dL, creatinine level $\lt 2$ mg/dL, platelet count, $\geq 100,000$ /cL, international normalized ratio, $\langle 1.6 \rangle$, and ability to undergo triple phase contrast-enhanced magnetic resonance imaging or computed tomography imaging.

Chemotherapy was initiated with capecitabine 750 mg/ $m²$ twice daily for 14 days and temozolomide 150–200 mg/ $m²$ in 2 divided doses on days 10–14, with 14 days between cycles. During the first cycle of CapTem, simulation angiography and technetium-99m-labeled macroaggregated albumin SPECT/CT were performed. Once the patient had completed the first cycle of CapTem with tolerable toxicity and undergone simulation demonstrating eligibility for TARE, the dominant lobe was radioembolized on day 7 of the second cycle of CapTem. Resin Y90 microspheres (SIR-Spheres; Sirtex Medical, Woburn, Mass) were prescribed according to the body surface area method (BSA) using visual estimates of liver and tumor fractions. For patients with bilobar disease, the other lobe was treated on day 7 of the third or fourth cycle of Cap-Tem. CapTem was continued in 4-week cycles until progression or intolerance. Clinical assessment and laboratory tests (complete blood cell count, comprehensive metabolic panel, international normalized ratio, chromogranin A) were performed monthly. Triple phase contrast-enhanced abdominal magnetic resonance imaging or computed tomography imaging was performed 3 months after TARE, then every 3 months for 1 year. Patients without progression were imaged every 4 months the second year and every 6 months thereafter. Toxicities were graded using Common Terminology Criteria for Adverse Events v5. Tumor response and progression were categorized according to RECIST, with measurement of the longest diameter of up to 5 metastases in the liver to assess hepatic PFS and up to two metastases per extrahepatic site for overall PFS by RECIST 1.1. Progression-free survival was defined as the time from initiation of CapTem to

documented disease progression or death due to any cause. Patients who were alive and progression-free at last contact were censored for PFS. Overall survival (OS) was defined as the time from initiation of CapTem to death due to any cause or last contact alive. PFS and OS were estimated using the Kaplan–Meier method. Differences among subgroups were evaluated by log rank test, with $P < 0.05$ considered significant.

Results

Primary sites of disease were pancreas (16), lung (10), gut (7) and unknown (4). Baseline characteristics are summarized in Table [1](#page-3-0). The mean Ki-67 was 9.8%; range, 3–20%.

35/37 patients (18 female) completed the prescribed regimen between 2013 and 2020. Two patients did not receive a planned second lobar TARE due to post-embolization toxicities (pain, G1 elevated bilirubin) and were included in the analysis. Fifty-nine Y90 instillations were performed including 23 bilobar administrations in 23 patients, eight unilobar only, and five single whole liver instillations. Reasons for unilobar only treatment included prior hepatectomy, unilobar disease, or post-embolization toxicity. Median lung shunt fraction was 3% (mean, 4.5%; range, 0.5–14%). No dose reductions for excessive lung shunting were required. Mean prescribed activity was 1.78 GBq, range 0.81–2.85 GBq, and mean administered activity was 1.77 GBq, range 0.78–2.74 GBq. Three administrations were stopped for stasis after delivery of 71%, 74%, and 94% of the prescribed activity, respectively. These three procedures occurred prior to the change in the SIR-Spheres Instructions for Use from sterile water to 5% dextrose for delivery. For the remaining instillations, mean delivery was 100% (range, 96–106%). There were no procedure-related complications.

A total of 460 cycles of CapTem were administered, median 9 per patient (mean, 12; range, 4–32). Nine patients had dose reduction or interruptions for cytopenias [\[5](#page-7-0)], fatigue [[4\]](#page-7-0), nausea [[3\]](#page-7-0), and/or hand-foot skin reaction [\[2](#page-7-0)]. CapTem therapy was finally stopped due to chronic clinical toxicity (fatigue/nausea) in eight patients, thrombocytopenia in 5, elevated liver function tests in 3, hand-foot skin reaction in 3, tumor progression in 3, and sustained response beyond 2 years in 2, with three patients having more than 1 indicator.

Toxicity of the Integrated Regimen

Toxicities are summarized in Table [2](#page-4-0) and were typical for CapTem and radioembolization individually. The most common were thrombocytopenia, fatigue, and nausea. One patient developed radioembolization-induced chronic

Table 1 Patient Characteristics

hepatic toxicity [[11\]](#page-7-0) and succumbed to spontaneous bacterial peritonitis 17 months after TARE with sustained control of his tumor.

Tumor Response

Thirty-two patients had images evaluable for response. Three had unmeasurable diffuse disease and two lacked source images for retrospective analysis. Median reduction in the sum of longest diameters of index hepatic metastases was 42% (mean, 48%; range, -2 to 100%). By RECIST, there were 4 complete responses in the liver, 19 partial responses (PR), and 9 with stable disease (SD), for an objective response rate (ORR) of 72% and disease control rate of 100%. Median reduction in CgA level was 77%. Figure [1](#page-4-0) shows waterfall plots for hepatic tumor and CgA

	Platelets	Fatigue	N/V	Pain	HFSR	Liver
G ₁	6	13	9			
G ₂	2	4			2	
G ₃	3			$\mathcal{D}_{\mathcal{L}}$		
G4	4					
G ₅						

Table 2 Toxicities according to CTCAE v5

N/V nausea/vomiting, HFSR hand-foot skin reaction

response. Eighteen patients had evaluable disease outside the liver. Median reduction in sum of longest tumor diameters was 28% (mean, 33% ; range, -16 to 63%). By RECIST, the ORR for extrahepatic disease was 55%.

Disease Control and Survival

Median follow-up from initiation of CapTemY90 was 32 months (mean, 46 months; range, 10–113 months). Twenty-seven patients developed intrahepatic progression of disease by RECIST. Median hepatic PFS was 35 months (95% CI 21–45 months). Twenty-two patients develop tumor progression outside of the liver; among these 22, only 3 had extrahepatic progression only without liver progression. Median extrahepatic PFS was 41 months (95% CI 21–52 months). Median overall PFS was 36 months (95% CI 20–39 months) (Fig. [2](#page-5-0)).

Comparing the 16 pancreatic NETs with the 21 nonpancreatic primary tumors, median hepatic PFS for pancreatic NETs was 31 months (95% CI, 37.5–56.3 months) versus 28 months for other histologies (95% CI, 28.0–48.4 months), $P = 0.77$. Median overall PFS was 31 months

Fig. 1 A Tumor response by RECIST. ORR was 72%. B Chromogranin A nadir following CapTemY90

Fig. 2 A Kaplan–Meier estimate of hepatic progression-free survival. Median is 31 months. B Kaplan–Meier estimate of overall progression-free survival. Median is 36 months

(95% CI, 37.5–56.3 months) for pancreatic NETS versus 36 months (95% CI, 21.5–41.8 months) for other histologies, $P = 0.36$ (Fig. 3).

Survival rates at 1, 2 and 3 years were 92%, 69%, and 55% after start of CapTem, and 92%, 86%, and 75% from initial diagnosis (Fig. [4](#page-6-0)).

Kaplan–Meier estimates of PFS for the 25 patients with "low" Grade 2 (Ki67 $3\% - 11\%$) versus the 12 with "high" Grade 2 (Ki67 12–20%) were not significantly different (27 months vs. 35 months, $p = 0.71$.

Duration of CapTem and PFS

Ten subjects were on CapTem for 3–6 months, 15 for 7–12 months, and 12 for 13–32 months. 14/36 (39%) stopped CapTem due to toxicities prior to disease progression.

Fig. 3 Kaplan–Meier estimates of hepatic progression-free survival following CapTemY90 according to primary histology. The difference between pancreatic neuroendocrine tumors (pNET) and other histologies (lung, gut, unknown) is not significant. HPFS = hepatic progression-free survival

Median PFS was > 36 months in the 3–6-month chemo group; 23 months for the 7–12-month chemo group, and 30 months for those on chemo > 12 months.

Discussion

Liver metastases occur in up to 90% of patients with NETs and are the major risk factor for worse prognosis. Integration of systemic chemotherapy and liver-directed therapy to enhance control in the liver and simultaneously treat extrahepatic disease is an attractive goal. To add value, an integrated regimen needs to be tolerable and improve oncologic outcomes over those expected for the individual therapies without worse toxicity. The combination of TARE with various chemotherapeutic drugs including capecitabine, 5-fluorouracil, floxuridine, oxaliplatin, and irinotecan has proven feasible and safe for treating metastatic colorectal cancer [\[12–15](#page-7-0)]. The integration of Cap-Tem and TARE for NET liver metastases is feasible, with only 2 of 37 patients unable to complete the full cycle of radioembolization due to postembolization toxicities unrelated to the chemotherapy. Tolerance for CapTem therapy was similar to the report of Cives et al. in which 143 patients received a median of nine cycles of CapTem (range, $1-28$) [[8\]](#page-7-0). The most common grade $3-4$ toxicities were thrombocytopenia, as expected with CapTem therapy. Nausea and fatigue were common adverse events which can be seen with either radioembolization and/or CapTem. Pain and hepatic toxicity were expected adverse events after radioembolization. Overall adverse events appear

Fig. 4 Kaplan–Meier estimates of overall survival from A initiation of CapTemY90 and B diagnosis

similar to expectations from each therapy alone, without toxic synergy.

The ORR in the liver was 72%. A meta-analysis of 12 TARE series reported a range from 12 to 100% (mean, 61%) [[16\]](#page-7-0). A recent international multicenter retrospective study reported an ORR of 41% among 210 patients [\[17](#page-7-0)]. The ORR to CapTem alone has been reported to be 54% to 60% in retrospective studies [\[7](#page-7-0), [8\]](#page-7-0) and only 30% in the recent ECOG-ACRIN trial [[9\]](#page-7-0). The ORR for measurable extrahepatic disease in this cohort was 55%, in line with expectations for the effect of CapTem alone, whereas the better than expected response in the liver suggests the possibility of synergy. The mechanism for this synergy might involve direct cellular radiosensitization but could also involve additional modes of radiation cytotoxicity enhancement by chemotherapy or even radiotherapeutic enhancement of chemotherapy through altered perfusion or local drug metabolism. These results are particularly encouraging since the study cohort consisted entirely of patients with G2 tumors. In the Cives et al. series, only 50% of G2 NETs had an objective response to CapTem alone. The biochemical response rate was also better than expected, with 80% achieving $a > 50\%$ reduction in CgA level after chemoradioembolization versus 61% reported with CapTem alone.

Pancreatic NETs are more responsive to cytotoxic chemotherapy than midgut tumors [[18\]](#page-8-0), whereas response to embolotherapy is independent of histology [[6\]](#page-7-0). In this cohort, there was no difference in PFS comparing pancreatic to lung or gut primaries, suggesting that the liverdirected therapy is the primary driver of outcome. Furthermore, PFS appeared to be independent of duration of chemotherapy, suggesting that a short course for radiosensitization may be sufficient and patients could be spared subsequent months of chemotherapy-related toxicities without sacrificing oncologic benefit. This would need to be evaluated prospectively in a larger trial.

Most important is the durability of disease control because prevention of intrahepatic progression is the major determinant of survival. The liver is the first and often only site of disease progression even when extrahepatic disease is present. Among a group of 123 patients with extrahepatic disease treated with chemoembolization, 70% progressed at 2 years, 68% in the liver, and 55% in the liver only [\[19](#page-8-0)]. After CapTemY90 in the current study, median PFS was 35 months in the liver and 41 months outside the liver. This appears to exceed the expected PFS of 12–14 months for G2 tumors following liver-directed therapy alone and the PFS reported in prospective trials of systemic therapies such as CapTem alone and PRRT [[9,](#page-7-0) [20](#page-8-0)].

Limitations of this study include the retrospective analysis, single-center source of patients, and accrual period pre-dating recent improvements in dosimetry and delivery technology. The PFS exceeds prior reports of other liver-directed and systemic therapies to an extent that invites skepticism. Nonetheless, the data are encouraging that synergy between TARE and radiosensitizing chemotherapy may exist and provided the basis for the design of an ongoing multicenter phase 2 trial (NCT04339036).

Other important concerns about this regimen include the Y90 prescription methodology and the whole-liver treatment employed in most patients. BSA prescriptions were created based on estimates of liver and tumor fractions per the SIRSpheres Instructions for Use. Partition models or voxel-based dosimetry provide more precise dose calculations for tumor and liver. A dose–response relationship has been reported for neuroendocrine tumor liver metastases with both TheraSpheres and SIRSpheres [\[21](#page-8-0), [22](#page-8-0)]. The clinical relevance of this is unknown since no association with PFS or OS has been documented. Neuroendocrine liver metastases are typically diffuse, numerous and ill-

suited to segmentation of the tumor vs. liver compartment, making more precise dosimetry difficult. Compromise methods include segmenting only up to five metastases [\[21](#page-8-0)]. It is possible that more precise dosimetry methods could improve on the outcomes reported in this series.

Late radiation-induced liver failure is a particular concern in NET patients due to their long life expectancy. Clinical hepatic decompensation has been reported in 10–20% of patients surviving 2–10 years after radioembolization, particularly when the whole liver was treated [11, [23,](#page-8-0) [24](#page-8-0)]. Current practice is evolving to spare two segments or 30% of liver volume when doing TARE. This would eliminate the opportunity for synergy in the excluded liver volume and possibly limit the benefit of chemoradioembolization.

In summary, chemoradioembolization with capecitabine and temozolomide combined with TARE was safe and effective in this cohort of patients with Grade 2 NET metastases. A prospective multicenter trial of this regimen is underway. Opportunities to improve dosimetry and mitigate the risk of radioembolization-induced chronic hepatic toxicity are important considerations for refinement of this approach.

Funding This study was not supported by any funding.

Declarations

Conflict of interest Dr. Soulen reports grants and personal fees from Guerbet LLC, personal fees from Genetech, grants from Pfizer, grants from Sirtex, personal fees from AstraZeneca, personal fees from Varian, outside the submitted work. Dr. Eads reports grants from Oncolys, Genentech, Merck, Seagen, Medimmune, AstraZeneca, Arcus, Amgen, Gilead, and Hutchmed and personal fees from Advanced Accelerator Applications, outside the reported work. The remaining authors report no conflicts of interest.

Ethical Approval All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards. This study has obtained IRB approval from the University of Pennsylvania and the need for informed consent was waived. For this type of study, consent for publication is not required.

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